THE LEAST WEIGHT SUBSEQUENCE PROBLEM — extended abstract

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Abstract

The least weight subsequence (LWS) problem is introduced, and is shown to be equivalent to the classic minimum path problem for directed graphs. A special case of the LWS problem is shown to be solvable in $O(n \log n)$ time generally and, for certain weight functions, in linear time. A number of applications are given, including an optimum paragraph formation problem and the problem of finding a minimum height B-tree, whose solutions realize improvement in asymptotic time complexity.

1. Introduction

We define an instance of the Least Weight Subsequence problem (hereinafter referred to as the LWS problem) on [a,b] as follows:

We are given a real-valued function weight(i,j), defined for all $a \leq i < j \leq b$. If $i_0, i_1, \dots i_t$ is a strictly monotone increasing sequence of integers in the range [a,b], we define the weight of the sequence to be $\sum_{1 \leq s \leq t} weight(i_{s-1},i_s)$.

The single pair LWS problem (or simply, LWS problem) is to find that monotone sequence of integers starting with a and ending with b which has minimum weight. The all pairs LWS problem is to solve the LWS problem for all pairs (a_0, b_0) such that $a \le a_0 \le b_0 \le b$.

We say that the weight function weight is concave if, for all $i_0 \leq i_1 < j_0 \leq j_1$, weight (i_0,j_0) +weight $(i_1,j_1) \leq$ weight (i_0,j_1) +weight (i_1,j_0) . This concavity condition is exactly the quadrangle inequality introduced by Yao 8 . If weight is concave, we say it defines an instance of the concave LWS problem.

The LWS problem is equivalent to a minimum path problem for a weighted directed graph. Given an instance L of the LWS problem, let G = (V,E) be the directed graph with $V = \{0...n\}$ and $E = \{(i,j) \mid i < j\}$, and let weight be a weight function on the edges of G. Then the minimum path problem is to find a minimum

weight path from 0 to n.

Conversely, given any instance P of the min path problem on directed graph G = (V,E) with a weight function weight, choose a topological order on V. A solution to the LWS problem, where V has been numbered 0 to n in accord with the topological ordering, yields a solution to the minimum weight path problem on G.

Aside from theoretical interest, the LWS problem (and its solution) are useful in bringing to light improved solutions to a number of practical problems. In the ensuing sections, we discuss three algorithms for the LWS problem and their applications.

In section 2, we discuss the traditional algorithm, which solves the general LWS problem in quadratic time. In section 3, we introduce our basic algorithm, which solves the general concave LWS problem in $O(n \log n)$ time. In section 4, we describe an algorithm which we call the scale-assisted algorithm, which solves the concave LWS problem in linear time, provided that the weight function is supported by a suitable scale function, and that a certain inequality can be solved in constant time. In section 5, we give some applications, including the paragraph breaking problem with quadratic penalties.

2. The Traditional Algorithm

In this section we describe a straightforward algorithm for solving the LWS problem, using dynamic programming. A variation of this traditional algorithm is used in $T_E X$ to solve the paragraph breaking problem ⁵

Suppose we are given an instance of the LWS problem on [0,n], defined by a weight function weight. Let f(i) be the least weight of any subsequence which begins with 0 and ends with i. (Thus f(0) = 0, and f(n) is the weight of the solution to the LWS problem.) The

function f exists abstractly. The traditional algorithm uses dynamic programming to construct an array f[*] which contains the values of this abstract function.

We define an abstract function g(i,j) = f(i) + weight(i,j), for i < j integer in [0,n]. We may consider g(i,j) to be a candidate value for f(j), i.e., f(j) is the minimum, over all i < j, of g(i,j). During execution of the traditional algorithm, the value of g(i,j) can be computed in constant time, provided f[i] has already been evaluated.

Define bestleft(j) to be the second from the last element in a solution to the LWS problem restricted to [0,j], i.e., bestleft(j) = i, where f(j) = f(i) + weight(i,j). Since there need not be a unique least weight subsequence, there may be more than one equally good choice of bestleft, in which case, we allow one of them to be chosen arbitrarily. During execution of the algorithm, an array bestleft is defined which contains the values of the abstract function bestleft.

