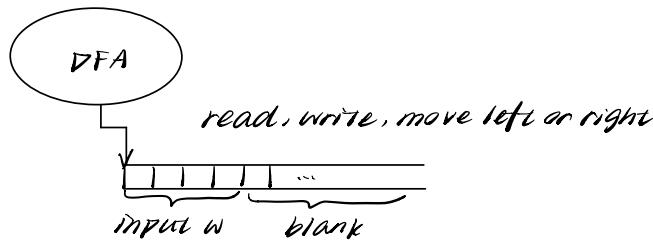


## Turing Machine ♥

Consider a DFA with an infinite memory tape



- one accept state, one reject state.

We stop only when we reach one of these, otherwise it can go forever

- transition  $a \rightarrow b$ , L means if tape-head points at an "a" then replace it with "b" and move left.



**DEF** A turing machine is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$

- $Q$ : finite set of states
  - $\Sigma$ : input alphabet. We have a blank symbol that is not in  $\Sigma$ . " $\sqcup$ "  $\notin \Sigma$
  - $\Gamma$ : tape alphabet :  $\Sigma \cup \{\sqcup\} \subseteq \Gamma$
  - $\delta$ :  $Q \times \Gamma \rightarrow Q \times \Gamma \times \{\text{L}, \text{R}\}$
  - $q_0$ : start
  - $q_{\text{accept}} \neq q_{\text{reject}}$
- $\left. \begin{matrix} \\ \\ \end{matrix} \right\} \text{different} \Rightarrow \text{at least 3 states}$

### COMPUTATION

In the beginning,  $w$  is written in the beginning of the tape. The rest is filled with " $\sqcup$ "s and tape head is on the first cell, we're at  $q_0$ .

- We follow the transitions according to  $\delta$   
(If the tape head is on the first cell and we see an "L", we stay here)
- We stop when we reach  $q_{\text{accept}}$  or  $q_{\text{reject}}$ .

$\Rightarrow$  **Accept**   **Reject**   **Loop**

DEF  $L(M) = \{w \mid M \text{ accepts } w\}$

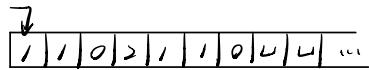
DEF A TM  $M$  that never loops is called **decider** (or algorithm).  
In this case, we say  $M$  **decides**  $L(M)$ .

DEF A language  $L$  is called

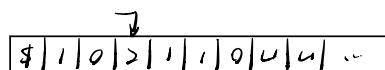
$$\begin{cases} w \in L \Leftrightarrow M \text{ accepts } w \\ w \notin L \Rightarrow M \text{ rejects } w \end{cases}$$

- **Turing-recognizable** if there is a TM  $M$  with  $L(M) = L$
- **decidable** if there is a decider  $M$  with  $L(M) = L$   $\begin{cases} w \in L \Rightarrow \text{accept} \\ w \notin L \Rightarrow \text{reject} \end{cases}$

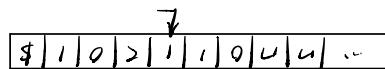
Ex. Construct a TM that decides  $\{ww \mid w \in \{0,1\}^*\}$  over  $\Sigma = \{0,1,2\}$   
*not context-free*

  $\downarrow$

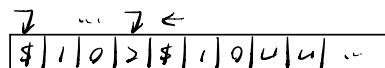
we remember we read 1

  $\downarrow$

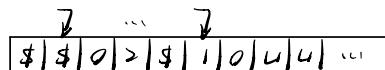
we move the tape until we get 2

  $\downarrow$

we expect to see a "1" (if not reject)

