

OPERATING SYSTEM CONCEPTS

Chapter 3. Processes

A/Prof. Kai Dong

What Is the Output?

```
/* cpu.c */
    int main(int argc, char *argv[]) {
3
             if (argc != 2) {
4
                      fprintf(stderr, "usage: cpu <string >\n");
5
                      exit (1):
6
             char *str = argv[1];
8
             while (1) {
9
                      spin (1);
10
                      printf("%s\n", str);
11
12
             return 0:
13
```

What Is the Output?

```
/* cpu.c */
    int main(int argc, char *argv[]) {
3
             if (argc != 2) {
4
                      fprintf(stderr, "usage: cpu <string >\n");
5
                      exit (1):
6
             char *str = argv[1];
8
             while (1) {
                      spin (1);
9
10
                      printf("%s\n", str);
11
12
             return 0:
13
```

```
1 prompt> gcc —o cpu cpu.c —Wall
2 prompt> ./cpu A
```

What Is the Output?

```
/* cpu.c */
    int main(int argc, char *argv[]) {
3
             if (argc != 2) {
4
                      fprintf(stderr, "usage: cpu <string >\n");
5
                      exit (1):
6
             char *str = argv[1];
             while (1) {
8
                      spin (1);
9
10
                      printf("%s\n", str);
11
12
             return 0:
13
```

```
1 prompt> gcc —o cpu cpu.c —Wall
2 prompt> ./cpu A

1 A
2 A
3 A
4 A
5 ^C
6 prompt>
```

2

What Is the Output? (contd.)

```
/* cpu.c */
    int main(int argc, char *argv[]) {
3
             if (argc != 2) {
4
                      fprintf(stderr, "usage: cpu <string >\n");
5
                      exit (1):
6
             char *str = argv[1];
             while (1) {
8
                      spin (1);
9
                      printf("%s\n", str);
10
11
             return 0:
12
13
```

```
prompt> gcc —o cpu cpu.c —Wall prompt> ./cpu A & ; ./cpu B & ; ./cpu C & ; ./cpu D &
```

What Is the Output? (contd.)



```
prompt> gcc —o cpu cpu.c —Wall
prompt> ./cpu A & ; ./cpu B & ; ./cpu C & ; ./cpu D &

[1] 7353
[2] 7354
[3] 7355
[4] 7356
5 A
6 B
7 D
```

- - How to implement virtualization of the CPU? The OS will need both some low-level machinery (mechanisms) as well as some high-level intelligence (policies).
 - The time-sharing mechanism (context switch) + scheduling policy — By running one process, then stopping it and running another, and so forth.
- The process (or job) is one of the most fundamental abstractions that the OS provides to users.

Objectives



- To introduce the notion of a process a program in execution, which forms the basis of all computation.
- To describe the various features of processes, including scheduling, creation and termination, and communication.
- To explore interprocess communication using shared memory and message passing.
- To describe communication in client-server systems.

Contents

東南大學 前京

- 1. Process Concept
- 2. Process Scheduling
- 3. Operations on Processes
- 4. Interprocess Communication
- 5. Communication in Client-Server Systems

Contents

- 1. Process Concept
- Process Scheduling
- Operations on Processes
- 4. Interprocess Communication
- 5. Communication in Client-Server Systems



- An operating system executes a variety of programs:
 - Batch system jobs
 - Time-shared systems user programs or tasks
- Textbook uses the terms job and process almost interchangeably

- An operating system executes a variety of programs:
 - Batch system jobs
 - Time-shared systems user programs or tasks
- Textbook uses the terms job and process almost interchangeably
- Process a program in execution; process execution must progress in sequential fashion

- An operating system executes a variety of programs:
 - Batch system jobs
 - Time-shared systems user programs or tasks
- Textbook uses the terms job and process almost interchangeably
- Process a program in execution; process execution must progress in sequential fashion
- Multiple parts (machine state of the running program)

- An operating system executes a variety of programs:
 - Batch system jobs
 - Time-shared systems user programs or tasks
- Textbook uses the terms job and process almost interchangeably
- Process a program in execution; process execution must progress in sequential fashion
- Multiple parts (machine state of the running program)
 - The program code, also called text section

- An operating system executes a variety of programs:
 - Batch system jobs
 - Time-shared systems user programs or tasks
- Textbook uses the terms job and process almost interchangeably
- Process a program in execution; process execution must progress in sequential fashion
- Multiple parts (machine state of the running program)
 - The program code, also called text section
 - Current activity including program counter, processor registers

