Engineering Design and Manufacturing/Production

- Traditional engineering design
 - functional needs
 - technological availability
 - prototype testing
- Little consideration given to manufacturing processes



Figure 1.4 Traditional (Over-the-Wall) Approach to Design and Manufacturing

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Product Design among Quality Activities

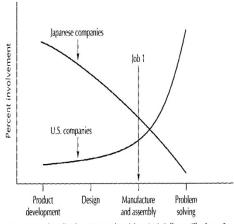


Figure 1.1 Quality Effort by Activity (Adapted from L. P. Sullivan, "The Seven Stages of Company-Wide Quality Control," Quality Progress, May 1986.)

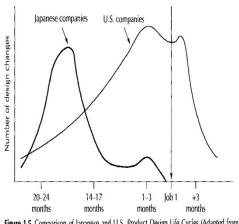
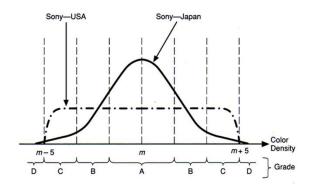


Figure 1.5 Comparison of Japanese and U.S. Product Design Life Cycles (Adapted from L. P. Sullivan, "Quality Function Deployment," Quality Progress, June 1986.)

Different Philosophies of Quality Control

• Example: Sony-USA vs. Sony-Japan

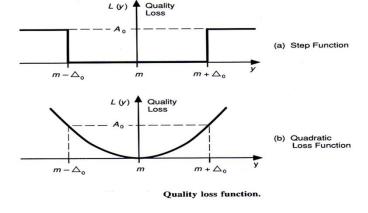


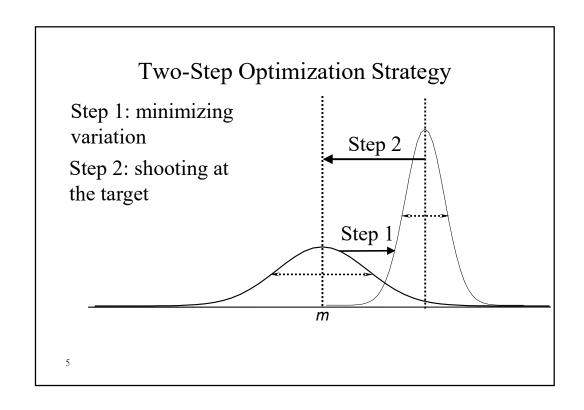
Distribution of color density in television sets. (Source: The Asahi, April 17,

1979).

Quadratic Quality Loss

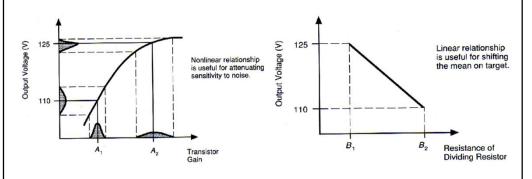
• Notion of quadratic quality loss



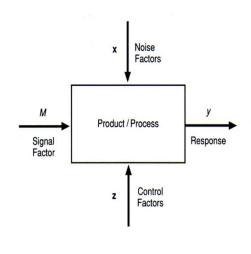


Exploiting Nonlinearity

- Step 1: use the nonlinearity to minimize the effect on variations
- Step 2: use the linearity to adjust the process mean to the target



Classifying Affecting Factors



Control factor (Z)

 parameters that affect the variability of the performance response (Y)

Signal (scaling) factor (M)

 parameters that affect only the mean level of response Y

Noise factor (X)

parameters that can't be controlled during production

Ideas of Robust Design

- Minimize variations at the design stage
 - admitting the existence of variation: noise factor
 - minimizing the effect of the causes of variation without eliminating the causes
- How? 2-step optimization: exploiting nonlinearity/linearity
 - nonlinearity: control factor
 - linearity: signal factor
- Statistics instead of Physics

Tools of Robust Design

- Measuring performance response and its variation
 - defining an effective performance measure
 - listing possible affecting factors
- Understanding the process and its factors
 - Black box approach: design and analysis of experiments to classify the factors and construct an input-output model
- Two-step optimization
 - Step 1: minimizing variation
 - Step 2: shooting at the target

Defining Performance Measure

- To minimize variations
 - why do we work in terms of the SN ratio rather than the standard deviation (SD)? Frequently, as the mean decreases the SD decreases and vice versa. In such cases, if we work in terms of the SD, the optimization cannot be done in two steps; i.e., we cannot minimize the SD first and then bring the mean on target. (Phadke, 1982)
- Example: traffic

Performance Measure: Signal-to-Noise (SN) Ratio

• Nominal-the-best: as close as possible to a nominal target

$$SN_T = 10\log_{10}(\frac{\overline{X}^2}{s^2})$$

 $SN_T = 10\log_{10}(\frac{\overline{X}^2}{s^2})$ X: quality observations X-bar: sample mean (signal) s: sample standard deviation (noise)

- 1. Assuming that the mean is proportional to the standard deviation
- 2. Stabilizing the measure for a linear model

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Classification of Factors

- Goal: maximize SN Ratio (η).
- Factors that have a significant effect on η
 - control factors to minimize the variability, i.e., to maximize η
- Factors that have a significant effect on μ but practically no effect on η
 - signal (scaling) factor to adjust mean
- Factors that have no effect on μ and η
 - choose levels that are cost effective, easy to use

