

Averages:

#1	#2	#3	#4	#5	#6	total
14.1/15	15.6/20	11.7/15	15.2/20	10.6/20	6.1/10	73.4/100

(a)(5 points)

median 76.5

90-100: x x x x x

80-89: x x x x x x x x x x x x

70-79: x x x x x x x

60-69: x x x x x x x

50-59: x x x x x x

<50: x x x

A topological space  $X$  is called a  $\mathbf{T}_3$  space if for every point  $x \in X$  and every closed set  $F \subset X$  with  $x \notin F$ , there exist disjoint open sets  $U$  and  $V$  such that  $x \in U$  and  $F \subset V$ .

(a)(10 points)

Let  $X$  be a compact Hausdorff space. Let  $x \in X$  and let  $F \subset X$  be a closed set with  $x \notin F$ .

For each  $y \in F$ , since  $X$  is Hausdorff, there exist disjoint open sets  $U_y$  and  $V_y$  such that  $x \in U_y$  and  $y \in V_y$ . (2 points)

The collection  $\{V_y : y \in F\}$  forms an open cover of  $F$ . Since  $F$  is closed in the compact space  $X$ ,  $F$  is compact. (2 points)

Therefore, there exist finitely many points  $y_1, y_2, \dots, y_n \in F$  such that  $F \subset \bigcup_{i=1}^n V_{y_i}$ . (2 points)

Let  $U = \bigcap_{i=1}^n U_{y_i}$  (which is open, as a finite intersection of open sets) and  $V = \bigcup_{i=1}^n V_{y_i}$  (which is open). (2 points)

Then  $x \in U$ ,  $F \subset V$ , and  $U \cap V = \emptyset$ , since each  $U_{y_i}$  is disjoint from  $V_{y_i}$ . (2 points)

2.

(a)(5 points)

A topological space is called a  $C_2$  space if it has a countable topological base.

(b)(5 points) (construction 3 points, proof 2 points)

Given a countable basis  $\{B_i\}$ , we select  $x_i \in B_i$  for each  $i$ . For any open set  $A$ , there exists  $x_i \in B_i \subseteq A$  for some  $i$ . Hence,  $\{x_i\}$  is a countable dense set.

(c) (10 points) (example 2 points, separable 4 points,  $C_2$  4 points)

$$X = (\mathbb{R}, \tau), \tau = \overline{\{[a, b) \mid a < b\}}$$

separable:

$\mathbb{Q}$  is a dense subset. (2 points)

For every open set  $U \subseteq \mathbb{R}$ , choose  $x \in U$  and  $x \in [a, b) \subseteq U$ .

Then, there exists  $y \in \mathbb{Q}$  such that  $a < y < b$ . That is,  $\mathbb{Q} \cap U \neq \emptyset$ ,  $\mathbb{Q}$  is a countable dense set. (2 points for proof)

$C_2$ :

$X$  is not  $C_2$ . (1 points)

Assume  $X$  have countable basis  $\{B_i\}$ .

For every  $x \in \mathbb{R}$ , choose  $U_x \in \{B_i\}$  such that  $x \in U_x \subseteq [x, x+1)$ .

Since  $\inf U_x = x$ ,  $U_x \neq U_y$  when  $x \neq y$ .

Then, we can construct a monomorphism  $f : \mathbb{R} \rightarrow \{B_i\}; x \mapsto U_x$ , an contradiction to  $\{B_i\}$  is countable. (3 points for proof)

$$X = (\mathbb{R}, \tau_f)$$

### 3. (Examples 3 points ; Explanation 2 points)

#### (a) Examples of a Closed and Bounded Metric Space That Is Not Compact

##### Example 1: The Set of Natural Numbers $\mathbb{N}$ with the Discrete Metric

Let  $X = \mathbb{N}$  and define the metric by

$$d(m, n) = \begin{cases} 1 & \text{if } m \neq n, \\ 0 & \text{if } m = n. \end{cases}$$

- **Closed:**  $\mathbb{N}$  is trivially closed in itself.
- **Bounded:** For any  $m, n \in X$ ,  $d(m, n) \leq 1$ ; thus, bounded.
- **Not compact:** The open cover  $\{\{n\} : n \in \mathbb{N}\}$  of singletons does not admit a finite subcover. Therefore, not compact.