  $\downarrow \dots \downarrow \leftarrow$

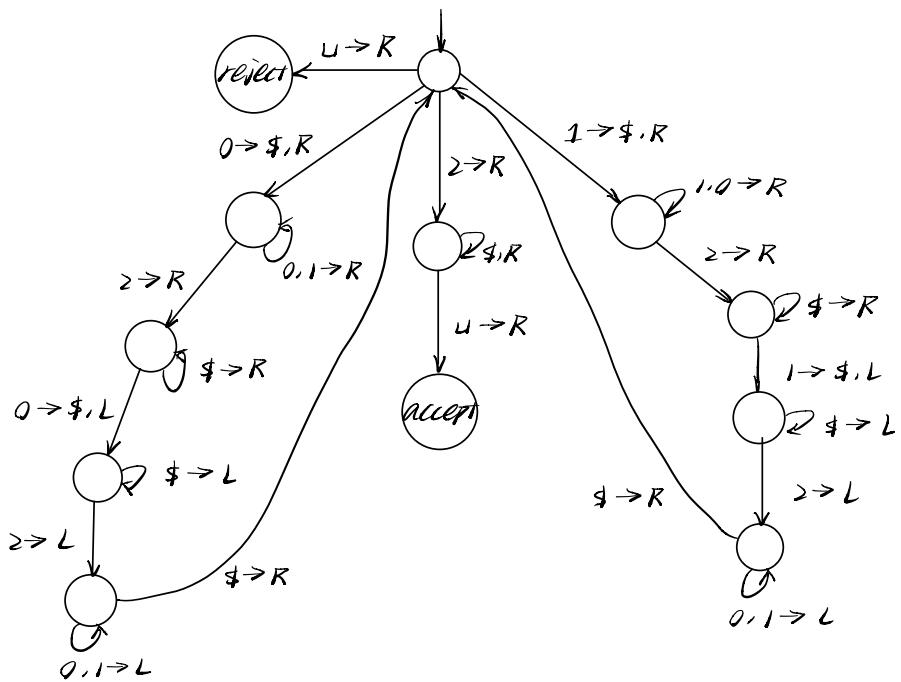
remember we read 1

  $\downarrow \dots \downarrow$

we move to the first unread letter of the second part. If it's not 1 reject

To simplify the picture

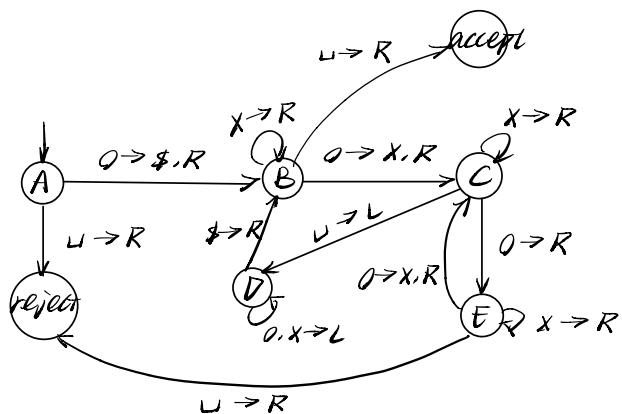
- $a \rightarrow L$  means  $a \rightarrow a.L$
- $a \rightarrow R$  means  $a \rightarrow a.R$
- if an arrow for "a" is missing, it means it exists and go to reject



Ex. Construct a TM that decides  $L = \{0^{2^n} \mid n \geq 0\}$  over  $\Sigma = \{0\}$

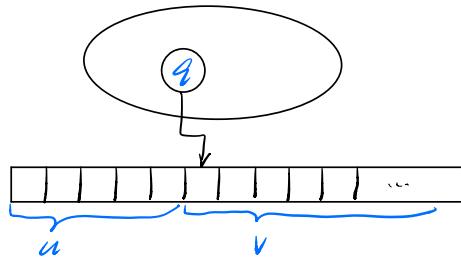
- ① swap from left to right cross off every other "0".
- ② If in stage ① the total combined an odd number of "0"s, then reject.
- ③ Return the head to the left of the tape. Go to stage ①

**start**



Notation A configuration is a "snapshot" of the machine at a particular point in the computation. It contains

