

### Recall

An infinite set  $A$  is countable  $\Leftrightarrow$  There is a one-to-one map from  $N$  to  $A$   
 e.g.  $\mathbb{Z}$ ,  $N \times N$ ,  $\mathbb{Q}$  are countable  
 $\mathbb{R}$  is not countable

①  $\Sigma^*$  where  $\Sigma$  is a finite set is countable

We can order them by length (and then some arbitrary order)

② The set of all TM is countable

$$TM = \{\langle Q, \Sigma, T, \delta, q_0, q_{acc}, q_{rej} \rangle\}$$

Note that  $M$  can be written as a finite string;  
 We can order them by length of their descriptions.

③ The set of all languages over  $\Sigma = \{0, 1\}$  is NOT countable

We saw that  $\Sigma^*$  is countable and  $w_1, w_2, w_3, \dots$  is an ordering

Suppose ... countable  $L_1, L_2, L_3, \dots$

	$\epsilon$	0	1	00	01	...
$w_1$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	
$w_2$	$x$	$\checkmark$	$x$	$x$	$x$	
$w_3$	$\checkmark$	$x$	$\checkmark$	$x$	$\checkmark$	
$w_4$	$\checkmark$	$\checkmark$	$x$	$\checkmark$	$x$	
$w_5$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	
⋮						

$$L = \{w_i \mid w_i \in L_i\} = \{w_2, w_5, \dots\}$$

$\Rightarrow L \neq L_i$  for  $\forall i$  (just look at  $w_i$ )

$\Rightarrow$  There are languages that are not Turing recognizable

## An example of a non Turing recognizable language

	$\Sigma$	0	1	00	01	10	11	- - -
	$w_1$	$w_2$	$w_3$	$w_4$	$w_5$	$w_6$	$w_7$	$w_8$
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	Acc	
$M_2$	Rej	Rej	Rej	Rej	Rej	Rej	Rej	
$M_3$	Rej	Acc	Rej	Rej	Acc	Acc	Rej	
$M_4$	Rej	∅	Acc	∅	Rej	∅	Rej	
$M_5$	Rej	Acc	∅	Acc	Rej	Acc	Acc	
$M_6$	Rej	Acc	∅	Rej	∅	Acc	Rej	
$M_7$	Rej	Rej	Acc	Rej	∅	Acc	Rej	
$\vdots$								

Let

$$= \{w_2, w_3, w_4, w_5, w_7, \dots\}$$

$$A_{\text{DIAG}} = \{w_i \mid i \in \mathbb{N} \text{ and } M_i \text{ does not accept } w_i\}$$

Can some TM  $M_r$  recognize  $A_{\text{DIAG}}$ ?

Note if  $M_r$  accepts  $w_r \Rightarrow w_r \notin A_{\text{DIAG}}$

if  $M_r$  does not accept  $w_r \Rightarrow w_r \in A_{\text{DIAG}}$

$$\begin{aligned} w_r \in L(M_r) &\Rightarrow w_r \notin A_{\text{DIAG}} \\ w_r \notin L(M_r) &\Rightarrow w_r \in A_{\text{DIAG}} \end{aligned} \Rightarrow L(M_r) \neq A_{\text{DIAG}}$$

Thus:  $A_{\text{DIAG}}$  is not Turing Recognizable.



•  $A_{\text{DIAG}}$

## An example of non decidable

$$ATM = \{ \langle M, w \rangle \mid M \text{ accepts } w\}$$

Suppose there is a decider D with  $L(D) = ATM$ . Then the following TM will recognize  $A_{\text{DIAG}}$ , which is impossible, and hence a contradiction.

- On input  $w_i$

- use the universal U to run D on  $\langle M_i, w_i \rangle$

If it tells us  $\langle M_i, w_i \rangle \in ATM \Rightarrow \text{reject } w_i$

If it tells us  $\langle M_i, w_i \rangle \notin ATM \Rightarrow \text{accept } w_i$

Sx.  $L = \{ \langle M, w_1, w_2 \rangle \mid M \text{ is a TM that accepts both } w_1 \text{ and } w_2\}$

If it is decidable, then some Turing machine R can decide L. Then the following TM will decide ATM

- On input  $\langle M, w \rangle$  of ATM

- use the universal U run R on  $\langle M, w, w \rangle$ . If R says accept (meaning M accepts w), then accept  $\langle M, w \rangle$

If R says reject (meaning M doesn't accept w), then reject

Ex.  $HATM = \{ \langle M, w \rangle \mid M \text{ is a TM that terminates on } w \}$