Other Types of SN Ratios

• Smaller-the-better: as small as possible

$$SN_S = 10\log_{10}\frac{1}{\frac{1}{n}\sum_{i=1}^n (X_i - 0)^2} = -10\log_{10}\left[\frac{1}{n}\sum_{i=1}^n X_i^2\right]$$

• Larger-the-best: as large as possible

$$SN_L = -10\log_{10}\left[\frac{1}{n}\sum_{i=1}^{n}\left(\frac{1}{X_i}\right)^2\right]$$

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Problems of Experimental Design

- Problem: suppose you have 5 factors to be investigated and each factor has 3 change levels. How many experiment runs are required? 3⁵=243 runs → not efficient
- Ideas of Orthogonal Arrays (OAs): Capture main effects and important interactions.
- Example: $L_9(3^4)$

Orthogonal Arrays (OA) - Experimental Design

- The values of several parameters are changed simultaneously and their effects are estimated efficiently based on statistical theory.
- It is different from and more efficient than the traditional one-factor-at-a-time approach.
- Orthogonality is interpreted in the combinatorial sense. For any pair of columns, all combinations of factor levels occur equal times.

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Design of Experiment

Factor

| | | Levels* | |
|------------------------|--------------------|-------------------|---------------------|
| Factor | 1 | 2 | 3 |
| A. Temperature (°C) | T ₀ -25 | <u>T</u> 0 | T ₀ +25 |
| B. Pressure (mtorr) | $P_0 - 200$ | P_0 | P ₀ +200 |
| C. Settling time (min) | <u>t</u> 0 | t ₀ +8 | t ₀ +16 |
| D. Cleaning method | None | CM ₂ | CM ₃ |

^{*} The starting level for each factor is identified by an underscore.

Matrix

| | | Column Number and Factor Assigned | | | | | | | |
|-----------|-------------------------|--------------------------------------|---------------------------|-----------------------------|-----------------------|--|--|--|--|
| Expt. No. | 1 Temperature (A) | Pressure (B) | 3 Settling Time (C) | 4 Cleaning Method (D) | Observation* η (dB) | | | | |
| 1 | 1 | 1 | 1 | 1 | $\eta_1 = -20$ | | | | |
| 2 | 1 | 2 | 2 | 2 | $\eta_2 = -10$ | | | | |
| 3 | 1 | 3 | 3 | 3 | $\eta_3 = -30$ | | | | |
| 4 | 2 | 1 | 2 | 3 | $\eta_4 = -25$ | | | | |
| 5 | 2 | 2 | . 3 | 1 | $\eta_5 = -45$ | | | | |
| 6 | 2 | 3 | 1 | 2 | $\eta_6 = -65$ | | | | |
| 7 | 3 | 1 | 3 | . 2 | $\eta_7 = -45$ | | | | |
| 8 | 3 | 2 | 1 | 3 | $\eta_8 = -65$ | | | | |
| 9 | 3 | 3 | 2 | 1 | $\eta_9 = -70$ | | | | |

^{*} η = $-\,10\,\log_{10}$ (mean square surface defect count).

Analysis of Experimental Results

- Effect Plots:
 - Intuition to interpret experiment results through graphical representations.
- Analysis of Variance (ANOVA):
 - Statistical tool to distinguish effects of factors.

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Effects Estimation

| Expt. | 1 Temperature (A) | Pressure (B) | 3 Settling Time (C) | 4 Cleaning Method (D) | Observation* (dB) |
|-------|-------------------------|--------------|---------------------------|-----------------------------|--------------------|
| 1 | 1 | 1 | 1 | 1 | $\eta_1 = -20$ |
| 2 | 1 | 2 | 2 | 2 | $\eta_2 = -10$ |
| 3 | ı | 3 | 3 | 3 | $\eta_3 = -30$ |
| 4 | 2 | 1 | 2 | 3 | $\eta_4 = -25$ |
| 5 | 2 | 2 | . 3 | 1 | $\eta_5 = -45$ |
| 6 | 2 | 3 | 1 | 2 | $\eta_6 = -65$ |
| 7 | 3 | 1 | 3 | - 2 | $\eta_7 = -45$ |
| 8 | 3 | 2 | 1 | 3 | $\eta_8 = -65$ |
| 9 | 3 | 3 | 2 | 1 | $\eta_9 = -70$ |

$$\overline{A}_2 = \frac{1}{3}(\eta_4 + \eta_5 + \eta_6) = -45$$

$$\overline{A}_3 = \frac{1}{3}(\eta_7 + \eta_8 + \eta_9) = -60$$

 $\overline{A}_1 = \frac{1}{3}(\eta_1 + \eta_2 + \eta_3) = -20$

^{*} $\eta = -10 \log_{10}$ (mean square surface defect count).

