

# 漫谈人工智能

## 从囚徒困境谈起：博弈智能



荣智海

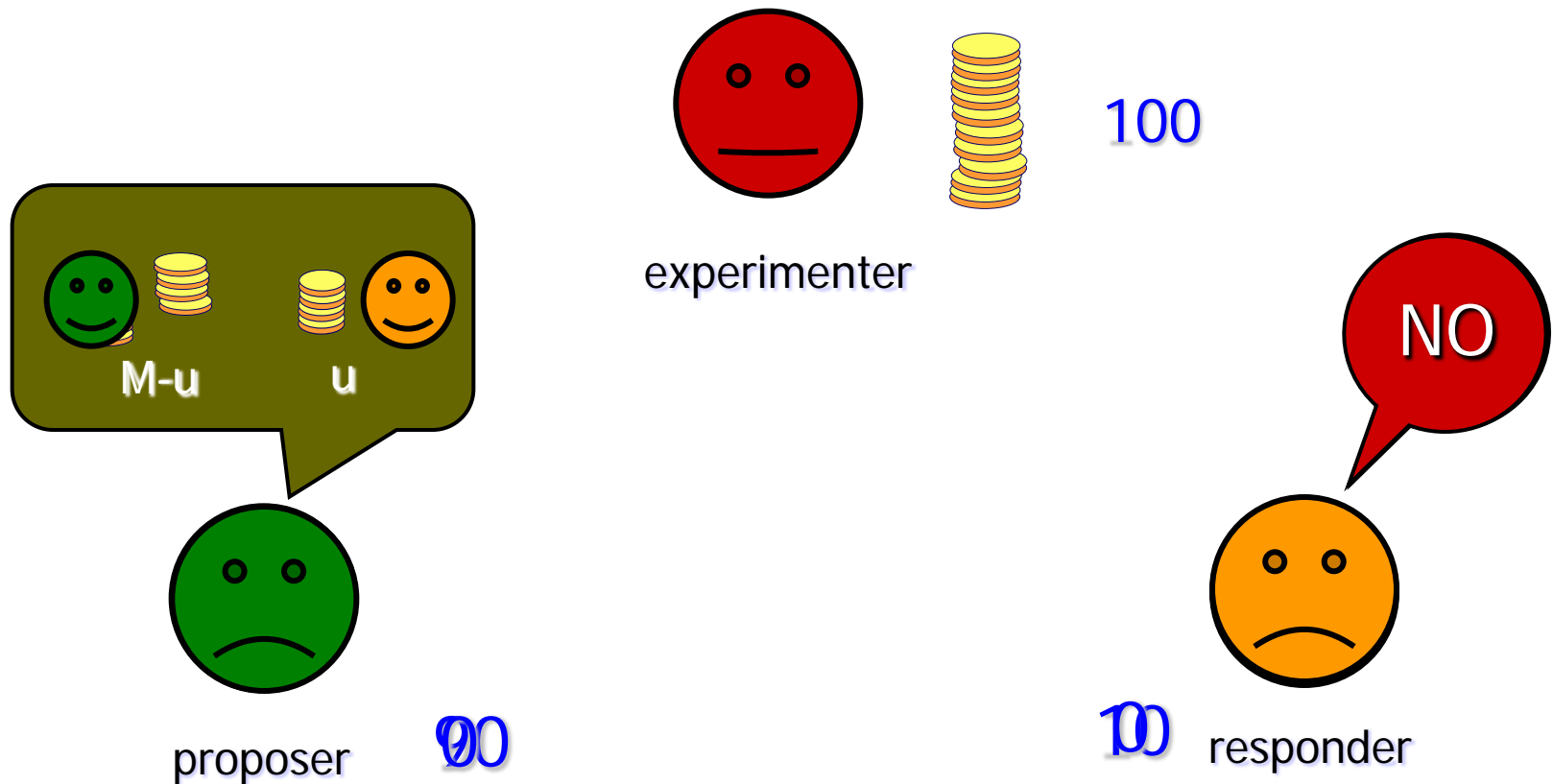
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2020.09

# Altruistic punishment: Ultimatum Game

(Güth, Schmittberger & Schwarze, 1982)