- On input  $\langle M, w \rangle$  of ATM
- use the universal U run R on  $\langle M, w \rangle$ . If R says accept (meaning  $M$  halts on  $w$ ), using U run  $M$  on  $w$  and
  - If  $M$  accepts  $\Rightarrow$  accept
  - If  $M$  rejects  $\Rightarrow$  reject
- If R says reject (meaning  $M$  loops on  $w$ ) , then reject

Ex.  $ETM = \{ \langle M \rangle \mid L(M) = \emptyset \}$

- On input  $\langle M, w \rangle$  of ATM
- Construct the following TM  $N$ 
  - On input  $x$ 
    - use the universal U run  $M$  on  $w$
    - If accept  $\Rightarrow$  accept
    - else  $\Rightarrow$  reject
- Note  $L(N) = \begin{cases} \Sigma^* & M \text{ accepts } w \\ \emptyset & M \text{ doesn't accept } w \end{cases}$
- Run R on  $\langle N \rangle$ 
  - If R accepts ( $L(N) = \emptyset$ ) reject  $\langle M, w \rangle$
  - If R rejects ( $L(N) \neq \emptyset$ ) accept  $\langle M, w \rangle$

Ex.  $EQTM = \{ \langle M_1, M_2 \rangle \mid L(M_1) = L(M_2) \}$

- On input  $\langle M \rangle$  to  $\bar{E}TM$
- Construct a TM  $N$  that rejects everything
- Run R on  $\langle M, N \rangle$ 
  - If R accepts ( $L(M) = L(N) = \emptyset$ ), accept  $\langle M \rangle$
  - If R rejects ( $L(M) \neq L(N) = \emptyset$ ), reject  $\langle M \rangle$ .

Ex.  $DECIDERS = \{ \langle M \rangle \mid M \text{ halts on every } w \}$

## Undecidable language

- $AD2AA = \{ \langle M_1, w_1 \rangle \mid M_1 \text{ doesn't accept } w_1 \}$
- $ATM = \{ \langle M, w \rangle \mid M \text{ accepts } w \}$
- $HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ terminates on } w \}$
- $DECIDER_{TM} = \{ \langle M \rangle \mid M \text{ is a decider} \}$
- $ETM = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$
- $EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid L(M_1) = L(M_2) \}$

NOTATION:  $L^c = \Sigma^* \setminus L$

**THM** If  $L$  is decidable  $\Rightarrow L^c$  is also decidable.

Remark  $L$  is Turing recognizable  $\Rightarrow L^c$  Turing recognizable.

**DEF** A language  $L$  is called co-Turing recognizable if  $L^c$  is Turing <sup>recognizable</sup>.

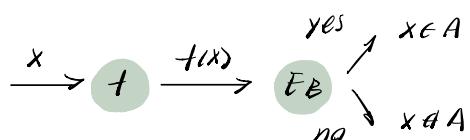
**THM** If  $L$  and  $L^c$  are both Turing recognizable  
 $\Rightarrow L$  and  $L^c$  are decidable.

$\Rightarrow$  Cor :  $\overline{A_{TM}}$  is NOT Turing recognizable.

## Reduction

Let  $A$  and  $B$  be two languages. We say  $A$  is mapping reducible to  $B$  if there is an algorithm that takes a string  $x$  and return  $f(x)$  s.t.

$$x \in A \Leftrightarrow f(x) \in B$$



**THM** Suppose  $A \leq_m B$ , then

- ①  $B$  is decidable  $\Rightarrow A$  is decidable
- ②  $B$  is Turing recognizable  $\Rightarrow A$  is Turing recognizable

Ex.  $ATM = \{ \langle M, w \rangle \mid M \text{ accepts } w \} \leq_m ALLM = \{ \langle N \rangle \mid L(N) = \Sigma^* \}$

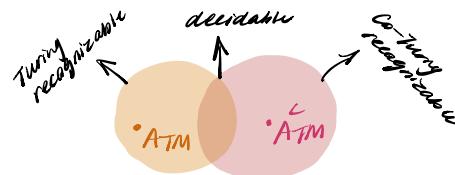
$\vdash (\langle M, w \rangle) = \langle N \rangle =$  "On input  $x$ , run  $M$  on  $w$ :  
if accept  $\Rightarrow$  ACCEPT  
if reject  $\Rightarrow$  REJECT"

Note  $\langle M, w \rangle \in ATM \Leftrightarrow \vdash (\langle M, w \rangle) \in ALLM$

Since  $ATM$  is undecidable  $\Rightarrow ALLM$  is undecidable

Recall that  $ATM$  and  $\overline{ATM}$  are both undecidable.

