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PROBING THE STRUCTURE OF DEUTERON AT VERY SHORT DISTANCES

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DEDICATION

To my wife and my parents.

ACKNOWLEDGMENTS

Thanks, to everyone that in one way or another have contributed to the realization
of this work.

ABSTRACT OF THE DISSERTATION
PROBING THE STRUCTURE OF DEUTERON AT VERY SHORT DISTANCES
by
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We study the electro-disintegration of deuteron at quasi-elastic kinematics and high transferred momentum as a probe for the short distance structure in nuclei. In this reaction, an electron hits a nucleus of deuterium, which breaks up into a pair of nucleons (proton-neutron). We focus our attention on events where fast nucleons emerge, corresponding to nuclear configurations where the bound nucleons have a high relative momentum (exceeding 700 MeV/c). The present research is relevant to physical systems where high-density nuclear matter is present. This condition covers a wide range of physics, from neutron stars to nuclei stability and the repulsive nuclear core.

Our calculations differ from others in two crucial features. One is that our definition of the deuteron wave function, as used in high-energy electro-disintegration, depends on terms (with a relativistic origin) that can be ordered according to their contribution. These terms, related to the off-shell properties of the nucleon-nucleon bound-state, are forbidden within non-relativistic quantum mechanics, and they become increasingly important in describing configurations with a high nucleon-nucleon relative momentum. The second essential difference is that we account for the off-shell nature of the bound-nucleon that enters on the (half-off-shell) electromagnetic current. We avoid many of the difficulties inherent to the relativistic nature of the processes involved by adopting a theoretical framework known as Light Front dynamics. Simplifications in the coherent definition for the relativistic proton-neutron bound-

state wave function and the treatment of the bound-nucleon (half-off-shell) electromagnetic current are among the essential advantages resulting from the use of Light Front dynamics. Furthermore, the rescattering between the emerging nucleons in the final stage of the reaction is also simplified within the Light Front framework.

Our new theoretical calculation provides new venues for the exploration of the relativistic structure of nuclear matter. We compared our results with experimental data produced in a recent experiment conducted at Jefferson Laboratory in Virginia, USA.

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CHAPTER 1

INTRODUCTION

Despite the overwhelming experimental support pointing to quantum chromodynamics (QCD) as the fundamental theory of strong interaction, it is not fully known how the nuclear force originates from QCD. Therefore, knowledge about the mechanism through which this emergence occurs is essential to understand the nuclear dynamics at a fundamental level and the phase transition from quark-gluon to hadronic matter. Since the nuclear force is responsible for binding atomic nuclei together, this knowledge is also a prerequisite for solving many open problems in nuclear theory, such as the stability of strongly interacting matter, the existence of hidden color configurations (multi-quark states) in the nuclei, as well as the origin of the nuclear core.

The lack of a complete practical theory for the study of the strong force had pushed forward a program focused on developing models. An example is the hadronic model of nuclear physics, where it is assumed that nuclei can be described in terms of constituent baryons interacting through the exchange of mesons¹. In this regard, the study of dense nuclear matter plays a crucial role, providing a transition from baryonic to quark-gluon degrees of freedom.

The main goal of our research is the study of nuclear dynamics at short distances. Considerable progress has been made in understanding the nuclear force at distances up to $r \approx 1/m_\pi \approx 1.8$ fm within effective theories based on the chiral symmetry of QCD and formulated in terms of hadronic degrees of freedom. In these theories, short-range phenomena are parameterized through contact interactions, which are then included in the renormalization scheme. As a result, the dynamic aspect of the short-range interactions is not resolved.

¹We expect, however, that this picture breaks down once the distance between constituent baryons is less than their radius. In this case, the internal structure of the baryons will play an explicit role in the nuclear dynamics.

Another approach in describing the nuclear force is the one boson exchange model (OBE), which has succeeded in explaining several properties of the nucleon-nucleon (NN) interaction at intermediate to short distances, such as the large tensor forces. However, OBE cannot fully describe the interaction strength without including phenomenological parameterizations (see, e.g., [1]). Also, it contains the concept of strongly virtual exchanged mesons, which is not well defined [2].

Yet another successful approach to describe NN interactions is the phenomenological parameterization (potential models), in which the general spin-isospin structure of the NN interaction is reproduced by adding a finite number of Yukawa-type interactions with the long distance interaction given by the pion exchange term (see e.g. [3]). In this approach, the ansatz parameters used to describe the NN potential are obtained by fitting experimental phase shifts.

While OBE and phenomenological potential models reproduce the NN scattering phase shifts with high precision, they considerably diverge in their predictions for the nucleon momentum distributions in the deuteron above 500 MeV/c. For example, the probability (based on OBE) for nucleon momentum of about 600 MeV/c in the deuteron differ by a factor of two for AV18[3] and CD-Bonn[4] potentials. This indicates that there are limitations for OBE and phenomenological NN potentials to gain reliable information about the short-range structure of NN forces in particular and nuclear forces in general. Moreover, the above approaches are non-relativistic and attempt to include relativistic effects turn out to be either inconsistent or make them tremendously complicated, resulting in a set of coupled channel equations. Another limitation of the above approaches is that there is no clear way of including the quark-gluon degrees of freedom in such a way that it is consistent with QCD.

Our main strategy for probing short-range nuclear forces is to study pairs of bound nucleons that occupy a small space-time region. In other words, we search for bound

nucleons that have relative momenta much larger than the average momentum found in the deuteron. The most suitable theoretical description for describing high-energy processes is based on the light-front formalism, which allows avoiding or suppressing vacuum fluctuations. In this case, the nucleons are treated relativistically, and the application of diagrammatic methods[45, 43] result in light-front wave functions described by Weinberg-type equations[5]. There have been many extensive studies on the light-front nuclear dynamics (e.g., Refs.[24, 23, 70, 71]). However, these studies did not focus on (semi) exclusive reactions², which will allow us to test many properties of the nuclear structure. In our research, we have focused primarily on deuteron electron-disintegration experiments at high momentum transfer³, which are part of the research program of the Jefferson Laboratory, located in Virginia, USA.

1.1 Why the Deuteron

The program of nuclear physics is to provide a description of the strong interaction (QCD). From a modern perspective, it presents a roadmap similar to that of atomic physics, which led to the establishment of Quantum Electrodynamics (QED) as the (contemporary) fundamental theory of electromagnetic interaction⁴. For QCD and QED, the infinite-dimensional problem resulting from the field-theoretical picture can be reformulated as a perturbative series⁵. However, while for the electromagnetic interaction, the perturbative series approximates the solution beyond the precision of each experiment carried out so far, the same does not happen with QCD. Finding

²Where one or more produced particles are detected, in addition to the scattered electron.

³In the present work, the approach chosen to calculate the high momentum contribution to the nuclear structure is similar to the methods applied in high energy physics for the description of the parton distributions in the nucleon, where partons are treated fully relativistic.

⁴The quantum field theory of electrons and photons.

⁵For each order in the perturbative expansion, a finite number of terms need to be calculated. Each term represents the interaction among a finite number of particles.

non-perturbative solutions for QCD remains an open problem.

As an alternative of tackling the complicated nuclear problem directly from the fundamental QCD degrees of freedom (quarks and gluons)⁶, we rely on simplified models. The first step is to consider the nucleon as a (quasi-)particle, and the internal structure is quantitatively parameterized by functions called form factors⁷. An example of a simplified version of the nuclear interaction is provided by the aforementioned phenomenological approach, which reduces the many-body field theoretical problem⁸ to an interaction potential. The total potential incorporates contributions from two-body interactions, three-body interactions, etc., i.e.,

$$V_A = V_2 + V_3 + \dots \quad (1.1)$$

where, A is the number of nucleons, and V_i corresponds to the i th-body potential. It has been found that the two-body potential (V_2) reproduces about 90 % of the binding energy of light nuclei ($A \lesssim 12$) and becomes even more dominant as the number of nucleons increases [31].

The deuteron is the simplest nucleus, consisting (predominantly) of a proton-neutron-bound state. Hence, it is the simplest laboratory for studying the two-body nuclear problem. Furthermore, it was found that in nuclei, the configurations involving two nucleons (correlated) with a significant relative momentum are dominated by proton-neutron pairs, i.e., over proton-proton and neutron-neutron counterparts. Thus, a better understanding of the distribution of the high internal momentum in the deuteron will improve the extraction of the correlations of two nucleons in heavier nuclei. Therefore, our research is important to describe high-density nuclear matter and the short-range structure of the nuclear force.

⁶Although important achievements have been made, the computation of solutions remains impractical.

⁷Details about the form factors will be provided in subsequent chapters.

⁸Where the interaction is carried by an exchanged particle.

1.2 Modern view of the Deuteron

Being the simplest nucleus, the deuteron represents a unique testing ground for the emergence of nuclear forces from the fundamental interaction of quarks and gluons. One expects that QCD degrees of freedom become relevant for situations where the bound proton and neutron in the deuteron are substantially overlapping. Such a situation can be achieved by probing deuteron at large relative momenta, comparable with the masses of its constituent nucleons.

Despite the apparent simplicity of the deuteron, the complex character of the nuclear forces and the hadronic spectrum creates a rather diverse picture for the composition of the deuteron. Because of the positive parity and total spin $J=1$ of the deuteron, it represents a pseudo-vector state. In addition, the deuteron has zero total isospin, $T=0$ (iso-singlet). As a result, the modern view of the deuteron can be summarized as a decomposition into Fock states⁹ with total spin 1 and isospin 0[14]

$$\Psi_d = \Psi_{pn} + \Psi_{\Delta\Delta} + \Psi_{NN^*} + \Psi_{hc} + \Psi_{NN\pi} \dots \quad (1.2)$$

where "..." includes the contributions from higher Fock components with potentially higher mass constituents.

The relative contribution of the Fock components in the deuteron wave function, starting from the lowest number of constituents, is organized as follows:

***pn* Component:** The empirical evidence suggests that the *pn* component dominates in the deuteron wave function for large internal momenta ($\sim 600 - 700$ MeV/c)[6]. Theoretical calculations based on the *pn* component of the deuteron wave function (e.g., Refs.[7, 8, 10]) provide a good description for a wide variety of the processes

⁹By Fock state, we adopt the definition of a state characterized by a well-defined (fixed) particle number.

involved in probing deuteron's wave function for up to 600–650 MeV/c internal momenta.

$\Delta\Delta$ and NN^* Components: Energetically, the next closest two-particle (isosinglet, pseudovector) Fock components of the deuteron are the $\Delta\Delta$ and NN^* , the latter representing a radial excitation of one of the nucleons in the deuteron. Such excitations require energies in the order of 600 MeV, corresponding to internal momentum of about 800 MeV/c. Due to the large-cross-section of the $\pi N \rightarrow \Delta$ transition, it is expected that the $\Delta\Delta$ component will dominate over the NN^* component. The overall contribution of the $\Delta\Delta$ component has been experimentally constrained to $\leq 1\%$ [11], while there is no evidence yet for the possible mixture of the NN^* component.

Hidden Color Component: One of the unique predictions of QCD is the possible existence of the hidden color component in the deuteron wave function. The color decomposition of the very same six quarks present in deuteron's $p n$ component allows for a 6-quark color-singlet configuration consisting of two colored (octet) baryons. The colored constituents configuration (hidden color component) has been estimated to be responsible for almost 80% of the high momentum wave function strength [15, 16]. Such component is expected to dominate at considerable high excitation energies of the NN system, with the six-quark representation of deuteron describing the sum of all the possible two-baryonic states. Since large excitations are relevant to the nuclear core, there is an interesting possibility that the NN repulsive core results from the cancellation in the overlap between hidden color-dominated configurations and the NN component.

$NN\pi$ Component: The most dominant three-particle Fock component of the deuteron is the $NN\pi$ component, which is expected to become relevant at excitation energies close to the pion threshold (corresponding to internal momenta ~ 370 MeV/c). There

is evidence from reactions probing meson exchange currents that this component starts to dominate at missing momentum ~ 350 MeV/c, which is consistent with the above estimate of the pion threshold (see for example Refs.[17, 18]). However, in experiments at high energy and momentum transfer (above the proton mass), aimed at probing short distance nuclei structure, no such evidence is observed for relative NN momenta up to ~ 650 MeV/C (e.g., [19, 20, 21]). The latter suppression can be explained by the fact that the transition $N \rightarrow \pi N$ behaves as $\sim \exp \lambda t$ with $\lambda \geq 3$ GeV $^{-2}$ (hard form factor) [11]. Therefore, the processes in which the high energy probe couples to the exchanged pion in the $NN\pi$ deuteron component are significantly suppressed at high momentum transfer (above the proton-pion system mass).

The above discussion indicates that the dominant inelastic component in the deuteron may be the $\Delta\Delta$ rather than the $NN\pi$ component. This will extend the $p n$ dominance for internal momenta up to ~ 750 MeV/c, which lays below the expected threshold of the $\Delta\Delta$, and possibly hidden color components in the wave function of the deuteron.

We conclude that for internal momenta up to ~ 750 MeV/c, the proton-neutron Fock component must be the dominant one in the deuteron wave function. The conventional quantum mechanical description for the $p n$ component becomes questionable at such high momentum because of significant relativistic effects. Our calculation of the exclusive deuteron-electro-disintegration reaction consistently accounts for relativistic effects in the bound-proton-neutron component of the deuteron wave function and the rescattering of the outgoing (produced) nucleons. The implementation of the light-front (effective) Feynman diagrammatic developed in this work represents the light-front extension of the generalized eikonal approximation (GEA), which was developed earlier[7, 44, 45] to account for the relativistic motion of the nucleons in the final state interaction amplitude.

Our research is motivated by the comprehensive program of electron scattering

experiments with high transferred momentum, which aims to reveal the structure of nuclei. (e.g., [12, 14, 32]). With this experiments, a deeply bound nucleon in the nucleus can be probed by a photon with large virtuality¹⁰, producing a final nucleon with very high 3-momentum (roughly equal to that of the virtual photon). The high-energy nature of these processes allows for chief simplifications in the description of the scattering process. One of the main characteristics of high energy scattering is that the process evolves along a light-like direction (e.g., [2, 63, 64, 24, 68]), making the light-front framework the most natural choice to describe the reaction. The crucial advantages of a description are the suppression of the negative energy contribution in the full propagator of the bound nucleon and the possibility of identifying the “good” component of the electromagnetic current, for which the off-shell effects are minimal¹¹. All these features will be described in detail in the following chapters.

¹⁰The definition of virtuality for the exchanged photon is provided in Eq.(2.5).

¹¹Off-shell effects are the sources of many ambiguities in the calculation of the scattering cross-section. Therefore, its minimization is the first step towards meaningful estimates.

CHAPTER 2

DEUTERON ELECTRO-DISINTEGRATION

Experiments performed at quasi-elastic kinematics, in which electrons are scattered off a deeply bound nucleon producing a struck nucleon (N_f) in the final state, are the main tools to directly probe bound nucleons with large momenta. Experiments of this kind have a very small differential cross-section. Therefore, they require high-intensity electron beams, which became available only after the advent of the Continuous Electron Beam Facility (CEBAF) at Jefferson Laboratory (JLab), located in Virginia. The first of such experiments[19, 20, 21] reaching large values of transferred invariant momentum ($Q^2 \geq 1 \text{ GeV}^2$) were performed at JLab, and for the first time succeeded in a direct measurement of the deuteron momentum distribution up to 550 MeV/c[21]. After the 12 GeV upgrade of CEBAF, a new era of long-awaited electro-disintegration experiments[22] are probing the deuteron structure at unprecedentedly large internal momenta, up to 1 GeV/c. Such measurements are opening up an entirely new stage for investigating the QCD origin of nuclear forces.

The advantage of exclusive electro-disintegration experiments is that, in the simplest model known as the plane wave impulse approximation, it directly probes the deuteron momentum distribution. However, because the outgoing proton and neutron interact strongly, there is a substantial contribution from the final state interaction effects, which must be properly accounted for. With the birth of the experimental program on high momentum transfer electro-disintegration reactions, various theoretical groups have made intensive efforts to calculate the effects of FSI. (e.g. Refs.[29, 30, 52, 7]). All these efforts are based on the high-energy nature of the scattering process. However, the previous works still use the non-relativistic description of the deuteron wave function. As a result, their validity becomes increasingly questionable for the description of processes that probe deuteron's internal momentum

at values comparable to the nucleon mass (~ 1 GeV/c). One of the main problems in the interpretation of the experimental data is to account for the relativistic dynamics of the deuteron adequately.

2.1 Kinematic Definitions

We investigate the reaction,

$$e + d \rightarrow e' + N_f + N_r \quad (2.1)$$

where the deuteron nucleus is disintegrated after interacting with a high energy electron. The result of this event is the production of two nucleons (proton and neutron) in the final state together with the scattered electron. Two particles are detected in coincidence in the final state, the scattered electron and one of the nucleons. The measured momentum of the detected nucleon is labeled, $p_f = (E_f, \mathbf{p}_f)$. The second nucleon will be called the recoil nucleon, and labeled as $p_r = (E_r, \mathbf{p}_r)$, its momentum is reconstructed from the energy-momentum conservation,

$$p_d + p_e = p'_e + p_f + p_r \quad (2.2)$$

where, $p_d = (E_d, \mathbf{p}_d)$ is the deuteron's four-momentum, and $p_e = (E_e, \mathbf{p}_e)$ ($p'_e = (E'_e, \mathbf{p}'_e)$) is the initial (final) four-momenta of the electron. In the current kinematics, the initial and final energies of the electron are much larger than its mass,

$$|\mathbf{p}_e|, |\mathbf{p}'_e| \gg m_e \quad (2.3)$$

from where it follows that can be treated as massless particles.

In quantum field theory, the interaction between electron and deuteron is mediated by the exchange of virtual photons. The graph shown in Fig.(2.1) is known as the one photon exchanged approximation, which is a highly accurate approximation due to the small coupling constant of the electromagnetic interaction ($\alpha \approx 1/137$).

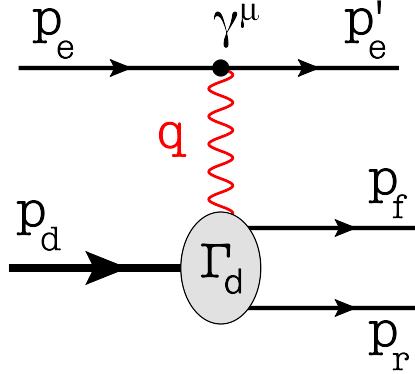


Figure 2.1: Deuteron electro-disintegration in the one-photon-exchange approximation.

The four-momentum of the exchanged photon (momentum transfer, \mathbf{q}) is,

$$\mathbf{q} = (q^0, \mathbf{q}) = \mathbf{p}_e - \mathbf{p}'_e = (E_e, \mathbf{p}_e) - (E'_e, \mathbf{p}'_e) \quad (2.4)$$

It is very convenient to work with kinematic variables that are Lorentz invariant, since their values are the same for any inertial reference frame. A common choice are the (negative) invariant momentum transfer squared,

$$Q^2 = -\mathbf{q}^2 = \mathbf{q}^2 - q_0^2 \quad (2.5)$$

the fraction of the target's momentum longitudinal to the momentum transfer (Bjorken scaling variable)¹,

$$x = \frac{-\mathbf{q} \cdot \mathbf{q}}{\mathbf{p}_d \cdot \mathbf{q}} = \frac{Q^2}{\mathbf{p}_d \cdot \mathbf{q}} \quad (2.6)$$

and, the center of mass energy of the photon-deuteron system,

$$s = (p_d + q)^2 \quad (2.7)$$

¹Note that with this definition we have, $0 \leq x \leq 2$.

2.2 Reference Frames

In our calculations, we will primarily consider two reference frames. One is the Lab frame of the deuteron sketched in Fig.(2.2) with coordinate axes defined by,

$$(\hat{x}_{\text{lab}}, \hat{y}_{\text{lab}}, \hat{z}_{\text{lab}}) = \left(\frac{\vec{q} \times \hat{y}_{\text{lab}}}{|\vec{q} \times \hat{y}_{\text{lab}}|}, \frac{\vec{p}_e \times \vec{p}'_e}{|\vec{p}_e \times \vec{p}'_e|}, -\frac{\vec{q}}{|\vec{q}|} \right) = \left(\frac{\hat{y}_{\text{lab}} \times \hat{z}_{\text{lab}}}{|\hat{y}_{\text{lab}} \times \hat{z}_{\text{lab}}|}, \frac{\hat{p}_e \times \hat{z}_{\text{lab}}}{|\hat{p}_e \times \hat{z}_{\text{lab}}|}, -\hat{q}_{\text{lab}} \right) \quad (2.8)$$

where, \vec{p}_e and \vec{p}'_e are the (known) 3-momentum vectors of the incoming and outgoing electron, respectively.

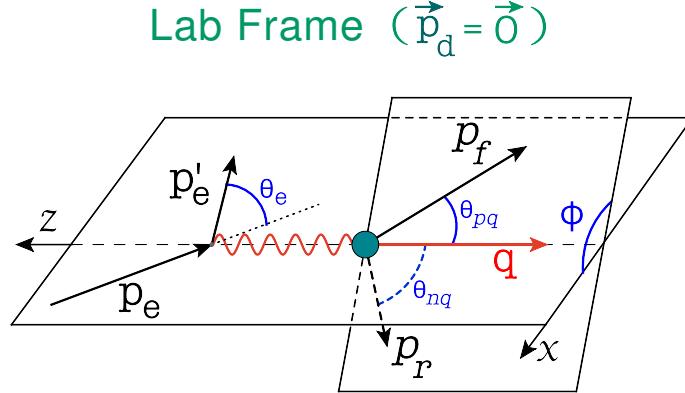


Figure 2.2: Deuteron Lab (rest) Frame.

The second is the center of momentum frame of the virtual photon and deuteron ($\gamma^* d$ -CM), Fig.(2.3), given by the equivalent conditions $\mathbf{p}_d + \mathbf{q} = 0 = \mathbf{p}_r + \mathbf{p}_f$, i.e. it is also the CM frame of the produced proton-neutron nucleons. The coordinate axes coincide with the Lab frame ones,

$$(\hat{x}_{\text{CM}}, \hat{y}_{\text{CM}}, \hat{z}_{\text{CM}}) = (\hat{x}_{\text{lab}}, \hat{y}_{\text{lab}}, \hat{z}_{\text{lab}}) \quad (2.9)$$

In the CM reference frame the four-momenta of the deuteron and virtual photon

γ -d CM Frame

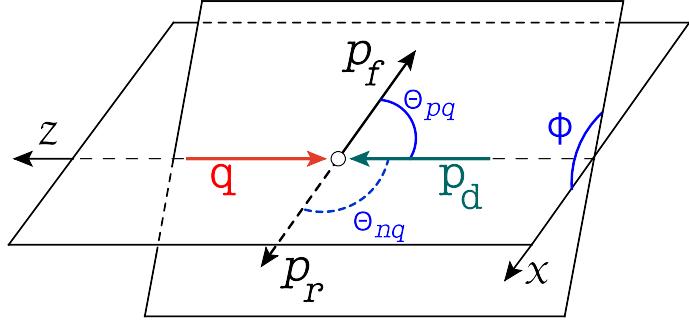


Figure 2.3: Center of momentum frame for the initial two-body system, deuteron and virtual-photon, and also for the produced proton-neutron.

are given in terms of invariant kinematical variables by,

$$\begin{aligned} p_d^\mu &\equiv (E_d, p_{dz}, \mathbf{p}_{dT}) = \left(\frac{\frac{Q^2}{x} + m_d^2}{\sqrt{s}}, \frac{Q^2}{x\sqrt{s}} \sqrt{1 + \frac{m_d^2 x^2}{Q^2}}, \mathbf{0}_T \right) \\ q^\mu &\equiv (q_0, q_z, \mathbf{q}_T) = \left(\frac{\frac{Q^2}{x} - Q^2}{\sqrt{s}}, -\frac{Q^2}{x\sqrt{s}} \sqrt{1 + \frac{m_d^2 x^2}{Q^2}}, \mathbf{0}_T \right) \end{aligned} \quad (2.10)$$

where, the invariant mass s can be written as,

$$s = (p_d + q)^2 = Q^2 \frac{2-x}{x} + m_d^2 \quad (2.11)$$

and the Bjorken variable x is given in the Lab frame by,

$$x = \frac{Q^2}{m_d q_{\text{lab}}^0} \quad (2.12)$$

with q_{lab}^0 being the energy transferred in the Lab frame and m_d the deuteron mass. The mass of the electron is negligible compared with their energies (Eq.2.3), in which case we have, $Q^2 = 4E_e E'_e \sin^2 \frac{\theta_e}{2}$, where E_e (E'_e) is the energy of the incoming (scattered) electron, and θ_e the scattering angle, all their values as measured in the Lab frame.

2.3 Reaction Dynamics

Within the one-photon exchange approximation the Feynman diagrams that describe the reaction Eq.(2.1) given in Fig.2.4. For definiteness, we consider a proton to be the knocked-out nucleon.

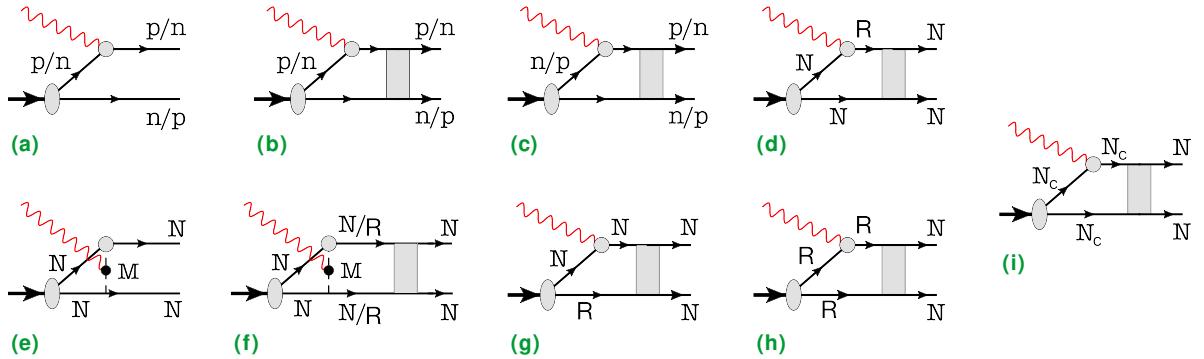


Figure 2.4: Diagrams contributing to the exclusive $d(e, e' p)n$ reaction.

These diagrams represent different mechanisms in electro-disintegration of the deuteron and can be categorized as follows:

- (a) **PWIA contribution:** In Fig.(2.4(a)), the situation in which the nucleon struck by the virtual photon is detected, while the undetected one is a spectator, corresponds to a mechanism called the direct plane-wave impulse approximation, hereafter plane-wave impulse approximation (PWIA). The alternative possibility in which the virtual photon strikes the undetected nucleon while the detected one emerges as a spectator is called the spectator-PWIA. No final state interaction (FSI) is involved. Therefore, the final nucleons emerge as plane waves.
- (b) **Direct FSI contribution:** In this case Fig.(2.4(b)) the struck proton rescatters off the spectator neutron and is detected in the final state.
- (c) **Charge-Interchange FSI contribution:** In the case of Fig.(2.4(c)) the struck-nucleon undergoes a charge interchange interaction with the spectator nucleon.

- (d) **Intermediate State Resonance Production:** In Fig.(2.4(d)), the electromagnetic interaction excites the nucleon into a resonance state which then rescatters with the spectator nucleon resulting in the final proton and neutron.
- (e,f) **Meson Exchange Contributions:** In Fig.(2.4(e)(f)), the electromagnetic interaction takes places with the mesons which are exchanged between initial nucleons in the deuteron.
- (g) **Non-Nucleonic Contributions:** The last three terms contributing to the reaction Fig.(2.4(g),(h),(i)) are sensitive to non-nucleonic components of the deuteron wave function. The first two represent an initial state with baryonic resonance(s), whereas (i) corresponds to the hidden-color component contributions.

2.4 Main Features of High Energy Approximation Electro-Disintegration

Among all the reaction mechanisms accounting for deuteron electro-disintegration, only the PWIA (Fig.2.4 (a)) provides direct access to the NN structure of deuteron. However, a reliable estimate of the PWIA contribution to the reaction requires a systematic way to account for all the remaining reaction mechanisms (diagrams Fig.2.4(b)-(i)) discussed in the previous section. As we explain below, most of the reaction mechanisms can be suppressed if we consider the reaction (2.1) at sufficiently high energy and momentum transfer while guaranteeing that the struck nucleon (carrying almost all the momentum of the virtual photon) is significantly more energetic than the recoiling nucleon. Explicitly, in the Lab frame, we must guarantee,

$$|\mathbf{p}_r^{\text{lab}}| = |\mathbf{q}^{\text{lab}} - \mathbf{p}_f^{\text{lab}}| \ll |\mathbf{p}_f^{\text{lab}}| \sim |\mathbf{q}^{\text{lab}}| \geq Q \gtrsim 2 \text{ GeV/c} \quad (2.13)$$

where, the variables are defined in Fig.(2.1). The consequences of applying the conditions (2.13) are different for each of the individual Feynman diagram in Fig.(2.4(b)-

(i)). It is worth noting that this last observation constitutes the central paradigm of effective field theory, which is that the relevant physics does not come from a graph-by-graph analysis, but rather comes from a scale-by-scale (energy) breakdown.

In the next chapter, we will see that the scattering amplitude for the PWIA (Fig.2.4(a)) is proportional to the deuteron wave function. In the Lab frame, the spectator-PWIA amplitude is then proportional to $\psi_d(p_f^{\text{lab}})$, with $p_f^{\text{lab}} \simeq \text{few GeV}/c$. In contrast, the direct-PWIA graph is proportional to $\psi_d(p_r^{\text{lab}})$, with $p_r^{\text{lab}} \simeq \text{few hundred MeV}/c$. From where it follows that for the PWIA the spectator mechanism is kinematically suppressed with respect to the direct one.

Diagrams containing meson exchange currents (Fig.2.4(e),(f)) bear a dynamical suppression since, under the condition $m_{\text{meson}}^2 \ll Q^2 \sim 1\text{GeV}^2$, they are suppressed compared to the PWIA term by an additional factor of Q^{-6} [45, 46].

The dynamical suppression also occurs in the charge-exchange diagram (Fig.2.4(c)). The charge-exchange mechanism ($pn \rightarrow np$) has an additional factor of $s^{-1/2}$ in the amplitude and a stronger t dependency compared to the direct-FSI rescattering of the nucleons ($pn \rightarrow pn$) [7].

The contributions of the intermediate (baryonic) resonance production mechanism (Fig.2.4(d)), with the Delta production channel ($\gamma^* N \rightarrow \Delta$) being the most important, are subjected to both kinematic and dynamical suppressions. Kinematically, it is possible to control the amount of energy transferred (q_{lab}^0) to the target such that intermediate excited states are suppressed. This corresponds to probing the side of the quasi-elastic peak that is far from the inelastic Δ electroproduction threshold. Dynamically, due to the spin-flip nature of the transition $\gamma^* N \rightarrow \Delta$, a much steeper falloff in the transition form-factor is expected as Q^2 increases, compared to the elastic scattering $\gamma^* N \rightarrow N$ [47, 48].

Previous studies [7, 8, 14] analyzed the available deuteron electro-disintegration

experimental data and were able to conclude that optimal enhancement of the direct PWIA with respect to direct FSI contributions occurs when,

$$p_{r,T} \ll p_{r,z}^{\text{lab}} \quad (2.14)$$

However, FSI contributions are always present and must be included in the calculations.

2.5 High Energy Reactions and the Light-Front Framework

One of the main characteristics of high energy scattering is that the process evolves along a light-like direction (e.g., [2, 63, 64, 24, 68]), making the light-front framework the most natural choice to describe the reaction. An essential feature of the LF approach, which can be exploited when describing high-energy reactions with composite systems, is the possibility of separating the relative momentum between constituents from the system's total momentum. This means that analogous to non-relativistic QM, the center of mass momentum will be kinematical, i.e., it will not carry any information about the dynamics since the three components of \mathbf{P}_{CM} commute with the Hamiltonian [72, 73, 74]. This feature allows for the definition of observables such as form factors, as well as model-dependent quantities like momentum distributions, and spectral functions, all of them given in terms of LF wave functions which exclusively depend on the internal variables [77]².

The Light Front dynamics was first formulated by Dirac [65] in a pioneering study on Hamiltonian quantization. Dirac showed that the observer's time is not the only possible choice for describing the evolution of a system and introduced three consistent frameworks fulfilling this purpose, out of which two of them have been found

²More precisely, this is true for any frame collinear with \mathbf{P}_{CM} , which without loss of generality we can choose along the z-axis.

very useful in the description of quantum mechanical systems. They are the Instant-Form (IF) and Light-Front-Form (LF) of dynamics, which differ by the respective fixed 'time' surface used for the evaluation of (anti)commutators, Fig.(2.5).

Among the advantages of a LF description are the absence of vacuum fluctuations and the possibility to choose a good parameterization of "time" for which the negative energy contribution to the interacting particle's propagator vanishes (see Chapter 3). The latter allows a consistent definition of relativistic wave functions for composite systems, called light-front wave functions (LF-WF) [24, 68].

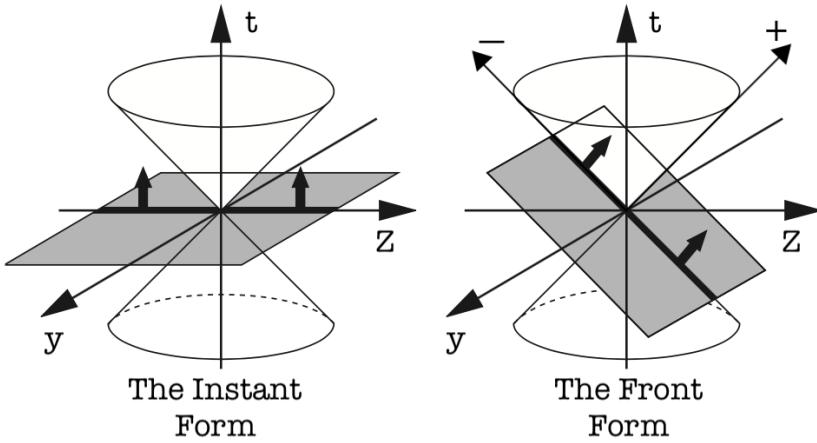


Figure 2.5: Dirac's Instant- and Front-Forms of Canonical Quantization. The equal- "time" quantization planes are shown.

There are different conventions for the LF notation and scalar product. We use the Lepage-Brodsky convention [64] (see also App.B),

$$x^- = t - z$$

$$x^+ = t + z = \tau$$

$$x^\mu = (x^+, x^-, x, y) = (x^+, x^-, \mathbf{x}_T)$$

$$p^\mu = (p^+, p^-, \mathbf{p}_T) = (E + p_z, E - p_z, p_x, p_y) \quad (2.15)$$

$$x \cdot p = \frac{1}{2} (x^+ p^- + x^- p^+) - \mathbf{x}_T \cdot \mathbf{p}_T$$

The evolution is parameterized by the LF-time x^+ , therefore, the Hamiltonian is p^- , since it is the operator conjugated to the time parameter.

The quantization surface is defined by $x^+ = t + z = 0$, which represents a front of light moving along the \hat{z} -axis. The states are labeled by the kinematical variables³: $p^+ = E + p_z$, and, \mathbf{p}_T , which are analogous to the standard labeling of states by the 3-momentum. For a nucleus with the atomic number A , instead of using the component p^+ for the nucleons, it is often convenient to use as a kinematical variable the light-front longitudinal momentum fraction, which is taken proportional to the atomic number (A), i.e., $\alpha = Ap^+/p_A^+$. In our study of deuteron structure we will use, $\alpha_{i,r} = 2p_{i,r}^+/p_d^+$, for the interacting and recoil nucleons (see Fig.2.4).

High Energy Electro-Disintegration in LF variables

The Lab and CM reference frames of Figs.(2.2,2.3) belongs to a family of collinear frames (along the z -axis) that can be parameterized in terms of the LF longitudinal momentum of the deuteron, p_d^+ [9].

The LF components of deuteron and virtual photon momenta can be written in terms of the invariant kinematical variables as,

$$\begin{aligned} p_d^\mu \equiv (p_d^+, p_d^-, \mathbf{p}_{dT}) &= \left(\frac{Q^2}{x\sqrt{s}} \left[1 + \frac{x}{\tau} + \sqrt{1 + \frac{x^2}{\tau}} \right], \frac{Q^2}{x\sqrt{s}} \left[1 + \frac{x}{\tau} - \sqrt{1 + \frac{x^2}{\tau}} \right], \mathbf{0}_T \right) \\ q^\mu \equiv (q^+, q^-, \mathbf{q}_T) &= \left(\frac{Q^2}{x\sqrt{s}} \left[1 - x - \sqrt{1 + \frac{x^2}{\tau}} \right], \frac{Q^2}{x\sqrt{s}} \left[1 - x + \sqrt{1 + \frac{x^2}{\tau}} \right], \mathbf{0}_T \right), \end{aligned} \quad (2.16)$$

where, $\tau = \frac{Q^2}{m_d^2}$.

³Together with other quantum numbers like spin/helicity, etc.

With above light-front components we define the the following quantities relevant to the considered reaction:

$$\alpha_f = \frac{2p_f^+}{p_d^+}, \quad \alpha_q = \frac{2q^+}{p_d^+}, \quad \alpha_r = \frac{2p_r^+}{p_d^+}, \quad \text{and,} \quad \alpha_i = \alpha_f - \alpha_q = 2 - \alpha_r, \quad (2.17)$$

where α_f , α_q and α_r correspond to the fraction of LC "+" component of the deuteron momentum carried by the final knock-out nucleon, virtual photon and recoil nucleon respectively, (rescaled by factor 2). The light-cone momentum fraction of the bound nucleon α_i is defined through the energy-momentum conservation.

The crucial feature of these momentum fractions is that they are invariant for boosts in the \hat{z} direction, taken here anti-parallel to the momentum transfer \mathbf{q} (see Fig.2.2). The same is true for the transverse components of the momentum, \mathbf{p}_T . For example, in the lab frame of the deuteron, these variables for the recoil particle are given by

$$\begin{aligned} \alpha_r &= \frac{\sqrt{m_N^2 + p_{r,\text{lab}}^2} - p_r^{\text{lab}} \cdot \cos \theta_r^{\text{lab}}}{m_d/2} \\ p_{r,x} &= p_r^{\text{lab}} \sin \theta_r^{\text{lab}} \cos \phi_r^{\text{lab}} \\ p_{r,y} &= -p_r^{\text{lab}} \sin \theta_r^{\text{lab}} \sin \phi_r^{\text{lab}}, \end{aligned} \quad (2.18)$$

where, θ_r^{lab} and ϕ_r^{lab} are polar and azimuthal angles of the recoil nucleon in the Lab reference frame. The Lab coordinates were defined in section (2.2).

2.6 Relevant Diagrams for High Energy and Momentum Transfer

The discussion of the previous section leaves us with the following sets of diagrams which are not suppressed in the high-energy and momentum transfer kinematics considered in this work. These are the direct PWIA and FSI contributions (Fig.2.6), for which due to dominance of the pomeron exchange, the $p n \rightarrow p n$ small angle

rescattering amplitude becomes practically energy independent [45]. There is no apparent reason either for the suppression of diagrams (Fig.2.4(g-i)) representing non-nucleonic components in the deuteron wave function within the conditions of the high energy limit and large values of recoil nucleon momenta in the lab frame. For example, one expects a gradual increasing contribution from the non-nucleonic component (Fig.2.4(g-i)) in the limit of $p_{i,\text{lab}} > 700 - 750 \text{ MeV}$ [6].

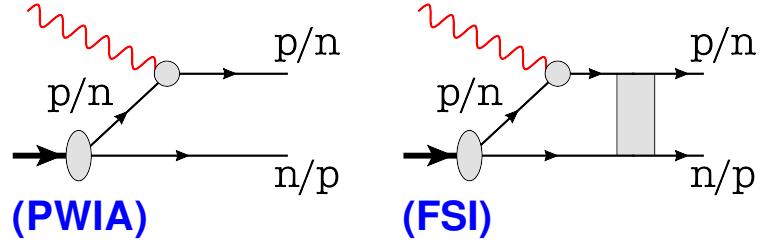


Figure 2.6: PWIA and FSI for deuteron electro-disintegration.

Nevertheless, there is an advantage for evaluating the above diagrams at high energy and momentum transfer kinematics, and that is the onset of the eikonal regime. Here the final state rescattering can be described through the effective $NN \rightarrow NN$, or, $NR \rightarrow NR$ amplitudes⁴, which can be taken from high energy baryon-baryon scattering experiments. In the high energy and momentum transfer limit we have[45],

$$\frac{q_{\text{lab}}^0 - |\mathbf{q}_{\text{lab}}|}{q_{\text{lab}}^0 + |\mathbf{q}_{\text{lab}}|} \ll 1 \quad (2.19)$$

which in the chosen reference frame of Eqs.(2.10) and (2.16) corresponds to

$$\frac{q^+}{q^-} \sim \frac{p_d^-}{p_d^+} \ll 1. \quad (2.20)$$

In Fig.2.7 the above condition is checked for different Q^2 for the reaction of Eq.(2.1).

As the figure shows, one expects the high energy approximation will start to be valid at $Q^2 \gtrsim 2 \text{ GeV}^2$ and improve further with an increase of Q^2 . Assuming that the condition of Eq.(2.20) is satisfied, the diagrams involving FSI amplitudes in Fig.2.4

⁴These are the nucleon-nucleon and the nucleon-resonance scattering.

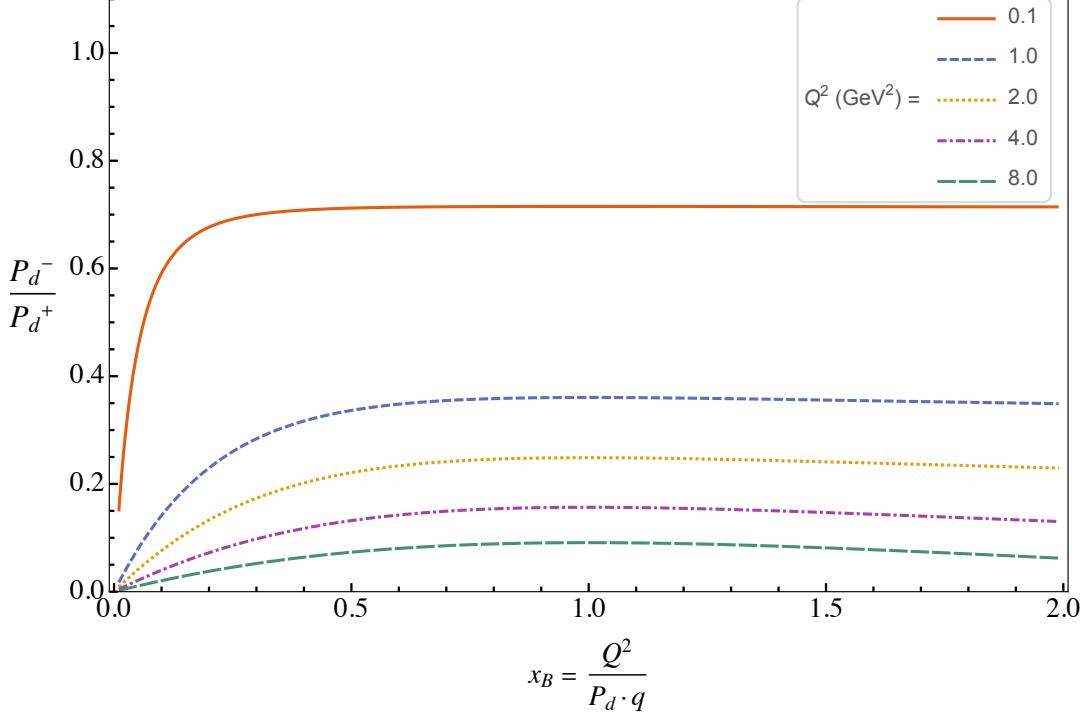


Figure 2.7: The x dependence of $\frac{p_d^-}{p_d^+}$ ratio at different Q^2 .

can be systematically calculated applying standard or Light-Front effective Feynman diagrammatic methods. Both cases involve effective transition vertices and effective amplitudes for the NN rescattering (see, e.g., Ref.[45]).