# Experimental results

Responder's optimal strategy: accept

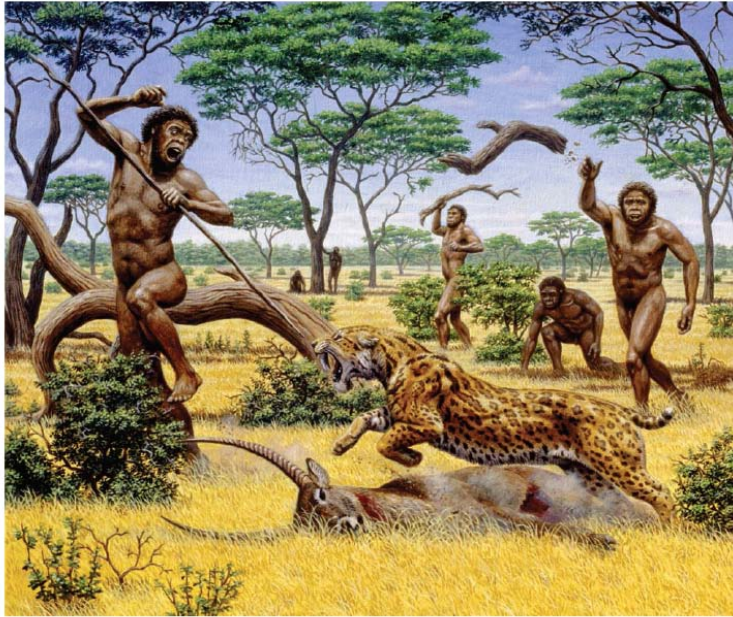
Proposer's optimal strategy: offer

## Results:

- ❑ Responders reject offers below probability
  - ❑ Large degree of variability of offers among societies (26 - 58%)
- Paciotti, Brian, Craig Hadley, Christopher Holmes and Monique Borgerhoff Mulder, *Grass-roots Justice in Tanzania*, American Scientist(2005)
  - Sigmund K, Fehr E, Nowak M A, *The economics of fair play*, Scientific American(2002)



# 合作: 人类社会的基石



Robert Boyd and Sarah Mathew, *A Narrow Road to Cooperation*, SCIENCE, 2007 4



# Cooperation is *ubiquitous* in nature !



*Vampire bats share blood*

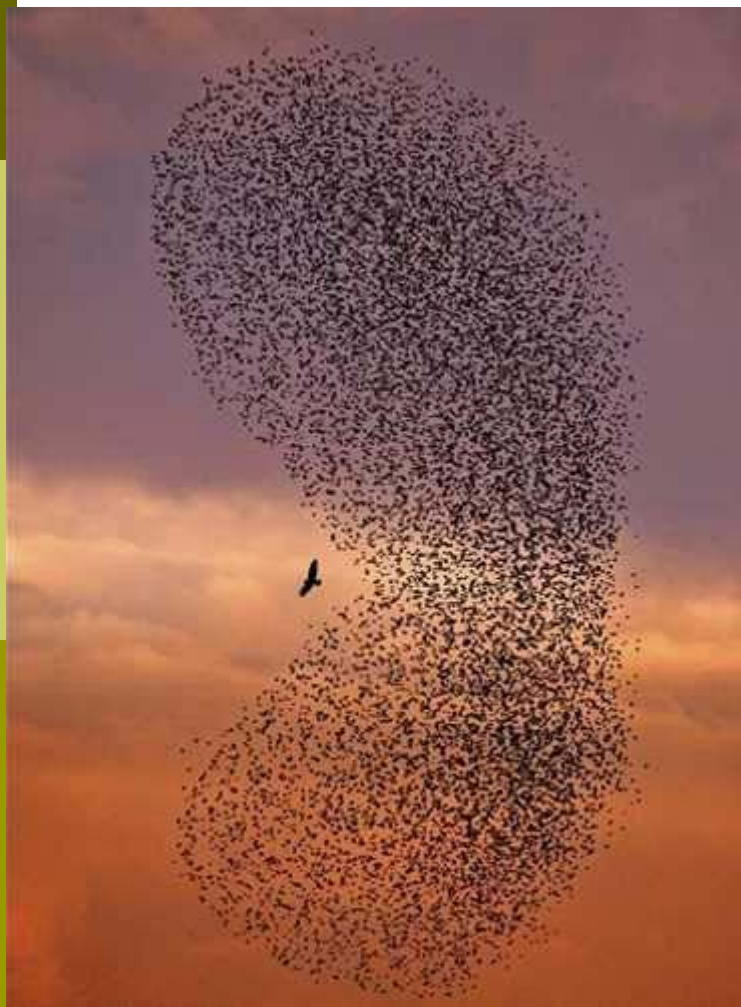


COMMON VAMPIRE BATS frequently engage in acts of mutual help. A bat that feeds successfully on blood from horses or cattle will share its nourishment with an unfed companion by regurgitating a portion of its stomach contents.



■ Nowak MA (2012). *Why we help*. Sci Am 307 (1): 34-39.

