

## CS566 Assignment 3

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### Tasks

1. Max-Heapify (3 points): Illustrate the operation of MAX-HEAPIFY(A, 3) on the array  
 $A = (6, 8, 10, 9, 7, 5, 4, 13, 23, 1, 5, 7, 12, 4)$

Write your steps, and count the number of total swap operations (exchange of keys)

1	2	3	4	5	6	7	8	9	10	11	12	13	14
6	8	10	9	7	5	4	13	23	1	5	7	12	4

- The first row is the index
- The second row is the array value (In a heap, the key)

According to the max heapify algorithm from course slide:

#### ▪ MAX-HEAPIFY Algorithm

**Algorithm 1** MAX-HEAPIFY(A, i)

```
1:  $l = LEFT(i)$ 
2:  $r = RIGHT(i)$ 
3: if  $l \leq A.heapSize$  and  $A[l] > A[i]$  then
4:    $largest = l$ 
5: else
6:    $largest = i$ 
7: end if
8: if  $r \leq A.heapSize$  and  $A[r] > A[largest]$  then
9:    $largest = r$ 
10: end if
11: if  $largest \neq i$  then
12:   exchange  $A[i]$  with  $A[largest]$ 
13:   MAX-HEAPIFY(A, largest) //check the largest branch
14: end if
```

**Step1** :  $cur = 10(i = 3)$

$Left\_index = 2i = 6$ ;  $right\_index = 2i+1 = 7$

$Left = 5$ ;  $right = 4$

Because  $10 > 5 > 4$

There is no swap operation happened.

2. Heap-Sort (3 points): Run the HEAP-Sort Algorithm on the above array and count up the total number of exchanges (You can assume the array has already been built as a heap)

- Write down the initial Max-heap array
- Write down the array after each key exchange in the HEAP-Sort.
- Write down the total number of key exchanges in the HEAP-Sort algorithm.
- No need to illustrate all your steps.

Note: Number of exchanges is the number of times that you swap the position of two keys (Values of the array) until it is a sorted array

By algorithm BUILD-MAX-HEAP(A) do max heapify for every nodes in the tree except the leaf

nodes. The initial Max-heap array is :

(23 13 12 9 7 10 4 8 6 1 5 7 5 4)

After each key exchange in the HEAP-Sort:

(1 4 4 5 5 6 7 7 8 9 10 12 13 23)

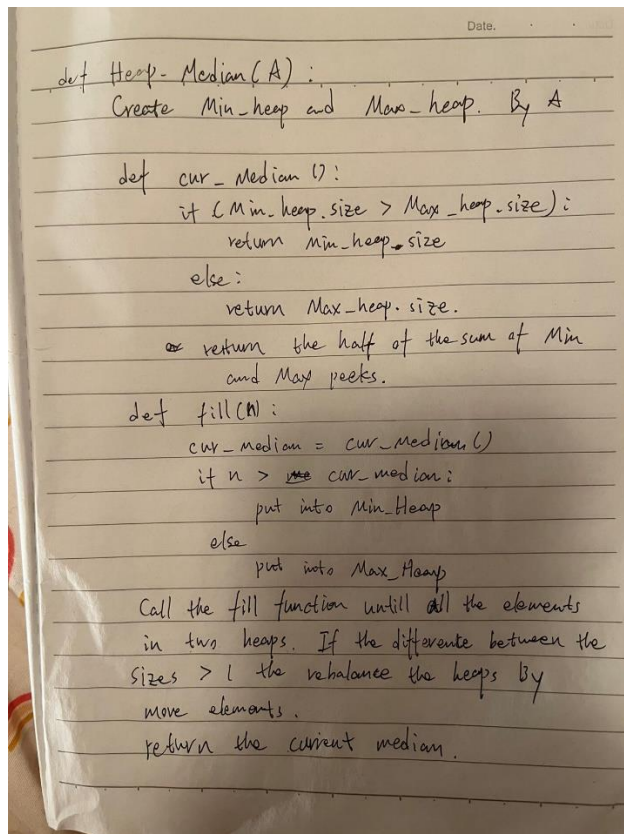
The total number of key exchanges is 43.

3. Heap-Median (4 points): Write pseudocode to extract the Median of an array using a heap structure.

Name it Extract-Median(A) where A is an array. Develop an algorithm with the best running time.

