0117401: Operating System 操作系统原理与设计

Chapter 5: CPU scheduling

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March 27, 2024

温馨提示:



为了您和他人的工作学习,请在课堂上关机或静音。

不要在课堂上接打电话。

Chapter Objectives

- To introduce CPU scheduling.
- To describe various CPU-scheduling algorithms.
- To discuss **evaluation crieria** for selecting a CPU-scheduling algorithm for a particular system.

提纲——CPU scheduling

- Basic Concepts
- Scheduling Criteria
- Scheduling Algorithms
- Multiple-Processor Scheduling
- Real-Time Scheduling
- 6 OS examples
- Algorithm Evaluation
- 8 小结

- Basic Concepts
 - CPU-I/O Burst Cycle
 - CPU Scheduler
 - Preemptive Scheduling
 - Dispatcher

Basic Concepts

- Scheduling is a fundamental OS function.
 - Almost all computer resources are scheduled before use.
 - CPU scheduling is the basis of multiprogrammed OSes.

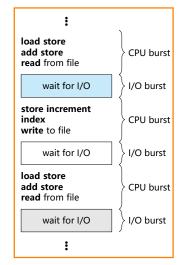
Objective of multiprogramming

Maximum CPU utilization

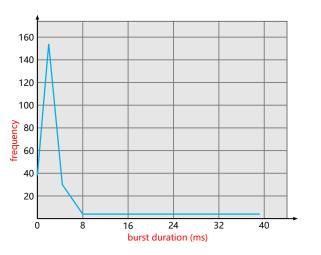
- Basic Concepts
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 - Dispatcher

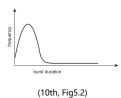
Basic Concepts: CPU-I/O Burst Cycle

- An observed property of process: Process execution consists of a cycle of CPU execution & I/O wait
- Alternating Sequence of CPU & I/ O Bursts
- Begin & end with a CPU burst
- Process execution= n (CPU execution + I/O wait)+ CPU execution



CPU burst distribution





Histogram of CPU-burst Times



- Basic Concepts
 - CPU-I/O Burst Cycle
 - CPU Scheduler
 - Preemptive Scheduling
 - Dispatcher

CPU Scheduler

- CPU scheduler (Short-term Scheduler)
 selects a process from the processes in memory that are ready to execute and allocates the CPU to the process
- Ready Queue could be:
 - a FIFO Queue?
 - a priority queue?
 - a tree?
 - an unordered linked list?

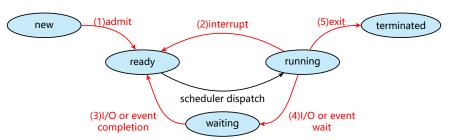
- Basic Concepts
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Preemptive Scheduling I

- CPU scheduling decisions may take place when a process:
 - Switches from new to ready state
 - Switches from running to ready state
 - Switches from waiting to ready state
 - Switches from running to waiting state
 - Terminates

For 4 & 5, must schedule;

For 1 & 2 & 3, schedule? VS. not schedule?



Preemptive Scheduling II

Two scheduling scheme:

- nonpreemptive(非抢占式): only 4 & 5
 - Windows 3.x
 - before Mac OS X
- ② otherwise preemptive(抢占式)
 - ▶ Windows 95 & ...
 - Mac OS X
 - usually needs a hardware timer, synchronization overhead

Two processes sharing data

 If one process is preempted while it is updating the data, data is in an inconsistent(不一致) state

Preemptive Scheduling III

COST for preemption

- needs special HW, for example, a **timer**.
- **2** synchronization overhead with shared data.