# 白腹鸬原想抓八哥美餐, 被鸟群团团包围逼退



- 一只单打独斗的白腹鸬原想抓只在湖旁休息的八哥鸟饱餐一顿，不料，八哥鸟群迅速以庞大的队形包围落单的白腹鸬，使得它不得不落荒而逃。
- 八哥鸟群在面对像猎鹰、白腹鸬这样的猛禽捕捉时都有自成一套的：它们不仅会利“**团结防御策略**”用数量优势将敌人包围起来，还会不断变换队形。

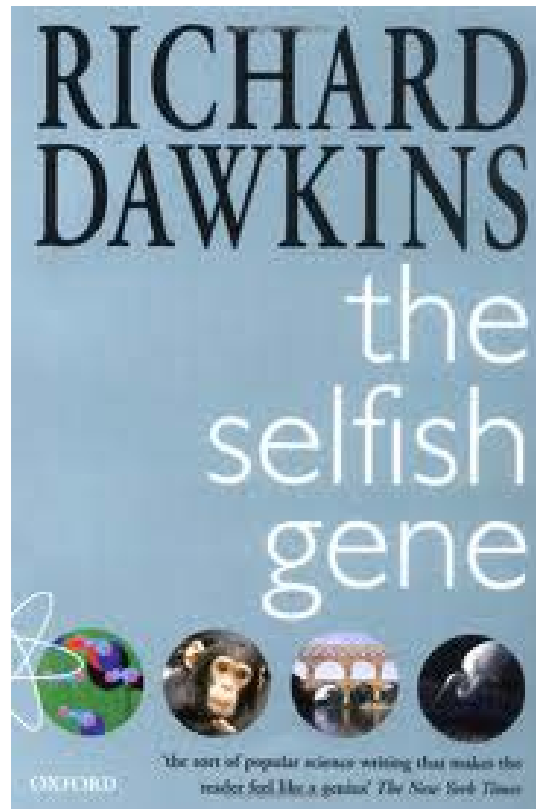
# Gene is selfish?

## NEWS & VIEWS

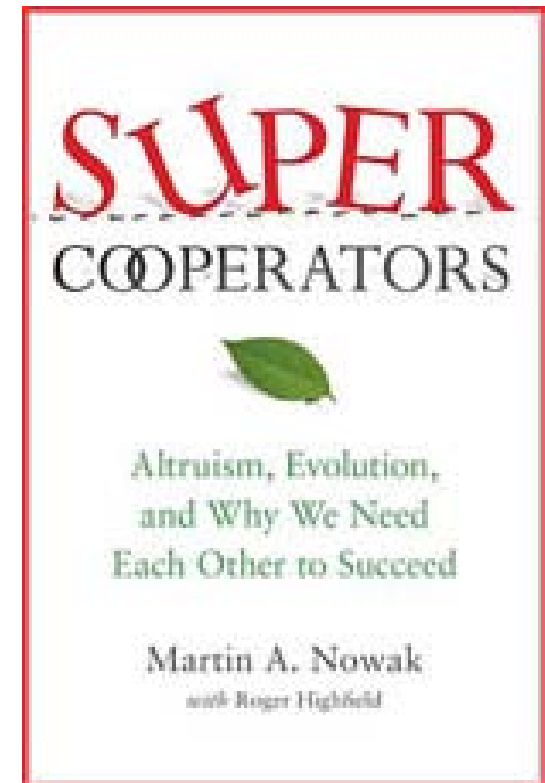
ORIGINS OF LIFE

### The cooperative gene

The origin of life on Earth remains one of the great unsolved mysteries. A new study suggests that cooperation among molecules could have contributed to the transition from inanimate chemistry to biology.



Nature 2012





## The cooperative gene

The origin of life on Earth remains one of the great unsolved mysteries. A new study suggests that cooperation among molecules could have contributed to the transition from inanimate chemistry to biology.

# RNA世界中的合作

- 如果**RNA**分子能够**相互作用**、而不是**独立发挥功能**，生命和演化将会更容易实现。
- 由**组装成核酶的RNA**片段形成的合作性网络能够竞争过**自催化的RNA**片段。
- 合适的策略可以促使**不同的RNA**自组装成**核酶**，搭建成合作的超循环回路，竞争过**自催化的RNA**片段。
- RNA**分子具有形成更复杂分子的内在能力，该行为在地球上的生命形成过程的早期已经确立。

