

COMP 250

Lecture 33

recurrences 1

Nov. 26, 2018

# What's left to do ?

- Lecture 33, 34 : Recurrences
- Lecture 35, 36, 37: Asymptotic Complexity

Let  $t(n)$  be the time or number of instructions to execute an algorithm.

It is relatively easy to determine  $t(n)$  when our algorithms only have loops:

e.g. addition and multiplication,  
manipulating a list,  
quadratic sorting, ...

But how do we determine a  $t(n)$  for a recursive algorithm ?

Example: Suppose a list has  $n$  elements. What is  $t(n)$  for the following?

```
reverse( list ){  
    if list.size == 1  
        return list  
    else{  
        firstElement = list.removeFirst( )  
        list = reverse( list )  
        return list.addLast( firstElement )  
    }  
}
```

# Recurrence Relation

A recurrence relation is a sequence of numbers where the  $n$ -th term depends on previous terms.

e.g. Fibonacci       $F(n) = F(n - 1) + F(n - 2)$

We will consider recurrence relations for  $t(n)$ , the time to execute a recursive algorithm, as a function of the *input size*  $n$ . The recurrence expresses it in terms of the smaller input size.

# Recurrence Relation

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Note: for Fibonacci numbers,  $n$  is an input value. It is NOT the input size. See Exercises.

# Example 1: Reversing a list

```
reverse( list ){  
    if list.size == 1  
        return list  
    else{  
        firstElement = list.removeFirst( )  
        list = reverse( list )  
        return list.addLast( firstElement )  
    }  
}
```

Base case  $n = 1$



$$t(n) = c + t(n - 1)$$

Q: What assumptions are we making about `removeFirst()` and `addLast()` here ?



Q: What assumptions are we making about `removeFirst()` and `addLast()` here ?

A: They can be done in constant time.  
(The former is not true if we use an array list.)

# Solving a recurrence using back substitution

$$t(n) = c + t(n - 1)$$

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$$\begin{aligned}t(n) &= c + t(n - 1) \\ &= c + c + t(n - 2)\end{aligned}$$

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$$\begin{aligned}t(n) &= c + t(n - 1) \\&= c + c + t(n - 2) \\&= c + c + c + t(n - 3)\end{aligned}$$

$$\begin{aligned}
t(n) &= c + t(n - 1) \\
&= c + c + t(n - 2) \\
&= c + c + c + t(n - 3) \\
&= \dots \\
&= c(n - 1) + t(1)
\end{aligned}$$



if base case is  $n = 1$   
(reversing a list)

# Solving a recurrence using back substitution

$$\begin{aligned}t(n) &= c + t(n - 1) \\&= c + c + t(n - 2) \\&= c + c + c + t(n - 3) \\&= \dots \\&= cn + t(0)\end{aligned}$$



if base case is  $n = 0$

# Sorting a list

```
sort( list ) {  
    if list.size == 1  
        return list  
    else{  
        minElement = list.removeMin()  
        list = sort(list)  
        return list.addFirst( minElement )  
    }  
}
```

What is the recurrence relation?

# Sorting a list

```
sort( list ) {  
    if list.size == 1      ← Base case  $n = 1$   
        return list  
    else{  
        minElement = list.removeMin()  
        list = sort(list)  
        return list.addFirst( minElement )  
    }  
}
```

$$t(n) = c_1 + \boxed{c_2 n} + \boxed{t(n - 1)}$$



Q: What assumptions are we making about `addFirst()` here ?

A: It is ok, if this step uses time proportional to  $n$ . Why?

Q: What assumptions are we making about `addFirst()` here ?

A: It is ok, if this step uses time proportional to  $n$ . Why?

Because we `listRemove()` already has time proportional to  $n$ .