Preemption of the OS kernel

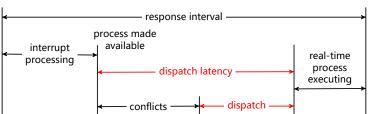
- What happens if the process is preempted in the middle of some activities that changes important kernel data?
- preemptive kernel VS. nonpreemptive kernel?
- Interrupt affected code VS normal kernel code?
- new mechnisms are needed, such as
 - disable interrupt
 - some synchronization mechnisms

- Basic Concepts
 - CPU-I/O Burst Cycle
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Dispatcher

- Dispatcher module gives control of the CPU to the process selected by the cpu scheduler; this involves:
 - switching context
 - switching to user mode
 - **3 jumping to the proper location** in the user program to continue the execution of that program
- Dispatch latency time it takes for the dispatcher to stop one process and start another running
 - SHOULD be as fast as possible

response to event



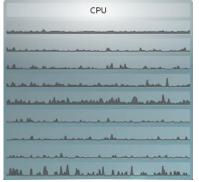
- Scheduling Criteria
 - Scheduling Criteria

- Scheduling Criteria
 - Scheduling Criteria

- CPU utilization (CPU 利用率)
- ② Throughput (吞吐率)
- Turnaround time (周转时间)
- Waiting time (等待时间)
- Response time (响应时间)

- CPU utilization (CPU 利用率)

 keep the CPU as busy as possible
 - conceptually: $0\% \sim 100\%$; in a real system: $40\% \sim 90\%$



4核8线程编译Linux内核时的CPU利用率情况 (0-7, 总)

- ② Throughput (吞吐率)
- **③ Turnaround time** (周转时间)

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- Waiting time (等待时间)
- A Pesponse time (响应时间)

- CPU utilization (CPU 利用率)
- ② Throughput (吞吐率)—# of processes that complete their execution per time unit
 - different from one process set to another process set
 - for long processes: may be 1 process per hour
 - for short transactions: may be 10 processes per second
- Turnaround time (周转时间)
- Waiting time (等待时间)
- Response time (响应时间)

- 🚺 CPU utilization (CPU 利用率)
- ② Throughput (吞吐率)
- Turnaround time (周转时间)— amount of time to execute a particular process
 - from the time of submission of a process to the time of completion
 - = the periods spent waiting to get into memory, waiting in the ready queue, executing on the CPU, and doing I/O.
- Waiting time (等待时间)
- Response time (响应时间)

- CPU utilization (CPU 利用率)
- ② Throughput (吞吐率)
- **③ Turnaround time** (周转时间)
- Waiting time (等待时间)— amount of time a process has been waiting in the ready queue
- **Solution** Response time (响应时间)

- CPU utilization (CPU 利用率)
- ② Throughput (吞吐率)
- Turnaround time (周转时间)
- Waiting time (等待时间)
- Response time (响应时间)— amount of time it takes from when a request was submitted until the first response is produced, not output
 - for time-sharing environment

Optimization Criteria

- Maximize?
 - CPU utilization
 - throughput
- Minimize?
 - turnaround time
 - waiting time
 - response time
- Average?
- Stability?

different from system to system.

- Scheduling Algorithms
 - FCFS(先来先服务) Scheduling
 - SJF(短作业优先) Scheduling
 - Priority Scheduling
 - Round Robin(时间片轮转) Scheduling
 - Multilevel Queue (多级队列) Scheduling
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FCFS Scheduling

- First-Come, First-Served(先来先服务)
 - ▶ nonpreemptive(非抢占)
- Implementation:
 - Normal Queue: FIFO Queue
 - ordered by request time
 - linked list
 - Insert: linked to the tail of the queue
 - scheduling: removed from the head of the queue

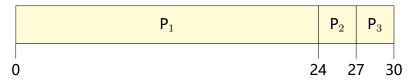
Example of FCFS Scheduling

 Suppose that the processes arrive in the order:

Process	BurstTime(ms)
P1	24
P2	3
Р3	3

P1 , P2 , P3

The Gantt Chart(甘特图) for the schedule is:



- Waiting time for P1 = 0; P2 = 24; P3 = 27
- Average waiting time: (0 + 24 + 27)/3 = 17



Example of FCFS Scheduling II

 Suppose that the processes arrive in the order

Process	BurstTime(ms)
P1	24
P2	3
Р3	3

• The Gantt chart(甘特图) for the schedule is:

P2, P3, P1



- Waiting time for P1 = 6; P2 = 0; P3 = 3
- Average waiting time: (6+0+3)/3 = 3

MUCH BETTER THAN PREVIOUS CASE!