- An operating system executes a variety of programs:
 - Batch system jobs
 - Time-shared systems user programs or tasks
- Textbook uses the terms job and process almost interchangeably
- Process a program in execution; process execution must progress in sequential fashion
- Multiple parts (machine state of the running program)
 - The program code, also called text section
 - Current activity including program counter, processor registers
 - Stack containing temporary data
 - » Function parameters, return addresses, local variables

- An operating system executes a variety of programs:
 - Batch system jobs
 - Time-shared systems user programs or tasks
- Textbook uses the terms job and process almost interchangeably
- Process a program in execution; process execution must progress in sequential fashion
- Multiple parts (machine state of the running program)
 - The program code, also called text section
 - Current activity including program counter, processor registers
 - Stack containing temporary data
 - » Function parameters, return addresses, local variables
 - Data section containing global variables

- An operating system executes a variety of programs:
 - Batch system jobs
 - Time-shared systems user programs or tasks
- Textbook uses the terms job and process almost interchangeably
- Process a program in execution; process execution must progress in sequential fashion
- Multiple parts (machine state of the running program)
 - The program code, also called text section
 - Current activity including program counter, processor registers
 - Stack containing temporary data
 - » Function parameters, return addresses, local variables
 - Data section containing global variables
 - Heap containing memory dynamically allocated during run time

Process Vs. Program



- Program is passive entity stored on disk (executable file), process is active
 - Program becomes process when executable file loaded into memory

Process Vs. Program



- Program is passive entity stored on disk (executable file), process is active
 - Program becomes process when executable file loaded into memory
- Execution of program started via GUI mouse clicks, command line entry of its name, etc

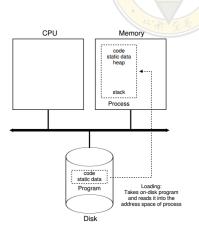
Process Vs. Program



- Program is passive entity stored on disk (executable file), process is active
 - Program becomes process when executable file loaded into memory
- Execution of program started via GUI mouse clicks, command line entry of its name, etc
- One program can be several processes
 - Consider multiple users executing the same program

Loading: From Program To Process

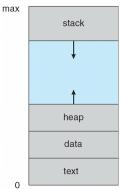
- How programs are transformed into processes?
 - Loading (eagerly or lazily)
 - Paging and swapping
 - Allocation of stack and heap
 - IO initialization



Process in Memory

```
/* main.c */
    int a = 0:
2
    char *p1;
3
    int main(int argc, char *argv[]) {
4
5
             int b:
6
             char s[] = "abc":
             char *p2;
             char *p3 = "123456";
8
             p1 = (char *) malloc(10);
9
10
             p2 = (char *) malloc(20);
11
             return 0;
12
```

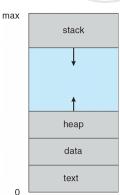




Process in Memory



```
/* main.c */
    int a = 0; // data
    char *p1;
3
4
    int main(int argc, char *argv[]) {
            int b;
            char s[] = "abc"; // stack
6
            char *p2:
            char *p3 = "123456"; // stack
8
            p1 = (char *) malloc(10); // heap
9
            p2 = (char *) malloc(20); // heap
10
11
            return 0;
12
```



Process State



• new: The process is being created



- new: The process is being created
- running: Instructions are being executed



- new: The process is being created
- running: Instructions are being executed
- waiting: The process is waiting for some event to occur



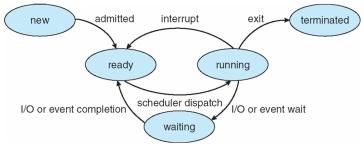
- new: The process is being created
- running: Instructions are being executed
- waiting: The process is waiting for some event to occur
- ready: The process is waiting to be assigned to a processor



- new: The process is being created
- running: Instructions are being executed
- waiting: The process is waiting for some event to occur
- ready: The process is waiting to be assigned to a processor
- terminated: The process has finished execution

Diagram of Process State





- Review: Motivating processes, why not programs?
 - Can a program be stopped and then be run again?

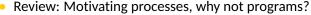


- Review: Motivating processes, why not programs?
 - Can a program be stopped and then be run again?
 - » No, unless we can record the machine state of the running program.
 - » A process can be viewed as a running program with machine states.



- Review: Motivating processes, why not programs?
 - Can a program be stopped and then be run again?
 - » No, unless we can record the machine state of the running program.
 - A process can be viewed as a running program with machine states.
- OS is a program, so it has some key data structures that track the state of each process.





- Can a program be stopped and then be run again?
 - » No, unless we can record the machine state of the running program.
 - » A process can be viewed as a running program with machine states.
- OS is a program, so it has some key data structures that track the state of each process.
 - Process lists for all ready / running / waiting processes.



