### DEFORMATION OF GALOIS REPRESENTATIONS

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We are given either a number field K and a finite set of primes S, or a local field F, and we are given a representation of either  $G_{K,S}$  or  $G_F$  into  $GL_n(k)$ , where k is a finite field. We want to try to understand all possible lifts of this representation to  $GL_n(A)$ , where A is a complete noetherian local ring with residue field k.

—Fernando Q. Gouvêa (cf. [Gou01])

Main reference: [Böc13; Maz89]

Motivation and history of deformation theory: [Maz97; Gou01]

Basics of groupoids: Appendix of [Kis09]

1. Deformations of representations of profinite groups

### Notations.

prime number

 $\mathbb{F}$ finite field of char. p

 $W(\mathbb{F})$ ring of Witt vectors<sup>1</sup> over A

Gprofinite group

finite  $\mathbb{F}[G]$ -module with continuous G-action  $V_{\mathbb{F}}$ 

ddimension of  $V_{\mathbb{F}}$ a  $\mathbb{F}$ -basis of  $V_{\mathbb{F}}$  $\beta_{\mathbb{F}}$ 

# 1.1. Deformation functors.

Notations.

category of complete Noetherian local  $W(\mathbb{F})$ -algebra with residue field  $\mathbb{F}$ 

full sub-category of finite local Artinian  $W(\mathbb{F})$ -algebras  $\mathfrak{Ar}_{W(\mathbb{F})}$ 

maximal ideal of  $A \in \widehat{\mathfrak{Ar}}_{W(\mathbb{F})}$ 

**Remark 1.1** (???). Via the  $W(\mathbb{F})$ -structure, the residue field of any  $A \in \widehat{\mathfrak{Ar}}_{W(\mathbb{F})}$  is canonically isomorphic to  $\mathbb{F}$ .

**Definition 1.2.** Let  $A \in \mathfrak{Ar}_{W(\mathbb{F})}$ .

- (1) A deformation of  $V_{\mathbb{F}}$  to A is a pair  $(V_A, \iota_A)$ , such that
  - (a)  $V_A$  is A[G]-module, finite free over A, with continuous G-action;
- (b)  $\iota_A: V_A \otimes_A \mathbb{F} \xrightarrow{\cong} V_{\mathbb{F}}$  is G-equivariant. (2) A **framed deformation** of  $(V_{\mathbb{F}}, \beta_{\mathbb{F}})$  to A is a triple  $(V_A, \iota_A, \beta_A)$ , where

We view F as an A-module via the canonical projection  $A \to A/\mathfrak{m}_A =$ 

 $<sup>^{1}</sup>W(\mathbb{F})$  is the unique (up to unique isomorphism) complete discrete valuation ring which is absolutely unramified (uniformizer= p) and has residue field  $\mathbb{F}$ .

- (a)  $(V_A, \iota_A)$  is a deformation of  $V_{\mathbb{F}}$  to A;
- (b)  $\beta_A$  is a A-basis of  $V_A$  which reduces to  $\beta_{\mathbb{F}}$  under  $\iota_A$ .

Set  $D_{V_{\mathbb{F}}}, D_{V_{\mathbb{F}}}^{\square} : \mathfrak{Ar}_{W(\mathbb{F})} \to \operatorname{Set},$ 

$$D_{V_{\mathbb{F}}}(A) = \{ deformations \ of \ V_{\mathbb{F}} \ to \ A \} / \cong,$$

$$D_{V_{\mathbb{F}}}^{\square}(A) = \{ framed \ deformations \ of \ (V_{\mathbb{F}}, \beta_{\mathbb{F}}) \ to \ A \} / \cong .$$

### Remark 1.3.

(1) The <u>FIXED</u> basis  $\beta_{\mathbb{F}}$  gives the isomorphism  $V_{\mathbb{F}} \cong \mathbb{F}^d$  as vector space. Thus we can view  $V_{\mathbb{F}}$  as  $\bar{\rho}: G \to \mathrm{GL}(V_{\mathbb{F}}) = \mathrm{GL}_d(\mathbb{F})$ : a d-dimensional  $\mathbb{F}$ -representation of G. Then

$$D_{V_{\mathbb{F}}}^{\square}(A) = \{ \rho : G \to \operatorname{GL}_d(A) \text{ lifting } \bar{\rho} \},$$

$$\frac{Does \ not \ guarantee \ \beta_{A} \leadsto \beta_{\mathbb{F}}!}{D_{V_{\mathbb{F}}}(A)} = D_{V_{\mathbb{F}}}^{\square}(A) / action \ by \ conjugates \ of \ \ker(\mathrm{GL}_{d}(A) \to \mathrm{GL}_{d}(\mathbb{F})).$$
 Not always representable!