Convoy effect (护航效应;护卫效应)

Convoy effect (护航效应;护卫效应)

- all the other processes wait for the one big process to get off the CPU
- ■short process behind long process

example situation:

- one CPU-bound process
- many I/O-bound processes

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SJF Scheduling

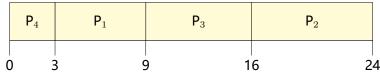
- Shortest-Job-First(短作业优先)
 Shortest-Next-CPU-Burst algorithm
 - Associate with each process the length of its next CPU burst.
 - Schedule the process with the shortest time.

SJF Scheduling

SJF scheduling example

Process	BurstTime(ms)
P1	6
P2	8
P3	7
P4	3

The Gantt chart for the schedule is:



- **Waiting time** for P1 = 3; P2 = 16; P3 = 9; P4 = 0
- **2** Average waiting time: (3 + 16 + 9 + 0)/4 = 7

If FCFS, average waiting time: (0+6+14+21)/4 = 10.25



SJF Scheduling

SJF is optimal(最优的)

- gives minimum average waiting time(最小平均等待时间) for a given set of processes

SJF scheduling schemes

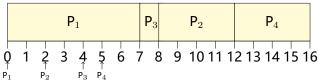
- Two schemes:
 - nonpreemptive
 - once CPU given to the process it cannot be preempted until completes its CPU burst
 - preemptive
 - if a new process arrives with CPU burst length less than remaining time of current executing process, preempt. This scheme is known as the Shortest-Remaining-Time-First (SRTF)

SJF scheduling schemes

Example of Non-Preemptive SJF

Process	ArrivalTime	BurstTime(ms)
P1	0.0	7
P2	2.0	4
Р3	4.0	1
P4	5.0	4

► The **Gantt chart** for SJF (non-preemptive)



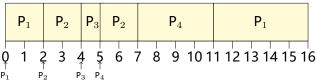
► Average waiting time: (0+6+3+7)/4 = 4

SJF scheduling schemes

Example of Preemptive SJF

Process	ArrivalTime	BurstTime(ms)
P1	0.0	7
P2	2.0	4
Р3	4.0	1
P4	5.0	4

The Gantt chart for SJF (preemptive)



▶ Average waiting time: ((11-2)+(5-4)+0+(7-5))/4=3



Determining Length of Next CPU Burst

For job scheduling:

depend on user?

For CPU scheduling:

can only estimate the length

- Example: by using the length of previous CPU bursts, using exponential averaging(指数平均)
 - \bullet t_n =actual length of nth CPU burst
 - $2 \tau_{n+1} =$ predicted value for the next CPU burst

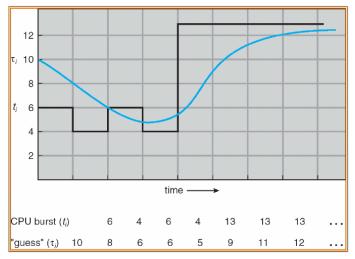
 - **1** Define: $\tau_{n+1} = \alpha t_n + (1-\alpha)\tau_n$
- If we expand the formula, we get:

$$\tau_{n+1} = \alpha \tau_n + (1 - \alpha) \alpha \tau_{n-1} + \dots + (1 - \alpha)^j \alpha \tau_{n-j} + \dots + (1 - \alpha)^{n+1} \tau_0$$

Since $0 < \alpha$, $1 - \alpha < 1$, each successive term has less weight than its predecessor

Determining Length of Next CPU Burst

- Prediction of the Length of the Next CPU Burst
 - Example: $\alpha = 1/2$; $\tau_0 = 10$