- Review: Motivating processes, why not programs?
 - Can a program be stopped and then be run again?
 - » No, unless we can record the machine state of the running program.
 - » A process can be viewed as a running program with machine states.
- OS is a program, so it has some key data structures that track the state of each process.
 - Process lists for all ready / running / waiting processes.
 - What else? Types of information an OS needs to track processes.





- Can a program be stopped and then be run again?
 - » No, unless we can record the machine state of the running program.
 - » A process can be viewed as a running program with machine states.
- OS is a program, so it has some key data structures that track the state of each process.
 - Process lists for all ready / running / waiting processes.
 - What else? Types of information an OS needs to track processes.
- Process Control Block An example: xv6 kernel



Process Control Block

```
// the registers
    struct context {
            int eip: // Program counter / instruction pointer
3
4
            int esp. ebx. ecx. edx. esi. edi. ebp: // Other registers
    };
6
    // the different states a process can be in
7
    enum proc state { UNUSED, EMBRYO, SLEEPING, RUNNABLE, RUNNING, ZOMBIE };
    // the information xv6 tracks about each process
10
11
    struct proc {
12
            char *mem; // Start of process memory
13
            uint sz: // Size of process memory
            char *kstack: // Bottom of kernel stack for this process
14
15
            enum proc state state; // Process state
            int pid; // Process ID
16
17
            struct proc *parent: // Parent process
18
            void *chan; // If non-zero, sleeping on chan
            int killed: // If non-zero have been killed
19
            struct file * ofile[NOFILE]: // Open files
            struct inode *cwd: // Current directory
21
22
            struct context context: // Switch here to run process
            struct trapframe *tf: // Trap frame for the current interrupt
24
```

Process Execution — Direct Execution



• Any problem?

OS	Program
(kernel mode)	
create entry for process list	
allocate memory for program	
load program into memory	
set up stack with argc/argv	
clear registers	
execute call main()	
	run main()
	execute return from main
free memory of process	
remove from process list	





- Direct execution is fast, but
- Two problems with direct execution
- Protection
 - How can the OS make sure the program doesn't do anything that we don't want it to do?



- Direct execution is fast, but
- Two problems with direct execution
- Protection
 - How can the OS make sure the program doesn't do anything that we don't want it to do?
 - Protection via dual mode and system call.



- Direct execution is fast, but
- Two problems with direct execution
- Protection
 - How can the OS make sure the program doesn't do anything that we don't want it to do?
 - Protection via dual mode and system call.
- Time sharing
 - How does the operating system stop it from running and switch to another process?



- Direct execution is fast, but
- Two problems with direct execution
- Protection
 - How can the OS make sure the program doesn't do anything that we don't want it to do?
 - Protection via dual mode and system call.
- Time sharing
 - How does the operating system stop it from running and switch to another process?
 - Time sharing via context switch.



OS @boot	Hardware
(kernel mode)	
initialize trap table	
	remember addresses of
	system call handler
	timer handler
	illegal instruction handler
start interrupt timer	
	start timer; interrupt after X ms

Process Execution — Protection

OS @run	Hardware	Program
(kernel mode)		(user mode)
create entry for process list		
allocate memory for program		
load program into memory		
set up user stack with argv		
fill kernel stack with reg/PC		
return-from-trap		
	move to user mode	
		run main()
		call system call
		trap into OS
		to be contd.

remove from process list

Process Execution — Protection (contd.)

OS @run	Hardware	Program
(kernel mode)		(user mode)
		call system call
		trap into OS
	save regs to kernel stack	
	move to kernel mode	
	jump to system call handler	
handle trap		
return-from-trap		
	restore regs from kernel stack	
	move to user mode	
	jump to PC after trap	
		return from main trap (via exit())
free memory of process		



- Switching Between Processes
 - OS regains control of the CPU via the timer interrupt.



- Switching Between Processes
 - OS regains control of the CPU via the timer interrupt.
 - Discussion: why hardware interrupt instead of software trap?



- Switching Between Processes
 - OS regains control of the CPU via the timer interrupt.
 - Discussion: why hardware interrupt instead of software trap?
- Whether to switch is decided by the scheduler in OS.



- Switching Between Processes
 - OS regains control of the CPU via the timer interrupt.
 - Discussion: why hardware interrupt instead of software trap?
- Whether to switch is decided by the scheduler in OS.
- Context switch saving and restoring context.

Process Execution — Time-sharing (contd.)



OS @boot	Hardware
(kernel mode)	
initialize trap table	
	remember addresses of system call handler timer handler illegal instruction handler
start interrupt timer	
	start timer interrupt CPU in X ms

Process Execution — Time-sharing (contd.)