##### Example 2: Closed Unit Ball in an Infinite-Dimensional Normed Space ( $\ell^2$ )

Let  $X = \ell^2$ , the space of all square-summable sequences, with the norm

$$\|x\| = \left( \sum_{i=1}^{\infty} |x_i|^2 \right)^{1/2}.$$

The closed unit ball is

$$B = \{x \in \ell^2 : \|x\| \leq 1\}.$$

- **Closed:** By definition.
- **Bounded:** Every  $x \in B$  satisfies  $\|x\| \leq 1$ .
- **Not compact:** The standard basis vectors  $e_n = (0, 0, \dots, 1, 0, \dots)$  (1 in the  $n$ -th entry) all lie in  $B$  and have mutual distance  $\sqrt{2}$ , so there is no convergent subsequence. Thus,  $B$  is not compact (by Riesz's lemma).

##### Example 3: $\mathbb{Q} \cap [0, 1]$ as a Subspace of $(\mathbb{Q}, |\cdot|)$

Let  $X = [0, 1] \cap \mathbb{Q}$ , with the metric  $d(x, y) = |x - y|$ .

- **Closed:** In the subspace topology of  $\mathbb{Q}$ , its complement is open in  $\mathbb{Q}$ .
- **Bounded:**  $X \subset [0, 1]$ , so bounded.
- **Not compact:** Since  $\mathbb{Q}$  is not complete, Cauchy sequences in  $X$  may converge to irrational numbers not in  $X$ . For instance, a sequence of rationals converging to an irrational number in  $[0, 1]$  does not converge in  $X$ . Thus, not compact.

**Example 4:  $[0, 1]$  with the Discrete Metric**

Let  $X = [0, 1]$  with the metric

$$d(x, y) = \begin{cases} 1 & \text{if } x \neq y, \\ 0 & \text{if } x = y. \end{cases}$$

- **Closed:**  $[0, 1]$  is the whole space.
- **Bounded:** All distances are at most 1.
- **Not compact:** The cover of all singletons  $\{\{x\} : x \in [0, 1]\}$  has no finite subcover. Thus, not compact.

**Example 5: Closed Unit Ball in  $C[0, 1]$ , the Space of Continuous Functions**

Let  $X = C[0, 1]$ , with the metric induced by the supremum norm,

$$\|f - g\|_\infty = \sup_{x \in [0, 1]} |f(x) - g(x)|.$$

The closed unit ball is

$$B = \{f \in C[0, 1] : \|f\|_\infty \leq 1\}.$$

- **Closed and bounded:** Clear by construction.
- **Not compact:** The sequence  $f_n(x) = x^n$  in  $B$  is not equicontinuous. By the Arzelà–Ascoli theorem,  $B$  is not compact.

**(b) A pair of homeomorphic metric spaces, one complete and the other not**

$\mathbb{R}$  (the set of real numbers with the usual metric) and  $(0, 1)$  (the open interval with the usual metric) are homeomorphic, for example via the map

$$f : \mathbb{R} \rightarrow (0, 1), \quad f(x) = \frac{1}{\pi} \arctan(x) + \frac{1}{2}$$

This can be verified to be a continuous bijection with a continuous inverse (this is a standard homeomorphism between the real line and an open interval).

- $\mathbb{R}$  is complete: every Cauchy sequence converges.
- $(0, 1)$  is not complete: for example, the sequence  $x_n = \frac{1}{n}$  is Cauchy in  $(0, 1)$  but converges to  $0 \notin (0, 1)$ , so it does not converge within  $(0, 1)$ .

**(c) A continuous bijection whose inverse is not continuous****Example 1:  $[0, 1)$  to the Unit Circle  $S^1$** 

Define the function

$$f : [0, 1) \rightarrow S^1 = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$$

by

$$f(t) = (\cos 2\pi t, \sin 2\pi t).$$

Then  $f$  is a continuous bijection.

**However**, the inverse  $f^{-1} : S^1 \rightarrow [0, 1)$  is not continuous.

*Reason:* The unit circle  $S^1$  is compact, while  $[0, 1)$  is not. If the inverse were continuous,  $[0, 1)$  would have to be compact as a continuous image of a compact space, which is not the case. Thus,  $f^{-1}$  is not continuous.