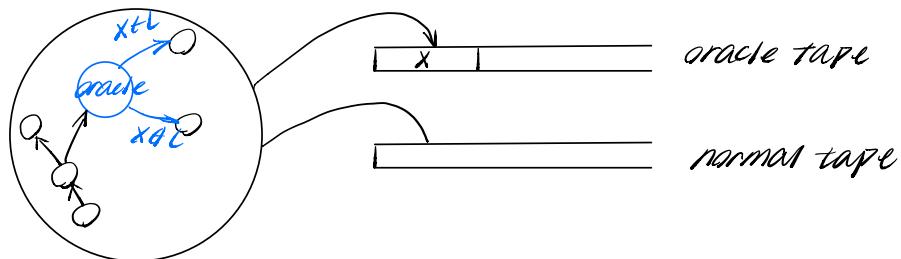
It's NOT true that  $\overline{ATM} \leq_m ATM$ , this will imply  $\overline{ATM}$  is Turing recognizable



### Turing reduction

**DEF** An oracle for a language  $A$  is an imaginary machine that takes an input  $x$  and immediately ACCEPT or REJECT correctly depending on  $x \in A$  or  $x \notin A$ .

**DEF** Let  $L$  be a language. An  $L$ -oracle TM  $M^L$  is similar to TM except that it has an oracle tape and an oracle state that work as below



**DEF**  $A$  is Turing reducible to  $B$  ( $A \leq_T B$ ) if there is a  $B$ -oracle TM  $M^B$  that decides  $A$ .

$\text{① } E_{\text{TM}} \leq_T A_{\text{TM}}$  ( $E_{\text{TM}} = \{\langle M \rangle \mid L(M) = \emptyset\}$ )

The following oracle TM decides  $E_{\text{TM}}$ .

Let  $w_1, w_2, \dots$  be all the possible inputs

$T^{A_{\text{TM}}} = \text{"On input } \langle M \rangle,$

① construct the following TM  $N$ :

$N = \text{"On input } x, \text{ for } i=0,1,2\dots$

$$\begin{aligned} & N \text{ accepts } x \\ & \Leftrightarrow L(M) \neq \emptyset \end{aligned}$$

Run  $M$  on each of  $w_1, w_2, \dots, w_i$  for  $i$  steps

If it accepts any string  $\Rightarrow$  accept

② use the oracle

$$L(M) \neq \emptyset$$

If  $\langle N, \epsilon \rangle \in A_{\text{TM}} \Rightarrow$  reject  $\langle M \rangle$

If  $\langle N, \epsilon \rangle \notin A_{\text{TM}} \Rightarrow$  accept  $\langle M \rangle$

$$L(M) = \emptyset$$

We showed  $E_{\text{TM}} \leq_T A_{\text{TM}}$

Note: this  $T^{A_{\text{TM}}}$  is stronger than TM (can decide a undecidable language), but it is an imaginary machine (cannot use in practice)

**THM**  $A \leq_T B$  and  $B$  is decidable  $\Rightarrow A$  is decidable

**THM**  $\text{If } A \leq_m B \Rightarrow A \leq_T B$

①  $A \leq_m B$  and  $B$  is decidable  $\Rightarrow A$  decidable  $\vee$

②  $A \leq_m B$  and  $B$  is Turing recognizable  $\Rightarrow A$  Turing recognizable  $\vee$

③  $A \leq_T B$  and  $B$  is Turing recognizable  $\Rightarrow A$  Turing recognizable  $\times$

$\overset{C}{A}_{\text{TM}} \leq_T A_{\text{TM}}$   $\left\{ \begin{array}{l} \text{On input } \langle M, w \rangle \\ \text{if } \langle M, w \rangle \in A_{\text{TM}} \text{ reject} \\ \text{else accept} \end{array} \right.$

④  $A \leq_T B \Rightarrow A \leq_m B \times$

⑤  $A \leq_m B \Rightarrow A \leq_T B \vee$

**Rice's Theorem**

$$\begin{aligned} & \exists \langle M \rangle \notin L \text{ and} \\ & \exists \langle M \rangle \in L \end{aligned}$$

$$\begin{aligned} & L(M_1) = L(M_2) \Rightarrow \\ & \text{either } M_1, M_2 \in L \\ & \text{or } M_1, M_2 \notin L \end{aligned}$$

$L = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) \text{ has some non-trivial property}\}$

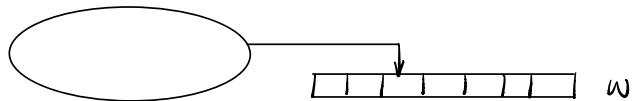
### Example ①

If  $M$  halts on  $w$ , then the computational history of  $M$  on  $w$  is

$$C_1 \Rightarrow C_2 \Rightarrow C_3 \Rightarrow \dots \Rightarrow C_L$$

↓  
to w      accept or reject

**DEF** A **linear bounded automaton** is a TM whose tape-head is not allowed to go beyond the input part. However, it is still allowed to alter the input.



