Problem 17: first row a.b., c.d. belongs to 6=2, because for large distance instances; and; their similarity is lover than the second row e.f.g.h. in photo we can see the first row's color is darker than the second row.

d. In care not correct because for Ponts which are near to each other care darker than the 2 points which are four from each other, it should be lighter.

c, d are not correct, because for first cluster it should be 4 points but there are only 3 points.

So the parect one is (a) for 6=2 and (e) for 5=5

problem 2:

f(x) = 6(X-Wa) Wr = XWh Wr X-RMD Wh ER Wr ERKND

1,4 K<1)

there is always error when doing upsampling from K to D dimension.

So the reconstruction error count be O.

if vank (X) < K, then it could be possible.

Exporting the results to PDF

Once you complete the assignments, export the entire notebook as PDF and attach it to your homework solutions. The best way of doing that is

- 1. Run all the cells of the notebook.
- 2. Export/download the notebook as PDF (File -> Download as -> PDF via LaTeX (.pdf)).
- 3. Concatenate your solutions for other tasks with the output of Step 2. On linux, you can use pdfunite, there are similar tools for other platforms, too. You can only upload a single PDF file to Moodle.

Make sure you are using nbconvert version 5.5 or later by running jupyter nbconvert --version. Older versions clip lines that exceed page width, which makes your code harder to grade.

Matrix Factorization

```
import time
import scipy.sparse as sp
import numpy as np
from scipy.sparse.linalg import svds
from sklearn.linear_model import Ridge

import matplotlib.pyplot as plt
%matplotlib inline
```

Restaurant recommendation

The goal of this task is to recommend restaurants to users based on the rating data in the Yelp dataset. For this, we try to predict the rating a user will give to a restaurant they have not yet rated based on a latent factor model.

Specifically, the objective function (loss) we wanted to optimize is:

$$\mathcal{L} = \min_{P,Q} \sum_{(u,i) \in S} (R_{ui} - \mathbf{q}_u \mathbf{p}_i^T)^2 + \lambda \sum_i \left\lVert \mathbf{p}_i \right\rVert^2 + \lambda \sum_u \left\lVert \mathbf{q}_u \right\rVert^2$$

where S is the set of (u, i) pairs for which the rating R_{ui} given by user u to restaurant i is known. Here we have also introduced two regularization terms to help us with overfitting where λ is hyper-parameter that control the strength of the regularization.

The task it to solve the matrix factorization via alternating least squares *and* stochastic gradient descent (non-batched, you may omit the bias).

Hint 1: Using the closed form solution for regression might lead to singular values. To avoid this issue perform the regression step with an existing package such as scikit-learn. It is advisable to use ridge regression to account for regularization.

Hint 2: If you are using the scikit-learn package remember to set fit_intercept = False to only learn the coefficients of the linear regression.

Load and Preprocess the Data (nothing to do here)

```
In [2]: ratings = np.load("exercise_11_matrix_factorization_ratings.npy")
In [3]: # We have triplets of (user, restaurant, rating).
        ratings
Out[3]: array([[101968, 1880,
                                    1],
               [101968,
                         284,
                                     5],
               [101968, 1378,
                                    2],
               [ 72452, 2100,
                                    4],
               [ 72452,
                         2050,
                                    5],
               [ 74861,
                         3979,
                                    5]], dtype=int64)
```

Now we transform the data into a matrix of dimension [N, D], where N is the number of users and D is the number of restaurants in the dataset. We store the data as a sparse matrix to avoid out-of-memory issues.

```
In [4]: n_users = np.max(ratings[:,0] + 1)
    n_restaurants = np.max(ratings[:,1] + 1)
    R = sp.coo_matrix((ratings[:,2], (ratings[:,0], ratings[:,1])), shape=(n_users, n_r)
    R
```

```
Out[4]: <337867x5899 sparse matrix of type '<class 'numpy.int64'>'
with 929606 stored elements in Compressed Sparse Row format>
```

To avoid the cold start problem, in the preprocessing step, we recursively remove all users and restaurants with 10 or less ratings.

Then, we randomly select 200 data points for the validation and test sets, respectively.

After this, we subtract the mean rating for each users to account for this global effect.

Note: Some entries might become zero in this process -- but these entries are different than the 'unknown' zeros in the matrix. We store the indices for which we the rating data available in a separate variable.

```
matrix
          : sp.spmatrix, shape [N, D]
             The input matrix to be preprocessed.
min_entries : int
             Minimum number of nonzero elements per row and column.
Returns
_ _ _ _ _ _
matrix
          : sp.spmatrix, shape [N', D']
             The pre-processed matrix, where N' <= N and D' <= D
print("Shape before: {}".format(matrix.shape))
shape = (-1, -1)
while matrix.shape != shape:
   shape = matrix.shape
   nnz = matrix > 0
   row_ixs = nnz.sum(1).A1 > min_entries # A1 is used to convert the matrix
   matrix = matrix[row_ixs]
   nnz = matrix > 0
   col_ixs = nnz.sum(0).A1 > min_entries
   matrix = matrix[:, col_ixs]
print("Shape after: {}".format(matrix.shape))
nnz = matrix>0
assert (nnz.sum(0).A1 > min_entries).all()
assert (nnz.sum(1).A1 > min_entries).all()
return matrix
```

Task 1: Implement a function that subtracts the mean user rating from the sparse rating matrix

```
In [6]: def shift_user_mean(matrix):
            Subtract the mean rating per user from the non-zero elements in the input matri
            Parameters
            ____
            matrix : sp.spmatrix, shape [N, D]
                   Input sparse matrix.
            Returns
            matrix : sp.spmatrix, shape [N, D]
                     The modified input matrix.
            user_means : np.array, shape [N, 1]
                         The mean rating per user that can be used to recover the absolute
            ....
            # TODO: Compute the modified matrix and user_means
            ## BEGIN SOLUTION
            nnz_mask = (matrix > 0) # represents the non-zero elements in the matrix
            user_means = matrix.sum(1) / nnz_mask.sum(1) #sum of all ratings per user divid
```

```
subtract_mask = sp.csr_matrix(user_means).multiply(nnz_mask) #transform the u
matrix = matrix-subtract_mask
## END SOLUTION

assert np.all(np.isclose(matrix.mean(1), 0))
return matrix, user_means
```

Split the data into a train, validation and test set (nothing to do here)

```
In [7]: def split_data(matrix, n_validation, n_test):
            Extract validation and test entries from the input matrix.
            Parameters
            _____
            matrix : sp.spmatrix, shape [N, D]
                           The input data matrix.
            n_validation : int
                            The number of validation entries to extract.
                         : int
            n_test
                            The number of test entries to extract.
            Returns
            matrix_split : sp.spmatrix, shape [N, D]
                            A copy of the input matrix in which the validation and test e
            val_idx : tuple, shape [2, n_validation]
                            The indices of the validation entries.
            test_idx
                         : tuple, shape [2, n_test]
                            The indices of the test entries.
            val_values
                         : np.array, shape [n_validation, ]
                             The values of the input matrix at the validation indices.
            test_values : np.array, shape [n_test, ]
                            The values of the input matrix at the test indices.
            matrix_cp = matrix.copy()
            non_zero_idx = np.argwhere(matrix_cp)
            ixs = np.random.permutation(non_zero_idx)
            val_idx = tuple(ixs[:n_validation].T)
            test_idx = tuple(ixs[n_validation:n_validation + n_test].T)
            val_values = matrix_cp[val_idx].A1
            test_values = matrix_cp[test_idx].A1
            matrix_cp[val_idx] = matrix_cp[test_idx] = 0
            matrix_cp.eliminate_zeros()
```

```
return matrix_cp, val_idx, test_idx, val_values, test_values

In [8]: R = cold_start_preprocessing(R, 20)

Shape before: (337867, 5899)
Shape after: (3529, 2072)

In [9]: n_validation = 200
n_test = 200
# Split data
R_train, val_idx, test_idx, val_values, test_values = split_data(R, n_validation, n)

In [10]: # Remove user means.
nonzero_indices = np.argwhere(R_train)
R_shifted, user_means = shift_user_mean(R_train)
# Apply the same shift to the validation and test data.
val_values_shifted = val_values - np.ravel(user_means[np.array(val_idx).T[:,0]])
test_values_shifted = test_values - np.ravel(user_means[np.array(test_idx).T[:,0]])
```