2.7 Electro-Disintegration Cross-Section

The differential cross-section for the electro-disintegration reaction within the one photon-exchange approximation allows to factorize electron and hadronic parts of the interaction in the invariant Feynman amplitude as follows,

$$\mathcal{M} = \langle \lambda_f | j_e^\nu | \lambda_i \rangle \frac{e^2 g_{\nu\mu}}{q^2} \langle s_f, s_r | A^\mu | s_d \rangle, \quad (2.21)$$

where, q^2 is the virtual photon's momentum squared. The leptonic current j_e , is defined by

$$\langle \lambda_f | j_e^\nu | \lambda_i \rangle = \bar{u}(k_f, \lambda_f) \gamma^\nu u(k_i, \lambda_i) \quad (2.22)$$

and, $\langle s_f, s_r | A^\mu | s_d \rangle$ represents the (total) invariant amplitude of $\gamma^* d \rightarrow NN$ scattering.

Using Eq.(2.21) for the differential cross-section of reaction (2.1) one obtains:

$$\frac{d\sigma}{d^3 k_f / \epsilon_f d^3 p_f / E_f} = \frac{1}{4\sqrt{(p_d \cdot k_i)^2}} \frac{e^4}{q^4} L^{\mu\nu} H_{\mu\nu} \frac{\delta((q + p_d - p_f)^2 - m_N^2)}{4(2\pi)^5} \quad (2.23)$$

where, terms proportional to electron's mass squared (m_e^2) are neglected. The leptonic tensor is given in terms of the elementary (relativistic) spin 1/2 electro-magnetic current,

$$L^{\mu\nu} = \frac{1}{2} \sum_{\lambda_1 \lambda_2} (\bar{u}(k_f, \lambda_f) \gamma^\nu u(k_i, \lambda_i))^\dagger \bar{u}(k_f, \lambda_f) \gamma^\mu u(k_i, \lambda_i) \quad (2.24)$$

whereas the nuclear electromagnetic tensor is expressed through the scattering amplitude A^μ as follows:

$$H^{\mu\nu} = \frac{1}{3} \sum_{s_d s_r s_f} \langle s_d | A^{\mu\dagger} | s_f, s_r \rangle \langle s_f, s_r | A^\nu | s_d \rangle. \quad (2.25)$$

As a consequence of the kinematics constrain discussed in the previous sections, we only need to consider in our calculation the processes corresponding to diagrams, Fig.2.4 (a),(b), and (c), i.e.,

$$A^\mu = A_{0,\text{dir}}^\mu + A_{1,\text{dir}}^\mu \quad (2.26)$$

In the next two chapters (3,4) we elaborate on the details involved in the calculation of the PWIA amplitude ($A_{0,\text{dir}}^\mu$), first term on the right hand side of Eq.(2.26). The calculation of the second term, $A_{1,\text{dir}}^\mu$, will be the focus of chapter 5. Finally, the remaining term $A_{1,\text{chex}}^\mu$, is expected to be small in the kinematics of interest for the current research, thus, it is out of the scope of the present work.

CHAPTER 3

PLANE WAVE IMPULSE APPROXIMATION

The non-triviality of an accurate description for electro-scattering from deeply bound nucleon within a nucleus was evident since the 1980s, with the first intermediate energy experiments at SACLAY[58, 59] and NIKHEF[60]. The first theoretical calculations relied on different prescriptions to describe the nucleon's change when bound in a nucleus. For example, one of the earliest models[61], assumed that bound and free nucleons would be equal in all respects except for their mass, with the bound nucleon's mass estimated by momentum conservation.

Currently, the most popular model is due to de Forest[62]. de Forest considered eight different expressions for the electro-bound-nucleon cross-section (eN_{bound}), which are derived from different assumptions about the electromagnetic (EM) interaction. The EM interaction is modeled by exchanging a virtual photon (γ^*) between the electron and bound nucleon, represented by the effective vertex $\Gamma_{\gamma^*N}^\mu$. Once again, the bound nucleon is approximated by a free one. Hence, on-shell spinors are used. No preference is given to any of the considered eight expressions of the eN_{bound} cross-section, and as such, these approximations allowed to check the uncertainty due to binding effects rather than calculating their actual values¹. Such an approach was characteristic to the intermediate scattering energy experiments (with a momentum transfer of a few hundred MeV/c), where the lack of a small parameter makes impractical the treatment of the strong binding effects in the nucleon electromagnetic

¹In other words, these studies remained largely qualitative.

current.

3.1 Reason for Time-Ordering

We consider the single-photon exchange case of the reaction 2.1 (Fig.2.1) within covariant plane wave impulse approximation (PWIA), corresponding to the diagram of Fig.(3.1). The first problem we encounter in high-energy processes is the increasing probability of negative energy states.

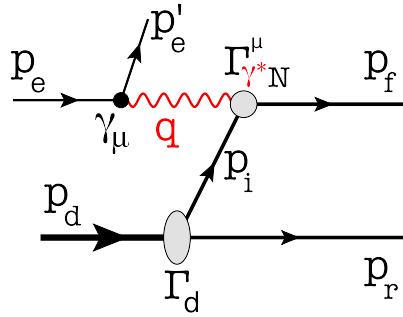


Figure 3.1: Exclusive electro-disintegration of the deuteron in plane wave impulse approximation (PWIA).

In this case (PWIA) the off-shellness of the bound nucleon is completely defined by the four-momentum of the deuteron, p_d and spectator nucleon p_r , we have,

$$p_i = p_d - p_r \quad (3.1)$$

If one introduces $\Gamma_{\gamma^* N}^\mu$ and Γ_d covariant vertices (Fig.3.1) then the PWIA amplitude A_0^μ is given by,

$$\langle s_f, s_r | A_0^\mu | s_d \rangle = -\bar{u}(p_f, s_f) \Gamma_{\gamma^* N}^\mu \frac{\not{p}_i + m_N}{p_i^2 - m_N^2} \bar{u}(p_r, s_r) \Gamma_{dNN}^\nu \chi_\nu^{s_d}, \quad (3.2)$$

where, χ^{s_d} is the spin wave function of the deuteron.

As follows from the above equation, A_0^μ contains neither the electron-bound nucleon scattering nor the nuclear wave function in the explicit form. The eN_{bound} scattering and the nuclear wave function appear only when one considers the ampli-

tude of Fig.(3.1) within time-ordered perturbation theory, in which case the covariant Feynman diagram splits into two noncovariant time-orderings, as presented in Fig.(3.2).

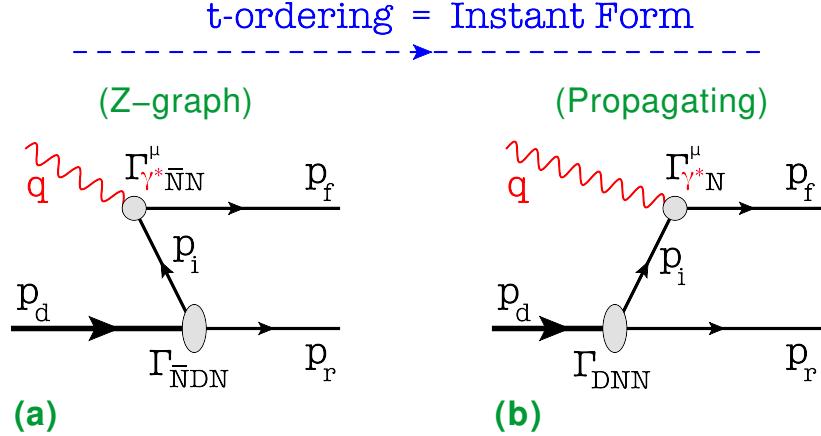


Figure 3.2: Representation of the covariant scattering amplitude (a) as a sum two time-ordered diagrams. (a) Production of the $\bar{N}N$ pair by the virtual photon with subsequent absorption of the anti-nucleon by the deuteron; (b) Virtual photon scattering from the bound nucleon.

Fig.(3.2(b)) represents a scenario in which the virtual photon interacts with the preexisting bound nucleon in the deuteron, with Γ_{dNN} representing the vertex of $d \rightarrow NN$ transition and the $\gamma^* N \rightarrow N$ electromagnetic interaction. This contribution corresponds to the noncovariant PWIA, in which case the eA cross-section is expressed through the product of eN cross-section and noncovariant nuclear spectral function.

Fig.(3.2(a)) however, represents a very different scenario. In this case, the virtual photon couples to an intermediate vacuum fluctuation $N\bar{N}$ pair, which is parameterized by the transition vertex $\Gamma_{\gamma^*\bar{N}N}^\mu$. Subsequently, the anti-nucleon (\bar{N}) is reabsorbed into the deuteron at the $\Gamma_{\bar{N}DN}$ vertex. The latter process is not straightforward related to the the nucleon content in the wave function of the deuteron. Figure (3.2(a)) is commonly referred to as a "Z-graph" and is a purely relativistic effect. In the non-relativistic limit, one deals only with the diagram of Fig.(3.2(b)), which allows to ap-

proximate the covariant scattering amplitude with the product of the nonrelativistic nuclear wave function and the amplitude for the virtual-photon scattering off the bound-nucleon ($\gamma^* N_{\text{bound}}$). However, the situation becomes more complicated when one is interested in bound nucleon 3-momenta comparable with its mass ($|\mathbf{p}_i| \sim m_N$), which can be probed at momentum transfer $|\mathbf{q}| \gg m_N$ (see, Eq.2.13). In this case the "Z-graph" contribution (Fig.3.2(a)) becomes comparable with the one in Fig.(3.2(b)), preventing the straightforward factorization of the nuclear wave function. Thus, conventional noncovariant PWIA is inapplicable for the description of electron scattering from deeply bound (relativistic) nucleons in the nucleus.

This situation is reminiscent of the QCD processes in probing the partonic structure of hadrons, in which case, due to the relativistic nature of partons, the vacuum diagrams can not be neglected when the time-ordered perturbation theory is applied in the Lab reference frame of the hadron[2]. The solution is to consider the scattering process in the infinite momentum frame (or on the light-front), which allows suppressing the "Z-graphs" and consider only the diagrams like Fig.3.2 (b) for which one can introduce the wave function of the constituents.

Our approach in probing deeply bound nucleon is similar to that of the partonic model, in which we consider the reaction (2.1) on the light-front, allowing us to exclude the contribution of the vacuum diagrams (Fig.3.2(a)) and consequently be able to define a (light-front) nuclear wave function with a probabilistic interpretation.

3.2 Light-Front Scattering Amplitude in the PWIA

We consider now the reaction (2.1) on the light-front, where the light-cone time is defined as $\tau \equiv t + z$. The calculation of the scattering amplitude corresponding to the PWIA proceeds by applying Light-Front perturbation rules ([63, 64]) in an effec-

tive theory in which one identifies effective vertices for the nuclear transitions and the electron-bound nucleon scattering (see App. B). The covariant scattering amplitude (3.2) is expressed as a sum of noncovariant diagrams ordered in τ -time, shown in Fig.3.3. At each vertex on the τ -ordered diagrams the transverse, \mathbf{p}_T , and plus, p^+ , components of momenta are conserved. Here, in addition to the two τ orderings analogous to the t -time ordering of Fig.3.2 there is an additional contribution Fig.3.3(c). The latter is related to the spinor nature of the bound nucleon and corresponds to the so-called instantaneous interaction.

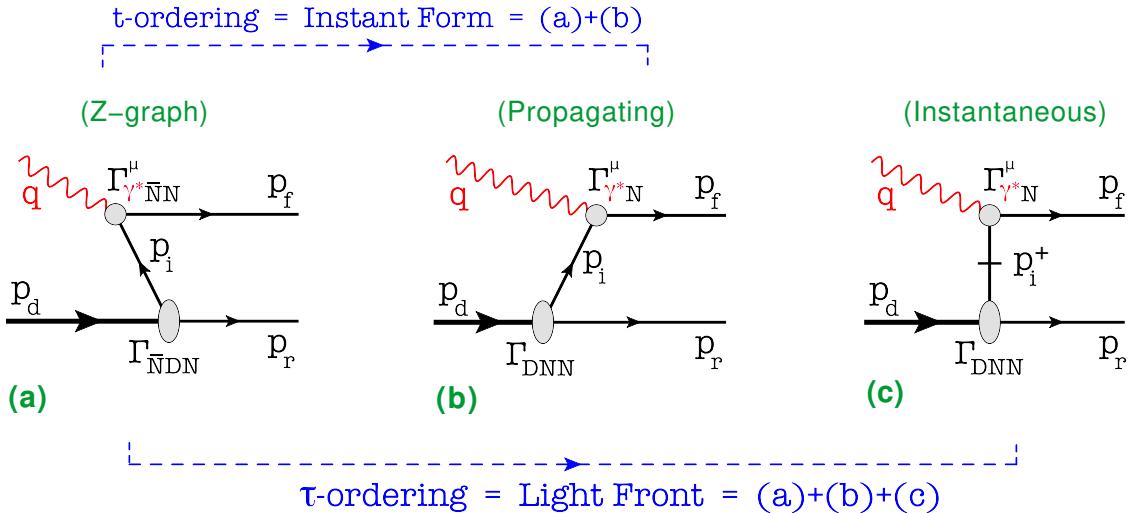


Figure 3.3: Representation of the covariant scattering amplitude as a sum of two light-cone (τ)-ordered diagrams as well as instantaneous interaction. (a) Production of the $\bar{N}N$ pair by the virtual photon with subsequent absorption of the antinucleon by the deuteron; (b) Virtual photon scattering from the bound nucleon; (c) Instantaneous interaction of virtual photon with the bound nucleon.

Our conventions for the scattering and reaction planes, as well as the reference frames used, are depicted in Fig.(3.4). They belong to a family of collinear frames related by boosts along the z-axis. The \hat{z} -axis is oriented opposite to the virtual photon 3-momentum, ($\hat{z} \parallel -\vec{q}$). The scattering plane corresponds to,

$$(\hat{x}_{\text{scatt}}, \hat{y}_{\text{scatt}}, \hat{z}_{\text{scatt}}) = \left(\frac{\vec{q} \times \hat{y}_{\text{scatt}}}{|\vec{q} \times \hat{y}_{\text{scatt}}|}, \frac{\vec{q} \times \vec{p}_e}{|\vec{q} \times \vec{p}_e|}, -\frac{\vec{q}}{|\vec{q}|} \right) \quad (3.3)$$

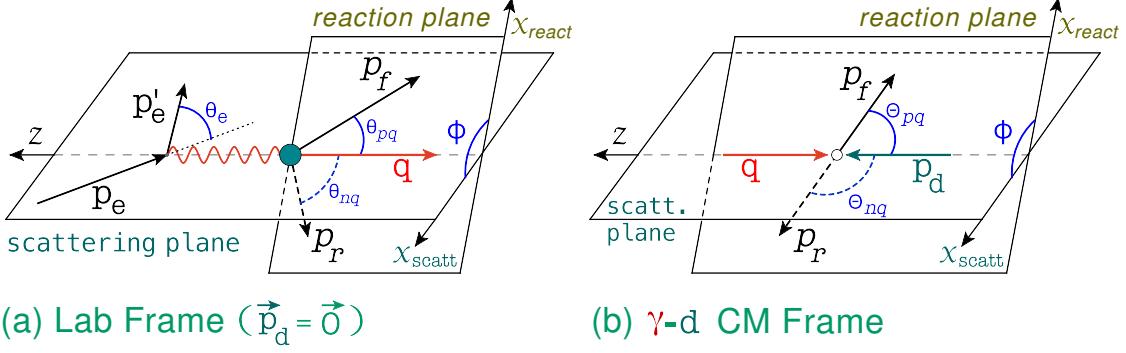


Figure 3.4: Scattering and Reaction Planes.

where, \vec{p}_e (\vec{p}'_e) is the 3-momentum vector of the incoming (scattered) electron. The reaction plane is defined by,

$$(\hat{x}_{\text{react}}, \hat{y}_{\text{react}}, \hat{z}_{\text{react}}) = \left(\frac{\vec{q} \times \hat{y}_{\text{react}}}{|\vec{q} \times \hat{y}_{\text{react}}|}, \frac{\vec{p}_f \times \vec{q}}{|\vec{p}_f \times \vec{q}|}, -\frac{\vec{q}}{|\vec{q}|} \right) \quad (3.4)$$

Notice that conventionally the z -axis is defined parallel to \vec{q} . The reason for our choice will become apparent in Section(3.1).

Our choice of reference frame, with the \hat{z} axis antiparallel to the transferred momentum ($\hat{z} \parallel -\mathbf{q}$) implies, $q^+ = q_0 - |\mathbf{q}| < 0$. Therefore, the diagram of Fig.3.3(a) (Z-graph) is kinematically forbidden since the production of the $\bar{N}N$ pair requires $q^+ > 0$.

The remaining two diagrams represent the amplitudes in which the virtual photon knocks-out a bound nucleon which propagates from the $d \rightarrow NN$ transition vertex to the $\gamma^* N$ interaction point, A_{prop}^μ (Fig.3.3(b)), and the instantaneous amplitude, A_{inst}^μ (Fig.3.3(c)) in which $d \rightarrow NN$ transition and $\gamma^* N$ interaction take place at the same light cone time (τ). In both diagrams, the nucleus exposes its constituents, and the scattering takes place off the bound nucleon, allowing for a probabilistic interpretation of the scattering process with the bound nucleon.

The propagating part of the scattering amplitude (Fig.3.3(b)) yields,

$$\langle s_f, s_r | A_{\text{prop}}^\mu | s_d \rangle = -\bar{u}(p_f, s_f) \Gamma_{\gamma^* N}^\mu \frac{1}{p_i^+} \frac{(\not{p}_i + m_N)_{\text{on}}}{(p_d^- - p_r^- - p_{i,\text{on}}^-)} \bar{u}(p_r, s_r) \Gamma_{dNN} \chi^{s_d}, \quad (3.5)$$

where p_d^- , p_r^- and $p_{i,\text{on}}^-$ are defined from the light cone energy on-shell condition,

$$p_j^- = \frac{m_j^2 + \mathbf{p}_{j\text{T}}^2}{p_j^+} \quad (3.6)$$

with, $j = d, r, (i, \text{on})$. The subscript "on" indicates that the light-cone components of the bound nucleon momenta are taken on-energy shell.

For the instantaneous diagram (Fig.3.3(c)) applying the rules of App.(B) one obtains,

$$\langle s_f, s_r | A_{\text{inst}}^\mu | s_d \rangle = -\bar{u}(p_f, s_f) \Gamma_{\gamma^* N}^\mu \frac{1}{p_i^+} \left(\frac{1}{2} \gamma^+ \right) \bar{u}(p_r, s_r) \Gamma_{\text{dNN}} \chi^{s_d}. \quad (3.7)$$

Note that in both expressions (3.5) and (3.7) one has the same nuclear, Γ_{dNN} , and electromagnetic, $\Gamma_{\gamma^* N}$, vertices.

For further elaborations, we introduce the off-energy shell "-" component of the bound nucleon, $p_i^- = p_d^- - p_r^-$, which is defined through the on-energy-shell "-" component of the deuteron and recoil nucleon,

$$\frac{1}{p_d^- - p_r^- - p_{i,\text{on}}^-} = \frac{1}{p_i^- - p_{i,\text{on}}^-} = \frac{p_d^+}{m_d^2 - 4 \frac{(m_N^2 + \mathbf{p}_{\text{T}}^2)}{\alpha(2-\alpha)}} \quad (3.8)$$

where, we have used Eq.(2.17). Together with the completeness relation for on-shell spinors,

$$(\not{p}_i + m_N)_{\text{on}} = \sum_{s_i} (u(p_i, s_i) \bar{u}(p_i, s_i))_{\text{on}} \quad (3.9)$$

the PWIA scattering amplitude can be rewritten as,

$$\begin{aligned} A_0^\mu &= A_{\text{prop}}^\mu + A_{\text{inst}}^\mu = -\bar{u}(p_f, s_f) \Gamma_{\gamma^* N}^\mu \frac{\sum_{s_i} u(p_i, s_i) \bar{u}(p_i, s_i)}{\frac{\alpha}{2} \left(m_d^2 - 4 \frac{m_N^2 + \mathbf{p}_{\text{T}}^2}{\alpha(2-\alpha)} \right)} \bar{u}(p_r, s_r) \Gamma_{\text{dNN}} \chi^{s_d} \\ &\quad - \bar{u}(p_f, s_f) \Gamma_{\gamma^* N}^\mu \frac{\frac{1}{2} \gamma^+ (p_i^- - p_{i,\text{on}}^-)}{\frac{\alpha}{2} \left(m_d^2 - 4 \frac{m_N^2 + \mathbf{p}_{\text{T}}^2}{\alpha(2-\alpha)} \right)} \bar{u}(p_r, s_r) \Gamma_{\text{dNN}} \chi^{s_d} \end{aligned} \quad (3.10)$$

and it follows that can be factorized into the product of two terms,

$$A_0^\mu = A_{\text{prop}}^\mu + A_{\text{inst}}^\mu = \sum_{s_i} J_N^\mu(p_f s_f, p_i s_i) \frac{\psi_{\text{LF}}^{s_i s_r s_d}(\alpha, \mathbf{p}_{\text{T}})}{\alpha} \sqrt{2(2\pi)^3}, \quad (3.11)$$

the light-front wave function, which is defined by[24, 69]:

$$\psi_{\text{LF}}^{s_i s_r s_d}(\alpha, \mathbf{p}_T) = -\frac{\bar{u}(p_i, s_i)\bar{u}(p_r, s_r)\Gamma_{\text{dNN}}\chi^{s_d}}{\frac{1}{2}\left(m_d^2 - 4\frac{m_N^2 + \mathbf{p}_T^2}{\alpha(2-\alpha)}\right)} \frac{1}{\sqrt{2(2\pi)^3}} \quad (3.12)$$

and the electromagnetic current for the bound nucleon,

$$J_N^\mu(p_f s_f, p_i s_i) = \bar{u}(p_f s_f)\Gamma_{\gamma^* N}^\mu u(p_i s_i) + \bar{u}(p_f s_f)\Gamma_{\gamma^* N}^\mu \frac{\Delta \not{p}_i}{2m_N} u(p_i s_i) \quad (3.13)$$

where, $2\Delta \not{p}_i = \gamma^+(p_i^- - p_{i,\text{on}}^-)$. For more details we refer the reader to Ref.[50].

The current operator of Eq.(3.13) is half off-shell, i.e., the initial state of the nucleon is off-shell while the final state is on-shell. It differs from the free nucleon EM current in the additional last term. Our primary focus in the following sections will be the calculation of this electromagnetic current.

3.3 Nucleon EM Current and Form Factors

The most general parameterization for the matrix element electromagnetic (EM) current operator (j_{EM}^μ)_{free} of the free nucleon satisfying Lorentz and gauge invariance consists of two form factors (FF), its matrix elements are given by,

$$\langle p', s' | j_\mu | p, s \rangle = \bar{u}(p', s') \left[F_1(Q^2) \gamma_\mu + \frac{F_2(Q^2)}{2m} \sigma_{\mu\nu} q^\nu \right] u(p, s) \quad (3.14)$$

where, m is the nucleon mass, p and s (p' and s') are the initial (final) nucleon momentum and spin respectively. The Dirac and Pauli form factors, F_1 and F_2 , are scalar functions of the four-momentum transfer squared, $q^2 = (p' - p)^2 < 0$ ($Q^2 = -q^2 > 0$). The FF are normalized at $Q^2 = 0$ as follows,

$$F_1^p(0) = 1, \quad F_1^n(0) = 0, \quad F_2^p(0) = \kappa_p, \quad F_2^n(0) = \kappa_n \quad (3.15)$$

with, $\kappa_p = 1.79$, ($\kappa_n = -1.91$), the anomalous magnetic moment of proton (neutron) in units of nuclear magneton.

For a static target ($m \rightarrow \infty$) the charge and magnetization distributions are given as Fourier transforms of the Sachs FF,

$$\begin{aligned} G_E(Q^2) &= F_1(Q^2) - \tau F_2(Q^2) \\ G_M(Q^2) &= F_1(Q^2) + F_2(Q^2) \end{aligned} \quad (3.16)$$

where, $\tau = Q^2/(4M^2)$. When the recoil of the target is not small and can not be ignored, the interpretation of G_E and G_M as the Fourier transforms of the charge and magnetization distributions can still be valid if we describe the process in the Breit frame (BF), which is defined by: $Q^2 = \mathbf{q}_{\text{BF}}^2$ ($q_{\text{BF}}^0 = 0$). This follows from the fact that in the BF, the initial three-momentum (\mathbf{p}_i) of the interacting particle is equal to minus its final three-momentum ($\mathbf{p}_f = -\mathbf{p}_i$), henceforth during the interaction, the target appears to be (on average) as if it is at rest. Thus, the Breit frame picture is the closest to have the target at rest during the interaction. Furthermore, we have

$$\langle p', s' | j_\mu | p, s \rangle \xrightarrow{\text{BF}} \langle -p, s' | j_\mu | p, s \rangle = \bar{u}(-p, s') \Gamma u(p, s) \quad (3.17)$$

showing, that the matrix elements of the electromagnetic current receive an equivalent contribution from relativistic effects (like Lorentz contraction), which arise from the target's motion.

Contributions to the Electromagnetic Current

To identify the propagating and instantaneous parts of the electromagnetic current in Eq.(3.13), we consider first the electromagnetic vertex $\Gamma_{\gamma^* N}^\mu$. Since the final state of the interacting nucleon is on mass shell, and only the positive light-front energy projections enter in the amplitude, we are led to the half off-shell vertex function in the general form (see e.g. [83, 84, 85]):

$$\Gamma_{\gamma^* N}^\mu = \gamma^\mu F_1 + i\sigma^{\mu\nu} q_\nu F_2 \frac{\kappa}{2m_N} + q^\mu F_3, \quad (3.18)$$

where the form-factors $F_{1,2,3} = F_{1,2,3}(m_N^2, p_i^2, q^2)$ are functions of Lorentz invariants constructed from the momenta of initial and final nucleons and momentum transfer q . In general one expects $F_{1,2}(m_N^2, p_i^2, q^2)$ not to be identical with the corresponding on-shell nucleon form-factors ($F_{1,2}(m_N^2, m_N^2, q^2)$). This difference is due to the modification of the internal structure of nucleons in the nuclear medium. Such modification, in principle, should originate from the dynamics similar to the one responsible for the medium modification of partonic distributions of bound nucleon - commonly referred to as EMC effect ([86]). This, however, is out of the scope of our discussion since we are interested only in the effects related to the off-shellness of the interacting nucleon's electromagnetic current. Thus, in the numerical estimates, we will use unmodified nucleon form-factors measured for free nucleons. Concerning F_3 , it does not contribute to the cross-section of the process due to the gauge invariance of the leptonic current: $q_\mu j_e^\mu = 0$. However, for consistency, one can estimate the F_3 form-factor based on the fact that due to the conservation of the momentum sum rule in the light-front approach, the electromagnetic current of the bound-nucleon is conserved:

$$q_\mu J_N^\mu = 0. \quad (3.19)$$

Using Eq.(3.13) together with (3.18) one obtains: $F_3 = F_1 \frac{q}{Q^2}$. Inserting the later into Eq.(3.18) one can separate the propagating and instantaneous parts of the electromagnetic vertex in the form

$$\Gamma_{\gamma^* N}^{(\text{prop})\mu} = \gamma^\mu F_1 + i\sigma^{\mu\nu} q_\nu F_2 \frac{\kappa}{2m_N}, \quad (3.20)$$

and,

$$\Gamma_{\gamma^* N}^{(\text{inst})\mu} = \left(\gamma^\mu F_1 + i\sigma^{\mu\nu} q_\nu F_2 \frac{\kappa}{2m_N} \right) \frac{\Delta\phi_i}{2m_N} - F_1 \frac{q^\mu}{q^2} \not{q} \left(\mathbf{1} + \frac{\Delta\phi_i}{2m_N} \right), \quad (3.21)$$

where, $\Delta p_i^\mu = p_i^\mu - p_{i,\text{on}}^\mu$ and $2\Delta\cancel{p}_i = \gamma^+(p_i^- - p_{i,\text{on}}^-)$ since, $\Delta p_i^+ = \Delta p_{i\text{T}} = 0$ will use the relation:

$$\Delta p_i^- = -q^- + (p_f^- - p_{i,\text{on}}^-) = \frac{Q^2}{q^+} - \frac{m_N^2 + \mathbf{p}_{\text{T}}^2}{p_f^+ p_i^+} q^+ = \frac{1}{p_d^+} \left(m_d^2 - 4 \frac{(m_N^2 + \mathbf{p}_{\text{T}}^2)}{\alpha(2-\alpha)} \right), \quad (3.22)$$

as well as:

$$2\Delta p_i \cdot p_i = \Delta p_i^- p_i^+ = p_i^2 - m_N^2, \quad (3.23)$$

which allow to express the electromagnetic current in boost-invariant variables.

The separation of the electromagnetic vertex into propagating and instantaneous parts in Eqs.(3.20) and (3.21) allows to separate the electromagnetic current in Eq.(3.13) into corresponding parts in the following form:

$$J_N^\mu(p_f s_f, p_i s_i) = J_{\text{prop}}^\mu(p_f s_f, p_i s_i) + J_{\text{inst}}^\mu(p_f s_f, p_i s_i) \quad (3.24)$$

where,

$$\begin{aligned} J_{\text{prop}}^\mu(p_f s_f, p_i s_i) &= \bar{u}(p_f s_f) \Gamma_{\gamma^* N}^{(\text{prop})\mu} u(p_i s_i) \\ J_{\text{inst}}^\mu(p_f s_f, p_i s_i) &= \bar{u}(p_f s_f) \Gamma_{\gamma^* N}^{(\text{inst})\mu} u(p_i s_i). \end{aligned} \quad (3.25)$$

It is worth mentioning that even though the propagating vertex in Eq.(3.20) has the same form as the free on-shell nucleon vertex the corresponding electromagnetic current J_{prop}^μ does not correspond to an on-shell scattering amplitude, since $q^\mu \neq p_f^\mu - p_{i,\text{on}}^\mu$. Also, the current conservation (Eq.(3.19)) is satisfied only for the sum of the propagating and instantaneous currents in Eq.(3.24).

Off-Shell Parameter of eN_{bound} Scattering

While the off-shell effects in the propagating vertex of Eq.(3.20) are kinematical, due to the fact that $q^\mu \neq p_f^\mu - p_{i,\text{on}}^\mu$, the off-shell effects in the instantaneous vertex are dynamical. The latter interaction arises exclusively due to the binding of the nucleon.

As it follows from Eq.(3.21) the strength of the instantaneous vertex is proportional to the magnitude of the factor Δp_i^- defined in Eq.(3.22). One can express the Δp_i^- factor through a boost invariant quantities by defining the light-front reference frame such that the four-momenta of the deuteron, p_d^μ and momentum transfer q^μ are:

$$\begin{aligned} p_d^\mu &= \left(\frac{Q^2}{m_N}, \frac{m_d^2 m_N}{Q^2}, \mathbf{0}_T \right) \\ q^\mu &= \left(-\frac{Q^2 x}{m_N \left(1 + \sqrt{1 + \frac{4m_N^2 x^2}{Q^2}} \right)}, \frac{m_N}{x} \left(1 + \sqrt{1 + \frac{4m_N^2 x^2}{Q^2}} \right), \mathbf{0}_T \right). \end{aligned} \quad (3.26)$$

Using above definitions one introduces the off-shell parameter η such that,

$$\Delta p_i^- = -m_N \eta, \quad (3.27)$$

where,

$$\eta = \frac{1}{Q^2} \left(4 \frac{(m_N^2 + \mathbf{p}_T^2)}{\alpha(2-\alpha)} - m_d^2 \right), \quad (3.28)$$

which coincides with the ratio between the binding effects on the nucleon and the resolution of the probe (Q^2).

In the next section, we show how the half-off-shell cross-section can be expanded in powers of the parameter η , hence providing a universal measure to off-shell effects in the quasi-elastic reaction.

3.4 Electron Bound-Nucleon Scattering Cross-Section

In many practical applications one needs to evaluate the electron-bound-nucleon cross-section σ_{eN} as it is defined in Ref.[62]. Such a cross-section is calculated within PWIA in which case using Eq.(3.11) the nuclear electromagnetic tensor of Eq.(2.25) can be expressed as follows:

$$H^{\mu\nu} = H_N^{\mu\nu}(p_f, p_i) \rho_d(\alpha, \mathbf{p}_T) \frac{2-\alpha}{\alpha^2} 2(2\pi)^3 \quad (3.29)$$

where the spin averaged light cone density matrix of the deuteron $\rho_d(\alpha, \mathbf{p}_T)$ and bound-nucleon electromagnetic tensor $H_N^{\mu\nu}(p_f, p_i)$ are defined by,

$$\rho(\alpha, \mathbf{p}_T) = \frac{1}{2s_d + 1} \cdot \frac{1}{2} \sum_{s_d, s_i, s_r} \frac{|\psi_{\text{LF}}^{s_i s_r s_d}(\alpha, \mathbf{p}_T)|^2}{2 - \alpha} \quad (3.30)$$

and

$$H_N^{\mu\nu} = \frac{1}{2} \sum_{s_i s_f = -1/2}^{1/2} J_N^\nu(p_f s_f, p_i s_i)^\dagger J_N^\mu(p_f s_f, p_i s_i) \quad (3.31)$$

In the invariant cross-section for the PWIA (Eq.2.23), we replace the hadronic tensor $H^{\mu\nu}$ by the factorization of Eq.(3.29), which yields

$$\frac{d\sigma}{d^3 k_f / \epsilon_f d^3 p_f / E_f} = \frac{1}{2p_d \cdot k_i} \frac{\alpha_{\text{EM}}^2}{q^4} L_{\mu\nu} H_N^{\mu\nu} \rho(\alpha, \mathbf{p}_T) \frac{2-\alpha}{\alpha^2} \delta(p_r^2 - m_N^2), \quad (3.32)$$

where, $\alpha_{\text{EM}} = e^2/(4\pi)$ is the electro-magnetic coupling constant. Finally, introducing the Light-Front nuclear spectral function (Ref.[62])

$$S_d^{\text{LF}}(\alpha, \mathbf{p}_T) = \rho_d(\alpha, \mathbf{p}_T) \frac{2-\alpha}{\alpha^2} \delta(p_r^2 - m_N^2) \quad (3.33)$$

one can present the differential cross-section as a product of σ_{eN} and the spectral function as follows:

$$\frac{d\sigma}{d\epsilon_f d\Omega_{k_f} d^3 p_f} = \sigma_{eN} S_d^{\text{LF}}(\alpha, \mathbf{p}_T) \quad (3.34)$$

were, the off-shell electron–bound-nucleon cross-section assumes the form:

$$\sigma_{eN} = \frac{1}{2m_d \epsilon_i} \frac{\epsilon_f}{E_f} \frac{\alpha_{\text{EM}}^2}{q^4} L_{\mu\nu} H_N^{\mu\nu} \quad (3.35)$$

Here, ϵ_i (ϵ_f) is the initial (final) scattered electron energy, and E_f represents the energy of the knock-out nucleon in the final state.

It is worth mentioning that within the PWIA, the factorization showed in Eq.(3.34) is universal for any nuclei, in which case one needs to replace the deuteron spectral function by the light-front spectral function of the nucleus being considered.

Bound-Nucleon Structure Functions

In calculating σ_{eN} in Eq.(3.35) it is convenient to present it through the four independent structure functions of the nucleon w_L^N , w_{TL}^N , w_T^N and w_{TT}^N in the form:

$$\sigma_{eN} = \frac{1}{2m_d E_f} \sigma_{\text{Mott}} (v_L w_L^N + v_{TL} w_{TL}^N \cos \phi + v_T w_T^N + v_{TT} w_{TT}^N \cos(2\phi)) \quad (3.36)$$

where $\sigma_{\text{Mott}} = \frac{\alpha^2 \cos(\frac{\theta}{2})^2}{4\epsilon_i^2 \sin(\frac{\theta}{2})^4}$ with θ being scattered electron angle. In the above equation:

$$\begin{aligned} v_L &= \frac{Q^4}{\mathbf{q}^4} \\ v_T &= \frac{Q^2}{2\mathbf{q}^2} + \tan^2 \frac{\theta}{2} \\ v_{TT} &= \frac{Q^2}{2\mathbf{q}^2} \\ v_{TL} &= \frac{Q^2}{\mathbf{q}^2} \left(\frac{Q^2}{\mathbf{q}^2} + \tan^2 \frac{\theta}{2} \right)^{1/2} \end{aligned} \quad (3.37)$$

where $Q^2 = 4\epsilon_i \epsilon_f \sin(\frac{\theta}{2})^2$, and \mathbf{q} is the three momentum of the virtual photon. The above defined structure functions of the bound nucleon can be related to the light-front components of the nucleonic electromagnet tensor as follows (see section C.1):

$$\begin{aligned} w_L^N &= \frac{\mathbf{q}^2}{4Q^2} \left(H^{++} \frac{Q^2}{(q^+)^2} + 2H^{+-} + \frac{(q^+)^2}{Q^2} H^{--} \right) \\ w_{TL}^N &= \frac{|\mathbf{q}|}{q^+} \left(H_N^{+\parallel} + H_N^{-\parallel} \frac{(q^+)^2}{Q^2} \right) \\ w_T^N &= H_N^{\parallel\parallel} + H_N^{\perp\perp} \\ w_{TT}^N &= H_N^{\parallel\parallel} - H_N^{\perp\perp} \end{aligned} \quad (3.38)$$

where \pm correspond to $t \pm \hat{z}$ directions on the light-cone with \hat{z} defined in the negative direction of the transferred three momentum \mathbf{q} . The transverse components are chosen as follows: the perpendicular direction is defined by $\mathbf{n}_\perp = \frac{\mathbf{p}_f \times \mathbf{q}}{|\mathbf{p}_f \times \mathbf{q}|}$, and the parallel unit vector projection is $\mathbf{n}_\parallel = \frac{\mathbf{q} \times \mathbf{n}_\perp}{|\mathbf{q} \times \mathbf{n}_\perp|}$. The scattering and reaction planes of the reaction are defined in Fig.(3.4).

Using now the Eq.(3.31) and the expression of the bound nucleon electromagnetic current from Eqs.(3.24), and (3.25) one can calculate the nucleon structure functions explicitly. In what follows we split the structure functions into two terms:

$$w_i^N = w_{i,\text{prop}}^N + w_{i,\text{inst}}^N, \quad \text{for, } i = L, TL, T, TT \quad (3.39)$$

where subscript "prop" corresponds to the structure functions calculated using the propagating part of the electromagnetic current, J_{prop}^μ only, while the terms with the subscript "inst" correspond to the contribution from J_{inst}^μ and its interference with J_{prop}^μ .

Using the explicit forms of the currents from Eqs.(3.24),(3.25) we calculate the above structure functions expressing them through the off-shell parameter η (Eq.(3.28)) as follows²:

$$\begin{aligned} w_{L,\text{prop}}^N &= \mathbf{q}^2 \left[F_1^2 \tau^{-1} \left(1 + \frac{\mathbf{p}_T^2}{m_N^2} + \tau \eta_i (\eta_i + \eta_q) \right) - F_1 F_2 \kappa (2 + \eta_q) + F_2^2 \kappa^2 \left(\frac{\mathbf{p}_T^2}{m_N^2} + \tau (1 + \eta_q) \right) \right], \\ w_{L,\text{inst}}^N &= \mathbf{q}^2 \left[F_1^2 \eta_i (\tau \eta_i (1 + \eta_q) - 2 - \eta_q) + F_1 F_2 \kappa (\tau \eta_i (2 - 2\eta_i - \eta_q) + \eta_q) \right. \\ &\quad \left. + F_2^2 \kappa^2 \tau (\tau \eta_i (\eta_i + \eta_q) - \eta_q) \right], \\ w_{TL,\text{prop}}^N &= 2 |\mathbf{q}| |\mathbf{p}_T| (F_1^2 + F_2^2 \kappa^2 \tau) \left[2 + 4 \frac{\alpha_N}{\alpha_q} + 2\eta_i + \eta_q \right], \\ w_{TL,\text{inst}}^N &= 2 |\mathbf{q}| |\mathbf{p}_T| (F_1^2 + F_2^2 \kappa^2 \tau) (1 - \tau \eta_i) \eta_q, \\ w_{T,\text{prop}}^N &= 4 m_N^2 \left[F_1^2 \left(\frac{\mathbf{p}_T^2}{m_N^2} + 2\tau (1 + \eta_q) \right) + 2 F_1 F_2 \kappa \tau (2 + \eta_q) + F_2^2 \kappa^2 \tau \left(2 + \frac{\mathbf{p}_T^2}{m_N^2} + 2\tau \eta_i (\eta_i + \eta_q) \right) \right], \\ w_{T,\text{inst}}^N &= 2 Q^2 \left[F_1^2 (\tau \eta_i (\eta_i + \eta_q) - \eta_q) + F_1 F_2 \kappa (\tau \eta_i (2\eta_i + \eta_q - 2) - \eta_q) \right. \\ &\quad \left. + F_2^2 \kappa^2 \tau \eta_i (\tau \eta_i (1 + \eta_q) - 2 - \eta_q) \right], \\ w_{TT,\text{prop}}^N &= 4 p_T^2 (F_1^2 + F_2^2 \kappa^2 \tau), \\ w_{TT,\text{inst}}^N &= 0, \end{aligned} \quad (3.40)$$

²In section C.1 we present the same structure functions in terms of light-front kinematical variables (see Eq.(C.14)).

where, $\tau = Q^2/(4m_N^2)$, $\eta_i = \eta \alpha_N/2$, $\eta_q = \eta \alpha_q/2$. Alternatively, one can write,

$$\eta_i = -\frac{2\Delta p_i \cdot p_i}{Q^2} = \frac{(m_N^2 + \mathbf{p}_T^2)}{Q^2} \frac{\alpha_q}{\alpha_f} - \frac{\alpha_N}{\alpha_q}, \quad (3.41)$$

$$\eta_q = -\frac{2\Delta p_i \cdot q}{Q^2} = \frac{(m_N^2 + \mathbf{p}_T^2)}{Q^2} \frac{\alpha_q^2}{\alpha_f \alpha_N} - 1 \quad (3.42)$$

The structure functions in Eq.(3.40) are Lorentz invariant and expressed through the boost invariant variables η , α_i , α_q and α_f . Since many experiments in probing high momentum bound nucleons are performed in the fixed target experiments it is convenient to express the above variables through the four momenta measured in the lab frame. Considering a Lab reference frame in which $\hat{z} \parallel \mathbf{q}$, the α_i , α_q and α_f parameters can be expressed as follows,

$$\begin{aligned} \alpha_i &= 2 - \alpha_r = \alpha_f - \alpha_q \\ \alpha_r &= \frac{2(E_r - p_r \cos \theta_r)}{m_d}, \quad \alpha_q = \frac{2(q_0 - \mathbf{q})}{m_d}, \quad \alpha_f = \frac{2(E_f - p_f \cos \theta_f)}{m_d} \end{aligned} \quad (3.43)$$

where, $p_d^\mu = (m_d, 0)$, $q^\mu = (q_0, \mathbf{q})$, $p_r^\mu = (E_r, \mathbf{p}_r)$, and $p_f^\mu = (E_f, \mathbf{p}_f)$, are the target (deuteron), virtual photon, recoil and struck nucleon four-momenta measured in the Lab frame.

3.5 Numerical Estimates

We present numerical estimates for kinematics which will be explored in experiments planned for 12 GeV upgraded Jefferson Lab. In all calculations below, we take the initial energy of the electron beam $\epsilon_i = 11$ GeV.

To quantify the extent of the binding effects we consider the ratio:

$$R = \frac{\sigma_{eN}}{\sigma_{eN}^{on}}, \quad (3.44)$$

where σ_{eN} is the cross-section of electron bound nucleon scattering defined in Eq.(3.35) for given initial momenta \mathbf{p}_i or $(\alpha_i$ and $\mathbf{p}_{iT})$, while σ_{eN}^{on} corresponds to the same cross-

section for the electron scattering off the free moving nucleon with the same initial momenta.