Effects Estimation

| Expt. | 1 Temperature (A) | Pressure (B) | 3 Settling Time (C) | 4 Cleaning Method (D) | Observation* η (dB) |
|-------|-------------------------|--------------|---------------------------|-----------------------------|-----------------------|
| 1 | 1 | 1 | 1 | 1 | $\eta_1 = -20$ |
| 2 | 1 | 2 | 2 | 2 | $\eta_2 = -10$ |
| 3 | 1 | 3 | 3 | 3 | $\eta_3 = -30$ |
| 4 | 2 | 1 | 2 | 3 | $\eta_{4} = -25$ |
| 5 | 2 | 2 | . 3 | 1 | $\eta_5 = -45$ |
| 6 | 2 | 3 | 1 | 2 | $\eta_6 = -65$ |
| 7 | 3 | 1 | 3 | . 2 | $\eta_7 = -45$ |
| 8 | 3 | 2 | 1 | 3 | $\eta_8 = -65$ |
| 9 | 3 | 3 | 2 | 1 | $\eta_9 = -70$ |

$$\overline{B}_1 = \frac{1}{3}(\eta_1 + \eta_4 + \eta_7) = -30$$

$$\overline{B}_2 = \frac{1}{3}(\eta_2 + \eta_5 + \eta_8) = -40$$

$$\overline{B}_3 = \frac{1}{3}(\eta_3 + \eta_6 + \eta_9) = -55$$

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Effects Estimation

| Expt. | 1 Temperature (A) | Pressure (B) | 3 Settling Time (C) | 4 Cleaning Method (D) | Observation* η (dB) |
|-------|-------------------------|--------------|---------------------------|-----------------------------|-----------------------|
| 1 | 1 | 1 | 1 | 1 | $\eta_1 = -20$ |
| 2 | 1 | 2 | 2 | 2 | $\eta_2 = -10$ |
| 3 | 1 | 3 | 3 | 3 | $\eta_3 = -30$ |
| 4 | 2 | 1 | 2 | 3 | $\eta_4 = -25$ |
| 5 | 2 | 2 | . 3 | 1 | $\eta_5 = -45$ |
| 6 | 2 | 3 | ı | 2 | $\eta_6 = -65$ |
| 7 | 3 | 1 | 3 | . 2 | $\eta_7 = -45$ |
| 8 | 3 | 2 | 1 | 3 | $\eta_8 = -65$ |
| 9 | 3 | 3 | 2 | 1 | $\eta_0 = -70$ |

$$\overline{C}_1 = \frac{1}{3}(\eta_1 + \eta_6 + \eta_8) = -50$$

$$\overline{C}_2 = \frac{1}{3}(\eta_2 + \eta_4 + \eta_9) = -35$$

$$\overline{C}_3 = \frac{1}{3}(\eta_3 + \eta_5 + \eta_7) = -40$$

^{*} $\eta = -10 \log_{10}$ (mean square surface defect count).

^{*} $\eta = -10 \log_{10}$ (mean square surface defect count).

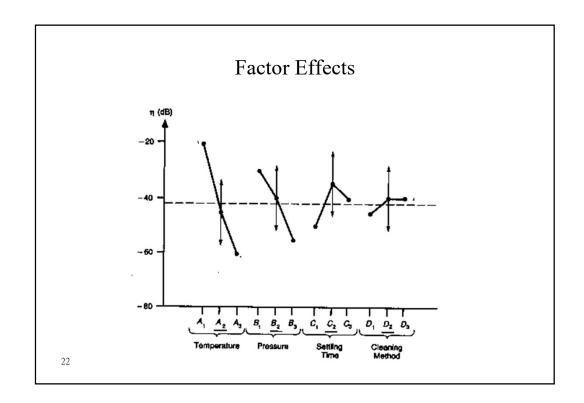
Effects Estimation

| Expt. | 1 Temperature (A) | Pressure (B) | 3 Settling Time (C) | 4 Cleaning Method (D) | Observation* η (dB) |
|-------|-------------------------|--------------|---------------------------|-----------------------------|---------------------------|
| 1 | 1 | 1 | 1 | 1 | $\eta_1 = -20$ |
| 2 | 1 | 2 | 2 | 2 | $\eta_2 = -10$ |
| 3 | 1 | 3 | 3 | 3 | $\eta_3 = -30$ |
| 4 | 2 | 1 | 2 | 3 | $\eta_4 = -25$ |
| 5 | 2 | 2 | . 3 | 1 | $\eta_5 = -45$ |
| 6 | 2 | 3 | 1 | 2 | $\eta_6 = -65$ |
| 7 | 3 | 1 | 3 | 2 | $\eta_7 = -45$ |
| 8 | 3 | 2 | 1 | 3 | $\eta_8 = -65$ |
| 9 | 3 | 3 | 2 | 1 | $\eta_9 = -70$ |

$$\bar{D}_1 = \frac{1}{3}(\eta_1 + \eta_5 + \eta_9) = -45$$

$$\bar{D}_2 = \frac{1}{3}(\eta_2 + \eta_6 + \eta_7) = -40$$

$$\bar{D}_3 = \frac{1}{3}(\eta_3 + \eta_4 + \eta_8) = -40$$



^{*} $\eta = -10 \log_{10}$ (mean square surface defect count).

ANOVA (to be explained in later classes)

Total sum of squares = sum of the sum of squares due to various factors + sum of squares due to error

| Factor/Source | Degrees of Freedom | Sum of Squares | Mean Square | F |
|--------------------|-----------------------|-------------------|----------------|-------|
| A. Temperature | 2 | 2450 | 1225 | 12.25 |
| B. Pressure | 2 | 950 | 475 | 4.75 |
| C. Settling time | 2 | 350* | 175 | |
| D. Cleaning method | 2 | 50* | 25 | |
| Error | 0 | 0 | - | |
| Total | 8 | 3800 | | |
| (Error) | (4) | (400) | (100) | |

^{*} Indicates sum of squares added together to estimate the pooled error sum of squares indicated by parentheses. F ratio is calculated by using the pooled error mean square.