Compute the loss function (nothing to do here)

```
In [11]: def loss(values, ixs, Q, P, reg_lambda):
             Compute the loss of the latent factor model (at indices ixs).
             Parameters
             values : np.array, shape [n_ixs,]
                 The array with the ground-truth values.
             ixs : tuple, shape [2, n_ixs]
                 The indices at which we want to evaluate the loss (usually the nonzero indi
             Q : np.array, shape [N, k]
                 The matrix Q of a latent factor model.
             P : np.array, shape [k, D]
                 The matrix P of a latent factor model.
             reg lambda : float
                 The regularization strength
             Returns
             loss : float
                   The loss of the latent factor model.
             mean_sse_loss = np.sum((values - Q.dot(P)[ixs])**2)
             regularization_loss = reg_lambda * (np.sum(np.linalg.norm(P, axis=0)**2) + np.
             return mean_sse_loss + regularization_loss
```

Alternating optimization

In the first step, we will approach the problem via alternating optimization, as learned in the lecture. That is, during each iteration you first update Q while having P fixed and then vice

Task 2: Implement a function that initializes the latent factors Q and P

```
In [12]: def initialize_Q_P(matrix, k, init='random'):
             Initialize the matrices Q and P for a latent factor model.
             Parameters
             _____
             matrix : sp.spmatrix, shape [N, D]
                      The matrix to be factorized.
                   : int
                      The number of latent dimensions.
             init : str in ['svd', 'random'], default: 'random'
                      The initialization strategy. 'svd' means that we use SVD to initialize
                      'random' means we initialize the entries in P and Q randomly in the in
             Returns
             Q : np.array, shape [N, k]
                 The initialized matrix Q of a latent factor model.
             P : np.array, shape [k, D]
                 The initialized matrix P of a latent factor model.
             np.random.seed(0)
             # TODO: Compute Q and P
             ## BEGIN SOLUTION
             if init == 'svd':
                 u, s, v = svds(matrix, k) # s is the 1 dimensional array of singular values
                 Q = u.dot(s)
                 P = V
             elif init == 'random':
                 Q = np.random.rand(matrix.shape[0], k)
                 P = np.random.rand(k, matrix.shape[1])
                 raise ValueError("init not recognized")
             ## END SOLUTION
             assert Q.shape == (matrix.shape[0], k)
             assert P.shape == (k, matrix.shape[1])
             return Q, P
```

Task 3: Implement the alternating optimization approach and stochastic gradient approach

```
In [28]: from scipy.sparse import csr_matrix
```

. . .

Perform matrix factorization using alternating optimization. Training is done v i.e. we stop training after we observe no improvement on the validation loss fo amount of training steps. We then return the best values for Q and P oberved du

Parameters

R : sp.spmatrix, shape [N, D]

The input matrix to be factorized.

non_zero_idx : np.array, shape [nnz, 2]

The indices of the non-zero entries of the un-shifted matri nnz refers to the number of non-zero entries. Note that thi from the number of non-zero entries in the input matrix ${\tt M}$,

that all ratings by a user have the same value.

k : int

The latent factor dimension.

val_idx : tuple, shape [2, n_validation]

Tuple of the validation set indices.

n_validation refers to the size of the validation set.

val_values : np.array, shape [n_validation,]

The values in the validation set.

reg_lambda : float

The regularization strength.

max_steps : int, optional, default: 100

Maximum number of training steps. Note that we will stop ea no improvement on the validation error for a specified numb

(see "patience" for details).

init : str in ['random', 'svd'], default 'random'

The initialization strategy for P and Q. See function initi

log_every : int, optional, default: 1

Log the training status every X iterations.

patience : int, optional, default: 5

Stop training after we observe no improvement of the valida iterations (see eval_every for details). After we stop trai observed values for Q and P (based on the validation loss)

eval_every : int, optional, default: 1

Evaluate the training and validation loss every X steps. If of the validation error, we decrease our patience by 1, els

