

## Theories of arithmetic

### Rock bottom

**Definition 1** (Robinson's  $Q$ ). Let  $L_Q = \{0, S, +, \cdot\}$  and let  $Q$  be an  $L_Q$ -theory with the following axioms:

$$\neg S(x) = 0 \tag{1}$$

$$S(x) = S(y) \rightarrow x = y \tag{2}$$

$$x \neq 0 \rightarrow (\exists y)(S(y) = x) \tag{3}$$

$$x + 0 = x \tag{4}$$

$$x + S(y) = S(x + y) \tag{5}$$

$$x \cdot 0 = 0 \tag{6}$$

$$x \cdot S(y) = x \cdot y + x \tag{7}$$

**Exercise 2.** Show that  $Q \vdash S(0) + S(0) = S(S(0))$ .

**Exercise 3.** Show that  $\mathbb{N}$  as an  $L_Q$ -structure can be embedded into every model of  $Q$ .

**Exercise 4.** Let  $Q_{\leq}$  be the  $L_Q \cup \{\leq\}$ -theory extending  $Q$  by

$$x \leq y \leftrightarrow (\exists z)x + z = y,$$

show that for every  $L_Q$ -sentence  $\varphi$  we have

$$Q \vdash \varphi \iff Q_{\leq} \vdash \varphi,$$

this property is called *conservativity* of  $Q_{\leq}$  over  $Q$ .

**Exercise 5.** Show that  $Q \not\vdash x + y = y + x$ .

### Overshooting

**Definition 6.** Let  $L_{PA} = \{0, 1, +, \cdot, \leq\}$  and  $PA$  be an  $L_{PA}$ -theory axiomatized by  $Q_{\leq}$  and the scheme of *induction*. That is, for every  $L_{PA}$ -formula  $\varphi(x)$  the following is an axiom:

$$(\varphi(0) \wedge (\forall x)(\varphi(x) \rightarrow \varphi(x+1))) \rightarrow (\forall x)(\varphi(x))$$

**Exercise 7.** Show that  $PA \vdash x + y = y + x$ .

**Fact 8.** Let  $ZF_{fin}$  be the theory of ZF with the axiom of infinity replaced by its negation.

Then  $ZF_{fin}$  and  $PA$  are bi-interpretable, meaning that they prove the same statement if we translate the non-logical symbols using their definition in the other language.

**Opinion 9** (Harvey Friedman's grand conjecture). Every theorem published in the Annals of Mathematics whose statement involves only finitary mathematical objects (i.e., what logicians call an arithmetical statement) can be proved in a subsystem of  $PA$ .

## Homing in

**Definition 10.** The set of *bounded*  $L_{PA}$ -formulas, denoted  $\Delta_0$ , is the least set closed under propositional connectives, which contains open formulas, and for each  $\varphi(x) \in \Delta_0$  and an  $L_{PA}$ -term  $t$  which does not contain the variable  $x$ , we have

$$\begin{aligned} (\exists x)(x \leq t \wedge \varphi(x)) &\in \Delta_0, \\ (\forall x)(x \leq t \rightarrow \varphi(x)) &\in \Delta_0. \end{aligned}$$

These formulas are usually denoted using *bounded quantifiers*

$$\begin{aligned} (\exists x \leq t)(\varphi(x)) \\ (\forall x \leq t)(\varphi(x)). \end{aligned}$$

**Exercise 11.** Let  $\psi \in \Delta_0$  and  $\mathbb{N} \models (\forall x)(\exists y)\psi(x, y)$ , show that there is an algorithm which given  $x$  computes  $y$  such that  $\psi(x, y)$ . Can you say anything about the complexity of such an algorithm?