- (2) Mazur only consdier  $D_{V_{\mathbb{R}}}$ , which describes representations lifting  $V_{\mathbb{R}}$  up to isomorphism. Add "base condition"  $\leadsto D_{V_{\overline{\nu}}}^{\square}$ .
- (3) Often consider deformation functors on  $\mathfrak{Ar}_{\mathcal{O}} = \text{category of local artinian } \mathcal{O}\text{-algebra with}$ residue field  $\mathbb{F}$ , where  $\mathcal{O}$  is ring of integers of a finite totally ramified extension of  $W(\mathbb{F}) \left| \frac{1}{n} \right|$  $(\mathcal{O}/\pi\mathcal{O}\cong\mathbb{F}).$

For example, let K be a p-adic field with residue field  $\mathbb{F}_q$ , ring of integers  $\mathcal{O}_K$ , then  $K/W(\mathbb{F}_q)\left[\frac{1}{p}\right]$  is totally ramified and  $W(\mathbb{F}_q)\left[\frac{1}{p}\right]/\mathbb{Q}_p$  is unramified.

## 1.2. Representability.

1.2.1. A finiteness condition.

**Definition 1.4** (Mazur). A profinite group G has finiteness condition  $\Phi_p$ , if  $\forall$  open subgroup  $G' \subset G$ ,  $\dim_{\mathbb{F}_p} \operatorname{Hom}_{\operatorname{cont}}(G', \mathbb{F}_p) < +\infty$ .

### Remark 1.5.

- (1) (Burnside basis theorem)  $\dim_{\mathbb{F}_p} \operatorname{Hom}_{\operatorname{cont}}(G', \mathbb{F}_p) < +\infty \Leftrightarrow \text{maximal pro-p quotient of } G'$ is topologically finitely generated.
- (2)  $\operatorname{Hom}_{\operatorname{cont}}(G', \mathbb{F}_p) \cong \operatorname{Hom}_{\operatorname{cont}}(G'^{\operatorname{ab}}, \mathbb{F}_p).$

**Example 1.6** (by CFT). The following groups have  $\Phi_p$ :

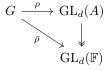
- (1) The Galois group  $\mathcal{G}_K = \operatorname{Gal}(\bar{K}/K)$ , with K a p-adic field.
- (2) The galois group  $\mathcal{G}_{F,S} = \operatorname{Gal}(F_S/F)$ , where F is a number field, S is a finite set of places of F and  $F_S \subset \overline{F}$  is the maximal extension of F unramified outside S.
- 1.2.2. Main proposition.

**Proposition 1.7** (Mazur). If G has  $\Phi_p$ , then

(1) The functor  $D_{V_{\mathbb{F}}}^{\square}$  is pro-representable by some  $R_{V_{\mathbb{F}}}^{\square} \in \widehat{\mathfrak{Ar}}_{W(\mathbb{F})}$ , i.e.

which is functorial in  $A \in \mathfrak{Ar}_{W(\mathbb{F})}$ .

(2) If  $\operatorname{End}_{\mathbb{F}[G]}(V_{\mathbb{F}}) = \mathbb{F}$ , then  $D_{V_{\mathbb{F}}}$  is pro-representable by some  $R_{V_{\mathbb{F}}} \in \widehat{\mathfrak{Ar}}_{W(\mathbb{F})}$ . universal deformation ring



Maybe it's better to write  $D_{V_{\mathbb{Z}}}^{\square}(A) \cong$  $\operatorname{Hom}_{\widehat{\mathfrak{Ar}}_{W(\mathbb{F})}}(R_{V_{\mathbb{F}}}^{\square},A)$ ?