OS @run (kernel mode) Hardware

Program (user mode)

Process A

timer interrupt

save regs(A) to k-stack(A) move to kernel mode jump to timer handler

handle trap
call switch() routine
save regs(A) to PCB(A)
restore regs(B) from PCB(B)
switch to k-stack(B)
return-from-trap (into B)

restore regs(B) from k-stack(B) move to user mode jump to B's PC

Process B

•••

Register Saves/Restores



• Where is the context stored in a context switch?



- Where is the context stored in a context switch?
 - Somewhere in the memory



- Where is the context stored in a context switch?
 - Somewhere in the memory
- Two types of register saves/restores:



- Where is the context stored in a context switch?
 - Somewhere in the memory
- Two types of register saves/restores:
- When the (timer) interrupt occurs
 - User registers are implicitly saved by the hardware, into the kernel stack.



- Where is the context stored in a context switch?
 - Somewhere in the memory
- Two types of register saves/restores:
- When the (timer) interrupt occurs
 - User registers are implicitly saved by the hardware, into the kernel stack.
- When the OS decides to switch
 - Kernel registers are explicitly saved by the OS, into the PCB in memory.



- What if one interrupt occurs during another interrupt or trap handling?
 - Especially when the kernel stack is not saved into PCB in memory.



- What if one interrupt occurs during another interrupt or trap handling?
 - Especially when the kernel stack is not saved into PCB in memory.
 - If you understand this problem, you are now thinking about concurrency issues.



- What if one interrupt occurs during another interrupt or trap handling?
 - Especially when the kernel stack is not saved into PCB in memory.
 - If you understand this problem, you are now thinking about concurrency issues.
- Basically, disable interrupts during interrupt processing.



- What if one interrupt occurs during another interrupt or trap handling?
 - Especially when the kernel stack is not saved into PCB in memory.
 - If you understand this problem, you are now thinking about concurrency issues.
- Basically, disable interrupts during interrupt processing.
 - More details? In future lectures on concurrency.

Threads



• So far, process has a single thread of execution



- So far, process has a single thread of execution
- Consider having multiple program counters per process



- So far, process has a single thread of execution
- Consider having multiple program counters per process
 - Multiple locations can execute at once



- So far, process has a single thread of execution
- Consider having multiple program counters per process
 - Multiple locations can execute at once
 - Multiple threads of control → threads



- So far, process has a single thread of execution
- Consider having multiple program counters per process
 - Multiple locations can execute at once
 - Multiple threads of control → threads
- Must then have storage for thread details, multiple program counters in PCB



- So far, process has a single thread of execution
- Consider having multiple program counters per process
 - Multiple locations can execute at once
 - Multiple threads of control → threads
- Must then have storage for thread details, multiple program counters in PCB
- Will be detailed in 4

Contents

- 1. Process Concept
- 2. Process Scheduling
- Operations on Processes
- 4. Interprocess Communication
- 5. Communication in Client-Server Systems





 Maximize CPU use, quickly switch processes onto CPU for time sharing

- 東南大學
- Maximize CPU use, quickly switch processes onto CPU for time sharing
- Process scheduler selects among available processes for next execution on CPU



- Maximize CPU use, quickly switch processes onto CPU for time sharing
- Process scheduler selects among available processes for next execution on CPU
- Maintains scheduling queues of processes

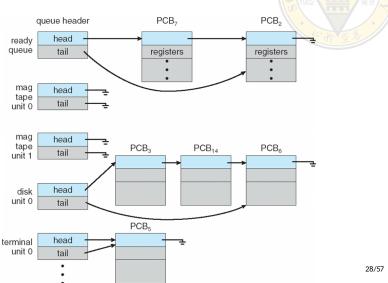


- Maximize CPU use, quickly switch processes onto CPU for time sharing
- Process scheduler selects among available processes for next execution on CPU
- Maintains scheduling queues of processes
 - Job queue set of all processes in the system
 - Ready queue set of all processes residing in main memory, ready and waiting to execute
 - Device queues set of processes waiting for an I/O device



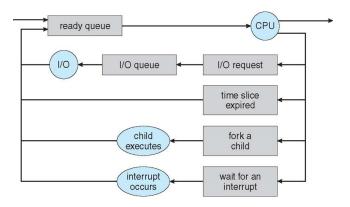
- Maximize CPU use, quickly switch processes onto CPU for time sharing
- Process scheduler selects among available processes for next execution on CPU
- Maintains scheduling queues of processes
 - Job queue set of all processes in the system
 - Ready queue set of all processes residing in main memory, ready and waiting to execute
 - Device queues set of processes waiting for an I/O device
 - Processes migrate among the various queues