The Traditional Algorithm

```
f[0] \leftarrow 0
for m from 1 to n do
    begin
         f[m] \leftarrow g(0,m)
         bestleft[m] \leftarrow 0
         for i from 1 to m-1 do
                   if g(i,m) < f[m] then
                       begin
                            f[m] \leftarrow g(i,m)
                            bestleft[m] - i
                       end
    end
         Comment: the array f has now been defined.
         The remainder of the algorithm consists of
//
         recovery of the LWS using bestleft.
//
L \leftarrow (n)
m \leftarrow n
while m > 0 do
    begin
         m \leftarrow bestleft[m]
         prepend m to L
    end
return(f[n], L)
```

Analysis of the Traditional Algorithm

During each iteration of the main loop of the traditional algorithm, the value of f[m] is computed, under the assumption that the values of f[i], for all i < m, have already been evaluated. The value of bestleft[m]

is simultaneously computed.

The computation of each f[m] requires examining f[i] for all i < m. Thus the traditional algorithm requires quadratic time.

Application of the Traditional Algorithm to the All Pairs LWS Problem. Only a slight modification is necessary to solve the all pairs problem. If the entire solution were given in output, it would consist of the approximately $n^2/2$ separate subsequences. Instead, the solution to the LWS problem for a pair (a,b) such that $0 \le a \le b \le n$ is implicitly stored in the values of bestleft_a. For any fixed a and b, the solution to the LWS problem for the pair (a,b) can be recovered in linear time using the pointer array bestleft_a. Thus, $\Theta(n^3)$ time and only $\Theta(n^2)$ space is required.

3. The Basic Algorithm

In this section, we introduce a new algorithm, which we call the *basic* algorithm since it forms the basis for the more complicated algorithms which are developed later.

We shall assume that we are given an instance of the concave LWS problem on [0,n], defined by a weight function weight.

The basic algorithm makes use of an inputrestricted deque D. At all times, D will be a subsequence of the integers 0,... n. We refer to the least and greatest elements of the subsequence D as the *front* and *rear*, respectively. Three updating procedures are permitted on D: Hire(m), which appends a new element m to the rear of D; Fire, which removes the rear element of D; and Retire, which removes the front element of D.

In addition, we will need to acce. the second from the front element of D, which we call *front2*, and the second from the rear element of D, which we call *rear2*. These will, of course, be defined only when |D| > 1.

The deque D contains all current candidates for bestleft[m], for all m which are yet to be considered. A new element joins D when it might be the value of bestleft in the future. The front element is removed (i.e., Retire is executed) when it is no longer possible for it to be bestleft for any future m, and an element is removed from the rear (i.e., Fire is executed) when it can be

determined that it will never be the correct value of bestleft for any m. It is the determination of whether Fire should be executed that takes most of the time of the basic algorithm.

```
The Basic Algorithm
f[0] \leftarrow 0
D \leftarrow (0)
for m from 1 to n-1 do
   begin
         f[m] \leftarrow g(front,m)
         bestleft[m] \leftarrow front
         while |D| > 1 and g(front2, m+1) \le g(front, m+1) do
         while |D| > 1 and Bridge(rear2, rear, m) do
                  Fire
         if g(m,n) < g(rear,n) then
                  Hire(m)
    end
    f[n] \leftarrow g(front,n)
    bestleft[n] - front
         Comment: the array f has now been defined.
II
         The remainder of the algorithm consists of
II
         recovery of the LWS using bestleft.
//
L \leftarrow (n)
m \leftarrow n
while m > 0 do
   begin
         m \leftarrow bestleft[m]
         prepend m to L
    end
return(f[n], L)
```

The key to the basic algorithm is the boolean function Bridge. Intuitively, $Bridge(i_0,i_1,i_2)$ if and only if i_1 can be ignored in the future because either i_0 or i_2 is always at least as good a choice for bestleft. Formally, for any $i_0 < i_1 < i_2$, $Bridge(i_0,i_1,i_2)$ is false if and only if there exists some $k > i_2$ such that $g(i_1,k) < g(i_0,k)$ and $g(i_1,k) < g(i_2,k)$. Since weight is concave, Bridge can be evaluated by a binary search algorithm. We give an example of one such algorithm below.

```
Bridge(a,b,c)

if c=n then
	return(true)

else if g(a,n) \leq g(b,n) then
	return(true)

else

begin
	low \leftarrow c
	high \leftarrow n
	while high - low \geq 2 do
	begin
	mid \leftarrow \lfloor (low + high)/2 \rfloor
```

```
 if \ g(a,mid) \le g(b,mid) \ then \\ low \leftarrow mid \\ else \\ high \leftarrow mid \\ end \\ if \ g(c,high) \le g(b,high) \ then \\ return(true) \\ else \\ return(false) \\ end
```