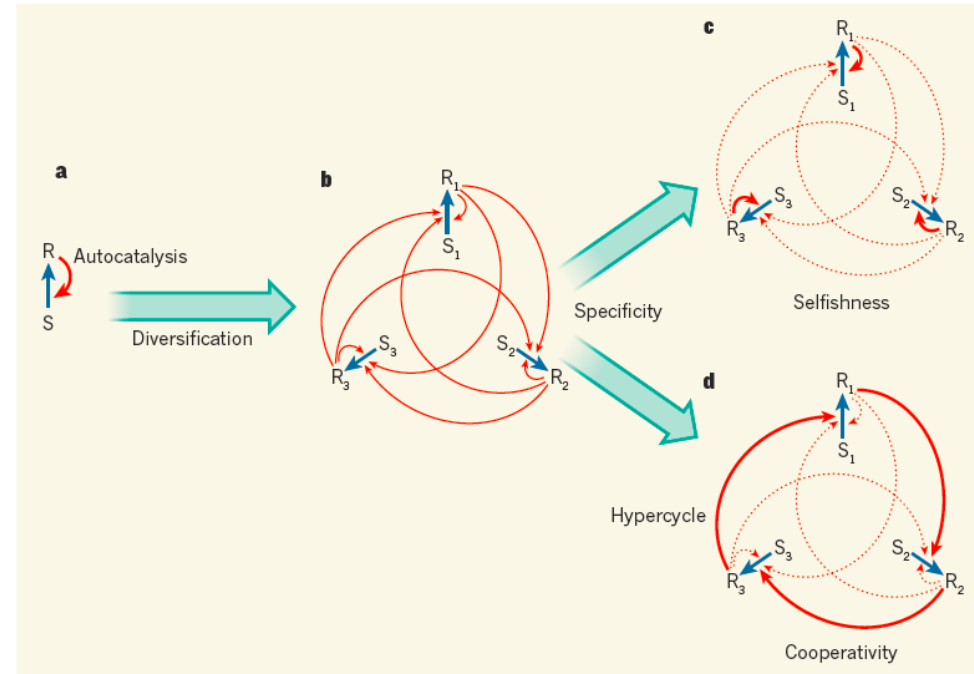


Figure 1 | The emergence of hypercycles. **a**, A primordial replicator molecule ( $R$ ) enhances its own assembly from substrate molecules ( $S$ ) in a simple autocatalytic cycle. **b**, Imperfect replication generates a set of related replicators, each promoting the synthesis of all the others. **c**, **d**, The introduction of biases in replicator specificity gives structure to the network and can lead to selfish subsystems (**c**) or to a cooperative 'hypercycle' (**d**), akin to the system described by Vaidya and colleagues<sup>1</sup>. Such hypercycles remain globally autocatalytic, but are more resistant to the accumulation of mutations, enabling replicators to specialize and to acquire new functions. Thick and dashed red arrows indicate increased and decreased efficacy, respectively, at enhancing replicator assembly.



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## Part II 囚徒困境及其策略

# 囚徒困境博弈Prisoner's dilemma game,PDG)

- Cooperator (合作者,C): help others at a **cost** to himself.
- Defector (背叛者D): receive the benefits **without** providing help.

		Henry	
		C (Reject)	D (Confess)
Dave	C	$(-2, -2)$	$(-5, -1)$
	D	$(-1, -5)$	$(-3, -3)$

(Dave gets, Henry gets)

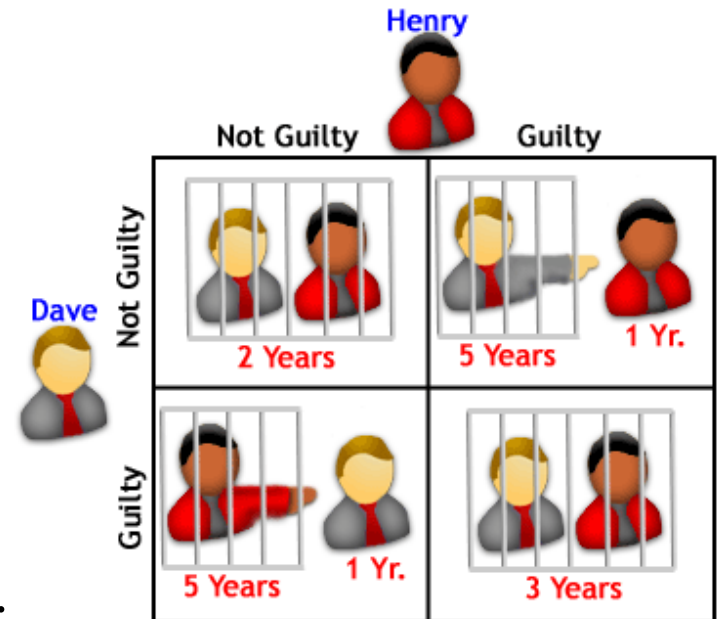
If you opponent plays C: you better play D.  
If you opponent plays D: you better play D.

