

## Lists

- List - an ordered collection of data items. All locations are available for insertion and deletion. Order is application dependent.
- No limit on number of items.
- No limit on nature of items.

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## Lists

- The implementation will place limits.
- The ordering here is arbitrary
- Usually A,B,C... or 1,2,3..., etc.
- The client code is responsible for selecting the insert/delete point.

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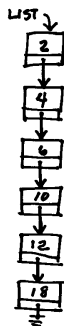
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## Lists: Alternative Definition

- a set of ordered pairs: a location and a value at that location
- E.g. (5,12), (2,4), (4,10), (1,2), (6,18), (3,6)



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## Lists: ADT

- Standard List operations
  - Insert
  - Delete
- Data: pointer to start of list; length(?)
- Optional: List\_Empty
  - List\_Copy
  - Display\_List
  - Search\_List

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## ADT List Example

### ADT List

#### Data:

Allocate space initialized to blank or empty to hold the data values in the list. A reference initialized to "empty" is needed to the first item on the list. A length parameter initialized to zero is optional.

#### Methods:

##### ListEmpty

Input: None

Precondition: List has been initialized.

Process: Examines the list to see if there is content

Postcondition: List is unaltered.

Output: Returns TRUE if the List is empty, otherwise returns

FALSE.

##### ListLength

Input: None

Precondition: List has been initialized.

Process: Verifies the number of items in the list

Postcondition: List is unaltered

Output: Returns the number of items that are currently in the list.

## ADT List Example

### ADT List Methods (continued):

#### ListInsert

Input: NewItem is the value to be inserted at position NewPosition

Precondition: List has been initialized.  $1 \leq \text{NewPosition} \leq \text{ListLength} + 1$

Process: If  $\text{NewPosition} \leq \text{ListLength}()$ , items are shifted as follows:

The item at  $\text{NewPosition}$  moves to  $\text{NewPosition} + 1$ ,

the item at  $\text{NewPosition} + 1$  moves to  $\text{NewPosition} + 2$ , and so on.

Postcondition: If insertion is successful, NewXtem is at position NewPosition in the list, other items are renumbered accordingly. Length of list is increased by 1

Output: Success indicates whether the insertion was successful.

#### ListDelete

Input: Position indicates where the deletion should occur.

Precondition: List has been initialized.  $1 \leq \text{position} \leq \text{ListLength}()$ .

Process: If  $\text{Position} < \text{ListLength}()$ , items are shifted as follows: the item at  $\text{Position} + 1$  moves to  $\text{Position}$ , the item at  $\text{Position} + 2$  moves to  $\text{Position} + 1$ , and so on.

Postcondition: The size of the list is reduced by 1. The positional location of list entries beyond the point of deletion are renumbered.

Output: The value of the deleted item is returned to the user. Success<sub>6</sub> indicates whether the deletion was successful.

## ADT List Example

*What other methods would be desirable?  
This is the point to think about it.*

*How do we locate insertion or deletion positions?*

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## ADT Sorted List Example

ADT SortedList

Data:

Allocate space initialized to blank or empty to hold the data values in the list. A reference initialized to "empty" is needed to the first item on the list. A length parameter initialized to zero is optional.

Methods:

SortedListEmpty

Input: None

Precondition: List has been initialized.

Process: Examines the list to see if there is content

Postcondition: List is unaltered.

Output: Returns TRUE if the List is empty, otherwise returns

FALSE.

SortedListLength

Input: None

Precondition: List has been initialized.

Process: Verifies the number of items in the list

Postcondition: List is unaltered

Output: Returns the number of items that are currently in the list.

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## ADT List Example

ADT SortedList Methods (continued):

SortedListInsert

Input: NewItem is the value to be inserted at position NewPosition

Precondition: List has been initialized.  $1 \leq \text{NewPosition} \leq \text{ListLength} + 1$

Process: If  $\text{NewPosition} \leq \text{ListLength}()$ , items are moved as follows:

The item at  $\text{NewPosition}$  moves to  $\text{NewPosition} + 1$ ,

the item at  $\text{NewPosition} + 1$  moves to  $\text{NewPosition} + 2$ , and so on.

Postcondition: If insertion is successful, NewXtem is at position NewPosition in the list, other items are renumbered accordingly. Length of list is increased by 1

Output: Success indicates whether the insertion was successful.