Analysis of the Basic Algorithm

The Boolean Function Bridge. The value of Bridge(a,b,c) is false if and only if there exists $c < k \le n$ such that g(b,k) is smaller than is either g(a,k) or g(c,k), i.e., if there exists some k where b is a strictly better candidate for bestleft[k] than either a or c. We are interested in evaluating Bridge because if Bridge(rear2, rear, m) then rear must be removed from D (i.e., Fire must be executed) since it will not be the correct choice of bestleft[k] for any future k.

Time Analysis of the Basic Algorithm. Each integer from 0 to n is entered onto the deque D exactly once. Since each execution of Retire or Fire decreases |D| by one, the total number of executions of these two procedures cannot exceed n+1. The function Bridge must be evaluated once during each iteration of the main loop of the algorithm, plus one additional time after each execution of Fire, a total of not more than 2n times altogether. Each execution of Bridge requires $O(\log n)$ time. (We assume the reader is familiar with the technique of binary search.) All other parts of the basic algorithm require at most linear time, so the time requirement of the basic algorithm is $O(n \log n)$.

All Pairs Version. The all pairs LWS problem can be solved by iterating the basic algorithm n times.

Correctness of the Basic Algorithm. The proof of correctness is given in a technical report ³

4. The Scale-Assisted Algorithm

We now consider a method for speeding up the basic algorithm, that makes use of a scale function and a difference-of-weight function. We give an algorithm, called the scale-assisted algorithm, a modification of the basic algorithm, which runs in linear time, provided a zero of the difference-of-weight function can be found in constant time.

More generally, the scale-assisted algorithm runs in time $O(n \cdot difftime)$, where difftime is the time required for finding a zero of the difference-of-weight function. Thus, the scale-assisted algorithm is asymptotically faster than the basic algorithm, if $difftime = o(\log n)$.

Let us consider an instance of the concave LWS problem on the sequence 0,... n, where weight is the weight function. Let us suppose that we are given two real-valued functions

Scale(i) strictly monotone increasing, defined for all integers $0 \le i \le n$ defined for integers $0 \le i < j < n$ and real x > Scale(j), monotone increasing in x for fixed i and j

such that the following condition holds: diff(i,j,Scale(k)) + weight(j,k) = weight(i,k), for all integers $0 \le i < j < k \le n$. We then say that the weight function weight is supported by the scale function Scale and the difference-of-weight function diff.

The reader may easily verify that, in any instance of the concave LWS problem, the weight function is supported by some choice of scale and difference-of-weight functions. However, we do not start with a weight function and then find choices of Scale and diff which support it. Rather, we only apply the scale-assisted algorithm in problems where Scale is given prior to weight, and weight depends on Scale in some simple way.

One such problem is the paragraph breaking problem, with quadratic penalty function, where hyphenation is allowed. We outline this application in section 5.

The only difference between the basic algorithm and the scale-assisted algorithm is the meaning (and hence implementation) of the boolean function Bridge. If a < b < c are integers in [0,n], Bridge(a,b,c) will be defined to be true if and only if, for all x > Scale(c), at least one of the following two conditions holds:

- 1. $diff(a,b,x) \leq 0$ 2. $diff(b,c,x) \geq 0$
- In the basic algorithm, Bridge(a,b,c) is said to be true if there is no integer d > c such that b is a strictly better candidate for bestleft[d] than is either a or c. In the scale-assisted algorithm, Bridge(a,b,c) is said to be true if there is no real number x which could be the value of Scale(d) for any possible d > c for which b could

be a better choice of bestleft than could either a or c.

Thus, it is not necessary to look at the various d, which is what the binary search implementation of *Bridge* does. Rather, it is only necessary to look at various choices of real x. In principal, there need be no time saving. But in practice (such as the paragraph breaking example in section 5), the function diff is frequently simple enough to allow evaluation of Bridge in constant time.