**Definition 12.**  $I\Delta_0$  is an  $L_{PA}$ -theory extending  $Q_{\leq}$  by the scheme of *bounded induction*. That is for each  $\varphi(x) \in \Delta_0$  there is an axiom:

$$(\varphi(0) \wedge (\forall x)(\varphi(x) \rightarrow \varphi(x+1))) \rightarrow (\forall x)(\varphi(x)).$$

**Fact 13.**  $I\Delta_0$  proves the (fifteen) axioms of positive parts of discretely ordered rings:  $\leq$  is a linear order, commutativity of  $+$  and  $\cdot$ , neutrality of 0 and 1, distributivity,  $0 \leq x \leq 1 \rightarrow (x = 0 \vee x = 1)$ , etc., which are collectively known as  $PA^-$ .

**Definition 14.** We say a theory  $I\Delta_0$  proves the totality of a function  $f(-)$  iff there is a formula  $\varphi(\bar{x}, y)$  such that it holds  $\mathbb{N} \models \varphi(\bar{x}, y) \leftrightarrow f(\bar{x}) = y$  and

$$I\Delta_0 \vdash (\forall x)(\exists y)\varphi(x, y).$$

**Exercise 15.** Prove that  $I\Delta_0$  proves the totality of

$$x \dot{-} y = \begin{cases} x - y & x > y \\ 0 & x \leq y, \end{cases}$$

and

$$\lfloor x/2 \rfloor = \begin{cases} x/2 & x \text{ is even} \\ (x-1)/2 & x \text{ is odd.} \end{cases}$$

**Theorem 16.** Every model of  $I\Delta_0$  has order type  $\mathbb{N} + \mathbb{Q} \cdot \mathbb{Z}$ .

**Fact 17** (Tennenbaum, ‘No nonstandard calculator theorem’). Let

$$M = (\{0, 1\}^*, \oplus, \otimes, 0, 1, \leq^M)$$

be a model of  $I\Delta_0$ , then both  $x, y \mapsto x \oplus y$  and  $x, y \mapsto x \otimes y$  are not recursive, there is no algorithm that computes them.

## Parikh's Theorem

**Definition 18.** Let  $M, N \models \text{PA}^-$ , we say  $M$  is an *initial segment* of  $N$ , and that  $N$  is an *end extension* of  $M$ , denoted  $M \subseteq_e N$ , if  $M \subseteq N$  and for every  $a \in M, b \in N$  we have  $N \models b < a$  implies  $b \in M$ .

**Exercise 19.** Let  $M, N \models \text{PA}^-$ ,  $M \subseteq_e N$ , then for every  $\varphi(\bar{x}, \bar{y}) \in \Delta_0$  and  $\bar{m} \in M$  we have

$$M \models \varphi(\bar{m}) \iff N \models \varphi(\bar{m}).$$

**Exercise 20.** Let  $M, N \models \text{PA}^-$ ,  $M \subseteq_e N$ . If  $N \models I\Delta_0$  then  $M \models I\Delta_0$ .

**Theorem 21** (Parikh). Let  $\varphi(x, y) \in \Delta_0$ . If

$$I\Delta_0 \vdash (\forall x)(\exists y)\varphi(x, y),$$

then there is an  $L_{PA}$ -term  $t$  not containing  $y$  such that

$$I\Delta_0 \vdash (\forall x)(\exists y \leq t)\varphi(x, y).$$

**Exercise 22.** Assume that  $I\Delta_0 \vdash (\forall x)(\exists y)\varphi(x, y)$ , can you find an algorithm which given  $x$  finds a  $y$  such that  $\mathbb{N} \models \varphi(x, y)$ , can we bound the complexity of this algorithm?

**Fact 23** (Bennet, Pudlák). There is an  $\Delta_0$  formula  $\exp(x, y, z)$  which has the property

$$\mathbb{N} \models x^y = z \leftrightarrow \exp(x, y, z)$$

and  $I\Delta_0$  proves its recursive properties, such as

$$(\exp(x, y, z) \wedge \exp(x, y + 1, z')) \rightarrow (z \cdot x = z').$$

**Exercise 24.** Show, that  $I\Delta_0$  cannot prove that the exponential function is total.