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Steps in Robust Design

•Planning the experiment

- 1) Identify the main function, side effects, and failure modes.
- 2) Identify noise factors and the testing conditions for evaluating the quality
- 3) Identify the quality characteristic to be observed and the objective function to be optimized.
- 4) Identify the controllable factors and their alternate levels.
- 5) Design the matrix experiment (OAs) and define the data analysis procedure.

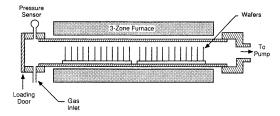
•Performing the experiment

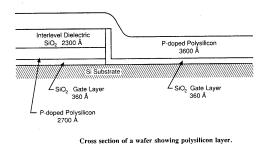
6) Conduct the matrix experiment.

·Analyzing and verifying the experiment results

- 7) Analyze the data, determine optimum levels for the control factors, and predict performance under these levels.
- Conduct the verification (also called confirmation) experiment and plan future actions.

LPCVD Experiment





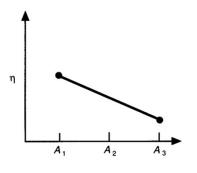
Quality **Characteristics:**

- 1. Surface defect
- 2. Thickness
- 3. Deposition rate

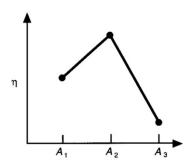
LPCVD Experiment - Goals

- Reduce defect
 maximize $\eta = -10 \log_{10} \left[\frac{1}{n} \sum_{i=1}^{n} y_i^2 \right]$
- Minimize wafer-to-wafer thickness non-uniformity and adjust mean thickness to target value
 - maximize $\eta' = 10 \log_{10} (\mu^2 / \sigma^2)$
 - use "deposition time" as the scaling factor
- Maximize deposition rate $\text{ maximize } \eta'' = -10 \log_{10} \left[\frac{1}{n} \sum_{i=1}^{n} \frac{1}{y_i^2} \right] = 10 \log_{10} y_i^2 \quad (n=1)$





(a) With two points we can only fit a straight line.



(b) With three points we can identify curvature effects and, hence, peaks.

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Identifying Factors

| | Levels* | | | | | |
|--------------------------------|-------------|-------------------|-------------------|--|--|--|
| Factor | 1 | 2 | 3 | | | |
| A. Deposition temperature (°C) | $T_0 - 25$ | <u>T_0</u> | $T_0 + 25$ | | | |
| B. Deposition pressure (mtorr) | $P_0 - 200$ | $\underline{P_0}$ | $P_0 + 200$ | | | |
| C. Nitrogen flow (sccm) | N_0 | $N_0 - 150$ | $N_0 - 75$ | | | |
| D. Silane flow (sccm) | $S_0 - 100$ | $S_0 - 50$ | $\underline{S_0}$ | | | |
| E. Settling time (min) | <u>t</u> 0 | $t_0 + 8$ | $t_0 + 16$ | | | |
| F. Cleaning method | None | CM ₂ | CM 3 | | | |

^{*} Starting levels are identified by underscore.

| T . | ^ .1 1 | A | 1 | | · · · · · · · · · · · · · · · · · · · |
|-------------|----------------------------|---|-------|---------|---------------------------------------|
| | Noth a canal | A 4440 T 7 | 040 | Loaton | A gai anna ant |
| | инпоотыя | $\mathbf{A} \mathbf{H} \mathbf{A} \mathbf{V}$ | 211(1 | FACIOI | ACCIONNEN |
| 10 ` | JI HIO ZOHAL | 1 111 u y | ana | 1 actor | |
| 10 | $\boldsymbol{\mathcal{L}}$ | | | | Assignment |

| | Column Numbers and Factor Assignment* | | | | | | | |
|--------------|---------------------------------------|--------|----------|--------|--------|--------|--------|--------|
| Expt. No. | 1 e | 2 A | 3 ~ B | 4 C | 5 D | 6 E | 7 e | 8 F |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 2 | 1 | 1 | 2 | 2 | 2 | 2 | 2 | 2 |
| 3 | 1 | 1 | 3 | 3 | 3 | 3 | 3 | 3 |
| 4 | 1 | 2 | 1 | 1 | 2 | 2 | 3 | 3 |
| 5 | 1 | 2 | 2 | 2 | 3 | 3 | 1 | 1 |
| 6 | 1 | 2 | 3 | 3 | 1 | 1 | 2 | 2 |
| 7 | 1 | . 3 | 1 | 2 | 1 | 3 | 2 | 3 |
| 8 | 1 | 3 | 2 | 3 | 2 | 1 | 3 | 1 |
| 9 | 1 | 3 | 3 | 1 | 3 | 2 | 1 | 2 |
| 10 | 2 | 1 | 1 | 3 | 3 | 2 | 2 | 1 |
| 11 | 2 | 1 | 2 | 1 | 1 | 3 | 3 | 2 |
| 12 | 2 | 1 | 3 | 2 | 2 | 1 | 1 | 3 |
| 13 | 2 | 2 | 1 | 2 | 3 | 1 | 3 | 2 |
| 14 | 2 | 2 | 2 | 3 | 1 | 2 | 1 | 3 |
| 15 | 2 | 2 | 3 | 1 | 2 | 3 | 2 | 1 |
| 16 | 2 | 3 | 1 | 3 | 2 | 3 | 1 | 2 |
| 17 | 2 | 3 | 2 | 1 | 3 | 1 | 2 | 3 |
| 18 | 2 | 3 | 3 | 2 | 1 | 2 | 3 | 1 |