SortedListDelete

Input: Position indicates where the deletion should occur.

Precondition: List has been initialized.  $1 \leq \text{position} \leq \text{ListLength}()$ .

Process: If  $\text{Position} < \text{ListLength}()$ , items are moved as follows: the item at  $\text{Position} + 1$  moves to  $\text{Position}$ , the item at  $\text{Position} + 2$  moves to  $\text{Position} + 1$ , and so on.

Postcondition: The size of the list is reduced by 1. The positional location of list entries beyond the point of deletion are renumbered.

Output: The value of the deleted item is returned to the user. Success<sub>9</sub> indicates whether the deletion was successful.

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## ADT SortedList Example

*What other methods would be desirable?  
This is the point to think about it.*

*Are the options affected by the fact the list  
is maintained in a sorted ordering?*

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## ADT List Example

*Another way to think about pre and postconditions:*

*Axioms for the ADT List*

1.  $(L.CreateList()).ListLength() = 0$
2.  $(L.ListInsert(I,X)).ListLength() = L.ListLength() + 1$
3.  $(L.ListDelete(I)).ListLength() = L.ListLength() - 1$
4.  $(L.CreateList()).ListEmpty() = TRUE$
5.  $(L.ListInsert(I,Item)).ListEmpty() = FALSE$
6.  $(L.ListEmpty()).ListDelete(I) = error$
7.  $(L.ListInsert(I,X)).ListDelete(I) = L$
8.  $(L.CreateList()).ListRetrieve(I) = error$
9.  $(L.ListInsert(I,X)).List.Retrieve(I) = X$
10.  $L.ListRetrieve(I) = (L.ListInsert(I,X)).ListRetrieve(I+1)$
11.  $L.ListRetrieve(I+1) = (L.ListDelete(I)).ListRetrieve(I)$

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## ADT: Interface Example

```
public interface List
    public void ListClass()           //constructor
    public boolean ListEmpty()
    public int ListSize()
    public void ListCopy (ListNode List)
        //a copy constructor...takes existing list List
        //and makes a copy of it to initiate a new list
        //may require modifying interface or ADT
    public Datatype ListDelete(int Rank)
    public void ListInsert ( Datatype Item, int Rank )
} //end List Interface
```

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## ADT: Interface Example

Later when writing code...

```
public class ArrayList implements List
```

OR

```
public class ListClass implements List
```

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## Lists: Array Implementation

- ☹Limits size (static)
- ☹Requires list to be homogenous
- ☹Random access - Exploit!
  - Lists are stored in an array in an obvious fashion.
  - Inserting requires shifting to make room, e.g. insert B.
    - On average,  $O(n/2)$

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## Lists: Array Implementation

- Deleting requires shifting to close gap in list, e.g. delete E
  - On average,  $O(n/2)$
- If list contains  $> k$  items then can exploit random access to find  $k^{\text{th}}$  value in list at location  $k-1$  of array
- Simple, standard Implementation

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## Lists: Array Implementation

- Size = 5
- Array[size - 1]  
is end of List

0	A
1	C
2	D
3	E
4	F
5	
6	
7	
8	
9	
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## Lists: Alternate Array Implementation

- No more shifting when deleting
- Mark the deleted spot  
-  $O(1)$
- Use flag value -  
Can you guarantee  
that data will never  
look like flag value??

0	A
1	ZZZZ
2	D
3	E
4	ZZZZ
5	G
6	ZZZZ
7	I
8	J
9	ZZZZ
10	ZZZZ

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## Lists: Alternate Array Implementation

- OR use flag field
- Requires 1+ more bit per item on list
- When inserting, shift only from insertion point to marked deleted slot.
- Shifting will be reduced.

<<  $O(n/2)$

J3??

0	1	A
1	1	B
2	1	D
3	1	E
4	0	F
5	1	G
6	<del>1</del>	<del>G</del> G2
7	1	I
8	1	J
9	<del>1</del>	<del>J</del> J2
10	1	L

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## Lists: Alternate Array Implementation

- How complicated do you make it?
- How important is it to avoid false overflow?
- Item k on the list is no longer at location k-1. You cannot exploit random access.
- If more deletions than insertions, then list could evolve to have many deleted items. Then, waste time looking at deleted items when processing list.
- Inefficient.