Determining Length of Next CPU Burst

- Examples of Exponential Averaging
 - if $\alpha = 0$
 - * $\tau_{n+1} = \alpha t_n + (1 \alpha)\tau_n = \mathbf{0} \cdot t_n + \tau_n = \tau_n$
 - * Recent history does not count
 - if $\alpha = 1$
 - * $\tau_{n+1} = \alpha t_n + (1 \alpha)\tau_n = t_n + \mathbf{0} \cdot \tau_n = t_n$
 - ★ Only the actual last CPU burst counts

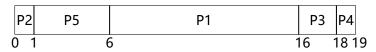
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- A priority number(优先数) is associated with each process
 - ▶ priority number(优先数) VS. priority(优先级)
 - usually an integer, & usually, smallest integer ≡ highest priority
- The CPU is allocated to the process with the highest priority
 - Preemptive VS. Nonpreemptive
- SJF is a special case of general priority scheduling where priority is the predicted next CPU burst time

Example

Process	BurstTime(ms)	Priority
P1	10	3
P2	1	1
Р3	2	4
P4	1	5
P5	5	2

The Gantt chart for the schedule is:



• Average waiting time: (6+0+16+18+1)/5 = 8.2

- Problem: The determination of priority
 - internally, for example:
 - * time limits, memory requirement, the number of open files, ...
 - externally, for example:
 - the importance, the type and amount of funds, the department,

- Priority Scheduling problem Starvation (indefinite blocking):
 - low priority processes may never execute
 - Solution Aging: as time progresses increase the priority of the process

Example:

- priorities: 127(low)-0(high)
- the priority of a waiting process is increased by 1 every 15 minutes
- ► How long from 127 to 0?

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Round Robin (时间片轮转, RR) Scheduling

• Time quantum, time slice(时间片)

- a small unit of CPU time
- usually 10-100 ms

Implementation

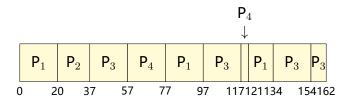
- Ready queue: a FIFO circular queue
- Each process gets 1 time quantum
- ▶ Insert: to the tail of the queue
- Scheduling: pick the first process; set timer; and dispatch
- two situation:
 - **★** CPU burst ≤ 1 time quantum
 - * CPU burst > 1 time quantum.
 After this time has elapsed, the process is **preempted(被抢占)** and added to the end of the ready queue.

Round Robin (时间片轮转, RR) Scheduling

• Example of RR with Time Quantum = 20

Process	BurstTime
P1	53
P2	17
Р3	68
P4	24

The Gantt chart is:



 Typically, higher average turnaround than SJF, but better response

Round Robin (时间片轮转, RR) Scheduling

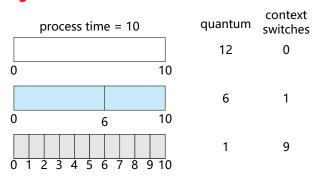
Performance

- If there are n processes in the ready queue and the time quantum is q, then each process gets 1/n of the CPU time in chunks of at most q time units at once.

 No process waits more than (n. 1) a time units
 - No process waits more than (n-1)q time units.
 - ★ Example: 5 processes, time quantum=20ms
- ► The performance of RR dependes heavily on the size of the time quantum.
 - ★ if q is too large? ⇒FIFO
 - ★ if q is too small? ⇒q must be large with respect to context switch, otherwise overhead is too high

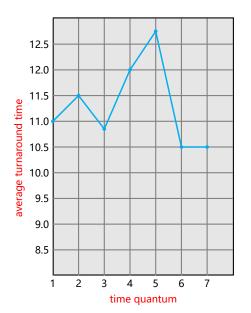
Time Quantum and Context Switch Time

The effect of context switching on the performance of RR scheduling



- typically the context-switch time is a small fraction of the time quantum
 - ★ usually: time quantum: 10 ~100ms & context switch time: 10μ s