* Empty columns are identif

Experimenter's Log

| Expt. No. | Temperature | Pressure | Nitrogen | Silane | Settling Time | Cleaning Method |
|--------------|--------------------|---------------------|-----------------------|----------------------|---------------------|--------------------|
| 1 | T ₀ -25 | P ₀ -200 | No | S ₀ - 100 | t ₀ | None |
| 2 | $T_0 - 25$ | P_0 | $N_0 - 150$ | $S_0 - 50$ | t ₀ +8 | CM ₂ |
| 3 . | T ₀ -25 | $P_0 + 200$ | $N_0 - 75$ | So | t ₀ +16 | CM ₃ |
| 4 | T ₀ | $P_0 - 200$ | N_0 | $S_0 - 50$ | t ₀ +8 | CM ₃ |
| 5 | To | P 0 | N ₀ - 150 | S 0 | t ₀ +16 | None |
| 6 | T ₀ | $P_0 + 200$ | $N_0 - 75$ | S ₀ -100 | t ₀ | CM ₂ |
| 7 | T ₀ +25 | $P_0 - 200$ | N ₀ -150 | S ₀ - 100 | t ₀ + 16 | CM ₃ |
| 8 | T ₀ +25 | P_0 | $N_0 - 75$ | S ₀ -50 | t ₀ | None |
| 9 | T ₀ +25 | $P_0 + 200$ | N ₀ | So | t ₀ +8 | CM ₂ |
| 10 | $T_0 - 25$ | $P_0 - 200$ | $N_0 - 75$ | So | t ₀ +8 | None |
| 11 | $T_0 - 25$ | P_0 | N_0 | S ₀ - 100 | t ₀ +16 | CM ₂ |
| 12 | T ₀ -25 | $P_0 + 200$ | $N_0 - 150$ | S ₀ -50 | t ₀ | CM ₃ |
| 13 | T ₀ | P ₀ -200 | N ₀ -150 | So | t ₀ | CM ₂ |
| 14 | T_0 | Po | $N_0 - 75$ | $S_0 - 100$ | t ₀ +8 | CM ₃ |
| 15 | T ₀ | P ₀ +200 | N ₀ | $S_0 - 50$ | t ₀ +16 | None |
| 16 | T ₀ +25 | $P_0 - 200$ | N ₀ -75 | $S_0 - 50$ | t ₀ +16 | CM ₂ |
| 17 | T ₀ +25 | P_0 | No | So | t ₀ | CM ₃ |
| 18 | $T_0 + 25$ | P ₀ +200 | N ₀ - 1'50 | $S_0 - 100$ | t ₀ +8 | None |

Surface Defect Data (Defects / Unit Area)

| | 1 | est Wafe | er 1 | 7 | est Waf | er 2 | Test Wafer 3 | | |
|--------------|------|----------|--------|------|---------|--------|--------------|--------|--------|
| Expt. No. | Тор | Center | Bottom | Тор | Center | Bottom | Тор | Center | Bottom |
| 1 | 1 | 0 | 1 | 2 | 0 | 0 | 1 | 1 | 0 |
| 2 | 1 | 2 | 8 | 180 | 5 | 0 | 126 | 3 | 1 |
| 3 | 3 | 35 | 106 | 360 | 38 | 135 | 315 | 50 | 180 |
| 4 | 6 | 15 | 6 | 17 | 20 | 16 | 15 | 40 | 18 |
| 5 | 1720 | 1980 | 2000 | 487 | 810 | 400 | 2020 | 360 | 13 |
| 6 | 135 | 360 | 1620 | 2430 | 207 | 2 | 2500 | 270 | 35 |
| 7 | 360 | 810 | 1215 | 1620 | 117 | 30 | 1800 | 720 | 315 |
| 8 | 270 | 2730 | 5000 | 360 | 1 | 2 | 9999 | 225 | 1 |
| 9 | 5000 | 1000 | 1000 | 3000 | 1000 | 1000 | 3000 | 2800 | 2000 |
| 10 | 3 | 0 | 0 | 3 | 0 | 0 | 1 | 0 | 1 |
| 11 | 1 | 0 | 1 | 5 | 0 | 0 | 1 | 0 | 1 |
| 12 | 3 | 1620 | 90 | 216 | 5 | 4 | 270 | 8 | 3 |
| 13 | 1 | 25 | 270 | 810 | 16 | 1 | 225 | 3 | 0 |
| 14 | 3 | 21 | 162 | 90 | 6 | 1 | 63 | 15 | 39 |
| 15 | 450 | 1200 | 1800 | 2530 | 2080 | 2080 | 1890 | 180 | 25 |
| 16 | 5 | 6 | 40 | 54 | 0 | 8 | 14 | 1 | 1 |
| 17 | 1200 | 3500 | 3500 | 1000 | 3 | 1 | 9999 | 600 | 8 |
| 18 | 8000 | 2500 | 3500 | 5000 | 1000 | 1000 | 5000 | 2000 | 2000 |