optimizer : str, optional, default: sgd

If `sgd` stochastic gradient descent shall be used. Otherwi

```
Returns
_____
                 : np.array, shape [N, k]
best Q
                    Best value for Q (based on validation loss) observed during
best P
                 : np.array, shape [k, D]
                    Best value for P (based on validation loss) observed during
validation losses : list of floats
                    Validation loss for every evaluation iteration, can be used
                    loss over time.
                 : list of floats
train_losses
                    Training loss for every evaluation iteration, can be used f
                    loss over time.
converged_after
                 : int
                    it - patience*eval_every, where it is the iteration in whic
                    or -1 if we hit max_steps before converging.
.....
# TODO: Compute best_Q, best_P, validation_losses, train_losses and converged_a
# Build a sparse mask of non-zero entries from non zero idx
nnz_mask = sp.coo_matrix((np.ones(len(non_zero_idx)),
                          (non_zero_idx[:, 0], non_zero_idx[:, 1])),
                         shape=R.shape, dtype="uint8").tocsr()
# Precompute per-column and per-row nonzero indices using LIL format
cols = nnz_mask.T.tolil().rows # list of lists for each column (each list cont
rows = nnz_mask.tolil().rows # list of lists for each row (each list contain
# Create a Ridge regressor instance for ALS updates
reg = Ridge(alpha=reg_lambda, fit_intercept=False)
# Initialize Q and P using the specified strategy
Q, P = initialize_Q_P(R, k, init)
# Precompute training indices in the expected tuple format for the loss function
# (array_of_row_indices, array_of_col_indices)
train_idx = tuple(non_zero_idx.T)
# Initialize containers for losses and early stopping variables
train losses = []
validation_losses = []
best_val_loss = np.inf
best_Q = Q.copy()
best_P = P.copy()
current_patience = patience
converged after = -1
times = []
bef = None
# Ensure that R is in CSR format for efficient row access
R = csr_matrix(R)
```

```
for it in range(max_steps):
    # Timing per iteration (optional)
    if bef is not None:
        times.append(time.time() - bef)
    bef = time.time()
   # Evaluate loss every eval_every iterations
    if it % eval_every == 0:
        # Compute training loss on non-zero entries
        # Here, R[train_idx].A1 converts the nonzero entries to a 1D array
        train_loss = loss(R[train_idx].A1, train_idx, Q, P, reg_lambda)
        train_losses.append(train_loss)
        # Compute validation loss using the provided validation indices and val
        val loss = loss(val_values, val_idx, Q, P, reg_lambda)
        validation_losses.append(val_loss)
        # Update best model if validation loss improves; otherwise, reduce pati
        if val loss < best val loss:</pre>
            best_val_loss = val_loss
            best_Q = Q.copy()
            best_P = P.copy()
            current_patience = patience # reset patience
        else:
            current_patience -= 1
        if log_every and it % log_every == 0:
            print("Iteration {}: training loss = {:.3f}, validation loss = {:.3
        # Early stopping check
        if current_patience <= 0:</pre>
            converged_after = it - patience * eval_every
            print("Early stopping at iteration {}, converged after {} iteration
            break
    # Update latent factors depending on the chosen optimizer
    if optimizer == 'sgd':
        # SGD update: shuffle indices and update one nonzero element at a time.
        sgd_indices = np.arange(len(train_idx[0]))
        np.random.shuffle(sgd_indices)
        for idx in sgd_indices:
            u = train_idx[0][idx]
            i = train_idx[1][idx]
            prediction = Q[u, :].dot(P[:, i])
            # Note: R[u,i] is a 1x1 matrix in sparse format; use float() to ext
            e = float(R[u, i]) - prediction # error
            # Update latent factors using gradient ascent (or descent with the
            Q[u, :] += lr * (e * P[:, i] - reg_lambda * Q[u, :])
            P[:, i] += lr * (e * Q[u, :] - reg_lambda * P[:, i])
    else:
        # ALS update using Ridge regression:
        # First, fix Q and update P (for each item/column)
        for i in range(R.shape[1]):
            nnz_rows = cols[i] # List of row indices where column i is nonzero
            if len(nnz_rows) == 0:
                continue
```

```
# Extract the corresponding ratings as a 1D array
            y = np.squeeze(R[nnz_rows, i].toarray())
            # Fit a ridge regression model with predictors Q[nnz_rows, :]
            res = reg.fit(Q[nnz_rows, :], y)
            P[:, i] = res.coef_
        # Next, fix P and update Q (for each user/row)
        for u in range(R.shape[0]):
            nnz cols = rows[u] # List of column indices where row u is nonzero
            if len(nnz_cols) == 0:
                continue
            y = np.squeeze(R[u, nnz_cols].toarray())
            res = reg.fit(P[:, nnz_cols].T, y)
            Q[u, :] = res.coef_
if times:
    print("Converged after {} iterations, average time per iteration: {:.3f}s".
else:
    print("No timing information collected.")
## END SOLUTION
return best_Q, best_P, validation_losses, train_losses, converged_after
```

Train the latent factor (nothing to do here)

```
In [15]: Q_sgd, P_sgd, val_loss_sgd, train_loss_sgd, converged_sgd = latent_factor alternati
             R_shifted, nonzero_indices, k=100, val_idx=val_idx, val_values=val_values_shift
             reg_lambda=1e-4, init='random', max_steps=100, patience=10, optimizer='sgd', lr
        Iteration 0: training loss = 96808126.324, validation loss = 123875.680
        Iteration 1: training loss = 288085.688, validation loss = 535.421
        Iteration 2: training loss = 164209.860, validation loss = 457.681
        Iteration 3: training loss = 113798.318, validation loss = 432.778
        Iteration 4: training loss = 84373.145, validation loss = 433.530
        Iteration 5: training loss = 65291.349, validation loss = 424.737
        Iteration 6: training loss = 51833.586, validation loss = 432.020
        Iteration 7: training loss = 41798.256, validation loss = 434.836
        Iteration 8: training loss = 34304.399, validation loss = 443.813
        Iteration 9: training loss = 28483.637, validation loss = 446.300
        Iteration 10: training loss = 23895.490, validation loss = 455.048
        Iteration 11: training loss = 20247.941, validation loss = 461.358
        Iteration 12: training loss = 17321.208, validation loss = 459.781
        Iteration 13: training loss = 14852.815, validation loss = 463.825
        Iteration 14: training loss = 12924.490, validation loss = 467.573
        Iteration 15: training loss = 11195.546, validation loss = 471.131
        Early stopping at iteration 15, converged after 5 iterations
        Converged after 5 iterations, average time per iteration: 3.013s
In [16]: Q als, P als, val loss als, train loss als, converged als = latent factor alternati
             R_shifted, nonzero_indices, k=100, val_idx=val_idx, val_values=val_values_shift
             reg_lambda=1e-4, init='random', max_steps=100, patience=5, optimizer='als'
         )
```

```
Iteration 0: training loss = 96808126.324, validation loss = 123875.680

Iteration 1: training loss = 2233.972, validation loss = 2839.390

Iteration 2: training loss = 513.910, validation loss = 1619.397

Iteration 3: training loss = 196.343, validation loss = 959.220

Iteration 4: training loss = 96.200, validation loss = 724.161

Iteration 5: training loss = 54.610, validation loss = 650.903

Iteration 6: training loss = 34.542, validation loss = 639.788

Iteration 7: training loss = 23.874, validation loss = 585.334

Iteration 8: training loss = 17.810, validation loss = 595.625

Iteration 9: training loss = 14.222, validation loss = 598.023

Iteration 10: training loss = 12.003, validation loss = 594.053

Iteration 11: training loss = 9.642, validation loss = 589.631

Iteration 12: training loss = 9.642, validation loss = 588.454

Early stopping at iteration 12, converged after 7 iterations

Converged after 7 iterations, average time per iteration: 4.893s
```

Plot the validation and training losses over for each iteration (nothing to do here)

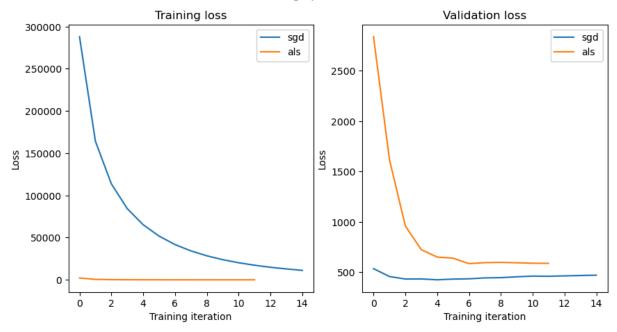
```
In [17]: fig, ax = plt.subplots(1, 2, figsize=[10, 5])
    fig.suptitle("Alternating optimization, k=100")

ax[0].plot(train_loss_sgd[1::], label='sgd')
    ax[0].set_title('Training loss')
    ax[0].set_xlabel("Training iteration")
    ax[0].set_ylabel("Loss")
    ax[0].legend()

ax[1].plot(val_loss_sgd[1::], label='sgd')
    ax[1].plot(val_loss_als[1::], label='als')
    ax[1].set_title('Validation loss')
    ax[1].set_xlabel("Training iteration")
    ax[1].set_ylabel("Loss")
    ax[1].legend()

plt.show()
```

Alternating optimization, k=100



Autoencoder and t-SNE

Hereinafter, we will implement an autoencoder and analyze its latent space via interpolations and t-SNE. For this, we will use the famous Fashion-MNIST dataset.

```
from typing import List

from matplotlib.offsetbox import AnnotationBbox, OffsetImage
from matplotlib import pyplot as plt
from sklearn.decomposition import PCA
from sklearn.manifold import TSNE
import torchvision
from torchvision.datasets import FashionMNIST
import torch
from torch import nn
import torch.nn.functional as F
from torch.optim.lr_scheduler import ExponentialLR
```