### Example 2: The Identity Map from the Discrete to Standard Topology

Let  $X$  be an infinite set (for example,  $X = \mathbb{R}$ ). Give  $X$  the discrete topology (all subsets are open), denoted  $X_d$ , and the usual Euclidean topology, denoted  $X_s$ .

Consider the identity map

$$\text{id} : (X_d) \rightarrow (X_s), \quad \text{id}(x) = x.$$

This map is a continuous bijection.

**However**, the inverse

$$\text{id}^{-1} : (X_s) \rightarrow (X_d)$$

is not continuous, because singletons are open sets in the discrete topology but are not open in the standard topology on  $X$ .

4.

#### (a) (5 points)

For every open set  $U \subseteq Y$  containing  $f(x)$ ,  $f^{-1}(U)$  is open in  $X$  by the continuity of  $f$ . (2 points)

Since  $x \in f^{-1}(U)$  and  $x_i \rightarrow x$  as  $i \rightarrow \infty$ , all but finitely many terms of the sequence  $\{x_i\}$  lie in  $f^{-1}(U)$ .

Consequently, all but finitely many terms of  $\{f(x_i)\}$  are contained in  $U$ . (2 points)

This implies  $f(x_i) \rightarrow f(x)$  as  $i \rightarrow \infty$ . (1 point)

#### (b) (5 points)

A topological space  $X$  is called  $C_1$  if for any point  $x \in X$ , there exists a **countable neighborhood basis**  $\{U_n\}_{n=1}^{\infty}$  such that every neighborhood of  $x$  contains some  $U_n$ .

#### (c) (10 points)

Suppose  $f : X \rightarrow Y$  is not continuous. By condition, there exists an open set  $U \subseteq Y$  such that  $f^{-1}(U)$  is not open in  $X$ . (2 points)

Choose a point

$$x \in f^{-1}(U) \setminus \text{int}(f^{-1}(U)).$$

Since  $X$  is a first-countable space ( $C_1$ ), there exists a nested countable local basis  $\{B_i\}$  at  $x$  satisfying  $B_i \supseteq B_{i+1}$  for all  $i$ . (2 points)

Observe that  $x \notin \text{int}(f^{-1}(U))$ . Therefore, for each  $i$ , the intersection

$$B_i \cap (X \setminus f^{-1}(U))$$

is nonempty. (2 points)

We may thus select

$$x_i \in B_i \setminus f^{-1}(U)$$

By the nested property of  $\{B_i\}$ , the sequence  $x_i$  converges to  $x$ . (1 point)

However, since  $x_i \notin f^{-1}(U)$ , we have  $f(x_i) \notin U$  for all  $i$ . (1 point)

This contradicts the fact that  $f(x) \in U$  and  $f(x_i) \rightarrow f(x)$ . (1 point)

Hence,  $f$  must be continuous. (1 point)

**5.**

**(a)**

For *any*  $f \in \mathcal{C}(X, Y)$ , show that  $f$  is in at least one  $S(C, U)$ :

- Take any  $f$ . Since  $Y$  is open,  $f(C) \subset Y$ , and  $f(C) = \{f(x)\} \subset U$ . So  $f \in S(C, Y)$ .

**OR**

- Take any  $f$ . Since  $\emptyset$  is compact,  $f(\emptyset) = \emptyset$  is open. So  $f \in S(\emptyset, \emptyset)$ .

Thus, every  $f \in \mathcal{C}(X, Y)$  is in at least one  $S(C, U)$ . So, the union of all sets in  $\mathcal{S}$  is  $\mathcal{C}(X, Y)$ .

By definition, each  $S(C, U) \subset \mathcal{C}(X, Y)$ .

**(b)**

**Step 1:  $F(x)$  is continuous (5 points)**

For each fixed  $x$ , the map  $y \mapsto f(x, y)$  is the composition of:

- The inclusion  $Y \rightarrow X \times Y \quad y \mapsto (x, y)$  (continuous)
- The map  $f$

So  $F(x)$  is the map  $y \mapsto f(x, y)$ , which is the composition of continuous maps, hence **continuous**.