(1) Literally, pro-representable functor = limit of representable functor. How Remark 1.8. can we realize that? [nLa22] defines pro-representable to be the filtered colimit of representables. There's a post on MSE (cf. [htt]) which discusses the difference between the two definitions. It might be ture that

$$\operatorname{Hom}_{W(\mathbb{F})}\Big(R_{V_{\mathbb{F}}}^{\square},A\Big) = \operatorname{Hom}_{\widehat{\mathfrak{Ar}}_{W(\mathbb{F})}}\left(\varprojlim_{k} R_{V_{\mathbb{F}}}^{\square}/\mathfrak{m}_{R_{V_{\mathbb{F}}}^{\square}}^{k},A\right) \cong \varinjlim_{k} \operatorname{Hom}_{\mathfrak{Ar}_{W(\mathbb{F})}}\left(R_{V_{\mathbb{F}}}^{\square}/\mathfrak{m}_{R_{V_{\mathbb{F}}}^{\square}}^{k},A\right),$$

for any  $A \in \mathfrak{Ar}_{W(\mathbb{F})}$ .

- (2) (???) $R_{V_{\mathbb{F}}}^{\square}$  is unique up to unique isomorphism; the identity map in  $\operatorname{Hom}(R_{V_{\mathbb{F}}}^{\square}, R_{V_{\mathbb{F}}}^{\square})$  gives rise to a universal framed deformation over  $R_{V_{\pi}}^{\square}$ .
- (3)  $R_{V_{\pi}}^{\square}$  exists without  $\Phi_p$ , but maybe no longer noetherian.
- $(4) \ (???)\mathbb{F} \hookrightarrow \operatorname{End}_{\mathbb{F}[G]}(V_{\mathbb{F}}) \leadsto write \ "=" in \ \operatorname{End}_{\mathbb{F}[G]}(V_{\mathbb{F}}) = \mathbb{F}.$

Proof of Proposition 1.7.

(1) G finite  $\rightsquigarrow$  profinite.

# • (FORMAL) CONSTRUCTION:

Suppose G is finite. Set

$$G = \langle g_1, \cdots, g_s | r_1(g_1, \cdots, g_s), \cdots, r_t(g_1, \cdots, g_s) \rangle$$

a presentation. Define

$$\mathcal{R} = W(\mathbb{F})[X_{i,j}^k|i,j=1,\cdots,d;k=1,\cdots,s]/\mathcal{I},$$

where

$$\mathcal{I} = \langle r_l(X^1, \cdots, X^s) - \mathrm{id} \rangle_{1 \le l \le t}, X^k = (X_{i,j}^k)_{d \times d}.$$

To make  $\mathcal{R}$  complete, local, noetherian, take

$$\mathcal{J} = \ker(\mathcal{R} \to \mathbb{F}, X^k \mapsto \bar{\rho}(g_k), k = 1, \cdots, s),$$

and set  $R_{V_{\mathbb{F}}}^{\square} = \varprojlim_{n} \mathcal{R}/\mathcal{J}^{n}$  to be the  $\mathcal{J}$ -adic completion of  $\mathcal{R}$ . Besides that, we set  $\rho_{V_{\mathbb{F}}}^{\square} : G \to \mathrm{GL}_{d}(R_{V_{\mathbb{F}}}^{\square}), g_{k} \mapsto \mathrm{image} \text{ of } X^{k} \text{ in } \mathrm{GL}_{d}(R_{V_{\mathbb{F}}}^{\square}).$ VERIFICATION:

Take  $\rho \in D^{\square}_{V_{\sigma}}(A)$ , where  $\rho : G \to \mathrm{GL}_d(A)$ . Define

 $\mathfrak{F}_{\rho} \in \operatorname{Hom}_{W(\mathbb{F})}\left(R_{V_{\mathbb{F}}}^{\square}, A\right), \overline{\text{entries of } X^k} \mapsto \text{corresponding entries of } \rho(g_k), \forall k = 1, \cdots, s.$ 

Then  $\mathfrak{F}_{\rho}$  induces  $\widehat{\mathfrak{F}_{\rho}}: \mathrm{GL}_d(R_{V_{\mathbb{F}}}^{\square}) \to \mathrm{GL}_d(A)$ . It's immediate to check that  $\rho = \widehat{\mathfrak{F}_{\rho}} \circ \rho_{V_{\mathbb{F}}}^{\square}$ and  $\widehat{\mathfrak{F}}_{\rho}$  is unique choice to make the diagram commute. Thus,  $\mathfrak{F}: \rho \mapsto \mathfrak{F}_{\rho}$  gives the pro-representability when G is finite.