Hint: If you run into memory issues simply reduce the batch_size

Downloading http://fashion-mnist.s3-website.eu-central-1.amazonaws.com/train-images-idx3-ubyte.gz

Downloading http://fashion-mnist.s3-website.eu-central-1.amazonaws.com/train-images-idx3-ubyte.gz to data\FashionMNIST\raw\train-images-idx3-ubyte.gz

```
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Extracting data\FashionMNIST\raw\train-images-idx3-ubyte.gz to data\FashionMNIST\raw
Downloading http://fashion-mnist.s3-website.eu-central-1.amazonaws.com/train-labels-
idx1-ubyte.gz
Downloading http://fashion-mnist.s3-website.eu-central-1.amazonaws.com/train-labels-
idx1-ubyte.gz to data\FashionMNIST\raw\train-labels-idx1-ubyte.gz
        29.5k/29.5k [00:00<00:00, 1.40MB/s]
Extracting data\FashionMNIST\raw\train-labels-idx1-ubyte.gz to data\FashionMNIST\raw
Downloading http://fashion-mnist.s3-website.eu-central-1.amazonaws.com/t10k-images-i
dx3-ubyte.gz
Downloading http://fashion-mnist.s3-website.eu-central-1.amazonaws.com/t10k-images-i
dx3-ubyte.gz to data\FashionMNIST\raw\t10k-images-idx3-ubyte.gz
100% 4.42M/4.42M [00:00<00:00, 24.2MB/s]
Extracting data\FashionMNIST\raw\t10k-images-idx3-ubyte.gz to data\FashionMNIST\raw
Downloading http://fashion-mnist.s3-website.eu-central-1.amazonaws.com/t10k-labels-i
dx1-ubyte.gz
Downloading http://fashion-mnist.s3-website.eu-central-1.amazonaws.com/t10k-labels-i
dx1-ubyte.gz to data\FashionMNIST\raw\t10k-labels-idx1-ubyte.gz
100% | 5.15k/5.15k [00:00<?, ?B/s]
Extracting data\FashionMNIST\raw\t10k-labels-idx1-ubyte.gz to data\FashionMNIST\raw
```

Task 4: Define decoder network

Feel free to choose any architecture you like. Our model was this:

```
Autoencoder(
  (encode): Sequential(
    (0): Conv2d(1, 4, kernel_size=(3, 3), stride=(1, 1))
    (1): LeakyReLU(negative slope=0.01)
    (2): Conv2d(4, 16, kernel_size=(3, 3), stride=(1, 1))
    (3): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1,
ceil_mode=False)
    (4): LeakyReLU(negative_slope=0.01)
    (5): BatchNorm2d(16, eps=1e-05, momentum=0.1, affine=True,
track_running_stats=True)
    (6): LeakyReLU(negative_slope=0.01)
    (7): Conv2d(16, 32, kernel_size=(3, 3), stride=(1, 1))
    (8): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1,
ceil_mode=False)
    (9): LeakyReLU(negative slope=0.01)
    (10): Conv2d(32, 32, kernel_size=(3, 3), stride=(1, 1))
    (11): LeakyReLU(negative_slope=0.01)
  (decode): Sequential(
    (0): ConvTranspose2d(32, 32, kernel_size=(3, 3), stride=(1, 1))
    (1): LeakyReLU(negative_slope=0.01)
    (2): ConvTranspose2d(32, 16, kernel_size=(3, 3), stride=(2, 2),
output_padding=(1, 1))
```

```
(3): LeakyReLU(negative_slope=0.01)
                 (4): BatchNorm2d(16, eps=1e-05, momentum=0.1, affine=True,
             track_running_stats=True)
                  (5): ConvTranspose2d(16, 16, kernel_size=(3, 3), stride=(2, 2),
             output_padding=(1, 1))
                 (6): LeakyReLU(negative_slope=0.01)
                 (7): ConvTranspose2d(16, 16, kernel_size=(3, 3), stride=(1, 1),
             padding=(1, 1)
                  (8): ConvTranspose2d(16, 4, kernel_size=(3, 3), stride=(1, 1),
             padding=(1, 1)
                 (9): ConvTranspose2d(4, 1, kernel_size=(3, 3), stride=(1, 1))
                 (10): Sigmoid()
               )
             )
In [32]: class Autoencoder(nn.Module):
             ## BEGIN SOLUTION
             def __init__(self):
                 super().__init__()
                 self.encode = nn.Sequential(
                     nn.Conv2d(in_channels=1, out_channels=4, kernel_size=3, stride=1),
                     nn.LeakyReLU(negative_slope=0.01),
                     nn.Conv2d(in_channels=4, out_channels=16, kernel_size=3, stride=1),
                     nn.MaxPool2d(kernel_size=2, stride=2),
                     nn.LeakyReLU(negative_slope=0.01),
                     nn.BatchNorm2d(16),
                     nn.LeakyReLU(negative_slope=0.01),
                     nn.Conv2d(in_channels=16, out_channels=32, kernel_size=3, stride=1),
                     nn.MaxPool2d(kernel_size=2, stride=2),
                     nn.LeakyReLU(negative_slope=0.01),
                     nn.Conv2d(in_channels=32, out_channels=32, kernel_size=3, stride=1),
                     nn.LeakyReLU(negative_slope=0.01)
                 self.decode = nn.Sequential(
                     nn.ConvTranspose2d(in_channels=32, out_channels=32, kernel_size=3, stri
                     nn.LeakyReLU(negative_slope=0.01),
                     nn.ConvTranspose2d(in_channels=32, out_channels=16, kernel_size=3, stri
                     nn.LeakyReLU(negative_slope=0.01),
                     nn.BatchNorm2d(16),
                     nn.ConvTranspose2d(in_channels=16, out_channels=16, kernel_size=3, stri
                     nn.LeakyReLU(negative_slope=0.01),
                     nn.ConvTranspose2d(in_channels=16, out_channels=16, kernel_size=3, stri
                     nn.ConvTranspose2d(in_channels=16, out_channels=4, kernel_size=3, strid
                     nn.ConvTranspose2d(in_channels=4, out_channels=1, kernel_size=3, stride
                     nn.Sigmoid()
                 )
             ## END SOLUTION
             def forward(self, x):
                 z = self.encode(x)
                 x_{approx} = self.decode(z)
                 assert x.shape == x_approx.shape
                 return x_approx
```

```
print(Autoencoder())
        Autoencoder(
          (encode): Sequential(
            (0): Conv2d(1, 4, kernel_size=(3, 3), stride=(1, 1))
            (1): LeakyReLU(negative_slope=0.01)
            (2): Conv2d(4, 16, kernel_size=(3, 3), stride=(1, 1))
            (3): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)
            (4): LeakyReLU(negative_slope=0.01)
            (5): BatchNorm2d(16, eps=1e-05, momentum=0.1, affine=True, track_running_stats=T
        rue)
            (6): LeakyReLU(negative_slope=0.01)
            (7): Conv2d(16, 32, kernel_size=(3, 3), stride=(1, 1))
            (8): MaxPool2d(kernel_size=2, stride=2, padding=0, dilation=1, ceil_mode=False)
            (9): LeakyReLU(negative_slope=0.01)
            (10): Conv2d(32, 32, kernel_size=(3, 3), stride=(1, 1))
            (11): LeakyReLU(negative_slope=0.01)
          )
          (decode): Sequential(
            (0): ConvTranspose2d(32, 32, kernel_size=(3, 3), stride=(1, 1))
            (1): LeakyReLU(negative_slope=0.01)
            (2): ConvTranspose2d(32, 16, kernel_size=(3, 3), stride=(2, 2), output_padding=
        (1, 1))
            (3): LeakyReLU(negative_slope=0.01)
            (4): BatchNorm2d(16, eps=1e-05, momentum=0.1, affine=True, track_running_stats=T
        rue)
            (5): ConvTranspose2d(16, 16, kernel_size=(3, 3), stride=(2, 2), output_padding=
        (1, 1))
            (6): LeakyReLU(negative_slope=0.01)
            (7): ConvTranspose2d(16, 16, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
            (8): ConvTranspose2d(16, 4, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
            (9): ConvTranspose2d(4, 1, kernel_size=(3, 3), stride=(1, 1))
            (10): Sigmoid()
          )
        )
         We see that our model transform the image from 28 \cdot 28 = 784 dimensional space down
         into a 32 \cdot 3 \cdot 3 = 288 dimensional space. However, note that the latent space also must
         contain some spatial information that the decoder needs for decoding.
In [33]: x = test_dataset[0][0][None, ...]
         z = Autoencoder().encode(x)
         print(x.shape)
         print(z.shape)
         print(Autoencoder().decode(z).shape)
        torch.Size([1, 1, 28, 28])
        torch.Size([1, 32, 3, 3])
```