**But,**

CC is better than DD

**Dilemma:**

Despite mutual cooperation being the best, individual tends to DD



# Tit for tat (针锋相对,一还一报,TFT)

*A. Rapoport & A. M. Chammah, Prisoner's dilemma: A study in conflict and cooperation, The University of Michigan, 1965*

*R. Axelrod and W. D. Hamilton, Science 211, 1390 (1981)*

- TFT: Strategy tournament: **TFT** winner
- In general, the best strategies are “**nice**(善良)”, “**punishing**(惩罚)” and “**forgiving**(宽容)”

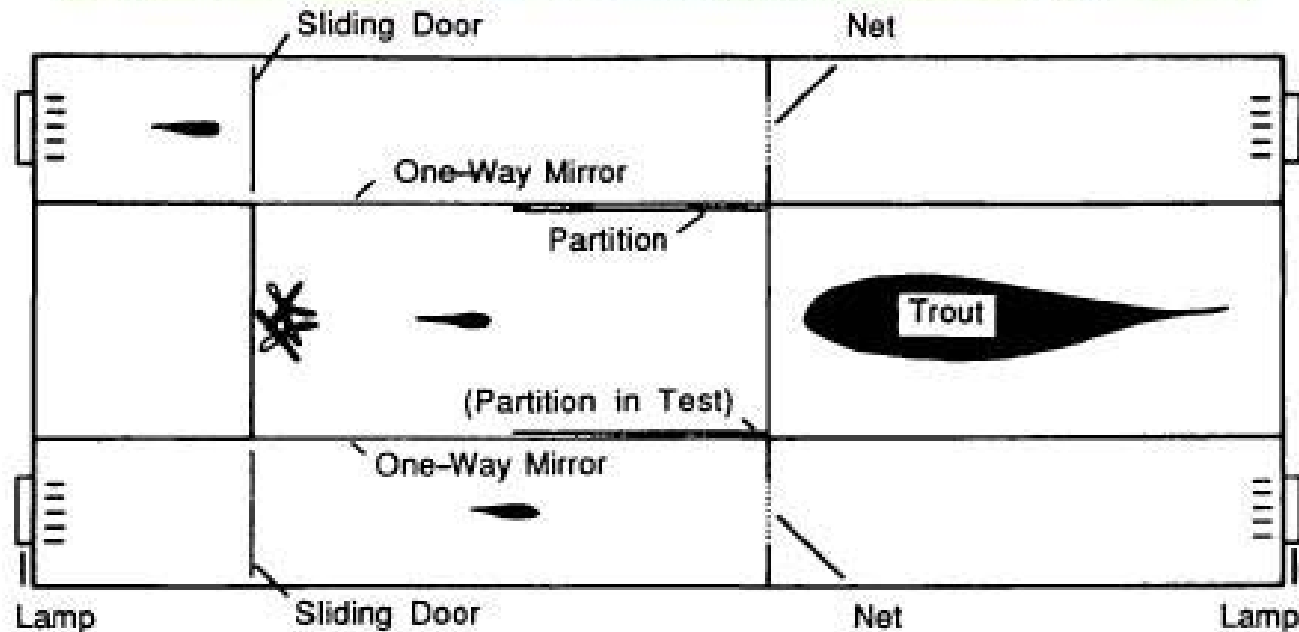
		PLAYER 2	
		COOPERATION	DEFECTION
PLAYER 1	COOPERATION	PLAYER 2 3 points 3 points PLAYER 1	PLAYER 2 5 points 0 points PLAYER 1
	DEFECTION	PLAYER 2 0 points 5 points PLAYER 1	PLAYER 2 1 point 1 point PLAYER 1

PRIOR PLAY			
Last move	Opponent's move	Outcome	Tit-for-Tat
C	C	“REWARD”	C
C	D	“LOSER'S PAYOFF”	D
D	C	“TEMPTATION”	C
D	D	“PUNISHMENT”	D



# 棘鱼 (stickleback) 间的TFT

Milinski M.(1987),TIT FOR  
TAT in sticklebacks and the  
evolution of  
cooperation,Nature




# TFT vs AllD

	C	D
C	$R = 1$	$S = 1 - b$
D	$T = b$	$P = 0$

- 考虑回合数不确定的重复囚徒困境博弈,进行下一轮博弈的概率为 $w$ , 则期望回合数 $m = 1/(1-w)$

	TFT	AllD
TFT	$mR$	$S + (m-1)P$
AllD	$T + (m-1)P$	$mP$



	TFT	AllD
TFT	$m$	$1 - b$
AllD	$b$	$0$

$PD : b > 1;$

- 那么, 如果 $m > b$ , 则TFT对于AllD是帕累托占优的。

	C	D
C	3	0
D	5	1

- 与TFT的博弈实验...