Ready Queue And Various I/O Device Queues



Representation of Process Scheduling

Queuing diagram represents queues, resources, flows



Schedulers — Short-term Scheduler



 Short-term scheduler (or CPU scheduler) – selects which process should be executed next and allocates CPU

Schedulers — Short-term Scheduler



- Short-term scheduler (or CPU scheduler) selects which process should be executed next and allocates CPU
 - Sometimes the only scheduler in a system

Schedulers — Short-term Scheduler



- Short-term scheduler (or CPU scheduler) selects which process should be executed next and allocates CPU
 - Sometimes the only scheduler in a system
 - Short-term scheduler is invoked frequently (milliseconds) → (must be fast)

Schedulers — Short-term Scheduler



- Short-term scheduler (or CPU scheduler) selects which process should be executed next and allocates CPU
 - Sometimes the only scheduler in a system
 - Short-term scheduler is invoked frequently (milliseconds) → (must be fast)
- Will be detailed in Chapter 5

Schedulers — Long-term scheduler

 Long-term scheduler (or job scheduler) — selects which processes should be brought into the ready queue

- Long-term scheduler (or job scheduler) selects which processes should be brought into the ready queue
 - Long-term scheduler is invoked infrequently (seconds, minutes)
 - \rightarrow (may be slow)

- Long-term scheduler (or job scheduler) selects which processes should be brought into the ready queue
 - Long-term scheduler is invoked infrequently (seconds, minutes)
 → (may be slow)
 - The long-term scheduler controls the degree of multiprogramming

- Long-term scheduler (or job scheduler) selects which processes should be brought into the ready queue
 - Long-term scheduler is invoked infrequently (seconds, minutes)
 → (may be slow)
 - The long-term scheduler controls the degree of multiprogramming
- Processes can be described as either:
 - I/O-bound process spends more time doing I/O than computations, many short CPU bursts
 - CPU-bound process spends more time doing computations;
 few very long CPU bursts

- Long-term scheduler (or job scheduler) selects which processes should be brought into the ready queue
 - Long-term scheduler is invoked infrequently (seconds, minutes)
 → (may be slow)
 - The long-term scheduler controls the degree of multiprogramming
- Processes can be described as either:
 - I/O-bound process spends more time doing I/O than computations, many short CPU bursts
 - CPU-bound process spends more time doing computations;
 few very long CPU bursts
- Long-term scheduler strives for good process mix

Schedulers — Medium-term scheduler

 Medium-term scheduler can be added if degree of multiple programming needs to decrease

Schedulers — Medium-term scheduler

- Medium-term scheduler can be added if degree of multiple programming needs to decrease
 - Remove process from memory, store on disk, bring back in from disk to continue execution: swapping

Schedulers — Medium-term scheduler

- Medium-term scheduler can be added if degree of multiple programming needs to decrease
 - Remove process from memory, store on disk, bring back in from disk to continue execution: swapping

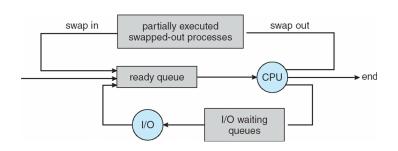
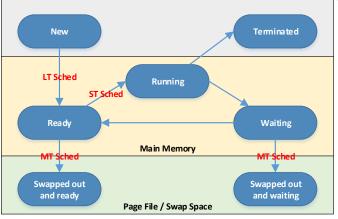


Diagram of Process State with Schedulers





Contents

- 1. Process Concept
- 2. Process Scheduling
- 3. Operations on Processes
- 4. Interprocess Communication
- 5. Communication in Client-Server Systems





- System must provide mechanisms for:
 - process creation,
 - process termination,
 - and so on as detailed next



- Parent process create children processes, which, in turn create other processes, forming a tree of processes
- Generally, process identified and managed via a process identifier (pid)

- Parent process create children processes, which, in turn create other processes, forming a tree of processes
- Generally, process identified and managed via a process identifier (pid)
- Resource sharing options
 - Parent and children share all resources
 - Children share subset of parent's resources
 - Parent and child share no resources

- Parent process create children processes, which, in turn create other processes, forming a tree of processes
- Generally, process identified and managed via a process identifier (pid)
- Resource sharing options
 - Parent and children share all resources
 - Children share subset of parent's resources
 - Parent and child share no resources
- Execution options
 - Parent and children execute concurrently
 - Parent waits until children terminate



Process Creation (contd.)

- Address space
 - Child duplicate of parent
 - Child has a program loaded into it



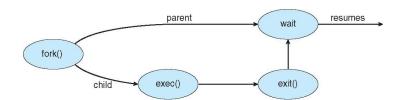
Process Creation (contd.)