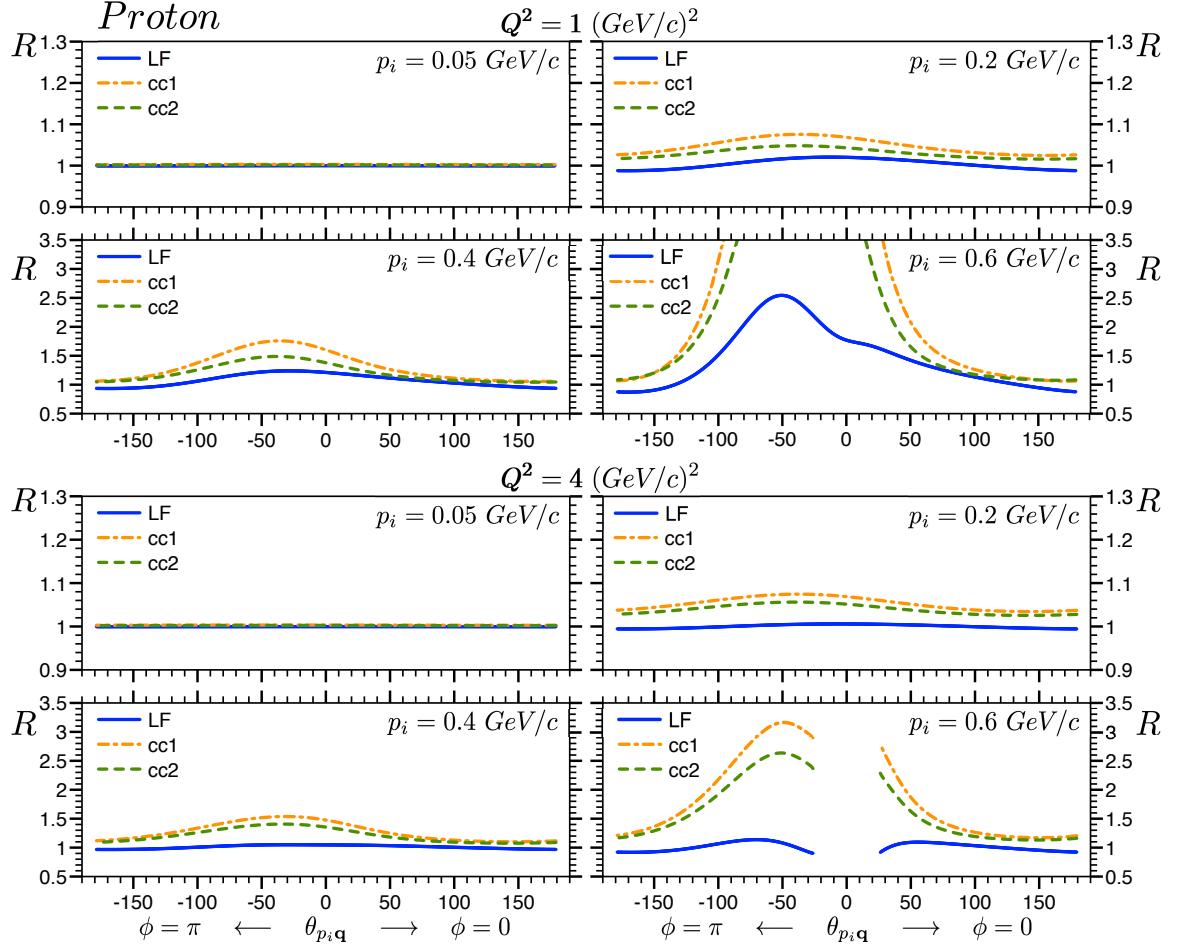


Figure 3.5: The $\theta_{p_i q}$ dependence of ratios of the off-shell cross-section of electron-bound proton scattering to that of the one-shell cross-section. The solid lines are LF approximation, dashed and dash-dotted curves corresponds to CC2 and CC1 versions of de Forest approximation[62]. The panels correspond to the bound nucleon momenta $p_i = 50, 200, 400$ and 600 MeV/c for $Q^2 = 1$ and 4 (GeV/c) 2 . The *minus* sign of $\theta_{p_i q}$ indicates on kinematics corresponding to $\phi = 180^\circ$ between scattering and reaction planes. Calculations done for initial electron energy $\epsilon_i = 11$ GeV.

First, we consider the dependence of R on "traditional" kinematical parameters, which define the electronuclear processes such as initial momentum of the bound nucleon (p_i) its relative angle with respect to the transferred 3-momentum (\mathbf{q}) as well as the virtuality of the transferred momentum (Q^2). Additionally, we compare the

predictions of LF approximation with that of the de Forest formalism[62] which is commonly used in the analysis of the experimental data. In all these estimates, we use the same parameterization for the electric and magnetic form-factors of the nucleons. These parameterizations are the same for the free nucleon. Thus we do not consider the effects related to the possible modification of the charge and magnetic current distributions in the bound nucleon.

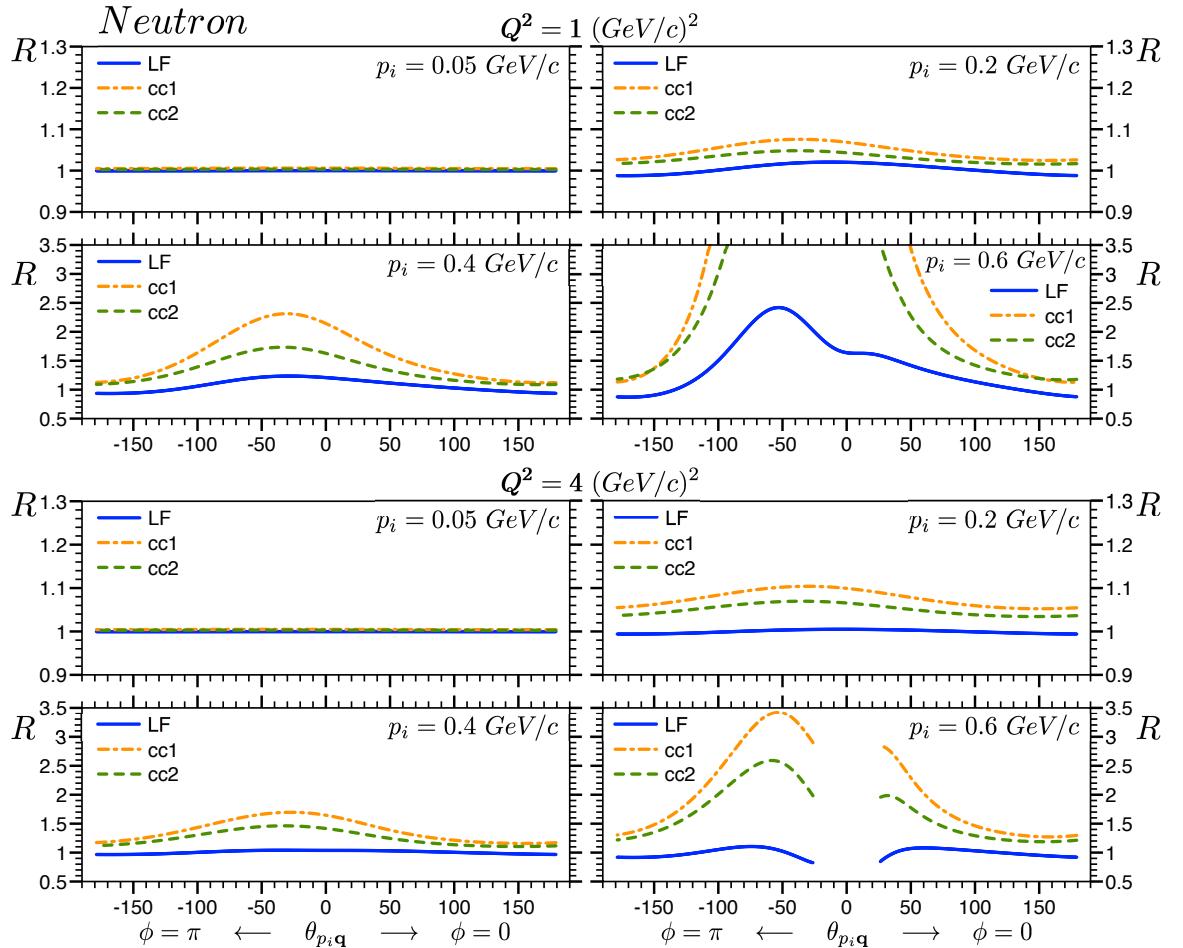


Figure 3.6: The same as in Fig.3.5 but for scattering from bound neutron.

In Fig.3.5 and Fig.3.6 we compare the angular dependences of ratio R at different values of missing momenta at fixed $Q^2 = 1$ and 4 (GeV/c) 2 for bound proton and neutron respectively. As Fig.3.5 shows LF approximation predicts off-shell effects

for $Q^2 = 1$ (GeV/c)² as large as 40–250% for bound proton momenta $\geq 400 \text{ MeV}/c$. Even larger effects are expected within the de Forest approach[62]. We also observe that the prediction within LF and de Forest approximations significantly diverges close to the kinematical limit of the scattering process, as shown in calculations for $p_i = 600 \text{ MeV}/c$.

Because of different magnitudes and signs of form-factors, one predicts somewhat different off-shell effects for scattering from a bound proton or neutron. However, qualitatively the dependences of R for kinematical parameters of the reaction for both proton and neutron are similar.

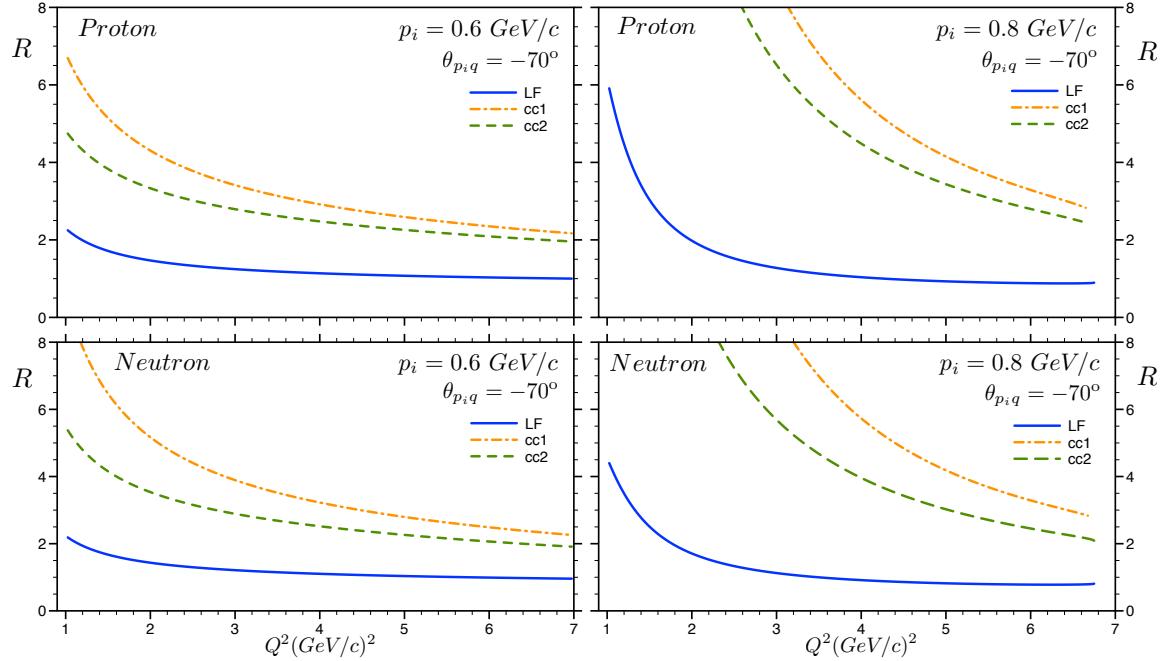


Figure 3.7: The Q^2 dependence of the off-shell effects for $\theta_{p_i q} = -70^\circ$ for proton and neutron targets.

An important feature of LF calculations following from Fig.3.5 and Fig.3.6 is the diminishing of the off-shell effects with an increase of Q^2 . This reflects the nature of the LF dynamics, in which case the harder the probe (larger Q^2) lesser is the sensitivity to the binding effects of the target nucleon. It is worth mentioning that no such

behavior exists in the de Forest approximation since, in this case, part of the off-shell effects are kinematical, in particular the energy of the bound nucleon is taken to be equal to the on-shell energy for the given momentum of the nucleon, with the phase space of the initial nucleon being proportional to $\frac{1}{\sqrt{m_N^2 + \mathbf{p}_i^2}}$.

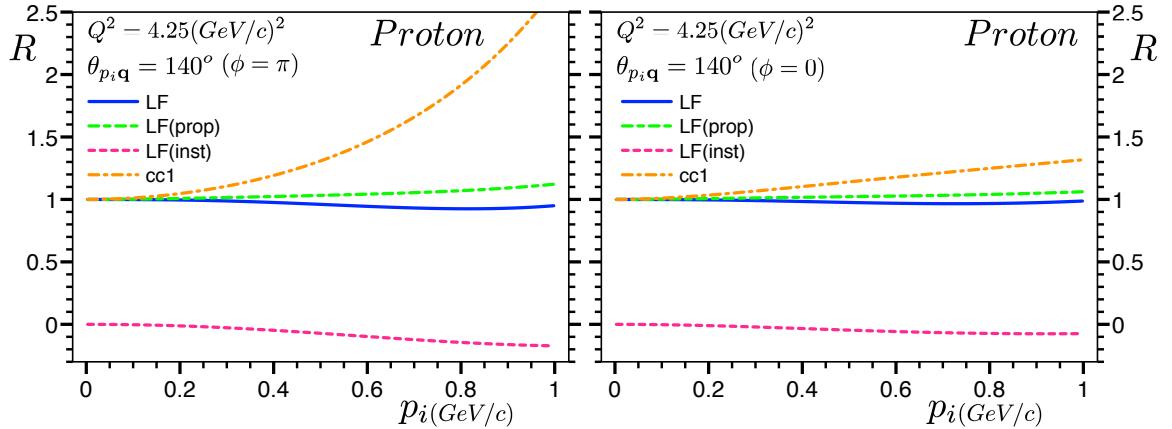


Figure 3.8: The left panel presents the off shell effects expected for the experiment of Ref.[21]. The right-panel shows the off shell effects for kinematics with, $\phi = 0$.

The off-shell effects suppression due to the increase of transfer momentum (Q^2) is addressed in Fig.3.7, where the Q^2 dependence of the ratio R for proton and neutron with initial momenta $p_i = 600$ and 800 MeV/c is shown. Here we choose $\theta_{p_i q} = -70^\circ$ for which large off-shell effects are observed in Fig.3.5 and Fig.3.6. These calculations indicate that, even at such high bound nucleon momentum, the off-shell effects predicted by the Light-Front calculation are not more than 10% already at $Q^2 \sim 4$ GeV 2 .

For practical purposes in Fig.3.8, we estimate the dependence of the off-shell effects on the momentum of the bound nucleon for kinematics relevant to the JLAB experiment[22] which is aimed at probing deuteron structure at very large internal momenta. As the figure shows for both the angles between scattering and reaction planes (ϕ), the light-front approach predicts off-shell effects to be less than 8% for all kinematics with the latter value happening at $p_i = 850$ MeV/c.

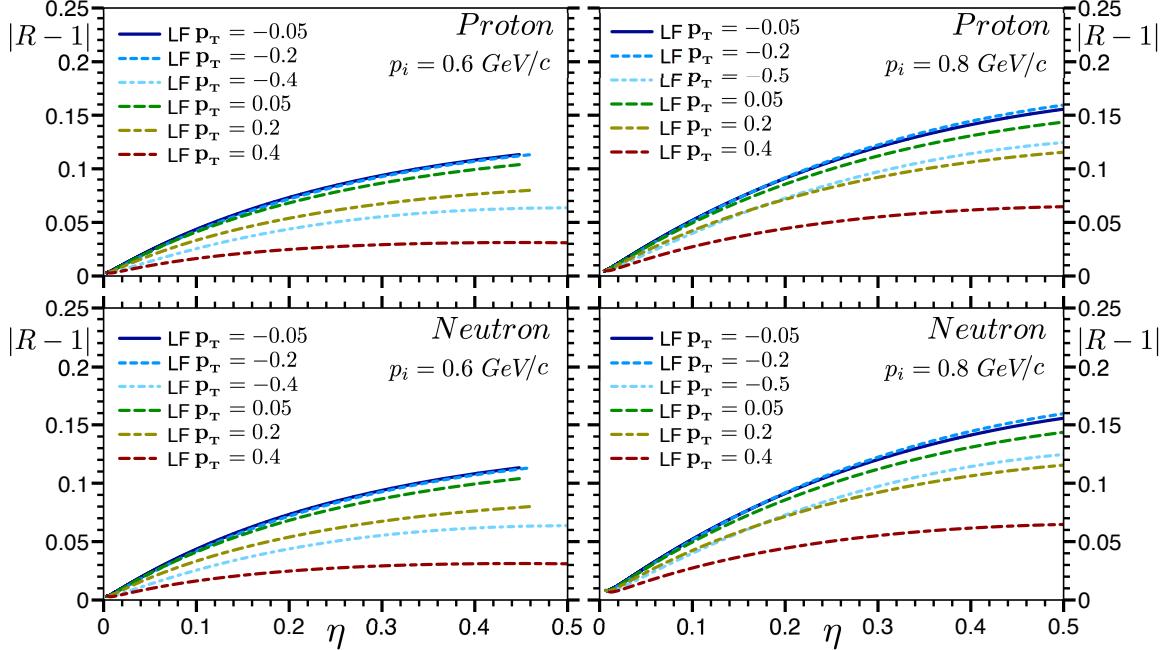


Figure 3.9: The η parameter dependence of the off-shell effects $|R - 1|$ for $p_i = 0.6$ and $0.8 \text{ GeV}/c$ at different values of the transverse momentum \mathbf{p}_T .

We discuss now why the parameter η introduced in Eq.(3.28) can be used as a universal parameter for estimation of the off-shell effects for any kinematic conditions of the electro-production reaction. For this, in Fig. 3.9 we calculate the η dependence of $|R - 1|$ for very large magnitudes of bound nucleon momenta ($p_i = 600$ and $800 \text{ MeV}/c$) at different values of transverse momentum \mathbf{p}_T . Note that the expected off-shell effects will be much less for smaller values of p_i . As the figure shows, for any possible scenarios of kinematics, the off-shell effects can be confined below 5% as soon as $\eta < 0.1$. This represents a strong indication that the variable η can be considered a universal parameter for controlling the off-shell effects in the reaction mechanism for electronuclear processes. The universality rest in the fact that if our goal is to probe a bound nucleon with very large momenta, we can use the corresponding LF longitudinal momentum fraction α together with the transverse momentum \mathbf{p}_T to calculate the required Q^2 , such that it makes $\eta < 0.1$. Satisfying this condition guar-

antees that the off-shell effects in the electromagnetic current can be neglected up to the bound-nucleon momentum planned to probe.

CHAPTER 4

RELATIVISTIC DEUTERON WAVE FUNCTION

We dedicate this chapter to the study of the second term that makes up the deuteron electro-disintegration PWIA amplitude (3.11), i.e., the deuteron wave function. Since 1970's considerable theoretical efforts have been made towards a consistent formulation of the relativistic framework for the description of deuteron structure(see e.g. [23, 24, 25, 26, 28]). However, the experimental verification of the various approaches was somewhat limited since the existing nuclear labs could only measure the elastic and inclusive scattering of electrons from deuteron at sufficiently large momentum transfer. Such measurements probe the deuteron wave function only indirectly since the measured cross-sections are sensitive to the integrated properties of the deuteron structure, which are obscured considerably by long-range phenomena (see the discussion in Ref.[14]). As a result, it was not possible to establish the validity of any theoretical approach unambiguously. The present situation is unprecedented due to recent high energy measurement of exclusive disintegration, in which case, due to the set up of the eikonal regime of FSI (Fig.2.4(b),(c)), it is possible to isolate the PWIA contribution (Fig.2.4(a)) which directly probes the deuteron wave function.

Our goal is to obtain a parameterization of the deuteron wave function that allows us to evaluate the $p n$ component in the limit of large internal momenta. We use a light-front diagrammatic definition of the deuteron wave function, in which case it can be related to the light-front time-ordered amplitude describing the electro-disintegration reaction. Within this approach, the deuteron is first resolved into its proton-neutron constituents, and one of the nucleons then interacts with the external electromagnetic probe, Fig.(4.1).

The LF deuteron wave function is then defined as in Eq.(3.12), which is rewritten

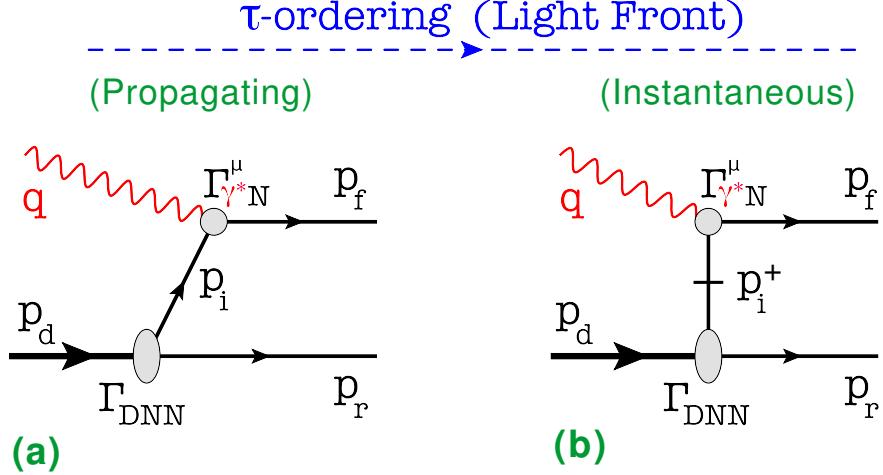


Figure 4.1: LF-ordered diagrams contributing to the PWIA

here for convenience,

$$\psi_{s_l s_r}^{\lambda_d(\text{LF})} = -\frac{\bar{u}_{(p_i, s_l)}^{(\text{LF})} \bar{u}_{(p_r, s_r)}^{(\text{LF})} \Gamma_{\text{dNN}}^\mu \chi_\mu^{\lambda_d(\text{LF})}}{\frac{1}{2} \left(m_d^2 - 4 \frac{m_N^2 + \mathbf{p}_T^2}{\alpha(2-\alpha)} \right)} \frac{1}{\sqrt{2(2\pi)^3}} \quad (4.1)$$

As a reminder, we have specified in Eq.(4.1) that the nucleon spinors ($\bar{u}_{i,r}$) that enter in the wave-function are light-front spinors, likewise the deuteron polarization vector (χ^{λ_d}) is in the light-front parameterization. For the explicit form of these objects we refer the reader to Sec.(G.2).

4.1 General Properties of the Deuteron Wave Function in the Light-Front

To explore the general properties of the deuteron light-front wave function, first, we absorb the denominator in Eq.(3.12) into the vertex function,

$$\frac{\Gamma_{\text{dNN}}}{\frac{1}{2} \left(m_d^2 - 4 \frac{m_N^2 + \mathbf{p}_T^2}{\alpha(2-\alpha)} \right) \sqrt{2(2\pi)^3}} \rightarrow \frac{\Gamma_{\text{dNN}}}{\sqrt{2}} \quad (4.2)$$

The $1/\sqrt{2}$ factor in the denominator of the vertex function is kept explicitly to make a straightforward correspondence with the non-relativistic deuteron wave function in the small momentum limit.

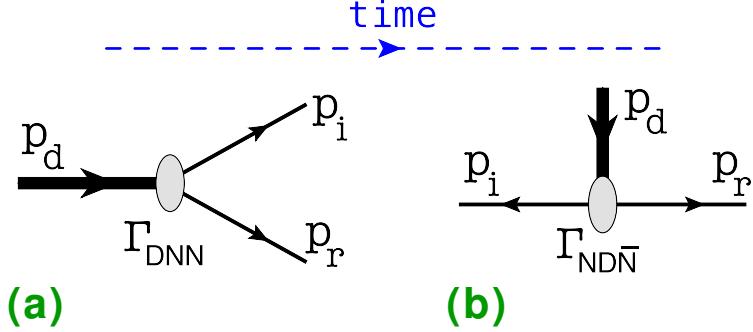


Figure 4.2: (a) Represents the $d \rightarrow N_i N_r$ vertex. (b) Represents the $\bar{N}_i d \rightarrow N_r$ vertex

In Fig.(4.2) the crossing symmetry between channels $d \rightarrow N_i N_r$ and $\bar{N}_i d \rightarrow N_r$ is shown schematically. Applying the charge conjugation operation allows us to express the deuteron wave function in the form,

$$\begin{aligned} \psi_{s_i, s_r}^{\lambda_d} &= -\bar{u}(p_r, s_r) \bar{u}(p_i, s_i) \frac{\Gamma_{dNN}^\mu}{\sqrt{2}} \chi_\mu^{\lambda_d} \\ &= -\bar{u}(p_r, s_r) \frac{\Gamma_{NdN}^\mu}{\sqrt{2}} (i\gamma_2 \gamma_0) \bar{u}(p_i, s_i)^T \chi_\mu^{\lambda_d} \end{aligned} \quad (4.3)$$

where, $\bar{u}(p_i, s_i)^T$ means the transposition of $\bar{u}(p_i, s_i) = u(p_i, s_i)^\dagger \gamma^0$, and the two vertex functions are related by the charge conjugation operation, $\Gamma_{dNN}^\mu = \mathcal{C}[\Gamma_{NdN}^\mu]$. Furthermore, making use of the identity,

$$(i\gamma_2 \gamma_0) \bar{u}(p_i, s_i)^T = \sum_{s'_i} \gamma_5 \epsilon_{s_i s'_i} u(p_i, s'_i) \quad (4.4)$$

results in the following convenient expression for the deuteron LF wave-function,

$$\psi_{s_i, s_r}^{\lambda_d}(p_d, p_i, p_r) = - \sum_{s'_i} \bar{u}(p_r, s_r) \frac{\Gamma_{NdN}^\mu(p_d, p_i, p_r)}{\sqrt{2}} \gamma_5 \epsilon_{s_i s'_i} u(p_i, s'_i) \chi_\mu^{\lambda_d} \quad (4.5)$$

Here, ϵ_{ij} is the two-dimensional (totally) anti-symmetric (Levi-Civita) tensor, and the subscripts, $s_i, s'_i = \pm 1/2$, label the spin states of the nucleon.

The advantage of the above representation for the deuteron wave function is that it allows to write down a general covariant expression for the vertex function, Γ_{NdN}^μ .

Since deuteron is a spin 1 particle with even parity¹, it has the transformation properties of a pseudo-vector particle. Therefore, the γ_5 matrix in the wave function (Eq.4.5) indicates that the vertex Γ_{NdN}^μ must transform as a Lorentz vector. Hence, the construction of the most general Γ_{NdN}^μ vertex function is reduced to write down all the independent Dirac bi-linear terms that can be built out of a set of independent four-vectors. For example, the three independent on-shell four-momenta of the particles connected to the vertex, i.e., the deuteron, the proton, and the neutron [27].

In order to separate the effects due to the internal relative momentum between the nucleons (responsible for the bound state dynamics), from those related with the motion of the system as a whole (kinematic effects), a more useful choice of three independent variables are the two-body total momentum,

$$P_{\text{NN}}^\mu = p_i^\mu + p_r^\mu \quad (4.6)$$

the two nucleon relative momentum,

$$p_{\text{rel}}^\mu = \frac{p_i^\mu - p_r^\mu}{2} \quad (4.7)$$

and the four-vector,

$$\Delta^\mu = P_{\text{NN}}^\mu - p_d^\mu \quad (4.8)$$

which accounts for the difference between the bound and on-shell nucleons. The details can be found in section (I.3).

In our present case (two-body problem) the four-vector $\Delta = p_i + p_r - p_d = P_{\text{NN}} - p_d$ can always be chosen to be light-like, i.e., $\Delta^2 = 0$. Then, $\Delta^\mu = (\Delta^+, \mathbf{\Delta}_T, \Delta^-)$ can be chosen in the direction of light-front-energy, $\Delta^\mu = (0, \mathbf{0}_T, \Delta^-)$, which guarantees that the longitudinal light-front (p^+) and transverse (\mathbf{p}_T) components of momentum is conserved at the deuteron to $p n$ transition vertex (Γ_{NdN}^μ). As a consequence, the

¹Symmetric under space reflection.

application of light-front-time ordering technic is consistent. For more details, we refer the reader to App.(H).

The only non-vanishing component of the four-vector Δ^μ is therefore the light-front off-shell energy for the $p n$ system, which is given by,

$$\Delta^- = P_{\text{NN}}^- - p_d^- = \frac{s_{\text{NN}} - m_d^2}{p_d^+} \quad (4.9)$$

where, s_{NN} is the invariant mass of the on-shell NN system,

$$s_{\text{NN}} = 4 \frac{m_N^2 + \mathbf{p}_T^2}{\alpha(2-\alpha)} = P_{\text{NN}}^2 = M_{\text{NN}}^2 \quad (4.10)$$

Note that $\mathbf{p}_T^2 = \mathbf{p}_{iT}^2 = \mathbf{p}_{rT}^2$, and the symmetry of the denominator $\alpha(2-\alpha) = \alpha_i \alpha_r$ in Eq.(4.10) with respect to the labels i, r allows us to omit indices referring to particular nucleon species. It can be checked that in the static limit, $\mathbf{p}_T^2 \rightarrow 0, \alpha \rightarrow 1, (\mathbf{p}_{i,r}^2 \rightarrow 0)$ the light-front off-shell energy approaches to the deuteron binding energy $\Delta^- \approx 2|\epsilon_B|$.

With the above choice of four-momenta, the general form of the Γ_{NdN}^μ vertex can be written in the following form:

$$\begin{aligned} \Gamma^\mu = & \Gamma_1 \gamma^\mu + \Gamma_2 \frac{(p_i - p_r)^\mu}{2m} + \Gamma_3 \frac{\Delta^\mu}{2m} + \Gamma_4 \frac{(p_i - p_r)^\mu \Delta}{4m^2} + i\Gamma_5 \frac{1}{4m^3} \gamma_5 \epsilon^{\mu\nu\rho\sigma} p_{d\nu} (p_i - p_r)_\rho \Delta_\sigma \\ & + \Gamma_6 \frac{\Delta^\mu \Delta}{4m^2} \end{aligned} \quad (4.11)$$

Each of the $\Gamma_{1,2,3,4,5,6}$, are scalar (invariant) functions that depend on p_{rel} via the bound state constrain:

$$p_d^2 = m_d^2 = (P_{\text{NN}} - \Delta_p)^2 = M_{\text{NN}}^2 - 2P_{\text{NN}} \cdot \Delta_p \quad (4.12)$$

where, we have taken into consideration the light-like nature of the Δ four-vector, $\Delta_p^2 = 0$. It follows that,

$$2P_{\text{NN}} \cdot \Delta_p = M_{\text{NN}}^2 - m_d^2 \xrightarrow{\text{LF coord}} \Delta_p^- = \frac{M_{\text{NN}}^2 - m_d^2}{P_{\text{NN}}^+} = \frac{M_{\text{NN}}^2 - m_d^2}{p_d^+} \quad (4.13)$$

which in the center of momentum frame of the NN system results in (see the discussion following Eq.I.20),

$$\Delta_{p_{\text{cm}}}^- = \frac{M_{\text{NN}}^2 - m_d^2}{M_{12}} = \frac{4E_k^2 - m_d^2}{2E_k} \approx \frac{4E_k^2 - 4m^2}{2E_k} = \frac{2\mathbf{k}^2}{E_k} \quad (4.14)$$

The computation of the vertex functions over the entire allowed kinematic region requires a self-consistent theory of the NN interaction, which is currently an impractical task. However, we can continue our study of the transition vertex (4.11) by limiting our attention to high momentum transfer kinematics, where it can be simplified².

As a first step, we observe that in the high energy kinematics (see Eq.(2.20)) and for small³ $|\mathbf{k}|$, the off-shell light-front-energy to mass ratio $\frac{\Delta^-}{2m_N} = 2\frac{m_N^2 + \mathbf{k}_T^2}{m_N p_d^+} \sim 2m_N/p_d^+$, can be considered a small parameter. In the CM frame of the deuteron and the virtual-photon, for high momentum transfer we have $m_N p_d^+ \gg m_N m_d \sim 2m_N^2$. Therefore, we can classify each of the six vertex functions regarding its contribution to the transition vertex (Γ^μ) by counting how many powers of the small factor $(2m_N/p_d^+)$ they contain. As follows from Eq.(4.11), Γ_1 , Γ_2 , and Γ_5 correspond to the leading order contribution with no small factor, $(2m_N/p_d^+)^0$. On the other hand, $\Gamma_{3,4}$ and Γ_6 are suppressed by one power, $(2m_N/p_d^+)^1$, and two powers, $(2m_N/p_d^+)^2$, respectively.

The conditions under which $\frac{\Delta^-}{2m_N}$ can be considered a small parameter depend also on the magnitude of the internal momentum. In Fig.4.3 we show the dependence of $\frac{\Delta^-}{2m_N}$ as a function of the internal momentum magnitude ($k = \sqrt{-k^2} = \sqrt{\mathbf{k}^2}$) for different values of momentum transfer ($Q^2 = -q^2$). In order to take advantage of the high energy kinematics, the plot is presented in the $\gamma^* d$ CM reference frame (Fig.2.3). It is easy to see in the figure that for $Q^2 \geq 4 \text{ GeV}/c$ the parameter remains small, $\frac{\Delta^-}{2m_N} \leq 1/2$, up to high values of the internal momentum, $k \sim 1 \text{ GeV}/c$.

²It is worth to remind ourselves that, as established in section (2.4), this kinematics permits the unambiguous identification of events involving large internal momentum.

³Here we are considering $\alpha \sim 1$, and $\mathbf{k}_T^2 \ll m_N^2$. In the next paragraph we take care of the case when $|\mathbf{k}|$ may be large.

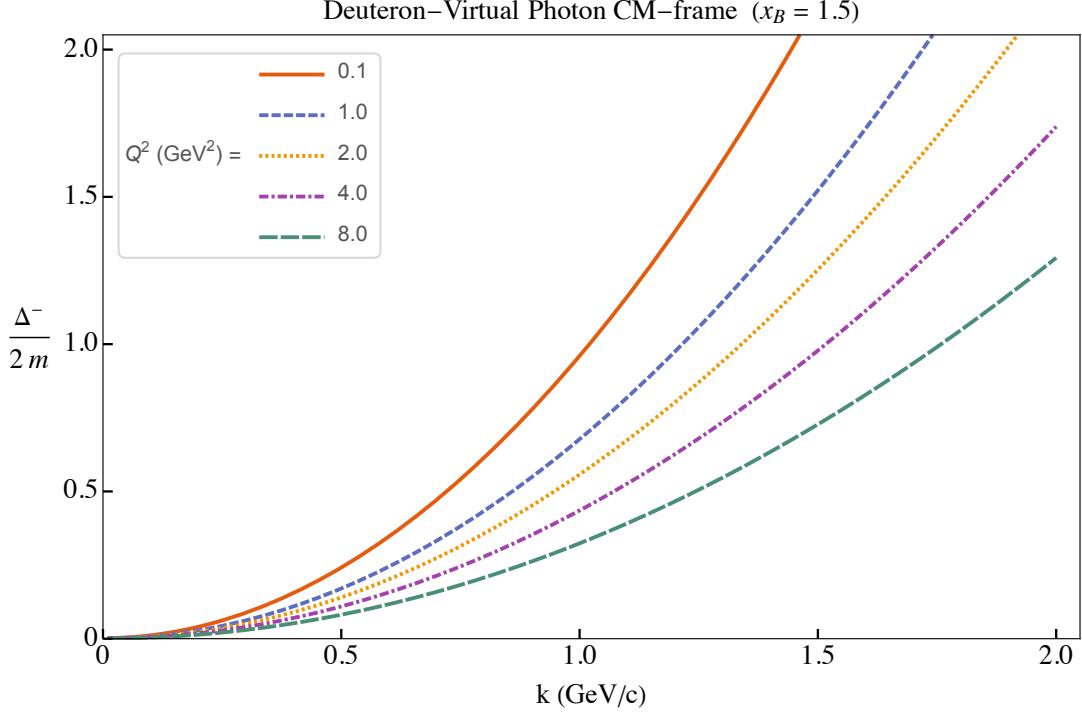


Figure 4.3: The momentum, k dependence of $\frac{\Delta^-}{2m}$ factor at different Q^2 .

The next step is to use the fact that at the limit of small NN relative 3-momentum (\mathbf{p}_{rel}), the non-relativistic quantum mechanical wave function of the deuteron must be recovered. As a result, we will be able to constraint the form of the vertex function that dominates in the high energy limit.

4.2 Calculation of the Deuteron Wave Function in the Light Front

Keeping the leading terms in Eq.(4.11), containing no powers of $(2m_N/p_d^+)$, the deuteron LF-wave-function reduces to,

$$\begin{aligned} \psi_{s_r s_i}^{\lambda_d} = & - \sum_{s'_i} \bar{u}(p_r, s_r) \left\{ \Gamma_1 \gamma^\mu + \Gamma_2 \frac{(p_i - p_r)^\mu}{2m_N} + i \Gamma_5 \frac{1}{2m_N^3} \epsilon^{\mu\nu\rho\sigma} p_d^+{}_\nu (p_i - p_r)_\rho \Delta_\sigma \gamma_5 \right\} \\ & \times \gamma_5 \frac{\epsilon_{s_i, s'_i}}{\sqrt{2}} u(p_i, s'_i) \chi_\mu^{\lambda_d} \end{aligned} \quad (4.15)$$

where, $\mathbf{p}_T = \frac{\mathbf{p}_{iT} - \mathbf{p}_{rT}}{2}$, and the deuteron polarization four-vector is chosen as (see Sec.I.4 and Fig.I.1),

$$\chi_\mu^{\lambda_d} = (\chi_0^{\lambda_d}, \chi_T^{\lambda_d}, \chi_z^{\lambda_d}) = \left(\frac{P_{NNz} s_{dz}}{M_{NN}}, \mathbf{s}_{dT}, \frac{E_{NN} s_{dz}}{M_{NN}} \right) \quad (4.16)$$

with,

$$\mathbf{P}_{NN} = (\mathbf{0}_T, p_{1z} + p_{2z}), \quad E_{NN} = \sqrt{M_{NN}^2 + \mathbf{P}_{NN}^2}, \quad \text{and} \quad M_{NN}^2 = s_{NN} = 4 \frac{(m_N^2 + \mathbf{p}_T^2)}{\alpha(2 - \alpha_1)} \quad (4.17)$$

Since the wave function in Eq.(4.15) is Lorentz boost invariant along the z axis, it is convenient to calculate it in the reference frame obtained by boosting with velocity $\mathbf{v} = \frac{\mathbf{p}_{12}}{E_{12}}$. Such a transformation will result in a wave function of the form:

$$\begin{aligned} \psi_d^{\lambda_d}(\alpha_i, \mathbf{k}_T) = & - \sum_{\lambda_2, \lambda_1, \lambda'_1} \bar{u}(-k, \lambda_2) \left\{ \Gamma_1 \gamma^\mu + \Gamma_2 \frac{k^\mu}{m_N} + \sum_{i=1}^2 i \Gamma_5 \frac{1}{2m_N^3} \epsilon^{\mu+i-} p_d'^+ k_i \Delta'^- \gamma_5 \right\} \\ & \times \gamma_5 \frac{\epsilon_{\lambda_1, \lambda'_1}}{\sqrt{2}} u(k, \lambda'_1) s_\mu^{\lambda_d} \end{aligned} \quad (4.18)$$

where, $k^\mu = (0, \mathbf{k}_T, k_z)$ with $\mathbf{k}_T = \mathbf{p}_{1T}$ and

$$\begin{aligned} k_z &= \Lambda(v)_\mu^z p_1^\mu = \frac{E_{12}}{M_{12}} (p_{1z} - \frac{P_{12}}{E_{12}} E_1) = E_k (\alpha_1 - 1) \\ -k_z &= \Lambda(v)_\mu^z p_2^\mu = \frac{E_{12}}{M_{12}} (p_{1z} - \frac{P_{12}}{E_{12}} E_1) = E_k (\alpha_2 - 1) \\ \mathbf{k}_T &= \mathbf{p}_{1T} = -\mathbf{p}_{2T} \\ E_k &= \Lambda(v)_\mu^0 p_1^\mu = \Lambda(v)_\mu^0 p_2^\mu = E_k = \sqrt{m_N^2 + k^2} \end{aligned} \quad (4.19)$$

where, $\mathbf{k}^2 = k_z^2 + \mathbf{k}_T^2$ and $E_k = \sqrt{m_N^2 + \mathbf{k}^2} = \frac{\sqrt{s_{NN}}}{2}$ which are same as defined in Eq.(I.23) also $p_d'^+$ and Δ'^- correspond to the Lorentz boosts of respective unprimed quantities and are expressed as follows:

$$\begin{aligned} p_d'^+ &= \frac{1}{M_{12}} (E_{12} - P_{12z}) p_d^+ = \frac{1}{\sqrt{s_{NN}}} \left[\frac{4(m_N^2 + \mathbf{k}_T^2)}{\alpha_1(2 - \alpha_1)} \right] = \sqrt{s_{NN}} = 2E_k \\ \Delta'^- &= \frac{1}{M_{12}} (E_{12} + P_{12z}) \Delta^- = \frac{1}{\sqrt{s_{NN}}} \left[\frac{4(m_N^2 + \mathbf{k}_T^2)}{\alpha_1(2 - \alpha_1)} - m_d^2 \right] \\ p_d'^+ \Delta'^- &= p_d^+ \Delta^- = \left[\frac{4(m_N^2 + \mathbf{k}_T^2)}{\alpha_1(2 - \alpha_1)} - m_d^2 \right] \approx 4\mathbf{k}^2, \end{aligned} \quad (4.20)$$

where the last relation is correct up to the binding energy of the deuteron. Finally the polarization vector of the deuteron is three-dimensional $s_\mu^{\lambda_d} = (0, \mathbf{s}_d^\lambda)$ in which

$$\mathbf{s}_d^1 = -\frac{1}{\sqrt{2}}(1, i, 0), \quad \mathbf{s}_d^{-1} = \frac{1}{\sqrt{2}}(1, -i, 0) \quad \mathbf{s}_d^0 = (0, 0, 1) \quad (4.21)$$

where the z -axis is defined along the direction of the deuteron momentum in the reference frame defined in Sec.(2.2).

For numerical calculations of the LF deuteron wave function we adopt the following approach. Since the term related to the vertex function Γ_5 is proportional to the virtuality factor η_d , at small momenta deuteron LF wave function will approach to the two component wave function which one can relate to the S - and D - components of the deuteron. In this respect, one can follow the approach of Ref.[24] which is based on the assumption of the angular condition according to which, in the on-shell limit the Γ_5 term is absent, and using the similarity of the Weinberg-type equation to the non-relativistic Lippman-Schwinger equation, one can relate the Γ_1 and Γ_2 vertices to the S - and D components of the deuteron wave function estimated at the momenta k defined in Eq.(4.19). In our approach, expecting overall small contribution from the Γ_5 term we related Γ_1 and Γ_2 to S - and D - components in the same way, however keeping the Γ_5 term which can be evaluated from comparison with specific observables of deuteron electro-disintegration sensitive to the transverse momenta of the bound nucleon in the deuteron. Note that such an approach requires rescaling of the S - and D - contribution into the overall normalization of the deuteron wave function (see section 4.3).

Following the above prescription one obtains for the Γ_1 and Γ_2 vertices (see Appendix):

$$\begin{aligned} \Gamma_1(k) &= \frac{1}{2\sqrt{E_k}} \left(U(k) + \frac{W(k)}{\sqrt{2}} \right) \frac{1}{\sqrt{4\pi}} \\ \Gamma_2(k) &= \frac{m_N \sqrt{E_k}}{2k^2} \left(\frac{U(k)(E_k - m_N)}{E_k} - \frac{W(k)(m_N + 2E_k)}{\sqrt{2} E_k} \right) \frac{1}{\sqrt{4\pi}} \end{aligned} \quad (4.22)$$

As it follows from the above relations, both vertex functions are finite and depend on the magnitude of momentum k . The two vertex functions Γ_1 and Γ_2 are shown in Fig.(4.4) as a function of k for the three realistic potentials, Paris, AV-18, and CD-Bonn.

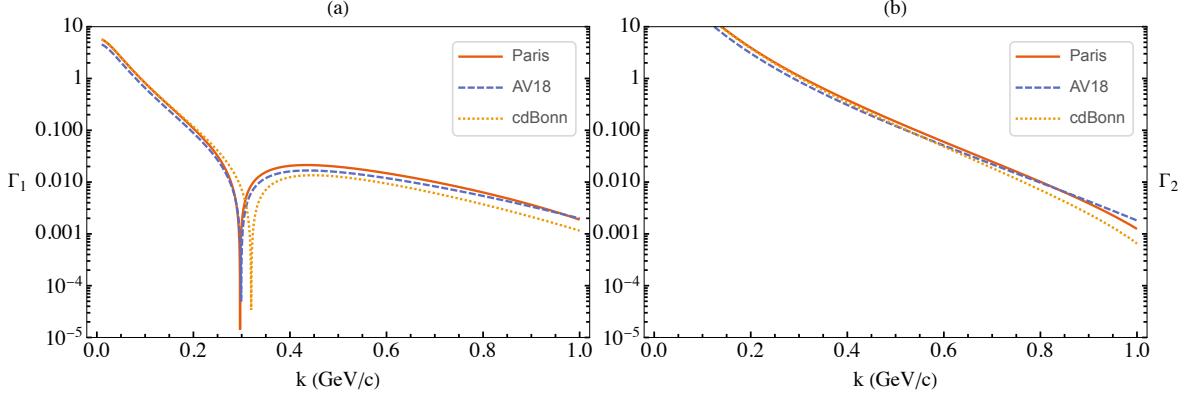


Figure 4.4: The momentum, k dependence of the vertex function Γ_1 and Γ_2 calculated for different NN potentials.

