# Projectivity Criterira and Projective Locus Summer 2025 Note 4-2025-07-09 (draft version) Yi Li

## 1 Overview

The aim of this note is to give some projectivity critera for Moishezon/Kähler morphism and study the deformation bahavior of projectivity. The ultimate goal is to finish the proof of [Kol22, Theorem 2] which stratify the Moishezon morphism.

**Theorem 1.1** ([Kol23, Theorem 2]). Let  $g: X \to S$  be a proper morphism of complex analytic spaces and  $S^* \subset S$  a dense, Zariski open subset such that g is flat over  $S^*$ . Assume that

- (1)  $X_0$  is projective for some  $0 \in S$ ,
- (2) the fibers  $X_s$  have rational singularities for  $s \in S^*$ , and
- (3) g is bimeromorphic to a projective morphism  $g^p: X^p \to S$ .

Then there is a Zariski open neighborhood  $0 \in U \subset S$  and a locally closed, Zariski stratification  $U \cap S^* = \bigcup_i S_i$  such that each  $g|_{X_i} : X_i := g^{-1}(S_i) \to S_i$  is projective.

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# 2 Several Projectivity Critera

In this section, we try to summarize the projectivity critera related to Moishezon varieties (we will use the Moishezon variety or algebraic space interchangeably).

## 2.1 Kodaira's projectivity criterion

**Proposition 2.1.** Let X be a compact Kähler variety with rational singularities such that  $H^2(X, \mathcal{O}_X) = 0$ , then X is projective.

Proof. Take the resolution  $\nu: X' \to X$ , where X' is Kähler manifold. Since X has rational singularity,  $R^i\nu_*\mathcal{O}_{X'}=0$  with i>0. Thus, by the Leray spectral sequence argument,  $H^2(X,\mathcal{O}_X)=H^2(X',\mathcal{O}_{X'})=0$  and therefore by Kodaira's projectivity criterion for smooth manifold, X' is projective. And therefore X is a Kähler Moishezon variety with rational singularity. By the result we proved in the 1st time, X is a projective variety.

## 2.2 Nakai-Moishezon ampleness critera

**Proposition 2.2** ([Kol90, Theorem 3.11]). Let X be a proper algebraic space and let H be a line bundle on X. Then H is ample on X if an only if for every irreducible closed subspace  $Z \subset X$ , the intersection product  $H^{\dim(Z)} \cdot Z$  is positive.

## 2.3 Seshadri criterion of projectivity, line bundle version

Seshadri constant was first introduced by Demailly in the early 90s, when he tried to prove Fujita's conjecture.

Conjecture 2.3. Let X be a smooth projective variety of dimension n, with L being ample. Then

- (a)  $K_X + (n+1)L$  is global generated,
- (b)  $K_X + (n+2)L$  is very ample.

Demailly define the Seshadri constant as

**Definition 2.4.** Given a proper analytic space X and a line bundle L, the Seshari constant is defined to be

$$\epsilon(L, x) := \inf_{C \ni x} \frac{L \cdot C}{\operatorname{mult}_x C}.$$

Then he showed the following result holds true.

**Theorem 2.5** ([Dem82]). (a) If  $\epsilon(L,x) > \frac{n}{n+1}$  then  $K_X + (n+1)L$  is global generated,

(b) If  $\epsilon(L,x) > \frac{2n}{n+2}$  then  $K_X + (n+2)L$  is very ample.

For the reader want to know more about this, please read the [Dem82].

**Proposition 2.6.** Let X be a proper algebraic space, and D a divisor on X (the same also true for  $\mathbb{Q}$ ,  $\mathbb{R}$  dvisor). Then D is ample if and only if there exists a positive number  $\varepsilon > 0$  such that

$$\frac{(D \cdot C)}{\text{mult }_{r}C} \ge \varepsilon$$

for every point  $x \in X$  and every irreducible curve  $C \subseteq X$  passing through x.

## 2.4 Seshadri criterion of projectivity, cohomology class version

For cohomology class, the Seshadri criterion is also true.