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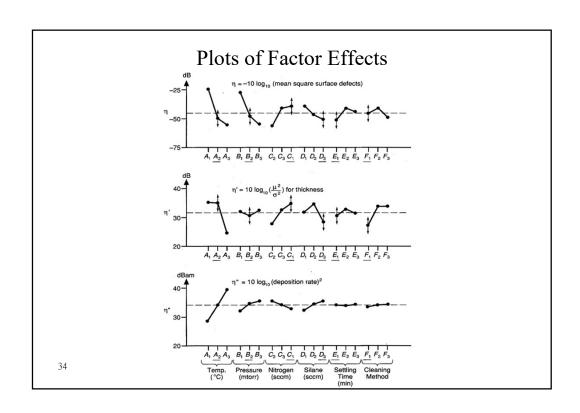
Thickness and Deposition Rate Data

| | | | | T | hickness | (Å) | | | | |
|--------------|------|--------------|--------|------|--------------|--------|------|---------|--------|-------------------------------|
| | 1 | Test Wafer 1 | | | Test Wafer 2 | | | est Waf | | |
| Expt. No. | Тор | Center | Bottom | Тор | Center | Bottom | Тор | Center | Bottom | Deposition Rate (Å/min) |
| 1 | 2029 | 1975 | 1961 | 1975 | 1934 | 1907 | 1952 | 1941 | 1949 | 14.5 |
| 2 | 5375 | 5191 | 5242 | 5201 | 5254 | 5309 | 5323 | 5307 | 5091 | 36.6 |
| 3 | 5989 | 5894 | 5874 | 6152 | 5910 | 5886 | 6077 | 5943 | 5962 | 41.4 |
| 4 | 2118 | 2109 | 2099 | 2140 | 2125 | 2108 | 2149 | 2130 | 2111 | 36.1 |
| . 5 | 4102 | 4152 | 4174 | 4556 | 4504 | 4560 | 5031 | 5040 | 5032 | 73.0 |
| 6 | 3022 | 2932 | 2913 | 2833 | 2837 | 2828 | 2934 | 2875 | 2841 | 49.5 |
| 7 | 3030 | 3042 | 3028 | 3486 | 3333 | 3389 | 3709 | 3671 | 3687 | 76.6 |
| 8 | 4707 | 4472 | 4336 | 4407 | 4156 | 4094 | 5073 | 4898 | 4599 | 105.4 |
| 9 | 3859 | 3822 | 3850 | 3871 | 3922 | 3904 | 4110 | 4067 | 4110 | 115.0 |
| 10 | 3227 | 3205 | 3242 | 3468 | 3450 | 3420 | 3599 | 3591 | 3535 | 24.8 |
| 11 | 2521 | 2499 | 2499 | 2576 | 2537 | 2512 | 2551 | 2552 | 2570 | 20.0 |
| 12 | 5921 | 5766 | 5844 | 5780 | 5695 | 5814 | 5691 | 5777 | 5743 | 39.0 |
| 13 | 2792 | 2752 | 2716 | 2684 | 2635 | 2606 | 2765 | 2786 | 2773 | 53.1 |
| 14 | 2863 | 2835 | 2859 | 2829 | 2864 | 2839 | 2891 | 2844 | 2841 | 45.7 |
| 15 | 3218 | 3149 | 3124 | 3261 | 3205 | 3223 | 3241 | 3189 | 3197 | 54.8 |
| 16 | 3020 | 3008 | 3016 | 3072 | 3151 | 3139 | 3235 | 3162 | 3140 | 76.8 |
| 17 | 4277 | 4150 | 3992 | 3888 | 3681 | 3572 | 4593 | 4298 | 4219 | 105.3 |
| 18 | 3125 | 3119 | 3127 | 3567 | 3563 | 3520 | 4120 | 4088 | 4138 | 91.4 |

| Data Summary | by | $\mathbf{E}\mathbf{x}$ | perime | ent |
|--------------|----|------------------------|--------|-----|
|--------------|----|------------------------|--------|-----|

| | Ex | Experiment Condition | | | Surface Defects | | kness | Deposition Rate | | | | |
|--------------|----|----------------------|---|------|--------------------|---|-------|--------------------|-----------|----------|------------|--------------|
| Expt. No. | | | N | /lat | rix D | * | | | η (dB) | μ (Å) | η' (dB) | η" (dBam) |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0.51 | 1958 | 35.22 | 23.23 |
| 2 | 1 | 1 | 2 | 2 | 2 | 2 | 2 | 2 | -37.30 | 5255 | 35.76 | 31.27 |
| 3 | 1 | 1 | 3 | 3 | 3 | 3 | 3 | 3 | -45.17 | 5965 | 36.02 | 32.34 |
| 4 | 1 | 2 | 1 | 1 | 2 | 2 | 3 | 3 | -25.76 | 2121 | 42.25 | 31.15 |
| 5 | 1 | 2 | 2 | 2 | 3 | 3 | 1 | 1 | -62.54 | 4572 | 21.43 | 37.27 |
| 6 | 1 | 2 | 3 | 3 | 1 | 1 | 2 | 2 | -62.23 | 2891 | 32.91 | 33.89 |
| 7 | 1 | 3 | 1 | 2 | 1 | 3 | 2 | 3 | -59.88 | 3375 | 21.39 | 37.68 |
| 8 | 1 | 3 | 2 | 3 | 2 | 1 | 3 | 1 | -71.69 | 4527 | 22.84 | 40.46 |
| 9 | 1 | 3 | 3 | 1 | 3 | 2 | 1 | 2 | -68.15 | 3946 | 30.60 | 41.21 |
| 10 | 2 | 1 | 1 | 3 | 3 | 2 | 2 | 1 | -3.47 | 3415 | 26.85 | 27.89 |
| 11 | 2 | 1 | 2 | 1 | 1 | 3 | 3 | 2 | -5.08 | 2535 | 38.80 | 26.02 |
| 12 | 2 | 1 | 3 | 2 | 2 | 1 | 1 | 3 | -54.85 | 5781 | 38.06 | 31.82 |
| 13 | 2 | 2 | 1 | 2 | 3 | 1 | 3 | 2 | -49.38 | 2723 | 32.07 | 34.50 |
| 14 | 2 | 2 | 2 | 3 | 1 | 2 | 1 | 3 | -36.54 | 2852 | 43.34 | 33.20 |
| 15 | 2 | 2 | 3 | 1 | 2 | 3 | 2 | 1 | -64.18 | 3201 | 37.44 | 34.76 |
| 16 | 2 | 3 | 1 | 3 | 2 | 3 | 1 | 2 | -27.31 | 3105 | 31.86 | 37.71 |
| 17 | 2 | 3 | 2 | 1 | 3 | 1 | 2 | 3 | -71.51 | 4074 | 22.01 | 40.45 |
| 18 | 2 | 3 | 3 | 2 | 1 | 2 | 3 | 1 | -72.00 | 3596 | 18.42 | 39.22 |