One can not make a similar correspondence for Γ_5 vertex function since as we will show below it corresponds to the effective P-wave that vanishes in the non-relativistic limit. To observe this, it is convenient to represent the LF deuteron wave function through to two-dimensional spinors in the form (we refer to App.I.1 for more details):

$$\begin{aligned} \psi_d^{\lambda_d}(\alpha_1, k_t, \lambda_1, \lambda_2) = & \frac{1}{\sqrt{4\pi}} \sum_{\lambda'_1} \phi_{\lambda'_1}^\dagger \sqrt{E_k} \left[U(k)(\sigma \cdot \mathbf{s}_d^\lambda) - \frac{W(k)}{\sqrt{2}} \left(\frac{3(\sigma \cdot \mathbf{k})(\mathbf{k} \cdot \mathbf{s}_d^\lambda)}{k^2} - \sigma \cdot \mathbf{s}_d^\lambda \right) + \right. \\ & \left. + \frac{4\sqrt{E_k}}{\sqrt{3}} \left(\frac{k}{m_N} \right)^3 f_5(k) \sum_{i=1}^2 \frac{-i\sqrt{3}(\mathbf{k} \times \mathbf{s}_d^\lambda)_z}{k} \right] \frac{\epsilon_{\lambda_1, \lambda'_1}}{\sqrt{2}} \phi_{\lambda'_1} \end{aligned} \quad (4.23)$$

In the above expression one observes:

$$-i\sqrt{3} \sum_{i=1}^2 \frac{-i\sqrt{3}(\mathbf{k} \times \mathbf{s}_d^{\pm 1})_z}{k} = \pm \sqrt{4\pi} Y_1^\pm(\theta, \phi) \quad (4.24)$$

which allows to identify the radial part of the effective "P"-wave in the form:

$$\frac{k^2}{m_N^2} P(k) = \frac{k^2}{m_N^2} \left[\frac{4\sqrt{E_k}}{\sqrt{3}} \frac{k}{m_N} f_5(k) \right] \quad (4.25)$$

where, one power of $\frac{k}{m_N}$ is included in the definition of the radial wave function to satisfy the quantum-mechanical relation that for a radial wave function corresponding to the orbital angular momentum quantum number l , in the limit of small momentum (k) it behaves as, $\lim_{k \rightarrow 0} R_l(k) \sim k^l$. The remaining $\frac{k^2}{m_N^2}$ factor indicates the relativistic nature of the “P”-wave term that vanishes in the non-relativistic limit.

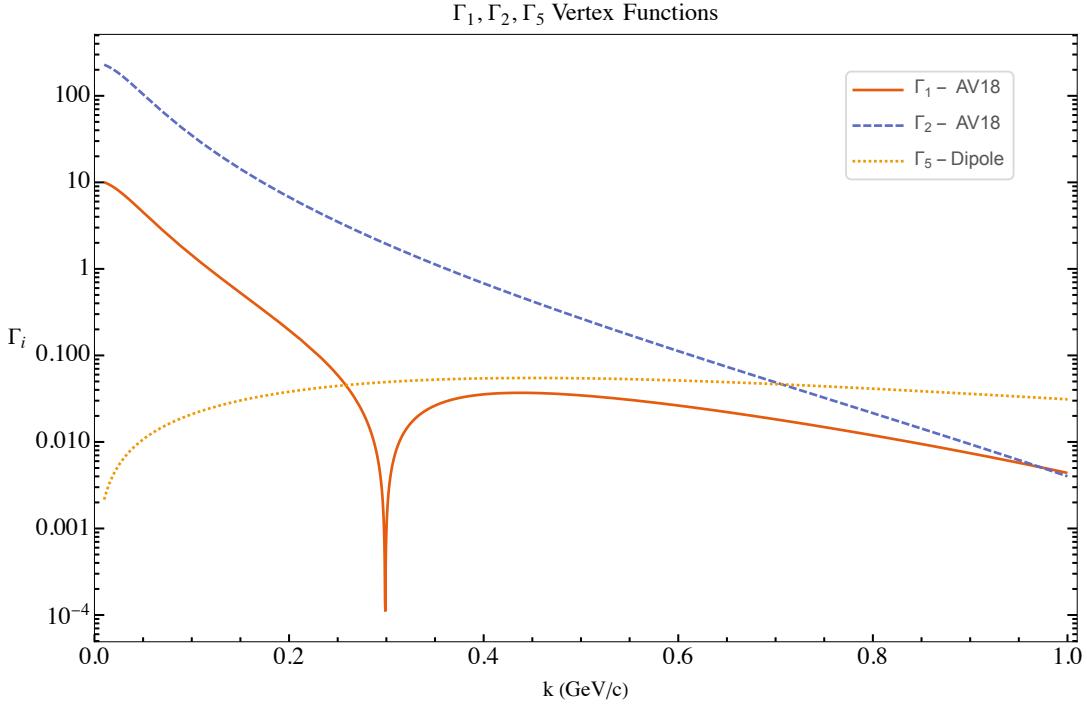


Figure 4.5: The momentum, k dependence of the vertex function Γ_1 , Γ_1 , and Γ_5 . Γ_1 , Γ_1 have been calculated using the CD-Bonn potential, while for Γ_5 has been parameterized using the dipole model shown in the text.

For a comparison among the three vertex functions we plot them in Fig.(4.5). Here, we have employed for Γ_5 a parameterization using a simple dipole-like model,

$$\Gamma_5(k) = P(k) = \frac{k}{m_N} \frac{4\sqrt{E_k}}{\sqrt{3}} \frac{1}{\sqrt{4\pi}} \frac{g_\omega}{(k^2 + m_\omega^2)^2} \quad (4.26)$$

with a typical value for the coupling, $\frac{g_\omega^2}{4\pi} = 20$ [57]. The dipole-like term is physically motivated, e.g., it provides the correct asymptotic behavior, for small momentum

$(k \rightarrow 0)$ the P-wave behaves as k^L with $L = 1$, and for large k the vertex function ($\Gamma_5(k)$) scales as k^{-2} , which guarantees that the momentum distribution will scale as k^{-4} , which is shown in Fig.(4.6). Therefore, the dipole parameterization can be seen as a simple interpolation between the two limit behavior of the momentum distribution, that is, for small and high momenta.

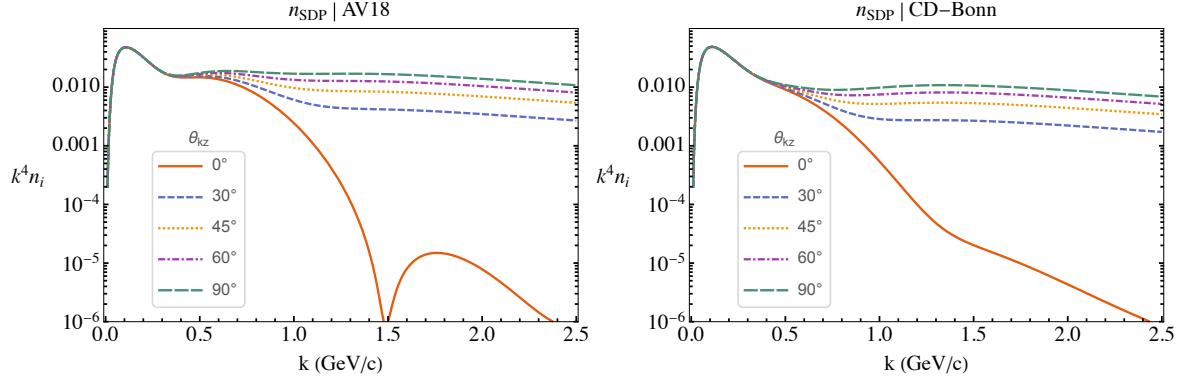


Figure 4.6: The k^{-4} scaling of the momentum distribution. The right panel only includes the S and D radial wave functions calculated with the AV18 potential. The left panel also includes the P-wave-like term.

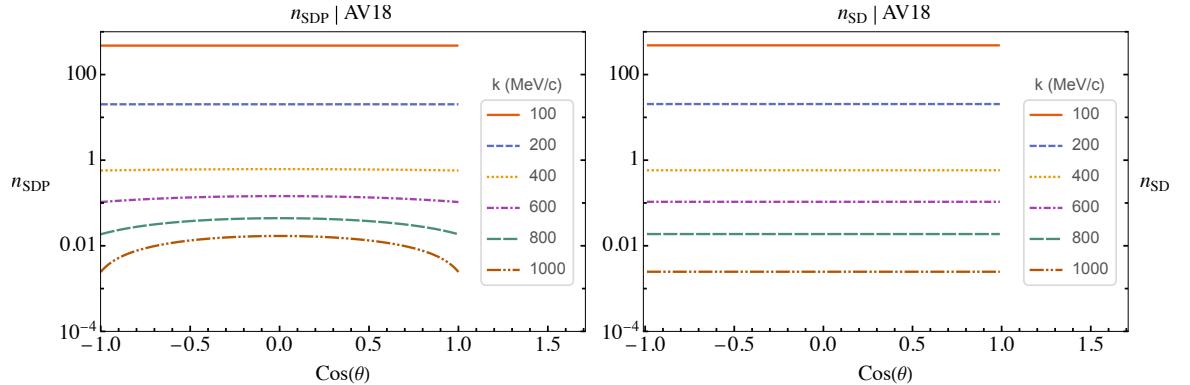


Figure 4.7: Angular dependency of n_{SDP} using the AV18 potential for the S and D radial wave functions, and the dipole model for the P-wave.

In Fig.(4.7) we show the angular dependency for different values of momentum (k) that results from the inclusion of the Γ_5 term in the deuteron's wave function. The panel on the right only includes the radial S and D wave functions, while the left panel

shows the effect of including also the P-wave-like structure within the dipole model. As expected, at low momentum they are similar, and at high momentum there is an angular dependency coming from the P-wave term. Moreover, it is easy to see that (for each value of k) the (constant) angular distribution from n_{SD} (with only S and D waves) lays at the minimum of n_{SDP} (which includes also the P-wave), this minimum occurs at $\cos\theta = -1, 0, 1$, i.e., parallel, antiparallel, and transverse to the 3-momentum transfer (\mathbf{q}).

Since the “effective P”-wave does not contribute to the $\lambda = 0$ polarization of the deuteron, the most important implication of this effect will be the polar angle dependency of the unpolarized momentum distribution function extracted at large momenta. Another effect will be the enhancement of the tensor-polarization of the deuteron, again in the large momentum limit. Detailed predictions following from Γ_5 term will be considered elsewhere.

4.3 Normalization

The normalization condition of the above wave function is defined according to the observable quantities such as deuteron baryonic number, or charge form factor $G_C(Q^2 = 0) = 1$. Both approaches result in the normalization condition (see Sec.I.1 and App.J),

$$\frac{1}{3} \sum_{\lambda_d, s_r, s_i} \int |\psi_d^{s_l, s_r, \lambda_d}(\alpha, \mathbf{p}_T)|^2 \frac{d\alpha}{(2-\alpha)\alpha} d^2 \mathbf{p}_T = 1 \quad (4.27)$$

Using the dipole model for the Γ_5 vertex function, we can estimate the angular averaged one-body momentum distribution. A comparison with the equivalent momentum distribution that only takes into account the S and D waves contributions is shown in Fig.(4.8). In both cases the S and D-waves are calculated using the AV18 potential.

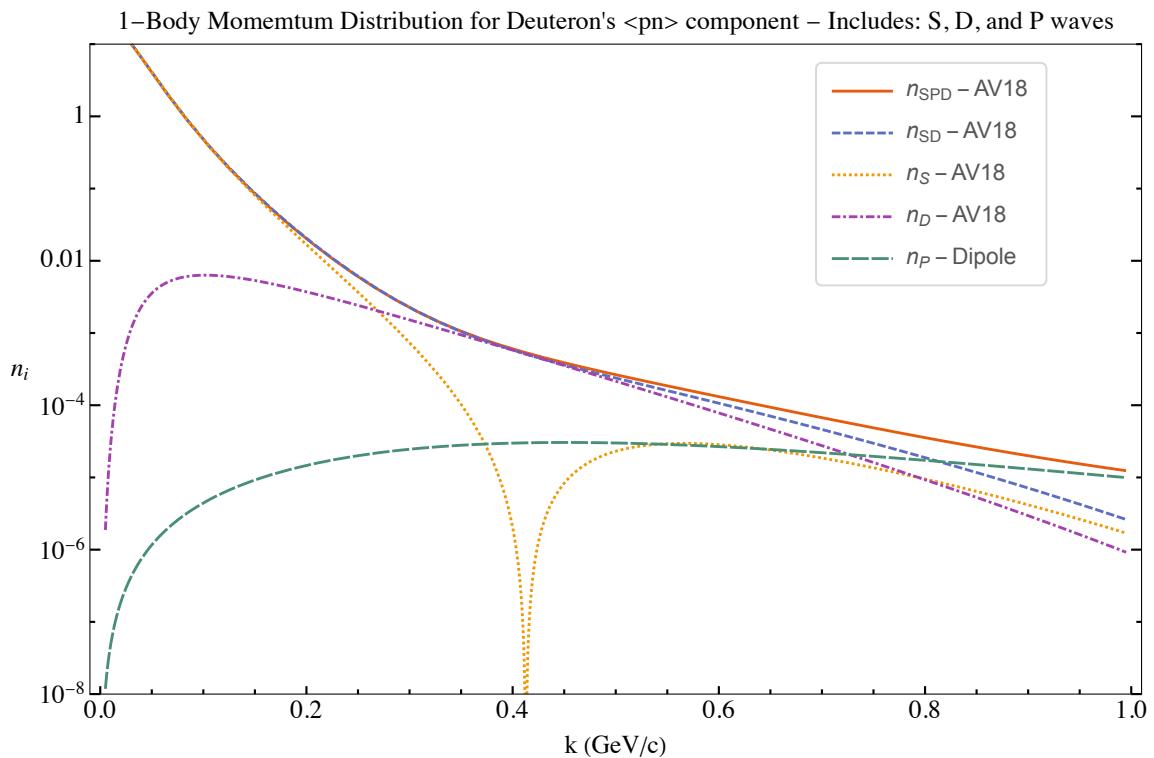


Figure 4.8: Momentum distribution, $n(k) = n_S(k) + n_D(k) + n_P(k)$, and $n(k) = n_S(k) + n_D(k)$, together with individual contributions, $n_S(k)$, $n_D(k)$, $n_P(k)$.

CHAPTER 5

FINAL STATE INTERACTIONS

During the last two decades significant efforts have been made in the calculation of FSI effects in high Q^2 electro-nuclear processes (see e.g. Refs.[44, 45, 51, 52, 53, 54, 55, 7, 56]) One of the approaches, referred to as the generalized eikonal approximation (GEA)[44, 7], selfconsistently treated the relativistic effects associated with the large momentum of bound nucleon involved in the reaction. Hence, the GEA provided a theoretical framework for calculating FSI effects relevant to studies of the nuclear structure at short distances.

5.1 The Generalized Eikonal Approximation

In the kinematics in which the struck nucleon carries about the same high momentum (≥ 1 Gev/c) of the virtual photon, the final state interaction process can be described within GEA [44, 45]. The GEA is especially reliable in the situations in which the momentum transfer in the rescattering vertex is significantly smaller than the momentum of the fast nucleon. This covariant approach is based in Feynman rules defined for effective interaction vertices.

In this chapter we carry out the calculation of the FSI diagrams of Fig.(2.4-b) within the LF i.e., we rewrite the (covariant) Feynman diagram as the **τ -ordered** non-covariant diagrams in Fig.(5.1). The variables used for the **τ -ordered** FSI transition amplitudes are defined in Fig.(5.1), where p_f and p'_f are the final and intermediate momentum of the fast (struck) nucleon.

In the case the virtual photon transfers a large momentum to the struck nucleon, and working in the reference frame of Fig.(3.4), the intermediate light-front longitudinal momentum of the nucleon will be very small, $p'^+_f \sim 0$. Because the LF longitudinal component of the momentum (p^+) is conserved by the interaction, and it is positive

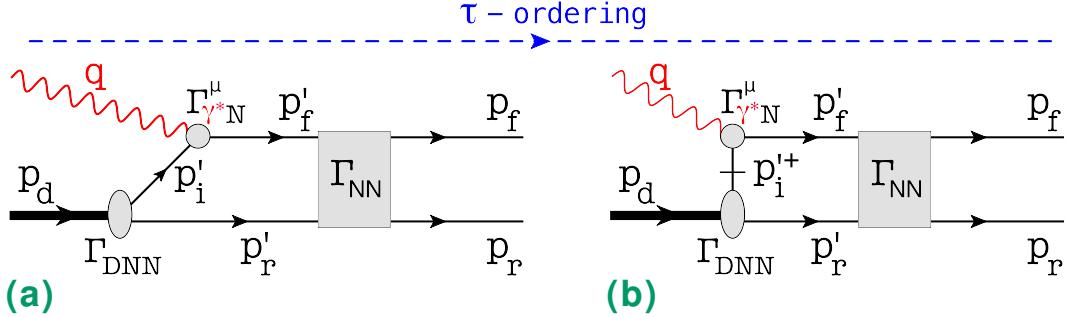


Figure 5.1: FSI τ -ordered diagrams.

for real particles, it follows that choosing events for which $p_f^+ \sim p_f'^+ \sim 0$, i.e. $p_{f_z} \sim |\mathbf{q}|$, the re-scattering between the struck and the recoiling nucleon is constrained to be mostly along the direction transverse to \mathbf{q} . This peculiar feature of the GEA leads to a strong angular anisotropy for the corresponding FSI and can be used to aid the extraction of the probability distributions provided by the PWIA diagram, e.g. selecting kinematics that minimize the FSI effects.

5.1.1 Calculation of Final State Interactions Amplitudes

To calculate final state interaction one considers the light-front diagrams of Fig.5.1. Similar to the case of PWIA (Chapter 3), the interacting nucleon enters with a propagating and instantaneous parts. which results in an electromagnetic current as in Eq.(3.13).

The latter will result in a diagram of Fig.(5.1(b)) where the vertical dashed line indicates the intermediate state in light-front time sequence of the scattering process. Note that this diagram still contains instantaneous propagators of recoil (r') and struck (f') nucleons whose contributions will be discussed below. Applying now effective light-front diagrammatic rules from Appendix (B), for the scattering ampli-

tude one obtains.

$$A_1^\mu = \int \frac{\bar{u}_{h_f}(p_f) \bar{u}_{h_r}(p_r) \Gamma_{NN}[\not{p}_{r'} + m][\not{p}_{f'} + m] \Gamma_{\gamma^* N}^\mu [\not{p}_{i'} + m] \Gamma_{DNN} \chi^{\lambda_d}}{p_{f'}^+ D_2 p_{i'}^+ D_1} \frac{d\not{p}_{r'}^+}{p_{r'}^+} \frac{d^2 \not{p}_{r'}^\perp}{16\pi^3}, \quad (5.1)$$

where D_1 and D_2 correspond to the light-front energy denominators as follows:

$$\begin{aligned} D_1 &= p_d^- - p_{r'}^- - p_{i'}^- + i\epsilon \\ D_2 &= p_d^- - p_{r'}^- + q^- - p_f^- + i\epsilon. \end{aligned} \quad (5.2)$$

and Γ_{NN} represents the NN rescattering amplitude.

For further derivation we introduce light-cone momentum fractions

$$\alpha_{i'} = 2 \frac{p_{i'}^+}{p_d^+} \text{ and } \alpha_{r'} = 2 \frac{p_{r'}^+}{p_d^+} \quad (5.3)$$

and use the "+" component conservation to express $\alpha_{f'} = \alpha_{i'} + \alpha_q$.

We now consider the instantaneous parts of propagators of recoil and struck nucleons. For recoil nucleon:

$$\not{p}_{r'} + m = \sum_{h'_r} u_{h_r'}(p_{r'}) \bar{u}_{h_r'}(p_{r'}) + \frac{2(p_{r'}^2 - m^2)\gamma^+}{\alpha_{r'} p_d^+}, \quad (5.4)$$

where the instantaneous part will be dominated in the integral of Eq.(5.1) at $\alpha_{r'} \rightarrow 0$ limit which corresponds to strongly virtual nucleon emerging from the deuteron vertex and instantaneously interacting with struck nucleon. Moreover the magnitude of the momentum transfer in the rescattering vertex is $\sim \alpha_{r'}^2 p_d^{+2}$ which provide another factor of suppression for the term contributing to the instantaneous part of the recoil nucleon propagator.

For the struck nucleon propagator one has

$$\not{p}_{f'} + m = \sum_{h'_f} u_{h_f'}(p_{f'}) \bar{u}_{h_f'}(p_{f'}) + \frac{2(p_{f'}^2 - m^2)\gamma^+}{\alpha_{f'} p_d^+}. \quad (5.5)$$

The reason leading to the suppression of the instantaneous terms as compared to the propagating term is that, due to the knock-out kinematics we have $p_{f'}^2 \approx m^2$, hence

the term is dominated by the $\alpha_{f'} \rightarrow 0$ limit. Therefore, the momentum transfer in the rescattering amplitude is again large $\sim \alpha_f^2 p_d^2$.

Thus within the approximation for which one expects that FSI is dominated by small momentum transfer rescattering (eikonal approximation) one can keep only propagating terms from Eq.(5.4) and (5.5) in the amplitude of Eq.(5.1), yielding:

$$A_1^\mu = \sum_{h_r, h_{f'}} \int \frac{\bar{u}_{h_f}(p_f) \bar{u}_{h_r}(p_r) \Gamma_{NN} u_{h_{r'}}(p_{r'}) u_{h_{f'}}(p_{f'})}{p_{f'}^+ D_2} \frac{\bar{u}_{h_{f'}}(p_{f'}) \Gamma_{\gamma N}^\mu [\sum_{h_{i'}} u_{h_{i'}}(p_{i'}) \bar{u}_{h_{i'}}(p_{i'}) + \frac{\gamma^+}{2} (p_{i'}^- - p_{i',on}^-)] \bar{u}_{h_{r'}}(p_{r'}) \Gamma_{DNN} \chi^{\lambda_d}}{\alpha_{i'} \frac{1}{2} \left[m_d^2 - \frac{4(m^2 + p_{i',\perp}^2)}{\alpha_{i'}(2-\alpha_{i'})} \right]} \frac{d\alpha_{r'}}{\alpha_{r'}} \frac{d^2 p_{r'}^\perp}{16\pi^3} \quad (5.6)$$

where, in the derivation we used the definitions of $\alpha_{r'}$ and $\alpha_{i'}$ from Eq.(5.3) as well as the relation:

$$D_1 = \frac{1}{p_d^+} \left[m_d^2 - \frac{4(m^2 + p_{i',\perp}^2)}{\alpha_{i'}(2-\alpha_{i'})} \right]. \quad (5.7)$$

Using now the definition of Light-Front wave function from Eq.(3.12), propagation and instantaneous component of nucleon's electromagnetic current from Eq.(3.13), as well as defining the $NN \rightarrow NN$ scattering amplitude as:

$$F_{NN}(p_3, h_3, p_4, h_4; p_1, h_1, p_2, h_2) \equiv \bar{u}_{h_3}(p_3) \bar{u}_{h_4}(p_4) \Gamma_{NN} u_{h_1}(p_1) u_{h_2}(p_2) \quad (5.8)$$

for scattering amplitude one obtains:

$$A_1^\mu = - \sum_{h_r, h_{f'}, h_{i'}} \int \frac{F_{NN}(p_f, h_f, p_r, h_r; p_{f'}, h_{f'}, p_{f'}, h_{r'})}{p_{f'}^+ D_2} J_N^\mu(p_{f'}, h_{f'}; p_{i'}, h_{i'}) \times \frac{\psi_d(\alpha_{i'}, p_{i',\perp}) \sqrt{2} \sqrt{16\pi^3}}{\alpha_{i'}} \frac{d\alpha_{r'}}{\alpha_{r'}} \frac{d^2 p_{r'}^\perp}{16\pi^3}. \quad (5.9)$$

Now we consider the denominator $p_{f'}^+ D_2$ taking into account that the reaction (2.1) is quasi-elastic and satisfies energy-momentum conservation according to which:

$$(p_d - p_r + q)^2 = m_N^2. \quad (5.10)$$

Using above equation and on-shellness of the recoil nucleon in the intermediate state, one obtains:

$$\begin{aligned} p_{f'}^+ D_2 &= (p_d - p_r + q)^2 + 2(p_r - p_{r'})(p_d - p_r + q) + (p_r - p_{r'})^2 - m_N^2 + i\epsilon \quad (5.11) \\ &= \frac{s + Q^2 - q^+ p_d^-}{2} [\alpha_r - \alpha_{r'} + \delta + i\epsilon] \end{aligned}$$

with

$$\delta = \frac{4}{(p_d^+)^2} \left(\frac{p_{r,\perp}^2}{\alpha_r} - \frac{p_{r',\perp}^2}{\alpha_{r'}} \right) \approx \frac{4(p_{r,\perp}^2 - p_{r',\perp}^2)}{(p_d^+)^2 \alpha_r}, \quad (5.12)$$

were in the last part we used the fact that due to the peaking of the deuteron wave function at small internal momenta, the integral in Eq.(5.9) is dominated by $p_{r',\perp}^2 \sim 0$, thus effect due to the replacement of $\alpha_{r'}$ by α_r in the $\frac{p_{r',\perp}^2}{\alpha_{r'}}$ term will be negligible. Note that in the above equation p_d^+ is defined according to Eq.(2.16).

Substituting Eq.(5.12) in Eq.(5.9) one obtains:

$$\begin{aligned} A_1^\mu &= \sum_{h_{r'}, h_{f'}, h_{i'}} \int \frac{F_{NN}(p_f, h_f, p_r, h_r; p_{f'}, h_{f'}, p_{f'}, h_{r'})}{\frac{s + Q^2 - q^+ p_d^-}{2} [\alpha_r - \alpha_{r'} + \delta + i\epsilon]} J_N^\mu(p_{f'}, h_{f'}; p_{i'}, h_{i'}) \\ &\times \frac{\psi_d(\alpha_{i'}, p_{i',\perp}) \sqrt{2} \sqrt{16\pi^3}}{\alpha_{i'}} \frac{d\alpha_{r'}}{\alpha_{r'}} \frac{d^2 p_{r'}^\perp}{16\pi^3}. \end{aligned} \quad (5.13)$$

Before to proceed with the calculation we evaluated that δ function for several high energy kinematics, using the empirical observation that average transverse momentum transferred in $NN \rightarrow NN$ scattering is about 250 MeV/c. As it turns out the δ is negligible enough to be ignored in the calculations. The outcome of this is that the rescattering amplitude A_1^μ evaluated at the pole value of the denominator conserves the variable α_r . This represents a unique feature of high energy scattering on the light-front.

To evaluate the integral in Eq.(5.13) we use the relation:

$$\frac{1}{\alpha_r - \alpha_{r'} + \delta + i\epsilon} = -i\pi\delta(\alpha_{r'} - (\alpha_r + \delta)) + \mathcal{P} \frac{1}{\alpha_r - \alpha_{r'} + \delta} \quad (5.14)$$

where the second part of the right hand side of equation corresponds to the contributed in Eq.(5.13) where integral over $\alpha_{r'}$ is evaluated through the principal value integration. Substituting Eq.(5.14) in Eq.(5.13) and taking the delta function through the $d\alpha_{r'}$ integration, we split the expression for the amplitude A_1^μ into two parts. The first in which the rescattering is defined by on-shell elastic NN scattering amplitude and the other in which NN scattering is half-on-shell.

Furthermore, it is convenient to redefine the NN scattering amplitude in the form:

$$F_{NN}(p_f, h_f, p_r, h_r; p_{f'}, h_{f'}, p_{r'}, h_{r'}) = \sqrt{s(s-4m_N^2)} f_{NN}(s, t, h_f, h_r; h_{f'}, h_{r'}), \quad (5.15)$$

In the case of small angle scattering, f_{NN} corresponds to the diffractive scattering amplitude, which has a well known form as a function of the invariant momentum transfer,

$$t = (p_r - p_{r'})^2. \quad (5.16)$$

and can be extracted from NN cross-section measurements.

Substituting Eqs.(5.14) and (5.15) into Eq.(5.13) one obtains:

$$\begin{aligned} A_1^\mu &= i \sum_{h_{r'}, h_{f'}, h_{i'}} \frac{\sqrt{2}\sqrt{16\pi^3}}{2} \int \frac{\sqrt{s(s-4m_N^2)} f_{NN}^{on}(s, t, h_f, h_r; h_{f'}, h_{r'})}{s + Q^2 - q^+ p_d^-} J_N^\mu(p_{f'}, h_{f'}; p_{i'}, h_{i'}) \\ &\times \frac{\psi_d(\tilde{\alpha}_i, p_{i',\perp}) d^2 p_{r'}^\perp}{\tilde{\alpha}_i \tilde{\alpha}_r} \frac{(2\pi)^2}{(2\pi)^2} + \\ &- \sum_{h_{r'}, h_{f'}, h_{i'}} \mathcal{P} \int \frac{\sqrt{s(s-4m_N^2)} f_{NN}^{off}(s, t, h_f, h_r; h_{f'}, h_{r'})}{\frac{s+Q^2-q^+ p_d^-}{2} [\alpha_r - \alpha_{r'} + \delta]} J_N^\mu(p_{f'}, h_{f'}; p_{i'}, h_{i'}) \\ &\times \frac{\psi_d(\alpha_{i'}, p_{i',\perp}) \sqrt{2}\sqrt{16\pi^3}}{\alpha_{i'}} \frac{d\alpha_{r'}}{\alpha_{r'}} \frac{d^2 p_{r'}^\perp}{16\pi^3}, \end{aligned} \quad (5.17)$$

where f_{NN}^{on} and f_{NN}^{off} amplitudes correspond to one-shell and half-off-shell amplitudes of NN scattering.

Forward Final State Interaction Contribution

In this case the lines labeled by momenta of $p_{r'}, p_r$ and $p_{f'}, p_f$ correspond to the same nucleons and f_{NN} corresponds to a elastic forward scattering amplitude, which in high energy limit can be parameterized in the following form:

$$f_{NN}^{on}(s, t, h_f, h_r; h_{f'}, h_{r'}) = \sigma_{tot}(i + \alpha)e^{\frac{B}{2}t}\delta_{h_r, h_{r'}}\delta_{h_f, h_{f'}}, \quad (5.18)$$

where σ_{tot} , α and B correspond to the total cross section, real part and slope factor of small angle elastic NN scattering amplitude which can be taken from experiments on elastic NN scattering.

For the off-shell part we use similar parameterization as in Ref.[7]:

$$f_{NN}^{off}(s, t, h_f, h_r; h_{f'}, h_{r'}) = f_{NN}^{on}(s, t, h_f, h_r; h_{f'}, h_{r'})e^{\frac{B}{2}(m_{off}^2 - m_N^2)} \quad (5.19)$$

where

$$m_{off}^2 = (m_d - E_{r'} + q_0) - (\mathbf{q} - \mathbf{p}_{r'})^2 \quad (5.20)$$

with $E_{r'}$, q_0 , \mathbf{q} and $\mathbf{p}_{r'}$ are defined in the lab frame of the deuteron.

5.2 Numerical Evaluations and Discussions of Results

We now proceed to study the effects of including the Final State Interaction mechanism for the reaction as compared with only account for the the PWIA. To this end, we define the following ratio between the two cross sections,

$$R = \frac{\sigma^{\text{PWIA+FSI}}}{\sigma^{\text{PWIA}}} \quad (5.21)$$

The cross section σ^{PWIA} , is calculated by inserting in Eq.(2.23) the probability amplitude $A_{0,\text{dir}}^\mu$, given by Eq.(3.11). On the other hand, $\sigma^{\text{PWIA+FSI}}$ is the result of using the amplitude $A^\mu = A_{0,\text{dir}}^\mu + A_{1,\text{dir}}^\mu$ (Eq.2.26), where $A_{1,\text{dir}}^\mu$ is given by Eq.(5.17).

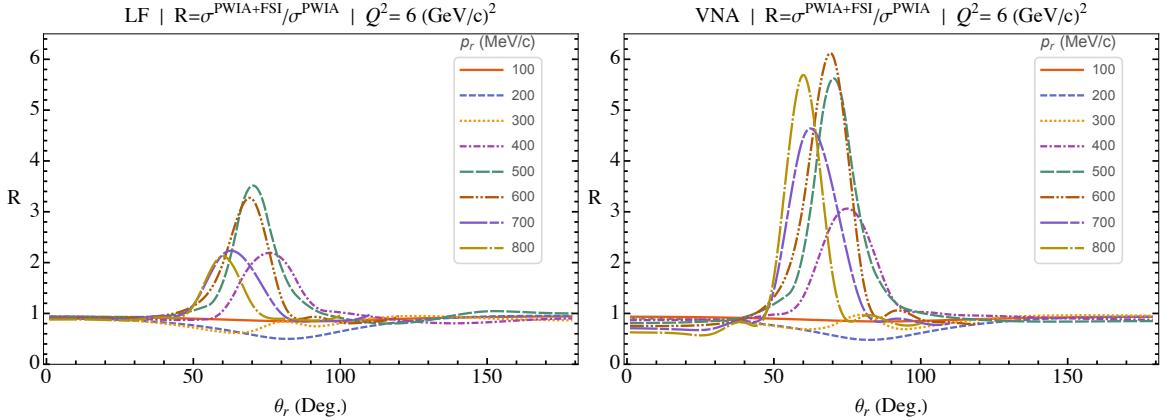


Figure 5.2: Dependence of the ratio R on the recoil angle of the neutron for a kinematics with $Q^2 = 6 \text{ (GeV/c)}^2$, and different values of missing momenta (p_r). The left panel shows the ratio R between the two cross sections calculated in this work within the Light-Front framework. The right panel shows the same ratio, but for calculations based on the Virtual Nucleon Approximation from M. Sargsian[7].

The numerical calculations are presented in Fig.(5.2). It can be seen from the figure that both calculations account for the characteristic anisotropy of the eikonal regime, found in [7]. As expected, at small values of the momentum, the prediction for the ratio R from both calculations (VNA and LF) is similar, while for high momenta their predictions diverge. The difference at high momentum is the result of relativistic effects, which are not accounted for in the VNA. The disagreement starts to be noticeable already at $p_r \sim 300 \text{ MeV/c}$, and it becomes quite prominent as the value of the momentum increases. The result derived within the LF formalism shows that the effects of the FSI mechanism are much more sensitive to the increase of the missing momentum than what would be expected from the use of VNA. In particular, the LF result predicts that the contribution of the FSI, relative to that from the PWIA, drops rapidly as the missing momentum increases. We expect these interesting results will emerge from experiments as more data for the high energy kinematics electro-disintegration of the deuteron become available.

CHAPTER 6

SUMMARY OF THE RESULTS

In this dissertation, we have developed a theoretical technique for the description of the deuteron electro-disintegration reaction in high energy kinematics within a completely relativistic approach based on Light Front dynamics. The advantage of this new procedure is twofold, it simplifies the calculations without sacrificing accuracy, and it also allows a transparent interpretation of the physical processes involved in the reaction.

Explicitly, we present a new approach for calculating the electromagnetic transition current of a nucleon from a bound state to a free state. We also introduce a new procedure to describe the relativistic nucleonic composition of the deuteron in the form of its LF wave function and identify a new term that dominates the relativistic structure of the NN bound system.

The relativistic formulation of these two objects, that is, the electromagnetic current of the bound nucleon and the wave function of the deuteron, are the main achievements of this dissertation.

6.1 The Light-Front Electromagnetic Current of the Bound-Nucleon

Based on the light-front approach we calculated electron-deuteron scattering within PWIA which allowed us to isolate the electron-bound-nucleon scattering cross-section, σ_{eN} . Within the LF framework the vacuum contribution naturally disappears while the off-shell nature of the nucleon results in the appearance of an instantaneous term in the electromagnetic current of electron-bound nucleon scattering. In deriving σ_{eN} we separated the propagating and instantaneous contributions in the electromagnetic current which allowed explicitly to trace the effects associated with the binding of the nucleon.

Furthermore in LF approach we were able to identify the parameter (defined as η) that universally characterizes the extent of the off-shellness of electromagnetic current.

The derived σ_{eN} is used to estimate the expected off-shell effects in electro-nuclear processes in kinematics relevant to the 12 GeV energy upgraded Jefferson Lab experiments. We compared the LF predictions with that of the de Forest approximation widely used by experimentalists to estimate the off-shell effects in the reaction mechanism of electro-nuclear processes. These comparisons indicate that practically in all kinematic cases the LF approach predicts less off-shell effects at $Q^2 \geq 1 \text{ GeV}^2$ than the de Forest approximation does. Most importantly the LF approach predicts a significant drop of the off-shell effects with an increase of Q^2 which intuitively can be understood as a decrease in the sensitivity of the hard processes on the off-shellness of the target nucleon.

We also examined our conjecture that the η -variable can be considered as a universal parameter in controlling off-shell effects. We found that for wide range of kinematics the off-shell effects can be suppressed on the level of 5% as soon as $\eta < 0.1$. The latter gives an effective method for controlling the uncertainties in the reaction mechanism for large varieties of electro-nuclear processes probing deeply bound nucleons in the nucleus.

Finally, it is worth mentioning that even though we considered the eA scattering within PWIA the obtained expressions for electromagnetic current are applicable also for scattering amplitudes in which the final state interaction between outgoing nucleons is considered within eikonal approximation. The main contribution to the rescattering amplitude coincides with the pole value of the struck nucleon propagator in the intermediate state. Hence, the electromagnetic current is the same half-off-shell electromagnetic current of Eq.(3.24).

6.2 Development of Light-Front Wave Function of the Deuteron

There have been intensive theoretical efforts aimed to the description of the relativistic wave function of the deuteron during the past decades, however, limited experimental data [14, 49] has been available. Moreover, the vast majority of experiments were in low and intermediate energy domain and the handful of high energy experiments involved inclusive and elastic processes neither of them being able to probe directly high momentum component of deuteron wave function.

In the present work, our main goal was to develop a calculation that allows to probe the high momentum components of the deuteron nucleonic ($p n$) wave function. This requires a proper relativistic description of the deuteron wave function in terms of bound proton and neutron. We consider the deuteron wave function on the Light Front, in which case the vacuum fluctuations that obscure the probability amplitude to find the pre-existing $p n$ component with large relative momentum are removed.

Applying effective LF diagrammatic rules to the scattering amplitude for the processes depicted in Fig.4.1 the LF wave function of deuteron is introduce by Eq.(4.3). The transition vertex Γ_{dNN} is parameterized by 6 invariant function as in Eq.(4.11), of which the first two (Γ_1, Γ_2) are related to the familiar S and D deuteron's radial partial waves. Within the leading contribution, we must include also the Γ_5 vertex function, which is related to a P-wave-like angular structure. Physical motivations point out to the use of a dipole-like function as a simple model for the vertex function Γ_5 (Eq.4.26). The dipole-like term provides the correct asymptotic behavior for small momentum ($k \rightarrow 0$), for which the P-wave behaves as k^L with $L = 1$. Moreover, it assures that for large momentum k the vertex function $\Gamma_5(k)$ scales as k^{-2} , which in turns guarantees that the momentum distribution will scale as k^{-4} , which is shown in Fig.(4.6). Finally,

the inclusion of the P-wave term modifies the relative contributions to deuteron's wave function normalization. Within the dipole-like model for the Γ_5 term, we can estimate the angular averaged one-body momentum distribution, which is shown in Fig.(4.8) together with the equivalent momentum distribution that only takes into account the S and D waves contributions. The main result is the dominance of the P-wave term in the deuteron's wave function for high internal momentum configurations.

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APPENDIX A

NOTATION AND CONVENTIONS

Dirac matrices are defined in four-dimension space-time by the conditions (Clifford algebra),

$$\gamma_\mu \gamma_\nu + \gamma_\nu \gamma_\mu = 2g_{\mu\nu} \quad (\text{A.1})$$

They are used to generate a basis for the linear operators in Dirac space, i.e. the (4-dimensional) spin 1/2-spinor space with definite parity¹. The basis is formed by the 5 (multi-)linear operators,

$$\mathbf{1}_4, \quad \gamma_5, \quad \gamma_\mu, \quad \gamma_\mu \gamma_5, \quad \sigma_{\mu\nu} = (i/2)[\gamma_\mu, \gamma_\nu] = (i/2)(\gamma_\mu \gamma_\nu - \gamma_\nu \gamma_\mu) \quad (\text{A.2})$$

where, $\mu, \nu = 0, 1, 2, 3$ are space-time indices, the fifth gamma matrix is $\gamma_5 = i\gamma_0\gamma_1\gamma_2\gamma_3$, and $\mathbf{1}_4$ is the four-by-four identity matrix.

When an explicit representation for the gamma matrices is needed, they are written in the Dirac-Pauli representation,

$$\gamma_D^0 = \gamma^0 = \begin{pmatrix} \mathbf{1}_2 & 0 \\ 0 & -\mathbf{1}_2 \end{pmatrix}, \quad \gamma_D^i = \gamma^i = \begin{pmatrix} 0 & \boldsymbol{\sigma}_i \\ -\boldsymbol{\sigma}_i & 0 \end{pmatrix}, \quad \gamma_D^5 = \gamma^5 = \begin{pmatrix} 0 & \mathbf{1}_2 \\ \mathbf{1}_2 & 0 \end{pmatrix} \quad (\text{A.3})$$

where, $\mathbf{1}_2$ is the two-by-two identity matrix and $\boldsymbol{\sigma}_i = (\sigma_1, \sigma_2, \sigma_3)$ is a vector whose components are the Pauli matrices,

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (\text{A.4})$$

Because in this representation γ_0 appears diagonalized, the spinors that form the basis of the representation (Dirac spinors) are eigenstates of γ_0 . This basis is convenient for study non-relativistic limits or approximations.

¹They are eigen-states of the parity operator. For the definition of the parity operator see Sec.(F.2).

APPENDIX B

DIAGRAMMATIC RULES FOR NUCLEAR SCATTERING AMPLITUDES

A brief summary of the rules for computation of scattering amplitudes within the Light Front (LF) formalism is presented below. We follow the Lepage-Brodsky convention[64, 68].

The notation for the components of a generic 4-vector is,

$$\begin{aligned} v^\mu &= (v^+, v_x, v_y, v^-) \\ v^\pm &= v^0 \pm v_z \end{aligned} \tag{B.1}$$

thus, the position and momentum 4-vectors are written as,

$$\begin{aligned} x^\mu &= (x^+, x, y, x^-) = (x^+, \mathbf{x}_T, x^-) \\ p^\mu &= (p^+, p_x, p_y, p^-) = (p^+, \mathbf{p}_T, p^-) \end{aligned} \tag{B.2}$$

The LF scalar product takes the form,

$$x \cdot p = \frac{1}{2} (x^+ p^- + x^- p^+) - \mathbf{x}_T \cdot \mathbf{p}_T \tag{B.3}$$

The LF evolution is parameterized (as usual) by the first component of x^μ , which is therefore referred as the LF time. When ambiguities may appear, the LF time is single out from the other components by using a new label, a frequent choice is $\tau = x^+$.

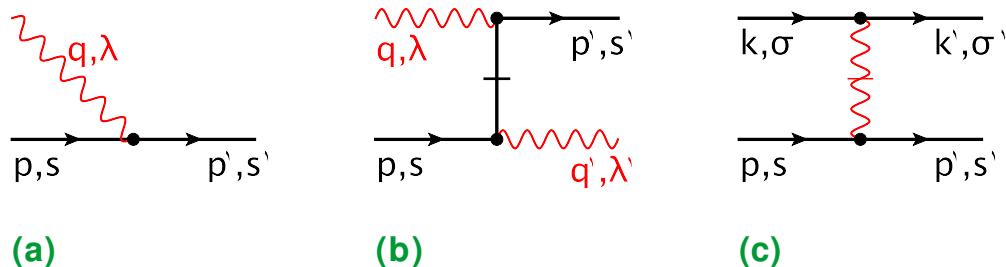


Figure B.1: Example of the scattering amplitude on the light-front ($\tau = x^+$ flows from left to right).