- The current state
- The position of head
- The current tape content



we write  $uqv$  to denote this config

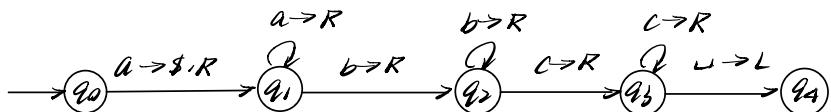
e.g. run on  $w=0000$

A0000	\$B000	\$X000	\$X0E0	\$X0XC
\$X0DX	\$XDOX	\$DXOX	D\$XOX	\$BXOX
\$XB0X	\$XXCX	\$XXXC	\$XXDX	\$XDXX
\$DXXX	D\$XXX	\$BXXX	\$XBXX	\$XXBX
\$XXXB	\$XXXLq			

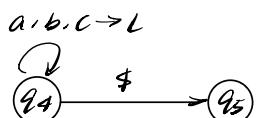
Ex. (Multiplication) Describe a TM that decides

$$L = \{a^n b^m c^{mn} \mid m, n \geq 1\}$$

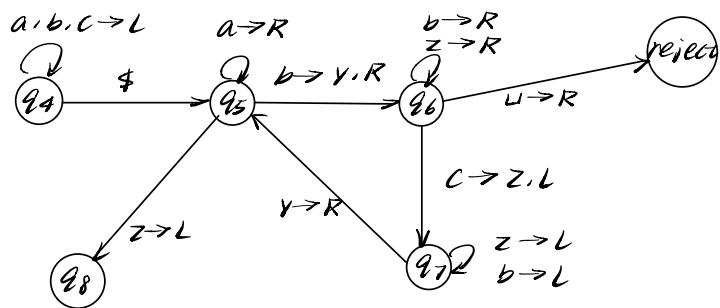
① Format check:  $a^i b^j c^k$



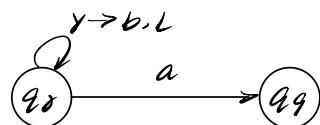
② Bring the tape to the left-end of the tape



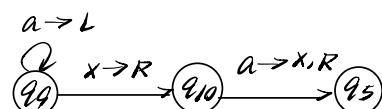
③ We'll use x, y, z to cross off a's, b's and c's. For every "a" that we cross off, we'll go over b's and c's and cross off a "c" for each "b" that we cross off. Afterwards, we recover all b's and repeat this for next "a".



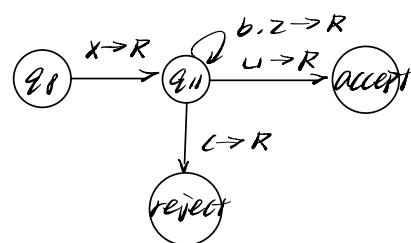
④ restore crossed off b's.



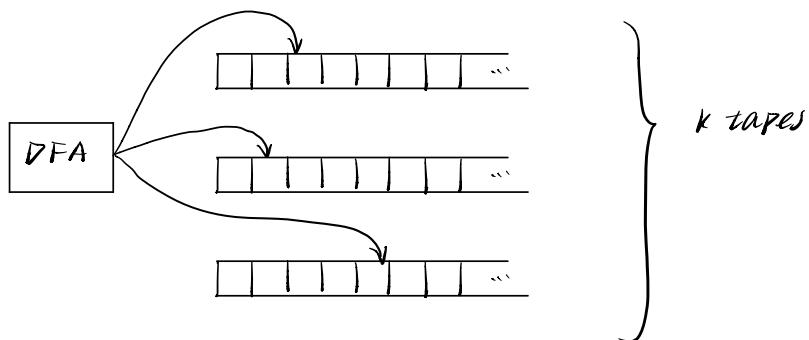
⑤ If there're a's left, cross off the left-most one and get to stage ②



⑥ If no a's left, see if all c's are crossed off. If yes, accept; else - reject.