• When G is profinite, we have  $G = \lim_i G/H_i$ , where  $H_i \subset \ker(\bar{\rho})$  are open normal subgroups. For every i, one has a universal pair  $(R_i^{\square}, \rho_i^{\square})$  by previous construction. Passing by limits, we define

$$\left(R_{V_{\mathbb{F}}}^{\square},\rho_{V_{\mathbb{F}}}^{\square}\right)=\varprojlim_{i}\left(R_{i}^{\square},\rho_{i}^{\square}\right),\text{with }R_{V_{\mathbb{F}}}^{\square}\in\widehat{\mathfrak{Ar}}_{W(\mathbb{F})}.$$

We will show in [Section 1.4, TBA] that  $R_{V_{\mathbb{F}}}^{\square}$  is noetherian.

(2) By Schlessinger's representability criterion (cf. [Section 1.7, TBA]) or by Kisin's work (cf. [Section 2.1, TBA by Y. Chen]).

#### 1.3. The tangent space.

首先定一个能达到的小目标, 比方说我先证 
$$R_{V_g}^{\square}$$
 是 Noetherian 的.

-Wozki Shod

What is the tangent space of a (local) ring? For  $A \in \widehat{\mathfrak{Ar}}_{W(\mathbb{F})}$ , we consider the affine scheme Spec A, which consists of a single point  $x = \mathfrak{m}_A$ . Then the Zariski tangent space  $\mathfrak{t}_A$  of A is defined to be  $\operatorname{Hom}(\mathfrak{m}_x/\mathfrak{m}_x^2, k(x)) = \operatorname{Hom}(\mathfrak{m}_A/\mathfrak{m}_A^2, \mathbb{F})$ . By [Har77, Ex II.2.8],

$$\mathfrak{t}_A = \operatorname{Hom}(\mathfrak{m}_A/\mathfrak{m}_A^2, \mathbb{F}) = \operatorname{Hom}(\operatorname{Spec} \mathbb{F}[\varepsilon], \operatorname{Spec} A) = \operatorname{Hom}_{W(\mathbb{F})}(A, \mathbb{F}[\varepsilon]).$$

One should notice that  $\mathfrak{t}_{R_{V_{n}}^{\square}} = D_{V_{\mathbb{F}}}^{\square}(\mathbb{F}[\varepsilon]).$ 

**Proposition 1.9.** If G satisfies  $\Phi_p$ , then the universal deformation ring  $R_{V_{\pi}}^{\square}$  is Noetherian.

*Proof.* By Lemma 1.10 (1), one only needs to prove  $\dim_{\mathbb{F}} D_{V_{\mathbb{F}}}^{\square}(\mathbb{F}[\varepsilon]) < \infty$ , which, by Lemma 1.10 (4), reduced to prove  $D_{V_{\mathbb{F}}}(\mathbb{F}[\varepsilon])$  is a finite dimensional  $\mathbb{F}$ -vector space. Then Lemma 1.10 (3) gives what we want.

#### Lemma 1.10.

- (1) If  $\dim_{\mathbb{F}} D^{\square}_{V_{\mathbb{F}}}(\mathbb{F}[\varepsilon]) < \infty$ , then  $R^{\square}_{V_{\mathbb{F}}}$  is Noetherian. (2)  $D_{V_{\mathbb{F}}}(\mathbb{F}[\varepsilon]) \cong \operatorname{Ext}^{1}_{\mathbb{F}[G]}(V_{\mathbb{F}}, V_{\mathbb{F}}) \cong \operatorname{H}^{1}(G, \operatorname{ad}V_{\mathbb{F}})$ .
- (3) If G satisfies  $\Phi_p$ , then  $D_{V_{\mathbb{F}}}(\mathbb{F}[\varepsilon])$  is a finite-dimensional  $\mathbb{F}$ -vector space.
- (4)

$$D_{V_{\mathbb{F}}}^{\square}(\mathbb{F}[\varepsilon]) = \dim_{\mathbb{F}} D_{V_{\mathbb{F}}}(\mathbb{F}[\varepsilon]) + d^2 - h^0(G, \operatorname{ad} V_{\mathbb{F}})$$