Task 5: Train the autoencoder

torch.Size([1, 1, 28, 28])

Of course, we must train the autoencoder if we want to analyze it later on.

```
In [34]: device = 0 if torch.cuda.is_available() else 'cpu'
         model = Autoencoder().to(device)
         optimizer = torch.optim.Adam(model.parameters(), lr=1e-3, weight_decay=1e-4) # weig
         scheduler = ExponentialLR(optimizer, gamma=0.999)
         log_every_batch = 20
         for epoch in range(50):
             model.train()
             train_loss_trace = []
             for batch, (x, _) in enumerate(train_loader):
                 # TODO: The autoendocer shall be trained on the mse loss
                 ## BEGIN SOLUTION
                 x = x.to(device)
                 optimizer.zero_grad()
                 x_{approx} = model(x)
                 loss = F.mse\_loss(x\_approx, x) # use the mean squared error loss
                 loss.backward()
                 optimizer.step()
                 ## END SOLUTION
                 train_loss_trace.append(loss.detach().item())
                 if batch % log_every_batch == 0:
                     print(f'Training: Epoch {epoch} batch {batch} - loss {loss}')
             model.eval()
             test_loss_trace = []
             for batch, (x, _) in enumerate(test_loader):
                 x = x.to(device)
                 x_{approx} = model(x)
                 loss = F.mse_loss(x_approx, x)
                 test_loss_trace.append(loss.detach().item())
                 if batch % log_every_batch == 0:
                     print(f'Test: Epoch {epoch} batch {batch} loss {loss}')
             print(f'Epoch {epoch} finished - average train loss {np.mean(train_loss_trace)}
                   f'average test loss {np.mean(test_loss_trace)}')
```

```
Training: Epoch 0 batch 0 - loss 0.16332513093948364
Training: Epoch 0 batch 20 - loss 0.07702259719371796
Training: Epoch 0 batch 40 - loss 0.046009503304958344
Test: Epoch 0 batch 0 loss 0.05424020066857338
```

Epoch 0 finished - average train loss 0.07444179316951056, average test loss 0.05377 799160778522

Training: Epoch 1 batch 0 - loss 0.034740861505270004 Training: Epoch 1 batch 20 - loss 0.029188092797994614 Training: Epoch 1 batch 40 - loss 0.02634264901280403

Test: Epoch 1 batch 0 loss 0.024677013978362083

Epoch 1 finished - average train loss 0.02830266283225205, average test loss 0.02443 3193355798723

Training: Epoch 2 batch 0 - loss 0.02467944100499153
Training: Epoch 2 batch 20 - loss 0.023356642574071884
Training: Epoch 2 batch 40 - loss 0.021212579682469368

Test: Epoch 2 batch 0 loss 0.02174491062760353

Epoch 2 finished - average train loss 0.02257301497383643, average test loss 0.02153 863701969385

Training: Epoch 3 batch 0 - loss 0.021204497665166855
Training: Epoch 3 batch 20 - loss 0.020755626261234283
Training: Epoch 3 batch 40 - loss 0.019263967871665955

Test: Epoch 3 batch 0 loss 0.020022915676236153

Epoch 3 finished - average train loss 0.020118014129289125, average test loss 0.0198 1538496911526

Training: Epoch 4 batch 0 - loss 0.020288849249482155
Training: Epoch 4 batch 20 - loss 0.018774626776576042
Training: Epoch 4 batch 40 - loss 0.017693696543574333

Test: Epoch 4 batch 0 loss 0.018866803497076035

Epoch 4 finished - average train loss 0.018633040047045482, average test loss 0.0186 4192318171263

Training: Epoch 5 batch 0 - loss 0.018362239003181458
Training: Epoch 5 batch 20 - loss 0.017524991184473038
Training: Epoch 5 batch 40 - loss 0.017268827185034752

Test: Epoch 5 batch 0 loss 0.017725510522723198

Epoch 5 finished - average train loss 0.017661373872878187, average test loss 0.0175 39930529892445

Training: Epoch 6 batch 0 - loss 0.01701536402106285
Training: Epoch 6 batch 20 - loss 0.016717921942472458
Training: Epoch 6 batch 40 - loss 0.01660574972629547

Test: Epoch 6 batch 0 loss 0.017155200242996216

Epoch 6 finished - average train loss 0.01679033890240273, average test loss 0.01696 257423609495

Training: Epoch 7 batch 0 - loss 0.016436509788036346
Training: Epoch 7 batch 20 - loss 0.015376505441963673
Training: Epoch 7 batch 40 - loss 0.015487338416278362

Test: Epoch 7 batch 0 loss 0.01612367480993271

Epoch 7 finished - average train loss 0.016002490706110404, average test loss 0.0159 70653668046

Training: Epoch 8 batch 0 - loss 0.015585355460643768
Training: Epoch 8 batch 20 - loss 0.015307411551475525
Training: Epoch 8 batch 40 - loss 0.01493903435766697

Test: Epoch 8 batch 0 loss 0.015602289699018002

Epoch 8 finished - average train loss 0.015366997222526598, average test loss 0.0154 38100416213274