Diagrammatic Rules for effective light-front perturbation theory can be formulated as follows:

1. Draw all topologically distinct $\tau \equiv x^+$ -ordered diagrams at the desired coupling power. In addition to the usual advanced and retarded propagation between two events one needs to include a third possibility in which the two events connected by an internal fermion or photon interact at the same LF τ -time, commonly referred as instantaneous term.
2. Assign to each line a four-momentum p^μ and spin s (or helicity, λ) corresponding to a single on-mass-shell particle, i.e. $p^2 = m^2$.
3. With spin 1/2 fermions associate on-mass-shell spinors $u(p, s)$, with antifermions $v(p, s)$, with photons $\epsilon_\mu(q, \lambda)$, etc, such that,

$$\begin{aligned}
\bar{u}(p, s') u(p, s) &= -\bar{v}(p, s') v(p, s) = 2m\delta_{ss'} \\
\sum_s u(p, s) \bar{u}(p, s) &= \not{p} + m \\
\sum_s v(p, s) \bar{v}(p, s) &= \not{p} - m \\
(\epsilon^\mu(q, \lambda'))^* \epsilon_\mu(q, \lambda) &= -\delta_{\lambda' \lambda}, \quad q \cdot \epsilon(q, \lambda) = 0 \\
\sum_\lambda (\epsilon^\mu(q, \lambda))^* \epsilon^\nu(q, \lambda) &= -g^{\mu\nu} + \frac{q^\mu \eta^\nu + q^\nu \eta^\mu}{q \cdot \eta} \tag{B.4}
\end{aligned}$$

where η is a null vector ($\eta^2 = 0$), given in LC gauge by, $\eta = (0, 0, 0, 2)$

4. Each intermediate state gets a factor of Light-Front energy denominator:

$$\frac{1}{\sum_{ini} p^- - \sum_{int} p^- + i\epsilon} \tag{B.5}$$

where, the sums run over LF energies of particles in the initial (ini) and intermediate (int) states. For each particle, its LF energy is fixed by the on-mass-shell condition, $p^- = \frac{m^2 + \mathbf{p}_\text{F}^2}{p^+} > 0$.

5. Internal lines account for two kind of interactions:

- Propagating, in which case, for a vertex like in Fig.(B.1)(a) one has:

$$\bar{u}(p', s') \not{e}(q, \lambda) u(p, s) \delta^2 \left(\sum_{in} \mathbf{p}_{Tin} - \sum_{out} \mathbf{p}_{Tout} \right) \delta \left(\sum_{in} p_{in}^+ - \sum_{out} p_{out}^+ \right) \quad (B.6)$$

where, *in* and *out* refer to flowing into and out of the vertex. The δ functions at the vertex guarantee the conservation of the plus and transverse components for *in* and *out* momenta.

- Instantaneous. For each vertex like in Fig.(B.1)(b) (fermionic), include,

$$\begin{aligned} \bar{u}(p', s') (\not{e}(q', \lambda'))^* & \frac{\gamma^+}{2(q^+ - p'^+)} \not{e}(q, \lambda) u(p, s) \\ & \times \delta^2 \left(\sum_{in} \mathbf{p}_{Tin} - \sum_{out} \mathbf{p}_{Tout} \right) \delta \left(\sum_{in} p_{in}^+ - \sum_{out} p_{out}^+ \right) \end{aligned} \quad (B.7)$$

- And, for each vertex like in Fig.(B.1) (c) (vector), include,

$$\begin{aligned} \Gamma^2 \bar{u}(p', s') \gamma^+ u(p, s) & \frac{1}{(p'^+ - p^+)^2} \bar{u}(k', \sigma') \gamma^+ u(k, \sigma) \\ & \times \delta^2 \left(\sum_{in} \mathbf{p}_{Tin} - \sum_{out} \mathbf{p}_{Tout} \right) \delta \left(\sum_{in} p_{in}^+ - \sum_{out} p_{out}^+ \right) \end{aligned} \quad (B.8)$$

6. Each vertex in the diagram is associated with an effective transition factor Γ .

For elementary interactions among bare particles the Γ factors correspond to the fundamental vertices with coupling constants.

7. For a composite particle A , represented by a state with momentum p_A and spin s_A , the LF wave function associated with its transition to n-constituents is defined by:

$$\psi(\{x_i, \mathbf{k}_{iT}, s_i\}; s_A, p_A) = \frac{\left(\prod_{i=1}^n \chi_i^\dagger(x_i, \mathbf{k}_{iT}, s_i) \right) \cdot \Gamma \cdot \chi_A(p_A, s_A)}{p_A^+ (p_A^- - \sum_{i=1}^n p_i^- + i\epsilon)} \quad (B.9)$$

where, x_i and \mathbf{k}_{iT} is the LF longitudinal momentum fraction and transverse momentum of the i th constituent particle. The spin wave functions of outgoing particles are described by $\chi_i^\dagger(x_i, \mathbf{k}_{iT}, s_i)$, and Γ is the effective vertex of the transition of particle A to n-constituents.

8. Sum over polarizations and integrate over each internal line with the factor,

$$\sum_s \int \frac{dp_T dp^+}{2(2\pi)^3 p^+} \Theta(p^+) \quad (\text{B.10})$$

which ensures the plus component positivity (all particles move forward in LF time).

9. To convert incoming into outgoing lines, or particles to antiparticles, replace,

$$u \longleftrightarrow v, \quad \bar{u} \longleftrightarrow -\bar{v}, \quad \epsilon \longleftrightarrow \epsilon^* \quad (\text{B.11})$$

10. Symmetry factors must be included as usual. As well as a factor of -1 for each fermion loop, for any fermion line beginning and ending at the initial state, and for every diagram in which fermion lines are interchanged in either of the initial or final states. Also, the overall sign from Wick's theorem.

APPENDIX C

NUCLEONIC ELECTRO-MAGNETIC CURRENT AND TENSOR

The proper requirement of charge conservation (gauge invariance) in (renormalizable) field theory is the Ward-Takahashi identity, which states that the equation of continuity must be satisfied at the photon-fermion vertex. For bound particles (off the energy shell), gauge invariance requires some additions to the free EM current operator, which can make important contributions to the form factors.

The form for the off-shell EM vertex is [83, 84, 85]

$$\Gamma_{\gamma^* N}^\mu = \left(\gamma^\mu F_1 + i\sigma^{\mu\nu} q_\nu F_2 \frac{\kappa}{2m_N} + q^\mu F_3 \right) \quad (\text{C.1})$$

This is the EM vertex one would use to study elastic scattering off constituents in a bound system like nuclei, e.g. the triangle diagram of Fig.(J.1). The Ward-Takahashi identity can be used to impose a constrain over $\Gamma_{\gamma^* N}^\mu$ that allows to write the F_3 form factor in terms of F_1 . This is equivalent to solve, $q_\mu J_N^\mu = 0$. Using Eq.(3.13) together with (C.1) one obtains:

$$F_3 = F_1 \frac{q}{Q^2} \quad (\text{C.2})$$

Resulting in the off-shell EM vertex,

$$\Gamma_{\gamma^* N}^\mu = \left(F_1 \left(\gamma^\mu + q^\mu \frac{q}{Q^2} \right) + i\sigma^{\mu\nu} q_\nu F_2 \frac{\kappa}{2m_N} \right) \quad (\text{C.3})$$

where the form-factors ($F_{1,2,3}$) are functions of Lorentz invariants constructed from the initial (p_1) and final (p_2) nucleon's momenta and the momentum transfer (q) in Fig.(J.1).

C.1 Nucleonic Tensor

In this section we provide explicit expressions for the components of the nucleonic tensor ($H_N^{\mu\nu}$). Substituting Eq.(3.24) into Eq.(3.31), allows us to express the nucleonic

tensor as a sum of two terms:

$$H_N^{\mu\nu} = H_{N,\text{prop}}^{\mu\nu} + H_{N,\text{inst}}^{\mu\nu} \quad (\text{C.4})$$

where, the the propagating contribution is given by,

$$H_{N,\text{prop}}^{\mu\nu} = \frac{1}{2} \sum_{s_i s_f} (J_{\text{prop}}^{s_i s_f \mu})^\dagger (J_{\text{prop}}^{s_i s_f})^\nu = \frac{1}{2} \text{Tr} \left[\bar{\Gamma}_{\gamma^* N}^{(\text{on})\mu} (\not{p}_f + m_N) \Gamma_{\gamma^* N}^{(\text{on})\nu} (\not{p}_{i,\text{on}} + m_N) \right], \quad (\text{C.5})$$

and the instantaneous by,

$$\begin{aligned} H_{N,\text{inst}}^{\mu\nu} &= \frac{1}{2} \sum_{s_i s_f} \left((J_{\text{off}}^{s_i s_f \nu})^\dagger J_{\text{inst}}^{s_i s_f \mu} + (J_{\text{prop}}^{s_i s_f \nu})^\dagger J_{\text{inst}}^{s_i s_f \mu} + (J_{\text{inst}}^{s_i s_f \nu})^\dagger J_{\text{prop}}^{s_i s_f \mu} \right) \\ &= \frac{1}{2} \text{Tr} \left[\bar{\Gamma}_{\gamma^* N}^{(\text{off})\nu} (\not{p}_f + m_N) \Gamma_{\gamma^* N}^{(\text{off})\mu} (\not{p}_{i,\text{on}} + m_N) \right. \\ &\quad \left. + \bar{\Gamma}_{\gamma^* N}^{(\text{on})\nu} (\not{p}_f + m_N) \Gamma_{\gamma^* N}^{(\text{off})\mu} (\not{p}_{i,\text{on}} + m_N) + \bar{\Gamma}_{\gamma^* N}^{(\text{off})\nu} (\not{p}_f + m_N) \Gamma_{\gamma^* N}^{(\text{on})\mu} (\not{p}_{i,\text{on}} + m_N) \right], \end{aligned} \quad (\text{C.6})$$

where, $\bar{\Gamma}_{\gamma^* N}^\mu = \gamma^0 (\Gamma_{\gamma^* N}^\mu)^\dagger \gamma^0$. Notice that the initial momentum of the nucleon, p_i , occurring from now-on corresponds to $p_{i,\text{on}}$, which allows to drop the on-shell label "on" without confusion. With this, we can write propagating and instantaneous contributions of the tensor, $H^{\mu\nu}$ as functions of the nucleon form factors F_1 and F_2 as follows:

$$\begin{aligned} H_{N,\text{prop}}^{\mu\nu} &= 2F_1^2 \left[g^{\mu\nu} (m_N^2 - p_i \cdot p_f) + (p_i^\mu p_f^\nu + p_i^\nu p_f^\mu) \right] \\ &+ F_1 F_2 \kappa \left[2g^{\mu\nu} q \cdot (p_f - p_i) + (p_i^\mu q^\nu + p_i^\nu q^\mu) - (p_f^\mu q^\nu + p_f^\nu q^\mu) \right] \\ &+ F_2^2 \frac{\kappa^2}{2m_N^2} \left[g^{\mu\nu} [q^2 (p_i \cdot p_f + m_N^2) - 2 q \cdot p_i q \cdot p_f] - q^2 (p_i^\mu p_f^\nu + p_i^\nu p_f^\mu) \right. \\ &\quad \left. - q^\mu q^\nu (p_i \cdot p_f + m_N^2) + q \cdot p_f (p_i^\mu q^\nu + p_i^\nu q^\mu) + q \cdot p_i (p_f^\mu q^\nu + p_f^\nu q^\mu) \right] \end{aligned}$$

and the instantaneous correction as follows:

$$\begin{aligned}
H_{N,\text{inst}}^{\mu\nu} = & 2F_1^2 \left[g^{\mu\nu} \left(\Delta p_i \cdot (p_i - p_f) - \frac{\Delta p_i \cdot p_i}{m_N^2} \Delta p_i \cdot p_f \right) + \left(\Delta p_i^\mu p_f^\nu + \Delta p_i^\nu p_f^\mu \right) \left(1 + \frac{\Delta p_i \cdot p_i}{m_N^2} \right) \right. \\
& + \frac{2}{q^2} q^\mu q^\nu \left(\frac{2}{q^2} q \cdot p_f q \cdot (\Delta p_i + p_i) - (p_i - p_f) \cdot (\Delta p_i + p_i) + \frac{\Delta p_i \cdot p_i}{m_N^2} \left(\frac{\Delta p_i \cdot q}{q^2} p_f \cdot q + \Delta p_i \cdot p_f \right) \right) \\
& - \frac{2}{q^2} (p_i^\mu q^\nu + p_i^\nu q^\mu) q \cdot p_f - \frac{2}{q^2} (p_f^\mu q^\nu + p_f^\nu q^\mu) (q \cdot (\Delta p_i + p_i) + \frac{\Delta p_i \cdot p_i}{m_N^2} \Delta p_i \cdot q) \\
& - \frac{2}{q^2} (\Delta p_i^\mu q^\nu + \Delta p_i^\nu q^\mu) q \cdot p_f \left(1 + \frac{\Delta p_i \cdot p_i}{m_N^2} \right) \\
& + F_1 F_2 \kappa \left[g^{\mu\nu} \left(\frac{\Delta p_i \cdot p_i}{m_N^2} q \cdot (2p_f - \Delta p_i) - 2\Delta p_i \cdot q \right) + q^\mu q^\nu \left(\frac{\Delta p_i \cdot p_i}{m_N^2 q^2} q \cdot (\Delta p_i - 2p_f) - 2 \right) \right. \\
& \quad \left. - (p_i^\mu q^\nu + p_i^\nu q^\mu) + (p_f^\mu q^\nu + p_f^\nu q^\mu) \right] \\
& + F_2^2 \frac{\kappa^2}{2m_N^2} \left[g^{\mu\nu} \left[(q^2 \Delta p_i \cdot p_f - 2 q \cdot \Delta p_i q \cdot p_f) \left(1 + \frac{\Delta p_i \cdot p_i}{m_N^2} \right) + q^2 \Delta p_i \cdot p_i \right] \right. \\
& \quad \left. - (\Delta p_i^\mu p_f^\nu + \Delta p_i^\nu p_f^\mu) q^2 \left(1 + \frac{\Delta p_i \cdot p_i}{m_N^2} \right) - q^\mu q^\nu [\Delta p_i \cdot p_f \left(1 + \frac{\Delta p_i \cdot p_i}{m_N^2} \right) - \Delta p_i \cdot p_i] \right. \\
& \quad \left. + (\Delta p_i^\mu q^\nu + \Delta p_i^\nu q^\mu) q \cdot p_f \left(1 + \frac{\Delta p_i \cdot p_i}{m_N^2} \right) + (p_f^\mu q^\nu + p_f^\nu q^\mu) q \cdot \Delta p_i \left(1 + \frac{\Delta p_i \cdot p_i}{m_N^2} \right) \right]. \quad (\text{C.8})
\end{aligned}$$

With our choice of reference frame (Fig.(??)), one can expand the $L_{\mu\nu} H^{\mu\nu}$ product in the following form:

$$\begin{aligned}
L_{\mu\nu} H_N^{\mu\nu} = & (L_{00} H^{00} - 2L_{0z} H^{0z} + L_{zz} H^{zz}) + (-2L_{0||} H^{0||} + 2L_{z||} H^{z||}) \\
& + \frac{1}{2} (L_{|||} + L_{\perp\perp}) (H^{|||} + H^{\perp\perp}) + \frac{1}{2} (L_{|||} - L_{\perp\perp}) (H^{|||} - H^{\perp\perp}). \quad (\text{C.9})
\end{aligned}$$

Furthermore, using the gauge-invariance of leptonic current, one expresses the above product in the form:

$$\begin{aligned}
L_{\mu\nu} H_N^{\mu\nu} = & L_{00} \left(H^{00} - 2 \frac{q^0}{q_z} H^{0z} + \left(\frac{q^0}{q_z} \right)^2 H^{zz} \right) + 2L_{0||} \left(-H^{0||} + \frac{q^0}{q_z} H^{z||} \right) \\
& + \frac{1}{2} (L_{|||} + L_{\perp\perp}) (H^{|||} + H^{\perp\perp}) + \frac{1}{2} (L_{|||} - L_{\perp\perp}) (H^{|||} - H^{\perp\perp}) \\
= & Q^2 (\tan(\theta/2))^2 (\eta_L V_{N,L} + \eta_{TL} V_{N,TL} \cos(\phi) + \eta_T V_{N,T} + \eta_{TT} V_{N,TT}). \quad (\text{C.10})
\end{aligned}$$

Using the definitions of η_i for $i = L, T, TL, TT$, from Eq.(3.28) for hadronic structure functions, $(V_{N,i})$, one obtains:

$$\begin{aligned} w_L^N &= \frac{\mathbf{q}^4}{Q^4} \left(H^{00} - 2 \frac{q^0}{q_z} H^{0z} + \left(\frac{q^0}{\mathbf{q}^2} \right)^2 H^{zz} \right) = \frac{\mathbf{q}^2}{4Q^2} \left(H^{++} \frac{Q^2}{(q^+)^2} + 2H^{+-} + \frac{(q^+)^2}{Q^2} H^{--} \right) \\ w_{TL}^N &= 2 \frac{\mathbf{q}^2}{Q^2} \left(\frac{q^0}{q_z} H_N^{z\parallel} - H_N^{0\parallel} \right) = \frac{|\mathbf{q}|}{q^+} \left(H_N^{+\parallel} + H_N^{-\parallel} \frac{(q^+)^2}{Q^2} \right) \\ w_T^N &= H_N^{|||} + H_N^{\perp\perp} \end{aligned} \quad (\text{C.11})$$

$$w_{TT}^N = H_N^{|||} - H_N^{\perp\perp}, \quad (\text{C.12})$$

where we have used, $-q_z = |\mathbf{q}|$, as well as the relation between components of the nucleonic tensor in light-cone and Minkowski coordinates:

$$\begin{aligned} H^{00} &= \frac{1}{4} (H^{++} + 2H^{+-} + H^{--}) \\ H^{0z} &= \frac{1}{4} (H^{++} - H^{--}) \\ H^{zz} &= \frac{1}{4} (H^{++} - 2H^{+-} + H^{--}) \\ H^{0\parallel} &= \frac{1}{2} (H^{+\parallel} + H^{-\parallel}) \\ H^{z\parallel} &= \frac{1}{2} (H^{+\parallel} - H^{-\parallel}). \end{aligned} \quad (\text{C.13})$$

From Eqs.(C.7, C.11) we compute the explicit forms of the structure functions. In

the reference frame of Fig.(3.4), they are given by:

$$\begin{aligned}
w_{L\,prop}^N &= F_1^2 \mathbf{q}^2 \frac{\alpha_N \alpha_f}{\alpha_q^2} \left(\frac{m_N^2 + \mathbf{p}_T^2}{Q^2} \frac{\alpha_q^2}{\alpha_N \alpha_f} + 1 \right) - F_1 F_2 \mathbf{q}^2 \kappa \left(\frac{m_N^2 + \mathbf{p}_T^2}{Q^2} \frac{\alpha_q^2}{\alpha_N \alpha_f} + 1 \right) \\
&\quad + F_2^2 \mathbf{q}^2 \left(\frac{\kappa}{2m_N} \right)^2 \left((m_N^2 + \mathbf{p}_T^2) \frac{\alpha_q^2}{\alpha_N \alpha_f} + 4p_T^2 \right) \\
w_{L\,inst}^N &= F_1^2 \frac{\alpha_N}{\alpha_q} \mathbf{q}^2 \left(1 - \left(\frac{m_N^2 + \mathbf{p}_T^2}{Q^2} \frac{\alpha_q^2}{\alpha_N \alpha_f} \right)^2 + \frac{(m_N^2 + \mathbf{p}_T^2)}{2m^2} \frac{\alpha_q}{\alpha_N} + \left(\frac{m_N^2 + \mathbf{p}_T^2}{Q^2} \frac{\alpha_q^2}{\alpha_N \alpha_f} - 1 \right)^2 \right) \\
&\quad - 2F_1 F_2 \kappa \frac{\alpha_N}{\alpha_q} \mathbf{q}^2 \frac{(q \cdot \Delta p_i)^2}{m^2 Q^2} \left(2 \frac{\alpha_f}{\alpha_q} + 2 \frac{m^2}{q \cdot \Delta p_i} + 1 \right) \\
&\quad + F_2^2 \left(\frac{\kappa}{m_N^2} \right)^2 \mathbf{q}^2 q \cdot \Delta p_i \left(1 + \frac{q \cdot \Delta p_i}{m^2} \frac{\alpha_N \alpha_f}{\alpha_q^2} \right) \\
w_{TL\,prop}^N &= |\mathbf{q}| \frac{\alpha_N + \alpha_f}{\alpha_q} p_T \left(2F_1^2 + 2F_2^2 \left(\frac{\kappa}{2m_N} \right)^2 Q^2 \right) \left(1 + \frac{m_N^2 + p_T^2}{Q^2} \frac{\alpha_q^2}{\alpha_N \alpha_f} \right) \\
w_{TL\,inst}^N &= 8|\mathbf{q}| \frac{q \cdot \Delta p_i}{Q^2} \mathbf{p}_T \left(1 + \frac{p_i \cdot \Delta p_i}{m^2} \right) \left(F_1^2 + F_2^2 \left(\frac{\kappa}{2m_N} \right)^2 \right) \\
w_{T\,prop}^N &= F_1^2 \left(2(m_N^2 + p_T^2) \frac{\alpha_q^2}{\alpha_N \alpha_f} + 4(p_T)^2 \right) + 2\kappa F_1 F_2 \left((m_N^2 + p_T^2) \frac{\alpha_q^2}{\alpha_N \alpha_f} + Q^2 \right) \\
&\quad + F_2^2 \left(\frac{\kappa}{2m_N} \right)^2 \left(2 \frac{\alpha_N \alpha_f}{\alpha_q^2} \left((m_N^2 + p_T^2) \frac{\alpha_q^2}{\alpha_N \alpha_f} + Q^2 \right)^2 - 4Q^2 p_T^2 \right) \\
w_{T\,inst}^N &= 8F_1^2 \left(q \cdot \Delta p_i + p_f \cdot \Delta p_i \frac{p_i \cdot \Delta p_i}{m^2} \right) + 8F_1 F_2 \kappa \left(1 + \frac{p_i \cdot \Delta p_i}{m^2} \right) \left(q \cdot \Delta p_i - p_f \cdot q \frac{p_i \cdot \Delta p_i}{m^2 + p_i \cdot \Delta p_i} \right) \\
&\quad + 8F_2^2 \left(\frac{\kappa}{2m_N} \right)^2 \left(1 + \frac{p_i \cdot \Delta p_i}{m^2} \right) \left(q \cdot p_f q \cdot \Delta p_i + Q^2 p_f \cdot \Delta p_i + Q^2 \frac{m^2 p_i \cdot \Delta p_i}{m^2 + p_i \cdot \Delta p_i} \right) \\
w_{TT\,prop}^N &= 4p_T^2 \left(F_1^2 + F_2^2 \frac{\kappa^2}{4m_N^2} Q^2 \right) \\
w_{TT\,off}^N &= 0.
\end{aligned} \tag{C.14}$$

The kinematic variables, and scalar products used in the calculation are:

- The light-cone momentum fractions,

$$\alpha_N = \frac{2p_N^+}{p_d^+} = \frac{2(E_N + p_{N,z})}{p_d^+}, \quad \alpha_q = \frac{2q^+}{p_d^+} = \frac{2(q^0 - |\mathbf{q}|)}{p_d^+}, \quad \alpha_f = \alpha_N + \alpha_q \tag{C.15}$$

- The off-shell factor,

$$\Delta p_i^\mu = p_i^\mu - p_{i,on}^\mu, \text{ with, } p_i^\mu = p_d^\mu - p_r^\mu \tag{C.16}$$

Since, $\Delta p_i^+ = \Delta p_i^\perp = 0$, we have, $2\Delta p_i^- = \gamma^+(p_i^- - p_{i, on}^-)$, hence the minus component is given by,

$$\Delta p_i^- = p_i^- - p_{i, on}^- = -q^- + (p_f^- - p_{i, on}^-) = \frac{Q^2}{q^+} - \frac{m_N^2 + \mathbf{p}_T^2}{p_f^+ p_i^+} q^+. \quad (\text{C.17})$$

- The initial ($p_{i, on}^\mu$), final (p_f^μ), and transfer (q^μ) momenta scalar product with the off-shell factor Δp_i^μ can be written as:

$$\begin{aligned} 2\Delta p_i \cdot p_i &= Q^2 \frac{\alpha_N}{\alpha_q} - (m_N^2 + \mathbf{p}_T^2) \frac{\alpha_q}{\alpha_f} \\ 2\Delta p_i \cdot p_f &= Q^2 \frac{\alpha_f}{\alpha_q} - (m_N^2 + \mathbf{p}_T^2) \frac{\alpha_q}{\alpha_i} \\ 2\Delta p_i \cdot q &= Q^2 - (m_N^2 + \mathbf{p}_T^2) \frac{\alpha_q^2}{\alpha_f \alpha_N}. \end{aligned} \quad (\text{C.18})$$

APPENDIX D

THE ROTATION GROUP

The fact that fermions exist leads to the conclusion that the Rotation Group in Physics is $SU(2)$. Relativistic Theories of Quantum Mechanics take into account this fact by using spinors for the description of fermions¹. However, for the sake of clarity, we start with the more familiar case of 3-dimensional (spatial) rotations.

D.1 Rotations in three dimensions

A rotation ² of a 3-dimensional vector $\vec{r} = (x, y, z) \in \mathbb{R}^3$, can be seen as a transformation that leaves invariant the length of the vector, $\vec{r} \xrightarrow{R} \vec{r}' \implies \vec{r}^2 = \vec{r}'^2$. In matrix form, the rotations around the Cartesian axes are,

$$R_x(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & \sin(\theta) \\ 0 & -\sin(\theta) & \cos(\theta) \end{pmatrix}, \quad R_y(\theta) = \begin{pmatrix} \cos(\theta) & 0 & -\sin(\theta) \\ 0 & 1 & 0 \\ \sin(\theta) & 0 & \cos(\theta) \end{pmatrix}$$

$$R_z(\theta) = \begin{pmatrix} \cos(\theta) & \sin(\theta) & 0 \\ -\sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (D.1)$$

Any rotation can be decompose as a sequence of rotations from (D.1) with some particular choice of angles.

D.1.1 Generators

The rate of change produced by the rotation matrices (D.1) at $\theta = 0$, i.e. $\frac{1}{\theta} R_i(\theta)$ when $\theta \rightarrow 0$, contain enough information such that they can be used to parameterize arbit-

¹All relevant definitions are provided below.

²Rotations on a 3-dimensional real vector space form a Group called $SO(3)$. Written as matrices these are orthogonal, $R^{-1} = R^T$, and have determinant 1.

trary rotations. This limit can be readily obtained from (D.1) by differentiation,

$$J_m = \frac{\partial R_m(\theta)}{i\partial\theta} \Big|_{\theta=0} \quad (\text{D.2})$$

hence we get,

$$J_x = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad J_y = \begin{pmatrix} 0 & 0 & i \\ 0 & 0 & 0 \\ -i & 0 & 0 \end{pmatrix}, \quad J_z = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (\text{D.3})$$

This construction guarantee that the rotations (D.1) can be written as, $R_m(\theta) = e^{i\theta J_m}$, with, $m = x, y, z$. Furthermore, an arbitrary rotation by an angle θ around an axis represented by a unit vector \hat{n} , can be parameterized in terms of the matrices (D.3) as,

$$R(\hat{n}, \theta) = e^{i\theta \hat{n} \cdot \vec{J}} = R(\vec{n}) = e^{i\vec{n} \cdot \vec{J}} \quad (\text{D.4})$$

where, $\vec{n} = \theta \hat{n}$. It follows that any 3-dimensional rotation is completely characterized by a set of three parameters. Because of this feature, the matrices (D.3) are called the generators of rotations.

Notice that any set of rotations around three independent axes can be used to obtain an arbitrary rotation. Different choices of the three independent axes correspond to different parameterizations.

D.2 General Correspondence between SU(2) and SO(3)

If instead of a 3-dimensional space, we work in a complex 2-dimensional space, the transformations equivalent to the 3-dimensional rotations are written as³,

$$U(\hat{n}, \theta) = e^{i\theta \hat{n} \cdot \vec{\sigma}/2} = \cos\left(\frac{\theta}{2}\right) + i \hat{n} \cdot \vec{\sigma} \sin\left(\frac{\theta}{2}\right) \quad (\text{D.5})$$

³These are unitary matrices, $U^{-1} = U^\dagger$, with determinant equal to 1.

where, $\vec{\sigma} = (\sigma_1, \sigma_2, \sigma_3)$ and

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (\text{D.6})$$

are the standard Pauli matrices. The parameter θ represents an angle, and \hat{n} a unit vector associated with a direction in 3-dimensional space. The complex 2-dimensional space of tuples $(\chi_1, \chi_2) \in \mathbb{C}^2$, on which the SU(2) matrices $U(\hat{n}, \theta)$ act is called spinor space.

In general, an SU(2) transformation in spinor space and an SO(3) transformation in 3-dimensional space $(x, y, z) \in \mathbb{R}^3$, are related by the following correspondence,

$$U(\hat{n}, \theta) = e^{i\theta \hat{n} \cdot \frac{\vec{\sigma}}{2}} = \cos\left(\frac{\theta}{2}\right) + i \hat{n} \cdot \vec{\sigma} \sin\left(\frac{\theta}{2}\right) \longleftrightarrow R(\hat{n}, \theta) = e^{i\theta \hat{n} \cdot \vec{J}} \quad (\text{D.7})$$

which implies that the groups must have⁴ a similar structure. Indeed, this follows from the fact that their Generators obey the same Commutation Relations,

$$[J_l, J_m] = i\epsilon_{lmn}J_n, \quad \left[\frac{\sigma_l}{2}, \frac{\sigma_m}{2} \right] = i\epsilon_{lmn}\frac{\sigma_n}{2} \quad (\text{D.8})$$

D.3 Proof of the Correspondence between SU(2) and SO(3)

From the vector $\vec{r} = (x, y, z)$ we can form the matrix,

$$\vec{\sigma} \cdot \vec{r} = \begin{pmatrix} z & x - iy \\ x + iy & -z \end{pmatrix} \quad (\text{D.9})$$

This is a traceless Hermitian matrix with determinant, $\det[\vec{\sigma} \cdot \vec{r}] = -\vec{r} \cdot \vec{r} = -r^2$. Since the trace and the determinant are invariant under unitary transformations, it follows that SU(2) transformations acting on $\vec{\sigma} \cdot \vec{r}$ behave similar to rotations acting on \vec{r} in the sense that,

$$U\vec{\sigma} \cdot \vec{r} U^\dagger = \vec{\sigma} \cdot \vec{r}' \implies r^2 = (r')^2 \quad (\text{D.10})$$

⁴At least locally, i.e., the infinitesimal transformations.

In other words, they preserve the length of the 3-dimensional vector. Thus, an SU(2) transformation induces a rotation on the position vector \vec{r} , and vice versa.

In order to explicitly construct a map between SU(2) and SO(3) we can build a traceless Hermitian 2×2 complex matrix H , that transform under SU(2), i.e., it has SU(2) as its group of symmetry.

Noting that, on the 2-dimensional complex space the most general form an element of SU(2) can have is⁵,

$$U = \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} \quad (\text{D.11})$$

with, $|a|^2 + |b|^2 = 1$. It is straightforward to check that an arbitrary element of the space transform as,

$$\chi = \begin{pmatrix} \chi_1 \\ \chi_2 \end{pmatrix} \in \mathbb{C}^2 \rightarrow U\chi = \begin{pmatrix} a\chi_1 + b\chi_2 \\ -b^*\chi_1 + a^*\chi_2 \end{pmatrix} = \begin{pmatrix} \chi'_1 \\ \chi'_2 \end{pmatrix} = \chi' , \quad \chi'^\dagger = \chi^\dagger U^\dagger \quad (\text{D.12})$$

and satisfy,

$$\chi^\dagger \chi \rightarrow \chi'^\dagger \chi' = |\chi_1|^2 + |\chi_2|^2 \quad (\text{D.13})$$

$$\chi \chi^\dagger = \begin{pmatrix} |\chi_1|^2 & \chi_1 \chi_2^* \\ \chi_1^* \chi_2 & |\chi_2|^2 \end{pmatrix} \rightarrow \chi' \chi'^\dagger = U \chi \chi^\dagger U^\dagger \quad (\text{D.14})$$

Although, $\chi \chi^\dagger$ transform under SU(2) as we want, and it is Hermitian, it is not traceless. However, because χ transform like the combination: $(-i\sigma_2 \chi)^* = -i\sigma_2 \chi^*$. Ex-

⁵ $UU^\dagger = \mathbb{1}, \det[U] = 1$

licitly we have,

$$\begin{aligned} \exp\left[-i\pi\frac{\sigma_2}{2}\right]\chi^* &= -i\sigma_2\chi^* = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \chi_1^* \\ \chi_2^* \end{pmatrix} = \begin{pmatrix} -\chi_2^* \\ \chi_1^* \end{pmatrix} \\ -i\sigma_2\chi^* \rightarrow (-i\sigma_2\chi^*)' &= U(-i\sigma_2\chi^*) = \begin{pmatrix} a(-\chi_2^*) + b\chi_1^* \\ -b^*(-\chi_2^*) + a^*\chi_1^* \end{pmatrix} = -i\sigma_2(\chi')^* \quad (\text{D.15}) \end{aligned}$$

Furthermore, χ^\dagger transform like the combination: $(-i\sigma_2\chi)^T = i\chi^T\sigma_2$, i.e.,

$$(-i\sigma_2\chi)^T = \begin{pmatrix} -\chi_2 & \chi_1 \end{pmatrix} \rightarrow ((-i\sigma_2\chi)^T)' = (-i\sigma_2(\chi^\dagger))^\dagger \quad (\text{D.16})$$

then⁶, $(-i\sigma_2\chi^*)(-i\sigma_2\chi)^T$ transform like $\chi\chi^\dagger$,

$$(-i\sigma_2\chi^*)(-i\sigma_2\chi)^T = \begin{pmatrix} -\chi_1\chi_2 & \chi_1^2 \\ -\chi_2^2 & \chi_1\chi_2 \end{pmatrix} \rightarrow (-i\sigma_2\chi^*)'((-i\sigma_2\chi)^T)' = U(-i\sigma_2\chi^*)(-i\sigma_2\chi)^T U^\dagger \quad (\text{D.17})$$

and it is traceless. We can now identify⁷,

$$\begin{aligned} (-i\sigma_2\chi^*)(-i\sigma_2\chi)^T &\leftrightarrow -\vec{\sigma} \cdot \vec{r} \\ x = \frac{1}{2}(\chi_2^2 - \chi_1^2), \quad y = \frac{1}{2i}(\chi_2^2 + \chi_1^2), \quad z = \chi_1\chi_2 \end{aligned} \quad (\text{D.18})$$

It is worth to stress that because $-i\sigma_2$ can be represented

$$-i\sigma_2 = \exp\left[-i\pi\frac{\sigma_2}{2}\right] \quad (\text{D.19})$$

the equivalent spinors and transformations ($-i\sigma_2\chi^* = \exp\left[-i\pi\frac{\sigma_2}{2}\right]\chi^*$) can be express in terms of elements of the algebra (generators), therefore the construction is guarantee to work for any representation, not only for the explicitly shown two-dimensional case.

⁶These are outer products. They are equivalent to Projection operators, which means that this map is a projective representation of rotations on SU(2).

⁷Note that, although hermiticity is not an impediment for the following map, however, if the matrix is not traceless it can not be constructed.

APPENDIX E

TENSORIAL REPRESENTATIONS OF THE LORENTZ GROUP

Special Relativity requires that two observers' descriptions of a physical system be equivalent if a Poincaré transformation relates their reference frames (RFs). Poincare transformations include rotations in space, boosts, and translations in space-time¹.

Note that the existence of fermions indicates that the representations of the Lorentz group associated with particles in Physics are spinorial representations (see App.F). However, for the sake of clarity, we start with the four-vector representation of the Lorentz group.

E.1 Generators

The Lorentz Transformations include space rotations (already introduced in section D.1), together with Boosts, which are defined below.

Rotations

The standard representation for Generators of rotations can be inferred directly from (D.3) together with the fact that they only act on spatial components of 4-vectors. Explicitly we have,

$$\mathbb{J}_1 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \end{pmatrix}, \quad \mathbb{J}_2 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & i \\ 0 & 0 & 0 & 0 \\ 0 & -i & 0 & 0 \end{pmatrix}, \quad \mathbb{J}_3 = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & -i & 0 \\ 0 & i & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad (\text{E.1})$$

They occupy a reduced block form of the matrix, which is related to the fact that the generators of spatial rotations form a subgroup.

¹Lorentz transformations and space-time translations.

Boosts

The equations relating two inertial frames that differ only by a relative motion along the z-axis with relative speed v_{12} are²,

$$\tilde{x}^0 = \gamma(x^0 + \beta x^3), \quad \tilde{x}^3 = \gamma(x^3 + \beta x^0), \quad \tilde{x}^1 = x^1, \quad \tilde{x}^2 = x^2, \quad (\text{E.2})$$

where,

$$\gamma = (1 - v_{12}^2)^{-1/2}, \quad (\text{E.3})$$

Because, $\gamma^2 - \gamma^2 \beta^2 = 1$, the transformation can be re-parameterized ($v_{12} \rightarrow \phi$) in terms of hyperbolic functions,

$$\gamma = \cosh \phi, \quad \gamma \beta = \sinh \phi \quad (\text{E.4})$$

which leads to an expression for the Lorentz boosts that resembles rotation transformations. Furthermore, with this parameterization the boosts acquire a form that simplifies the study of their infinitesimal limit.

In matrix form the z-boost can be written as,

$$\tilde{x}^\mu = (\Lambda_{\text{z-boost}})^\mu{}_\nu x^\nu \quad (\text{E.5})$$

where the matrix $\Lambda_{\text{z-boost}}$ has the form,

$$(\Lambda_{\text{z-boost}})^\mu{}_\nu = \begin{pmatrix} \cosh \phi & 0 & 0 & \sinh \phi \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ \sinh \phi & 0 & 0 & \cosh \phi \end{pmatrix} \quad (\text{E.6})$$

²We use the notation, $x^\mu = (x^0, x^1, x^2, x^3) = (t, x, y, z)$, and the speed of light is set to 1, ($c = 1$).

and ϕ can be seen as a hyperbolic angle corresponding to a hyperbolic rotation satisfying, $\cosh^2(\phi) - \sinh^2(\phi) = 1$ ³. Equivalently to the case of rotations (D.2), the generator of this transformation is obtained by differentiation with respect to the parameter,

$$\mathbb{K}_z = \frac{\partial \Lambda_{z\text{-boost}}(\phi)}{i \partial \phi} \Big|_{\phi=0} \quad (\text{E.7})$$

which results in,

$$\mathbb{K}_z = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix} \quad (\text{E.8})$$

thus,

$$(\Lambda_{z\text{-boost}})^{\mu}_{\nu} = \exp(i \mathbb{K}_z \phi) \quad (\text{E.9})$$

Repeating this procedure for boosts along x- and y-axis we find the three generators of rotation-less boosts to be,

$$\mathbb{K}_x = \begin{pmatrix} 0 & -i & 0 & 0 \\ -i & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad \mathbb{K}_y = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & 0 \\ -i & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad \mathbb{K}_z = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix} \quad (\text{E.10})$$

The matrices of Eq.(E.10) associated with \mathbb{K}_i are called the vector or fundamental representation for the generators of standard Lorentz boosts.

³The main difference between the hyperbolic (boosts) and the circular (rotations) parameterizations is found in the domain of definition of their respective parameters. For the circular ones, satisfying $\cos^2(\theta) + \sin^2(\theta) = 1$, the domain of θ is closed, $\theta \in [0, 2\pi]$. In this case, the parameter can take any value of the domain (including the boundary), a property called compactness. On the other hand, the domain for the hyperbolic angle $\phi \in (-\infty, \infty)$ does not include the boundaries, it is not closed, therefore non-compact.

Commutations Relations

The defining properties of the generators are their commutations relations, among them and with the other generators of symmetry transformations,

$$[\mathbb{J}_l, \mathbb{J}_m] = i\epsilon_{lmn}\mathbb{J}_n, \quad [\mathbb{J}_l, \mathbb{K}_m] = i\epsilon_{lmn}\mathbb{K}_n, \quad [\mathbb{K}_l, \mathbb{K}_m] = -i\epsilon_{lmn}\mathbb{J}_n \quad (\text{E.11})$$

E.2 Standard or Instant-Form 4-vector Representation

The standard boost is conventionally defined as a rotationless boost in the direction of the relative velocity between two frames of reference (observers). Let us assume that in the "first" reference frame a massive particle is at rest. The components of the particle four-momentum in the "first" frame are then,

$$\overset{\circ}{p}{}^\mu = (m, 0, 0, 0) \quad (\text{E.12})$$

A standard boost to the "second" frame transforms the momentum components to, $p^\mu = (E, \vec{p})$. In other words, this is how an observer in the "second" reference frame perceives the momentum of the particle. Note that we have assumed that the four components of the momentum are parameterized as, $p^\mu = (p^0, p^1, p^2, p^3) = (E, p_x, p_y, p_z)$, which is familiar from any textbook in special relativity. In matrix form we can write the standard boost as,

$$p^\mu = (\Lambda^{\text{IF}})^\mu{}_\nu \overset{\circ}{p}{}^\nu \quad (\text{E.13})$$

where, IF stands for Instant Form of dynamics, to be defined in section E.3 below.

The main feature of the Instant Form boost is that they are defined to be rotationless, which implies the following parameterization in terms of the generators \mathbb{K}_i (E.10),

$$\Lambda^{\text{IF}} = \exp(i\vec{\mathbb{K}} \cdot \vec{\phi}) = \exp(i\phi \vec{\mathbb{K}} \cdot \hat{\phi}) \quad (\text{E.14})$$

where⁴, $\vec{\phi} = \phi \hat{\phi} = (\phi_x, \phi_y, \phi_z)$, correspond to three parameters that completely characterizes each transformation. Note that because the generators \mathbb{K}_i do not commute (E6), it follows that,

$$\Lambda^{\text{IF}} = \exp(i\vec{\mathbb{K}} \cdot \vec{\phi}) \neq \exp(i\mathbb{K}_x \phi_x) \exp(i\mathbb{K}_y \phi_y) \exp(i\mathbb{K}_z \phi_z) \quad (\text{E.15})$$

or any other order for what matters. The parameterization⁵ of Eq.(E.14), is called the Canonical parameterization.

Explicit Representation

In order to obtain an explicit representation we substitute the vector representation for the boosts generators (E.10) into the definition for the IF boost Eq.(E.14),⁶, we have,

$$(\Lambda^{\text{IF}})^{\mu}_{\nu} = \begin{pmatrix} \cosh(\phi) & \hat{\phi}_1 \sinh(\phi) & \hat{\phi}_2 \sinh(\phi) & \hat{\phi}_3 \sinh(\phi) \\ \hat{\phi}_1 \sinh(\phi) & \hat{\phi}_1^2 \cosh(\phi) + \hat{\phi}_2^2 + \hat{\phi}_3^2 & 2\hat{\phi}_1 \hat{\phi}_2 \sinh^2\left(\frac{\phi}{2}\right) & 2\hat{\phi}_1 \hat{\phi}_3 \sinh^2\left(\frac{\phi}{2}\right) \\ \hat{\phi}_2 \sinh(\phi) & 2\hat{\phi}_1 \hat{\phi}_2 \sinh^2\left(\frac{\phi}{2}\right) & \hat{\phi}_2^2 \cosh(\phi) + \hat{\phi}_1^2 + \hat{\phi}_3^2 & 2\hat{\phi}_2 \hat{\phi}_3 \sinh^2\left(\frac{\phi}{2}\right) \\ \hat{\phi}_3 \sinh(\phi) & 2\hat{\phi}_1 \hat{\phi}_3 \sinh^2\left(\frac{\phi}{2}\right) & 2\hat{\phi}_2 \hat{\phi}_3 \sinh^2\left(\frac{\phi}{2}\right) & \hat{\phi}_3^2 \cosh(\phi) + \hat{\phi}_1^2 + \hat{\phi}_2^2 \end{pmatrix} \quad (\text{E.16})$$

The meaning of the parameters come from the action of Λ^{IF} on the 4-momentum associated with a particle at rest, $\overset{\circ}{p}{}^\mu = (m, 0, 0, 0)$, which produces,

$$p^\mu = (E, \vec{p}) = (\Lambda^{\text{IF}})^{\mu}_{\nu} \overset{\circ}{p}{}^\nu = m(\cosh(\phi), \hat{\phi}_1 \sinh(\phi), \hat{\phi}_2 \sinh(\phi), \hat{\phi}_3 \sinh(\phi)) \quad (\text{E.17})$$

⁴ $\phi = |\vec{\phi}|$, and, $\hat{\phi} = \vec{\phi}/\phi$.