(1) If  $\dim_{\mathbb{F}} \mathfrak{t}_{R_{V_{\mathbb{F}}}^{\square}} < \infty$ , we know that  $\mathfrak{m}_{R_{V_{\mathbb{F}}}^{\square}}/\mathfrak{m}_{R_{V_{\mathbb{F}}}^{\square}}^2$  is of finite dimension. Consequently, Proof. by Nakayama's lemma,  $\mathfrak{m}_{R_{12}^{\square}}$  is a finitely generated ideal. The result follows from [Mat87, Theorem 29.4(i)].

### 1.7. Groupid over categories (abstract stuff...)

# Definition 1.11.

- (1) A groupoid category is a category in which all morphisms are isomorphisms.
- (2) Call the isomorphism classes the **connected components** of the groupoid.

#### Remark 1.12.

- (1) Not necessarily all objects in a groupoid are isomorphic.
- (2)  $\operatorname{Hom}_{\mathfrak{C}}(A,A)$  forms a group for  $\forall A \in \operatorname{ob}\mathfrak{C}$ , where  $\mathfrak{C}$  is a groupoid category, and the identity in  $\operatorname{Hom}_{\mathfrak{C}}(A,A)$  is the identity morphism.
- (3)  $A \cong B \Rightarrow \operatorname{Hom}_{\mathfrak{C}}(A, A) \cong \operatorname{Hom}_{\mathfrak{C}}(B, B)$  (non-canonically)

**Definition 1.13.** Let  $\mathfrak{C}$  be a category. Let  $\mathfrak{F}$  be another category,  $\Theta: \mathfrak{F} \to \mathfrak{C}$  be a functor.

- (1) We say  $\eta \in ob(\mathfrak{F})$  lies above  $T \in ob(\mathfrak{C})$ , if  $\Theta(\eta) = T$ .
- (2) We say  $(\eta \xrightarrow{\alpha} \xi) \in \operatorname{Mor}_{\mathfrak{F}}$  lies above  $(T \xrightarrow{f} S) \in \operatorname{Mor}_{\mathfrak{C}}$ , if  $\Theta(\alpha) = f$ .
- (3)  $(T \in ob(\mathfrak{C}), id_T)$  is a subcategory of  $\mathfrak{C}$ . Write  $\mathfrak{F}(T)$  the subcategory of  $\mathfrak{F}$  over  $(T, id_T)$ .

**Definition 1.14** (groupoid over  $\mathfrak{C}$ /category cofibered in groupoids over  $\mathfrak{C}$ ). The triple  $(\mathfrak{F},\mathfrak{C},\Theta)$ is a groupoid over C if

(1) for any morphisms  $(\eta \xrightarrow{\alpha} \xi)$  and  $(\eta \xrightarrow{\alpha'} \xi')$  in  $\mathfrak{F}$  over the same morphism  $T \to S$  in  $\mathfrak{C}$ , there exists unique  $\xi \xrightarrow{u} \xi'$  in  $\mathfrak{F}$  over  $\mathrm{id}_S$  such that  $u \circ \alpha = \alpha'$ .

(2) For any  $\eta \in ob(\mathfrak{C})$  and any  $T \xrightarrow{f} S$  in  $Mor_{\mathfrak{C}}$  with  $\eta$  over T, there exists morphism  $\eta \xrightarrow{\alpha} \xi$  in  $Mor_{\mathfrak{F}}$  over f.

### Remark 1.15.

- (1) For every  $T \in ob(\mathfrak{C})$ , the category  $\mathfrak{F}(T)$  is a groupoid. It's natural to specify a groupoid by specifying objects in  $\mathfrak{F}(T)$  for any  $T \in ob(\mathfrak{C})$ , and specifying isomorphism class of morphisms above any  $T \xrightarrow{f} S$  in  $\mathfrak{C}$ .
- (2) Scheme and stack stuff.....