Training: Epoch 9 batch 0 - loss 0.014677392318844795 Training: Epoch 9 batch 20 - loss 0.014951184391975403 Training: Epoch 9 batch 40 - loss 0.014636107720434666 Test: Epoch 9 batch 0 loss 0.015006846748292446 Epoch 9 finished - average train loss 0.014915093558572106, average test loss 0.0148 66883121430873 Training: Epoch 10 batch 0 - loss 0.014897612854838371 Training: Epoch 10 batch 20 - loss 0.014539618976414204 Training: Epoch 10 batch 40 - loss 0.014263765886425972 Test: Epoch 10 batch 0 loss 0.014664363116025925 Epoch 10 finished - average train loss 0.014357844635970512, average test loss 0.014 516695961356163 Training: Epoch 11 batch 0 - loss 0.014133739285171032 Training: Epoch 11 batch 20 - loss 0.013830744661390781 Training: Epoch 11 batch 40 - loss 0.01400463841855526 Test: Epoch 11 batch 0 loss 0.013989139348268509 Epoch 11 finished - average train loss 0.013926606451682115, average test loss 0.013 869798742234707 Training: Epoch 12 batch 0 - loss 0.013612659648060799 Training: Epoch 12 batch 20 - loss 0.013439959846436977 Training: Epoch 12 batch 40 - loss 0.013481802307069302 Test: Epoch 12 batch 0 loss 0.014212798327207565 Epoch 12 finished - average train loss 0.013643492360488844, average test loss 0.014 107572287321091 Training: Epoch 13 batch 0 - loss 0.013737405650317669 Training: Epoch 13 batch 20 - loss 0.013696666806936264 Training: Epoch 13 batch 40 - loss 0.013009854592382908 Test: Epoch 13 batch 0 loss 0.013348404318094254 Epoch 13 finished - average train loss 0.01344069562284118, average test loss 0.0132 2788642719388 Training: Epoch 14 batch 0 - loss 0.01314406469464302 Training: Epoch 14 batch 20 - loss 0.013288733549416065 Training: Epoch 14 batch 40 - loss 0.01324933860450983 Test: Epoch 14 batch 0 loss 0.013626034371554852 Epoch 14 finished - average train loss 0.01307654622310804, average test loss 0.0135 21607406437397 Training: Epoch 15 batch 0 - loss 0.013130522333085537 Training: Epoch 15 batch 20 - loss 0.012910023331642151 Training: Epoch 15 batch 40 - loss 0.01238768920302391 Test: Epoch 15 batch 0 loss 0.012939715757966042 Epoch 15 finished - average train loss 0.012765351067281376, average test loss 0.012 82679894939065 Training: Epoch 16 batch 0 - loss 0.012488340027630329 Training: Epoch 16 batch 20 - loss 0.01271215733140707 Training: Epoch 16 batch 40 - loss 0.012102817185223103 Test: Epoch 16 batch 0 loss 0.01272273063659668 Epoch 16 finished - average train loss 0.01255596500142651, average test loss 0.0126 1558597907424 Training: Epoch 17 batch 0 - loss 0.012682215310633183 Training: Epoch 17 batch 20 - loss 0.012278897687792778 Training: Epoch 17 batch 40 - loss 0.012239453382790089 Test: Epoch 17 batch 0 loss 0.012921199202537537 Epoch 17 finished - average train loss 0.012289256804575354, average test loss 0.012

Training: Epoch 18 batch 0 - loss 0.012089014984667301 Training: Epoch 18 batch 20 - loss 0.0122118154540658 Training: Epoch 18 batch 40 - loss 0.012484940700232983

Test: Epoch 18 batch 0 loss 0.012460680678486824

804200220853091

```
Epoch 18 finished - average train loss 0.012092187574480549, average test loss 0.012
353301979601383
Training: Epoch 19 batch 0 - loss 0.012193476781249046
Training: Epoch 19 batch 20 - loss 0.012568363919854164
Training: Epoch 19 batch 40 - loss 0.011695049703121185
Test: Epoch 19 batch 0 loss 0.0118959816172719
Epoch 19 finished - average train loss 0.011873520879169642, average test loss 0.011
798912286758423
Training: Epoch 20 batch 0 - loss 0.011729513294994831
Training: Epoch 20 batch 20 - loss 0.01189006119966507
Training: Epoch 20 batch 40 - loss 0.012110292911529541
Test: Epoch 20 batch 0 loss 0.011768973432481289
Epoch 20 finished - average train loss 0.011859171581849202, average test loss 0.011
666670627892017
Training: Epoch 21 batch 0 - loss 0.011566086672246456
Training: Epoch 21 batch 20 - loss 0.01139517966657877
Training: Epoch 21 batch 40 - loss 0.011498126201331615
Test: Epoch 21 batch 0 loss 0.012786075472831726
Epoch 21 finished - average train loss 0.011559399176325839, average test loss 0.012
665333412587642
Training: Epoch 22 batch 0 - loss 0.01296939142048359
Training: Epoch 22 batch 20 - loss 0.011646687984466553
Training: Epoch 22 batch 40 - loss 0.011553688906133175
Test: Epoch 22 batch 0 loss 0.011370765045285225
Epoch 22 finished - average train loss 0.011472421066867093, average test loss 0.011
26386970281601
Training: Epoch 23 batch 0 - loss 0.011309162713587284
Training: Epoch 23 batch 20 - loss 0.01118531171232462
Training: Epoch 23 batch 40 - loss 0.01118093729019165
Test: Epoch 23 batch 0 loss 0.011394556611776352
Epoch 23 finished - average train loss 0.011334089451800968, average test loss 0.011
306302808225154
Training: Epoch 24 batch 0 - loss 0.010896682739257812
Training: Epoch 24 batch 20 - loss 0.010997184552252293
Training: Epoch 24 batch 40 - loss 0.010981237515807152
Test: Epoch 24 batch 0 loss 0.01108752004802227
Epoch 24 finished - average train loss 0.011146038012989497, average test loss 0.010
990981385111809
Training: Epoch 25 batch 0 - loss 0.010861345566809177
Training: Epoch 25 batch 20 - loss 0.011222340166568756
Training: Epoch 25 batch 40 - loss 0.01115289144217968
Test: Epoch 25 batch 0 loss 0.011359281837940216
Epoch 25 finished - average train loss 0.01103632120510279, average test loss 0.0112
63496428728103
Training: Epoch 26 batch 0 - loss 0.011453206650912762
Training: Epoch 26 batch 20 - loss 0.010815705172717571
Training: Epoch 26 batch 40 - loss 0.01077176257967949
Test: Epoch 26 batch 0 loss 0.010944569483399391
Epoch 26 finished - average train loss 0.010890498110172103, average test loss 0.010
849197581410407
```

Training: Epoch 27 batch 0 - loss 0.010548166930675507 Training: Epoch 27 batch 20 - loss 0.010749094188213348 Training: Epoch 27 batch 40 - loss 0.010779944248497486

```
KeyboardInterrupt
                                                  Traceback (most recent call last)
        Cell In[34], line 19
             17 x approx = model(x)
             18 loss = F.mse_loss(x_approx, x) # use the mean squared error loss
        ---> 19 loss.backward()
             20 optimizer.step()
             22 ## END SOLUTION
        File g:\anaconda app\envs\ml env\lib\site-packages\torch\ tensor.py:521, in Tensor.b
        ackward(self, gradient, retain_graph, create_graph, inputs)
            511 if has_torch_function_unary(self):
            512
                    return handle_torch_function(
           513
                        Tensor.backward,
            514
                        (self,),
           (\ldots)
           519
                        inputs=inputs,
            520
        --> 521 torch.autograd.backward(
                    self, gradient, retain_graph, create_graph, inputs=inputs
            522
            523 )
        File g:\anaconda app\envs\ml env\lib\site-packages\torch\autograd\ init .py:289, i
        n backward(tensors, grad_tensors, retain_graph, create_graph, grad_variables, input
        s)
            284
                    retain_graph = create_graph
            286 # The reason we repeat the same comment below is that
            287 # some Python versions print out the first line of a multi-line function
            288 # calls in the traceback and some print out the last line
        --> 289 _engine_run_backward(
            290
                   tensors,
            291
                   grad_tensors_,
            292
                   retain_graph,
            293
                  create_graph,
            294
                   inputs,
            295
                   allow_unreachable=True,
            296
                    accumulate_grad=True,
            297 )
        File g:\anaconda_app\envs\ml_env\lib\site-packages\torch\autograd\graph.py:769, in _
        engine_run_backward(t_outputs, *args, **kwargs)
            767
                    unregister_hooks = _register_logging_hooks_on_whole_graph(t_outputs)
           768 try:
                  return Variable._execution_engine.run_backward( # Calls into the C++ en
        --> 769
        gine to run the backward pass
            770
                       t outputs, *args, **kwargs
            771
                    # Calls into the C++ engine to run the backward pass
           772 finally:
            773
                    if attach_logging_hooks:
        KeyboardInterrupt:
In [35]: model.eval()
         with torch.no_grad():
             latent = []
             for batch, (x, _) in enumerate(test_loader):
```

```
latent.append(model.encode(x.to(device)).cpu())
latent = torch.cat(latent)
```