**Step 2:  $F$  is continuous (10 points)**

We must show  $F^{-1}(S(C, U)) \subset X$  is open for each such subbasis set.

$$F^{-1}(S(C, U)) = \{x \in X \mid F(x) \in S(C, U)\} = \{x \mid F(x)(C) \subset U\}$$

But  $F(x)(C) = \{f(x, c) : c \in C\}$ . So,

$$F^{-1}(S(C, U)) = \{x \mid \forall c \in C, f(x, c) \in U\}$$

Or,

$$F^{-1}(S(C, U)) = \{x \mid \{x\} \times C \subset f^{-1}(U)\}$$

For any  $x_0 \in F^{-1}(S(C, U))$ , we have  $\{x_0\} \times C \subset f^{-1}(U)$ . (2 points)

For each  $c \in C$ , the point  $(x_0, c)$  lies in  $f^{-1}(U)$ .

Since  $f^{-1}(U)$  is an open subset of  $X \times Y$  (since  $f$  is continuous), by the definition of open sets in the product topology, there exist open sets  $W_c \subset X$  containing  $x_0$  and open sets  $V_c \subset Y$  containing  $c$ , such that

$$W_c \times V_c \subset f^{-1}(U). \quad (2 \text{ points})$$

$C$  is covered by this family of open sets  $\{V_c \mid c \in C\}$ . Since  $C$  is compact, there exists a finite subcover  $V_{c_1}, \dots, V_{c_n}$  covering  $C$ . (2 points)

Let

$$W = \bigcap_{i=1}^n W_{c_i}, \quad (2 \text{ points})$$

then  $W$  is an open neighborhood of  $x_0$ .

For any  $x \in W$  and any  $c \in C$ , there exists some  $V_{c_i}$  such that  $c \in V_{c_i}$ . Hence,  $(x, c) \in W_{c_i} \times V_{c_i} \subset f^{-1}(U)$ , so  $f(x, c) \in U$ . (2 points)

Therefore, for any  $x \in F^{-1}(S(C, U))$ , there exists open set  $W \subset F^{-1}(S(C, U))$ .

## 6.(10 points)

### Method 1:

Since  $f$  is an embedding, then  $X \cong f(X)$ .

Without loss of generality, we assume that  $X \subseteq Y$  and  $f : X \hookrightarrow Y$ . (2 points)

We only need to prove that for every compact set  $K \subseteq Y$ ,  $K \cap X$  is also a compact set in  $X$ .

For any compact set  $K \subseteq Y$ , let  $\{U_\alpha \cap X\}_{\alpha \in J}$  be an open cover of  $K \cap X$  in  $X$ , where  $U_\alpha$  is open in  $Y$ . (2 points)

Then,  $\{U_\alpha\}_{\alpha \in J} \cup \{Y \setminus X\}$  form an open cover of  $K$  in  $Y$ . (1 point)

By compactness of  $K$ , there exists a finite subcover  $\{U_{\alpha_1}, U_{\alpha_2}, \dots, U_{\alpha_n}\} \cup \{Y \setminus X\}$  (if needed). (2 points)

Since  $K \cap X \subseteq \bigcup_{i=1}^n U_{\alpha_i}$  and  $Y \setminus X$  is disjoint from  $K \cap X$ , the restricted family  $\{U_{\alpha_i} \cap X\}_{i=1}^n$  must cover  $K \cap X$ . (2 points)

Hence,  $K \cap X$  is a compact set in  $X$ . (1 point)

### Method 2:

For any compact set  $K \subseteq Y$ , denote  $\mathcal{A}$  be an open cover of  $f^{-1}(K)$ . For every point  $y \in K$ , since  $f$  is embedding,  $f^{-1}(y)$  have at most one point.

That is, we can choose  $U_y$  such that  $f^{-1}(y) \in U_y$ .

Since  $f$  is a closed map,  $f(X \setminus U_y)$  is closed and  $y \notin f(X \setminus U_y)$ .

Let  $V_y = Y \setminus f(X \setminus U_y)$ , then  $V_y$  is an open neighborhood of  $y$ ,  $\{V_y\}_{y \in K}$  is an open cover of  $K$ .

Since  $K$  is compact, we can choose a finite subcover  $\{V_{y_1}, \dots, V_{y_n}\}$  of  $K$ .

Thus  $f^{-1}(K)$  is covered by finitely many sets of the form  $F^{-1}(V_y)$ , each of which is covered by  $U_y$ , so it follows that  $F^{-1}(K)$  is compact.