Let's solve the slightly simpler recurrence.

$$t(n) = c n + t(n - 1)$$



$$t(n) = c n + t(n - 1)$$

$$= c n + c \cdot (n - 1) + t(n - 2)$$



$$t(n) = c n + t(n-1)$$

$$= c n + c \cdot (n-1) + t(n-2)$$

$$= \dots$$

$$= c \{ n + (n-1) + (n-2) + \dots + (n-k) \} + t(n-k-1)$$



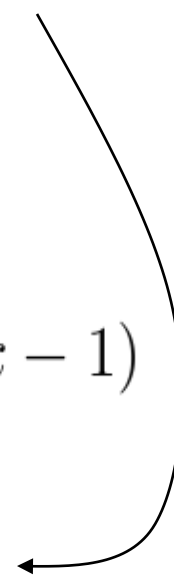
Let's look at the slightly simpler recurrence, **and cleaner base case** ( $n = 0$ ).

$$t(n) = c n + t(n - 1)$$

$$= c n + c \cdot (n - 1) + t(n - 2) \qquad n - k = 1$$

$$= \dots$$

$$= c \{ n + (n - 1) + (n - 2) + \dots + (n - k) \} + t(n - k - 1)$$

$$= c \{ n + (n - 1) + (n - 2) + \dots + 2 + 1 \} + t(0)$$




Let's look at the slightly simpler recurrence, and cleaner base case ( $n = 0$ ).

$$t(n) = c n + t(n - 1)$$

$$= c n + c \cdot (n - 1) + t(n - 2)$$

$$= \dots$$

$$= c \{ n + (n - 1) + (n - 2) + \dots + (n - k) \} + t(n - k - 1)$$

$$= c \{ n + (n - 1) + (n - 2) + \dots + 2 + 1 \} + t(0)$$

$$= \frac{cn(n + 1)}{2} + t(0)$$

## Example 3: Tower of Hanoi

```
tower(n, start, finish, other){  
    if n > 0 {  
        tower( n-1, start, other, finish)  
        move from start to finish  
        tower( n-1, other, finish, start)  
    }  
}
```

$$t(n) = c + 2 t(n - 1)$$



## Example 3: Tower of Hanoi

```
tower(n, start, finish, other){    // base case is n=0
    if n > 0 {
        tower( n-1, start, other, finish)
        move from start to finish
        tower( n-1, other, finish, start)
    }
}
```

$$t(n) = c + 2 t(n - 1)$$


What do you think the solution will be ?

## Tower of Hanoi recurrence

$$t(n) = c + 2 t(n - 1)$$




## Tower of Hanoi recurrence

$$\begin{aligned}t(n) &= c + 2 t(n - 1) \\ &= c + 2(c + 2 t(n - 2))\end{aligned}$$



## Tower of Hanoi recurrence

$$\begin{aligned}t(n) &= c + 2 t(n - 1) \\&= c + 2(c + 2 t(n - 2)) \\&= c (1 + 2) + 4 t(n - 2)\end{aligned}$$


## Tower of Hanoi recurrence

$$\begin{aligned}t(n) &= c + 2 t(n - 1) \\&= c + 2(c + 2 t(n - 2)) \\&= c (1 + 2) + 4 t(n - 2) \\&= c (1 + 2) + 4 (c + 2 t(n - 3))\end{aligned}$$


## Tower of Hanoi recurrence

$$\begin{aligned}t(n) &= c + 2 t(n - 1) \\&= c + 2(c + 2 t(n - 2)) \\&= c (1 + 2) + 4 t(n - 2) \\&= c (1 + 2) + 4 (c + 2 t(n - 3)) \\&= c (1 + 2 + 4) + 8 t(n - 3)\end{aligned}$$


## Tower of Hanoi recurrence


$$\begin{aligned}t(n) &= c + 2 t(n - 1) \\&= c + 2(c + 2 t(n - 2)) \\&= c (1 + 2) + 4 t(n - 2) \\&= c (1 + 2) + 4 (c + 2 t(n - 3)) \\&= c (1 + 2 + 4) + 8 t(n - 3) \\&= \dots \\&= c (1 + 2 + 4 + 8 + \dots + 2^{k-1}) + 2^k t(n - k)\end{aligned}$$




## Tower of Hanoi recurrence

$$\begin{aligned}t(n) &= c + 2 t(n - 1) \\&= c + 2(c + 2 t(n - 2)) \\&= c (1 + 2) + 4 t(n - 2) \\&= c (1 + 2) + 4 (c + 2 t(n - 3)) \\&= c (1 + 2 + 4) + 8 t(n - 3) \\&= \dots \\&= c (1 + 2 + 4 + 8 + \dots + 2^{k-1}) + 2^k t(n - k) \\&= c (1 + 2 + 4 + 8 + \dots + 2^{n-1}) + 2^n t(0)\end{aligned}$$