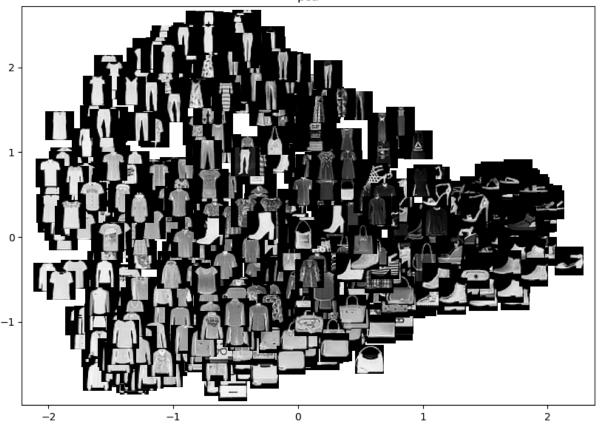
PCA and t-SNE (nothing to do here)

Next, we are going to look at some random images and their embeddings. Since 7x7 is still too large to visialize further dimensionality reduction techniques are required.

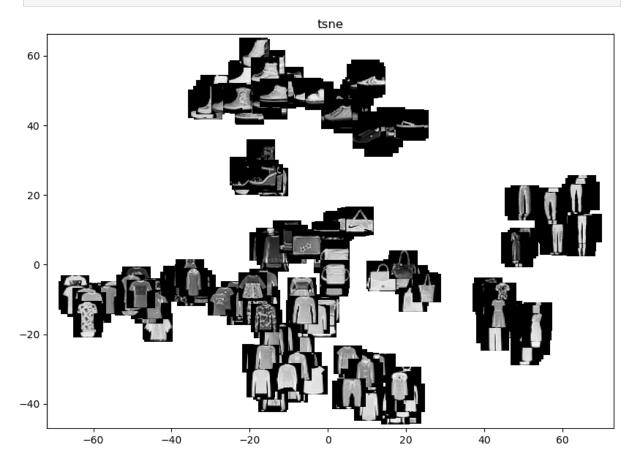
It is not uncommand that a neural network designer wants to understand whats going on in the latent space and therefore uses techniques such as t-SNE.

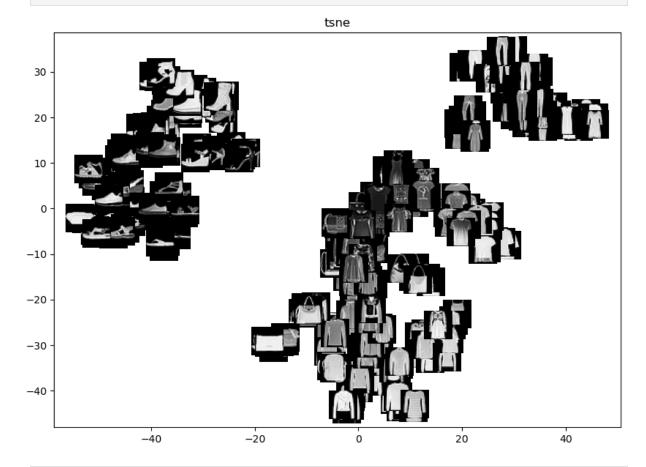
```
In [36]: def plot_latent(test_dataset: torch.utils.data.Dataset, z_test: torch.Tensor, count
                         technique: str, perplexity: float = 30):
             Fit t-SNE or PCA and plots the latent space. Moreover, we then display the corr
             Parameters
             test_dataset : torch.utils.data.DataSet
                             Dataset containing raw images to display.
             z_test
                         : torch.Tensor
                            The transformed images.
             count
                             Number of random images to sample
             technique
                             Either "pca" or "tsne". Otherwise, a ValueError is thrown.
             perplexity : float, optional, default: 30.0
                             Perplexity is t-SNE is used.
             indices = np.random.choice(len(z_test), count, replace=False)
             inputs = z_test[indices]
             fig, ax = plt.subplots(figsize=(10, 7))
             ax.set_title(technique)
             if technique == 'pca':
                 coords = PCA(n_components=2).fit_transform(inputs.reshape(count, -1))
             elif technique == 'tsne':
                 coords = TSNE(n_components=2, perplexity=perplexity).fit_transform(inputs.r
             else:
                 raise ValueError()
             for idx, (x, y) in zip(indices, coords):
                 im = OffsetImage(test_dataset[idx][0].squeeze().numpy(), zoom=1, cmap='gray
                 ab = AnnotationBbox(im, (x, y), xycoords='data', frameon=False)
                 ax.add_artist(ab)
             ax.update_datalim(coords)
             ax.autoscale()
             plt.show()
```



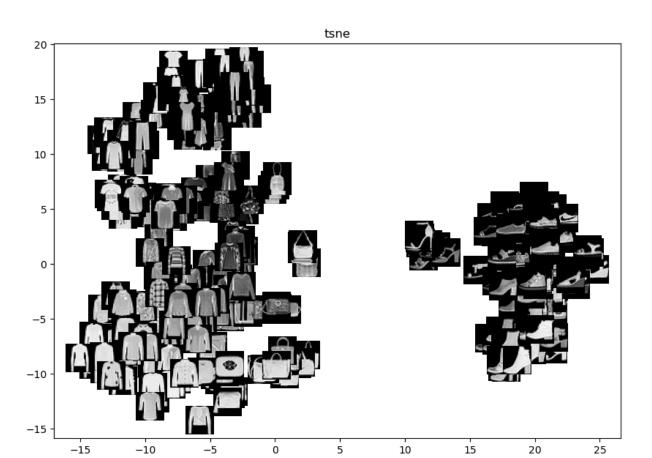


In [38]: plot_latent(test_dataset, latent, 300, 'tsne', perplexity=5)





In [40]: plot_latent(test_dataset, latent, 300, 'tsne', perplexity=30)

