# nlp201 hw3

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#### 1

This equivalence is due to the monotonicity of the logarithmic function. The logarithm is a strictly increasing function, so maximizing P(t,w) is equivalent to maximizing log(P(t,w)).

## 2

Define 
$$\pi_j(t_j)$$
 as:  $\pi_j(t_j) = \max_{t_1,...,t_{j-1}} \sum_{i=1}^j \operatorname{score}(w,i,t_i,t_{i-1}).$ 

$$\pi_j(t_j) = \max_{t_{j-1}} \left[ \pi_{j-1}(t_{j-1}) + \operatorname{score}(w,j,t_j,t_{j-1}) \right],$$

where:  $score(w, j, t_j, t_{j-1}) = log(P(w_j \mid t_j)) + log(P(t_j \mid t_{j-1}))$ .  $\pi_{j-1}(t_{j-1})$  represents the maximum score for the tag sequence ending in  $t_{j-1}$  at step j-1.

The current score  $score(w, j, t_j, t_{j-1})$  includes the emission probability  $log(P(w_j \mid t_j))$  and the transition probability  $log(P(t_j \mid t_{j-1}))$ .

Thus,  $\pi_i(t_i)$  depends only on  $\pi_{i-1}(t_{i-1})$  and the scores of the current step.

## 3

#### **Initialization:**

 $\pi_0(\text{START}) = 0$ ,  $\pi_0(t) = -\infty$  for all other tags t.

### **Recursion:**

For each  $j=1,\ldots,n$  (word positions) and each tag  $t_j$ :  $\pi_j(t_j)=\max_{t_{j-1}}\left[\pi_{j-1}(t_{j-1})+\operatorname{score}(w,j,t_j,t_{j-1})\right]$ . Store the backpointer:  $\operatorname{bp}_j(t_j)=\operatorname{arg}\max_{t_{j-1}}\left[\pi_{j-1}(t_{j-1})+\operatorname{score}(w,j,t_j,t_{j-1})\right]$ .

#### **Termination:**

Compute the final score including the STOP tag:  $\pi_{n+1}(\text{STOP}) = \max_{t_n} \left[ \pi_n(t_n) + \text{score}(w, n+1, \text{STOP}, t_n) \right]$ . Store the final backpointer:  $\text{bp}_{n+1}(\text{STOP}) = \arg\max_{t_n} \left[ \pi_n(t_n) + \text{score}(w, n+1, \text{STOP}, t_n) \right]$ .

#### **Backtracking:**

Recover the best sequence by tracing back from  $\mathrm{bp}_{n+1}(\mathrm{STOP})$  to  $\mathrm{bp}_0(\mathrm{START}).$ 

#### Time Complexity:

At each step j, we compute  $\pi_j(t_j)$  for all tags  $t_j$ , requiring a maximization over all possible  $t_{j-1}$ . Let T denote the number of tags:

Per step:  $O(T^2)$ , as we evaluate all  $T \times T$  pairs of current and previous tags. Total for n words:  $O(n \cdot T^2)$ .

The time complexity is  $O(n \cdot T^2)$ .

## 4

From the definition of  $\pi_j(t_j)$ :  $\pi_j(t_j) = \bigoplus_{t_{j-1}} [\pi_{j-1}(t_{j-1}) \otimes \operatorname{score}(w, j, t_j, t_{j-1})]$ . This recursive formulation expresses  $\pi_j(t_j)$  in terms of  $\pi_{j-1}(t_{j-1})$ . Because of the computation using the semiring properties, the recursion relies on the above semiring properties to generalize the computation of  $\pi_j(t_j)$ .

To adapt the algorithm from question 2 for the semiring version:

- 1. Replace the standard max operation with  $\oplus$ , which represents summation in the semiring.
- 2. Replace the addition (+) operation with  $\otimes$ , which represents multiplication in the semiring.
- 3. Initialize  $\pi_0(\text{START}) = 1_s$  (multiplicative identity) and  $\pi_0(t) = 0_s$  (additive identity) for all other tags.
- 4. Use  $\oplus$  and  $\otimes$  for recursive updates:

$$\pi_j(t_j) = \bigoplus_{t_{j-1}} [\pi_{j-1}(t_{j-1}) \otimes \text{score}(w, j, t_j, t_{j-1})].$$

5. Terminate with:

$$\pi_{n+1}(\text{STOP}) = \bigoplus_{t_n} \left[ \pi_n(t_n) \otimes \text{score}(w, n+1, \text{STOP}, t_n) \right].$$

#### Conclusion

The semiring properties ensure that the algorithm is valid for any semiring  $S = \langle A, \oplus, \otimes, 0_s, 1_s \rangle$ . The changes to the standard Viterbi algorithm involve replacing max and + with  $\oplus$  and  $\otimes$ , making the algorithm compatible with a generalized scoring framework.