⁵With all the generators collected as a linear combination of one single exponent.

⁶Notice that by definition the dummy index in Λ is a lower index.

thus, showing that the parameters are,

$$\cosh(\phi) = \frac{E}{m}, \quad \hat{\phi}_j \sinh(\phi) = \frac{p_j}{m} \quad (\text{E.18})$$

$$\text{and, } (\hat{\phi})^2 (\sinh(\phi))^2 = (\hat{\phi})^2 (1 + \cosh(\phi)^2) = \left(\frac{p_j}{m}\right)^2 \implies \hat{\phi} = \frac{\vec{p}}{|\vec{p}|}, \sinh(\phi) = \frac{|\vec{p}|}{m} \quad (\text{E.19})$$

using now the relations for the half “angle” of hyperbolic functions we find,

$$\cosh\left(\frac{\phi}{2}\right) = \sqrt{\frac{E+m}{2m}}, \quad \sinh\left(\frac{\phi}{2}\right) = \sqrt{\frac{E-m}{2m}} \quad (\text{E.20})$$

which results in,

$$(\Lambda^{\text{IF}})^\mu{}_\nu = \begin{pmatrix} \frac{E}{m} & \frac{p_1}{m} & \frac{p_2}{m} & \frac{p_3}{m} \\ \frac{p_1}{m} & \frac{p_1^2}{|\vec{p}|^2} \frac{E}{m} + \frac{p_2^2}{|\vec{p}|^2} + \frac{p_3^2}{|\vec{p}|^2} & 2 \frac{p_1}{|\vec{p}|} \frac{p_2}{|\vec{p}|} \frac{E-m}{2m} & 2 \frac{p_1}{|\vec{p}|} \frac{p_3}{|\vec{p}|} \frac{E-m}{2m} \\ \frac{p_2}{m} & 2 \frac{p_1}{|\vec{p}|} \frac{p_2}{|\vec{p}|} \frac{E-m}{2m} & \frac{p_2^2}{|\vec{p}|^2} \frac{E}{m} + \frac{p_1^2}{|\vec{p}|^2} + \frac{p_3^2}{|\vec{p}|^2} & 2 \frac{p_2}{|\vec{p}|} \frac{p_3}{|\vec{p}|} \frac{E-m}{2m} \\ \frac{p_3}{m} & 2 \frac{p_1}{|\vec{p}|} \frac{p_3}{|\vec{p}|} \frac{E-m}{2m} & 2 \frac{p_2}{|\vec{p}|} \frac{p_3}{|\vec{p}|} \frac{E-m}{2m} & \frac{p_3^2}{|\vec{p}|^2} \frac{E}{m} + \frac{p_1^2}{|\vec{p}|^2} + \frac{p_2^2}{|\vec{p}|^2} \end{pmatrix} \quad (\text{E.21})$$

Note that,

$$\frac{p_1^2}{|\vec{p}|^2} \frac{E}{m} + \frac{p_2^2}{|\vec{p}|^2} + \frac{p_3^2}{|\vec{p}|^2} = \frac{p_1^2}{|\vec{p}|^2} \frac{E-m}{m} + 1 = \frac{p_1^2}{(E+m)m} + 1 \quad (\text{E.22})$$

and with similar manipulations for other matrix elements, we arrive to the well known result,

$$(\Lambda^{\text{IF}})^\mu{}_\nu = \begin{pmatrix} \frac{E}{m} & \frac{\vec{p}}{m} \\ \frac{\vec{p}}{m} & \delta_{ij} + \frac{p_i p_j}{(E+m)m} \end{pmatrix} \quad (\text{E.23})$$

E.3 Instant Form Dynamics

Note that the so called “standard” or Instant Form definition is actually a parameterization choice over the geometrical structure of space-time. In the next section (E.4) we will see how a different parameterization choice results in a different transformation law, i.e., a different relation between the momentum components of a

particle at rest and its momentum components when is moving. A useful parameterization entails to relate different regions (events) in space-time in a consistent manner⁷ while providing a reasonably “simple” interpretation. Among the possible (re)parameterizations that can be made, three has been shown to be most useful. They differ on the direction along which the evolution of a system is parameterized, which is also referred as the dynamics of a physical system, and the parameter is called time. The three forms of dynamics were unveiled in 1949 by P.A.M. Dirac in a seminal paper [65]. Dirac called them the Instant Form (IF), the Light Front Form, and the Point Form.

For the Instant Form, the chosen direction of the evolution time is our experiential time t , and evolution is understood as the study of changes occurring from one instant of time t to another (later) one. Therefore, Poincare transformations that leave invariant the condition $t = \text{constant}$, will have no effect on the description of the evolution of a system⁸. Since they do not interfere with evolution, the generators of this transformations are called kinematical. On the other hand, the generators of Poincare transformations that do not leave invariant the condition $t = \text{constant}$ are called dynamical.

Instant Form Kinematical Generators

The kinematical generators in the case of the Instant Form of dynamics are spatial-rotations and spatial-translations⁹,

$$\mathbb{J}_x, \quad \mathbb{J}_y, \quad \mathbb{J}_z, \quad \mathbb{P}_x, \quad \mathbb{P}_y, \quad \mathbb{P}_z \quad (\text{E.24})$$

⁷Agrees with physical experiments.

⁸Or better say, the way we experience the evolution of the system.

⁹Related to the canonical momentum operators

They form a subgroup of the Poincare group, *i.e.*, the subgroup of (space) rotations and translations.

Instant Form Dynamical Generators

The generators of the Dynamics, also called Hamiltonians, are the energy (generator of t – translation) and standard boosts,

$$\mathbb{E}, \quad \mathbb{K}_x, \quad \mathbb{K}_y, \quad \mathbb{K}_z \quad (\text{E.25})$$

They generate transformations that do not fulfill the constrain, $t_0 = \text{constant}$. Hence, knowledge about the system at times different than t_0 is needed in order to perform the transformation, which implies having solved the dynamics¹⁰.

E.4 Light Front Dynamics

Although the standard form of dynamics is the most familiar and natural to us, it turns out that for fast-moving systems¹¹ there are other parameterizations of the dynamics that simplify the description and interpretation of the system's evolution. For the present work, the most relevant is the so-called Light Front form of dynamics (LF) discussed in this section. In the Light-Front parameterization for evolution, the parameter "time" is denoted by τ and chosen along a light-like direction, which is (conventionally) set to, $\tau = x^+ = t + z$.

We will follow the same procedure used in Sec.(E.2) to find the explicit matrix for the four-vector representation of the standard (IF) boosts, but now we want to find the matrix for the Light-Front boosts. As we shall see, in the case of the four-vector

¹⁰At least over some interval of time.

¹¹"Close" to the speed of light.

representation, it all boils down to identify which are the parameters in the definition of the general LF boost, which is provided in Sec.(E.4.1).

Light Front Kinematical Generators

The LF kinematical generators are defined by linear combinations of the standard generators in such a way that its action leaves invariant the hyperplane, $x^+ = 0$, these are:

- Rotation about the LF axis,

$$\mathbb{J}_3 = \mathbb{J}_z , \quad (\text{E.26})$$

- Translations within the $x^+ = 0$ plane,

$$\mathbb{P}^+ = \mathbb{P}_0 + \mathbb{P}_z , \quad \mathbb{P}_x , \quad \mathbb{P}_y \quad (\text{E.27})$$

- LF Boosts,

$$\mathbb{G}_1 = \mathbb{G}_x = \mathbb{K}_x - \mathbb{J}_y , \quad \mathbb{G}_2 = \mathbb{G}_y = \mathbb{K}_y + \mathbb{J}_x , \quad \mathbb{K}_3 = \mathbb{K}_z \quad (\text{E.28})$$

Substituting the 4-vector representation of the IF generators (E.1,E.10) we find the explicit representation for the kinematical LF boost generators. For $\mathbb{G}_1, \mathbb{G}_2$, we have

$$\mathbb{G}_1 = \begin{pmatrix} 0 & -i & 0 & 0 \\ -i & 0 & 0 & -i \\ 0 & 0 & 0 & 0 \\ 0 & i & 0 & 0 \end{pmatrix} , \quad \mathbb{G}_2 = \begin{pmatrix} 0 & 0 & -i & 0 \\ 0 & 0 & 0 & 0 \\ -i & 0 & 0 & -i \\ 0 & 0 & i & 0 \end{pmatrix} \quad (\text{E.29})$$

The LF boosts commutation relations follows directly from using the IF algebra Eq.(F.6),

$$[\mathbb{K}_3, \mathbb{G}_1] = i\mathbb{G}_1 , \quad [\mathbb{K}_3, \mathbb{G}_2] = i\mathbb{G}_2 , \quad [\mathbb{G}_i, \mathbb{G}_j] = 0 \quad (\text{E.30})$$

Light Front Dynamical Generators

As expected, the LF Dynamical Generators relate regions of space-time outside the plane, $x^+ = 0$,

$$\mathbb{P}^- = \mathbb{P}_0 - \mathbb{P}_z, \quad \mathbb{D}_x = \mathbb{K}_x + \mathbb{J}_y, \quad \mathbb{D}_y = \mathbb{K}_y - \mathbb{J}_x \quad (\text{E.31})$$

where, \mathbb{P}^- is called the LF energy and generates the LF time evolution, whereas \mathbb{D}_x , \mathbb{D}_y , are called LF rotations and they change the light-like direction chosen to parameterize the evolution.

E.4.1 Vector Representation of the Light Front Boost

A general LF boost is conventionally defined¹² as a two step process, first a boost in the direction of LF propagation (z -axis) from rest,

$$\overset{\circ}{p}^\mu = (m, 0, 0, 0) \quad (\text{E.32})$$

to a frame where it achieves its final component of momentum along the z -axis (p_z)¹³, followed by a linear combination of generators¹⁴ such that attains its final transverse momentum \vec{p}_T , explicitly

$$\Lambda_{\text{def}}^{\text{LF}} = \exp[i\vec{\mathbb{G}} \cdot \vec{v}_T] \cdot \exp[i\mathbb{K}_3 \eta] \quad (\text{E.33})$$

where, $\vec{v}_T = (v_1, v_2)$, and, η , correspond to three parameters that completely characterize the LF boost. It is worth to mention that in the definition of the LF boost the order between the action of \mathbb{K}_3 and \mathbb{G}_i matters, since they do not commute. On the other hand, $[\mathbb{G}_1, \mathbb{G}_2] = 0$, hence, they can be trivially added into one exponent like in

¹²For its action on a particle.

¹³Chosen here as the spatial direction for the light-like parameterization of evolution. The intermediate result would be, $p_{\text{int}}^\mu = (E_{\text{int}}, 0, 0, p_z)$.

¹⁴Note that these generators do not change the null plane, $x^+ = 0$.

(E.33). In other words, we can write,

$$\begin{aligned}
\Lambda_{\text{def.}}^{\text{LF}} &= \exp[i\vec{G} \cdot \vec{v}_T] \cdot \exp[iK_3\eta] \\
&= \exp[iG_1 v_1] \cdot \exp[iG_2 v_2] \cdot \exp[iK_3\eta] \\
&= \exp[iG_2 v_2] \cdot \exp[iG_1 v_1] \cdot \exp[iK_3\eta]
\end{aligned} \tag{E.34}$$

with the same parameters \vec{v}_T , and, η .

Substituting the LF parameterization of the generators (E.29) in the definition of LF boosts (E.33) they acquire the explicit form,

$$(\Lambda_{\text{def.}}^{\text{LF}})^{\mu}_{\nu} = \begin{pmatrix} \frac{1}{2}e^{-\eta}(1 + e^{2\eta} + e^{2\eta}v_1^2 + e^{2\eta}v_2^2) & v_1 & v_2 & \frac{1}{2}e^{-\eta}(-1 + e^{2\eta} + e^{2\eta}v_1^2 + e^{2\eta}v_2^2) \\ e^{\eta}v_1 & 1 & 0 & e^{\eta}v_1 \\ e^{\eta}v_2 & 0 & 1 & e^{\eta}v_2 \\ -\frac{1}{2}e^{-\eta}(1 - e^{2\eta} + e^{2\eta}v_1^2 + e^{2\eta}v_2^2) & -v_1 & -v_2 & \frac{1}{2}e^{-\eta}(1 + e^{2\eta} - e^{2\eta}v_1^2 - e^{2\eta}v_2^2) \end{pmatrix} \tag{E.35}$$

The meaning of the parameters come from acting with Λ^{LF} on the 4-momentum associated with a particle at rest (E.32), resulting in,

$$\begin{aligned}
p^{\mu} &= (E, p_x, p_y, p_z) = (\Lambda_{\text{def.}}^{\text{LF}})^{\mu}_{\nu} \overset{\circ}{p}^{\nu} \\
&= \frac{m}{2} (e^{-\eta} + e^{\eta} + e^{\eta}\vec{v}_T^2, e^{\eta}v_1, e^{\eta}v_2, e^{\eta} - e^{-\eta} - e^{\eta}\vec{v}_T^2)
\end{aligned} \tag{E.36}$$

using now, $p^+ = E + p_z$, and $p^- = E - p_z$, we get

$$(p^+, p_x, p_y, p^-) = m(e^{\eta}, e^{\eta}v_1, e^{\eta}v_2, e^{-\eta} + e^{\eta}\vec{v}_T^2) \tag{E.37}$$

showing that the parameters must fulfill the conditions,

$$p^+ = me^{\eta}, \quad \vec{p}_T = me^{\eta}\vec{v}_T, \quad p^- = me^{-\eta} + me^{\eta}\vec{v}_T^2 \tag{E.38}$$

thus, we arrive to the following simple form for the LF boost parameters,

$$e^{\eta} = \frac{p^+}{m}, \quad \vec{v}_T = \frac{\vec{p}_T}{p^+}, \quad p^- = \frac{m^2 + \vec{p}_T^2}{p^+} \tag{E.39}$$

Although, the definition (E.33) is more elegant, the three statements in (E.34) are equivalent.

E.4.2 Light Front Boost in Light Front Coordinates

A question may rise when following the procedure in the previous section. If Eq.(E.33) defines the LF boost, why are we using it to transform the 4-vector $\overset{\circ}{p}^\mu$ (E.32) which is in standard coordinates? The answer is that $(\Lambda_{\text{def}}^{\text{LF}})^\mu{}_\nu$ is written in standard coordinates as well. To write down $\Lambda_{\text{def}}^{\text{LF}}$ in Light Front coordinates we must perform a change of coordinates¹⁵. The representation of this transformation in space-time is,

$$\Omega_{\text{IF} \rightarrow \text{LF}} = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & -1 \end{pmatrix} \quad (\text{E.40})$$

Its action on the momentum of a particle at rest, results in,

$$\Omega_{\text{IF} \rightarrow \text{LF}} \overset{\circ}{p}_{\text{IF}}^\mu = (m, 0, 0, m) = \overset{\circ}{p}_{\text{LF}}^\mu \quad (\text{E.41})$$

and applied to the LF boost produces,

$$(\Omega_{\text{IF} \rightarrow \text{LF}} \cdot \Lambda_{\text{def}}^{\text{LF}} \cdot \Omega_{\text{IF} \rightarrow \text{LF}}^{-1})^\mu{}_\nu = (\Lambda^{\text{LF}})^\mu{}_\nu = \begin{pmatrix} e^\eta & 0 & 0 & 0 \\ e^{\eta v_1} & 1 & 0 & 0 \\ e^{\eta v_2} & 0 & 1 & 0 \\ e^{\eta \vec{v}_T^2} & 2v_1 & 2v_2 & e^{-\eta} \end{pmatrix} = \begin{pmatrix} \frac{p^+}{m} & 0 & 0 & 0 \\ \frac{p_1}{m} & 1 & 0 & 0 \\ \frac{p_2}{m} & 0 & 1 & 0 \\ \frac{\vec{p}_T^2}{mp^+} & \frac{2p_1}{p^+} & \frac{2p_2}{p^+} & \frac{m}{p^+} \end{pmatrix} \quad (\text{E.42})$$

As can be seen, more simple expressions are achieved by adopting LF coordinates. In particular, the action of Λ^{LF} on the momentum of a particle at rest, also written in LF coordinates (E.41), reproduces the results in (E.38), which is the meaning of the last equality in (E.42).

¹⁵This change of coordinates do not correspond to any Lorentz transformation.

From (E.42) it can be seen explicitly that, contrary to the IF case, the LF “space” components of momentum, p^+, \vec{p}_T , do not receive any contribution from the p^- component when is boosted from rest. Therefore, LF boosts can be performed over states labeled by p^+, \vec{p}_T independently of any knowledge about the LF energy (dynamics), which is a consequence of the fact that LF boosts are kinematic.

E.5 “Canonical” Parameterization

In order to use the conventional method for deriving the irreducible representations of the Lorentz group, corresponding to particles with non zero spin, we must transform the boost definition into the exponential map form (canonical form),

$$\Lambda_{\text{Definition}} \rightarrow \Lambda_{\text{Canonical}} = \exp[i(\dots)] \quad (\text{E.43})$$

where all the generators appear in a single exponential. The definition of standard boost is already in this form. However, the LF definition must be transformed.

E.5.1 LF Boost in “Canonical” Parameterization

We have identified what are the parameters that characterize the LF boosts. To be able to use the general methodology for deriving the irreducible representations of the Lorentz group we must recast the LF boost definition into the canonical form (E.43)¹⁶,

$$\Lambda_{\text{Can}}^{\text{LF}} = \exp[i\vec{\mathbb{G}} \cdot \vec{\nu} + i\mathbb{K}_3\tilde{\eta}] \quad (\text{E.44})$$

¹⁶Note that the generators of LF boost form a closed algebra (E.30), hence no other generator appears in the exponent.

where, the parameters, $\vec{\tilde{v}}$ and $\tilde{\eta}$, are in principle different from (E.39). Indeed, the matrix form of (E.44) is,

$$(\Lambda_{\text{Can}}^{\text{LF}})^{\mu}_{\nu} = \begin{pmatrix} \frac{(e^{\tilde{\eta}}+e^{-\tilde{\eta}})\tilde{\eta}^2 + (e^{\frac{\tilde{\eta}}{2}}-e^{\frac{\tilde{\eta}}{2}})^2(\tilde{v}_1^2+\tilde{v}_2^2)}{2\tilde{\eta}^2} & \frac{(1-e^{-\tilde{\eta}})\tilde{v}_1}{\tilde{\eta}} & \frac{(1-e^{-\tilde{\eta}})\tilde{v}_2}{\tilde{\eta}} & \frac{(e^{\tilde{\eta}}-e^{-\tilde{\eta}})\tilde{\eta}^2 + (e^{\frac{\tilde{\eta}}{2}}-e^{\frac{\tilde{\eta}}{2}})^2(\tilde{v}_1^2+\tilde{v}_2^2)}{2\tilde{\eta}^2} \\ \frac{-(1-e^{\tilde{\eta}})\tilde{v}_1}{\tilde{\eta}} & 1 & 0 & \frac{-(1-e^{\tilde{\eta}})\tilde{v}_1}{\tilde{\eta}} \\ \frac{-(1-e^{\tilde{\eta}})\tilde{v}_2}{\tilde{\eta}} & 0 & 1 & \frac{-(1-e^{\tilde{\eta}})\tilde{v}_2}{\tilde{\eta}} \\ \frac{(e^{\tilde{\eta}}-e^{-\tilde{\eta}})\tilde{\eta}^2 - (e^{\frac{\tilde{\eta}}{2}}-e^{\frac{\tilde{\eta}}{2}})^2(\tilde{v}_1^2+\tilde{v}_2^2)}{2\tilde{\eta}^2} & \frac{(e^{-\tilde{\eta}}-1)\tilde{v}_1}{\tilde{\eta}} & \frac{(e^{-\tilde{\eta}}-1)\tilde{v}_2}{\tilde{\eta}} & \frac{(e^{\tilde{\eta}}+e^{-\tilde{\eta}})\tilde{\eta}^2 - (e^{\frac{\tilde{\eta}}{2}}-e^{\frac{\tilde{\eta}}{2}})^2(\tilde{v}_1^2+\tilde{v}_2^2)}{2\tilde{\eta}^2} \end{pmatrix} \quad (\text{E.45})$$

which in LF coordinates acquires the form,

$$(\Lambda_{\text{Can}}^{\text{LF}})^{\mu}_{\nu} = \begin{pmatrix} e^{\tilde{\eta}} & 0 & 0 & 0 \\ \frac{(e^{\tilde{\eta}}-1)\tilde{v}_1}{\tilde{\eta}} & 1 & 0 & 0 \\ \frac{(e^{\tilde{\eta}}-1)\tilde{v}_2}{\tilde{\eta}} & 0 & 1 & 0 \\ \frac{e^{-\tilde{\eta}}(e^{\tilde{\eta}}-1)^2(\tilde{v}_1^2+\tilde{v}_2^2)}{\tilde{\eta}^2} & \frac{2e^{-\tilde{\eta}}(e^{\tilde{\eta}}-1)\tilde{v}_1}{\tilde{\eta}} & \frac{2e^{-\tilde{\eta}}(e^{\tilde{\eta}}-1)\tilde{v}_2}{\tilde{\eta}} & e^{-\tilde{\eta}} \end{pmatrix} \quad (\text{E.46})$$

To identify the meaning of the parameters in the matrix $\Lambda_{\text{Can}}^{\text{LF}}$ we make the following observation. The matrix of Eq.(E.46) must transform the LF momentum components of a particle at rest (E.41) in the same way as in Eq.(E.42). Comparing them we find the relations between the two set of parameters,

$$\tilde{\eta} = \eta = \log\left(\frac{p^+}{m}\right), \quad \vec{v}_T = \frac{\eta}{1-e^{-\eta}}\vec{v}_T = \frac{\eta}{1-e^{-\eta}}\frac{\vec{p}_T}{p^+} = \frac{\eta}{p^+-m}\vec{p}_T \quad (\text{E.47})$$

Then the LF boost in “canonical” form and in terms of “good” parameters is,

$$\Lambda^{\text{LF}} = \exp\left[i\vec{G} \cdot \vec{v}_T \frac{\eta}{1-e^{-\eta}} + i\mathbb{K}_3\eta\right] = \exp\left[i\frac{\eta}{p^+-m}\vec{p}_T \cdot \vec{G} + i\eta\mathbb{K}_3\right] \quad (\text{E.48})$$

Note that in comparison with the canonical reparameterization (Eq. E.44), the definition of LF boosts (Eq. E.33) results in more simple relations between the boost parameters and the LF variables, p^+, \vec{p}_T ¹⁷. On this regard, it is preferred as the definition for the LF boosts. However, the canonical form is more useful for generalizations to spinorial representations.

¹⁷Compare Eqs.(E.39) with Eqs.(E.47).

E.6 Higher Rank Tensor Representations

A rank k tensor, T^{μ_1, \dots, μ_k} , transform on each index as a four-vector representation, i.e., each index transform under the action of a matrix Λ^{LF} . All the tensorial representations can be reduced to more simple ones. The more simple ones, called irreducible, are preferred because they reflect the correct number of degrees of freedom for particles that transform under such a rule.

APPENDIX F

SPINORIAL REPRESENTATIONS OF THE LORENTZ GROUP

In Section-D.2, we found that 3-dimensional (Euclidean) vectors¹, and 2-dimensional (Pauli) spinors² can be related via Eq.(D.7). It follows that each rotation in 3-dimensional space corresponds to two different rotations in spinor space, i.e., for a unit vector \hat{n} the map (D.7) means that when the two-component spinor rotates a full cycle, as θ runs from 0 to 4π , the corresponding Euclidean vector cover two cycles in 3-dimensional space³.

This result is so general that can be used to define what we mean by a spinor. An m -dimensional spinor is a complex m -tuple, which is an element of a complex vector space⁴ transforming under rotations with the corresponding law,

$$U(s, \hat{n}, \theta) = e^{i\theta \hat{n} \cdot \vec{\mathbb{J}}^{(s)}} \quad (\text{F.1})$$

where, $\vec{\mathbb{J}}^{(s)} = (\mathbb{J}_1^{(s)}, \mathbb{J}_2^{(s)}, \mathbb{J}_3^{(s)})$, are the generators for the spin ($s = 1/2, 1, 3/2, \dots$) representation of SU(2), and \hat{n} is a unit vector in the direction of the rotation axis, $\hat{n}^2 = 1$. The dimension m and the spin are related by, $m = 2s + 1$. Note that for half integer spin representations Eq.(F.1) result in a half angle transformation law, in particular, for the spin 1/2 representation we have, $\vec{\mathbb{J}}^{(1/2)} \rightarrow \vec{\sigma}/2$.

The correspondence between rotations in 3-dimensional space and spinor space⁵,

¹i.e., three real numbers forming an ordered array (3-component tuples) with the property that their change under rotations is given by matrix multiplication with (D.4).

²Complex 2-component tuples transforming under rotations with the half angle law Eq.(D.5).

³This is the statement that SU(2) is the double covering group of SO(3)

⁴i.e., it has the structure of vector space under the operations of vector addition and multiplication by scalars.

⁵For the sake of simplifying the notation, whenever it does not lead to confusion, we will sometimes omit the label for the spin.

i.e., the map,

$$\vec{r} \in \mathbb{R}^3 \rightarrow \vec{r} \cdot \vec{\sigma} = \begin{pmatrix} z & x - iy \\ x + iy & -z \end{pmatrix} \in \mathbb{C}^2 \quad (\text{F.2})$$

induces for every spatial rotation in \mathbb{R}^3 an SU(2) transformation in \mathbb{C}^2 , such that,

$$U(s, \hat{n}, \theta) = e^{i\theta \hat{n} \cdot \vec{\mathbb{J}}(s)} \longleftrightarrow R(\hat{n}, \theta) = e^{i\theta \hat{n} \cdot \vec{J}} \quad (\text{F.3})$$

This relation shows that all spinors with definite spin s transform under spatial rotations with the very same law. This is to be contrasted with Lorentz boosts transformations. We will see that for each spin there are two types of spinors, which can be identified from each other by their distinct transformation rules under boosts. We say that for spinor representations there are two inequivalent Irreducible Representations (IRREPs) for each spin. In general, the two IRREPs are related by “parity conjugation”. There is however a caveat, since parity conjugation must act only on “space” components, the *appropriate* “parity conjugation” operation depends on what particular parameterization is used to describe the geometry of space-time.

F.1 Correspondence between $\text{so}(1,3)$ and $\text{sl}(2,\mathbb{C})$

In the previous section we have established the explicit correspondence between the rotation a spinor undergoes in spinor space in relation with the space rotation experienced by a vector in space-time Eq.(F.3)⁶. This allows to compare spinors on frames of reference that differ by some rotation of the space coordinates. We want now to obtain the analogous correspondence for the case of Lorentz boosts, i.e., we want to know how the components of a spinor transform between inertial frames that only differ by a relative velocity⁷.

⁶Note that the difference between the original and rotated vector is space-like, which means there is a frame where the rotation takes place on a fixed time hyper-surface.

⁷Note that, like in the case of rotations, as seen by a third observer (which could be on one of the two frames related by v_{12}) a 4-vector on the frames of reference related by v_{12} differ by

There is a correspondence between Lorentz transformations in 4-dimensional space-time and spinor space, i.e., the map,

$$x^\mu \in \mathbb{M}^4 \rightarrow x^\mu \sigma_\mu = \begin{pmatrix} t+z & x-iy \\ x+iy & t-z \end{pmatrix} \in \mathbb{C}^2 \quad (\text{F.4})$$

induces for every Lorentz transformations in \mathbb{M}^4 an $\text{SL}(2, \mathbb{C})$ transformation in \mathbb{C}^2 , such that,

$$D(\Lambda) = e^{i\vec{\theta} \cdot \vec{\mathbb{J}}^{(s)} \pm \vec{\phi} \cdot \vec{\mathbb{K}}^{(s)}} \longleftrightarrow \Lambda_{(\vec{\theta}, \vec{\phi})} \quad (\text{F.5})$$

The procedure followed here is similar to that one used in relating $\text{SO}(3)$ with $\text{SU}(2)$, i.e. finding a map between the real group and the complex covering group[66, 67]. For the 4-vector representation, we found that the standard Generators satisfy the algebra,

$$[\mathbb{J}_l, \mathbb{J}_m] = i\epsilon_{lmn}\mathbb{J}_n, \quad [\mathbb{J}_l, \mathbb{K}_m] = i\epsilon_{lmn}\mathbb{K}_n, \quad [\mathbb{K}_l, \mathbb{K}_m] = -i\epsilon_{lmn}\mathbb{J}_n \quad (\text{F.6})$$

therefore, the combinations⁸,

$$\mathbb{A}_m = \frac{1}{2}(\mathbb{J}_m + i\mathbb{K}_m), \quad \mathbb{B}_m = \frac{1}{2}(\mathbb{J}_m - i\mathbb{K}_m) \quad (\text{F.7})$$

satisfy the commutation relations of the $\text{SU}(2)$ algebra⁹,

$$[\mathbb{A}_l, \mathbb{A}_m] = i\epsilon_{lmn}\mathbb{A}_n, \quad [\mathbb{B}_l, \mathbb{B}_m] = i\epsilon_{lmn}\mathbb{B}_n, \quad [\mathbb{A}_l, \mathbb{B}_m] = 0 \quad (\text{F.8})$$

where, indices l , m , and, n run over 1,2,3. Since the new generators $\vec{\mathbb{A}}$ and $\vec{\mathbb{B}}$ commute with each other, the generate independent transformations¹⁰.

Complex vectors transforming only under \mathbb{A} correspond to constrain the generators to satisfy,

$$\mathbb{B}_m = 0 \quad \equiv \quad \mathbb{K}_m \rightarrow \mathbb{K}_m^R = -i\mathbb{J}_m \quad (\text{F.9})$$

a space-like 4-vector, $\Delta p^2 = (p^\mu - \overset{\circ}{p}{}^\mu)^2 = 2m(m-E) < 0$. Hence, there is a frame where the change is of the form, $\Delta \tilde{p}^\mu = (0, \vec{p})$, this is the Breit frame.

⁸Complexification of the algebra.

⁹Thus, each of them generates the $\text{SU}(2)$ group.

¹⁰It follows that the Lorentz Group can be mapped (locally) to: $\text{SU}(2) \otimes \text{SU}(2)$.

which are classified by an angular-momentum-like label¹¹, j_R , and they are referred as the right-handed chiral representations. Analogously, complex vectors transforming only under \mathbb{B} correspond to constrain the generators to satisfy,

$$\mathbb{A}_m = 0 \quad \equiv \quad \mathbb{K}_m \rightarrow \mathbb{K}_m^L = i\mathbb{J}_m \quad (\text{F.10})$$

which are classified by another (independent) angular-momentum-like label, j_L , and are called left-handed chiral representations¹².

Therefore, choices (F.9) and (F.10) correspond to two kind of complex vectors each transforming differently. As can be seen from (F.8) these are the only two independent possibilities. This result is summarized by the statement that any arbitrary representation of the Lorentz group¹³ can be written in terms of \mathbb{A} and \mathbb{B} , henceforth, they are classified by the two angular momentum labels (j_R, j_L) corresponding to \mathbb{A} and \mathbb{B} respectively.

The Lorentz transformations for right an left handed (chiral) spinors then become,

$$\begin{aligned} (j_R, 0): \quad D_{(\Lambda)}^{R(s)} &= D_{(\Lambda)}^{(s)} = \exp[i\vec{\mathbb{J}}^{(s)} \cdot (\vec{\theta} - i\vec{\phi})] \\ (0, j_L): \quad D_{(\Lambda)}^{L(s)} &= \bar{D}_{(\Lambda)}^{(s)} = \exp[i\vec{\mathbb{J}}^{(s)} \cdot (\vec{\theta} + i\vec{\phi})] \end{aligned} \quad (\text{F.11})$$

where, Λ is an arbitrary Lorentz transformation with parameters $\vec{\theta}$, and, $\vec{\phi}$, characterizing (space) rotations and (pure) standard boosts respectively¹⁴. The transformation laws (F.11) show that both type of spinors transform in the same way under rotations but not under boosts. Thus, they only differ from each other when have

¹¹Since they generate the SU(2) group, the representations can be classified in the same way as the Rotation group.

¹²Note that both (F.9) and (F.10) are consistent with the commutation relations Eq.(F.6).

¹³Here we always refer to the simple connected proper orthochronous subgroup of the Lorentz group.

¹⁴See Eq.(D.4), and, Eq.(E.13).

been boosted, i.e. there is no meaningful way to distinguish them when they are at rest.

It is worth to stress the generality of this construction. Notice that nowhere in the derivation we need to make reference to what spin are we dealing with, i.e. a knowledge of the algebra¹⁵ was enough. This construction is used to represent chiral spinors with the spin labeled by $j_{R,L}$, which translates into a representation of the generators \vec{J} of SU(2). The advantage of these construction over a tensorial one, is that it does not introduce superfluous degrees of freedom which need to be removed later. For example, the spin 1 representation can be obtained from the tensor product of two spin 1/2 representations, $1/2 \otimes 1/2 = 1 \oplus 0$, from where we must remove the trivial spin 0 representation.

F.2 Discrete Space-Time Symmetries and Bi-spinors

Parity and Time reversals

Since the algebra of the generators is the same for every representation, we can use the scalar representation to explicitly show these statement. For the scalar representation the indices on the matrices are space-time indices, thus the Parity and Time reversal operations are represented as,

$$\mathcal{P}^{(0)} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \quad \mathcal{T}^{(0)} = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad (\text{F.12})$$

¹⁵Which must be satisfied by every particular representation.

which transform the generators of rotations (E.1) and boosts (E.10) by,

$$\begin{aligned}
\mathcal{P}^{(0)} \mathbb{J}_m^{(0)} (\mathcal{P}^{(0)})^{-1} &= \mathcal{P}^{(0)} \mathbb{J}_m^{(0)} \mathcal{P}^{(0)} = +\mathbb{J}_m^{(0)} \quad \Rightarrow \quad \vec{\mathbb{J}} \quad \xleftrightarrow{\mathcal{P}} \quad \vec{\mathbb{J}} \\
\mathcal{T}^{(0)} \mathbb{J}_m^{(0)} (\mathcal{T}^{(0)})^{-1} &= \mathcal{T}^{(0)} \mathbb{J}_m^{(0)} \mathcal{T}^{(0)} = +\mathbb{J}_m^{(0)} \quad \Rightarrow \quad \vec{\mathbb{J}} \quad \xleftrightarrow{\mathcal{T}} \quad \vec{\mathbb{J}} \\
\mathcal{P}^{(0)} \mathbb{K}_m^{(0)} (\mathcal{P}^{(0)})^{-1} &= \mathcal{P}^{(0)} \mathbb{K}_m^{(0)} \mathcal{P}^{(0)} = -\mathbb{K}_m^{(0)} \quad \Rightarrow \quad \vec{\mathbb{K}} \quad \xleftrightarrow{\mathcal{P}} \quad -\vec{\mathbb{K}} \\
\mathcal{T}^{(0)} \mathbb{K}_m^{(0)} (\mathcal{T}^{(0)})^{-1} &= \mathcal{T}^{(0)} \mathbb{K}_m^{(0)} \mathcal{T}^{(0)} = -\mathbb{K}_m^{(0)} \quad \Rightarrow \quad \vec{\mathbb{K}} \quad \xleftrightarrow{\mathcal{T}} \quad -\vec{\mathbb{K}}
\end{aligned} \tag{F.13}$$

It follows from (E.9) and (F.10) that the right ($j_R, 0$) and left ($0, j_L$) handed representations corresponding to the same spin transform into one another under Parity or Time reversals,

$$(j, 0) \xleftrightarrow[\text{Time}]{\text{Parity}} (0, j) \quad , \quad \phi_R \xleftrightarrow[\text{Time}]{\text{Parity}} \phi_L \tag{F.14}$$

Bi-Spinors

For some theoretical models Parity is consider a symmetry¹⁶, then it follows that the two type of spinors¹⁷, are not independent anymore. In other words, if Parity is not taken into account the two type of spinors are independent, however, introducing Parity as a symmetry leads to a constrain which must be satisfied by both spinors at the same time. It is no longer convenient to treat them separately, and we shall consider the (Weyl) bi-spinor χ ¹⁸,

$$\chi = \begin{pmatrix} \phi_R \\ \phi_L \end{pmatrix} \tag{F.15}$$

¹⁶This means that the interactions are invariant under Parity, like it is the case for QED and QCD.

¹⁷These spinors describe particles with spin that are subjected to interactions ruled by such models.

¹⁸The situation is similar to consider 3-vectors instead of individual components for the study of spatial rotations.

As it follows from the relations (F.14), under parity the Weyl bi-spinor transform as,

$$\chi = \begin{pmatrix} \phi_R \\ \phi_L \end{pmatrix} \xrightarrow{\text{Parity}} \mathcal{P}[\chi] = \begin{pmatrix} \phi_L \\ \phi_R \end{pmatrix} \quad (\text{F.16})$$

hence, the representation for Parity in Weyl bi-spinor space is,

$$\mathcal{P}[\chi] = \mathbf{P}_W \chi = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \phi_R \\ \phi_L \end{pmatrix} = \gamma_W^0 \chi \quad (\text{F.17})$$

i.e., $\mathbf{P}_W = \gamma_W^0$ is the Weyl representation of the γ^0 matrix¹⁹.

Under Lorentz Transformations (Λ), the Weyl bi-spinor χ is transformed by²⁰,

$$\begin{aligned} \chi = \begin{pmatrix} \phi_R \\ \phi_L \end{pmatrix} &\rightarrow M_{(\Lambda)}^W \chi = \begin{pmatrix} \exp[i\vec{\mathbb{J}}^{(s)} \cdot \vec{b}] & 0 \\ 0 & \exp[i\vec{\mathbb{J}}^{(s)} \cdot \vec{b}^*] \end{pmatrix} \begin{pmatrix} \phi_R \\ \phi_L \end{pmatrix} \\ &= \begin{pmatrix} D_{(\Lambda)} & 0 \\ 0 & \bar{D}_{(\Lambda)} \end{pmatrix} \begin{pmatrix} \phi_R \\ \phi_L \end{pmatrix} \end{aligned} \quad (\text{F.18})$$

where, $\vec{b} = \vec{\theta} - i\vec{\phi}$. On the other hand, the parity transformed bi-spinor changes as,

$$\begin{aligned} \mathcal{P}[\chi] = \begin{pmatrix} \phi_L \\ \phi_R \end{pmatrix} &\rightarrow M_{(\Lambda)}^W \chi = \begin{pmatrix} \exp[i\vec{\mathbb{J}}^{(s)} \cdot \vec{b}^*] & 0 \\ 0 & \exp[i\vec{\mathbb{J}}^{(s)} \cdot \vec{b}] \end{pmatrix} \begin{pmatrix} \phi_L \\ \phi_R \end{pmatrix} \\ &= \begin{pmatrix} \bar{D}_{(\Lambda)} & 0 \\ 0 & D_{(\Lambda)} \end{pmatrix} \begin{pmatrix} \phi_L \\ \phi_R \end{pmatrix} \end{aligned} \quad (\text{F.19})$$

which shows that the Weyl spinor and its parity related partner transform differently under Lorentz transformations²¹.

Since right- and left-chiral spinors transform differently under boosts, whereas under rotations they transform with the same unitary matrix, it follows that they differ

¹⁹Note that different conventions may differ from this by a phase.

²⁰ $M_{(\Lambda)}^W$ is the representation of the Lorentz Transformation Λ in Weyl's bi-spinor space.

²¹The three $\vec{\mathbb{J}}_l^{(s)}$ are the $(2s + 1)$ representation of generators of the rotation group, and the three b_l correspond to some parameterization.

from each other only when their momentum is not zero. In other words, there is no Chiral classification for spinors at rest (Isotropy of space). Hence, for massive spinors at rest we must have, $\overset{o}{\varphi}_R = \overset{o}{\varphi}_L$. Since at rest there is no distinction between them, we must consider the two linear independent combinations,

$$\overset{o}{\psi} = \frac{1}{\sqrt{2}} \begin{pmatrix} \overset{o}{\varphi}_R + \overset{o}{\varphi}_L \\ \overset{o}{\varphi}_R - \overset{o}{\varphi}_L \end{pmatrix} \quad (\text{F.20})$$

These are called Dirac bi-spinors. Dirac bi-spinors ψ are obtained from Weyl bi-spinors χ by the transformation,

$$\psi = T_{W-D} \chi = T_{W-D} \begin{pmatrix} \varphi_R \\ \varphi_L \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} \varphi_R \\ \varphi_L \end{pmatrix} \quad (\text{F.21})$$

The matrix T_{W-D} perform a change from Weyl representation to Dirac representation. For Lorentz transformations we have²²,

$$M_{(\Lambda)}^D = T_{W-D} M_{(\Lambda)}^W T_{W-D}^{-1} = \begin{pmatrix} \exp[\vec{\mathbb{J}} \cdot \vec{b}] + \exp[\vec{\mathbb{J}} \cdot \vec{b}] & \exp[\vec{\mathbb{J}} \cdot \vec{b}] - \exp[-\vec{\mathbb{J}} \cdot \vec{b}^*] \\ \exp[\vec{\mathbb{J}} \cdot \vec{b}] - \exp[-\vec{\mathbb{J}} \cdot \vec{b}^*] & \exp[\vec{\mathbb{J}} \cdot \vec{b}] + \exp[\vec{\mathbb{J}} \cdot \vec{b}] \end{pmatrix} \quad (\text{F.22})$$

An arbitrary Dirac bi-spinor is obtained then, by applying the Dirac bi-spinor space representation of a boosts to an at-rest one,

$$\begin{aligned} \psi_{(p)} &= \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_{(p)}^R + \varphi_{(p)}^L \\ \varphi_{(p)}^R - \varphi_{(p)}^L \end{pmatrix} \\ &= \frac{1}{2} \begin{pmatrix} \exp[\vec{\mathbb{J}} \cdot \vec{b}] + \exp[\vec{\mathbb{J}} \cdot \vec{b}] & \exp[\vec{\mathbb{J}} \cdot \vec{b}] - \exp[-\vec{\mathbb{J}} \cdot \vec{b}^*] \\ \exp[\vec{\mathbb{J}} \cdot \vec{b}] - \exp[-\vec{\mathbb{J}} \cdot \vec{b}^*] & \exp[\vec{\mathbb{J}} \cdot \vec{b}] + \exp[\vec{\mathbb{J}} \cdot \vec{b}] \end{pmatrix} \begin{pmatrix} \varphi_{(p)}^R + \varphi_{(p)}^L \\ \varphi_{(p)}^R - \varphi_{(p)}^L \end{pmatrix} \end{aligned} \quad (\text{F.23})$$

Similarly, the Dirac representation of Parity is obtained by,

$$\mathbf{P}_D = T_{W-D} \gamma_W^0 T_{W-D}^{-1} = \gamma^0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (\text{F.24})$$

²² $M_{(\Lambda)}^D$ is the representation of the Lorentz Transformation Λ in Dirac's bi-spinor space.

under parity the Dirac bi-spinor transform as,

$$\begin{aligned}\psi_{(p)} &= \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_{(p)}^R + \varphi_{(p)}^L \\ \varphi_{(p)}^R - \varphi_{(p)}^L \end{pmatrix} \rightarrow \mathcal{P}[\psi] = \mathbf{P}_D \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_{(p)}^L + \varphi_{(p)}^R \\ \varphi_{(p)}^L - \varphi_{(p)}^R \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \gamma^0 \begin{pmatrix} \varphi_{(p)}^R + \varphi_{(p)}^L \\ -(\varphi_{(p)}^R - \varphi_{(p)}^L) \end{pmatrix} = \psi_{(p)} \quad (\text{F.25})\end{aligned}$$

which means that Dirac spinors are eigenvectors of Parity. The Dirac bi-spinors (ψ), shown in Eq.(F.23), are eigenvectors of the (space) Parity operator with eigenvalue +1 and they correspond to particles. The eigenvectors with eigenvalues -1 are associated with anti-particles. Thus, when parity is a good symmetry²³, it is convenient to work with Dirac spinors.

²³Which is to say, that during the evolution of the system the interaction between particles do not change their Parity.

APPENDIX G

SPINORS AND POLARIZATION VECTORS FOR SINGLE PARTICLE STATES

G.1 Canonical Spin

Spin 1/2 particle - Canonical

The canonical spinors for a (free) spin 1/2 particle, solutions of Dirac's (homogeneous) equation, are given by,

$$u(\vec{p}, s) = \left(\frac{E + m}{2m} \right)^{\frac{1}{2}} \begin{pmatrix} \phi(s) \\ \frac{\vec{\sigma} \cdot \vec{p}}{E+m} \phi(s) \end{pmatrix} \quad (\text{G.1})$$

where, m is the mass of the spin 1/2 particle, and the two-component spinors $\phi(s)$ are given by,

$$\phi(+1/2) = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \phi(-1/2) = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad (\text{G.2})$$

The canonical states transform properly under rotations,

$$\mathcal{D}[R]u(\vec{p}, s) = \sum_{s'} u(R\vec{p}, s') D_{s's}^{\frac{1}{2}}(R) \quad (\text{G.3})$$

Spin 1 particle - Canonical

The general set of polarization vectors for deuteron are obtained by boosting¹ the at-rest polarization vectors, we get,

$$\begin{aligned} \chi_C^0 &= \left(\frac{p_{dz}}{M_d}, 0, 0, \frac{E_d}{M_d} \right) \xrightarrow{\text{rest frame}} (0, 0, 0, 1) \\ \chi_C^{+1} &= \left(0, \frac{-1}{\sqrt{2}}, \frac{-i}{\sqrt{2}}, 0 \right) \\ \chi_C^{-1} &= \left(0, \frac{1}{\sqrt{2}}, \frac{-i}{\sqrt{2}}, 0 \right) \end{aligned} \quad (\text{G.4})$$

¹Applying a pure Lorentz boost transformation relating the frame where the deuteron is at rest with that one in which it has momentum, $p_d = (E_d, 0, 0, p_{dz})$.

G.2 Light Front Spin and Melosh Rotation

Spin 1/2 particle - LF

Light-front states of a massive particle are obtained from its rest frame state by first boosting in the z-direction to obtain the desired p^+ , followed by a light-front transverse boost from the z -axis to obtain the desired transverse momentum p_\perp . The light-front spinors at any momentum are thus given by

$$u_{(p,1/2)} = \frac{1}{\sqrt{2p^+}} \begin{pmatrix} p^+ + m \\ p_r \\ p^+ - m \\ p_r \end{pmatrix} \quad u_{(p,-1/2)} = \frac{1}{\sqrt{2p^+}} \begin{pmatrix} -p_\ell \\ p^+ + m \\ p_\ell \\ -p^+ + m \end{pmatrix} \quad (\text{G.5})$$

$$v_{(p,1/2)} = \frac{1}{\sqrt{2p^+}} \begin{pmatrix} -p_\ell \\ p^+ - m \\ p_\ell \\ -p^+ - m \end{pmatrix} \quad v_{(p,-1/2)} = \frac{1}{\sqrt{2p^+}} \begin{pmatrix} p^+ - m \\ p_r \\ p^+ + m \\ p_r \end{pmatrix} \quad (\text{G.6})$$

The relation between light front and canonical spinors (Melosh rotation) is given by,

$$\Omega_{1/2}(p) = \begin{pmatrix} \beta_1(p) & 0 \\ 0 & \beta_1(p) \end{pmatrix} \quad (\text{G.7})$$

where the 2×2 block matrix $\beta_{1/2}$ is given by,

$$\beta_{1/2}(p) = \frac{1}{N_{1/2}} \begin{pmatrix} p^+ + m & -p_\ell \\ p_r & p^+ + m \end{pmatrix} \quad (\text{G.8})$$

Like any rotation the determinant of this matrix must be 1, from where it follows the value of the normalization constant,

$$1 = \text{Det}[\beta_{1/2}] = \frac{1}{N_{1/2}^2} ((p^+ + m)^2 + p_\perp^2) = \frac{1}{N_{1/2}^2} (2(E + m)p^+) \quad (\text{G.9})$$

this is,

$$N_{1/2} = \sqrt{(p^+ + m)^2 + p_\perp^2} = \sqrt{2(E + m)p^+} \quad (\text{G.10})$$

Furthermore, the angle of rotation can be easily identified by comparing $\beta_{1/2}(p)$ with the spin 1/2 representation of rotations (Wigner matrices) parameterized by Euler angles²,

$$R_{1/2}^M = \begin{pmatrix} \cos \frac{\theta_M}{2} & -\sin \frac{\theta_M}{2} \\ \sin \frac{\theta_M}{2} & \cos \frac{\theta_M}{2} \end{pmatrix} \quad (\text{G.11})$$

from where we have,

$$\frac{p^+ + m}{\sqrt{2(E + m)p^+}} = \cos \frac{\theta_M}{2} \quad \rightarrow \quad \cos \theta_M = 1 - \frac{p_T^2}{(E + m)p^+} \quad \xrightarrow{p_T = 0} \quad \theta_M = 0 \quad (\text{G.12})$$

hence, if the spin 1/2 particle moves along the LF there is no rotation to correct for.

The inverse Melosh rotation is the complex conjugate transposed (adjoint) of (G.8).

$$\beta_{1/2}^{-1}(p) = \frac{1}{N_{1/2}} \begin{pmatrix} p^+ + m & p_\ell \\ -p_r & p^+ + m \end{pmatrix} \quad (\text{G.13})$$

which corresponds to the change, $p_\perp \rightarrow -p_\perp$.

Spin 1 particle - LF

For this case we use the at-rest reference four-vector,

$$\overset{\circ}{p}^\mu = \begin{pmatrix} + & 1 & 2 & - \\ m & 0 & 0 & m \end{pmatrix} \quad (\text{G.14})$$

which is time-like, $(\overset{\circ}{p})^2/m^2 = 1$. The polarization vectors (as usual) correspond to eigenvectors of the little group with different eigenvalues, we will use the labels, $\lambda = \pm 1, 0$.

²This is, $R_{1/2}^M = d_{\lambda', \lambda}^{1/2}$, where $d_{\lambda', \lambda}^j$ are the Wigner d-functions for spin j .

Explicitly, the at-rest light-front polarization vectors for a massive spin 1 particle are,

$$\epsilon_+^\mu(\overset{\circ}{p}) = \begin{pmatrix} 0 \\ \frac{-1}{\sqrt{2}} \\ \frac{-i}{\sqrt{2}} \\ 0 \end{pmatrix} = -\epsilon_r^\mu, \quad \epsilon_-^\mu(\overset{\circ}{p}) = \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} \\ \frac{-i}{\sqrt{2}} \\ 0 \end{pmatrix} = \epsilon_\ell^\mu, \quad \epsilon_0^\mu(\overset{\circ}{p}) = \begin{pmatrix} 1 \\ 0 \\ 0 \\ -1 \end{pmatrix} \quad (\text{G.15})$$

and, the corresponding polarization vectors in a frame where the particle has momentum p , are

$$\epsilon_+^\mu(p) = \begin{pmatrix} 0 \\ \frac{-1}{\sqrt{2}} \\ \frac{-i}{\sqrt{2}} \\ \frac{-\sqrt{2}p_r}{p^+} \end{pmatrix}, \quad \epsilon_-^\mu(p) = \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} \\ \frac{-i}{\sqrt{2}} \\ \frac{\sqrt{2}p_\ell}{p^+} \end{pmatrix}, \quad \epsilon_0^\mu(p) = \begin{pmatrix} \frac{p^+}{m} \\ \frac{p^1}{m} \\ \frac{p^2}{m} \\ -\frac{\mathbf{p}_T^2 + m^2}{mp^+} \end{pmatrix} \quad (\text{G.16})$$

Notice that the transformation law guarantee the constrain, $\epsilon^* \epsilon = -1$. This polarization vectors are a 4-dimensional representation of spinors, not 4-vectors. The fact that in the case of spin 1 there are three of them, which together with a time-like vector can be used as a basis for the 4-dimensional Minkowski space is just a coincidence that may end up being very confusing.

For matrix elements of spin 1 operators, e.g. deuteron electromagnetic current (see Eq.J.1),

$$\langle p'_d \lambda'_d | J_d^\mu | p_d, \lambda_d \rangle = \epsilon_{\lambda'_d}^\alpha * J_{\alpha\beta}^\mu \epsilon_{\lambda_d}^\beta = \epsilon_{\lambda'_d}^\dagger J_d^\mu \epsilon_{\lambda_d} = J_{LF(\lambda'_d, \lambda_d)}^\mu \quad (\text{G.17})$$

which has been written on a basis of light front polarization vectors (G.16). Then, the relation with the corresponding matrix elements written on a basis of canonical polarization vectors (in the same reference frame), is given by a Melosh rotation³,

$$J_{LF}^\mu = \beta_1^\dagger J_C^\mu \beta_1 \rightarrow J_{LF(\lambda'_{lf}, \lambda_{lf})}^\mu = \beta_{1(\lambda'_{lf}, \lambda'_c)}^* J_{C(\lambda'_c, \lambda_c)}^\mu \beta_{1(\lambda_c, \lambda_{lf})} = \beta_{1(\lambda'_{lf}, \lambda'_c)}^* \epsilon_{\lambda'_c}^\alpha * J_{\alpha\beta}^\mu \epsilon_{\lambda_c}^\beta \beta_{1(\lambda_c, \lambda_{lf})} \quad (\text{G.18})$$

³Here, we use ϵ to denote LF polarization vectors, and ϵ to denote canonical ones.

where the Melosh rotation matrix β_1 is given by,

$$\beta_1(p) = \frac{1}{N_1} \begin{pmatrix} (p^+ + m)^2 & -\sqrt{2}(p^+ + m)p_\ell & p_\ell^2 \\ \sqrt{2}(p^+ + m)p_r & (p^+ + m)^2 - \mathbf{p}_T^2 & -\sqrt{2}(p^+ + m)p_\ell \\ p_r^2 & \sqrt{2}(p^+ + m)p_r & (p^+ + m)^2 \end{pmatrix} \quad (\text{G.19})$$

Like any rotation the determinant of this matrix must be 1, from where it follows the value of the normalization constant,

$$N_1 = (p^+ + m)^2 + \mathbf{p}_T^2 = 2(E + m)p^+ \quad (\text{G.20})$$

Furthermore, the angle of rotation can be easily identified by comparing $\beta_1(p)$ with the spin 1 representation of rotations (Wigner matrices) parameterized by Euler angles⁴,

$$R_1^M = \begin{pmatrix} \frac{1}{2}(1 + \cos \theta_M) & -\frac{1}{\sqrt{2}} \sin \theta_M & \frac{1}{2}(1 - \cos \theta_M) \\ \frac{1}{\sqrt{2}} \sin \theta_M & \cos \theta_M & -\frac{1}{\sqrt{2}} \sin \theta_M \\ \frac{1}{2}(1 - \cos \theta_M) & \frac{1}{\sqrt{2}} \sin \theta_M & \frac{1}{2}(1 + \cos \theta_M) \end{pmatrix} \quad (\text{G.21})$$

from where we have,

$$\frac{(p^+ + m)^2}{2(E + m)p^+} = \frac{1 + \cos \theta_M}{2} \rightarrow \cos \theta_M = 1 - \frac{\mathbf{p}_T^2}{(E + m)p^+} \xrightarrow{\mathbf{p}_T = 0} \theta_M = 0 \quad (\text{G.22})$$

which, as expected coincides with the rotation angle for a spin 1/2 particle (Eq.G.12). Thus, like the spin 1/2 case, for spin 1 particles moving along the LF there is no rotation.

The inverse Melosh rotation is the complex conjugate transposed (adjoint) of (G.19)

$$\beta_1^{-1}(p) = \frac{1}{N_1} \begin{pmatrix} (p^+ + m)^2 & \sqrt{2}(p^+ + m)p_\ell & p_\ell^2 \\ -\sqrt{2}(p^+ + m)p_r & (p^+ + m)^2 - \mathbf{p}_T^2 & \sqrt{2}(p^+ + m)p_\ell \\ p_r^2 & -\sqrt{2}(p^+ + m)p_r & (p^+ + m)^2 \end{pmatrix} \quad (\text{G.23})$$

which corresponds to the change, $\mathbf{p}_T \rightarrow -\mathbf{p}_T$.

⁴This is, $R_1^M = d_{\lambda', \lambda}^1$, where $d_{\lambda', \lambda}^j$ are the Wigner d-functions for spin j .

APPENDIX H

BASIS FOR THE RELATIVISTIC TWO-BODY PROBLEM

H.1 Two-Body basis - Momenta

Our task is to provide a consistent decomposition of deuteron momentum. Let us start with the description of the two-body system. Some variables necessary to characterize the state of the system are kinematical (also called external), like the total momentum,

$$P_{\text{cm}} = p_1 + p_2 \quad (\text{H.1})$$

others are dynamical (internal) variables, like the relative momentum (p_{rel}), which are defined by decomposing the momenta of each constituent particle into its projection onto the total momentum of the 2-body system and the rest, explicitly

$$p_1^\mu = \frac{p_1 \cdot P_{\text{cm}}}{P_{\text{cm}}^2} P_{\text{cm}}^\mu + p_{\text{rel}}^\mu \quad (\text{H.2})$$

$$p_2^\mu = \frac{p_2 \cdot P_{\text{cm}}}{P_{\text{cm}}^2} P_{\text{cm}}^\mu - p_{\text{rel}}^\mu \quad (\text{H.3})$$

therefore, the relative momentum is,

$$p_{\text{rel}}^\mu = \frac{(p_1 - p_2)^\mu}{2} - \frac{(p_1 - p_2) \cdot P_{\text{cm}}}{2 P_{\text{cm}}^2} P_{\text{cm}}^\mu \quad (\text{H.4})$$

The main reason for the above definition of p_{rel} is the following orthogonality condition

$$p_{\text{rel}} \cdot P_{\text{cm}} = 0 \quad (\text{H.5})$$

which guarantee a simple separation between kinematical and dynamical variables.

H.1.1 Useful Intermediate Results

The invariant mass of the system ($M_{12} = M_{pn}$) is an important quantity that will appear frequently,

$$M_{12}^2 = P_{\text{cm}}^2 = s_{\text{NN}} = m_1^2 + m_2^2 + 2p_1 \cdot p_2 = m_1^2 + m_2^2 + 2E_1 \cdot E_2 - 2\vec{p}_1 \cdot \vec{p}_2 \quad (\text{H.6})$$

The relative momentum can be written in term of the invariant mass,

$$p_{\text{rel}}^\mu = \frac{p_1^\mu}{2} \left(1 - \frac{m_1^2 - m_2^2}{M_{12}^2} \right) - \frac{p_2^\mu}{2} \left(1 + \frac{m_1^2 - m_2^2}{M_{12}^2} \right) \quad (\text{H.7})$$

For every practical purpose the masses of proton and neutron are equal. Hence, in our particular case ($m_1 = m_2$) the relative momentum acquires a very simple form,

$$p_{\text{rel}}^\mu = \frac{p_1^\mu - p_2^\mu}{2} \quad (\text{H.8})$$

H.1.2 Momenta - IF

In the center of momentum of the two-body system the expressions on our previous section achieve their simplest form. In the case of IF this is,

$$k_c^\mu = \frac{E_2}{\sqrt{P_{\text{cm}}^2}} p_1^\mu - \frac{E_1}{\sqrt{P_{\text{cm}}^2}} p_2^\mu \quad (\text{H.9})$$

where,

$$E_{1,2} = \frac{p_{1,2} \cdot P_{\text{cm}}}{\sqrt{P_{\text{cm}}^2}} = \frac{P_{\text{cm}}^2 + p_{1,2}^2 - p_{2,1}^2}{2\sqrt{P_{\text{cm}}^2}} = \frac{P_{\text{cm}}^2 + m_{1,2}^2 - m_{2,1}^2}{2\sqrt{P_{\text{cm}}^2}} = \frac{P_{\text{cm}}^2}{2\sqrt{P_{\text{cm}}^2}} = \frac{M_{12}}{2} \quad (\text{H.10})$$

with, $M_{12}^2 = P_{\text{cm}}^2$.

This is a crucial result, it shows that in this frame both particles have the same energy. Therefore, the relative momentum decouples from the "evolution" time¹. For numerical calculations it leads to two important simplifications. On one hand, the

¹This is the time that defines the hyper-plane $t = 0$ of Instant Form.

description of the internal dynamics happens in a three-dimensional space, reducing the required computational power. On the other hand, the reduction is such that the three-dimensional space is orthogonal to the evolution time direction, hence the signature of the metric is Euclidean which simplifies convergence.

The energies end up being the same only for two particles with equal masses. However, because the difference in energies do not depend on the internal momentum, only on the total momentum, there is also a kinematical definition of the two-body frame that decouples internal an external motion.

In particular, we have

$$k_c^\mu = k^\mu = \frac{p_1^\mu - p_2^\mu}{2} \quad (\text{H.11})$$

$$E_{12} = E_1 + E_2 = M_{12} = \frac{P_{\text{cm}}^2}{\sqrt{P_{\text{cm}}^2}} = \frac{4m^2 - 4k^2}{M_{12}} = 4 \frac{m^2 + \vec{k}^2}{M_{12}} - E_k \quad (\text{H.12})$$

In this frame ² the components of the vector Δ_p become,

$$\Delta_p^0 = p_d^0 - (p_1^0 + p_2^0) = p_d^0 - E_k \quad (\text{H.13})$$

$$\vec{\Delta}_p = \vec{p}_d - (\vec{p}_1 + \vec{p}_2) = \vec{p}_d = \frac{\vec{p}_d}{\sqrt{\vec{p}_d^2}} \frac{\vec{k}_c^2}{E_k} = \vec{n} \frac{\vec{k}_c^2}{E_k} \quad (\text{H.14})$$

which shows that in the two-body rest frame the deuteron momentum is not zero.

H.1.3 Momenta - LF

In the Light Front Form of dynamics we have,

$$p^+ = p^0 + \vec{p} \cdot \vec{n}, \quad p^- = p^0 - \vec{p} \cdot \vec{n}, \quad p^- = \frac{m^2 + p_T^2}{p^+}, \quad \vec{p}_T \cdot \vec{n} = 0$$

we shall choose, \vec{n} along deuteron's momentum, which we identify with the positive z direction³.

²As stated above, this is the rest frame for the two-body system, $\vec{P}_{\text{cm}} = 0$.

³Therefore, we have assumed that, $\vec{P}_T^{\text{cm}} = 0$, and, in agreement with the previous section, $p_1^2 = p_2^2 = m^2$.

The equivalent relations to Eqs.(H.9-H.13), in the LF are⁴,

$$\Delta_p^- = p_d^- - (p_1^- + p_2^-) = \frac{1}{p_d^+} \left(M_d^2 - 4 \frac{m_N^2 + k_T^2}{\alpha(2-\alpha)} \right) \quad (\text{H.15})$$

with all other components zero. It is worth to stress that within the LF framework, the kinematical picture is not only more simple, e.g., Δ_p^μ has only one non-vanishing component, but also more general since the component of P_{cm}^μ along the z direction does not have to be zero.

In this case the relative momentum is written as,

$$k_{\text{LF}}^- = \frac{(p_1 - p_2)^-}{2} - \frac{(p_1 - p_2) \cdot P_{\text{cm}}}{2P_{\text{cm}}^2} P_{\text{cm}}^- = \frac{1-\alpha_1}{P_{\text{cm}}^+} 2 \frac{m^2 + k_T^2}{\alpha(2-\alpha)} \quad (\text{H.16})$$

Eq.(H.16) clearly establish that the evolution time decouples when, $\alpha = 1$ ⁵, or, $P_{\text{cm}}^+ \alpha(2-\alpha) \gg 4m^2$, since the numerator is (approximately) bounded by $(1-\alpha_1)4m^2$. This argument shall become clear when we use the WF in the scattering amplitude, because then k_{LF}^- is the conjugate variable to time⁶ in the transition amplitude.

From Eq.(H.4) we also have,

$$k_{\text{LF}}^+ = \frac{P_{\text{cm}}^+}{4P_{\text{cm}}^2} (\alpha_1 - 1) \left(P_{\text{cm}}^2 + 4 \frac{m^2 + k_T^2}{\alpha(2-\alpha)} \right) = \frac{P_{\text{cm}}^+}{2} (\alpha_1 - 1) \quad (\text{H.17})$$

whereas the transverse components of the relative momentum are the same to those of the Instant frame. Notice that,

$$k_{\text{LF}}^+ k_{\text{LF}}^- = -(\alpha_1 - 1)^2 \frac{m^2 + k_T^2}{\alpha(2-\alpha)} \quad (\text{H.18})$$

Therefore, after using,

$$k_z = (1 - \alpha_1) \frac{M_{12}}{2} \quad (\text{H.19})$$

⁴Notice that the term, $\alpha(2 - \alpha)$, is the same independently of which particle, 1, or, 2, it refers to. Whenever a situation like this appears the labels will be omitted in order to keep the expressions uncluttered.

⁵This case reduces to the previous section when $\vec{P}_{\text{cm}} = 0$.

⁶Dynamical time.

we get,

$$k^2 = k_{\text{LF}}^2 = -k_{\text{T}}^2 - k_z^2 \quad (\text{H.20})$$

which explicitly shows that both formulations (IF and LF) agree with each other.

H.2 Two-Body basis - Spin

The Pauli-Lubanski pseudo-vector,

$$W^\mu = \frac{1}{2} \epsilon^{\mu\alpha\beta\delta} P_\alpha J_{\beta\delta} \quad (\text{H.21})$$

is the closest to a relativistic (covariant) spin axial vector. Because the spin is an axial vector it is frame dependent, only at rest it can be defined unambiguously. Moreover, it depends on the origin of coordinates, which for a multi-particle relativistic theory does not have unambiguous definition.⁷

For massive particles, which is the only case considered here, the spin operator is defined by,

$$(0, \mathbf{J}_{\text{cm}}) = \Lambda_{\text{cm}}^{-1} (\mathbf{P}_{\text{cm}})^\mu_\nu W^\nu / M \quad (\text{H.22})$$

where, $\Lambda_{\text{cm}}^{-1} (\mathbf{P}_{\text{cm}})^\mu_\nu$ represents a Lorentz transformation from a reference frame where the system have momentum $\mathbf{P}_{\text{cm}} = 0$.⁸

⁷For composite states, binding via strong interactions (and also Gravity at large scale), the ambiguity on the definition of a relativistic spin operator remains an unsolved problem. Here, we overstep the problematic issues by studying a region of phase space where ambiguities can be suppressed, i.e. they can be kept under control under certain conditions, which are presented below.

⁸If there is only one particle, this frame coincides with its rest frame. If there are more than one particle, it refers to the center of momentum frame of the system.

The Pauli-Lubanski operator (H.21) for a Dirac particle is,⁹

$$W_{1/2}^\mu(P) = \frac{1}{2}(P^\mu + m\gamma^\mu)\gamma_5 \quad (\text{H.23})$$

Notice that,¹⁰

$$\begin{aligned} W_{1/2}^\mu(P)u(p, s) &= \frac{1}{2}(P^\mu + m\gamma^\mu)\gamma_5 u(p, s) = \frac{1}{2}(p^\mu + m\gamma^\mu)\gamma_5 u(p, s) \\ &= W_{1/2}^\mu(p)u(p, s) \\ \overline{W}_{1/2}^\mu(P)u(p, s) &= \gamma^0(W_{1/2}^\mu(P))^\dagger \gamma^0 u(p, s) = \frac{1}{2}\gamma^0(P^\mu - m(\gamma^\mu)^\dagger)\gamma_5 \gamma^0 u(p, s) \quad (\text{H.24}) \\ &= \frac{1}{2}(-P^\mu + m\gamma^\mu)\gamma_5 u(p, s) = \frac{1}{2}(-p^\mu + m\gamma^\mu)\gamma_5 u(p, s) \\ &= W_{1/2}^\mu(-p)u(p, s) \end{aligned}$$

and,

$$\begin{aligned} \overline{u}(p, s)W_{1/2}^\mu(P) &= u^\dagger(p, s)\gamma^0 W_{1/2}^\mu(P) = \frac{1}{2}u^\dagger(p, s)(-P^\mu - m(\gamma^\mu)^\dagger)\gamma_5 \gamma^0 \\ &= \frac{1}{2}u^\dagger(p, s)((-P^\mu + m\gamma^\mu)\gamma_5)^\dagger \gamma^0 = \frac{1}{2}((-P^\mu + m\gamma^\mu)\gamma_5 u(p, s))^\dagger \gamma^0 \\ &= (W_{1/2}^\mu(-p)u(p, s))^\dagger \gamma^0 = \overline{u}(p, s)\frac{1}{2}(-p^\mu + m\gamma^\mu)\gamma_5 \\ &= \overline{u}(p, s)W_{1/2}^\mu(-p) \\ \overline{u}(p, s)\overline{W}_{1/2}^\mu(P) &= u^\dagger(p, s)(W_{1/2}^\mu(P))^\dagger \gamma^0 = \frac{1}{2}u^\dagger(p, s)(P^\mu - m(\gamma^\mu)^\dagger)\gamma_5 \gamma^0 \\ &= \frac{1}{2}u^\dagger(p, s)(-(-P)^\mu - m(\gamma^\mu)^\dagger)\gamma_5 \gamma^0 \\ &= \overline{u}(p, s)W_{1/2}^\mu(p) \quad (\text{H.25}) \end{aligned}$$

⁹Which is just the result of substituting in (H.21) the bi-spinor representation of the Angular Momentum tensor (Spin 1/2 representation extended by Parity), $\mathbf{J}_{\mu\nu} = \sigma_{\mu\nu}/2 = i[\gamma_\mu, \gamma_\nu]/4$. Remind that $\mathbf{J}_{\mu\nu} = \sigma_{\mu\nu}/2$ correspond to the bi-spinor representation for the Generators of the Lorentz Group (proper and orthochronous).

¹⁰Strictly speaking, the spinors are only the components of the state, and the operator should be evaluated on the state (ket). However, this notation is less cluttered and easier to read.

Thus, the Pauli-Lubanski operator is not Dirac self-adjoint¹¹. However, we can use it to construct a self-adjoint (observable) spin 1/2 operator,

$$S_{1/2}(P) = \frac{1}{2m} (W_{1/2}(P) + \overline{W}_{1/2}(P)) \quad (\text{H.26})$$

where, we have divided by the mass to make sure that at rest it coincides with the standard non-relativistic notion of spin. This definition is consistent, i.e. it produces the same result no matter to which spinor side of the matrix element it is applied.

In bi-spinor space, we have,

$$[S_{1/2}(p)]_{s_2, s_1} = \frac{1}{2m} \overline{u}(p, s_2) (W_{1/2}(P) + \overline{W}_{1/2}(P)) u(p, s_1) \quad (\text{H.27})$$

which results in,¹²

$$[S^\mu(p)]_{s_2, s_1} = \frac{1}{4m} \overline{u}(p, s_2) ((p - p)^\mu + 2m\gamma^\mu) \gamma_5 u(p, s_1) = \frac{1}{2} \overline{u}(p, s_2) \gamma^\mu \gamma_5 u(p, s_1) \quad (\text{H.28})$$

H.2.1 Two-Body Spin 1/2 - Canonical

If in (H.28) we use canonical spinors we get the Instant Form of spin,

$$[S_{\text{IF}}^\mu(p)]_{s_2, s_1} = \frac{1}{2} \bar{u}_C(p, s_2) \gamma^\mu \gamma_5 u_C(p, s_1) \quad (\text{H.29})$$

leading to,

$$S_{\text{IF}} = \left(\frac{\mathbf{p} \cdot \boldsymbol{\sigma}}{m}, \mathbf{p}_x \frac{\mathbf{p} \cdot \boldsymbol{\sigma}}{m(m+E_p)} + \sigma_x, \mathbf{p}_y \frac{\mathbf{p} \cdot \boldsymbol{\sigma}}{m(m+E_p)} + \sigma_2, \mathbf{p}_z \frac{\mathbf{p} \cdot \boldsymbol{\sigma}}{m(m+E_p)} + \sigma_3 \right) \quad (\text{H.30})$$

which defines a spin-polarization vector,

$$\chi^\mu(p, \mathbf{s}) = \frac{1}{2} \sum_{s_2, s_1} [(\mathbf{s} \cdot \boldsymbol{\sigma})_{s_1, s_2} (S_{\text{IF}}^\mu)_{s_2, s_1}(p)] = \frac{1}{2} \text{Tr}[(\mathbf{s} \cdot \boldsymbol{\sigma}) S_{\text{IF}}^\mu(p)] \quad (\text{H.31})$$

where \mathbf{s} is the unit 3-vector in the direction of the spin when the particle is at rest.

¹¹This is a necessary condition for any operator with observable eigenvalues.

¹²This is the simplest non-trivial case of a spin density matrix: $\rho_{1/2} = \frac{1}{2}(a\mathbb{1} + \mathbf{s} \cdot \boldsymbol{\sigma})$.

Repeating the steps leading to (H.28), but now for two different particles with momenta, p , p' , we will arrive to the spin operator for the two body system,¹³

$$S_{\text{IF}}^{2B} = \left(\frac{\mathbf{P}_{12} \cdot \boldsymbol{\sigma}}{M_{12}}, P_{12x} \frac{\mathbf{P}_{12} \cdot \boldsymbol{\sigma}}{M_{12}(M_{12} + P_{12}^0)} + \sigma_x, P_{12y} \frac{\mathbf{P}_{12} \cdot \boldsymbol{\sigma}}{M_{12}(M_{12} + P_{\text{cm}}^0)} + \sigma_y, P_{12z} \frac{\mathbf{P}_{12} \cdot \boldsymbol{\sigma}}{M_{12}(M_{12} + P_{12}^0)} + \sigma_z \right) \quad (\text{H.32})$$

where, the total momentum and the invariant mass of the two-body system are respectively,

$$P_{12} = p_1 + p_2, \text{ and, } M_{12}^2 = P_{12}^2 \quad (\text{H.33})$$

The spin S^{2B} defines a spin-polarization vector $\chi^\mu(p, \mathbf{s})$ which reassembles that of a spin 1 particle (see section G.1). In the CM frame ($\mathbf{P}_{12}^{\text{cm}} = 0$), it reduces to,

$$S_{\text{IF,CM}}^{2B} = (0, \sigma_x, \sigma_y, \sigma_z) \quad (\text{H.34})$$

H.2.2 Two-Body Spin 1/2 - LF

Accounting for the contribution from each particle to the two body system, and restricting ourselves to collinear frames ($\mathbf{P}_{12}^T = 0$), we have,

$$S_{\text{LF}}^{2B} = \left(\frac{P_{\text{cm}}^+}{M_{12}} \sigma_z, \boldsymbol{\sigma}_T, \frac{P_{\text{cm}}^2 - 2M_{12}^2}{2M_{12}P_{\text{cm}}^+} \sigma_z \right) = \left(\frac{p_d^+}{M_{12}} \sigma_z, \boldsymbol{\sigma}_T, \frac{-M_{12}}{2p_d^+} \sigma_z \right) \quad (\text{H.35})$$

¹³The Spin operator for two spin 1/2 particles is constructed out of the tensor product of the individual spin 1/2 operators, and can be conveniently separate into rotation invariant structures, scalar (singlet), vector (triplet), and the symmetric and traceless rank-2 spin tensor: $(\rho_1)_{ij} = \frac{1}{3}(\alpha \delta_{ij} + \frac{3}{2}(\mathbf{s} \cdot \mathbf{J})_{ij} + 3T_{il}J_{lj})$.

APPENDIX I

RELATIVISTIC TWO-BODY WAVE FUNCTION

The wave function (WF) of a bound state is dictated by the symmetries it must satisfy and is constructed out of all the degrees of freedom (dof) necessary to achieve a satisfactory description of the system. Deuteron is a spin 1, iso-singlet (isotopic-spin zero) state that is invariant under parity transformation (space reflection). We work on the two nucleon approximation, which is the most important component in Fock space expansion. In other words we assume is made out of (mainly) two massive spin 1/2 constituents.

It is convenient to write the WF Eq.(3.12) in a form that permits a parameterization in terms of Dirac structures. We have,

$$\bar{u}_{s_i} \bar{u}_{s_r} \Gamma_{\text{dNN}}^\mu \chi_\mu^{\lambda_d} \rightarrow \bar{u}_{s_i} \Gamma_{\text{dNN}}^\mu \chi_\mu^{\lambda_d} (i\gamma_2 \gamma_0) \bar{u}_{s_r}^T = \bar{u}_{s_i} \Gamma_{\text{dNN}}^\mu \chi_\mu^{\lambda_d} \gamma_5 \epsilon^{rr'} u_{s_{r'}} \quad (\text{I.1})$$

The first step makes use of Charge Conjugation, i.e. crossing between the exchange and annihilation channels, thus relating the charge conjugated fermion with its antiparticle. The second uses CPT symmetry, i.e. the relation between the fermion and its antiparticle, $v_{s_r} = \gamma_5 \epsilon^{rr'} u_{s_{r'}}$. Thus, we have

$$\Psi_{s_i s_r}^{\lambda_d} = \bar{u}_{s_i} \Gamma_{\text{dNN}}^\mu \chi_\mu^{\lambda_d} \gamma_5 \epsilon^{rr'} u_{s_{r'}} \quad (\text{I.2})$$

I.1 General Structure of the Vertex

The most general form that satisfy all the requirements is,

$$\Phi_{s_2 s_1}^\lambda = \chi_{\mu(P_d)}^\lambda \bar{u}_{(k_2, s_2)} \Gamma^\mu (u_{(k_1, s_1)})^\circ \quad (\text{I.3})$$

where,

$$\begin{aligned} \Gamma^\mu &= \Gamma_1 \gamma^\mu + \Gamma_2 \frac{k^\mu}{m} + \Gamma_3 \frac{\Delta_p^\mu}{2m} + \Gamma_4 \frac{k^\mu \Delta_p}{2m^2} \\ &\quad + \Gamma_5 \frac{1}{2m^3} \gamma_5 \epsilon^{\mu\nu\rho\gamma} (p_d)_\nu k_\rho (\Delta_p)_\gamma + \Gamma_6 \frac{\Delta_p^\mu \Delta_p}{4m^2} \end{aligned} \quad (\text{I.4})$$

Here, χ_μ^λ is the polarization vector with λ labeling the 3 possible polarizations of a spin 1 particle, *e.g.*, $\lambda = 1, 0, -1$, are, right handed, longitudinal and left handed, respectively. The covariant functions, F_i , convey the dynamics for deuteron decomposition into proton-neutron system, they are functions of the invariant mass of the two-nucleon system,

$$M_{NN}^2 = s_{NN} = (E_p + E_n)^2 = 4(m^2 + \mathbf{k}^2) = 4 \frac{m^2 + \mathbf{k}_\perp^2}{\alpha(2-\alpha)} = M_d^2 - \Delta_p \cdot P_d \quad (\text{I.5})$$

here, $E_{p,n} = \sqrt{m_{p,n}^2 + \mathbf{k}^2}$ are the respective energies of proton and neutron in the two-body center of momentum frame, the (reduced) mass m is approximated by $m = (m_p + m_n)/2$, the 3-vector $\mathbf{k} = (k_\perp, k_3) = (\mathbf{k}_r - \mathbf{k}_i)/2$ is half the relative momentum, where k_r refers to the recoil nucleon and k_3 is defined by (I.5) as,

$$k_3 = (\alpha_r - 1)M_{NN}/2 \quad (\text{I.6})$$

where the longitudinal momentum fraction is,

$$\alpha = 2 \frac{E_r + k_{rz}}{E_d + p_{dz}} = 2 \frac{p_r^+}{P_d^+}$$

Here we particularize our study to the unpolarized scattering cross-section. Therefore, in our calculation within the Impulse Approximation (also for the case with Final State Interactions restricted to the GEA) the relevant object is the spin averaged LF density matrix of the deuteron (see Eq.J.29),

$$\rho_d(\alpha, k_\perp) = \frac{1}{3} \cdot \frac{1}{2} \sum_{\lambda_d, s_r, s_i} \frac{|\Psi_{s_i s_r}^{\lambda_d}(\alpha, k_\perp)|^2}{(2-\alpha)} \quad (\text{I.7})$$

which after using (I.6), we get,

$$\rho_d(\alpha, k_\perp) = \frac{1}{3} \cdot \frac{1}{2} \sum_{\lambda_d, s_r, s_i} \frac{E_k - k_3}{E_k} |\Psi_{s_i s_r}^{\lambda_d}(\alpha, k_\perp)|^2 \quad (\text{I.8})$$

where, $E_k = \sqrt{m^2 + \vec{k}^2}$.

I.2 Comparison with Partial Waves Analysis

For sufficiently low energies it is reasonable to expect that the contribution to the scattering amplitude other than the lowest partial waves must be kinematically suppressed. This means that at low relative momentum, and in the CM of the on-shell nucleons, valuable insight about the functional form of the covariant vertex functions (Γ_i) can be obtained by matching the covariant WF decomposition¹ with the Partial Wave decomposition². Although, this identification provide expressions for the relativistic WF which rely on non-relativistic QM³.

To proceed with the matching of the two WF decompositions both of them must be expressed on a spherical basis, thus we shall use canonical spinors since these are manifestly rotational invariant⁴. To this end we apply a change of basis to our light-front wave function Eq.(4.5), which has the effect of a spatial rotation, known as the Melosh rotation. In general, a spin 1/2 particle with momentum p can be represented equivalently with light-front or canonical bi-spinors, which are related by a rotation as follows,

$$u_s^{\text{LF}}(p^+, \mathbf{p}_T) = u_{s'}^C(\vec{p}) D [R_M(p)]_{ss'} \quad (\text{I.9})$$

$$D [R_M] = \begin{pmatrix} R_M & 0 \\ 0 & R_M^T \end{pmatrix} \quad (\text{I.10})$$

where, R_M^T is the transpose of R_M , which is the representation of the Melosh rotation

¹Which results from the simplification of the electro-disintegration cross-section provided by the PWIA.

²Which results from the simplification that occurs at low energy (transferred) allowing us to treat the reaction as scattering by a Potential.

³Note that the Partial Waves depend uniquely on a relative 3-momentum, which in potential scattering theory would be the momentum transferred in the NN scattering.

⁴As it is well known the canonical spinors are invariant under SO(3) spatial rotations.

in two-dimensional spinor space,

$$R_M(p) = \frac{1}{\sqrt{2p^+(E_p + m)}} \begin{pmatrix} p^+ + m & -(p_x - ip_y) \\ p_x + ip_y & p^+ + m \end{pmatrix} \quad (\text{I.11})$$

and $E_p = \sqrt{m^2 + \vec{p}^2}$.

I.3 Dirac Bilinears - Canonical

We use Canonical spinors to evaluate the following Dirac bilinears. The reference frame is such that, $\vec{P}_{NN}^T = 0$.

We find, for the bilinear, $\bar{u}_{p_i} \mathbf{1} u_{p_r}$,

$$\bar{u}_{p_i} u_{p_r} = \frac{1}{\sqrt{p_i^0 + m} \sqrt{m + p_r^0}} (\vec{p}_i \cdot \vec{p}_r + m(p_i^0 + m + p_r^0) \mathbf{1} - i\sigma_j \epsilon_{jln} p_{il} p_{rn}) \quad (\text{I.12})$$

for the bilinear, $\bar{u}_{p_i} (\gamma_5) u_{p_r}$,

$$\bar{u}_{p_i} (\gamma_5) u_{p_r} = \sqrt{p_i^0 + m} \sqrt{m + p_r^0} \left(\frac{\vec{p}_r \cdot \vec{\sigma}}{p_r^0 + m} - \frac{\vec{p}_i \cdot \vec{\sigma}}{p_i^0 + m} \right) \quad (\text{I.13})$$

for, $\bar{u}_{p_i} (\gamma_0 \gamma_5) u_{p_r}$,

$$\bar{u}_{p_i} (\gamma_0 \gamma_5) u_{p_r} = \sqrt{p_i^0 + m} \sqrt{m + p_r^0} \left(\frac{\vec{p}_i \cdot \vec{\sigma}}{p_i^0 + m} + \frac{\vec{p}_r \cdot \vec{\sigma}}{p_r^0 + m} \right) \quad (\text{I.14})$$

and for, $\bar{u}_{p_i} (\gamma_j \gamma_5) u_{p_r}$, we find,

$$\bar{u}_{p_i} (\gamma_j \gamma_5) u_{p_r} = 2 \sqrt{\frac{m + p_r^0}{p_i^0 + m}} \sigma_j \left(\frac{p_i^0 + p_r^0}{2} \mathbf{1} - \left(p_{rj} \sigma_j - \frac{p_{iz} + p_{rz}}{2} \sigma_3 \right) \frac{\vec{p}_r \cdot \vec{\sigma}}{m + p_r^0} \right) \quad (\text{I.15})$$

More useful for our purpose is to work in the basis of total (P_{NN}) and relative (p_{rel}) momenta, here we have,

$$\bar{u}_{p_i} u_{p_r} = \frac{2}{\sqrt{(2m + E_{\text{NN}})^2 - 4E_{\text{rel}}^2}} \left(\left(m E_{\text{NN}} + \frac{M_{12}^2}{2} \right) \mathbf{1} + i\sigma_j \epsilon_{jnl} P_{\text{NN}l} p_{\text{rel}n} \right) \quad (\text{I.16})$$

$$\bar{u}_{p_i}(\gamma_5) u_{p_r} = 2 \frac{(2m + E_{\text{NN}})\vec{p}_{\text{rel}} \cdot \vec{\sigma} - E_{\text{rel}}\vec{P}_{\text{NN}} \cdot \vec{\sigma}}{\sqrt{(2m + E_{\text{NN}})^2 - 4E_{\text{rel}}^2}} \quad (\text{I.17})$$

$$\bar{u}_{p_i}(\gamma_0\gamma_5) u_{p_r} = 2 \frac{\left(m + \frac{E_{\text{NN}}}{2}\right)(\vec{P}_{\text{NN}} \cdot \vec{\sigma}) - 2E_{\text{rel}}(\vec{p}_{\text{rel}} \cdot \vec{\sigma})}{\sqrt{(2m + E_{\text{NN}})^2 - 4E_{\text{rel}}^2}} \quad (\text{I.18})$$

$$\bar{u}_{p_i}(\gamma_j\gamma_5) u_{p_r} = 2 \frac{\sigma_j \left(mE_{\text{NN}} + \frac{M_{\text{NN}}^2}{2}\right) - 2p_{\text{rel}_j}(\vec{p}_{\text{rel}} \cdot \vec{\sigma}) + \frac{1}{2}P_{\text{NN}_j}(\vec{P}_{\text{NN}} \cdot \vec{\sigma}) + i\epsilon_{klj}p_{\text{rel}_k}P_{\text{NN}_l}\mathbf{1}}{\sqrt{(2m + E_{\text{NN}})^2 - 4E_{\text{rel}}^2}} \quad (\text{I.19})$$

From equation (4.7) we note that,

$$p_{\text{rel}}^2 = m^2 - \frac{M_{\text{NN}}^2}{4} < 0 \quad (\text{I.20})$$

i.e., p_{rel} is a space-like four-vector. Thus, it is always possible to find a frame where the relative energy vanishes,

$$p_{\text{rel}}^0 = E_{\text{rel}} = 0 \quad (\text{I.21})$$

This frame is given by the condition,

$$0 = E_{\text{rel}}^2 = p_{\text{rel}}^2 + \vec{p}_{\text{rel}}^2 = m^2 - \frac{M_{\text{NN}}^2}{4} + \vec{p}_{\text{rel}}^2 \implies P_{\text{NN}}^2 = M_{\text{NN}}^2 = 4(m^2 + \vec{p}_{\text{rel}}^2) \quad (\text{I.22})$$

For two particles of equal mass, like the nucleons, the condition, $E_{\text{rel}} = 0$, occurs in the two-body (NN) center of momentum (CM), i.e. $\vec{P}_{\text{NN}} = 0$. In this frame, it is useful to define the four-momentum of the two nucleons through $k^\mu = (E_k, \mathbf{k}_T, k_z)$, which has components,

$$E_k = \frac{\sqrt{s_{\text{NN}}}}{2} = \frac{M_{\text{NN}}}{2} = \sqrt{m_N^2 + k^2}, \quad \mathbf{k}_T = \mathbf{p}_{r_T}, \quad \text{and,} \quad k_z = E_k(\alpha_r - 1), \quad (\text{I.23})$$

then we have, $\vec{k} = \vec{p}_{\text{rel}}^{\text{cm}}/2 = \vec{p}_r^{\text{cm}} = -\vec{p}_i^{\text{cm}}$, and the bilinears are given by the following expressions,

$$\bar{u}_{-\vec{k}} u_{\vec{k}} = M_{\text{NN}} \mathbf{1} \quad (\text{I.24})$$

$$\bar{u}_{-\vec{k}}(\gamma_5) u_{\vec{k}} = 2 \vec{k} \cdot \vec{\sigma} \quad (\text{I.25})$$

$$\bar{u}_{-\vec{k}}(\gamma_0 \gamma_5) u_{\vec{k}} = 0 \quad (\text{I.26})$$

$$\bar{u}_{-\vec{k}}(\gamma_5 \gamma_j) u_{\vec{k}} = M_{\text{NN}} \sigma_j - 2 \frac{2k_j(\vec{k} \cdot \vec{\sigma})}{2m + M_{\text{NN}}} \quad (\text{I.27})$$

The wave function (4.5) in the two-body CM is then given by,

$$\begin{aligned} \psi_{\text{CM}}^{\lambda_d} = & -2\Gamma_1 \left(E_k (\vec{\sigma} \cdot \vec{\chi}_{\lambda_d}) - \frac{1}{m+E_k} (\vec{k} \cdot \vec{\chi}_{\lambda_d})(\vec{k} \cdot \vec{\sigma}) \right) - 2\Gamma_2 \left(\frac{1}{m} (\vec{k} \cdot \vec{\chi}_{\lambda_d})(\vec{k} \cdot \vec{\sigma}) \right) + 2\Gamma_3 \left(\frac{\Delta_p^\mu \chi_\mu^{\lambda_d}}{2m} (\vec{k} \cdot \vec{\sigma}) \right) \\ & + 2\Gamma_4 \frac{\vec{k} \cdot \vec{\chi}_{\lambda_d}}{m} \left(E_k \frac{\vec{\Delta}_p \cdot \vec{\sigma}}{2m} - \frac{\vec{\Delta}_p \cdot \vec{k}}{2m+2E_k} \frac{\vec{k} \cdot \vec{\sigma}}{m} \right) - 2\Gamma_6 \frac{\Delta_p^\mu \chi_\mu^{\lambda_d}}{2m} \left(E_k \frac{\vec{\Delta}_p \cdot \vec{\sigma}}{2m} - \frac{\vec{\Delta}_p \cdot \vec{k}}{2m+2E_k} \frac{\vec{k} \cdot \vec{\sigma}}{m} \right) \\ & - 2\Gamma_5 \frac{M_{\text{NN}}^2}{2m} \left(\frac{\vec{\chi}_{\lambda_d} \times \vec{k}}{m} \right) \cdot \frac{\vec{\Delta}_p}{2m} \end{aligned} \quad (\text{I.28})$$

I.4 Separation into Angular and Radial Dependencies

In order to compare with the partial wave decomposition of the NN interaction we need to separate the angular and radial variables. In other words, the vertex function must be expressed in terms of irreducible space tensors (spherical harmonics).

