

Array Signal Processing

Lab Course Exercises

Dr.-Ing. Heinrich Löllmann

`heinrich.loellmann@fau.de`

Prof. Sharon Gannot

`sharon.gannot@biu.ac.il`

Exercise 1: Beampattern of ULA

A uniform linear array (ULA) with $N = 10$ sensors, uniform weights $w_n = \frac{1}{N}$ and sensor spacing $d = \frac{\lambda}{2}$ is considered. The beampattern in the Θ -domain is given by

$$B_{\Theta}(\Theta) = \mathbf{w}^H \mathbf{v}_{\Theta}(\Theta) = \sum_{n=0}^{N-1} w_n^* e^{j(n-n_0) \frac{2\pi d}{\lambda} \cos \Theta}; \quad 0 \leq \Theta \leq \pi.$$

- a) Plot the magnitude of the beampattern in the k_z -, Ψ -, u - and Θ -space in a single MATLAB figure (using the function `subplot`). Plot the beampatterns in a second figure for $N = 11$ and compare the results.

Hint: $\psi = -k_z d = \frac{2\pi}{\lambda} d \cos \Theta = \frac{2\pi}{\lambda} u_z d$

- b) Perform a polar plot of the powerpattern in the Θ -space for $N = 10$ and $N = 11$. Which significant difference can be observed?

Hint: Use the provided MATLAB-function `polardb` and consider a magnitude range of -40 to 0 dB.

- c) Plot the powerpattern in the Θ -space for $0.001 \leq \frac{d}{\lambda} \leq 1$. Compare the plots for broadside and endfire orientation w.r.t. mainlobe width and grating lobes.

Hint: Use the MATLAB-function `imagesc` for this three-dimensional plot.

- d) Perform the previous plots in the Θ -domain for steering angles $\Theta_T \in \{0^\circ, 30^\circ, 60^\circ, 90^\circ\}$. What is the effect of the array steering on the main beam?

Due to sensor failure, $w_n = 0$ for $n \in \{3, 5, 6\}$.

- e) Plot the powerpattern for the array in the ψ -domain with and without sensor failure in a single figure. Discuss the effect of the sensor failure?

Exercise 2: Non-uniform Weighting

An ULA with $N = 11$ sensors and $d = \frac{\lambda}{2}$ is considered. Two different weights are applied

$$w_I(n) = \frac{1}{N}$$

$$w_{II}(n) = \sin\left(\frac{\pi}{2N}\right) \cos\left(\frac{\pi n}{N}\right)$$

with

$$n = -\frac{N-1}{2}, -\frac{N+1}{2}, \dots, \frac{N-1}{2}.$$

Plot in a single figure the u -domain powerpatterns in dB for both weights ($0 \leq u \leq 1$). What is the effect of the non-uniform weighting?

Exercise 3: Half-Power Bandwidth

The angle for the left and right half-power point for a steering angle Θ_t is given by

$$|B_\Theta(\Theta_l, \Theta_t)|^2 = |B_\Theta(\Theta_r, \Theta_t)|^2 = \frac{1}{2}.$$

The half-power beamwidth in the Θ -space is given by

$$\Theta_h = \Theta_r - \Theta_l = \cos^{-1} \left(\cos \theta_t - c_0 \frac{\lambda}{Nd} \right) - \cos^{-1} \left(\cos \theta_t + c_0 \frac{\lambda}{Nd} \right)$$

with

$$c_0 = 0.443 \quad \text{and} \quad \Theta_t^{(l)} \leq \Theta_t \leq \frac{\pi}{2}.$$

The half-power beamwidth for the point where $\Theta_l = 0$ is given by (scan limit)

$$\begin{aligned} \Theta_h^{(l)} &= \cos^{-1} \left(\cos \Theta_t^{(l)} - c_0 \frac{\lambda}{Nd} \right) \\ &= \cos^{-1} \left(1 - 2 c_0 \frac{\lambda}{Nd} \right). \end{aligned}$$

These half-power bandwidths should be plotted in a single figure for different steering angles and $1 \leq \frac{Nd}{\lambda} \leq 1000$. The x -axis is given by $\frac{Nd}{\lambda}$ and the y -axis by the half-power bandwidth in degrees. Both axes should be plotted on a logarithmic scale. The provided MATLAB script `Ex.HPBW_template` plots the half-power bandwidth for the endfire array. Extend this script to plot (in dependence of $\frac{Nd}{\lambda}$) the

- half-power beamwidth for the scan limit $\Theta_h^{(l)}$
- half-power beamwidth for the steering angles $\Theta_t \in \{2.5^\circ, 5^\circ, 10^\circ, 20^\circ, 30^\circ, 45^\circ, 90^\circ\}$.

What can be observed?

Exercise 4: Beampattern of MVDR Beamformer

An MVDR beamformer with $N = 10$ sensors, $d = \lambda/2$ and broadside orientation in the presence of a single discrete interference source and isotropic noise is considered. The weights of this MVDR beamformer are given by

$$\mathbf{w}_o^H = \frac{\mathbf{v}_s^H \mathbf{S}_n^{-1}}{\mathbf{v}_s^H \mathbf{S}_n^{-1} \mathbf{v}_s}$$

with

$$\mathbf{S}_n = \sigma_w^2 \mathbf{I} + \sigma_1^2 \mathbf{v}_1 \mathbf{v}_1^H.$$

The interference-to-noise ratio is given by

$$\sigma_I^2 = \frac{\sigma_1^2}{\sigma_w^2}.$$

- Plot the powerpattern in the u -domain for an interferer at $u_1 = 0.3$ and $u_1 = 0.004$ for an interference-to-noise ratio (INR) of 70 dB.
- Perform a 3-dimensional plot of the powerpattern for varying interferer directions with $0.001 \leq u_1 \leq 0.5$ and an INR of 70 dB. Redo this plot for an INR of 0 dB.

How does the direction of the interferer and the interference-to-noise ratio influence the powerpattern?

Exercise 5: Delay-and-Sum Beamformer for Narrowband Signals

The processing of a narrowband signal by a delay-and-sum beamformer (DSB) with $N = 10$ sensors and a sensor spacing of $d = 0.05$ m should be investigated. A single narrowband source signal with a carrier frequency $f_0 = 2\frac{1}{3}$ kHz ($c = 342$ m/s) impinges on the array from different directions $0^\circ \leq \Theta \leq 90^\circ$.

The behavior of the beamformer should be investigated by calculating the ratio of the input signal power to the output signal power of the beamformer (output-to-input ratio, OIR). Consider an endfire and broadside orientation and different directions-of-arrival $\Theta = 0^\circ, 5^\circ, \dots, 90^\circ$.

The implementation of the system should be done in the following steps:

- Generate a narrowband source signal by the provided MATLAB function `NB_signal.m`.

- Generate the sensor input signals by delaying the source signal by the provided MATLAB function `frac_delay`. This function delays a signal by a rational number of sampling instances for a given delay (in seconds) and sampling frequency (in Hz).
- Implement the DSB and process the sensor signals for different directions-of-arrival Θ .
- Calculate and plot the OIR in dependence of Θ .

Compare the calculated OIR with the beampattern of the beamformer. What can be observed?