* Empty column is denoted by e.



Summary of Factor Effects

| | | Surface 1 | Defects | Thick | ness | Deposition Rate | | |
|------------------------|--|----------------------------|---------|-------------------------|------|-------------------------|-----|--|
| Factor | Level | η (dB) | F | η' (dB) | F | η" (dBam) | F | |
| A. Temperature (°C) | $A_1: T_0 - 25$ $A_2: T_0$ $A_3: T_0 + 25$ | -24.23 -50.10 -61.76 | 27 | 35.12 34.91 24.52 | 16 | 28.76 34.13 39.46 | 553 | |
| B. Pressure (mtorr) | $B_1: P_0 - 200$ $B_2: P_0$ $B_3: P_0 + 200$ | -27.55 -47.44 -61.10 | 21 | 31.61 30.70 32.24 | - | 32.03 34.78 35.54 | 66 | |
| C. Nitrogen (sccm) | $\frac{C_1: N_0}{C_2: N_0 - 150}$ $C_3: N_0 - 75$ | -39.03 -55.99 -41.07 | 6.4 | 34.39 27.86 32.30 | 5.0 | 32.81 35.29 34.25 | 30 | |
| D. Silane (sccm) | $D_1: S_0 - 100 D_2: S_0 - 50 D_3: S_0$ | -39.20 -46.85 -50.04 | 2.3 | 31.68 34.70 28.17 | 4.8 | 32.21 34.53 35.61 | 58 | |
| E. Settling time (min) | $ \frac{E_1: t_0}{E_2: t_0 + 8} \\ E_3: t_0 + 16 $ | -51.52 -40.54 -44.03 | 2.3 | 30.52 32.87 31.16 | - | 34.06 33.99 34.30 | - | |
| F. Cleaning method | $\frac{F_1: None}{F_2: CM_2}$ $F_3: CM_3$ | -45.56 -41.58 -48.95 | - | 27.04 33.67 33.85 | 6.8 | 33.81 34.10 34.44 | - | |
| Overall mean | | -45.36 | | 31.52 | | 34.12 | | |

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Determination of Optimal Levels

- Temperature A₁ can significantly reduce the number of surface defects but also reduce the deposition rate. Since reducing surface defects is our major goal we choose A₁.
- E₂ and F₂ are the optimal levels for settling time and cleaning method to improve the surface defects and thickness uniformity.
- In order to keep a high deposition rate, keep the pressure (B), Nitrogen flow rate (C), and Silane flow rate (D) unchanged

Predictive Additive Model

$$\hat{\eta} = Overallmean + \sum_{factor} (\overline{factor}_{level} - Overallmean)$$

Example: optimal levels for surface defects

$$\hat{\boldsymbol{\eta}}(\text{Surface Defects}) = \boldsymbol{Overallmean} + (\overline{A}_2 - \boldsymbol{Overallmean}) + (\overline{B}_2 - \boldsymbol{Oeverallmean})$$

$$+(\overline{C}_{1}-Oeverallmean)+(\overline{D}_{3}-Oeverallmean)+(\overline{E}_{2}-Oeverallmean)$$

$$+(\overline{F}_2 - Oeverallmean)$$

$$= -45.36 + [-24.23 - (-45.36)] + [-47.44 - (-45.36)] + [-39.03 - (-45.36)] +$$

$$[-50.04 - (-45.36)] + [-40.54 - (-45.36)] + [-41.58 - (-45.36)]$$

$$=-45.36+21.13-2.08+6.33-4.68+4.82+3.78=-16.06$$

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Prediction Using The Additive Model

| | | Startii | ng Condition | | Optimum Condition | | | | | | |
|-----------------|---------|--------------------|--------------|--------------------|-------------------|--------------------|-----------|--------------------|--|--|--|
| | | С | ontribution† | (dB) | | Contribution† (dB) | | | | | |
| Factor | Setting | Surface Defects | Thickness | Deposition Rate | Setting | Surface Defects | Thickness | Deposition Rate | | | |
| A* | A 2 | -4.74 | 3.39 | 0.01 | A 1 | 21.13 | 3.60 | -5.36 | | | |
| В | B 2 | -2.08 | 0.00 | 0.66 | B_2 | -2.08 | 0.00 | 0.66 | | | |
| C | C_1 | 6.33 | 2.87 | -1.31 | C_1 | 6.33 | 2.87 | -1.31 | | | |
| D | D_3 | -4.68 | -3.35 | 1.49 | D_3 | -4.68 | -3.35 | 1.49 | | | |
| E* | E_1 | -6.16 | 0.00 | 0.00 | E_2 | 4.82 | 0.00 | 0.00 | | | |
| F* | F_1 | 0.00 | -4.48 | 0.00 | F_2 | 3.78 | 2.15 | 0.00 | | | |
| Overall Mean | | -45.36 | 31.52 | 34.12 | | -45.36 | 31.52 | 34.12 | | | |
| Total | | -56.69 | 29.95 | 34.97 | | -16.06 | 36.79 | 29.60 | | | |

^{*} Indicates the factors whose levels are changed from the starting to the optimum conditions.