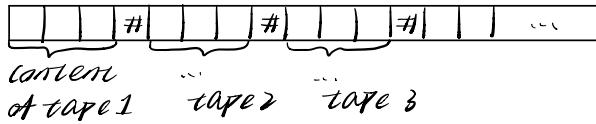
### Multi-Tape Turing Machine



transition:  $\delta: Q \times P^k \rightarrow Q \times P^k \times \{L, R\}^k$

**THM** Every multi-tape TM is equivalent to some single tape TM.

It's possible to simulate several tapes on a single tape



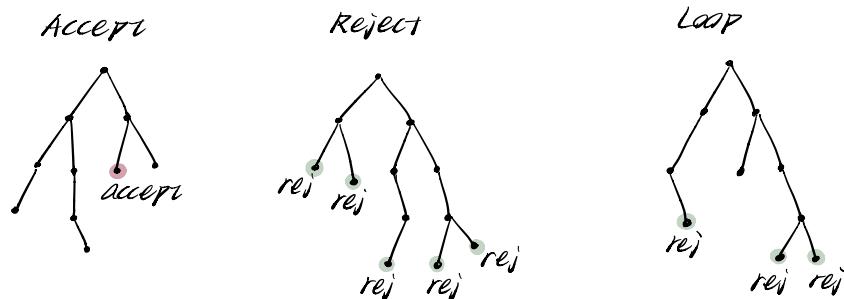
If we need more memory for a box, we shift everything.

### Nondeterministic Turing Machine

At every step during the computation, it may proceed according to several possibilities.

$$\delta: Q \times P \rightarrow P(Q \times P \times \{L, R\})$$

- It accepts  $w$  if there  $\exists$  a computation path with input  $w$  that leads to accept
- It rejects  $w$  if  $\forall$  computation path lead to reject.
- Otherwise loop



**THM** Every nondeterministic TM  $N$  has an equivalent TM  $M$ .

Let  $r$  be the max number of choices that we might face during a computation by  $M$ .

$$r = \max_{q \in Q} |\delta(q, a)| \text{ maximum # children in the parsing tree}$$

Note that a string  $y = y_1, y_2, \dots, y_m$  can tell us what choices to take in the first  $m$  steps of the computation. Here  $y_i \in \{1, \dots, r\}$  and means that in the  $i$ -step, take the  $y_i$ 'th choice out of all the  $\leq r$  available choices.

Consider the following algorithm:

① Set  $m = 1$

② Set  $y = \underbrace{111 \dots 1}_{m}$

③ Run  $M$  on  $w$  for  $m$  steps and whenever we face multiple choices we pick one according to  $y$ . If we reach accept : accept  $w$ . If we didn't reach accept or reject, then remember this as potential "loop".

④ Pick the next  $y \in \{1, \dots, r\}^m$  and go to ③

⑤ If we finish all the  $y$ 's

- If we had not found a potential loop, then accept
- Else increase  $m$  and go to ②

$N$  accept  $\Rightarrow M$  accept

$N$  reject  $\Rightarrow M$  reject

$N$  loop  $\Rightarrow M$  loop

Equivalent to

for  $m = 1$  to  $\infty$

  for each  $y \in \{1, \dots, r\}^m$   $r^m$

    run  $N$  on  $w$  for  $m$  steps

    making choices according to  $y$

    If we reach accept  $\Rightarrow$  accept, terminate

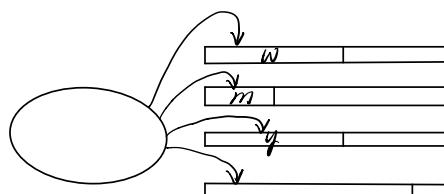
    If we didn't halt after  $m$  steps  $\Rightarrow$  potential loop

  end for

  If no potential loop  $\Rightarrow$  reject, halt

Implementation using 4-tape

• Tape 1: Contain  $w$  (never write on it)



• Tape 4: Simulate the tape of the original TM  $N$ . At every iteration, we clear this, copy  $w$  there and simulate  $N$  on it for  $m$  steps.

**THM** A language is decidable  $\Leftrightarrow$  some non-deterministic TM decides it.

## Enumerator

**DEF** An enumerator is similar to a TM except that it has an output device (printer) to print strings.

- They don't have accept and reject, but can halt.
- Starts with an empty string. keep printing strings.