A LBA =  $\{ \langle M, w \rangle \mid M \text{ is an LBA that accepts } w \}$

⇒ decidable.

Note that given  $M$  and  $w$  the set of possible configurations is finite

$$\underbrace{|Q| \times |w|}_{\text{state position of tape head}} \times \underbrace{|T|^{|w|}}_{\text{content of the tape}}$$

We can simulate the computation for  $<$  that many steps. Either same configuration will be repeated and then we'll know that  $M$  loops on  $w$

$$C_1 \Rightarrow \dots \Rightarrow C_k \Rightarrow \dots C_k \Rightarrow \dots \Rightarrow C_k \dots$$

Or we'll arrive at accept or reject

**Claim** Let  $M$  be a TM and  $w$  a string. There is a LBA that decides

$$H_{M,w} = \{ \langle C \rangle \mid C = C_1 \# C_2 \# C_3 \dots \# C_L \text{ is an accepting computational history for } M \text{ on } w \}$$

On input  $C = C_1 \# C_2 \# \dots \# C_L$

- We check to see if  $C_1$  is the correct initial configuration for  $M$  and  $w$
- We go back and forth between  $C_1$  and  $C_2$  to see if  $C_1 \Rightarrow C_2$  is valid
- ...
- Check if  $C_L$  is an accept configuration.

$ELBA = \{ \langle N \rangle \mid N \text{ is a LBA and } L(N) = \emptyset \}$  undecidable

We'll reduce ATM to this language

Gives  $\langle M, w \rangle$  where  $M$  is a TM. Let  $D_{M,w}$  be the LBA that decides

$H_{M,w} = \{ \langle C \rangle \mid C = C_1 \# C_2 \# C_3 \dots \# C_L \text{ is an accepting computational history for } M \text{ on } w \}$

Note that

$$\langle M, w \rangle \in ATM \Leftrightarrow L(D_{M,w}) \neq \emptyset$$

On input  $\langle M, w \rangle$  to ATM

- If  $L(D_{M,w}) \neq \emptyset \Rightarrow$  accept  $\langle M, w \rangle$
- If  $L(D_{M,w}) = \emptyset \Rightarrow$  reject  $\langle M, w \rangle$

**THM**  $EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid L(M_1) = L(M_2) \}$  is NOT Turing recognizable and it's not co-Turing recognizable either.

(i) Suppose it's Turing recognizable by TM  $R$

On input  $\langle M, w \rangle$  to  $\overset{c}{ATM}$

Let  $M_1$  be the TM that rejects everything  $L(M_1) = \emptyset$

$M_2$  "On input  $x$ , run  $M$  on  $w$

- if it accepts  $\Rightarrow$  accept
- if it rejects  $\Rightarrow$  reject"

Note that • if  $\langle M, w \rangle \in ATM \Rightarrow L(M_2) = \emptyset$

• if  $\langle M, w \rangle \notin ATM \Rightarrow L(M_2) \neq \emptyset$

$\langle M, w \rangle \in \overset{c}{ATM} \Leftrightarrow \langle M_1, M_2 \rangle \in EQ_{TM}$ .

$\Rightarrow$  A TM  $R$  that recognizes  $EQ_{TM}$  then can be used to recognize  $\overset{c}{ATM}$

(ii)  $EQ_{TM}^c$  NOT Turing recognizable

$\langle M, w \rangle \in \overset{c}{ATM} \Leftrightarrow \langle M_1, M_2 \rangle \in EQ_{TM}^c$

- $M_1$  accepts everything
- $M_2$  same

## The Post Correspondence Problem

Fix an alphabet  $\Sigma$

We're given a set of dominoes each containing two strings (top and bottom)

e.g.  $\text{input} = \frac{b}{ca}, \frac{a}{ab}, \frac{ca}{a}$

We want to make a finite sequence of dominoes (repetition is allowed) such that the top string matches the bottom string.

e.g.  $\frac{a}{ab} \frac{b}{ca} \frac{ca}{a} \frac{a}{ab} \frac{abc}{c} = \frac{abcaaabc}{abcaaabc}$

$\text{PCP} = \{ \langle P \rangle \mid P \text{ is an instance of a PCP where a match exists} \}$

$\text{MPCP} = \{ \langle P \rangle \mid \text{Same as PCP except that the match has to start by using the first domino} \}$

Both Turing recognizable but NOT decidable

[ Lec 19-20 ]