- Address space
 - Child duplicate of parent
 - Child has a program loaded into it
- UNIX examples
 - fork() system call creates new process
 - exec() system call used after a fork() to replace the process' memory space with a new program



Process Creation (contd.)

- Address space
 - Child duplicate of parent
 - Child has a program loaded into it
- UNIX examples
 - fork() system call creates new process
 - exec() system call used after a fork() to replace the process' memory space with a new program





C Program Forking Separate Process

```
#include <sys/types.h>
    #include <stdio h>
    #include <unistd h>
 4
    int main(int argc, char *argv[]) {
             pid t pid:
6
             pid = fork();
8
             if (pid < 0) { // error occurred
                      fprintf(stderr, "Fork Failed");
9
10
                     return 1:
11
             else if (pid == 0) { // child process
                      execlp("/bin/ls", "ls", NULL):
14
             else { // parent process
                      wait (NULL):
16
                      printf("Child Complete");
18
             return 0:
19
20
```

Process Termination



- Process executes the last statement and then asks the operating system to delete it using the exit() system call.
 - Returns status data from child to parent (via wait())
 - Process' resources are deallocated by operating system

Process Termination



- Process executes the last statement and then asks the operating system to delete it using the exit() system call.
 - Returns status data from child to parent (via wait())
 - Process' resources are deallocated by operating system
- Parent may terminate the execution of children processes using the abort() system call. Some reasons for doing so:
 - Child has exceeded allocated resources
 - Task assigned to child is no longer required
 - The parent is exiting and the operating systems does not allow a child to continue if its parent terminates

Process Termination (contd.)

- Some operating systems do not allow child to exists if its parent
 has terminated. If a process terminates, then all its children
 must also be terminated.
 - cascading termination. All children, grandchildren, etc. are terminated.
 - The termination is initiated by the operating system.

Process Termination (contd.)

- Some operating systems do not allow child to exists if its parent has terminated. If a process terminates, then all its children must also be terminated.
 - cascading termination. All children, grandchildren, etc. are terminated.
 - The termination is initiated by the operating system.
- The parent process may wait for termination of a child process by using the wait()system call. The call returns status information and the pid of the terminated process

```
pid = wait(&status);
```

Process Termination (contd.)

- Some operating systems do not allow child to exists if its parent has terminated. If a process terminates, then all its children must also be terminated.
 - cascading termination. All children, grandchildren, etc. are terminated.
 - The termination is initiated by the operating system.
- The parent process may wait for termination of a child process by using the wait()system call. The call returns status information and the pid of the terminated process

```
pid = wait(&status);
```

If no parent waiting (did not invoke wait()), process is a zombie

Process Termination (contd.)

- Some operating systems do not allow child to exists if its parent has terminated. If a process terminates, then all its children must also be terminated.
 - cascading termination. All children, grandchildren, etc. are terminated.
 - The termination is initiated by the operating system.
- The parent process may wait for termination of a child process by using the wait()system call. The call returns status information and the pid of the terminated process

```
1 pid = wait(&status);
```

- If no parent waiting (did not invoke wait()), process is a zombie
- If parent terminated without invoking wait(), process is an orphan

40/57

In Class Exercise

```
int value = 5.
    int main(int argc, char *argv[]) {
3
            pid t pid;
            pid = fork():
4
             if (pid == 0) {
                     printf("child process, value1 : %d\n", value);
6
                     value += 15:
8
                     printf("child process, value2; %d\n", value);
9
10
             else if (pid > 0) {
                     printf("parent process. value3 : %d\n". value):
11
                     wait (NULL);
12
                     printf("parent process, value4 : %d\n", value);
14
            exit(0):
15
16
```

• What is the output?

Motivating the APIs

```
/* p1.c */
    int main(int argc. char *argv[]) {
             printf("hello world (pid:%d)\n", (int) getpid());
3
4
             int rc = fork():
             if (rc < 0) {
6
                     fprintf(stderr . "fork failed\n"):
                     exit (1);
8
             else if (rc == 0) {
9
                     printf ("hello, I am child (pid:%d)\n", (int) getpid());
                     char *myargs[3];
11
                     mvargs[0] = strdup("wc"):
                     mvargs[1] = strdup("p1.c"):
                     myargs[2] = NULL;
14
15
                     execvp(myargs[0], myargs);
                     printf("this shouldn't print out"):
16
             else {
18
                     int wc = wait(NULL):
19
                     printf("hello, I am parent of %d (wc:%d) (pid:%d)\n", rc, wc
                           , (int) getpid());
21
             return 0:
23
```