Turnaround Time Varies With The Time Quantum



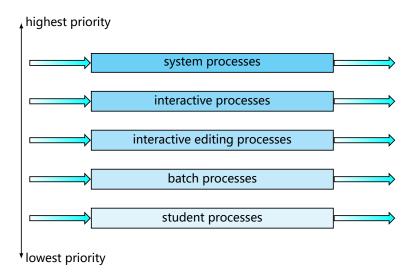
process	time
P_1	6
P_2	3
P_3	1
P_4	7

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Multilevel Queue (多级队列) Scheduling I

- Ready queue is partitioned into separate queues.
 Each queue has its own scheduling algorithm
 - foreground (interactive) RR
 - background (batch) FCFS
- Scheduling must be done between the queues
 - Fixed priority scheduling;
 - ★ Example: serve all from foreground then from background
 - Possibility of starvation.
 - ► Time slice each queue gets a certain amount of CPU time which it can schedule amongst its processes; i.e.,
 - ★ 80% to foreground in RR
 - ★ 20% to background in FCFS

example



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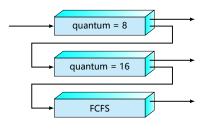
Multilevel-Feedback-Queue(多级反馈队列) Scheduling

- A process can move between the various queues; aging can be implemented this way
- Multilevel-feedback-queue(多级反馈队列) scheduler defined by the following parameters:
 - number of queues
 - scheduling algorithms for each queue
 - method used to determine when to upgrade a process
 - method used to determine when to demote a process
 - method used to determine which queue a process will enter when that process needs service

Example of Multilevel Feedback Queue

• Three queues:

- ▶ Q₀ RR with time quantum 8ms
- ▶ Q₁ RR time quantum 16ms
- ▶ Q₂ FCFS



Scheduling

- A new job enters Q₀ which is served FCFS. When it gains CPU, job receives 8ms. If it does not finish in 8ms, job is moved to Q₁.
- ► At Q₁ job is again served FCFS and receives additional 16ms. If it still does not complete, it is preempted and moved to Q₂.



- One single processor → multiple CPUS
 - ► CPU scheduling more complex
 - Load sharing
- To be simple, suppose
 - the processors are identical homogeneous in terms of their functionality
 - so, any processor can execute any process in the queue

- Approches to Multiple-Processor Scheduling
 - Asymmetric multiprocessing only one processor accesses the system data structures, alleviating the need for data sharing
 - ► Symmetric multiprocessing √
 - one common ready queue, or
 - one private ready queue for each processor

Processor Affinity

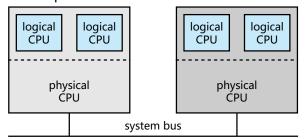
- Migration of processes from one processor to another processor COSTs much.
 - * For example: cache
 - most SMP systems try to avoid such migration
- Processor affinity(亲和性):
 a process has an affinity for the processor on which it is currently running.
- SOFT affinity VS. HARD affinity.

- Load Balancing
 - Load balanceing attempts to keep the workload evenly
 - ★ for SMP system with one private ready queue for each processor
 - two general approaches
 - ★ push migration(迁移)
 - * pull migration

often works together in load balancing systems

load balancing VS. processor affinity

- Symmetric Multithreading
 - INTEL: hyperthreading technology (HT)
 - logical processors VS. physical processors
 - each logical processor has its own architecture state, including general-purpose registers and machine-state registers, and interrupts
 - ★ share: cache memory and bueses
 - ? from the viewpoint of OS?