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## Lists: Alternate Array Implementation

0	0	A
1	1	B
2	0	C
3	0	D
4	0	E
5	1	F
6	1	G
7	0	H
8	0	I
9	0	J
10	1	K
11	0	L
12	0	M
13	1	N
14	0	O
15	0	P

List contains:  
B, F, G, K, N

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## **Lists: Alternate Array Implementation**

- List can be reorganized at time system is not being used
- Lengthy reorganization time will not matter.
- Garbage collection is a standard operating system process.
- Reference: Horowitz and Sahni

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## **Lists: Linked implementation**

- ☺ No size limits (dynamic allocation)
- ☺ Requires list to be homogenous
- ☹ Sequential access

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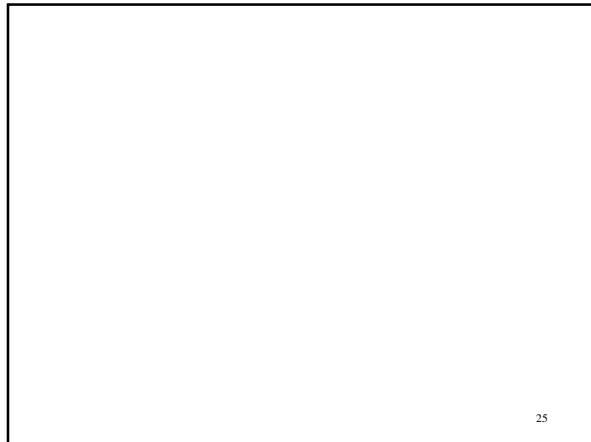
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**LIST Code**  
A simple Linked implementation

```
class ListNode {  
    DataType Data;      //any appropriate type  
    ListNode Next;  
}  
    //Default constructor is ListNode()  
    //You could define methods like  
    //GetData and SetData if desired
```

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```
public class ListClass implements List{  
    private ListNode List;  
    private int size;    //optional size parameter  
  
    public void ListClass() {          //constructor  
        List = null;  
        size = 0;                    //if used;  
    }  
  
    public boolean ListEmpty() {  
        return (List == null);    //or examine size  
    }
```

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```
public int ListSize() {
    return size;
}
```

```
public void ListCopy (ListNode List) {
    //a copy constructor...takes existing list List
    //and makes a copy of it to initiate a new list
    //may require modifying interface or ADT
    ...exercise for the student...
}
```

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```
// 2 private functions to simplify working with list
private boolean ValidNode (int Rank) {
    return ((Rank >= 1) && (Rank <= Size ))
} //Checks that Rank is within extent of List

private ListNode PtrTo (int Rank) {
    if ValidNode (Rank) {
        ListNode Here = List //Set temp ptr to head of list
        for ( int i = 1, i < Rank, i++)
            Here = Here.next;
        return Here;
    }
    else return null; //invalid request
} //end PtrTo
```

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```
public Datatype ListDelete(int Rank) {
    ListNode Temp;
    if !(ValidNode(Rank)) "error" //do error handling
    else { //Valid Request
        if (Rank == 1) { //Delete at head of list
            Temp = List; //Grab node for deletion
            List = Temp.Next; //Update list ptr
        }
        else { //generic deletion
            ListNode After = PtrTo (Rank-1); //Ref to prev node
            Temp = After.Next; //Grab node for deletion
            After.Next = Temp.Next; //Connect head & tail
        }
        Size = Size -1; //Update size
        Temp.Next = null; //disconnect node from list
        return Temp.Data; //Return deleted value
    } //end Valid Request
} //end ListDelete
```

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```
public void ListInsert ( Datatype Item, int Rank ) {  
    //assumes error checking on Rank done  
  
    ListNode Temp = new ListNode;           //allocate space  
    Temp.Data = Item;                       //stuff in information  
    Size = Size + 1;                        //Update size  
    if (Rank == 1) {                        //Insert at head of list  
        Temp.Next = List;                  //Connect new node  
        List = Temp;                       //Update list ref  
    }  
    else {                                  //generic insertion  
        ListNode After = PtrTo (Rank-1);   //Get ref to prev node  
        Temp.Next = After.Next;             //Connect to tail  
        After.Next = Temp;                  //Connect head to node  
    }  
} //end ListInsert  
} //end ListClass
```

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