# Tit for tat (针锋相对,一还一报,TFT)

R. Axelrod and W. D. Hamilton, *Science* **211**, 1390 (1981)

TIT-FOR-TAT

TIT-FOR-TAT

C	C	C	C	D	C	D
C	C	C	D	C	D	C

□ TFT: Strategy tournament: **TFT** winner

□ In general, the best strategies are “**nice**”,  
“**punishing**” and “**forgiving**”

□ But there exists **noise**?

		PLAYER 2	
		COOPERATION	DEFECTION
PLAYER 1	COOPERATION	PLAYER 2 3 points 3 points PLAYER 1	PLAYER 2 5 points 0 points PLAYER 1
	DEFECTION	PLAYER 2 0 points 5 points PLAYER 1	PLAYER 2 1 point 1 point PLAYER 1

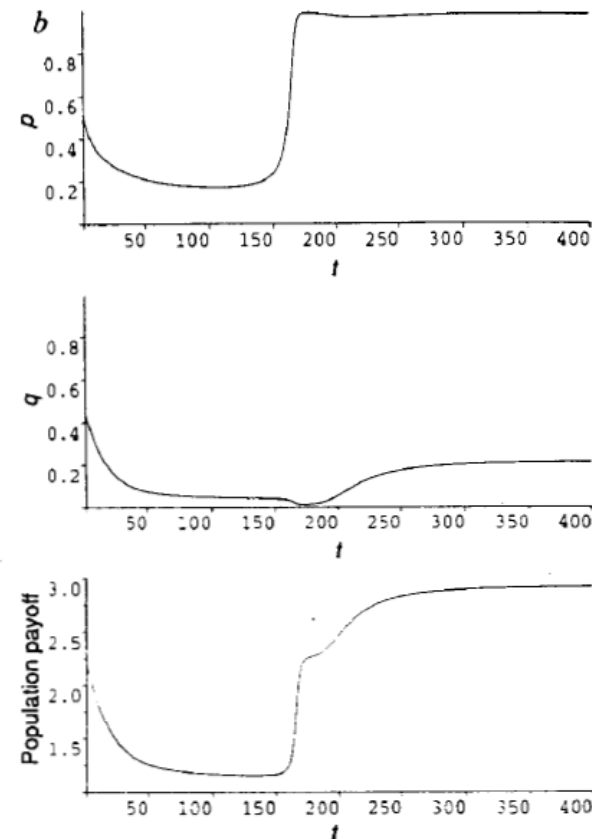
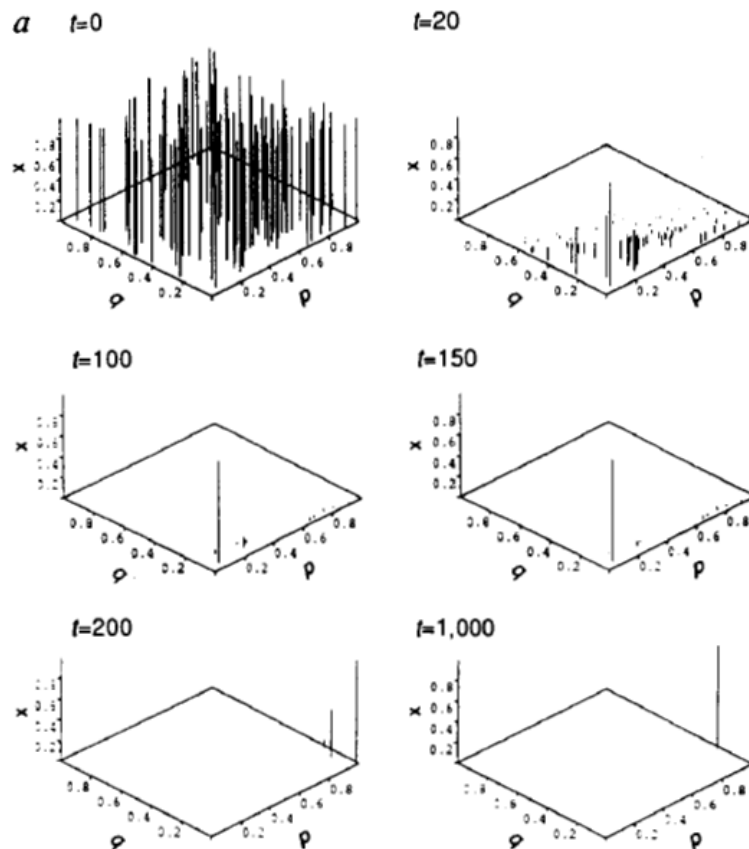
PRIOR PLAY			
Last move	Opponent's move	Outcome	Tit-for-Tat
C	C	“REWARD”	C
C	D	“LOSER'S PAYOFF”	D
D	C	“TEMPTATION”	C
D	D	“PUNISHMENT”	D