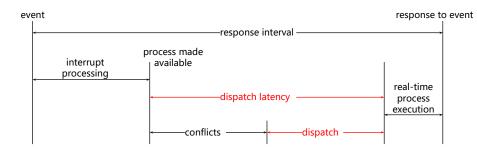


Real-Time Scheduling

Real-Time Scheduling

- Hard real-time systems required to complete a critical task within a guaranteed amount of time
- Soft real-time computing requires that critical processes receive priority over less fortunate ones
- OS
 - priority scheduling
 - short dispatch latency
- approaches for short dispatch latency
 - preemption
 - ① preemption point (抢占点) in system calls with long period
 - preemptible kernel
 - priority inversion
 - priority-inheritance protocol
 - priority-ceiling protocol

dispatch latency



conflicts=preemption + resource releasing by processes with lower priority

- OS examples
 - Linux Scheduling
 - uC/os-II scheduling

OS examples

READING

- Solaris (thread)
- Windows (thread)
- ▶ Linux (process)√
- $ightharpoonup \mu C/OS II \sqrt{}$

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Linux Scheduling

- Linux is a general-purpose OS
 - Processes: time-sharing/real-time
 - Linux scheduler is both time-sharing-based and priority-based
 - With the changing of version, time-sharing technique changes too
- Scheduling policy:

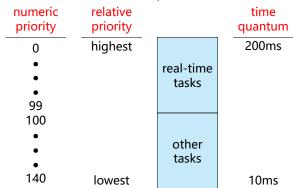
是一组规则,它们决定什么时候以怎样的方式选择一个新进程运 行。

Linux 2.6.26中

- SCHED NORMAL
- SCHED_FIFO (for real-time process)
- SCHED_RR (for real-time process)
- SCHED BATCH
- SCHED IDLE

Priorities

- The Linux scheduler: preemptive, priority-based
 - two seperate priority ranges: lower value ≡ higher priority real-time range: 0~99 a nice value rang: 100~140
- higher-priority ⇒ longer time quanta (Unlike Solaris and Windows XP)



The Relationship Between Priorities and Time-slice length List of Tasks



Priorities

- The Linux scheduler: preemptive, priority-based
 - two seperate priority ranges: lower value ≡ higher priority real-time range: 0~99 a nice value rang: 100~140
- higher-priority ⇒ longer time quanta (Unlike Solaris and Windows XP)
- Dynamic priorities: scheduler may change the priority of a process
 - ▶ 较长时间未分配到CPU的进程,通常↑
 - ▶ 已经在CPU上运行了较长时间的进程,通常↓

Linux scheduling algorithms

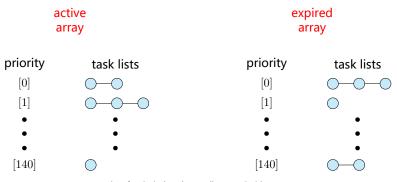
- Linux 2.4 scheduler
 - need to traverse the runqueue, O(n)

► Epoch, default time slice (基本时间片), dynamic priorities



Linux scheduling algorithms

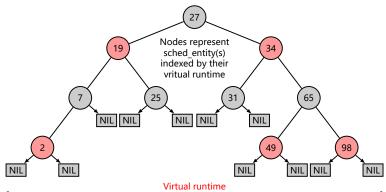
- Linux 2.6.17 scheduler (<2.6.23)
 - ► O(1)
 - ▶ Double priority-based arrays (双队列): active & expire



List of tasks indexed according to priorities

Linux scheduling algorithms

- Linux 2.6.26 scheduler (≥2.6.23)
 - **▶** 0(1)
 - ▶ non-real-time: Complete-Fair-Scheduling(CFS, 完全公平调度), vruntime, red-black tree (红黑树)
 - real-time: priority arrays



Most need of CPU Least need of CPU

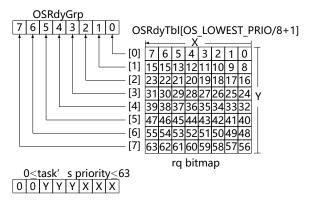
Outline

- OS examples
 - Linux Scheduling
 - uC/os-II scheduling



uC/os-II scheduling

- Priority-based scheduler
 - MAX Tasks: 64
 - priority number: 0~63



Outline

Algorithm Evaluation



Algorithm Evaluation

- How do we select a CPU scheduling algorithm for a particular system?
 - firstly, which criteria? What is the relative importance of these measures
 - then, evaluate the algorithms
 - Deterministic Modeling(确定性建模)
 - ② Queueing Models(排队模型)
 - ③ Simulations(模拟)
 - Implementation