Motivating the APIs (contd.)

```
東南大學 東南大學 南京
```

```
1 prompt> ./p1
2 hello world (pid:29383)
3 hello, I am child (pid:29384)
4 29 107 1030 p1.c
5 hello, I am parent of 29384 (wc:29384) (pid:29383)
6 prompt>
```

- Motivating The API
- Available to run code after the call to fork() but before the call to exec().

```
1 prompt> wc p3.c > newfile
```

Redirection

```
/* p2.c */
2
    int main(int argc, char *argv[]) {
3
             printf("hello world (pid:%d)\n", (int) getpid());
            int rc = fork():
4
            if (rc < 0) {
                     fprintf(stderr, "fork failed\n");
6
                     exit(1):
8
9
             else if (rc == 0) {
                     /****** from here ********/
10
                     close (STDOUT_FILENO);
11
12
                     open ("./p2.output", O CREAT O WRONLY O TRUNC, S IRWXU);
                     /******* to here ********/
14
                     char *mvargs[3]:
                     myargs[0] = strdup("wc");
16
                     myargs[1] = strdup("p2.c");
17
                     myargs[2] = NULL;
18
                     execvp(myargs[0], myargs);
                     printf("this shouldn't print out");
19
21
             else {
                     int wc = wait(NULL):
24
            return 0:
25
```

Contents

- 1. Process Concept
- 2. Process Scheduling
- 3. Operations on Processes
- 4. Interprocess Communication
- 5. Communication in Client-Server Systems



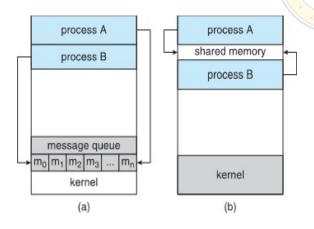
- Processes within a system may be independent or cooperating
- Cooperating process can affect or be affected by other processes, including sharing data

- Processes within a system may be independent or cooperating
- Cooperating process can affect or be affected by other processes, including sharing data
- Reasons for cooperating processes:
 - Information sharing
 - Computation speedup
 - Modularity
 - Convenience

- Processes within a system may be independent or cooperating
- Cooperating process can affect or be affected by other processes, including sharing data
- Reasons for cooperating processes:
 - Information sharing
 - Computation speedup
 - Modularity
 - Convenience
- Cooperating processes need interprocess communication (IPC)

- Processes within a system may be independent or cooperating
- Cooperating process can affect or be affected by other processes, including sharing data
- Reasons for cooperating processes:
 - Information sharing
 - Computation speedup
 - Modularity
 - Convenience
- Cooperating processes need interprocess communication (IPC)
- Two models of IPC
 - Shared memory
 - Message passing

Message Passing & Shared Memory



Message passing & Shared memory

Producer-Consumer Problem — Bounded Buffer — Shared-Memory Solution

```
/* Solution is correct, but can only use BUFFER_SIZE - 1 elements */
   #define BUFFER SIZE 10
   typedef struct { ... } item;
   item buffer[BUFFER SIZE]:
4
5
   int in = 0:
   int out = 0;
   /* producer */
   item next produced;
   while (true) {
3
            while (((in + 1) % BUFFER SIZE) == out);
            buffer[in] = next produced;
6
            in = (in + 1) % BUFFER SIZE:
   /* consumer */
   item next consumed;
   while (true) {
            while (in == out) :
4
            next consumed = buffer[out];
6
            out = (out + 1) % BUFFER SIZE;
7
```



 An area of memory shared among the processes that wish to communicate



- An area of memory shared among the processes that wish to communicate
- The communication is under the control of the users processes not the operating system.



- An area of memory shared among the processes that wish to communicate
- The communication is under the control of the users processes not the operating system.
- Major issues is to provide mechanism that will allow the user processes to synchronize their actions when they access shared memory.



- An area of memory shared among the processes that wish to communicate
- The communication is under the control of the users processes not the operating system.
- Major issues is to provide mechanism that will allow the user processes to synchronize their actions when they access shared memory.
- Synchronization will be discussed in great details in Chapter 5.

Message Passing



 Mechanism for processes to communicate and to synchronize their actions

Message Passing



- Mechanism for processes to communicate and to synchronize their actions
- Message system processes communicate with each other without resorting to shared variables

Message Passing



- Mechanism for processes to communicate and to synchronize their actions
- Message system processes communicate with each other without resorting to shared variables
- IPC facility provides two operations:
 - send(message)
 - receive(message)

Message Passing



- Mechanism for processes to communicate and to synchronize their actions
- Message system processes communicate with each other without resorting to shared variables
- IPC facility provides two operations:
 - send(message)
 - receive(message)
- The message size is either fixed or variable

Message Passing — Synchronization

- Message passing may be either blocking or non-blocking
- Blocking is considered synchronous
 - Blocking send the sender is blocked until the message is received
 - Blocking receive the receiver is blocked until a message is available
- Non-blocking is considered asynchronous
 - Non-blocking send the sender sends the message and continue
 - Non-blocking receive the receiver receives:
 - » A valid message, or
 - » Null message
- Different combinations possible
 - If both send and receive are blocking, we have a rendezvous

Producer-Consumer Problem — Message Passing Solution

Message Passing Vs. Shared Memory

• Which is better?