$n = k$



## Tower of Hanoi recurrence

$$\begin{aligned}t(n) &= c + 2 t(n - 1) \\&= c + 2(c + 2 t(n - 2)) \\&= c (1 + 2) + 4 t(n - 2) \\&= c (1 + 2) + 4 (c + 2 t(n - 3)) \\&= c (1 + 2 + 4) + 8 t(n - 3) \\&= \dots \\&= c (1 + 2 + 4 + 8 + \dots + 2^{k-1}) + 2^k t(n - k) \\&= c (1 + 2 + 4 + 8 + \dots + 2^{n-1}) + 2^n t(0) \\&= c (2^n - 1) + 2^n t(0)\end{aligned}$$

Base case for Tower of Hanoi



You should know ....

$$1 + 2 + 3 + \dots + k = ?$$

$$1 + 2 + 4 + 8 + \dots + 2^k = ?$$

$$1 + x + x^2 + x^3 + \dots + x^k = ?$$

# Example 4: Binary Search

```
binarySearch( list, value, low, high ){  
    if low <= high {  
        mid = low + (high - low) / 2  
        if value == list[mid]  
            return value  
        else if value < list[mid]  
            return binarySearch(list, value, low, mid - 1 )  
        else  
            return binarySearch(list, value, mid+1, high)  
        }  
    else  
        return -1  
}
```

$$t(n) = c + t\left(\frac{n}{2}\right)$$

# Example 4: Binary Search

```
binarySearch( list, value, low, high ){  
    if low <= high {  
        mid = low + (high - low) / 2  
        if value == list[mid]  
            return value ← Base case n = ?  
        else if value < list[mid]  
            return binarySearch(list, value, low, mid - 1 )  
        else  
            return binarySearch(list, value, mid+1, high)  
        }  
    else  
        return -1 ← Base case n = ?  
}
```

$$t(n) = c + t\left(\frac{n}{2}\right)$$

# Example 4: Binary Search


```
binarySearch( list, value, low, high ){  
    if low <= high {  
        mid = low + (high - low) / 2  
        if value == list[mid]  
            return value ← Base case n = 1  
        else if value < list[mid]  
            return binarySearch(list, value, low, mid - 1 )  
        else  
            return binarySearch(list, value, mid+1, high)  
        }  
    else  
        return -1 ← Base case n = 0  
}
```

$$t(n) = c + t\left(\frac{n}{2}\right)$$

**Suppose  $n = 2^k$ .**


$$t(n) = c + t(n/2)$$


Suppose  $n = 2^k$ .

$$\begin{aligned} t(n) &= c + t(n/2) \\ &= c + c + t(n/4) \end{aligned}$$




Suppose  $n = 2^k$ .

$$\begin{aligned} t(n) &= c + t(n/2) \\ &= c + c + t(n/4) \\ &= c + c + \cdots + t(n/2^k) \end{aligned}$$


Suppose  $n = 2^k$ .

$$\begin{aligned}t(n) &= c + t(n/2) \\&= c + c + t(n/4) \\&= c + c + \cdots + t(n/2^k) \\&= c + c + \cdots + c + t(n/n)\end{aligned}$$

Suppose  $n = 2^k$ .

$$\begin{aligned}t(n) &= c + t(n/2) \\&= c + c + t(n/4) \\&= c + c + \cdots + t(n/2^k) \\&= c + c + \cdots + c + t(n/n) \\&= c \log_2 n + t(1)\end{aligned}$$



Base case (we can think of  
it as including  $t(0)$  case)

# Today's Recurrences

$$t(n) = c + t(n - 1)$$

$$t(n) = c n + t(n - 1)$$

$$t(n) = c + 2 t(n - 1)$$

$$t(n) = c + t\left(\frac{n}{2}\right)$$

# Announcement

- Quiz 5 is on Friday. It covers maps & hashing, graphs, and today's lecture.