The scale-assisted algorithm is identical to the basic algorithm. Only the *Bridge* function is implemented differently.

```
Function Bridge(a,b,c) — scale-assisted algorithm
if diff(a,b,Scale(n)) \leq 0 then
         return(true)
else if diff(b,c,Scale(n)) < 0 then
         return(false)
else
    begin
         x_0 \leftarrow lub\{ \ x \mid diff(a,b,x) \le 0 \ \}
         x_1 - glb\{ x \mid diff(b,c,x) \ge 0 \}
         if x_0 < x_1 then
                  return(false)
         else if x_0 > x_1 then
                  return(true)
         else if diff(a,b,x)>0 and diff(b,c,x)<0 then
                  return(true)
         else
                  return(false)
```

5. Some Applications

Example 1. Airplane Refueling. Suppose that an airplane needs to fly between two given airports, which are distance R apart. Suppose there are n-1 optional refueling stops, at distances $x_1, \ldots x_{n-1}$ from the departure point. For simplicity, assume that all these stops lie on the interval connecting the departure point and the destination. We can let $0 = x_0 < x_1 < \ldots < x_{n-1} < x_n = R$.

We suppose that the fuel consumption of the airplane is proportional to the weight of the plane, including unused fuel, and also that there is a fixed fuel cost of landing, a cost of taking off, and a landing fee which varies at the different stops. The problem of minimizing cost of the flight is then an instance of the

end

concave LWS problem, where $weight(i,j) = e^{\alpha + \beta(x_j - x_i)} + L_j$ where L_j is the landing fee at the j^{th} stop, and α and β are constants which absorb the other parameters of the problem.

The airplane refueling problem can be solved in linear time, using the scale-assisted algorithm, by choosing the scale function to be simply the distance from the departure point, i.e., $Scale(i) = x_i$. For any i < j, we then have $diff(i,j,x) = C_{i,j}e^{\beta x} + L_i - L_j$, where $C_{i,j}$ does not depend on x. For fixed i and j, the solution to the equation diff(i,j,x) = 0 can thus be found in the amount of time it takes to compute a logarithm (which we take to be constant). Thus Bridge takes constant time, and the problem can be solved in linear time.

Example 2. Optimum Paragraph Formation. We are given a scroll of words, and each word consists of one or more syllables. Let n be the number of syllables, and let w_i be the length of the i^{th} syllable. The space between two words will be considered to be attached to the last syllable in the earlier word. We wish to form this scroll into a paragraph, minimizing the total penalty. A paragraph may be defined by a breaksequence, consisting of a subsequence of $0, \ldots n$, where each element of the breaksequence is the index of the last syllable on a line. (0 shall always be the first element of the breaksequence, being the last syllable on the fictitious 0^{th} line.)

We assume that penalties are assigned as follows. There is an optimum length for a line, namely lineopt. There are also minimum and maximum lengths for a line, i.e., linemin and linemax. We assume that the penalty for a line being too short or too long is proportional to the square of the difference between the length of that line and the optimal length, except that the last line cannot be penalized for being too short. We also assume that there is fixed penalty for breaking any word.

A weight function weight, along with supporting scale function Scale and difference-of-weight function diff, can be assigned as follows:

Let w_i be the length of the i^{th} syllable. Let spacelen be the length of a space (between words).

Let hyphenlen be the length of a hyphen, assumed never to exceed the length of the rest of the word.

Let
$$Scale(i) = w_1 + ... + w_i - spacelen$$
, the i^{th}

syllable is the last syllable of its word. Remember that w_i includes the length of a space.

Let $Scale(i) = w_1 + ... + w_i + hyphenlen$, if the i^{th} syllable is not the last syllable of its word.

For real x, let $penalty(x) = A \cdot (x - lineopt)^2$ if $linemin \le x \le linemax$, where A is some positive constant; otherwise, let $penalty(x) = \infty$.

For $0 \le i < j \le n$, define weight(i,j) = 0, if j = n and $Scale(n) - Scale(i) \le lineopt$, otherwise let $weight(i,j) = penalty(Scale(j) - Scale(i)) + \delta \cdot B$, where $\delta = 0$ if the j^{th} syllable is at the end of its word, and $\delta = 1$ if the j^{th} syllable is not at the end of its word. B is the hyphen penalty, a positive constant.