**THM** A language is Turing recognizable  $\Leftrightarrow$  It can be enumerated by an enumerator.

$\Rightarrow$  A TM  $M$  recognizes  $L$ . Construct an enumerator  $E$  to print  $L$

Let  $s_1, s_2, s_3 \dots$  be all the words in  $\Sigma^*$  sorted according to length and then lexicographically  $\rightarrow$  e.g.  $\epsilon, 0, 1, 00, 01 \dots$

for  $i = 1, 2, \dots, \infty$   
run  $M$  on  $s_i$   $\rightarrow$  wrong! TM might loop  
if  $M$  accepts  $s_i$  then print  $(s_i)$

end for

for  $k = 0, 1, \dots$  # steps  
for  $i = 1, \dots, k$   
run  $M$  on  $s_i$  for  $k$  steps  
if  $M$  accepts  $s_i$  after exactly  $k$  steps  
print  $s_i$

$\Leftarrow$  An enumerator  $E$  for a language  $L$ . to convert it into a TM, consider an input  $w$ , run the enumerator on an empty tape. If at any point it prints  $w$ , we will accept; if it halts without printing it, reject.

- ①  $\{ \langle G, u, v \rangle \mid G \text{ is a finite undirected graph and there is a } uv\text{-path} \}$  decidable.

On input  $w$ , if  $w$  is not of the form  $\langle G, u, v \rangle$ , reject format check  
Run a BFS starting at  $u$  and if we reach  $v$ , accept; else, reject

②  $\{ \langle w \rangle \mid w \text{ is an arrangement of } 8 \times 8 \text{ chess game. If white starts, it can win} \}$  decidable

$\Rightarrow \text{finite} \Rightarrow \text{regular}$

full algo for  $n \times n$   
in Lec 15

for  $k = 1, 2, \dots$

try all the possible moves up to  $k$  steps

if all of them, white has a way of winning  $\Rightarrow \text{accept}$

we will avoid repeating the same configuration (if same  $\Rightarrow$  halt, continue)  
if all the branches stop without white winning  $\Rightarrow \text{reject}$

key : finite amount of configurations.

③  $\{w \mid w = \begin{cases} 1 & \text{Biden wins} \\ 0 & \text{Trump wins} \end{cases}\}$  decidable

when designing TM, assume  $L$  is given.

④  $L = \{ \langle P(x) \rangle \mid P(x) \text{ is a one variable polynomial with integer coefficients and has an integer root} \}$

Turing recognizable

$$\langle x^2 + x + 1 \rangle \notin L \quad \langle x - \frac{1}{3} \rangle \notin L \quad \langle x^2 + 1 \rangle \notin L$$

On input  $w$

check to see if  $w$  is in the right format

for  $i = 0, 1, 2, \dots$

see if  $P(i) = 0$  or  $P(-i) = 0$  then "accept"

- if  $\langle P(x) \rangle \notin L \Rightarrow \text{accept}$
- if  $\langle P(x) \rangle \notin L \Rightarrow \text{loop}$

⑤  $L = \{ \langle A \rangle \mid L(A) = \emptyset, A \text{ is a DFA} \}$  decidable

On input  $\langle A \rangle$

if wrong format, reject

run a DFS starting from  $q_0$  and if we ever reach any accept state,

then reject;

else accept

⑥  $L = \{ \langle A, B \rangle \mid A \text{ and } B \text{ are DFA's and } L(A) = L(B) \}$  decidable

On input  $\langle A, B \rangle$

reject if wrong format

construct a DFA  $C$  for  $L' = (L(A) \cap L^c(B)) \cup (L(B) \cap L^c(A))$

If  $L(C) \neq \emptyset \Rightarrow \text{reject}$       } use ③  
else  $\Rightarrow \text{accept}$

⑦  $K = \{ \langle C, w \rangle \mid C \text{ is a CFG and } w \in L(C) \}$  decidable

Hint: Chomsky Normal Form

$B \rightarrow CD \quad (C, D \neq A)$

$B \rightarrow a$

$A \rightarrow \epsilon$  Only available for start state

Note that if  $C$  is in the Chomsky Normal Form, then a left-most derivation for a word "w" is of length at most  $2|w|$ : Because we can apply at most  $|w|$  rules of the form  $B \rightarrow CD$ , and at most  $|w|+1$  rules of the form  $B \rightarrow a$  or  $A \rightarrow \epsilon$ .

On input  $\langle w \rangle$

Convert  $C$  to Chomsky Normal Form  $C'$

Generate all the possible left-most derivations of length at most  $k = 2|w| + 1$ . If  $r$  is the number of rules in  $C'$ , every such derivation can be encoded as

$(i_1, i_2, \dots, i_{2|w|+1})$  where  $i_j \in \{1, \dots, r\}$  tells us which rule to apply at step  $j$ . If any of these generates  $w$ , accept; else reject.

⑧  $\{ \langle C \rangle \mid C \text{ is a CFG with } L(C) = \Sigma^* \}$  Turing recognizable

On input  $\langle C \rangle$

$\{ C : L(C) = \Sigma^* \} \Rightarrow \text{impossible}$

for  $k = 0, 1, 2, \dots, \infty$

generate all the  $\Sigma^k$  words  $w$  of length  $k$

if  $w \notin L(C)$   $\Rightarrow$  accept

use ⑦

⑨  $L = \{ \langle M, s \rangle \mid M \text{ is a TM that halts on } s \}$  Turing recognizable  
Universal Turing Machine

It's possible to design a TM  $U$  that does the following

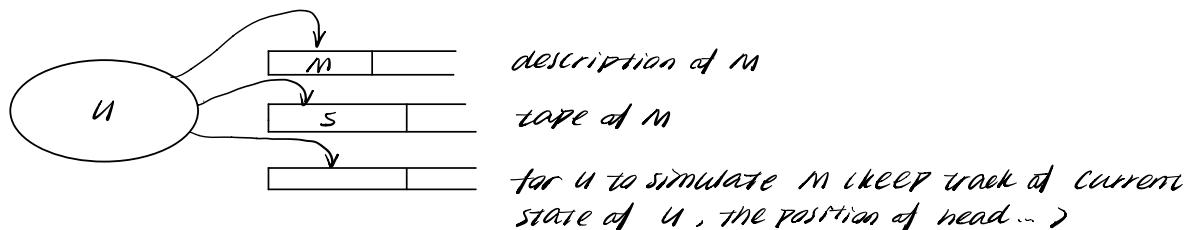
- Takes an input  $w$
- If  $w$  is not in the form  $\langle M, s \rangle \Rightarrow$  reject
- Else simulate the computation of  $M$  on  $s$  step by step
- If at any point

$M$  accepts  $s \Rightarrow U$  accepts  $w = \langle M, s \rangle$

$M$  rejects  $s \Rightarrow U$  rejects  $w = \langle M, s \rangle$

Otherwise as  $M$  loops on  $s$ ,  $U$  naturally loops on  $\langle M, s \rangle$

$U$  is like the "program" that runs your (say) Java "program" on its inputs.



On input  $\langle M, s \rangle$   
run  $U$  on  $\langle M, s \rangle$   
if it halts  $\Rightarrow$  accept  
else  $\Rightarrow$  reject.

⑩  $L = \{ \langle M, w \rangle \mid M \text{ accepts } w \}$  Turing recognizable

If the input is not in the form  $\langle M, w \rangle$ , reject

use the universal TM  $U$  to simulate  $M$  on  $w$

- If at any point  $M$  accepts  $w$ , then stop and accept  $\langle M, w \rangle$
- If at any point  $M$  rejects  $w$ , then stop and reject  $\langle M, w \rangle$

$\langle M, w \rangle \in L \Rightarrow$  accept

$\langle M, w \rangle \notin L \Rightarrow$  reject / loop