If for each  $T \in ob(\mathfrak{C})$ , the isomorphism classes of  $\mathfrak{F}(T)$  forms a set, we associate to the category  $\mathfrak{F}$  over  $\mathfrak{C}$  a functor  $|\mathfrak{F}|:\mathfrak{C} \to \operatorname{Set}$  by sending T to the set of isomorphism classes of  $\mathfrak{F}(T)$ .

## Example 1.16.

- (1) To the representation  $V_{\mathbb{F}}$  of G, we define a groupoid  $\mathcal{D}_{V_{\mathbb{F}}}$  over  $\mathfrak{C} = \mathfrak{Ar}_{W(\mathbb{F})}$ :
  - (a) Objects of  $\mathcal{D}_{V_{\mathbb{F}}}$  over  $A \in \mathfrak{Ar}_{W(\mathbb{F})}$ : pairs  $(V_A, \iota_A) \in D_{V_{\mathbb{F}}}(A)$ .
  - (b) Morphism  $(V_A, \iota_A) \to (V_{A'}, \iota_{A'})$  over  $A \to A'$  in  $\mathfrak{Ar}_{W(\mathbb{F})}$ : isomorphism class

$$\left\{\alpha: V_A \otimes_A A' \xrightarrow{\cong} V_{A'} \text{ is an isomorphism } \middle| \iota_{A'} \circ \alpha = \iota_A \right\} / (A')^*$$

- (2) We define the groupoid  $\mathcal{D}_{V_{\mathbb{F}}}^{\square}$  on  $\mathfrak{C} = \mathfrak{Ar}_{W(\mathbb{F})}$  as follows:
  - (a) Objects over  $A \in \mathfrak{Ar}_{W(\mathbb{F})}$ : triples  $(V_A, \iota_A, \beta_A)$ , where  $(V_A, \iota_A) \in \mathcal{D}_{V_{\mathbb{F}}}(A)$  and  $\beta_A$  is an A-basis of  $V_A$  mapping under  $\iota_A$  to the basis  $\beta_{\mathbb{F}}$  of  $V_{\mathbb{F}}$ .
  - (b) Morphism  $(V_A, \iota_A, \beta_A) \to (V_{A'}, \iota_{A'}, \beta_{A'})$  over  $A \to A'$ : isomorphism  $\alpha : V_A \otimes_A A' \xrightarrow{\cong} V_{A'}$  taking  $\beta_A$  to  $\beta_{A'}$ .

There is an obvious morphism of groupoids  $\mathcal{D}_{V_{\mathbb{F}}}^{\square} \to \mathcal{D}_{V_{\mathbb{F}}}$ .

### Remark 1.17.

- (1) The deformation functor  $D_{V_{\mathbb{R}}}$  defined before is exactly  $|\mathcal{D}_{V_{\mathbb{R}}}|$  above.
- (2) When  $V_{\mathbb{F}}$  has non-trivial automorphisms, then so do the object in  $D_{V_{\mathbb{F}}}(A)$ . (???) In this situation, the groipoid  $\mathcal{D}_{V_{\mathbb{F}}}$  captures the geometry of the deformation theory of  $V_{\mathbb{F}}$  more accurately than its functor if isomorphism classes.

## Representability of a groupoid $\Theta: \mathfrak{F} \to \mathfrak{C}$ .

### Definition 1.18.

- (1)  $\forall \eta \in \text{ob}(\mathfrak{F})$ , define the category  $\widetilde{\eta}$  (the category under  $\eta$ ) as the category with objects are morphisms with source  $\eta$  and whose morphisms from  $\eta \xrightarrow{\alpha} \xi$  to  $\eta \xrightarrow{\alpha'} \xi'$  are morphisms  $\xi \xrightarrow{u} \xi'$  in  $\mathfrak{F}$  such that  $u \circ \alpha = \alpha'$ .
- (2) Groupoid  $\mathfrak{F}$  over  $\mathfrak{C}$  is **representable** if there exists  $\eta \in \mathfrak{F}$  such that the canonical functor  $\widetilde{\eta} \to \mathfrak{F}$  is an equivalence of categories.
- (3) Similarly, we define the category T for every  $T \in \mathfrak{C}$ .