# 宽容的TFT (Generous tit-for-tat ,GTFT)

Nowak, Sigmund (1992). Tit for tat in heterogeneous population. Nature

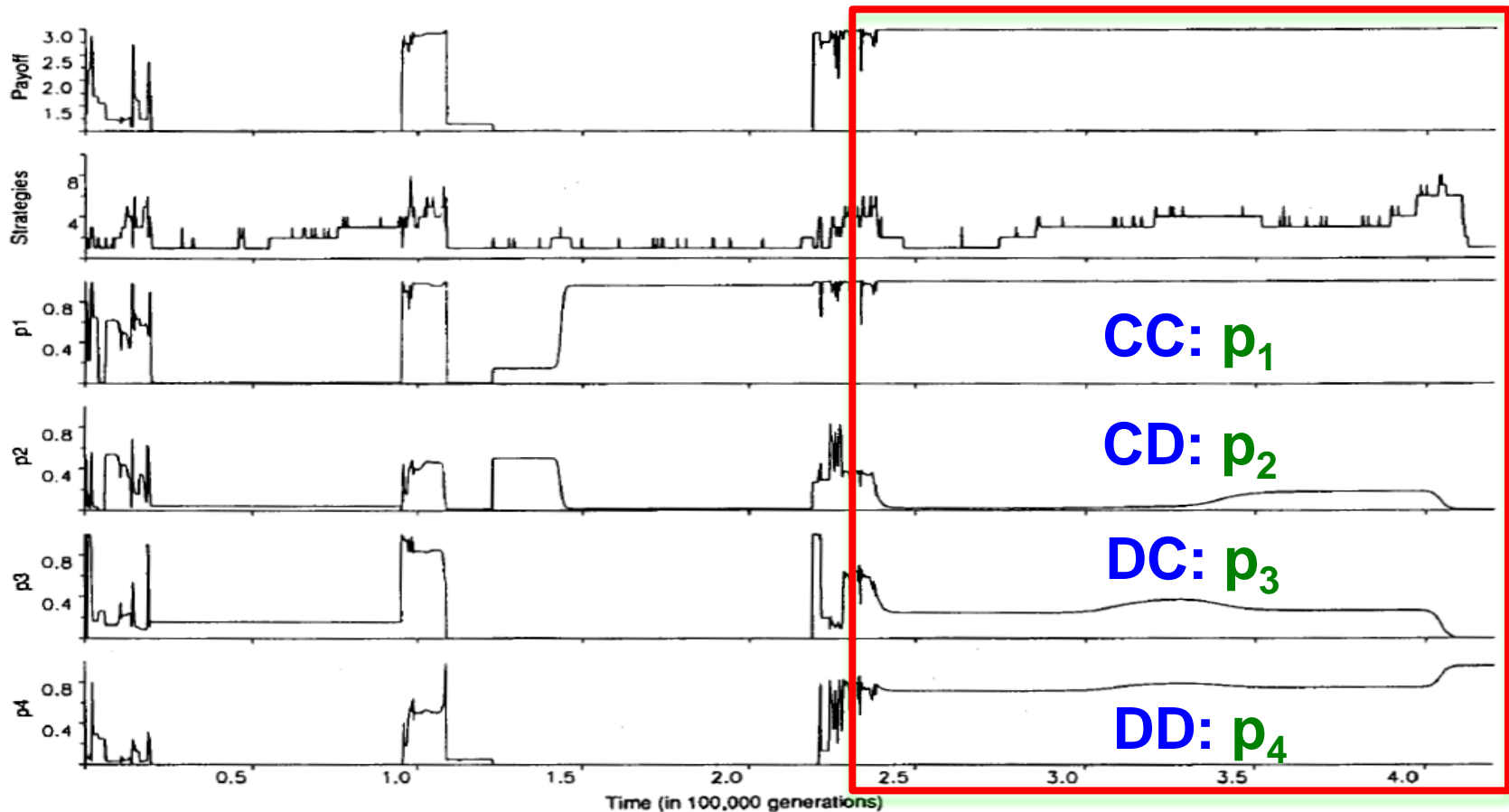
- $p$ : the conditional probability to cooperate after a C
- $q$ : the conditional probability to cooperate after a D
- $\text{TFT}(p, q) = (1, 0)$ ,  $\text{GTFT} = (1, 0.33)$



# Win stay, lost shift (WSLS, 赢存输去)

Nowak, Sigmund (1993). A strategy of win-stay, lose-shift that outperforms tit for tat in Prisoner's Dilemma. Nature 364

- $(p_1, p_2, p_3, p_4)$  is the conditional probabilities to cooperate, after (CC, CD, DC, DD).
- TFT =  $(1, 0, 1, 0)$ , GTFT =  $(1, 0.33, 1, 0.33)$ , WSLS =  $(1, 0, 0, 1)$