- You can use similar pseudocode syntax similar to the CLRS book or lecture slides.
- Discuss what is the running time of your best algorithm

Hint: The efficient algorithm to extract median using heap needs to build two heaps: Max-heap to hold smaller half part of the values; Min-heap to save bigger half part of the values. The size difference between two heaps should be within 1. The key algorithm in Extract-Median is Median-Heapify (you may refer Max-Heapify algorithm in the lecture).



```
def Heap-Median(A):  
    Create Min-heap and Max-heap. By A  
  
    def cur_Median():  
        if (Min-heap.size > Max-heap.size):  
            return Min-heap.size  
        else:  
            return Max-heap.size  
        // return the half of the sum of Min  
        // and Max peeks.  
  
    def fill(n):  
        cur_median = cur_Median()  
        if n > cur cur_median:  
            put into Min-Heap  
        else:  
            put into Max-Heap  
  
    Call the fill function until all the elements  
    in two heaps. If the difference between the  
    sizes > 1 the rebalance the heaps by  
    move elements.  
    return the current median.
```

Because heap is a tree with 2 children for each node, so for each elements the time complexity is  $\log(n)$ . There is  $n$  elements.

Therefore, the time complexity is  $O(n \cdot \log(n))$

4. Hashing by Chaining (3 points): Demonstrate what happens when we insert the keys

(6, 8, 10, 9, 7, 5, 4, 13, 23, 1, 5, 7, 12, 4) into a hash table with collisions resolved by chaining.

Let the table have 9 slots, and let the hash function be  $h(k) = k \bmod 9$

- Count the number of collisions
- How many collisions would the hash table have if you use  $h(k) = k \bmod 7$ ?

Note: Hash table indexes start from zero.

- $h(k) = k \bmod 9$ :

0:9

1:10-1

2:

3:12

4:4-13-4

5:5-23-5

6:6

7:7-7

8:8

the number of collisions: 6

- $h(k) = k \bmod 7$ :

0:7-7

1:8-1

2:9-23

3:10

4:4-4

5:5-5-12

6:6-13

the number of collisions: 7

5. Open Addressing - Linear Probing (3 points): Consider inserting the keys {31, 11, 5, 17, 25} into a hash table of length  $m = 7$  using open addressing with the hash function

$$h(k, i) = (h'(k) + i) \bmod m$$

$$h'(k) = k \bmod 7$$

- Illustrate the result of inserting these keys using linear probing and provide insertion table index results

- Count the number of collisions

31:  $31 \bmod 7 = 3$

0	1	2	3	4	5	6
			31			

11:  $11 \bmod 7 = 4$

0	1	2	3	4	5	6
			31	11		

5:  $5 \bmod 7 = 5$

0	1	2	3	4	5	6
			31	11	5	

17:  $17 \bmod 7 = 3$  (collision)

$(17+1) \bmod 7$  (collision)

$(17+1) \bmod 7$  (collision)

$$(17+3)\%7 = 6$$

0	1	2	3	4	5	6
			31	11	5	17

$$25:25\%7 = 4(\text{collision})$$

$$(25+1)\%7(\text{collision})$$

$$(25+1)\%7(\text{collision})$$

$$(25+3)\%7 = 0$$

0	1	2	3	4	5	6
25			31	11	5	17

the number of collisions:6

6. Open Addressing - Double Hashing (4 points): Consider inserting the keys {31, 11, 5, 17, 25} into a hash table of length  $m = 7$  using open addressing with double Hashing

$$h(k, i) = (h_1(k) + i \times h_2(k)) \bmod m$$

$$h_1(k) = k \bmod 7$$

$$h_2(k) = 1 + (k \bmod 3)$$

• Illustrate the result of inserting these keys using Double Hashing, and provide your resulting hash table

$$31: 31\%7 = 3$$

0	1	2	3	4	5	6
			31			

$$11: 11\%7 = 4$$

0	1	2	3	4	5	6
			31	11		

$$5: 5\%7 = 5$$

0	1	2	3	4	5	6
			31	11	5	

$$17: h_1 = 17\%7 = 3 (\text{collision})$$

$$H_2 = 1 + 17\%3 = 3 (\text{collision})$$

$$(3+0)\%7 = 3 (\text{collision})$$

$$(3+3)\%7 = 6$$

0	1	2	3	4	5	6
			31	11	5	17

$$25: 25\%7 = 4 (\text{collision})$$

$$1 + 25\%3 = 2 (\text{collision})$$

$$(4+0)\%7 = 4 (\text{collision})$$

$$(4+2)\%7 = 6 (\text{collision})$$

$$(4+4)\%7 = 1$$

0	1	2	3	4	5	6
	25		31	11	5	17