- Which is better?
- Message passing is useful for exchanging smaller amounts of data, because no conflicts need be avoided.



- Which is better?
- Message passing is useful for exchanging smaller amounts of data, because no conflicts need be avoided.
- Message passing is easier to implement in a distributed system than shared memory.

- Which is better?
- Message passing is useful for exchanging smaller amounts of data, because no conflicts need be avoided.
- Message passing is easier to implement in a distributed system than shared memory.
- Shared memory can be faster than message passing



- Which is better?
- Message passing is useful for exchanging smaller amounts of data, because no conflicts need be avoided.
- Message passing is easier to implement in a distributed system than shared memory.
- Shared memory can be faster than message passing
 - Message-passing systems are typically implemented using system calls and thus require the more time-consuming task of kernel intervention.



- Which is better?
- Message passing is useful for exchanging smaller amounts of data, because no conflicts need be avoided.
- Message passing is easier to implement in a distributed system than shared memory.
- Shared memory can be faster than message passing
 - Message-passing systems are typically implemented using system calls and thus require the more time-consuming task of kernel intervention.
 - In shared-memory systems, system calls are required only to establish shared memory regions.



- Which is better?
- Message passing is useful for exchanging smaller amounts of data, because no conflicts need be avoided.
- Message passing is easier to implement in a distributed system than shared memory.
- Shared memory can be faster than message passing
 - Message-passing systems are typically implemented using system calls and thus require the more time-consuming task of kernel intervention.
 - In shared-memory systems, system calls are required only to establish shared memory regions.
- Message passing provides better performance than shared memory in multi-processing systems

- Which is better?
- Message passing is useful for exchanging smaller amounts of data, because no conflicts need be avoided.
- Message passing is easier to implement in a distributed system than shared memory.
- Shared memory can be faster than message passing
 - Message-passing systems are typically implemented using system calls and thus require the more time-consuming task of kernel intervention.
 - In shared-memory systems, system calls are required only to establish shared memory regions.
- Message passing provides better performance than shared memory in multi-processing systems
 - Shared memory suffers from cache coherency issues

- Which is better?
- Message passing is useful for exchanging smaller amounts of data, because no conflicts need be avoided.
- Message passing is easier to implement in a distributed system than shared memory.
- Shared memory can be faster than message passing
 - Message-passing systems are typically implemented using system calls and thus require the more time-consuming task of kernel intervention.
 - In shared-memory systems, system calls are required only to establish shared memory regions.
- Message passing provides better performance than shared memory in multi-processing systems
 - Shared memory suffers from cache coherency issues
- As the number of processing cores on systems increases, it is possible that we will see message passing as the preferred mechanism for IPC.



Contents

- 1. Process Concept
- Process Scheduling
- 3. Operations on Processes
- 4. Interprocess Communication
- 5. Communication in Client-Server Systems



Communication in Client-Server Systems Sockets

- A socket is defined as an endpoint for communication
- Concatenation of IP address and port a number included at start of message packet to differentiate network services on a host
- The socket 161.25.19.8: 1625 refers to port 1625 on host 161.25.19.8
- Communication consists between a pair of sockets
- All ports below 1024 are well known, used for standard services
- Special IP address 127.0.0.1 (loopback) to refer to system on which process is running

Communication in Client-Server Systems Remote Procedure Calls

- Remote procedure call (RPC) abstracts procedure calls between processes on networked systems
- Again uses ports for service differentiation
- Stubs client-side proxy for the actual procedure on the server
- The client-side stub locates the server and marshalls the parameters
- The server-side stub receives this message, unpacks the marshalled parameters, and performs the procedure on the server
- On Windows, stub code compile from specification written in Microsoft Interface Definition Language (MIDL)

Communication in Client-Server Systems *Pipes*

- UNIX pipes are implemented in a similar way, but with the pipe() system call.
 - The output of one process is connected to an in-kernel pipe.
 - The input of another process is connected to that same pipe.

prompt > Is | wc

- Ordinary pipes cannot be accessed from outside the process that created it. Typically, a parent process creates a pipe and uses it to communicate with a child process that it created.
- Named pipes can be accessed without a parent-child relationship.