[†] By *contribution* we mean the deviation from the overall mean caused by the particular factor level.

Results of Verification Experiment

| | | Starting Condition | Optimum Condition | Improvement |
|-------------------------|------------|-----------------------|----------------------|-------------|
| Surface Defects | rms | 600/cm ² | 7/cm ² | |
| Bereets | η | -55.6 dB | -16.9 dB | 38.7 dB |
| Thickness | std. dev.* | 0.028 | 0.013 | |
| Tilleaness | η΄ | 31.1 dB | 37.7 dB | 6.6 dB |
| Deposition rate Rate | | 60 Å/min | 35 Å /min | |
| Kate | η" | 35.6 dBam | 30.9 dBam | -4.7 dBam |

^{*} Standard deviation of thickness is expressed as a fraction of the mean thickness.

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Analysis of Surface Defects Data

| | Average | η by Fact (dB) | or Level | | | | |
|--------------------|---------|-------------------|----------|----------------------|-------------------|----------------|-----|
| Factor | 1 | 2 | 3 | Degree of Freedom | Sum of Squares | Mean Square | F |
| A. Temperature | - 24.23 | -50.10 | - 61.76 | 2 | 4427 | 2214 | 27 |
| B. Pressure | - 27.55 | -47.44 | - 61.10 | 2 | 3416 | 1708 | 21 |
| C. Nitrogen | -39.03 | - 55.99 | - 41.07 | 2 | 1030 | 515 | 6.4 |
| D. Silane | - 39.20 | - 46.85 | -50.04 | 2 | 372 | 186 | 2.3 |
| E. Settling time | -51.52 | - 40.54 | - 44.03 | 2 | . 378 | 189 | 2.3 |
| F. Cleaning method | -45.56 | - 41.58 | - 48.95 | 2 | 164† | 82 | |
| Error | | | | 5 | 405† | 81 | |
| Total | | | | 17 | 10192 | | |
| (Error) | | | | (7) | (569) | (81) | |

^{*} Overall mean η = -45.36 dB. Underscore indicates starting level. † Indicates the sum of squares added together to form the pooled error sum of squares shown in parentheses.

Analysis of Thickness Data

| | Avera | ige η' by (dB) | Level | | | Mean Square | |
|--------------------|-------|-------------------|-------|----------------------|-------------------|----------------|-----|
| Factor | 1 | 2 | 3 | Degree of Freedom | Sum of Squares | | F. |
| A. Temperature | 35.12 | 34.91 | 24.52 | 2 | 440 | 220 | 16 |
| B. Pressure | 31.61 | 30.70 | 32.24 | 2 | 7† | 3.5 | |
| C. Nitrogen | 34.39 | 27.86 | 32.30 | 2 | 134 | 67 | 5.0 |
| D. Silane | 31.68 | 34.70 | 28.17 | 2 | 128 | 64 | 4.8 |
| E. Settling time | 30.52 | 32.87 | 31.16 | 2 | 18† | 9 | |
| F. Cleaning method | 27.04 | 33.67 | 33.85 | 2 | 181 | 90.5 | 6.8 |
| Error | | | | 5 | 96† | 19.2 | |
| Total | | | | 17 | 1004 | 59.1 | |
| (Error) | | | | (9) | (121) | (13.4) | |

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Analysis of Deposition Rate Data

| Factor | Average | η" by Fact (dBam) | tor Level | Degree of Freedom | Sum of Squares | Mean Square | F |
|--------------------|---------|----------------------|-----------|----------------------|-------------------|----------------|-----|
| | 1 | 2 | 3 | | | | |
| A. Temperature | 28.76 | 34.13 | 39.46 | 2 | 343.1 | 171.5 | 553 |
| B. Pressure | 32.03 | 34.78 | 35.54 | 2 | 41.0 | 20.5 | 66 |
| C. Nitrogen | 32.81 | 35.29 | 34.25 | 2 | 18.7 | 9.4 | 30 |
| D. Silane | 32.21 | 34.53 | 35.61 | 2 | 36.3 | 18.1 | 58 |
| E. Settling time | 34.06 | 33.99 | 34.30 | 2 | 0.3† | 0.2 | |
| F. Cleaning method | 33.81 | 34.10 | 34.44 | 2 | 1.2† | 0.6 | |
| Error | | | | 5 | 1.3† | 0.26 | |
| Total | | | | 17 | 441.9 | 25.9 | |
| (Еггог) | | | | (9) | (2.8) | (0.31) | |

^{*} Overall mean $\eta'=31.52$ dB. Underscore indicates starting level. † Indicates the sum of squares added together to form the pooled error sum of squares shown in parentheses.

^{*} Overall mean $\eta''=34.12$ dBam. Underscore indicates starting level. † Indicates the sum of squares added together to form the pooled error sum of squares shown in parentheses.