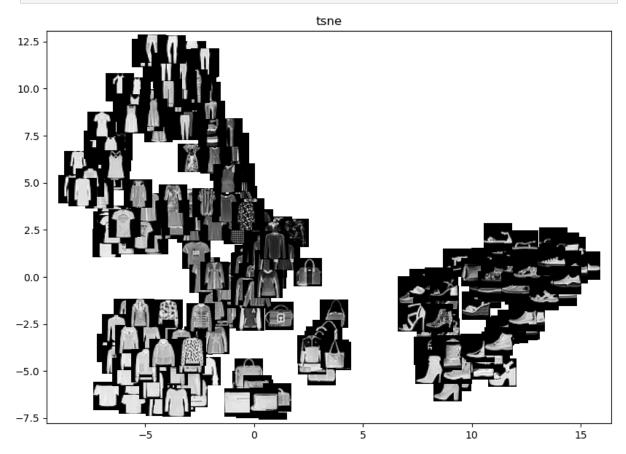


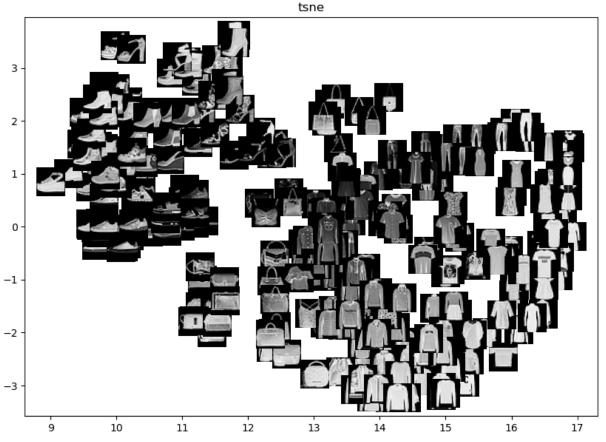
In [41]: plot_latent(test_dataset, latent, 300, 'tsne', perplexity=50)

5

0

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Task 6: Linear Interpolation on the latent space

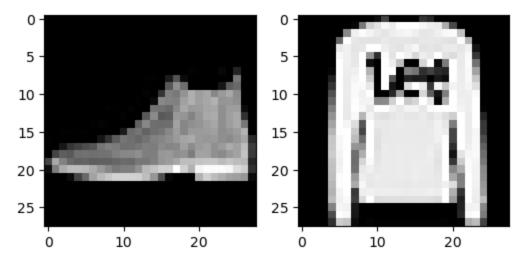
If the latent space has learned something meanigfull, we can leverage this for further analysis/downstream tasks. Anyways, we were wondering all along how the interpolation between a shoe and a pullover might look like.

For this we encode two images $z_i=f_{enc}(x_i)$ and $z_j=f_{enc}(x_j)$. Then we linearly interpolate k equidistant locations on the line between z_i and z_j . Those locations are then be decoded by the decoder network $f_{dec}(\ldots)$.

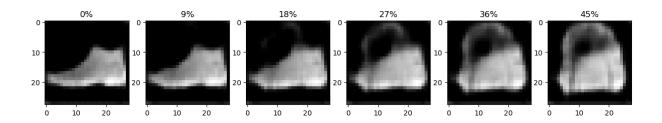
```
Id for second image.
n
              : n, optional, default: 1
                Number of intermediate interoplations (including original recon
.....
fig, ax = plt.subplots(1, 2, figsize=[6, 4])
fig.suptitle("Original images")
ax[0].imshow(test_dataset[idx_i][0][0].numpy(), cmap='gray')
ax[1].imshow(test_dataset[idx_j][0][0].numpy(), cmap='gray')
# Get embedding
z_i = model.encode(test_dataset[idx_i][0].to(device)[None, ...])[0] # test_data
z_j = model.encode(test_dataset[idx_j][0].to(device)[None, ...])[0] #None is us
fig, ax = plt.subplots(2, n//2, figsize=[15, 8])
ax = [sub for row in ax for sub in row]
fig.suptitle("Reconstruction after interpolation in latent space")
with torch.no_grad():
    # TODO: Linearily interpolate between `z_i` and `z_j` in `n` equidistant st
    # Then decode the embedding and plot the image and add the percentage as a
    ## BEGIN SOLUTION
    # Generate n equidistant interpolation factors between 0 and 1.
    alphas = np.linspace(0, 1, n)
    for i, alpha in enumerate(alphas):
        # this interpolation is to transform the latent representation of the i
        z_{interp} = (1 - alpha) * z_i + alpha * z_j
        # Add a batch dimension for decoding (from shape [latent_dim] to [1, la
        z_interp = z_interp.unsqueeze(0)
        # Decode the interpolated latent representation:
        x_recon = model.decode(z_interp)
        # remove the batch dimension
        img = x_recon[0].cpu().numpy().squeeze()
        # Plot the image and add the interpolation percentage as title
        ax[i].imshow(img, cmap='gray')
        ax[i].set_title(f"{alpha*100:.0f}%")
    ## END SOLUTION
plt.show()
```

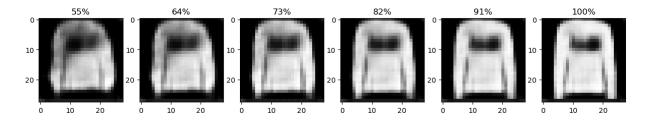
```
In [46]: interpolate_between(model, test_dataset, 0, 1)
```

Original images



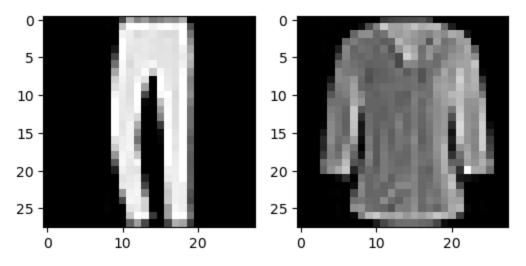
Reconstruction after interpolation in latent space



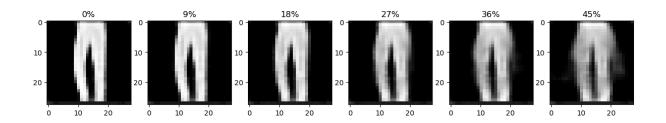


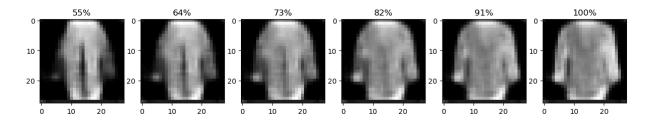
In [47]: interpolate_between(model, test_dataset, 2, 4)

Original images



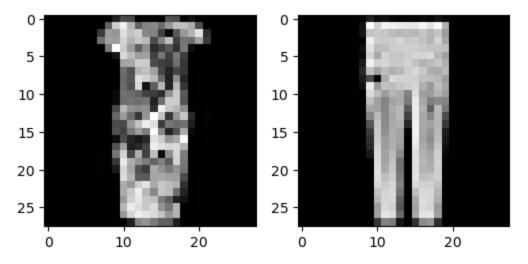
Reconstruction after interpolation in latent space



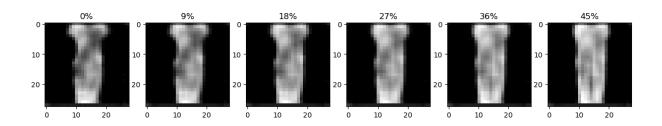


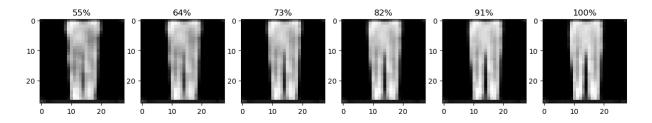
In [48]: interpolate_between(model, test_dataset, 100, 200)

Original images



Reconstruction after interpolation in latent space





In []: