

COMP 251

Algorithms & Data Structures (Winter 2021)

Algorithm Paradigms – Divide and Conquer

School of Computer Science
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Slides of (Comp321 ,2021), Langer (2014), slides by K. Wayne & Snoeyink and (Kleinberg & Tardos, 2005)

Outline

- Complete Search
- Divide and Conquer.
 - Introduction.
 - Examples.
- Dynamic Programming.
- Greedy.

Algorithmic Paradigms – Divide and Conquer

- It is a problem solving paradigm where we try to make a problem simpler by ‘dividing’ it into smaller parts and ‘conquering’ them.
- Recursive in structure
 - **Divide** the problem into sub-problems that are similar to the original but smaller in size
 - Usually by half or nearly half.
 - **Conquer** the sub-problems by solving them **recursively**. If they are small enough, just solve them in a straightforward manner.
 - **Combine** the solutions to create a solution to the original problem

Decrease and Conquer

- Sometimes we're not actually dividing the problem into many subproblems, but only into one smaller subproblem
- Usually called decrease and conquer
- The most common example of this is binary search $O(\log n)$.
 - Given a sorted array of elements.
 1. Base case: the array is empty, return false
 2. Compare x to the element in the middle of the array
 3. If it's equal, then we found x and we return true
 4. If it's less, then x must be in the left half of the array
 - 4.1 Binary search the element (recursively) in the left half
 5. If it's greater, then x must be in the right half of the array
 - 5.1 Binary search the element (recursively) in the right half

Decrease and Conquer

Example: Does the following **sorted** array A contains the number 6?

A =

1	1	3	5	6	7	9	9
---	---	---	---	---	---	---	---

Call: `binarySearch(A, 0, 7, 6)`

1	1	3	5	6	7	9	9
---	---	---	---	---	---	---	---

 Search [0:7]



$5 < 6 \Rightarrow$ look into right half of the array

1	1	3	5	6	7	9	9
---	---	---	---	---	---	---	---

 Search [4:7]



$7 > 6 \Rightarrow$ look into left half of the array

1	1	3	5	6	7	9	9
---	---	---	---	---	---	---	---

 Search [4:4]



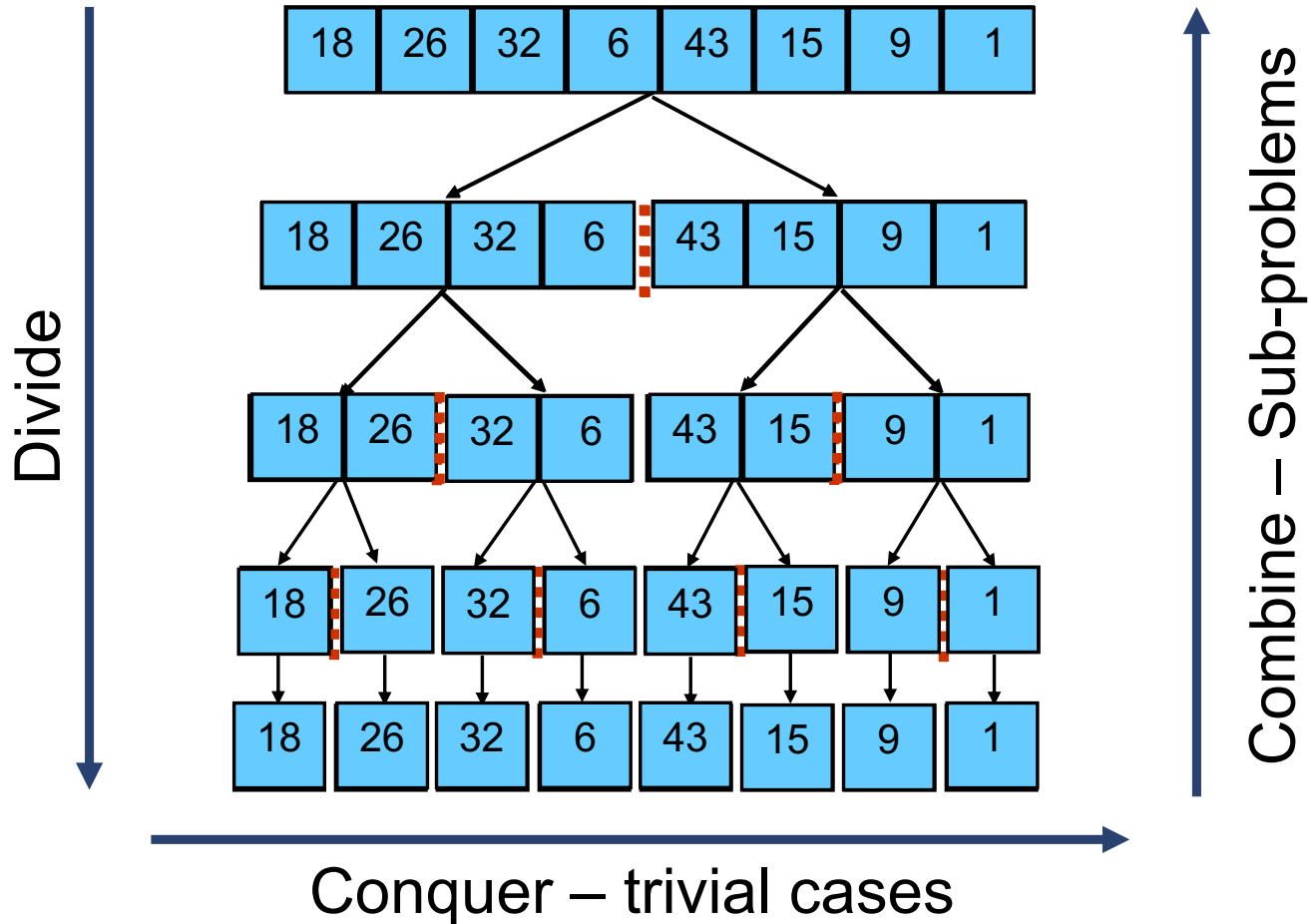
6 is found. Return 4 (index)

Divide and Conquer – Merge Sort

Sorting Problem: Sort a sequence of n elements into non-decreasing order.

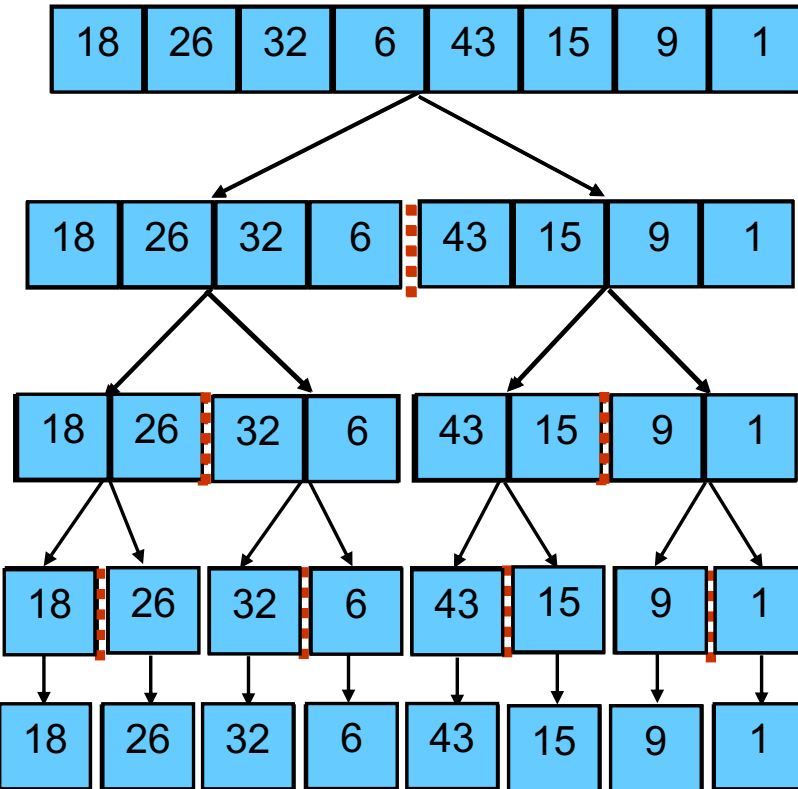
- **Divide:** Divide the n -element sequence to be sorted into two subsequences of $n/2$ elements each
- **Conquer:** Sort the two subsequences recursively using merge sort.
- **Combine:** Merge the two sorted subsequences to produce the sorted answer.

Divide and Conquer – Merge Sort

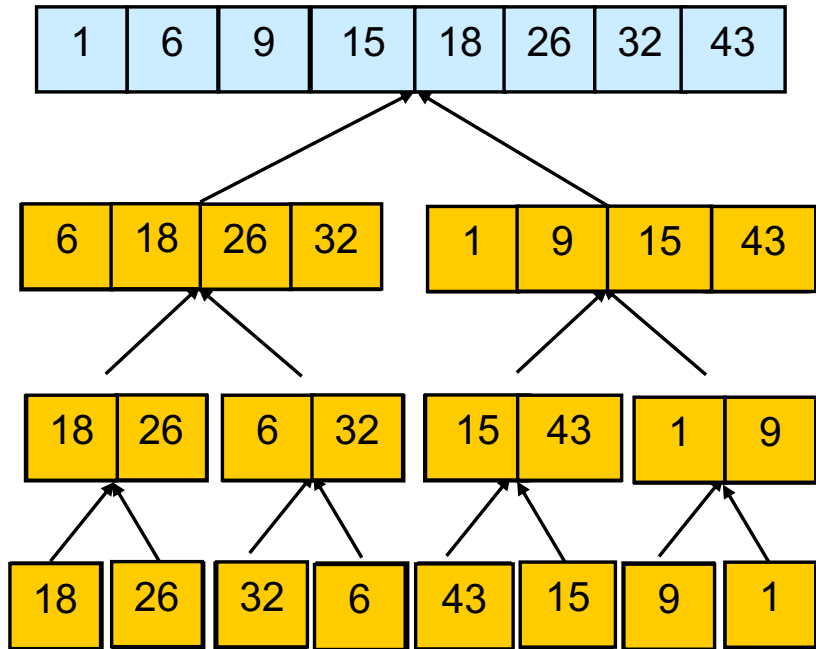


Divide and Conquer – Merge Sort

Original Sequence



Sorted Sequence



MergeSort(A, p, r)

INPUT: a sequence of n numbers stored in array A

OUTPUT: an ordered sequence of n numbers

left right
MergeSort (A, p, r) // sort $A[p..r]$ by divide & conquer
1 **if** $p < r$
2 **then** $q \leftarrow \lfloor (p+r)/2 \rfloor$
3 **MergeSort** (A, p, q)
4 **MergeSort** ($A, q+1, r$)
5 **Merge** (A, p, q, r) // merges $A[p..q]$ with $A[q+1..r]$

Initial Call: MergeSort(A, 1, n)

Merge(A, p, q, r)

Merge(A, p, q, r)

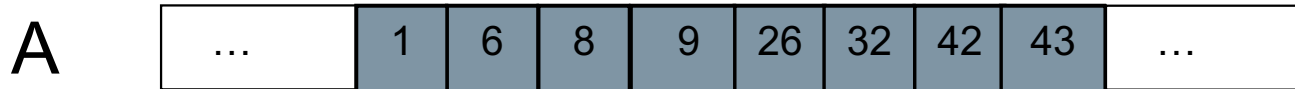
```
1.   $n_1 \leftarrow q - p + 1$ 
2.   $n_2 \leftarrow r - q$ 
3.  for  $i \leftarrow 1$  to  $n_1$ 
4.    do  $L[i] \leftarrow A[p + i - 1]$ 
5.  for  $j \leftarrow 1$  to  $n_2$ 
6.    do  $R[j] \leftarrow A[q + j]$ 
7.   $L[n_1 + 1] \leftarrow \infty$ 
8.   $R[n_2 + 1] \leftarrow \infty$ 
9.   $i \leftarrow 1$ 
10.  $j \leftarrow 1$ 
11. for  $k \leftarrow p$  to  $r$ 
12.   do if  $L[i] \leq R[j]$ 
13.     then  $A[k] \leftarrow L[i]$ 
14.          $i \leftarrow i + 1$ 
15.   else  $A[k] \leftarrow R[j]$ 
16.          $j \leftarrow j + 1$ 
```

Input: Array containing sorted subarrays $A[p..q]$ and $A[q+1..r]$.

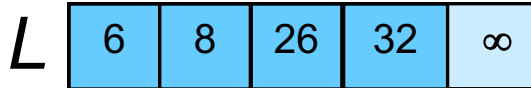
Output: Merged sorted subarray in $A[p..r]$.

Sentinels, to avoid having to check if either subarray is fully copied at **each step**.

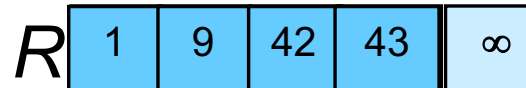
Merge - Example



k



i



j

Merge - Correctness

Merge(A, p, q, r)

```
1.   $n_1 \leftarrow q - p + 1$ 
2.   $n_2 \leftarrow r - q$ 
3.  for  $i \leftarrow 1$  to  $n_1$ 
4.    do  $L[i] \leftarrow A[p + i - 1]$ 
5.  for  $j \leftarrow 1$  to  $n_2$ 
6.    do  $R[j] \leftarrow A[q + j]$ 
7.   $L[n_1 + 1] \leftarrow \infty$ 
8.   $R[n_2 + 1] \leftarrow \infty$ 
9.   $i \leftarrow 1$ 
10.  $j \leftarrow 1$ 
11. for  $k \leftarrow p$  to  $r$ 
12.   do if  $L[i] \leq R[j]$ 
13.     then  $A[k] \leftarrow L[i]$ 
14.          $i \leftarrow i + 1$ 
15.     else  $A[k] \leftarrow R[j]$ 
16.          $j \leftarrow j + 1$ 
```

Loop Invariant property (main for loop)

- At the start of each iteration of the for loop, subarray $A[p..k - 1]$ contains the $k - p$ smallest elements of L and R in sorted order.
- $L[i]$ and $R[j]$ are the smallest elements of L and R that have not been copied back into A .

Initialization:

Before the first iteration:

- $A[p..k - 1]$ is empty, $k = p \Rightarrow k - p = 0$.
- $i = j = 1$.
- $L[1]$ and $R[1]$ are the smallest elements of L and R not copied to A .

Merge - Correctness

Merge(A, p, q, r)

```
1.   $n_1 \leftarrow q - p + 1$ 
2.   $n_2 \leftarrow r - q$ 
3.  for  $i \leftarrow 1$  to  $n_1$ 
4.    do  $L[i] \leftarrow A[p + i - 1]$ 
5.  for  $j \leftarrow 1$  to  $n_2$ 
6.    do  $R[j] \leftarrow A[q + j]$ 
7.   $L[n_1 + 1] \leftarrow \infty$ 
8.   $R[n_2 + 1] \leftarrow \infty$ 
9.   $i \leftarrow 1$ 
10.  $j \leftarrow 1$ 
11. for  $k \leftarrow p$  to  $r$ 
12.   do if  $L[i] \leq R[j]$ 
13.     then  $A[k] \leftarrow L[i]$ 
14.          $i \leftarrow i + 1$ 
15.     else  $A[k] \leftarrow R[j]$ 
16.          $j \leftarrow j + 1$ 
```

Maintenance:

Case 1: $L[i] \leq R[j]$

- By LI, A contains $k - p$ smallest elements of L and R in sorted order.
- By LI, $L[i]$ and $R[j]$ are the smallest elements of L and R not yet copied into A .
- Line 13 results in A containing $p - k + 1$ smallest elements (again in sorted order). Incrementing i and k reestablishes the LI for the next iteration.

Case 2: Similar arguments with $L[i] > R[j]$

Termination:

- On termination, $k = r + 1$. By LI, $A[p..k-1]$, which is $A[p..r]$, contains $k - p = r - p + 1$ smallest elements of L and R in sorted order.
- L and R together contain $n_1 + n_2 + 2 = r - p + 3$ elements including the two sentinels. All but the two largest (i.e., sentinels) have been copied in A .

MergeSort - Analysis

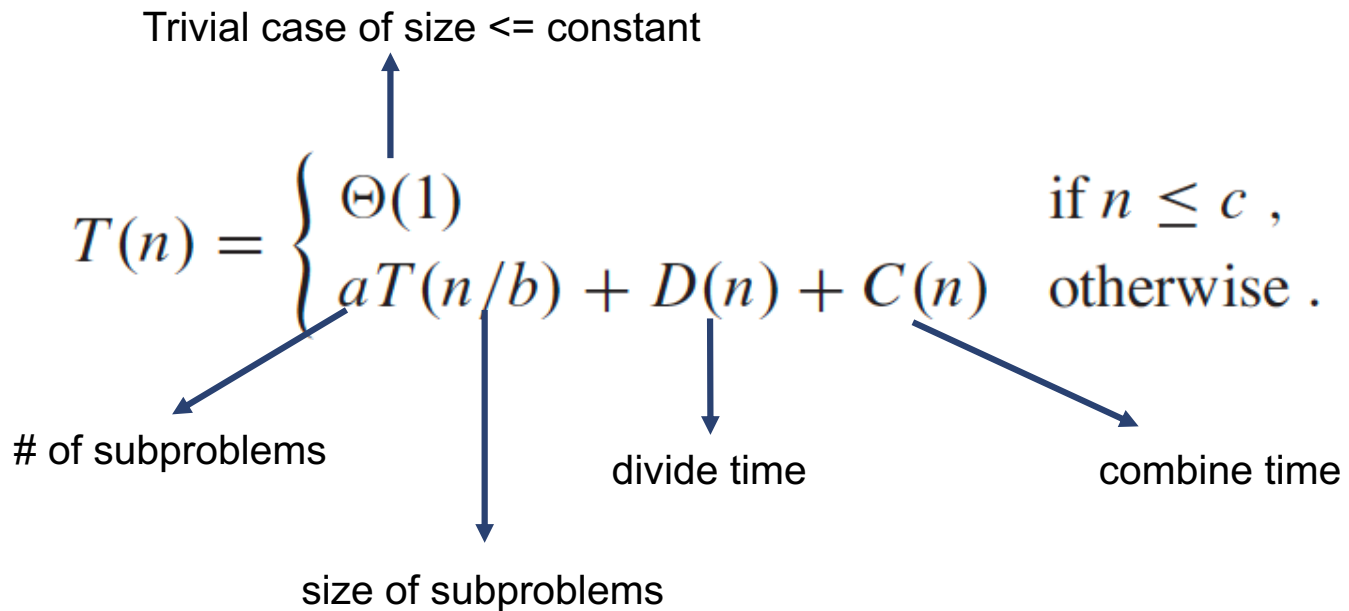
- Running time $T(n)$ of Merge Sort:
- Divide: computing the middle takes $O(1)$
- Conquer: solving 2 subproblems takes $2T(n/2)$
- Combine: merging n elements takes $O(n)$
- Total:

$$T(n) = O(1) \quad \text{if } n = 1$$

$$T(n) = 2T(n/2) + O(n) \quad \text{if } n > 1$$

$$\Rightarrow T(n) = O(n \lg n)$$

In general – Analysis - Recurrence



Solving recurrences

- **Substitution method:** we guess a bound and then use mathematical induction to prove that our guess is correct.
- **Recursion-tree method:** converts the recurrence into a tree whose nodes represent the costs incurred at various levels of the recursion. We use techniques for bounding summations to solve the recurrence.
- **Master method:** provides bounds for recurrences of the form.

$$T(n) = aT(n/b) + f(n)$$

where $a \geq 1$, $b > 1$, and $f(n)$ is a given function

MergeSort – Substitution method

Proposition. If $T(n)$ satisfies the following recurrence, then $T(n) = n \log_2 n$.

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ 2T(n/2) + n & \text{otherwise} \end{cases}$$

assuming n
is a power of 2

Pf 2. [by induction on n]

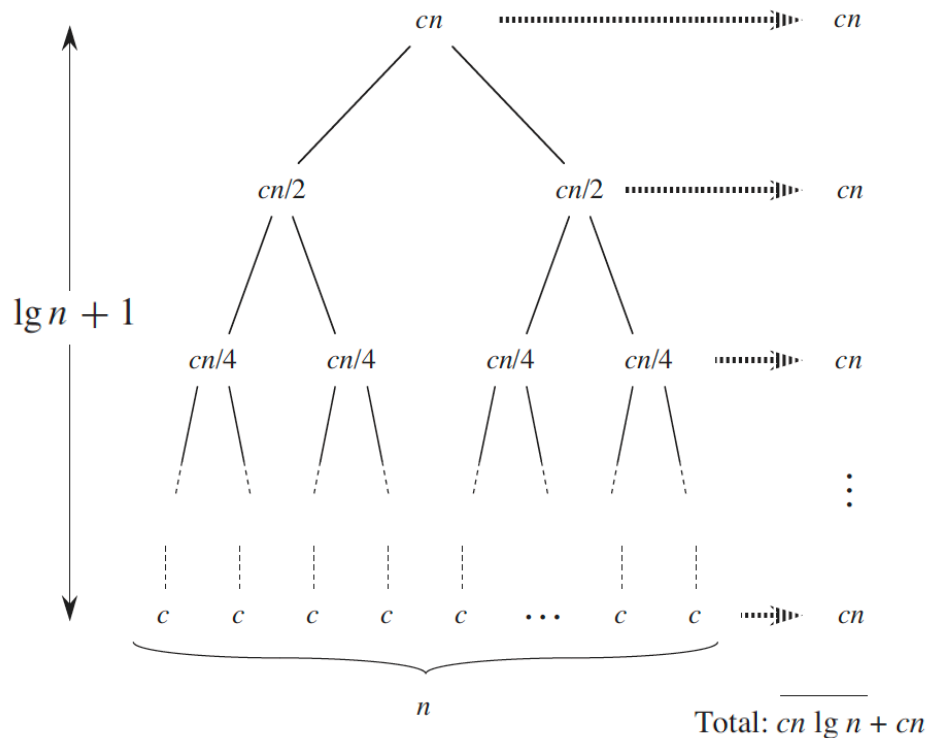
- Base case: when $n = 1$, $T(1) = 0$.
- Inductive hypothesis: assume $T(n) = n \log_2 n$.
- Goal: show that $T(2n) = 2n \log_2 (2n)$.

$$\begin{aligned} \log_2 2n &= \log_2 2 + \log_2 n \\ \log_2 2n - 1 &= \log_2 n \end{aligned}$$

$$\begin{aligned} T(2n) &= 2T(n) + 2n \\ &= 2n \log_2 n + 2n \\ &= 2n (\log_2 (2n) - 1) + 2n \\ &= 2n \log_2 (2n). \quad \blacksquare \end{aligned}$$

MergeSort – Recursion Tree

$$T(n) = \begin{cases} c & \text{if } n = 1, \\ 2T(n/2) + cn & \text{if } n > 1, \end{cases} \quad \leftarrow \text{assuming } n \text{ is a power of 2}$$



Recursion Tree

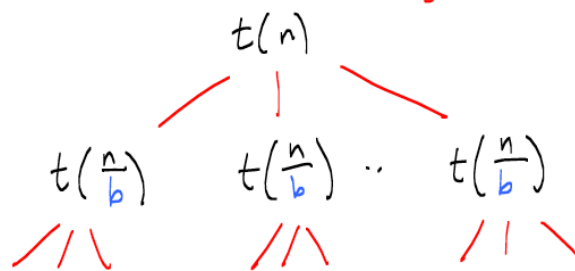
Suppose we have a divide and conquer algorithm that gives a recurrence :

$$t(n) = a \, t\left(\frac{n}{b}\right) + c \, n^d$$

Notice that a , b and d are independent of n

- a is the number of subproblems
- $\frac{n}{b}$ is the size of each subproblem
- n^d is the overhead for problem of size n (to partition and combine solutions)
I'll set $c=1$.

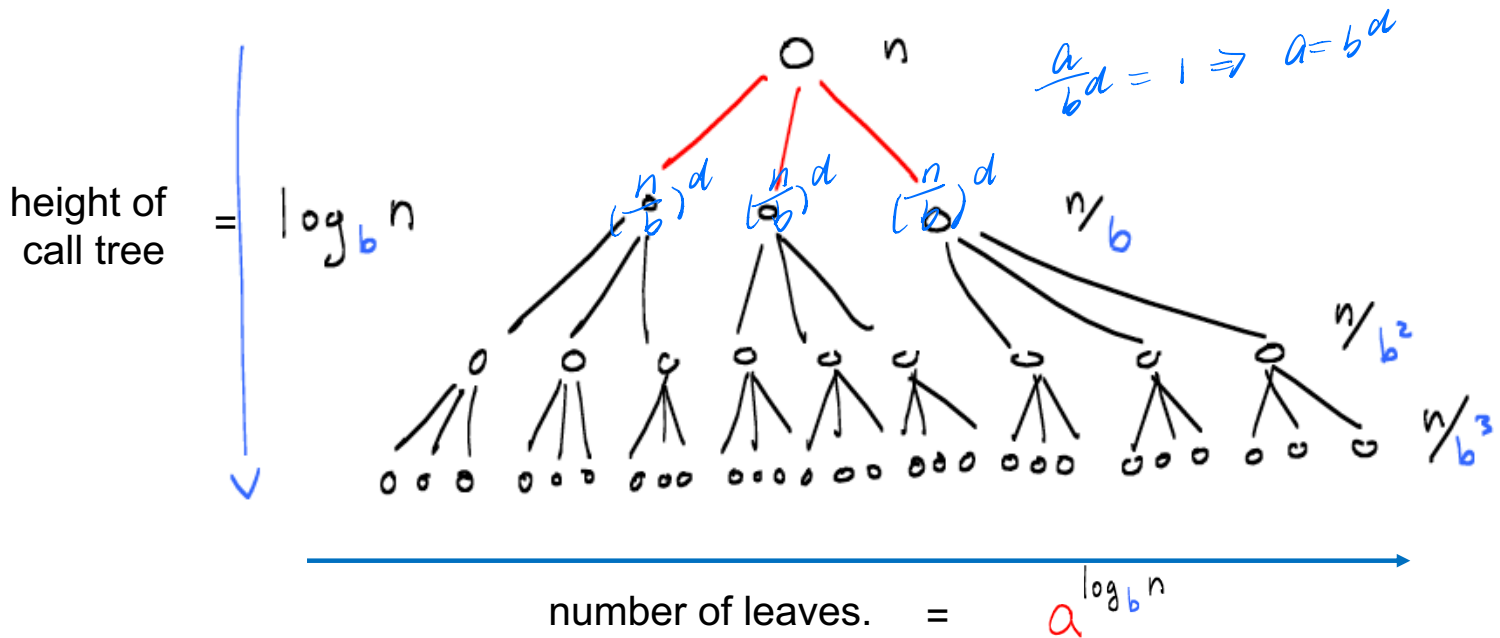
e.g. $a=3$



Note that n^d represents the work done outside the recursive call

Recursion Tree

$$t(n) = a \, t\left(\frac{n}{b}\right) + c \, n^d$$



Recursion stops at the base case, typically when problem size is a small number

Recursion Tree – Good VS Evil

$$t(n) = a t\left(\frac{n}{b}\right) + c n^d$$

↑
assume $c=1$

Tim Roughgarden :

"the forces of good and evil"

good - the size of each subproblem shrinks with each recursive call ($b > 1$)

evil - the number of subproblems increases at each level of the call tree. ($a > 1$)

Let's the battle begin (supplemental)

- But first lets define (recall) the allowed 'super powers'.
- Geometric series power (convergence power).
 - Sum of a number of terms that have a constant ratio between successive terms.

$$\frac{1}{1} + \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \dots\dots\dots$$

- In general:

$$S_n = \sum_{k=0}^n r^k = 1 + r + r^2 + \dots + r^n$$

- Multiplying both sides by r gives.

$$rS_n = r + r^2 + r^3 + \dots + r^{n+1}$$

Let's the battle begin (supplemental)

- Geometric series (convergence power).
 - Subtracting the two previous equations.

$$(1 - r)S_n = (1 + r + r^2 + \dots + r^n) - (r + r^2 + r^3 + \dots + r^{n+1})$$

$$(1 - r)S_n = 1 - r^{n+1}$$

$$S_n = \sum_{k=0}^n r^k = \frac{1 - r^{n+1}}{1 - r}$$

- For $-1 < r < 1$, the sum converges as $n \rightarrow \infty$, in which case

$$S_\infty = \sum_{k=0}^{\infty} r^k = \frac{1}{1 - r}$$

Let's the battle begin (supplemental)

- Exponents and logs (manipulation power).

$$x^{(y^z)} = (x^y)^z \neq x^{(y^z)}$$

$$\begin{aligned} \text{e.g. } x^{2.3} &= (x^2)^3 \neq x^{(2^3)} \\ &= (x^2)(x^2)(x^2) &= x^8 \\ &= x^6 \end{aligned}$$

$$\begin{aligned} \text{e.g. } (b^d)^{\log_b n} &= b^{d \log_b n} \\ &= (b^{\log_b n})^d \\ &= n^d \end{aligned}$$

Let's the battle begin (supplemental)

- Exponents and logs (manipulation power).

for any $a, b, x > 0$

$$\log_b x = \log_b a \cdot \log_a x$$

Why?

take
 \log_b of
both sides

$$\begin{aligned} x &\equiv a^{\log_a x} \\ \log_b x &= \log_b (a^{\log_a x}) \\ &= \log_a x \cdot \log_b a \end{aligned}$$

Let's the battle begin (supplemental)

- Exponents and logs (manipulation power).

Claim: $a^{\log_b n} = n^{\log_b a}$

Proof:

$$\begin{aligned} a^{\log_b n} &= a^{\log_b a \log_a n} \\ &= (a^{\log_a n})^{\log_b a} \\ &= n^{\log_b a} \end{aligned}$$

$$\frac{n}{b^h} = 1 \quad \frac{n}{b^h} = 1$$

Good VS Bad – battle

Assume $n = b^k$ for simplicity

$$t(n) = a \, t\left(\frac{n}{b}\right) + n^a$$

$$\begin{aligned} &= a \left[a \, t\left(\frac{n}{b^2}\right) + \left(\frac{n}{b}\right)^d \right] + n^d \\ \text{level 2} \quad \swarrow &= a^2 \, t\left(\frac{n}{b^2}\right) + a \left(\frac{n}{b}\right)^d + n^d \end{aligned}$$

$$\downarrow$$
$$a \, t\left(\frac{n}{b^3}\right) + \left(\frac{n}{b^2}\right)^d$$


$$\begin{aligned} &= a^3 \, t\left(\frac{n}{b^3}\right) + a^2 \left(\frac{n}{b^2}\right)^d + a \left(\frac{n}{b}\right)^d + n^d \\ \text{level 3} \quad \swarrow & \end{aligned}$$

Good VS Bad – battle

$$\begin{aligned}
 &\text{level } k \rightarrow a^k t\left(\frac{n}{b^k}\right) + \sum_{i=0}^{k-1} a^i \left(\frac{n}{b^i}\right)^d \\
 &\text{level } \log_b n \rightarrow a^{\log_b n} t(1) + \sum_{i=0}^{\log_b n - 1} a^i \underbrace{\left(\frac{n}{b^i}\right)^d}_{\text{work at each internal node at level } i} \\
 &\quad \text{number of leaves} \quad \text{work at each leaf} \quad \text{number of internal nodes at level } i
 \end{aligned}$$

Good VS Bad – battle

$$t(n) = a^{\log_b n} t(1) + \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i} \right)^d$$


$$= n^d \sum_{i=0}^{\log_b n} \left(\frac{a}{b^d} \right)^i$$

Note that the battle
is this ratio.

Assume $t(1) = 1$, and note $\frac{n}{b^{\log_b n}} = 1$.

Good VS Bad – battle possible results

$$t(n) = n^d \sum_{i=0}^{\log_b n} \left(\frac{a}{b^d} \right)^i$$

- If $a < b^d$ (good wins)
 - The amount of work is decreasing with the recursion level i .
 - Worst case is in the root (i.e., $i = 0$)
 - Might expect $O(n^d) \Rightarrow$ The work n^d of the root dominates
- If $a = b^d$ (there is a tie)
 - The amount of work is the same at every recursion level i .
 - All levels have the same 'worst' case.
 - Might expect $O(n^d \log n) \Rightarrow n^d$ for all the \log levels
- If $a > b^d$ (evil wins)
 - The amount of work is increasing with the recursion level i .
 - Worst case is in the leaves (i.e., $i = \log_b n$)
 - Might expect $\Rightarrow O(n^{\log(a)}) \Rightarrow O(\#leaves)$ because leaves dominates

Good VS Bad – battle possible results

$$t(n) = n^d \sum_{i=0}^{\log_b n} \left(\frac{a}{b^d} \right)^i$$

geometric series

three cases

- 1.) $r = 1$
- 2.) $r < 1$
- 3.) $r > 1$

$$\sum_{i=0}^k r^i$$

If $a = b^d$ (there is a tie)
If $a < b^d$ (good wins)
If $a > b^d$ (evil wins)

Case 1 ($r = 1$): $a = b^d$

Here we have the same amount of work at each level.

$$1 + r + r^2 + r^3 + \dots + r^k$$

$$= 1 + 1 + 1 + 1 + \dots + 1$$

$$= k + 1$$

$$= \log_b n + 1$$

$$\Rightarrow t(n) = O(n^d \log_b n)$$

$$t(n) = n^d \underbrace{\sum_{i=0}^{\log_b n} \left(\frac{a}{b^d} \right)^i}_{\sum_{i=0}^k r^i}$$

Case 1 ($r = 1$): $a = b^d$

eg. mergesort

$$t(n) = a t\left(\frac{n}{b}\right) + cn^d$$

$$a = 2, b = 2, d = 1$$

$$t(n) = O(n^d \log_b n) = O(n \log_2 n)$$

The same amount of total work done
at each level i , namely $O(n)$.

Case 2 ($r < 1$): $a < b^d$

Here we have a decreasing amount of work at each level.

$$1 + r + r^2 + r^3 + \dots + r^k$$
$$= \frac{1 - r^{k+1}}{1 - r}$$

$$< \frac{1}{1 - r}, \quad \text{if } r < 1$$

$$= \text{constant (independent of } n)$$

$$\Rightarrow t(n) = O(n^d)$$

$$t(n) = n^d \underbrace{\sum_{i=0}^{\log_b n} \left(\frac{a}{b^d} \right)^i}_{\sum_{i=0}^k r^i}$$

Case 3 ($r > 1$): $a > b^d$

Here we have an increasing amount of work to do at each level.

The leaves dominate.

$$1 + r + r^2 + r^3 + \dots + r^k$$

$$= \frac{r^{k+1} - 1}{r - 1}$$

$$< C r^k, \quad \text{for some } C \text{ which depends on } r$$

$$t(n) = n^d \underbrace{\sum_{i=0}^{\log_b n} \left(\frac{a}{b^d} \right)^i}_{\sum_{i=0}^k r^i}$$

Case 3 ($r > 1$): $a > b^d$

$$r^k = \left(\frac{a}{b^d} \right)^k$$

let $k = \log_b n$

$$= \left(\frac{a}{b^d} \right)^{\log_b n}$$

$$= a^{\log_b n} / (b^d)^{\log_b n}$$

$$= n^{\log_b a} / n^d$$

See
Supplemental
Slide

$$t(n) = n^d \sum_{i=0}^{\log_b n} \left(\frac{a}{b^d} \right)^i$$

$\underbrace{\sum_{i=0}^k r^i}$

Case 3 ($r > 1$): $a > b^d$

$$\begin{aligned}t(n) &= n^d \sum_{i=0}^{\log_b n} r^i \\&< n^d c r^{\log_b n} \\&= n^d c \left(\frac{a}{b^d} \right)^{\log_b n} \\&< n^d \cdot c \frac{n^{\log_b a}}{n^d} \\&= c n^{\log_b a}\end{aligned}$$

$$t(n) = n^d \underbrace{\sum_{i=0}^{\log_b n} \left(\frac{a}{b^d} \right)^i}_{\sum_{i=0}^k r^i}$$

Master Method (summary)

$$t(n) = a t\left(\frac{n}{b}\right) + n^d, \quad t(1) = 1$$

same work at each level

$t(n)$ is

root dominates

leaves dominate

$$\begin{cases} O(n^d \log_b n), & a = b^d \\ O(n^d), & a < b^d \\ O(n^{\log_b a}), & a > b^d \end{cases}$$

Master Method (summary)

$$t(n) = a t\left(\frac{n}{b}\right) + n^d, \quad t(1) = 1$$

same work
at each
level

$$t(n) \text{ is } \left\{ \begin{array}{l} O(n^d \log_b n), \end{array} \right.$$

$$a = b^d \quad \frac{a}{b^d} = 1$$

$$O(n^d),$$

$$a < b^d$$

root
dominates

$$O(n^{\log_b a}),$$

$$a > b^d$$

leaves dominate

- Limitations:

- Defined for worst case.
- Sub-problems need to have the same size.
- Applicable to recursions of divide-and-conquer solutions
- Etc
- Etc

Master theorem

- Goal: Recipe for solving common recurrences.

$$T(n) = aT(n/b) + f(n)$$

a = # subproblems

b = factor by which the subproblem size decreases

$f(n)$ = work to divide/merge subproblems

1. If $f(n) = O(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$. **bad wins**
2. If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \lg n)$. **tie**
3. If $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$, and if $af(n/b) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$. **good wins** ■

Note that the three cases do not cover all the possibilities for $f(n)$.

Master theorem – Case 1

Master theorem. Suppose that $T(n)$ is a function on the nonnegative integers that satisfies the recurrence

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

where n/b means either $\lfloor n/b \rfloor$ or $\lceil n/b \rceil$. Let $k = \log_b a$. Then,

Case 1. If $f(n) = O(n^{k-\varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \Theta(n^k)$.

Ex. $T(n) = 3 T(n/2) + n$.

- $a = 3$, $b = 2$, $f(n) = n$, $k = \log_2 3$.
- $T(n) = \Theta(n^{\lg 3})$.

*The formula works with $\varepsilon = \log_2 3 - 1 > 0$
 $f(n) = n = O(n^{\log_2 3 - (\log_2 3 - 1)})$*

Master theorem – Case 2

Master theorem. Suppose that $T(n)$ is a function on the nonnegative integers that satisfies the recurrence

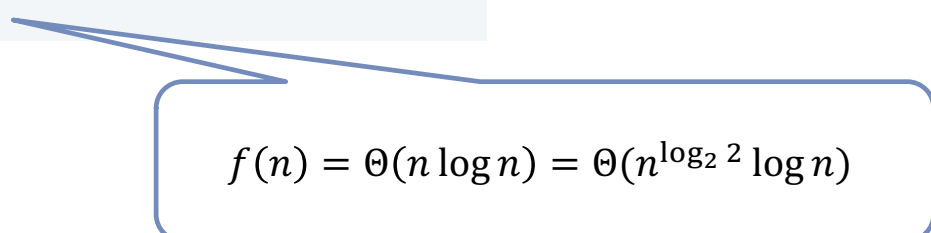
$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

where n/b means either $\lfloor n/b \rfloor$ or $\lceil n/b \rceil$. Let $k = \log_b a$. Then,

Case 2. If $f(n) = \Theta(n^k \log^p n)$, then $T(n) = \Theta(n^k \log^{p+1} n)$.

Ex. $T(n) = 2T(n/2) + \Theta(n \log n)$.

- $a = 2$, $b = 2$, $f(n) = n \log n$, $k = \log_2 2 = 1$, $p = 1$.
- $T(n) = \Theta(n \log^2 n)$.


$$f(n) = \Theta(n \log n) = \Theta(n^{\log_2 2} \log n)$$

Master theorem – Case 3

Master theorem. Suppose that $T(n)$ is a function on the nonnegative integers that satisfies the recurrence

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

where n/b means either $\lfloor n/b \rfloor$ or $\lceil n/b \rceil$. Let $k = \log_b a$. Then,

regularity condition holds
if $f(n) = \Theta(n^{k+\epsilon})$

Case 3. If $f(n) = \Omega(n^{k+\epsilon})$ for some constant $\epsilon > 0$ and if $a f(n/b) \leq c f(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$.

Ex. $T(n) = 3 T(n/4) + n^5$.

- $a = 3$, $b = 4$, $f(n) = n^5$, $k = \log_4 3$.
- $T(n) = \Theta(n^5)$.

*1st property satisfied with $\epsilon = 4 - \log_4 3$
 $f(n) = n^5 = \Omega(n^{\log_4 3 + (4 - \log_4 3)})$*

2nd property satisfied with $c = \frac{3}{4}$

$$3 \cdot \left(\frac{n}{4}\right)^5 \leq c \cdot n^5$$

Master theorem – Applications

$$\begin{aligned}k &= \log_2 1 = 0; f(n) = 2^n \\ 2^n &= \Omega(n^{0+\log 2}) \\ 1 \cdot 2^{\frac{n}{2}} &\leq \frac{1}{2} \cdot 2^n\end{aligned}$$

$$\begin{aligned}T(n) &= 3 * T(n/2) + n^2 \\ \Rightarrow T(n) &= \Theta(n^2) \quad (\text{case 3})\end{aligned}$$

$$\begin{aligned}T(n) &= T(n/2) + 2^n \\ \Rightarrow T(n) &= \Theta(2^n) \quad (\text{case 3})\end{aligned}$$

$$\begin{aligned}T(n) &= 16 * T(n/4) + n \\ \Rightarrow T(n) &= \Theta(n^2) \quad (\text{case 1})\end{aligned}$$

$$\begin{aligned}T(n) &= 2 * T(n/2) + n \log n \\ \Rightarrow T(n) &= n \log^2 n \quad (\text{case 2})\end{aligned}$$

$$\begin{aligned}T(n) &= 2^n * T(n/2) + n^n \\ \Rightarrow &\text{Does not apply!!}\end{aligned}$$

$$\begin{aligned}k &= \log_2 3; f(n) = n^2 \\ n^2 &= \Omega(n^{\log_2 3 + (2 - \log_2 3)}) \\ 3 \cdot \left(\frac{n}{2}\right)^2 &\leq \frac{3}{4} \cdot n^2\end{aligned}$$

$$\begin{aligned}k &= \log_4 16 = 2; f(n) = n \\ n &= O(n^{2-1})\end{aligned}$$

$$\begin{aligned}k &= \log_2 2 = 1; f(n) = n \log n \\ n \log n &= \Theta(n^1 \log^1 n)\end{aligned}$$

Master theorem – Other variants – Akra-Bazzi

Desiderata. Generalizes master theorem to divide-and-conquer algorithms where subproblems have substantially different sizes.

Theorem. [Akra-Bazzi] Given constants $a_i > 0$ and $0 < b_i \leq 1$, functions $h_i(n) = O(n / \log^2 n)$ and $g(n) = O(n^c)$, if the function $T(n)$ satisfies the recurrence:

$$T(n) = \sum_{i=1}^k a_i T(b_i n + h_i(n)) + g(n)$$

a_i subproblems
of size $b_i n$

small perturbation to handle
floors and ceilings

Then $T(n) = \Theta \left(n^p \left(1 + \int_1^n \frac{g(u)}{u^{p+1}} du \right) \right)$ where p satisfies $\sum_{i=1}^k a_i b_i^p = 1$.

Ex. $T(n) = 7/4 T(\lfloor n/2 \rfloor) + T(\lceil 3/4 n \rceil) + n^2$.

- $a_1 = 7/4$, $b_1 = 1/2$, $a_2 = 1$, $b_2 = 3/4 \Rightarrow p = 2$.
- $h_1(n) = \lfloor 1/2 n \rfloor - 1/2 n$, $h_2(n) = \lceil 3/4 n \rceil - 3/4 n$.
- $g(n) = n^2 \Rightarrow T(n) = \Theta(n^2 \log n)$.

Outline

- Complete Search
- Divide and Conquer.
 - Introduction.
 - Examples.
- Dynamic Programming.
- Greedy.



COMP 251

Algorithms & Data Structures (Winter 2021)

Algorithm Paradigms – Divide and Conquer 2

School of Computer Science
McGill University

Slides of (Comp321 ,2021), Langer (2014), slides by K. Wayne
Snoeyink, Kleinberg & Tardos, 2005 & Cormen et al., 2009

Announcements

- Why does my code not work on codepost but works locally?
- <https://piazza.com/class/kjtabnv6a021az?cid=236>
 - codepost runs in Java 8
 - don't upload a zip. don't declare packages
 - your code has to not crash for any valid input.
 - Some input is not shown to you, so if your public tests fail, it is probably because of an issue with a hidden test.
 - in Q2, we are handling exceptions for you.
 - -2 flag
 - Limit of 30 seconds.

Outline

- Complete Search
- Divide and Conquer.
 - Introduction.
 - Examples.
- Dynamic Programming.
- Greedy.

Divide and Conquer – Arithmetic Operations

- Given 2 (binary) numbers, we want efficient algorithms to:
 - Add 2 numbers
 - **Multiply 2 numbers** (using divide-and-conquer!)

Divide and Conquer – Arithmetic Operations

Integer addition

Addition. Given two n -bit integers a and b , compute $a + b$.

Subtraction. Given two n -bit integers a and b , compute $a - b$.

Grade-school algorithm. $\Theta(n)$ bit operations.

	1	1	1	1	1	1	0	1
	1	1	0	1	0	1	0	1
+	0	1	1	1	1	1	0	1
	1	0	1	0	1	0	0	1

$$\begin{array}{r} 352 \\ + 964 \\ \hline 1316 \end{array}$$

$$\begin{array}{r} x[n] \\ y[n] \\ \hline \text{sum}[n+1] \end{array}$$

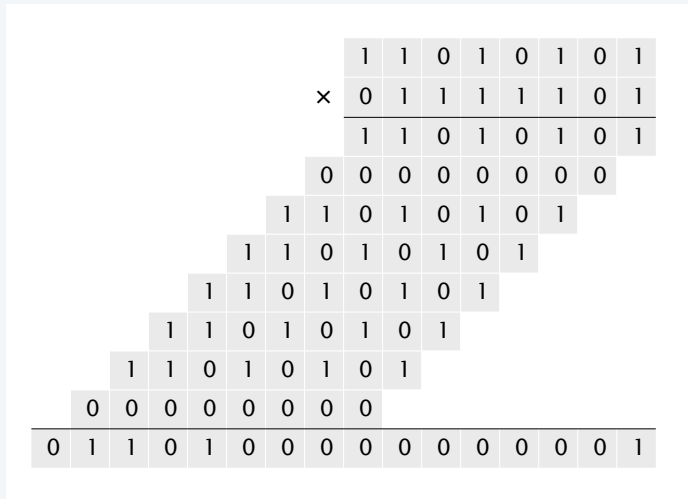
Remark. Grade-school addition and subtraction algorithms are asymptotically optimal.

Divide and Conquer – Arithmetic Operations

Integer multiplication

Multiplication. Given two n -bit integers a and b , compute $a \times b$.

Grade-school algorithm. $\Theta(n^2)$ bit operations.



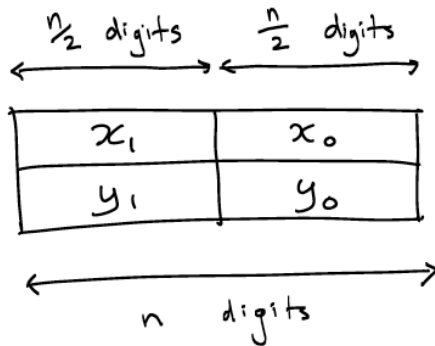
$$\begin{array}{r}
 352 \\
 \times 964 \\
 \hline
 1408 \\
 2112 \\
 3168 \\
 \hline
 339328
 \end{array}$$

$x[n]$
 $y[n]$
 $\left. \begin{array}{l} 1408 \\ 2112 \\ 3168 \end{array} \right\} \text{tmp}[n][2n]$
 $r[2n]$

Conjecture. [Kolmogorov 1952] Grade-school algorithm is optimal.

Theorem. [Karatsuba 1960] Conjecture is wrong.

Divide and Conquer – Arithmetic Operations



$$x = x_1 * 10^{\frac{n}{2}} + x_0$$

$$y = y_1 * 10^{\frac{n}{2}} + y_0$$

eg.

$$3527 = 3500 + 27$$

$$= 35 \times 10^2 + 27$$

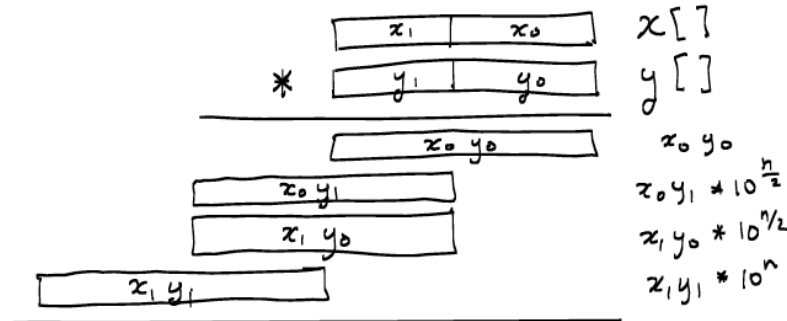
$$x * y$$

$$= (x_1 * 10^{\frac{n}{2}} + x_0) * (y_1 * 10^{\frac{n}{2}} + y_0)$$

$$= \underbrace{x_1 y_1}_{t(\frac{n}{2})} * 10^n + (\underbrace{x_0 y_1}_{t(\frac{n}{2})} + \underbrace{x_1 y_0}_{t(\frac{n}{2})}) * 10^{\frac{n}{2}} + \underbrace{x_0 y_0}_{t(\frac{n}{2})}$$

Diagram illustrating the recursive decomposition of the multiplication operation $x * y$ into four $\frac{n}{2}$ -digit multiplications:

- $x_1 y_1$ (shifted left by n positions)
- $x_0 y_1$ (shifted left by $\frac{n}{2}$ positions)
- $x_1 y_0$ (shifted left by $\frac{n}{2}$ positions)
- $x_0 y_0$ (no shift)



Note:

$* 10^{\frac{n}{2}}$ shifts left by $\frac{n}{2}$ positions

$* 10^n$ " " " n positions

Divide and Conquer – Arithmetic Operations

Divide-and-conquer multiplication

To multiply two n -bit integers x and y :

- Divide x and y into low- and high-order bits.
- Multiply **four** $\frac{1}{2}n$ -bit integers, recursively.
- Add and shift to obtain result.

$$m = \lceil n / 2 \rceil$$

$$a = \lfloor x / 2^m \rfloor \quad b = x \bmod 2^m$$

$$c = \lfloor y / 2^m \rfloor \quad d = y \bmod 2^m$$

← use bit shifting
to compute 4 terms

$$(2^m a + b)(2^m c + d) = 2^{2m} ac + 2^m (bc + ad) + bd$$

1 2 3 4

Ex. $x = \underbrace{1000}_{a} \underbrace{1101}_{b} \quad y = \underbrace{1110}_{c} \underbrace{0001}_{d}$

MULTIPLY(x, y, n)

IF ($n = 1$)

RETURN $x \times y$.

ELSE

$m \leftarrow \lceil n / 2 \rceil$.

$a \leftarrow \lfloor x / 2^m \rfloor$; $b \leftarrow x \bmod 2^m$.

$c \leftarrow \lfloor y / 2^m \rfloor$; $d \leftarrow y \bmod 2^m$.

$e \leftarrow \text{MULTIPLY}(a, c, m)$.

$f \leftarrow \text{MULTIPLY}(b, d, m)$.

$g \leftarrow \text{MULTIPLY}(b, c, m)$.

$h \leftarrow \text{MULTIPLY}(a, d, m)$.

RETURN $2^{2m} e + 2^m (g + h) + f$.

Divide and Conquer – Arithmetic Operations

Divide-and-conquer multiplication analysis

Proposition. The divide-and-conquer multiplication algorithm requires $\Theta(n^2)$ bit operations to multiply two n -bit integers.

Pf. Apply case 1 of the master theorem to the recurrence:

$$T(n) = \underbrace{4T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, shift}} \Rightarrow T(n) = \Theta(n^2)$$

Multiplication. Given two n -bit integers a and b , compute $a \times b$.

Grade-school algorithm. $\Theta(n^2)$ bit operations.

Divide and Conquer – Karatsuba trick

$$\begin{array}{l} x = \\ y = \end{array} \begin{array}{|c|c|} \hline x_1 & x_0 \\ \hline y_1 & y_0 \\ \hline \end{array}$$

$$\begin{aligned} x * y &= x_1 y_1 * 10^n + \underbrace{(x_0 y_1 + x_1 y_0)}_{\substack{\uparrow \\ (x_1 + x_0)(y_1 + y_0) - x_1 y_1 - x_0 y_0}} * 10^{n/2} + x_0 y_0 \end{aligned}$$

Thus,
$$T(n) = 3 T\left(\frac{n}{2}\right) + \underbrace{c}_\uparrow n.$$



Avengers Assemble In Final Battle Scene - AVENGERS: ENDGAME (2019). Taken from youtube

Divide and Conquer – Karatsuba trick

To compute middle term $bc + ad$, use identity:

$$bc + ad = ac + bd - (a - b)(c - d)$$

$$m = \lceil n / 2 \rceil$$

$$a = \lfloor x / 2^m \rfloor \quad b = x \bmod 2^m$$

$$c = \lfloor y / 2^m \rfloor \quad d = y \bmod 2^m$$

$$(2^m a + b)(2^m c + d) = 2^{2m} ac + \overbrace{2^m (bc + ad)}^{\text{middle term}} + bd$$

$$= 2^{2m} ac + 2^m (ac + bd - (a - b)(c - d)) + bd$$

1

1

3

2

3



Bottom line. Only three multiplication of $n/2$ -bit integers.

Divide and Conquer – Karatsuba trick

KARATSUBA-MULTIPLY(x, y, n)

IF ($n = 1$)

 RETURN $x \times y$.

ELSE

$m \leftarrow \lceil n / 2 \rceil$.

$a \leftarrow \lfloor x / 2^m \rfloor$; $b \leftarrow x \bmod 2^m$.

$c \leftarrow \lfloor y / 2^m \rfloor$; $d \leftarrow y \bmod 2^m$.

$e \leftarrow \text{KARATSUBA-MULTIPLY}(a, c, m)$.

$f \leftarrow \text{KARATSUBA-MULTIPLY}(b, d, m)$.

$g \leftarrow \text{KARATSUBA-MULTIPLY}(a - b, c - d, m)$.

 RETURN $2^{2m} e + 2^m (e + f - g) + f$.

Proposition. Karatsuba's algorithm requires $O(n^{1.585})$ bit operations to multiply two n -bit integers.

Pf. Apply case 1 of the master theorem to the recurrence:

$$T(n) = 3 T(n/2) + \Theta(n) \quad \Rightarrow \quad T(n) = \Theta(n^{\lg 3}) = O(n^{1.585}).$$

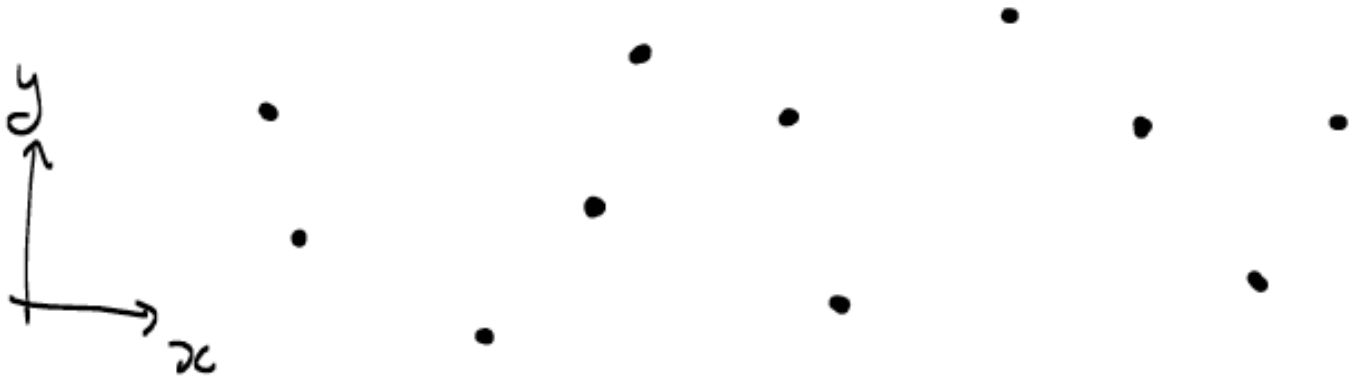
Divide and Conquer – Integer Multiplication

year	algorithm	order of growth
?	brute force	$\Theta(n^2)$
1962	Karatsuba-Ofman	$\Theta(n^{1.585})$
1963	Toom-3, Toom-4	$\Theta(n^{1.465}), \Theta(n^{1.404})$
1966	Toom-Cook	$\Theta(n^{1+\epsilon})$
1971	Schönhage–Strassen	$\Theta(n \log n \log \log n)$
2007	Fürer	$n \log n 2^{O(\log^* n)}$
?	?	$\Theta(n)$

number of bit operations to multiply two n -bit integers

Divide and Conquer – Closest points

- Given n points in the plane, find the pair that is closest together.



- Applications in:
 - Computational Geometry.
 - Graphics, computer vision, geographic information systems, molecular modeling.

Divide and Conquer – Closest points

- Given n points in the plane, find the pair that is closest together.

Solution ("brute force"):

closest pair = null

$\delta = \infty$

$O(n^2)$ too slow! [

```
for each i = 1 to n
  for each j = i+1 to n
    if d(i,j) <  $\delta$  {
      closest pair = (i,j)
       $\delta = d(i,j)$ 
    }
return closest pair
```

$$d(i,j) \equiv \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$$

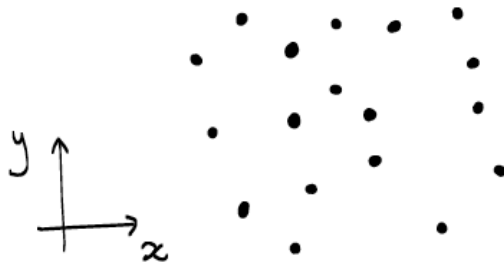
Divide and Conquer – Closest points

- 1-D Solution.
 - We first sort the points (merge sort) $\Rightarrow O(n \log n)$.
 - We'd walk through the sorted list, computing the distance from each point to the one that comes after it $\Rightarrow O(n)$.
 - One of these distances must be the minimum one.
- 2-D Solution.
 - we could try sorting the points by their y-coordinate (or x-coordinate) and hoping that the two closest points were near one another in the order of this sorted list.
 - it is easy to construct examples in which they are very far apart
 - Mimic Merge sort.
 - Find the closest pair among the points in the “left half”
 - Find the closest pair among the points in the “right half”
 - Be careful with the distances that have not been considered.
 - One point is the left and one point in the right half.

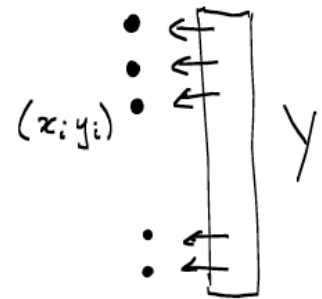
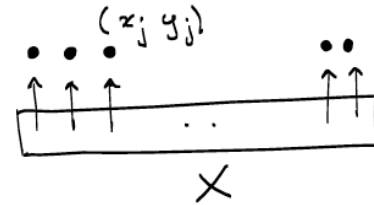
Divide and Conquer – Closest points

- 2-D Solution.

Solution for 2D (Shamos & Hoey 1970's)

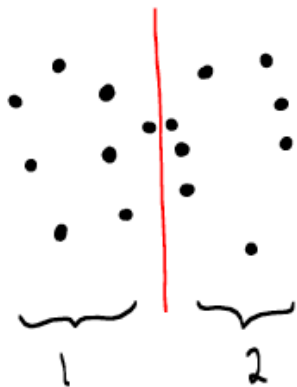
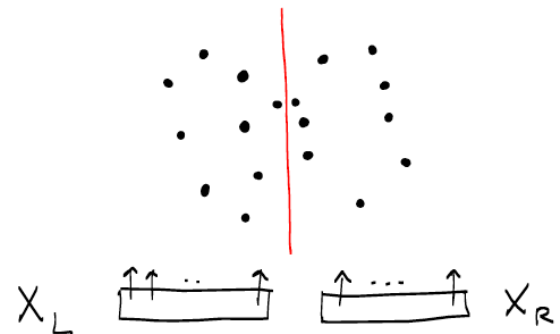


Begin by sorting points by x value,
and sorting points by y value,
giving two sorted arrays X and Y .

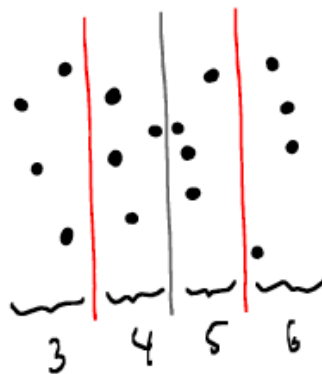


Divide and Conquer – Closest points

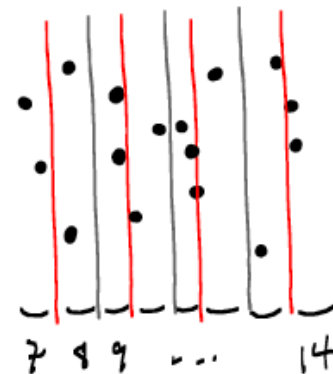
- Partition X into two sets:
 - X_L has the $n/2$ smallest x values ('left')
 - X_R has the $n/2$ largest x values ('right')



level 1





level 2




level 3


Divide and Conquer – Closest points


Find closest pair (X) { //  $t(n)$


if $|X| \leq 3$ then compute closest pair
by brute force and return it  C_1


else {

 Compute X_L X_R  C_2

 Find closest pair (X_L)  $t(n/2)$

 Find closest pair (X_R)  $t(n/2)$

 Find the closest pair such that one point
 is in X_L and the other point is in X_R .  ?

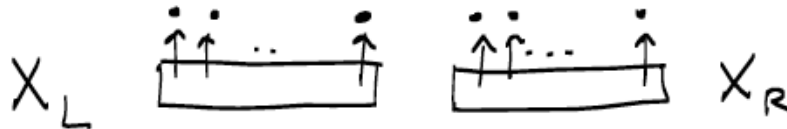
 Return the closest of the three pairs.  C_3

}

$$t(n) = 2t\left(\frac{n}{2}\right) + ? + C$$

Divide and Conquer – Closest points

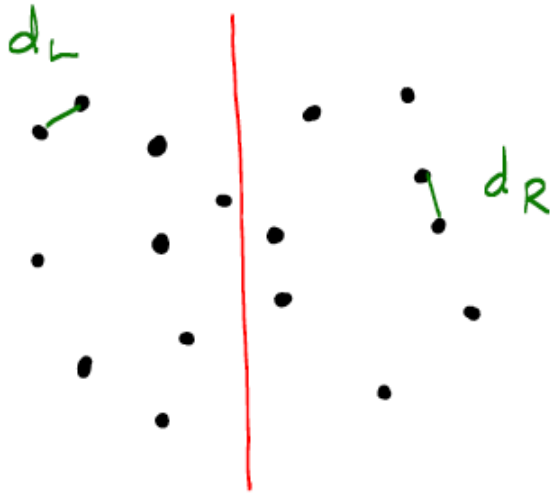
$$t(n) = 2t\left(\frac{n}{2}\right) + ? + C$$



- X_L and X_R each have $n/2$ points. Thus there are $n/2 * n/2$ pairs of points such that one is in X_L and the other in X_R .
 - Finding the pair with minimum distance using “brute force” would take $O(n^2)$, which is too slow.
 - Can we solve this problem in time $O(n)$, instead on $O(n^2)$?

Divide and Conquer – Closest points

- Let the closest pair in X_L have distance d_L .
- Let the closest pair in X_R have distance d_R .



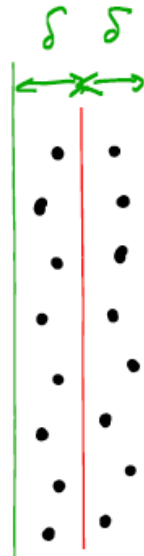
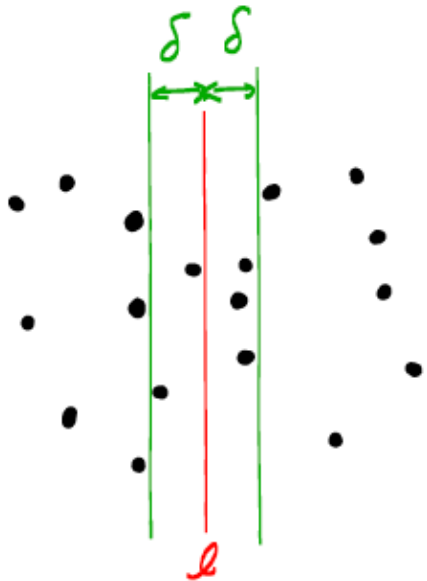
These are the
pairs returned
by the two
recursive calls

$\text{findClosestPair}(X_L)$
 $\text{findClosestPair}(X_R)$

$$\text{Let } \delta = \min(d_L, d_R)$$

Divide and Conquer – Closest points

- Observe that to find the closest pair with one point in X_L and the other point in X_R , we only need to consider points that are a distance δ from the **line ℓ** that separates L and R.

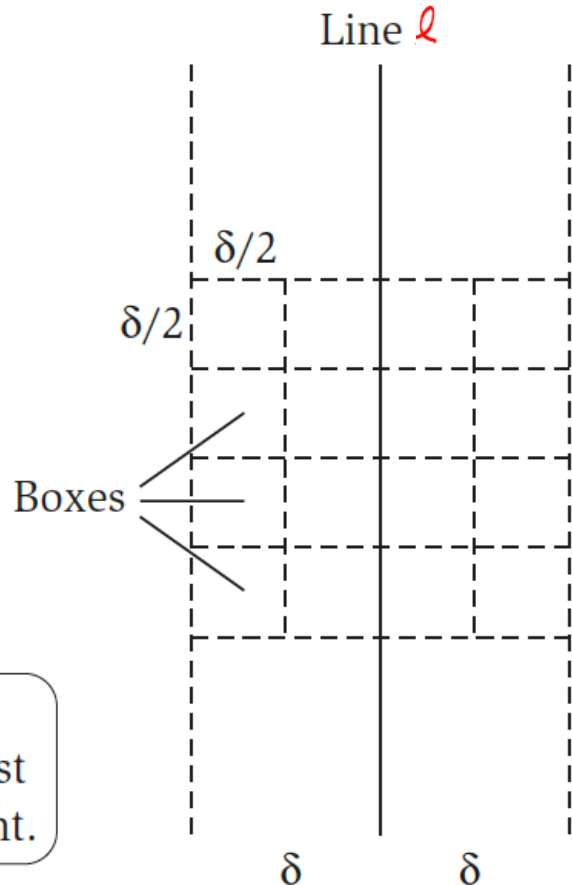


The observation does not necessarily reduce the number of points we Need to consider

Divide and Conquer – Closest points

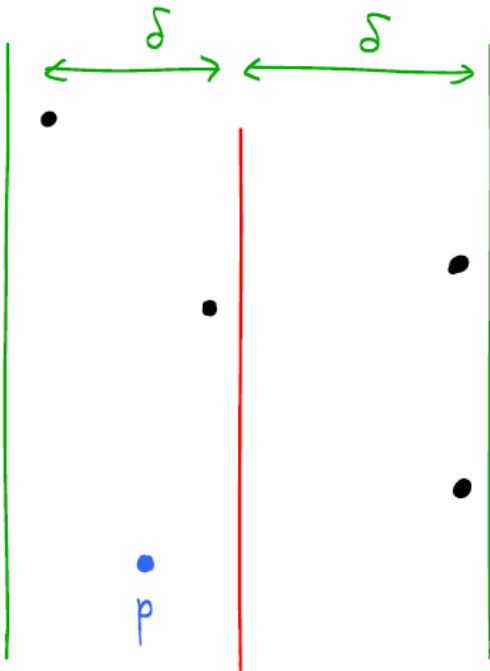
- Consider the subset of the plane consisting of all points within distance δ of ℓ . We can partition this subset into boxes (squares with horizontal and vertical sides of length $\delta/2$). One row of this subset will consist of four boxes whose horizontal sides have the same y -coordinates.

Each box can contain at most one input point.



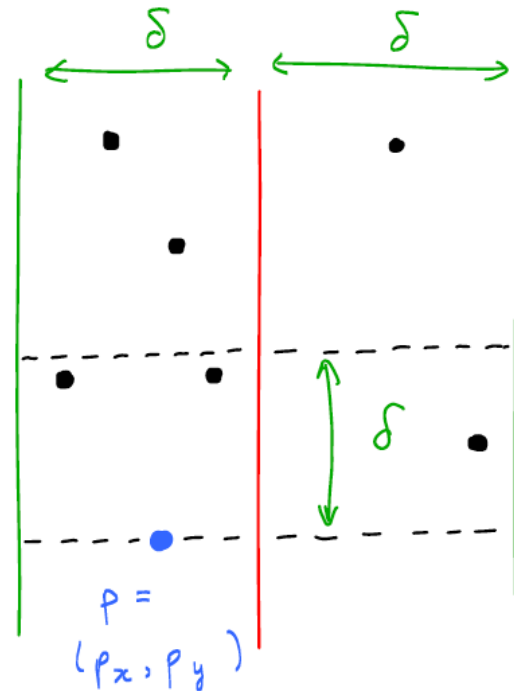
Divide and Conquer – Closest points

- Consider a point p that lies between the two green lines.
 - Is there another point between the green lines that has a y value greater than that of p and is at a distance less than δ from p ?



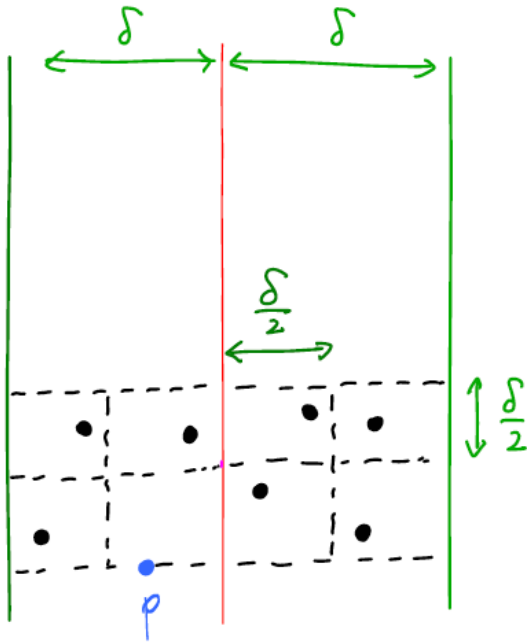
It is sufficient to check those points whose y values are between p_y and $p_y + \delta$

Q: How many points do we need to check in the worst case?



Divide and Conquer – Closest points

- **Q:** How many points do we need to check in the worst case?
- **A:** At most 7.
 - Remember that square cells of width $\frac{\delta}{2}$ can contain at most 1 point.
 - Remember that we also sorted the points by their y coordinate



Find the closest pair such that one point is in X_L and the other point is in X_R . }

Find all points that lie between the green lines.

Starting from point with min y value, examine the distance to next 7 points (sorted by y). If we find a pair with distance $< \delta$, make it the new closest pair & update δ .

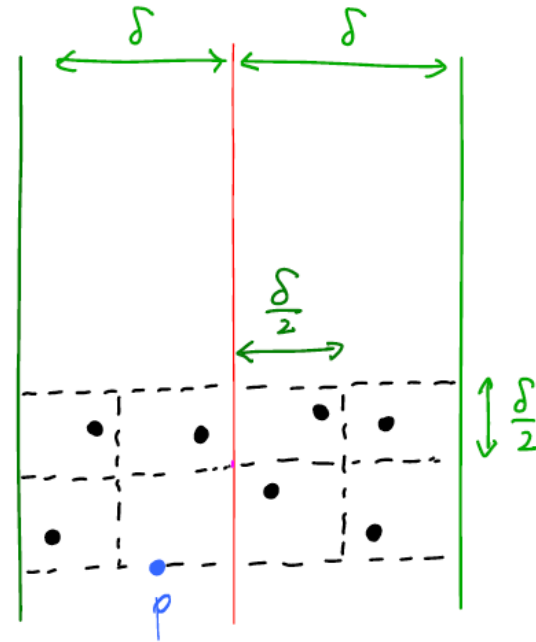
}

Divide and Conquer – Closest points

- **Q:** How many points do we need to check in the worst case?
- **A:** At most 7.

```
middle = empty list
for i = 1 to n
    if Y[i] is between green lines // find points between
        middle.add(Y[i])           // green lines: O(n)

for i = 1 to middle.size { // O(n)
    for j = 1 to 7 { // ignore out of bounds error
        tmp = d(middle[i], middle[i+j])
        if tmp <  $\delta$  {
            closest pair = (middle[i], middle[i+j])
             $\delta$  = tmp
        }
    }
}
```



Divide and Conquer – Closest points

Find closest pair (X) { // $\longrightarrow t(n)$

if $|X| \leq 3$ then compute closest pair
by brute force and return it $\longrightarrow C_1$

else {

 Compute X_L, X_R $\longrightarrow C_2$

 Find closest pair (X_L) $\longrightarrow t(n/2)$

 Find closest pair (X_R) $\longrightarrow t(n/2)$

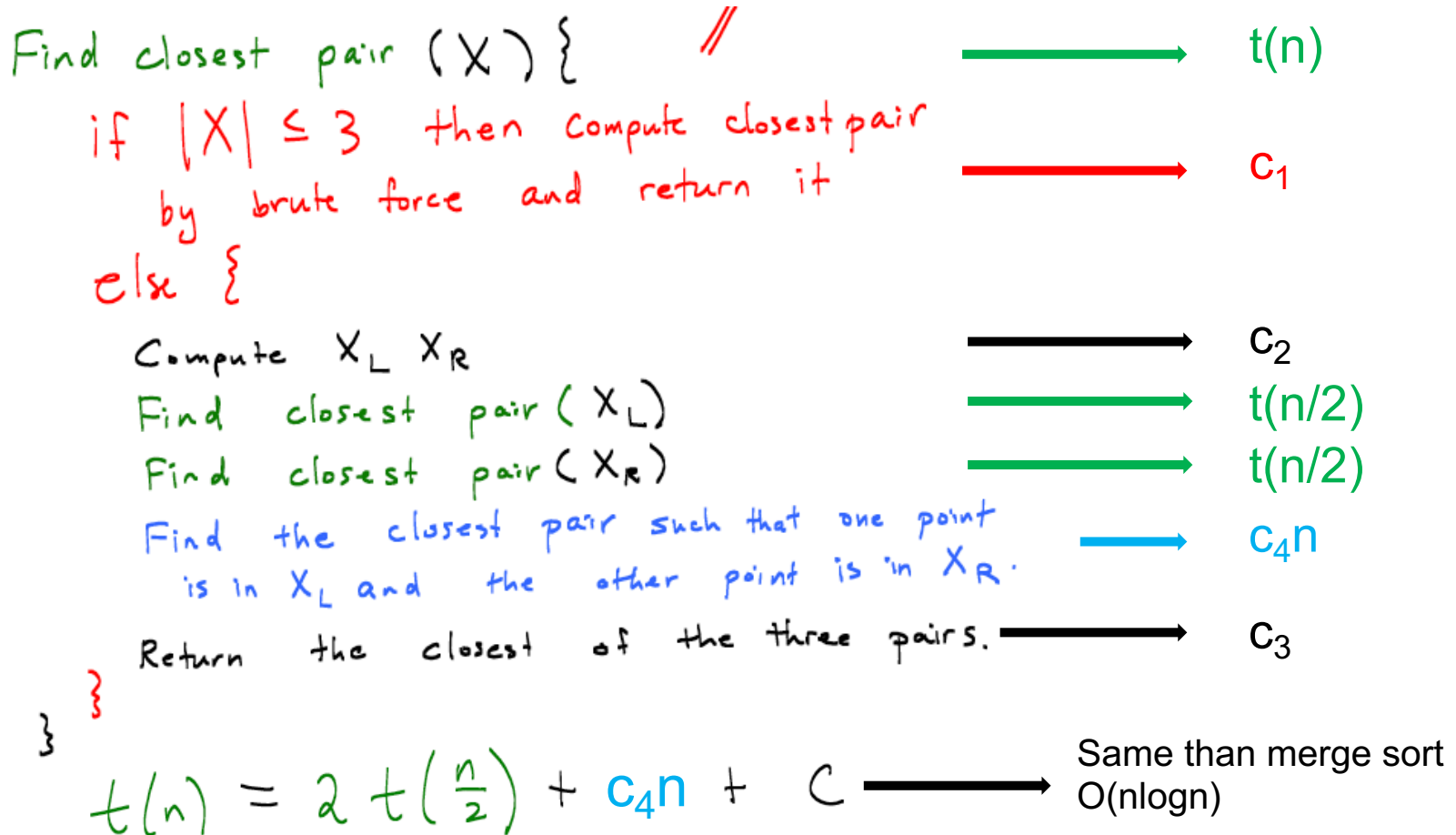
 Find the closest pair such that one point
 is in X_L and the other point is in X_R . $\longrightarrow c_4n$

 Return the closest of the three pairs. $\longrightarrow C_3$

}

$$t(n) = 2t\left(\frac{n}{2}\right) + c_4n + C$$

Divide and Conquer – Closest points



Matrix multiplication – If time allows

Matrix multiplication. Given two n -by- n matrices A and B , compute $C = AB$.

Grade-school. $\Theta(n^3)$ arithmetic operations.

$$c_{ij} = \sum_{k=1}^n a_{ik} b_{kj}$$

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \times \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

SQUARE-MATRIX-MULTIPLY(A, B)

```
1   $n = A.rows$ 
2  let  $C$  be a new  $n \times n$  matrix
3  for  $i = 1$  to  $n$ 
4      for  $j = 1$  to  $n$ 
5           $c_{ij} = 0$ 
6          for  $k = 1$  to  $n$ 
7               $c_{ij} = c_{ij} + a_{ik} \cdot b_{kj}$ 
8  return  $C$ 
```

$$\begin{bmatrix} .59 & .32 & .41 \\ .31 & .36 & .25 \\ .45 & .31 & .42 \end{bmatrix} = \begin{bmatrix} .70 & .20 & .10 \\ .30 & .60 & .10 \\ .50 & .10 & .40 \end{bmatrix} \times \begin{bmatrix} .80 & .30 & .50 \\ .10 & .40 & .10 \\ .10 & .30 & .40 \end{bmatrix}$$

Matrix multiplication – divide and conquer

Suppose that we partition each of A , B , and C into four $n/2 \times n/2$ matrices

$$A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}, \quad B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}, \quad C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix},$$

so that we rewrite the equation $C = A \cdot B$ as

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \cdot \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}.$$

Equation (4.10) corresponds to the four equations

$$C_{11} = A_{11} \cdot B_{11} + A_{12} \cdot B_{21},$$

$$C_{12} = A_{11} \cdot B_{12} + A_{12} \cdot B_{22},$$

$$C_{21} = A_{21} \cdot B_{11} + A_{22} \cdot B_{21},$$

$$C_{22} = A_{21} \cdot B_{12} + A_{22} \cdot B_{22}.$$

$$\begin{bmatrix} 152 & 158 & 164 & 170 \\ 504 & 526 & 548 & 570 \\ 856 & 894 & 932 & 970 \\ 1208 & 1262 & 1316 & 1370 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 2 & 3 \\ 4 & 5 & 6 & 7 \\ 8 & 9 & 10 & 11 \\ 12 & 13 & 14 & 15 \end{bmatrix} \times \begin{bmatrix} 16 & 17 & 18 & 19 \\ 20 & 21 & 22 & 23 \\ 24 & 25 & 26 & 27 \\ 28 & 29 & 30 & 31 \end{bmatrix}$$

$$C_{11} = A_{11} \times B_{11} + A_{12} \times B_{21} = \begin{bmatrix} 0 & 1 \\ 4 & 5 \end{bmatrix} \times \begin{bmatrix} 16 & 17 \\ 20 & 21 \end{bmatrix} + \begin{bmatrix} 2 & 3 \\ 6 & 7 \end{bmatrix} \times \begin{bmatrix} 24 & 25 \\ 28 & 29 \end{bmatrix} = \begin{bmatrix} 152 & 158 \\ 504 & 526 \end{bmatrix}$$

Matrix multiplication – divide and conquer

To multiply two n -by- n matrices A and B :

- Divide: partition A and B into $\frac{1}{2}n$ -by- $\frac{1}{2}n$ blocks.
- Conquer: multiply 8 pairs of $\frac{1}{2}n$ -by- $\frac{1}{2}n$ matrices, recursively.
- Combine: add appropriate products using 4 matrix additions.

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$
$$\begin{aligned} C_{11} &= (A_{11} \times B_{11}) + (A_{12} \times B_{21}) \\ C_{12} &= (A_{11} \times B_{12}) + (A_{12} \times B_{22}) \\ C_{21} &= (A_{21} \times B_{11}) + (A_{22} \times B_{21}) \\ C_{22} &= (A_{21} \times B_{12}) + (A_{22} \times B_{22}) \end{aligned}$$

Running time. Apply case 1 of Master Theorem.

$$T(n) = \underbrace{8T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n^2)}_{\text{add, form submatrices}} \Rightarrow T(n) = \Theta(n^3)$$

Matrix multiplication – Strassen's trick

Key idea. multiply 2-by-2 blocks with only 7 multiplications.
(plus 11 additions and 7 subtractions)

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$C_{11} = P_5 + P_4 - P_2 + P_6$$

$$C_{12} = P_1 + P_2$$

$$C_{21} = P_3 + P_4$$

$$C_{22} = P_1 + P_5 - P_3 - P_7$$

$$P_1 \leftarrow A_{11} \times (B_{12} - B_{22})$$

$$P_2 \leftarrow (A_{11} + A_{12}) \times B_{22}$$

$$P_3 \leftarrow (A_{21} + A_{22}) \times B_{11}$$

$$P_4 \leftarrow A_{22} \times (B_{21} - B_{11})$$

$$P_5 \leftarrow (A_{11} + A_{22}) \times (B_{11} + B_{22})$$

$$P_6 \leftarrow (A_{12} - A_{22}) \times (B_{21} + B_{22})$$

$$P_7 \leftarrow (A_{11} - A_{21}) \times (B_{11} + B_{12})$$

Pf. $C_{12} = P_1 + P_2$
 $= A_{11} \times (B_{12} - B_{22}) + (A_{11} + A_{12}) \times B_{22}$
 $= A_{11} \times B_{12} + A_{12} \times B_{22}. \quad \checkmark$

Matrix multiplication – Strassen's trick

STRASSEN(n, A, B)

IF ($n = 1$) **RETURN** $A \times B$.

assume n is
a power of 2

Partition A and B into 2-by-2 block matrices.

$P_1 \leftarrow \text{STRASSEN}(n / 2, A_{11}, (B_{12} - B_{22}))$.

$P_2 \leftarrow \text{STRASSEN}(n / 2, (A_{11} + A_{12}), B_{22})$.

$P_3 \leftarrow \text{STRASSEN}(n / 2, (A_{21} + A_{22}), B_{11})$.

$P_4 \leftarrow \text{STRASSEN}(n / 2, A_{22}, (B_{21} - B_{11}))$.

$P_5 \leftarrow \text{STRASSEN}(n / 2, (A_{11} + A_{22}) \times (B_{11} + B_{22}))$.

$P_6 \leftarrow \text{STRASSEN}(n / 2, (A_{12} - A_{22}) \times (B_{21} + B_{22}))$.

$P_7 \leftarrow \text{STRASSEN}(n / 2, (A_{11} - A_{21}) \times (B_{11} + B_{12}))$.

$C_{11} = P_5 + P_4 - P_2 + P_6$.

$C_{12} = P_1 + P_2$.

$C_{21} = P_3 + P_4$.

$C_{22} = P_1 + P_5 - P_3 - P_7$.

RETURN C .

keep track of indices of submatrices
(don't copy matrix entries)

Matrix multiplication – Strassen's trick

Theorem. Strassen's algorithm requires $O(n^{2.81})$ arithmetic operations to multiply two n -by- n matrices.

Pf. Apply case 1 of the master theorem to the recurrence:

$$T(n) = \underbrace{7T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n^2)}_{\text{add, subtract}} \Rightarrow T(n) = \Theta(n^{\log_2 7}) = O(n^{2.81})$$

Matrix multiplication – Strassen's trick

Implementation issues.

- Sparsity.
- Caching effects.
- Numerical stability.
- Odd matrix dimensions.
- Crossover to classical algorithm when n is "small" .

Common misperception. “*Strassen is only a theoretical curiosity.*”

- Apple reports 8x speedup on G4 Velocity Engine when $n \approx 2,048$.
- Range of instances where it's useful is a subject of controversy.

Matrix multiplication

year	algorithm	order of growth
?	brute force	$O(n^3)$
1969	Strassen	$O(n^{2.808})$
1978	Pan	$O(n^{2.796})$
1979	Bini	$O(n^{2.780})$
1981	Schönhage	$O(n^{2.522})$
1982	Romani	$O(n^{2.517})$
1982	Coppersmith-Winograd	$O(n^{2.496})$
1986	Strassen	$O(n^{2.479})$
1989	Coppersmith-Winograd	$O(n^{2.376})$
2010	Strother	$O(n^{2.3737})$
2011	Williams	$O(n^{2.3727})$
?	?	$O(n^{2+\epsilon})$

Outline

- Complete Search
- Divide and Conquer.
 - Introduction.
 - Examples.
- Dynamic Programming.
- Greedy.