One has a commutative diagram of categories:



Lemma 1.19. The left vertical homorphism above is an equivalence of categories.

*Proof.* Abstract nonsense.....

 $\xi$  and  $\xi'$  are not necessarily lying on the same object of  $\mathfrak{C}$ 

REFERENCES 6

**Remark 1.20.** If  $\mathfrak{F}$  is representable by  $\eta$ , then the equivalence  $\widetilde{\eta} \to \Theta(\overline{\eta})$  imples that  $\eta$ , as well as  $\Theta(\eta)$ , are well-defined up to canonical isomorphism. One says that  $\Theta(\eta)$  represents  $\mathfrak{F}$  over  $\mathfrak{C}$ .

Lemma 1.21 (Relation with "classical" representable functor).

(1) If  $\mathfrak{F}$  is representable by  $\eta$ , any two objects of  $\mathfrak{F}(\Theta(\eta))$  are canonically isomorphic and there is an isomorphism of functors

$$\operatorname{Hom}_{\mathfrak{C}}(\Theta(\eta), -) \xrightarrow{\cong} |\mathfrak{F}|,$$

so that  $\Theta(\eta)$  represents  $|\mathfrak{F}|$  in the usual set theoretic sense.

(2) If  $|\mathfrak{F}|$  is representable and for any  $T \in ob(\mathfrak{C})$  any two objects of  $\mathfrak{F}(T)$  are related by a unique isomorphism, then  $\mathfrak{F}$  is representable.

**Remark 1.22.** The groupoid  $\mathcal{D}_{V_{\mathbb{F}}}$  in Example 1.16 is usually not representable. Extending to  $\widehat{\mathfrak{At}}_{W(\mathbb{F})}$  is needed.

# A crucial question: why do we need to use the language of groupoids?

Let's see Kisin's motivation (just a screenshot from Bockle's notes, which is nothing but a rewrite of [Kis09, Appendix (A.6)]):

The main reason why, in some circumstances, one needs to introduce the language of groupoids, is that formation of fiber products is not compatible with the passage from a groupoid  $\mathfrak{F}$  over  $\mathfrak{C}$  to its associated functor  $|\mathfrak{F}|$ . This is a serious technical issue, since Definition 2.4.4 of relative representability depends on the formation of fiber products. We illustrate this with a simple example taken from [34, A.6].

Consider now the situation when the group G is trivial and fix  $\eta = (V_A, \iota_A) \in \mathcal{D}_{V_{\mathbb{F}}}(A)$  for some  $A \in \mathfrak{At}_{W(\mathbb{F})}$ . Then  $\widetilde{\eta} \times_{\mathcal{D}_{V_{\mathbb{F}}}} \mathcal{D}_{V_{\mathbb{F}}}^{\square}$  can be identified with quadruples  $(V'_{A'}\psi'_{A'}, \varphi \colon V_A \otimes_A A' \xrightarrow{\cong} V'_{A'}, \beta_{A'})$ , where  $(V'_{A'}\psi'_{A'}, \beta_{A'}) \in \mathcal{D}_{V_{\mathbb{F}}}^{\square}(A')$  and morphisms over  $\mathrm{id}_{A'}$  are isomorphisms of  $V'_{A'}$  reducing to the identity of  $V_{\mathbb{F}}$ . It follows that this category is a principal homogeneous space for the formal group obtained by completing  $\mathrm{PGL}_d/W(\mathbb{F})$  along its identity section. Hence  $|\widetilde{\eta} \times_{\mathcal{D}_{V_{\mathbb{F}}}} \mathcal{D}_{V_{\mathbb{F}}}^{\square}|(A')$  is isomorphic to the kernel  $\mathrm{Ker}(\mathrm{PGL}_d(A') \to \mathrm{PGL}_d(\mathbb{F}))$ . On the other hand,  $|\mathcal{D}_{V_{\mathbb{F}}}^{\square}(A')|$  is a singleton and hence the same holds for  $|\widetilde{\eta}| \times_{|\mathcal{D}_{V_{\mathbb{F}}}} |\mathcal{D}_{V_{\mathbb{F}}}^{\square}|(A')$ .

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