### Machine Intelligence

#### 2. Background for Search-based Methods

A Reminder of Some Graph-Related Terminology and Basic Algorithms

Álvaro Torralba



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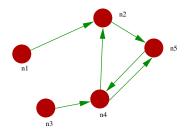
### Important Concepts

- Graph
- Graph traversal algorithms:
  - Depth-first search
  - Breadth-first search
  - Dijkstra search

Recommended reading PM book sections 3.3, 3.4, and 3.5:

https://artint.info/3e/html/ArtInt3e.Ch3.S3.html https://artint.info/3e/html/ArtInt3e.Ch3.S4.html https://artint.info/3e/html/ArtInt3e.Ch3.S5.html

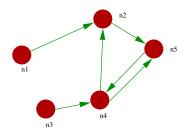
# Graphs



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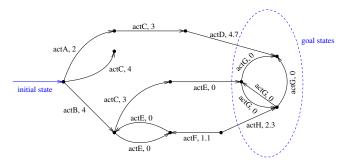
#### Further terminology:

- $n_2$  is a **neighbor/successor** of  $n_4$  (not the other way round!).
- $n_3, n_4, n_2, n_5$  is a **path** from  $n_3$  to  $n_5$ .
- $n_2, n_5, n_4, n_2$  is a path that is a **cycle**.
- a graph is acyclic if it has no cycles.

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# Directed Labeled and Weighted Graphs

- Directed labeled graphs: each edge has a label (string) associated to it
- Directed weighted graphs: each edge has a weight (number) associated to it



# Graph Search Terminology

**Shortest Path on Graph:** Given a graph (V, E), a vertex  $s^I$ , and a set of vertices  $S^G \subseteq V$ , what is the shortest path from  $S^I$  to any vertex in  $S^G$ ?

Some commonly used terms: • s' successor of s if  $s \to s'$ ; s predecessor of s' if  $s \to s'$ .

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  - s' reachable from s if there exists a sequence of transitions:

$$s = s_0 \xrightarrow{a_1} s_1, \dots, s_{n-1} \xrightarrow{a_n} s_n = s'$$

- n=0 possible: then s=s'.
- $a_1, \ldots, a_n$  is called **path** from s to s'.
- $s_0, \ldots, s_n$  is also called **path** from s to s'.
- The cost of that path is  $\sum_{i=1}^{n} c(a_i)$ .

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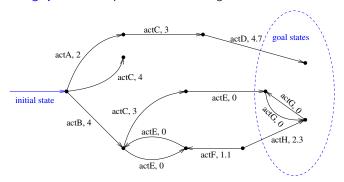
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- The cost of that path is  $\sum_{i=1}^{n} c(a_i)$ .
- s' reachable (without reference state) means reachable from  $s^I$ .
- s is solvable if some  $s' \in S^G$  is reachable from s: else, s is a dead end.

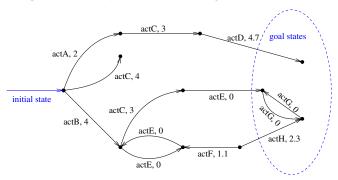
**Directed labeled graphs** + mark-up for initial state and goal states:



• Are all states in  $\Theta$  reachable?

# Examples

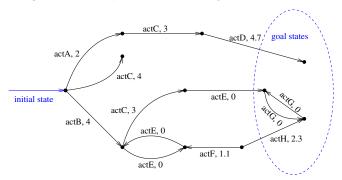
**Directed labeled graphs** + mark-up for initial state and goal states:



- Are all states in ⊖ reachable? No: state at bottom, 2nd from right.
- Are all states in ⊖ solvable?

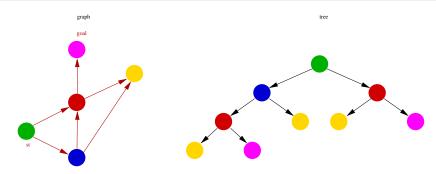
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**Directed labeled graphs** + mark-up for initial state and goal states:



- Are all states in ⊖ reachable? No: state at bottom, 2nd from right.
- Are all states in  $\Theta$  solvable? No: state near top, 2nd from left.