**Lemma 2.7.** Let X be a normal compact complex space with rational singularities of dimension n in Fujiki class  $\mathcal{C}$ . Then the canonical map

$$\Phi: N^1(X) \to N_1(X)^{\vee}, \quad [\omega] \mapsto \lambda_{\omega}$$

is an isomorphism. Here we define

$$\lambda_{\omega}: N_1(X) \to \mathbb{R}, \quad [T] \mapsto T(\omega)$$

## Remark 2.8. Here

$$N^1(X) := H^{1,1}_{\mathrm{BC}}(X),$$

and  $N_1(X)$  to be the vector space of real closed currents of bidimension (1,1) modulo the following equivalence relation:  $T_1 \equiv T_2$  if and only if

$$T_1(\eta) = T_2(\eta),$$

for all real closed (1,1)-forms  $\eta$  with local potentials. When X is assumed to be Moishezon.

**Proposition 2.9.** Let X be a proper algebraic space over  $\mathbb{C}$  with 1-rational singularities (see Definition 11). Then X is projective iff there is a cohomology class  $\Theta \in H^2(X(\mathbb{C}), \mathbb{Q})$  and an  $\epsilon > 0$  such that

$$\Theta \cap [C] \ge \epsilon \cdot \operatorname{mult}_n C$$

for every integral curve  $C \subset X$  and every  $p \in C$ .

*Proof.* Note that the cup product induce a Q-bilinear form

$$(-)\cap(-):H^2(X,\mathbb{Q})\times H_2(X,\mathbb{Q})\to\mathbb{Q},$$

which will induce a  $\mathbb{Q}$ -linear functional on  $H_2(X,\mathbb{Q})$ . If  $C \mapsto [C]$  gives an injection  $N_1(X,\mathbb{Q}) \hookrightarrow H_2(X(\mathbb{C}),\mathbb{Q})$ , then we can view  $C \mapsto \Theta \cap [C]$  as a linear map

$$\Theta \cap N_1(X,\mathbb{Q}) \to \mathbb{Q}$$
.

By the previous lemma, line bundles span the dual space of  $N_1(X, \mathbb{Q})$ , so there is a line bundle L on X and an m > 0 such that deg  $(L|_C) = m \cdot \Theta \cap [C]$  for every integral curve  $C \subset X$ . Thus

$$\deg\left(\left.L\right|_{C}\right)=m\cdot\Theta\cap\left[C\right]\geq m\epsilon\cdot\operatorname{mult}_{p}C,$$

for every integral curve  $C \subset X$  and every  $p \in C$ . Then L is ample by the line bundle version Seshadri criterion, so X is projective.

It remains to show that  $C \mapsto [C]$  gives an injection  $N_1(X, \mathbb{Q}) \hookrightarrow H_2(X(\mathbb{C}), \mathbb{Q})$  if X has 1-rational singularities. (see Note-1).

#### 2.5Klieman's ampleness criterion for Moishezon spaces

**Proposition 2.10** ([VP21]). Suppose that Y is a Moishezon space with  $\mathbb{Q}$ -factorial, log terminal singularities and that L is a Cartier divisor on Y. Then L is ample if and only if L has positive degree on every irreducible curve on Y and L induces a strictly positive function on NE(Y).

Remark 2.11. It remains open if the result is still true without the Q-factorial KLT assumption.

*Proof.* We will prove it in Note-5.

## 3 Approximate the Chow-Barlet cycle space by countable many morphisms

In this Section, we will study the main technical tools: Chow-Barlet cycle space, which allows to approximate the Chow-Barlet cycle space using countable many projective morphism. The tool in this section is crucial for the proof of Theorem 6.1.

**Definition 3.1** (Chow functor with m-marked points, [Kol96, Definition I.3.20]). Let X be a scheme over S (algebraic space/complex analytic space over S). Let

$$\operatorname{Chow}_m(X/S)(Z) = \left\{ \begin{array}{l} \text{Well defined families of nonnegative,} \\ \text{proper, algebraic cycles } \mathcal{C} \text{ of } X \times_S Z/Z, \\ s_1, \dots, s_m : Z \to X, s_i(z) \in \mathcal{C}_z \text{ for all } z \in Z \end{array} \right\}.$$

We call the data in the bracket the Chow data with marked points. We say C is a pointed curve if it is 1-cycle that has one marked point.

**Lemma 3.2** (representative of the Chow functor with marked points). Let  $X \to S$  be a proper morphism between complex analytic spaces. The relative Chow functor with m-marked points is representable by a complex analytic space denoted it  $\operatorname{Chow}_m(X/S)$ .

*Proof.* We claim that Chow functor with marked points is actually represented by a closed subspace of the original Chow-Barlet cycle space. Let

$$\mathcal{U} \to \operatorname{Chow}(X/S)$$
,

be the universal family of the Barlet-Chow cycle space (with  $\mathcal{U} \subset X \times_S \operatorname{Chow}(X/S)$  as closed complex subspace). We then define the m-fold fiber product to be  $X^{(m)} = \underbrace{X \times_S X \times_S \dots \times_S X}_{m\text{-times}}$ .

We then define  $P = \operatorname{Chow}(X/S) \times_S X^{(m)}$ , the incident complex subspace to be

$$I = \{(s, x_1, ..., x_m) \in P \mid x_i \in \mathcal{U}_s, \text{ for all } i\}.$$

We first claim that  $I \subset P$  is a closed complex subspace. Indeed, we define the natural projective

$$p_i: P \to \operatorname{Chow}(X/S) \times_S X, \quad (c, x_1, ..., x_m) \mapsto (c, x_i),$$

and easy to check that the incidence variety can be represented as

$$I = \bigcap_{i=1}^{m} p_i^{-1}(\mathcal{U}),$$

since  $\mathcal{U}$  is closed complex subspace, and therefore as a finite intersection I is a closed complex subspace in P.

We then show that I is the representative of the Chow functor with marked points that is

$$\operatorname{Hom}_S(T,I) \simeq \operatorname{Chow}_m(X/S)(T).$$

To see this, we first show that given a S-morphism  $T \to I/S$  it will induce a Chow data with marked points over S. Indeed, since  $I \subset \text{Chow}(X/S) \times_S X^{(m)}$ , so that the first projection

$$\pi_1: T \to I \to \operatorname{Chow}(X/S),$$

will induce a family over T via pull back. And the second projection

$$\sigma_i = \pi_{2,i} : T \to I \stackrel{q_i}{\to} X,$$

will defines the section we want. Conversely, given the Chow data  $(\mathcal{Z}, \sigma_1, ..., \sigma_m)$  with marked point, it will induce a morphism. To see this, by the representative of the standard Chow functor, we know that there exists a morphism  $\phi_{\mathcal{Z}}: T \to \operatorname{Chow}(X/S)$  such that  $\mathcal{Z}$  is the pull back family. And the section  $\sigma_i: T \to X^{(m)}$  defines the second factor. And easy to check the induced morphism maps into I,

$$\phi_{\mathcal{Z}} \times \sigma_i : T \to I$$
.

And therefore, we checked that I actually represent the Chow functor with marked points.  $\Box$ 

The following upper semi-continuity result is needed in the proof.

**Lemma 3.3** (upper semi-continuity of the multiplicities, [BM19, Proposition 4.3.10]). Let  $(X_s)_{s \in S}$  be an analytic family of n-cycles of a complex space M. Then the function

$$S \times M \longrightarrow \mathbb{N}, (s, z) \mapsto \operatorname{mult}_z(X_s)$$

is upper semicontinuous in the Zariski topology of  $S \times M$ .

*Proof.* The proof of the lemma is a big complicated and we omit it here.

**Remark 3.4.** In particular, let  $f: X \to S$  be a proper flat morphism of relative dimension 1, assume that there is a holomorphic section  $\sigma: S \to X$ . Then the multiplicity

$$\operatorname{mult}: S \to \mathbb{Z}, \quad s \mapsto \operatorname{mult}_{\sigma(s)} X_s$$

is Zariski upper-semicontinuous.

*Proof.* Since the fibers  $\{X_s\}$  clearly forms an analytic family of cycles in X. Since the section map  $\sigma: S \to X$  is holomorphic, thus

$$S \to S \times X \to \mathbb{N}, \quad s \mapsto (s, \sigma(s)) \mapsto \operatorname{mult}_{\sigma(s)} X_s,$$

as composition of continuous function and upper semi-continuous function is upper semi-continuous.

**Theorem 3.5** (Approximation Chow-Barlet cycle space using countable many projective morphisms). Let  $g: X \to S$  be a proper morphism of complex analytic spaces that is bimeromorphic to a projective morphism. Fix  $m \in \mathbb{N}$ . Then there are countably many diagrams of complex analytic spaces over S,

$$C_i \longleftrightarrow W_i \times_S X$$

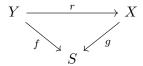
$$w_i \downarrow \uparrow \sigma_i$$

$$W_i$$

indexed by  $i \in I$ , such that

- (1) the  $w_i: C_i \to W_i$  are proper, of pure relative dimension 1 and flat over a dense, Zariski open subset  $W_i^{\circ} \subset W_i$ ,
- (2) the fiber of  $w_i$  over any  $p \in W_i^{\circ}$  has multiplicity m at  $\sigma_i(p)$ ,
- (3) the  $W_i$  are irreducible, the structure maps  $\pi_i:W_i\to S$  are projective, and
- (4) the fibers over all the  $W_i^{\circ}$  give all irreducible curves that have multiplicity m at the marked point.

*Proof.* By assumption, there is a bimeromorphic morphism  $r: Y \to X$  such that Y is projective over S.



The Barlet-Chow cycle space of curves with marked points on Y/S exist and its irreducible components  $W_i$  are projective over S. And the universal family

$$\mathcal{C} \to \operatorname{Chow}^1(Y/S),$$

parameterize all pointed curves on Y in the fiber direction. Let W be any irreducible component of  $\operatorname{Chow}^1(Y/S)$ , We restrict the universal family on that component  $\mathcal{C}^Y \to W$ .

We then map back the family of curves on Y

$$C^{Y} \longleftrightarrow W \times_{S} Y$$

$$\downarrow^{\uparrow} \sigma_{Y}$$

$$W$$

to family of curves on X.

$$C \longleftrightarrow W \times_S X$$

$$\downarrow \int_{W} \sigma$$

$$W$$

Note that the family  $w: C \to W$  is no longer flat, as curves can be contracted by  $Y \to X$ . However it's still proper flat over some dense Zariski open subset  $W^o \subset W$ .

By the lemma we just proved, since the family is flat over  $W^o$ , the multiplicity of a fiber  $C_w$  at the section s is an upper semi-continuous function on  $W^\circ$ . For each  $m \in \mathbb{N}$ , let  $W^m \subset W$  denotes the closure of the set of points  $p \in W^\circ$  for which  $\operatorname{mult}_{\sigma(p)} C_p = m$ . Thus the restriction  $W^m \to S$  is still a projective morphism.

We finally going back to the original Moishezon morphism  $g: X \to S$ . Let  $X^{\circ} \subset X$  be the largest open set over which r is an isomorphism. The above procedure gives all irreducible pointed curves that have nonempty intersection with  $X^{\circ}$ . Equivalently, all curves with a marked point that are not contained in  $X \setminus X^{\circ}$ . We can now use dimension induction to get countably many diagrams that give us all curves on  $g: (X \setminus X^{\circ}) \to S$  (note that by the result we proved the first time the restriction is a proper Moishezon morphism, so that we can repeat the same argument as above).

## 4 Projectivity of Very General Fibers

We can now prove the following theorem, which is the key step to deduce the main theorem.

**Theorem 4.1** (Projectivity on very general fiber, [Kol22, Proposition 14]). Let  $g: X \to S$  be a proper morphism of complex analytic spaces and  $S^* \subset S$  a dense, Zariski open subset such that g is flat over  $S^*$ . Assume that

- (1)  $X_0$  is projective for some  $0 \in S$ ,
- (2) the fibers  $X_s$  have rational singularities for  $s \in S^*$ , and
- (3) g is bimeromorphic to a projective morphism  $g^p: X^p \to S$ .

Then there is a Euclidean open neighborhood  $0 \in U \subset S$  and countably many nowhere dense, closed, analytic subsets  $\{H_j \subset U : j \in J\}$ , such that  $X_s$  is projective for every  $s \in U \setminus \bigcup_j H_j$ .

*Proof.* First choose  $0 \in U \subset S$  such that  $X_U$  retracts to  $X_0$ . Since  $X_0$  is projective, it has an ample line bundle L. Let  $\Theta \in H^2(X_U, \mathbb{Q})$  be the pull-back of  $c_1(L)$  to  $X_U$ . Note that  $\Theta$  is a topological cohomology class that is usually not the Chern class of a holomorpic line bundle.

Using Theorem 3.5, we can find countable many diagram as in the theorem. Let  $J \subset I$  be the index such that  $H_i := \pi_i(W_i) \subset U$  for  $i \in J$  is nowhere dense in U. And thus the rest of the  $\pi_i(W_i) \subset U$  for  $i \in I \setminus J$  will contains some open subset of U. Since  $\pi_i(W_i)$  is proper, and thus it's an analytic subset that contains an open subset. By identity principal, it must equal to U. In particular,  $0 \in \pi_i(W_i)$ .

Let  $s \in U \setminus \bigcup_{j \in J} H_j$ , then by assumption, there is an  $i \in I \setminus J$  and a diagram as in

$$C_i \hookrightarrow W_i \times_S X$$

$$w_i \downarrow \uparrow \sigma_i$$

$$W_i$$

such that the following conditions hold.

- (a)  $(C_s, p_s)$  is one of the fibers of  $w_i$  over  $W_i^{\circ}$ ,
- (b)  $\operatorname{mult}_{\sigma(p)}C_p=m$  for all  $p\in W_i^\circ$ , and
- (c)  $\pi_i: W_i \to S$  is projective and its image contains  $0, s \in S$  (say  $\pi_i(0) = 0, \pi_i(w) = s$ )

Note that preimage of 0 may not lies in the interior  $W_i^o$ . Since  $W_i$  are irreducible, there exist a holomorphic curve  $\tau: D \to W_i$  connecting the point 0, w (with  $\tau(0) = 0, \tau(1) = w$  and the radius of D > 1). We then pull back the family back to the disc D.

Note that

$$\operatorname{mult}_{p_t} C_t^D = \operatorname{mult}_{p_1} C_1^D = \operatorname{mult}_{p_s} C_s \text{ for all } t \in D^{\circ},$$

On the other hand, by the Lemma 3.4, we have

$$\operatorname{mult}_{p_0} C_0^D \ge \operatorname{mult}_{p_t} C_t^D = \operatorname{mult}_{p_s} C_s.$$

(Here the pull back family  $C^0 \to D$  is flat, since ).

$$\operatorname{mult}_{p_0} C_0^D \ge \operatorname{mult}_{p_t} C_t^D = \operatorname{mult}_{p_s} C_s.$$

Here  $C_0^D$  is a 1-cycle on the projective scheme  $X_0$ , and  $\Theta_0$  is the Chern class of an ample line bundle on  $X_0$ . Thus

$$\Theta \cap [C_0^D] \ge \epsilon \cdot \operatorname{mult}_{p_0} C_0^D$$

by the easy direction of Theorem 2.9, where  $\epsilon$  depends only on  $X_0$  and  $\Theta_0$ .

Since  $C_0^D$  and  $C_1^D$  lies in the same irreducible component of Chow-Barlet cycle space, they are algebraic equivalent. Thus the cup product with  $\Theta$  are the same, and putting these together gives that

$$\Theta_s \cap [C_s] = \Theta \cap \left[C_1^D\right] = \Theta \cap \left[C_0^D\right] \ge \epsilon \cdot \operatorname{mult}_{p_0} C_0^D \ge \epsilon \cdot \operatorname{mult}_{p_s} C_s.$$

Thus  $X_s$  is projective by Theorem 2.9.

# 5 From locally projective to global projective

Sometimes we need the global projective condition, which is guranteed if the Moishezon condition holds. Before proving the proposition, we need to the following statement on the local system of Neron-Sever group.

### Proposition 5.1.

Proof.  $\Box$ 

**Proposition 5.2.** Assume that  $g: X \to S$  be a proper Moishezon morphism of normal irreducible analytic spaces. Assume that there exist a dense Zariski open subset  $S^o \subset S$  such that X is locally projective over  $S^o$  then it's actually global projective.

Proof.

# 6 Kollár's Stratification of a Moishezon Morphism to Projective Morphisms

Now we can prove the main theorem of this note.

**Theorem 6.1** (Openess of projectivity, [Kol22, Theorem 2]). Let  $g: X \to S$  be a proper morphism of complex analytic spaces and  $S^* \subset S$  a dense, Zariski open subset such that g is flat over  $S^*$ . Assume that

- (1)  $X_0$  is projective for some  $0 \in S$ ,
- (2) the fibers  $X_s$  have rational singularities for  $s \in S^*$ , and
- (3) g is bimeromorphic to a projective morphism  $g^p: X^p \to S$ .

Then there is a Zariski open neighborhood  $0 \in U \subset S$  and a locally closed, Zariski stratification  $U \cap S^* = \bigcup_i S_i$  such that each

$$g|_{X_i}: X_i := g^{-1}(S_i) \to S_i$$
 is projective.

*Proof.* By Theorem 4.1, we know  $\operatorname{PR}_S(X)$  contains the complement of a countable union of Zariski closed, nowhere dense subsets. Thus by the Baire category theorem,  $\operatorname{PR}_S(X)$  is not contained in a countable union of closed, nowhere dense subsets. And by Theorem ??, Thus we are in case (1) i.e.  $g: X \to S^o$  is locally projective over a dense, Zariski open subset  $S^\circ \subset S$ .

Since the morphism is Moishezon, thus by Theorem 5.2, the morphism  $g: X \to S$  is actually global projective over  $S^o$ . And we repeat the process on  $S \setminus S^o$  gives the stratification of  $g: X \to S$  into projective morphisms  $g|_{X_i}: X_i = g^{-1}(S_i) \to S_i$ .

Let us end this section with Bingener stratification theorem.

**Proposition 6.2** ([Bin83, (1.6) Theorem]). Let  $p: X \to S$  and  $q: Y \to S$  be proper holomorphic maps,  $f: X \to Y$  a holomorphic S-map and let T be the set of points  $s \in S$  such that  $f_s: X_s \to Y_s$  is projective. Then there is a countable family  $S_v, v \in \mathbb{N}$ , of locally closed analytic subspaces of S with: (1)  $T = \bigcup_{v \in \mathbb{N}} S_v$ . (2)  $f_{S_v}: X_{S_v} \to Y_{S_v}$  is locally projective relative  $S_v$  for all  $\nu$ .

If p is cohomologically flat in dimension  $\leq 2$  and  $\mathrm{R}^2 p_*(\mathbb{Z}_X)$  is constant, we can moreover achieve: (3)  $S_v$  is constructible in S for all v. (4)  $\operatorname{codim}(S_y, S) \leq l := \sup \{ \dim_{\mathbb{C}} \mathrm{H}^2(X_s, \mathcal{O}_{X_s}) : s \in S \}$  for all v.

# 7 Claudon-Höring's projectivity criterion for Kähler morphism

In this section, we try to prove the following projectivity criterion for Kähler morphism (due to Claudon-Höring).

**Theorem 7.1** ([CH24, Theorem 3.1]). Let  $f: X \to Y$  be a fibration between normal compact Kähler spaces. Assume that X has strongly  $\mathbb{Q}$ -factorial klt singularities. Assume one of the following:

(a.1) The normal space Y has klt singularities and the natural map

$$f^*:H^0\left(Y,\Omega_Y^{[2]}\right)\longrightarrow H^0\left(X,\Omega_X^{[2]}\right)$$

is an isomorphism.

(a.2) The morphism f is Moishezon.

Then f is a projective morphism.

*Proof.* If time permit, we will discuss it in the next note.

Final words, there are other projectivity critera developed by Kollár in [Kol90] (which we does not cover in this note). I will talk more about the projectivity of moduli in another note system.

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