1. Deterministic Modeling(确定性建模) I

- Analytic evaluation(分析评估法): One major class of evaluation methods
 - uses the given algorithm and the system workload to produce a formula or number that evaluates the performance of the algorithm for that workload.
- Deterministic modeling(确定性建模) takes a particular predetermined workload and defines the performance of each algorithm for that workload
- Example Consider FCFS, SJF, and RR (quantum=10ms)

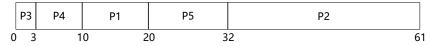
Process	BurstTime
P1	10
P2	29
P3	3
P4	7
P5	12

1. Deterministic Modeling(确定性建模) II

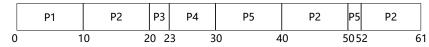
• FCFS: average waiting time =(0+10+39+42+49)/5=28



2 SJF: average waiting time =(10+32+0+3+20)/5=13



RR: average waiting time =(0+(10+20+2)+20+23+(30+10))/ 5=23



- advantages and disadvantages
 - ▶ 确定性 vs. 适用性和实用性

2. Queueing Models(排队模型)

- Usually, two distributions can be measured and then approximated or simply estimated
 - the distribution of CPU and I/O bursts
 - the arrival-time distribution
- Queueing-network analysis(排队网络分析)
 - Computer System: a network of servers, each server has a queue of waiting processes
 - CPU: ready queue;
 - ★ I/O: device queues (=waiting queue)
 - ► Given arriving rates and service rates ⇒utilization, average queue length, average wait time, ...

2. Queueing Models(排队模型)

- Example:
 - n: the average queue length
 - W: the average waiting time
 - \bigcirc λ : the average arrival rate

for a steady waits (Little formula, Little公式):

$$\mathbf{n} = \lambda \times \mathbf{W}$$

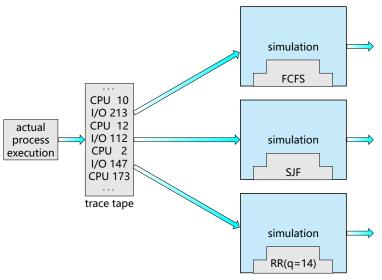
- Little formula is particularly useful because it is valid for any scheduling algorithm and arrival distribution.
- ▶ If we know two of the three variables, we can use Little formula to compute the other one.

3. Simulations(模拟) I

- Running simulations involves programming a model of the computer system.
 - Software data structures represent the major components
 - ★ a clock
 - the system state is modified to reflect the activities of the devices, the processes and the scheduler.
 - finally, the statictics are gathered
- How to generate the data to drive the simulation?
 - distribution-driven simulation
 - ramdon-number generator, according to probability distributions, to generate processes, CPU burst times, arrivals, departures, ...
 - the distributions can be defined mathematically(uniform, exponential, Poisson) or empirically
 - may be inaccurate
 - ▶ trace tapes(跟踪磁带)



3. Simulations(模拟) II



eveluation of CPU schedulers by simulation

4. Implementation

- This approach put the actual algorithm in the real system for evaluation under real operating conditions
- the main difficulty: high cost

Outline





- 八结 Basic Concepts
 - CPU-I/O Burst Cycle
 - CPU Scheduler
 - Preemptive Scheduling
 - Dispatcher
- Scheduling Criteria
 - Scheduling Criteria
- Scheduling Algorithms
 - FCFS(先来先服务) Scheduling
 - SJF(短作业优先) Scheduling
 - Priority Scheduling
 - Round Robin(时间片轮转) Scheduling
 - Multilevel Queue (多级队列) Scheduling
 - Multilevel Feedback Queue (多级反馈队列) Scheduling
- Multiple-Processor Scheduling
 - Real-Time Scheduling
 - OS examples
 - Linux Scheduling
 - uC/os-II scheduling
 - Algorithm Evaluation
- 8 小结

Thank you! Any question?