# From Graph to Search Tree



- Tree: special graph with
  - exactly one node that has no incoming arc (the root)
  - all other nodes have exactly one incoming arc (may have 0,1,2,3,...outgoing arcs)
- Nodes in the search tree correspond to paths in the graph beginning in the start state
- Nodes in the search tree also correspond to a graph vertex: the last vertex of the path

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• Search strategy: In which order our algorithm explores the search tree?

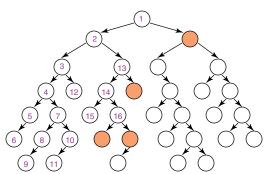
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## Depth-first search

 Select from the frontier that path that was most recently added to the frontier (frontier implemented as stack).

#### Example

- Explored nodes with order of exploration
- Frontier (colored)
- Unexplored nodes



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# Depth-First Search: Guarantees and Complexity

#### **Properties**

- Space used is linear in the length of the current path.
- May not terminate if state-space graph has cycles
- With a forward branching factor bounded by b and depth n, the worst-case time complexity of a finite tree is  $b^n$ .

#### **Guarantees:**

- Optimality: No. After all, the algorithm just "chooses some direction and hopes for the best". (Depth-first search is a way of "hoping to get lucky".)
- Completeness: No, because search branches may be infinitely long: No check for cycles along a branch!
  - ightarrow Depth-first search is complete in case the state space is acyclic. If we do add a cycle check, it becomes complete.

#### Complexity:

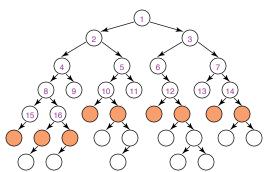
- Space: Stores nodes and applicable actions on the path to the current node. So if m is the maximal depth reached, the complexity is O(b m).
- ullet Time: If there are paths of length m in the state space,  $O(b^m)$  nodes can be generated. Even if there are solutions of depth 1!
  - ightarrow If we happen to choose "the right direction" then we can find a length-l solution in time  $O(b\,l)$  regardless how big the state space is.

### Breadth-first search

• Select from the frontier that path that was earliest added to the frontier (frontier implemented as **queue**).

#### Example

- Explored nodes with order of exploration
- Frontier (colored)
- Unexplored nodes



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#### **Properties**

- Completeness: Yes, will always find a solution if one exists
- Optimality: Yes, for unit action costs (graphs where all edges have the same weight). Breadth-first search always finds the shortest path in terms of number of edges. If edges have weight, this is not necessarily optimal.
- Size of frontier always increases during search up to order of magnitude of total size of search tree.

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- Size of frontier always increases during search up to order of magnitude of total size of search tree.
- Can be adapted to find a minimum cost path.

# Breadth-First Search: Complexity

**Time Complexity:** Say that b is the maximal branching factor, and d is the goal depth (depth of shallowest goal state).

- Upper bound on the number of generated nodes:  $b + b^2 + b^3 + \cdots + b^d$ : In the worst case, the algorithm generates all nodes in the first d layers.
- So the time complexity is  $O(b^d)$ .
- And if we were to apply the goal test at node-expansion time, rather than node-generation time:  $O(b^{d+1})$  because then we'd generate the first d+1 layers in the worst case.

**Space Complexity:** Same as time complexity since all generated nodes are kept in memory.

## Breadth-First Search: Example Data

**Setting:** b = 10; 10000 nodes/second; 1000 bytes/node.

Yields data: (inserting values into previous equations)

Depth	Nodes	Time		Memory	
2	110	.11	milliseconds	107	kilobytes
4	11110	11	milliseconds	10.6	megabytes
6	$10^{6}$	1.1	seconds	1	gigabyte
8	10 <sup>8</sup>	2	minutes	103	gigabytes
10	10 <sup>10</sup>	3	hours	10	terabytes
12	10 <sup>12</sup>	13	days	1	petabyte
14	$10^{14}$	3.5	years	99	petabytes

 $\rightarrow$  The critical resource here is memory. (In my own experience, breadth-first search typically exhausts RAM within a few minutes.)

# Dijkstra's Algorithm

During the lecture, I will mention Dijkstra's algorithm, which should have been part of a previous course.

Please, check out the Algorithms book or the following references to refresh the basics on how the algorithm works:

- https://en.wikipedia.org/wiki/Dijkstra%27s\_algorithm
- https://algorithms.discrete.ma.tum.de/graph-algorithms/ spp-dijkstra/index\_en.html