There is a problem in defining the function diff(i,j,x) in some cases, since $\infty - \infty$ is an indeterminate form. There are two ways to resolve this problem. One way is to force the definition of diff in those cases in the unique way which causes the algorithm to work. Another, more elegant way, is to eliminate infinite penalties entirely, replacing them with very large penalties which maintain the concavity condition on weight. Accordingly, we define, in the following, a weight function which agrees with the previous definition in all finite cases, which is very large in cases where the previous definition requires ∞ , and which is concave.

First, a value **M** is calculated which is larger than the total penalty of any actual paragraph. For example, we could let $\mathbf{M} = B \cdot n + A \cdot n (linemax-linemin)^2$. Let $\epsilon > 0$ be less than the difference of the scale values of any two syllables, i.e., $\epsilon < Scale(i) - Scale(i-1)$ for all i. Now, redefine

penalty(x) =
$$A \cdot (x - lineopt)^2$$
,
if $linemin \le x \le linemax$
 $\mathbf{M} \cdot 2^{|x-\cdot|ineopt|/\epsilon}$ otherwise

Finally, define weight(i,j) as before, but with the new formula for penalty(Scale(j)-Scale(i)). Then, for any $0 \le i < j < n$, and any real $Scale(j) < x \le Scale(n)$, diff(i,j,x) = weight(Scale(i),x) - weight(j,x), and we observe that

```
diff(i,j,x)
> 0, if x - Scale(i) > linemax
< 0, if x - Scale(j) < linemin and <math>x < Scale(n)
> 0, if x = Scale(n) and x - Scale(i) > lineopt
= 0, if x = Scale(n) and x - Scale(i) \le lineopt
= A \cdot [Scale(i)^2 - Scale(j)^2 - (Scale(i) - Scale(j)) \cdot (lineopt + 2x)], otherwise
```

For fixed i and j, diff(i,j,x) is a monotone

increasing function of x, and is linear in the interval where it may be zero. Thus, it takes only constant time to compute the values of the least upper bound and greatest lower bound needed in the evaluation of Bridge. It follows that the optimum paragraph may be found in linear time.

There are additional recent discussions of the paragraph problem ^{2,7}.

Example 3. Minimal Height B-Trees. Diehr and Faaland 1 give an algorithm for finding a minimum height B-tree structure on a scroll (i.e., list) of words, in $O(n^3 \log n)$ time. We show how to use the all pairs version of the basic algorithm to solve the problem in $O(n^2 \log^2 n)$ time, and also how to use the the all pairs version of the scale-assisted algorithm to solve the problem in $O(n^2 \log n)$ time.

Given a scroll of n words, where the ith word has length w, we give a recursive definition of a B-tree structure of height h on the scroll.

A B-tree structure of height 0 is defined to be the empty subsequence of the scroll. A B-tree structure of height h > 0 consists of:

- A subsequence of the scroll, called the boundary sequence.
- A B-tree structure of height h-1 on the boundary sequence (itself a scroll).

We define a page of the B-tree structure to be either the substring consisting of all words between consecutive elements of the breaksequence (such a page is called a leaf), or a page of the B-tree structure on the boundary sequence. The length of a page is defined to be the sum of the lengths of all the words which constitute that page.

The *height* of each page can be recursively defined. A leaf has height 0. A page of height h is a page of height h-1 of the B-tree structure on the boundary sequence. Each word of the scroll lies in just one page; we define the height of a word to be the height of the page which contains that word.

The pages of a B-tree of height structure form a tree. A leaf consists of a substring of the scroll. If the leaf is the entire scroll, that leaf is the root, and is the unique page. Otherwise, the leaf is bounded on at least one end by a word of height 1. We then define the

parent of the leaf to be the page of height 1 which contains that word. (Note that if both ends are bounded by words of height 1, they belong to the same page.) Parents of pages of height greater than 0 are defined recursively.

It is important to note that a page of a B-tree can be empty, and that an empty page can have either zero or one child.