We first pull out the spin part, after which the components of vectors k , and Δ are separated into symmetric and anti-symmetric terms. Both of this terms are invariant under rotations. The last step is to extract from the symmetric structure the trace, which is invariant by it self.

For the structure associated with Γ_1 we have,

$$\begin{aligned} \Gamma_1 \rightarrow & -2\Gamma_1 \left(E_k (\vec{\sigma} \cdot \vec{\chi}_{\lambda_d}) - \frac{\vec{k}^2}{m+E_k} (\hat{k} \cdot \vec{\chi}_{\lambda_d})(\hat{k} \cdot \vec{\sigma}) \right) = -2\Gamma_1 (m\delta_{ij} - (m-E_k)\hat{k}_i \hat{k}_j) \sigma_i \chi_j^{\lambda_d} \\ & = -\frac{2}{3}\Gamma_1 [(2E_k+m)[\delta_{ij}] + (E_k-m)[3\hat{k}_i \hat{k}_j - \delta_{ij}]] \sigma_i \chi_j^{\lambda_d} \end{aligned} \quad (\text{I.29})$$

where, we use the notation, $\hat{k} = \frac{\mathbf{k}}{|\mathbf{k}|}$. Note that in the NN-CM, $\vec{\chi}_d^\lambda = \hat{\chi}^\lambda - \frac{\vec{\Delta}}{2m} \frac{E_k - m}{E_k + m}$, where $\hat{\chi}^\lambda$ is the polarization vector for a massive spin 1 particle (like deuteron) at rest, i.e.

$$\hat{\chi}^{\lambda=0} = (0, 0, 1), \quad \hat{\chi}^{\lambda=+1} = -\frac{1}{\sqrt{2}}(1, i, 0), \quad \hat{\chi}^{\lambda=-1} = \frac{1}{\sqrt{2}}(1, -i, 0) \quad (\text{I.30})$$

The difference only appears for the longitudinal polarization ($\lambda = 0$), and it reaches about 7% at $k \sim 1$ GeV/c. In Fig.(I.1) we show that this correction is much smaller⁵ than the small parameter $\frac{\Delta^-}{2m}$. Hence, the use of the more simple polarization vector $\hat{\chi}^\lambda$ instead of the actual $\vec{\chi}_d^\lambda$ is justified.

For the Γ_2 term,

$$\Gamma_2 \rightarrow -2\Gamma_2 \frac{\vec{k}^2}{m} (\hat{k} \cdot \vec{\chi}^{\lambda_d})(\hat{k} \cdot \vec{\sigma}) = -2\Gamma_2 \frac{\vec{k}^2}{m} (\hat{k}_i \hat{k}_j) \sigma_i \chi_j^{\lambda_d} = -\frac{2}{3}\Gamma_2 \frac{\vec{k}^2}{m} ([3\hat{k}_i \hat{k}_j - \delta_{ij}] + [\delta_{ij}]) \sigma_i \chi_j^{\lambda_d} \quad (\text{I.31})$$

For the Γ_3 term,

$$\begin{aligned} \Gamma_3 &\rightarrow 2\Gamma_3 \left(\frac{\Delta^\mu \chi_\mu^{\lambda_d}}{2m} (\hat{k} \cdot \vec{\sigma}) \right) = -2\Gamma_3 \frac{\vec{k}^3}{2m^2} (\hat{k}_i \hat{\Delta}_j) \sigma_i \hat{\chi}_j^{\lambda_d} = -2\Gamma_3 \frac{k^3}{2m^2} \left[\frac{1}{2} (\hat{k}_i \hat{\Delta}_j \pm \hat{k}_j \hat{\Delta}_i) \right] \sigma_i \hat{\chi}_j^{\lambda_d} \\ &= -2\Gamma_3 \frac{\vec{k}^3}{2m^2} \left[\left(\frac{1}{2} \{\hat{k} \hat{\Delta}\}_{ij} - \frac{1}{3} (\hat{k} \cdot \hat{\Delta}) \delta_{ij} \right) + \frac{1}{3} (\hat{k} \cdot \hat{\Delta}) \delta_{ij} + \frac{1}{2} \epsilon_{ijl} \epsilon_{mnl} \hat{k}_m \hat{\Delta}_n \right] \sigma_i \hat{\chi}_j^{\lambda_d} \\ &= -\Gamma_3 \frac{\vec{k}^3}{2m^2} \left\{ \frac{2}{3} \left[\frac{3}{2} \{\hat{k} \hat{\Delta}\}_{ij} - (\hat{k} \cdot \hat{\Delta}) \delta_{ij} \right] + \frac{2}{3} (\hat{k} \cdot \hat{\Delta}) [\delta_{ij}] + [\epsilon_{ijl} (\hat{k} \times \hat{\Delta})_l] \right\} \sigma_i \hat{\chi}_j^{\lambda_d} \end{aligned} \quad (\text{I.32})$$

where, $\{\hat{k} \hat{\Delta}\}_{ij} = (\hat{k}_i \hat{\Delta}_j + \hat{k}_j \hat{\Delta}_i)$ indicates the symmetrization on indices $i j$, and we use the notation, $\hat{\Delta} = \frac{\vec{P}_{\text{NN}} - \vec{p}_d}{|\vec{P}_{\text{NN}} - \vec{p}_d|}$.

⁵Notice that it is compared with the square of the small parameter.

For the Γ_4 term,

$$\begin{aligned}
\Gamma_4 \rightarrow & 2\Gamma_4 \frac{\vec{k} \cdot \vec{\chi}^{\lambda_d}}{2m} \left(E_k \frac{\vec{\Delta} \cdot \vec{\sigma}}{2m} - \frac{\vec{\Delta} \cdot \vec{k}}{2m+2E_k} \frac{\vec{k} \cdot \vec{\sigma}}{m} \right) \\
& = \Gamma_4 \frac{\vec{k}^3}{2m^2 E_k} (\hat{\Delta}_i \hat{k}_j) \sigma_i \chi_j^{\lambda_d} - \Gamma_4 \frac{\vec{k}^3}{2m^2 E_k} (E_k - m) (\hat{k}_i \hat{k}_j \hat{k}_l) \sigma_i \chi_j^{\lambda_d} \hat{\Delta}_l \\
& = \Gamma_4 \frac{\vec{k}^3}{4m^2} \left[\frac{2m}{3E_k} (\hat{k} \cdot \hat{\Delta}) \delta_{ij} - \epsilon_{ijl} (\hat{k} \times \hat{\Delta})_l \right] \sigma_i \hat{\chi}_j^{\lambda_d} \\
& \quad + \Gamma_4 \frac{\vec{k}^3 (3E_k + 2m)}{20m^2 E_k} \left(\{\hat{k} \hat{\Delta}\}_{ij} - \frac{2}{3} (\hat{k} \cdot \hat{\Delta}) \delta_{ij} \right) \sigma_i \hat{\chi}_j^{\lambda_d} \\
& \quad - \Gamma_4 \frac{\vec{k}^3 (E_k - m)}{10m^2 E_k} [5(\hat{k}_i \hat{k}_j \hat{k}_l) - \delta_{ij} \hat{k}_l - \delta_{jl} \hat{k}_i - \delta_{li} \hat{k}_j] \sigma_i \hat{\chi}_j^{\lambda_d} \hat{\Delta}_l
\end{aligned} \tag{I.33}$$

Notice that in principle $\hat{\Delta}_p$ is arbitrary. In practice however, when the deuteron to proton-neutron transition vertex is used in a break-up reaction, $\hat{\Delta}_p$ is specified by the direction of the transfer momentum. In this case we get,

$$\begin{aligned}
\Gamma_4 \rightarrow & \Gamma_4 \frac{\vec{k}^3}{4m^2} \left[\frac{2m}{3E_k} (\hat{k} \cdot \hat{\Delta}) \delta_{ij} - \epsilon_{ijl} (\hat{k} \times \hat{\Delta})_l \right] \sigma_i \hat{\chi}_j^{\lambda_d} \\
& + \Gamma_4 \frac{\vec{k}^3 (3E_k + 2m)}{20m^2 E_k} \left(\{\hat{k} \hat{\Delta}\}_{ij} - \frac{2}{3} (\hat{k} \cdot \hat{\Delta}) \delta_{ij} \right) \sigma_i \hat{\chi}_j^{\lambda_d} \\
& - \Gamma_4 \frac{\vec{k}^3 (E_k - m)}{10m^2 E_k} [5(\hat{k}_i \hat{k}_j) (\hat{k} \cdot \hat{\Delta}) - \delta_{ij} (\hat{k} \cdot \hat{\Delta}) - \hat{\Delta}_j \hat{k}_i - \hat{\Delta}_i \hat{k}_j] \sigma_i \chi_j^{\lambda_d} \\
& = \Gamma_4 \frac{\vec{k}^3}{6m^2} \left[\frac{3}{2} \{\hat{k} \hat{\Delta}\}_{ij} - (\hat{k} \cdot \hat{\Delta}) \delta_{ij} \right] \sigma_i \hat{\chi}_j^{\lambda_d} - \Gamma_4 \frac{\vec{k}^3}{4m^2} [\epsilon_{ijl} (\hat{k} \times \hat{\Delta})_l] \sigma_i \hat{\chi}_j^{\lambda_d} \\
& - \Gamma_4 \frac{\vec{k}^3 (E_k - m)}{6m^2 E_k} (\hat{\Delta} \cdot \hat{k}) [3\hat{k}_i \hat{k}_j - \delta_{ij}] \sigma_i \chi_j^{\lambda_d} + \Gamma_4 \frac{\vec{k}^3}{6m E_k} (\hat{k} \cdot \hat{\Delta}) [\delta_{ij}] \sigma_i \hat{\chi}_j^{\lambda_d}
\end{aligned} \tag{I.34}$$

For the Γ_5 term,

$$\begin{aligned}
\Gamma_5 \rightarrow & -i\Gamma_5 \frac{M_{NN}^2}{m} \left(\frac{\vec{\Delta}}{2m} \times \frac{\vec{k}}{m} \right) \cdot \vec{\chi}^{\lambda_d} \mathbb{1}_2 = -i\Gamma_5 \frac{2E_k \vec{k}^3}{m^3} (\hat{\Delta} \times \hat{k}) \cdot \hat{\chi}^{\lambda_d} \mathbb{1}_2 = -i\Gamma_5 \frac{E_k}{m} \frac{\vec{k}^3}{2m^2} \hat{k}_T \cdot \hat{\chi}_T^\lambda \mathbb{1}_2 \\
& = -i\Gamma_5 \frac{E_k}{m} \frac{\vec{k}^3}{2m^2} (\hat{k} \cdot \hat{\chi}^\lambda - (\hat{\Delta} \cdot \hat{k})(\hat{\Delta} \cdot \hat{\chi}^\lambda)) \mathbb{1}_2 \\
& = i\Gamma_5 \frac{E_k}{m} \frac{\vec{k}^3}{6m^2} (\hat{k}_i [3\hat{\Delta}_i \hat{\Delta}_j - \delta_{ij}] - 2\hat{k}_i [\delta_{ij}]) \hat{\chi}_j^\lambda \mathbb{1}_2
\end{aligned} \tag{I.35}$$

where, $\mathbb{1}_2$ is the 2×2 identity matrix.

For the Γ_6 term,

$$\begin{aligned}
\Gamma_6 &\rightarrow -2\Gamma_6 \frac{\Delta^\mu \chi_\mu^{\lambda_d}}{2m} \left(E_k \frac{\vec{\Delta} \cdot \vec{\sigma}}{2m} - \frac{\vec{\Delta} \cdot \vec{k}}{2m+2E_k} \frac{\vec{k} \cdot \vec{\sigma}}{m} \right) = \Gamma_6 \frac{\vec{k}^4}{2m^3 E_k} (E_k \hat{\Delta}_i \hat{\Delta}_j - (E_k - m)(\hat{\Delta} \cdot \hat{k}) \hat{k}_i \hat{\Delta}_j) \sigma_i \hat{\chi}_j^{\lambda_d} \\
&= \Gamma_6 \frac{\vec{k}^4}{6m^3} ((3\hat{\Delta}_i \hat{\Delta}_j - \delta_{ij}) + \delta_{ij}) \sigma_i \hat{\chi}_j^{\lambda_d} \\
&\quad - \Gamma_6 \frac{\vec{k}^4(E_k - m)}{2m^3 E_k} (\hat{\Delta} \cdot \hat{k}) \left[\frac{1}{6} (3\{\hat{k}\hat{\Delta}\}_{ij} - 2(\hat{k} \cdot \hat{\Delta})\delta_{ij}) + \frac{1}{3} (\hat{k} \cdot \hat{\Delta})\delta_{ij} + \frac{1}{2} \epsilon_{ijl} (\hat{k} \times \hat{\Delta})_l \right] \sigma_i \hat{\chi}_j^{\lambda_d} \\
&= \Gamma_6 \frac{\vec{k}^4}{6m^3} [3\hat{\Delta}_i \hat{\Delta}_j - \delta_{ij}] \sigma_i \hat{\chi}_j^{\lambda_d} - \Gamma_6 \frac{\vec{k}^4(E_k - m)}{4m^3 E_k} (\hat{\Delta} \cdot \hat{k}) [\epsilon_{ijl} (\hat{k} \times \hat{\Delta})_l] \sigma_i \hat{\chi}_j^{\lambda_d} \\
&\quad + \Gamma_6 \frac{\vec{k}^4}{6m^3 E_k} (E_k - (\hat{\Delta} \cdot \hat{k})^2 (E_k - m)) [\delta_{ij}] \sigma_i \hat{\chi}_j^{\lambda_d} \\
&\quad - \Gamma_6 \frac{\vec{k}^4(E_k - m)}{3m^3 E_k} (\hat{\Delta} \cdot \hat{k}) \left[\frac{3}{2} \{\hat{k}\hat{\Delta}\}_{ij} - (\hat{k} \cdot \hat{\Delta})\delta_{ij} \right] \sigma_i \hat{\chi}_j^{\lambda_d}
\end{aligned} \tag{I.36}$$

We arrive then to the separation,

$$\begin{aligned}
\psi_{CM}^{\lambda_d} &= \left\{ \sigma_i \hat{\chi}_j^{\lambda_d} \right\} \left\{ [\delta_{ij}] \left[-\frac{2}{3} \Gamma_1 (2E_k + m) - \frac{2}{3} \Gamma_2 \frac{\vec{k}^2}{m} - \Gamma_3 \frac{\vec{k}^3}{3m^2} (\hat{k} \cdot \hat{\Delta}) + \Gamma_4 \frac{\vec{k}^3}{6m E_k} (\hat{k} \cdot \hat{\Delta}) \right. \right. \\
&\quad \left. \left. + \Gamma_6 \frac{\vec{k}^4}{6m^3 E_k} (E_k - (\hat{\Delta} \cdot \hat{k})^2 (E_k - m)) \right] \right. \\
&\quad + [3\hat{k}_i \hat{k}_j - \delta_{ij}] \left[\frac{2}{3} \Gamma_1 (m - E_k) - \frac{2}{3} \Gamma_2 \frac{\vec{k}^2}{m} - \Gamma_4 \frac{\vec{k}^3 (E_k - m)}{6m^2 E_k} (\hat{\Delta} \cdot \hat{k}) \right] \\
&\quad + \left[\frac{3}{2} \{\hat{k}\hat{\Delta}\}_{ij} - (\hat{k} \cdot \hat{\Delta})\delta_{ij} \right] \left[-\Gamma_3 \frac{\vec{k}^3}{3m^2} + \Gamma_4 \frac{\vec{k}^3}{6m^2} - \Gamma_6 \frac{\vec{k}^4 (E_k - m)}{3m^3 E_k} (\hat{\Delta} \cdot \hat{k}) \right] \\
&\quad + [\epsilon_{ijl} (\hat{k} \times \hat{\Delta})_l] \left[-\Gamma_3 \frac{\vec{k}^3}{2m^2} - \Gamma_4 \frac{\vec{k}^3}{4m^2} - \Gamma_6 \frac{\vec{k}^4 (E_k - m)}{4m^3 E_k} (\hat{\Delta} \cdot \hat{k}) \right] \\
&\quad \left. + [3\hat{\Delta}_i \hat{\Delta}_j - \delta_{ij}] \left[\Gamma_6 \frac{\vec{k}^4}{6m^3} \right] \right\} \\
&\quad + \left\{ \mathbb{1}_2 \hat{\chi}_j^{\lambda_d} \right\} \{ [3\hat{\Delta}_i \hat{\Delta}_j - \delta_{ij}] - 2[\delta_{ij}] \} \left(i\Gamma_5 \frac{E_k}{m} \frac{\vec{k}^3}{6m^2} \hat{k}_i \right)
\end{aligned} \tag{I.37}$$

In this form we can now make the following associations. The terms proportional to $\{\sigma_i \hat{\chi}_j^{\lambda_d}\} [\delta_{ij}]$ and $\{\sigma_i \hat{\chi}_j^{\lambda_d}\} [3\hat{k}_i \hat{k}_j - \delta_{ij}]$ correspond to an S-wave and D-wave transition, respectively. These terms must converge to the nonrelativistic deuteron S- and

D-wave radial functions⁶, when the contribution from the vertex functions Γ_3 , Γ_4 , and Γ_6 . The conditions for which we expect this to be a good approximation are established at the end of Sec.(4.1). Since, the terms associated with $\Gamma_{3,4,6}$ are expected to be small we focus on the angular structures associated with Γ_5 , which contributes as P-wave and has a relativistic origin with no analogous in the nonrelativistic framework.

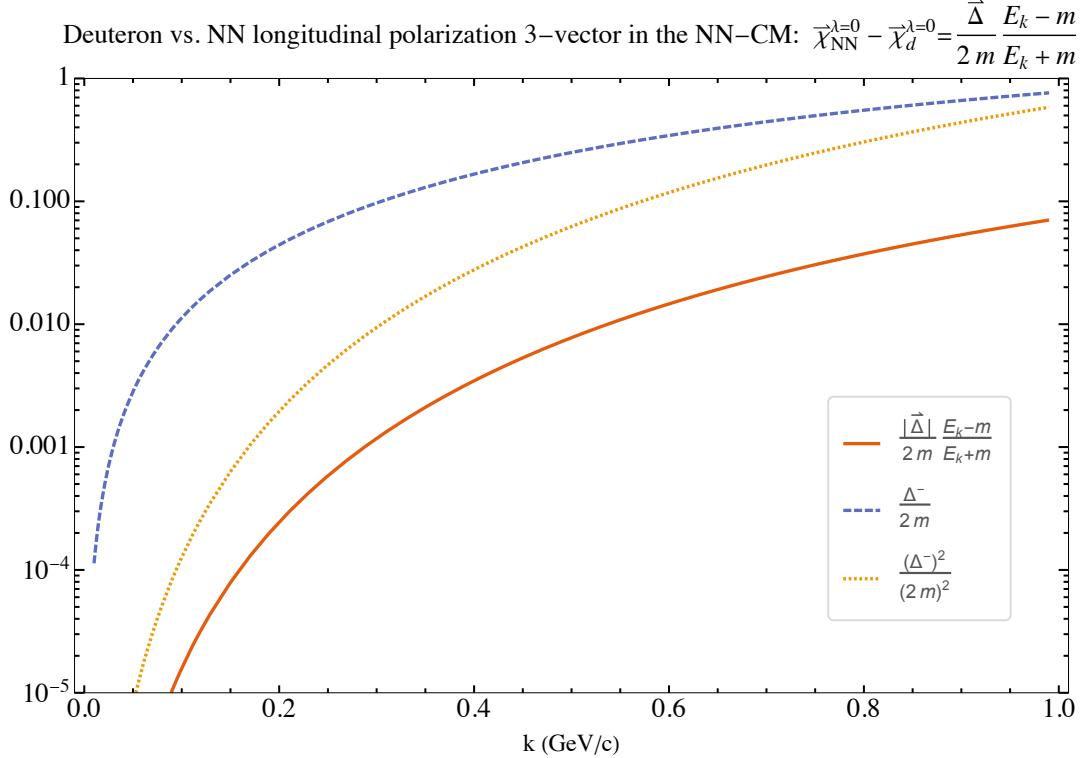


Figure I.1: Deviation of deuteron longitudinal polarization 3-vector $\vec{\chi}_d^{\lambda=0}$ from that of the two-body in the NN-CM $\vec{\chi}_{NN}^{\lambda=0}$

I.5 Dirac Bilinears - LF

To recover the light-front wave function we must apply the Melosh rotation (Eq.I.11) to the Canonical bilinear structures. The LF bilinears in the two-body CM and two

⁶From the deuteron wave function which is a solution to the Shrodinger equation with an *NN* interaction potential, e.g., CD-Bonn or AV18.

dimensional spin space are,

$$\bar{u}_{-\vec{k}}^{\text{LF}} u_{\vec{k}}^{\text{LF}} = \frac{M_{\text{NN}}}{\sqrt{k_T^2 + m^2}} (m \mathbf{1} + (\vec{k}_T \cdot \vec{\sigma}_T) \sigma_3) \quad (\text{I.38})$$

$$\begin{aligned} \bar{u}_{-\vec{k}}^{\text{LF}} \gamma_5 u_{\vec{k}}^{\text{LF}} &= \frac{1}{\sqrt{k_T^2 + m^2}} (M_{\text{NN}} \vec{k}_T \cdot \vec{\sigma}_T + 2m k_3 \sigma_3) \\ &= \frac{M_{\text{NN}}}{\sqrt{k_T^2 + m^2}} (\vec{k}_T \cdot \vec{\sigma}_T + m(\alpha - 1) \sigma_3) \end{aligned} \quad (\text{I.39})$$

$$\bar{u}_{-\vec{k}}^{\text{LF}} (\gamma^+ \gamma_5) u_{\vec{k}}^{\text{LF}} = \bar{u}_{-\vec{k}}^{\text{LF}} (\gamma_3 \gamma_5) u_{\vec{k}}^{\text{LF}} = 2\sqrt{k_T^2 + m^2} (\vec{k} \cdot \vec{\sigma} - \vec{k}_T \cdot \vec{\sigma}_T) = M_{\text{NN}} \sqrt{\alpha(2-\alpha)} \sigma_3 \quad (\text{I.40})$$

$$\bar{u}_{-\vec{k}}^{\text{LF}} (\gamma^- \gamma_5) u_{\vec{k}}^{\text{LF}} = -\bar{u}_{-\vec{k}}^{\text{LF}} (\gamma_3 \gamma_5) u_{\vec{k}}^{\text{LF}} = -M_{\text{NN}} \sqrt{\alpha(2-\alpha)} \sigma_3 \quad (\text{I.41})$$

$$\begin{aligned} \bar{u}_{-\vec{k}}^{\text{LF}} (\gamma_1 \gamma_5) u_{\vec{k}}^{\text{LF}} &= \frac{1}{\sqrt{k_T^2 + m^2}} (M_{\text{NN}} m \sigma_1 - 2k_3 \sigma_3 k_x + i M_{\text{NN}} k_y \mathbf{1}) \\ &= \frac{M_{\text{NN}}}{\sqrt{k_T^2 + m^2}} (m \sigma_1 - (\alpha - 1) \sigma_3 k_x + i k_y \mathbf{1}) \end{aligned} \quad (\text{I.42})$$

$$\bar{u}_{-\vec{k}}^{\text{LF}} (\gamma_2 \gamma_5) u_{\vec{k}}^{\text{LF}} = \frac{M_{\text{NN}}}{\sqrt{k_T^2 + m^2}} (m \sigma_2 - (\alpha - 1) \sigma_3 k_y - i k_x \mathbf{1}) \quad (\text{I.43})$$

APPENDIX J
**DEUTERON ELECTRO-MAGNETIC CURRENT AND WAVE FUNCTION
NORMALIZATION**

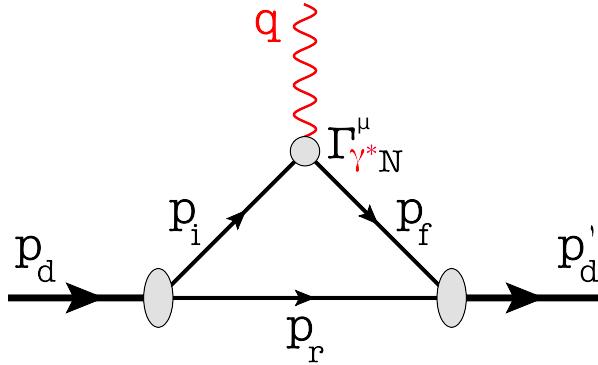


Figure J.1: Deuteron elastic scattering: $d + \gamma^* \rightarrow d'$

The normalization of deuteron's wave function is fixed relative to its elastic charge form-factor: $G_C(Q^2 = 0) = 1$.

Equivalently to the EM nucleon current parameterization in terms of form factors, in the one-photon exchange approximation, Lorentz invariance constrains the deuteron EM current to be completely described by three form factors [?]:

$$\begin{aligned} \langle p'_d s'_d | J_d^\mu | p_d s_d \rangle = & - \left(G_1(Q^2)(\chi'^* \cdot \chi) - G_3(Q^2) \frac{(\chi'^* \cdot q)(\chi \cdot q)}{2m_d^2} \right) (p_d^\mu + p'_d^\mu) \\ & + G_M(Q^2) (\chi^\mu (\chi'^* \cdot q) - (\chi'^\mu)^* (\chi \cdot q)) \end{aligned} \quad (J.1)$$

where, $\chi = \chi_{s_d}$ ($\chi' = \chi'_{s_d}$) are deuteron polarization vectors. The charge (G_C) and quadrupole (G_Q) FF are related with G_1 , G_3 and (G_M) by,

$$\begin{aligned} G_C &= G_1 + \frac{2}{3}\tau G_Q \\ G_Q &= G_1 - G_M + (1 + \tau)G_3 \end{aligned} \quad (J.2)$$

At $Q^2 = 0$, they are conventionally normalized to,

$$\begin{aligned} G_C(0) &= 1 \quad (\text{in units of } e) \\ G_Q(0) &= Q_d \quad (\text{in units of } e/m_d^2) \\ G_M(0) &= \mu_d \quad (\text{in units of } e/2m_d) \end{aligned} \quad (\text{J.3})$$

The FF can be extracted from combinations of the EM current matrix elements. On the Breit frame and in the limit, $Q^2 \rightarrow 0$, the unpolarized elastic scattering is proportional to the charge form factor. For the (+) component of the current on the LF we obtain:

$$\frac{1}{3} \sum_{s_d, s'_d} \langle p'_d s'_d | J^+ | p_d s_d \rangle = (p_d + p'_d)^+ G_1(0) = (p_d + p'_d)^+ G_C(0) = (p_d + p'_d)^+ \quad (\text{J.4})$$

In this frame we have, $p_d^\mu = (m_d \sqrt{1+\tau}, 0, 0, -Q/2)$, and, $(p'_d)^\mu = (m_d \sqrt{1+\tau}, 0, 0, Q/2)$, which yields,

$$\frac{1}{3} \sum_{s_d, s'_d} \langle p'_d s'_d | J^+ | p_d s_d \rangle = (p_d + p'_d)^+ G_C(0) = 2m_d \quad (\text{J.5})$$

where, $\tau = \frac{Q^2}{4m_d^2}$.

The relation with deuteron's WF is provided by the elastic scattering diagram Fig.(J.1), which has the transition amplitude

$$\langle p'_d s'_d | A^\mu | p_d s_d \rangle = - \int \frac{d^4 p_r}{i(2\pi)^4} \chi_{s'_d}^\dagger \Gamma_{\text{dNN}}^\dagger \frac{\not{p}_f + m}{p_f^2 - m^2 + i\epsilon} \Gamma_{\gamma^* N}^\mu \frac{\not{p}_i + m}{p_i^2 - m^2 + i\epsilon} \Gamma_{\text{dNN}} \chi_{s_d} \frac{\not{p}_r + m}{p_r^2 - m^2 + i\epsilon} \quad (\text{J.6})$$

a sum over r is implicit, where $r = p, n$, accounts for the two nucleons.

Changing to LF variables ($d^4 p_r \rightarrow 1/2 d p^- d p^+ d^2 p_\perp$), and integrating over the light-cone energy, $d p_r^-$, puts the recoil particle on shell, we obtain:

$$\begin{aligned} \langle p'_d s'_d | A^\mu | p_d s_d \rangle &= \int \frac{d^2 p_{r\perp} d p_r^+}{2 p_r^+ (2\pi)^3} \chi_{s'_d}^\dagger \Gamma_{\text{dNN}}^\dagger \frac{\not{p}_f + m}{p_f^2 - m^2 + i\epsilon} \\ &\quad \Gamma_{\gamma^* N}^\mu \frac{\not{p}_i + m}{p_i^2 - m^2 + i\epsilon} \Gamma_{\text{dNN}} \chi_{s_d} \sum_{s_r} u(p_r, s_r) \bar{u}(p_r, s_r) \end{aligned} \quad (\text{J.7})$$

The evaluation of result is that the active nucleon, used to construct the EM current, is off-shell for the initial (p_i) and final (p_f) states. Therefore, we write the respective propagators as follows:

$$\frac{p_{i,\text{off}} + m_N}{p_{i,\text{off}}^2 - m_N^2} = \frac{\sum_{s_i} (u(p_i, s_i) \bar{u}(p_i, s_i)) + \Delta p_i}{\frac{\alpha_i}{2} \left(m_d^2 - 4 \frac{m_N^2 + p_{iT}^2}{\alpha_i (2 - \alpha_i)} \right)} = \frac{\sum_{s_i} (u(p_i, s_i) \bar{u}(p_i, s_i)) + \Delta p_i}{\frac{\alpha_i}{2} (m_d^2 - s_{NN})} \quad (\text{J.8})$$

$$\frac{p_{f,\text{off}} + m_N}{p_{f,\text{off}}^2 - m_N^2} = \frac{\sum_{s_f} (u(p_f, s_f) \bar{u}(p_f, s_f)) + \Delta p_f}{\frac{\alpha_f}{2} \left(m_d^2 - 4 \frac{m_N^2 + p_{fT}^2}{\alpha_f (2 - \alpha_f)} \right)} = \frac{\sum_{s_f} (u(p_f, s_f) \bar{u}(p_f, s_f)) + \Delta p_f}{\frac{\alpha_f}{2} (m_d^2 - s'_{NN})} \quad (\text{J.9})$$

Notice that in general, for the diagram of Fig.(J.1),

$$\alpha_f = 2 \frac{p_f^+}{(p'_d)^+} \neq \alpha_i = 2 \frac{p_i^+}{p_d^+} = 2 - \alpha_r = 2 - 2 \frac{p_r^+}{p_d^+} \quad (\text{J.10})$$

The substitution of the propagators into the amplitude produce,

$$\begin{aligned} \langle p'_d s'_d | A^\mu | p_d s_d \rangle &= \sum_{s_r} \int \frac{d^2 p_{r\perp} d p_r^+}{\alpha_i \alpha_f p_r^+} \Psi_{s_f s_r}^{s'_d \dagger} (p_r, p'_d) \tilde{J}_N^\mu \Psi_{s_i s_r}^{s_d} (p_r, p_d) \\ &= \sum_{s_r} \int \frac{d^2 p_{r\perp} d \alpha}{\alpha (2 - \alpha) (2 - \alpha + \alpha_q)} \Psi_{s_f s_r}^{s'_d \dagger} \tilde{J}_N^\mu \Psi_{s_i s_r}^{s_d} \end{aligned} \quad (\text{J.11})$$

where, $\alpha = \alpha_r$. The WF of deuteron is given by (Eq.(3.12)),

$$\Psi_{s_i s_r}^{s_d} = \frac{\bar{u}_i \bar{u}_r \Gamma_{dNN} \chi^{s_d}}{\frac{1}{2} (s_{NN} - m_d^2)} \frac{1}{\sqrt{2} (2\pi)^{3/2}} \quad (\text{J.12})$$

and the off-shell nucleon current has the following four contributions,

$$\begin{aligned} \tilde{J}_N^\mu (s_f, p_f, s_i, p_i) &= J_{N,\text{on}}^\mu (s_f, p_f, s_i, p_i) + J_{N,\text{off}}^\mu (s_f, p_{f,\text{off}}, s_i, p_{i,\text{off}}) \\ &\quad + J_{N,\text{off}}^\mu (s_f, p_{f,\text{off}}, s_i, p_i) + J_{N,\text{off}}^\mu (s_f, p_f, s_i, p_{i,\text{off}}) \end{aligned} \quad (\text{J.13})$$

where,

$$J_{N,\text{on}}^\mu(s_f, p_f, s_i, p_i) = \bar{u}(p_f s_f) \Gamma_{\gamma^* N}^\mu u(p_i s_i) \quad (\text{J.14})$$

$$J_{N,\text{off}}^\mu(s_f, p_f, s_i, p_{i,\text{off}}) = \bar{u}(p_f s_f) \Gamma_{\gamma^* N}^\mu \frac{\Delta_{p_i}}{2m_N} u(p_i s_i) \quad (\text{J.15})$$

$$J_{N,\text{off}}^\mu(s_f, p_{f,\text{off}}, s_i, p_i) = \bar{u}(p_f s_f) \frac{\Delta_{p_f}}{2m_N} \Gamma_{\gamma^* N}^\mu u(p_i s_i) \quad (\text{J.16})$$

$$J_{N,\text{off}}^\mu(s_f, p_{f,\text{off}}, s_i, p_{i,\text{off}}) = \bar{u}(p_f s_f) \frac{\Delta_{p_f}}{2m_N} \Gamma_{\gamma^* N}^\mu \frac{\Delta_{p_i}}{2m_N} u(p_i s_i) \quad (\text{J.17})$$

Hence, it can be written as the succinct expression,

$$\tilde{J}_N^\mu(s_f, p_f; s_i, p_i) = \bar{u}(p_f s_f) \tilde{\Gamma}_{\gamma^* N}^\mu u(p_i s_i) \quad (\text{J.18})$$

with the vertex function given by,

$$\tilde{\Gamma}_{\gamma^* N}^\mu = \left(1 + \frac{\Delta_{p_f}}{2m_N} \right) \Gamma_{\gamma^* N}^\mu \left(1 + \frac{\Delta_{p_i}}{2m_N} \right) \quad (\text{J.19})$$

J.1 Wave Function Normalization from EM Charge

In the reference frame of Fig.(3.4), we have $q^2 = q^+ q^-$, and $q^+ < 0$. Then, the limit of real photon scattering ($Q^2 \rightarrow 0$) is given by, $q^+ \rightarrow 0$. As a consequence, $p_d' \rightarrow p_d$, and $p_f^+ \rightarrow p_i^+$. Thus, the (+) component of the current provides a natural way to compute deuteron's wave function normalization in terms of the (electromagnetic) charge form factor. The (+) component of the EM current operator vertex, Eq.(J.19), is

$$\tilde{\Gamma}_{\gamma^* N}^+ = \left(1 + \frac{\Delta_{p_f}}{2m_N} \right) \left(F_1 \left(\gamma^+ - q^+ \frac{\gamma^+ q^-}{2q^+ q^-} \right) + i\sigma^{+\nu} q_\nu F_2 \frac{\kappa}{2m_N} \right) \left(1 + \frac{\Delta_{p_i}}{2m_N} \right) \quad (\text{J.20})$$

In the real photon limit we have,

$$\begin{aligned} \tilde{\Gamma}_{\gamma^* N}^+ (Q^2, q^+ \rightarrow 0) &\rightarrow \left(1 + \frac{\gamma^+ \Delta_{p_i}^-}{4m_N} \right) \left(F_1 \frac{\gamma^+}{2} + i\sigma^{++} q^- F_2 \frac{\kappa}{4m_N} \right) \left(1 + \frac{\gamma^+ \Delta_{p_i}^-}{4m_N} \right) \\ &= \left(1 + \frac{\gamma^+ \Delta_{p_i}^-}{4m_N} \right) \left(F_1 \frac{\gamma^+}{2} \right) \left(1 + \frac{\gamma^+ \Delta_{p_i}^-}{4m_N} \right) \end{aligned}$$

which reduces to,

$$\tilde{\Gamma}_{\gamma^* N}^+ (Q^2, q^+ \rightarrow 0) \rightarrow F_1(0) \frac{\gamma^+}{2} \quad (\text{J.21})$$

Hence, the matrix elements of the EM current read as,

$$\begin{aligned} \tilde{J}_N^+ (Q^2, q^+ \rightarrow 0) &\rightarrow \bar{u}(p_f s_f) F_1(0) \frac{\gamma^+}{2} u(p_i s_i) = F_1(0) \sqrt{p_f^+ p_i^+} \delta_{s_f s_i} \\ \tilde{J}_N^+ (Q^2, q^+ \rightarrow 0) &\rightarrow F_1(0) p_i^+ \delta_{s_f s_i} \end{aligned} \quad (\text{J.22})$$

The normalization condition acquires the form,

$$\frac{1}{3} \sum_{s_d, s'_d} \left[\langle p'_d s'_d | J^+ | p_d s_d \rangle = (p_d + p'_d)^+ G_C(0) \rightarrow \frac{1}{2} \sum_{s_l, s_f, s_r} \int \frac{d^2 p_{r\perp} d\alpha}{\alpha(2-\alpha)(2-\alpha)} \Psi_{s_l s_f}^{s'_d \dagger} (F_1(0) p_i^+ \delta_{s_f s_i}) \Psi_{s_l s_r}^{s_d} \right] \quad (\text{J.23})$$

where we have averaged over initial states (s_d, s_l) and sum over final (s'_d, s_f)¹. Taking one of the sums over deuteron polarization indices fixes, $s'_d = s_d$ (orthogonal states), and substituting $(p_d + p'_d)^+ \rightarrow 2p_d^+$, it becomes

$$2p_d^+ G_C(0) = \frac{1}{3} \sum_{s_d, s_r, s_1} \int \frac{d^2 p_{r\perp} d\alpha}{\alpha(2-\alpha)(2-\alpha)} \Psi_{d, s_1, s_r}^{s_d \dagger} (F_{1,p}(0) + F_{1,n}(0)) p_1^+ \Psi_{d, s_1, s_r}^{s_d} \quad (\text{J.24})$$

Since the charge FF of neutron vanishes at $Q^2 = 0$, it follows that, $F_{1,p}(0) + F_{1,n}(0) = F_{1,p}(0) = 1 = G_C(0)$. Thus, in terms of LF variables we arrive to the following result,

$$\frac{G_C(0)}{F_{1,p}(0)} = \frac{1}{3 \cdot 2} \sum_{s_d, s_r, s_1} \int \frac{d^2 p_{r\perp} d\alpha}{2\alpha(2-\alpha)} \Psi_{d, s_1, s_r}^{s_d \dagger} \Psi_{d, s_1, s_r}^{s_d} \quad (\text{J.25})$$

where we have used the equality, $2 - \alpha = \frac{2p_1^+}{p_d}$.

If in equation (J.25) we change the LF variables for $\mathbf{k} = (\mathbf{p}_{r\perp}, k_3)$, then the integral acquires a more familiar form, explicitly,

$$\alpha = \frac{2(e_r + k_3)}{(e_r + e_1)}, \quad k_3 = (\alpha - 1) \left(\frac{m^2 + p_{r\perp}^2}{\alpha(2-\alpha)} \right)^{1/2} \quad (\text{J.26})$$

In the center of mass frame, $\mathbf{k} = \mathbf{p}_r = -\mathbf{p}_1$, the integration measure transform to,

$$\frac{d^2 p_{r\perp} d\alpha}{2\alpha(2-\alpha)} = \frac{d^3 k}{E_k} \quad (\text{J.27})$$

¹Note that the sum over the internal index s_r is shown explicitly.

where, $E_k = \sqrt{m^2 + \mathbf{k}^2}$, and we find,

$$\frac{1}{3} \sum_{s_d, s_r, s_1} \int \frac{d^3 k}{2E_k} |\Psi_d^{s_d}(k, s_1, s_r)|^2 = \frac{G_C(0)}{F_{1,p}(0)} = 1 \quad (\text{J.28})$$

J.2 Wave Function Normalization from Baryonic Number

The current operator we have constructed is such that coupled to an EM probe, it is an EM (transition) current. Nevertheless, the baryonic nature of the nucleon allows for a normalization procedure based on baryonic charge conservation which is more simple than the one using EM charge conservation. We start by noting that the main interaction between the bound nucleons is the residual strong force, hence, Eq.(J.18) which describes the transition of deuteron to constituent nucleons can be seen as a hadronic current. Within the approximation in Fock space decomposition of deuteron as two nucleon bound state, the baryonic charge is conserve during the interaction.

Explicitly, using the number density operator ² [24],

$$\rho_d^{s_d}(\alpha, p_\perp) = \sum_{s_r, s_1} \frac{|\Psi_d^{s_d, s_r, s_1}(\alpha, p_\perp)|^2}{(2-\alpha)} \quad (\text{J.29})$$

its integration provides the barionic number for each deuteron polarization, which is equal to 2 in this case, since each polarized state have 2 baryons (in this NN Fock component), explicitly

$$\mathcal{B}_{s_d} = \int \frac{d^2 p_\perp d\alpha}{2\alpha} \rho_d^{s_d}(\alpha, p_\perp) = \sum_{s_r, s_1} \int \frac{d^2 p_\perp d\alpha}{2\alpha} \frac{|\Psi_d^{s_d, s_r, s_1}(\alpha, p_\perp)|^2}{(2-\alpha)} = 2 \quad (\text{J.30})$$

For the unpolarized case we have again 2,

$$\frac{1}{3} \sum_{s_d} \mathcal{B}_{s_d} = 2 \quad (\text{J.31})$$

²This is the zero component of the matrix element of the current.

Which is consistent with (J.25),

$$\frac{G_C(0)}{F_{1,p}(0)} = \frac{1}{3} \sum_{s_d, s_r, s_1} \int \frac{d^2 p_{r\perp} d\alpha}{2\alpha(2-\alpha)} \Psi_{d,s_1,s_r}^{s_d\dagger} \Psi_{d,s_1,s_r}^{s_d} = \frac{1}{3} \sum_{s_d, s_r, s_1} \int \frac{d^2 p_{r\perp} d\alpha}{2\alpha} \frac{|\Psi_d^{s_d}(k, s_1, s_r)|^2}{(2-\alpha)} = 1 \quad (\text{J.32})$$

and also with (J.28), from where we get,

$$\sum_{s_d, s_r, s_1} \int \frac{d^3 k}{2E_k} |\Psi_d^{s_d}(k, s_1, s_r)|^2 = 3 \quad (\text{J.33})$$

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