In applications, B-trees normally have a restriction on the total length of any page. Suppose that no page can have length exceeding some positive constant pagemax. We can then ask two questions about a given scroll consisting of n words:

- 1. Does there exist a B-tree structure on that scroll?
- 2. What is the minimum height B-tree structure on the scroll?

The answer to the first question is yes, if and only if $w_i \leq pagemax$ for all i. If so, there certainly exists a B-tree structure of height $O(\log n)$. The basic algorithm can be used to solve an instance of the all pairs LWS problem for each h from 0 to the actual minimum height, constructing the minimum height B-tree structure in time $O(n^2 \log^2 n)$. We outline the method below.

The Diehr-Faaland Graph

It is convenient to enlarge the scroll by introducing fictitious words in positions 0 and n+1, where $w_0 = w_{n+1} = 0$. For any integer $h \ge 0$ we define the h^{th} Diehr-Faaland graph G_h of the scroll to be an acyclic directed graph where

- The vertices of G_h are the integers [0,n+2], and 1.
- The edges of G_h consist of all ordered pairs (i,j)2. such that i < j and there exists a B-tree structure of height h on the scroll consisting of all words strictly between the i^{th} and the j^{th} words.

We note:

- 1.
- (i,i+1) is an edge of G_h . If (i,k) is an edge of G_h , then (i,j) is also an edge 2. of G_h for all i < j < k.
- If (i,j) is an edge of G_h , then (i,j) is also an edge 3.
- The smallest h such that (0,n+1) is an edge of G_h 4. is the height of the minimum height B-tree structure on the scroll.

In passing, we note that condition 2 allows the

directed graph G_h to be represented using only linear storage. For each $0 \le i < n+1$, let $farthest_j_h[i]$ be the largest j such that (i,j) is an edge of G_h . Any pair (i,j) is an edge of G_h if and only if $j \le farthest_j_h[i]$, thus only the array $farthest_j_h$ need be stored to represent G_h .

Our method is to first construct the graph G_0 , then use the all pairs version of the scale-assisted algorithm to construct G_{h+1} from G_h for all h. We stop when (0,n+1) is an edge.

It is convenient to refer to $Sum(i) = w_1 + ... + w_i$. All values of Sum can be precomputed in linear time.

Construction of G_0 . For any i, $farthest_j_0[i]$ is the largest j such that $Sum(j-1) - Sum(i) \le pagemax$. It takes linear time to evaluate $farthest_j_0$.

We now show how to construct G_{h+1} from G_h . Pick $\epsilon > 0$, smaller than the smallest w_i . Let \mathbf{M} be a sufficiently large number. For $0 \le i < j \le n+1$, let $weight(i,j) = w_j$ if (i,j) is an edge in G_h $\mathbf{M} \cdot 2^{Sum(j)-Sum(i)}$, otherwise

The value of weight(i,j) when (i,j) is not an edge of G_h is a substitute for ∞ . M must be chosen large enough that the edge (i,j) is never used in the LWS.

We now use to basic algorithm to compute the weight of the LWS between all pairs (i,j). Since the basic algorithm requires $O(n \log n)$ time, the all pairs version requires $O(n^2 \log n)$ time. Let $L_h(i,j)$ be the weight of this LWS. Finally, G_{h+1} may be defined as follows: (i,j) is an edge of G_{h+1} if and only if $L_h(i,j) \leq pagemax + w$,

The method outlined above requires $O(n^2 \log^2 n)$ time to find the minimum height B-tree structure, and requires $O(n^2 \log n)$ storage. However, because of the special nature of the B-tree problem, the all pairs version of the scale-assisted algorithm may be used instead. Thus, the algorithm may always be sped up to require only $O(n^2 \log n)$ time. The reason is that it is unnecessary to use binary search to evaluate Bridge. In fact, because of the simple formula for weight(i,j), Bridge(a,b,c) is true if and only $f[b] \ge f[c]$, and thus takes constant time to evaluate.

In a later paper 4, we show that the algorithm

described above can be sped up to require only quadratic time.

We note that Diehr and Faaland ¹ also give a heuristic for finding a minimum height B-tree structure by optimizing the pagination of a scroll for each level of the tree. Their algorithm uses time $O(n \log n)$ per level. This has been improved to O(n) per level ^{2,6}

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