# Win stay, lost shift (WSLS, 赢存输去)

Nowak, Sigmund (1993). A strategy of win-stay, lose-shift that outperforms tit for tat in Prisoner's Dilemma. Nature 364

TIT-FOR-TAT

TIT-FOR-TAT

PAVLOV

PAVLOV

C	C	C	C	D	C	D
C	C	C	D	C	D	C
C	C	C	C	D	C	C
C	C	C	D	D	C	C

TIME →

Win stay, lost shift  
(Pavlov):

$$\text{player1} \begin{cases} C \\ D \end{cases} \begin{matrix} \text{player2} \\ \overbrace{C \quad D} \end{matrix} \begin{pmatrix} R & S \\ T & P \end{pmatrix}$$

$$PD: T > R > x > P > S$$

**R** is **REWARD** for mutual cooperation  
**S** **SUCKER's** payoff  
**T** **TEMPTATION** to defect  
**P** **PUNISHMENT** for mutual defection

PRIOR PLAY

Last move	Opponent's move	Outcome	Tit-for-Tat	Pavlov
C	C	"REWARD"	C	C
C	D	"LOSER'S PAYOFF"	D	D
D	C	"TEMPTATION"	C	D
D	D	"PUNISHMENT"	D	C



# Iterated Prisoner's Dilemma contains strategies that dominate any evolutionary opponent

William H. Press<sup>a,1</sup> and Freeman J. Dyson<sup>b</sup>

<sup>a</sup>Department of Computer Science and School of Biological Sciences, University of Texas at Austin, Austin, TX 78712; and <sup>b</sup>School of Natural Sciences, Institute for Advanced Study, Princeton, NJ 08540

Contributed by William H. Press, April 19, 2012 (sent for review March 14, 2012)



## From extortion to generosity, evolution in the Iterated Prisoner's Dilemma

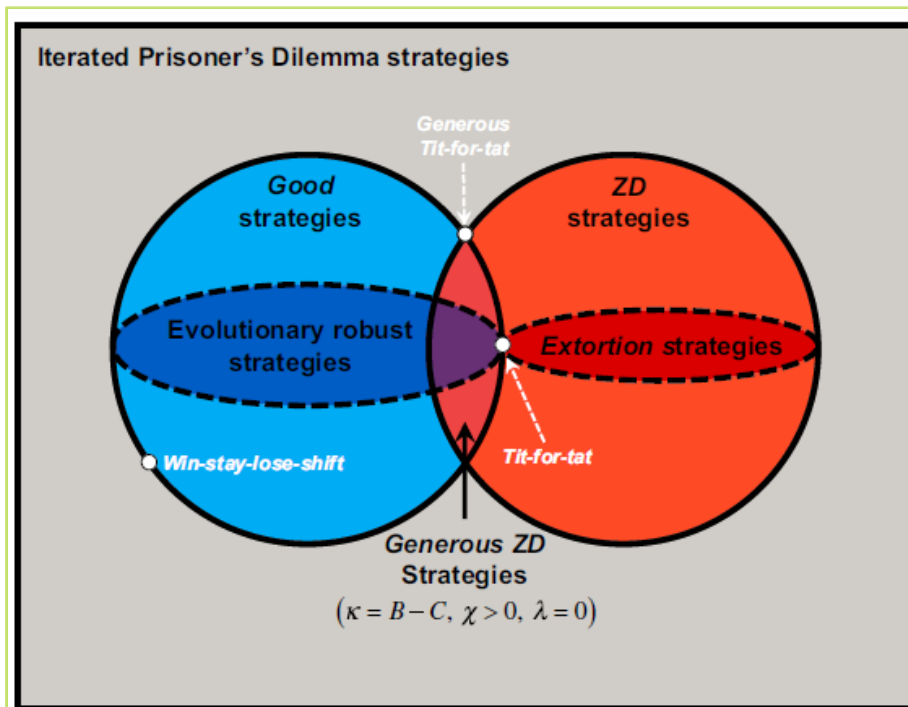
Alexander J. Stewart and Joshua B. Plotkin<sup>1</sup>

Department of Biology, University of Pennsylvania, Philadelphia, PA 19104

$$\tilde{\mathbf{p}} = \phi[(S_X - \kappa \mathbf{1}) - \chi(S_Y - \kappa \mathbf{1})]$$

- $\kappa = P$  for extortion
- $\kappa = R$  for generosity

$$\begin{array}{c} \text{player2} \\ \begin{array}{cc} \overbrace{C} & \overbrace{D} \end{array} \\ \text{player1} \left\{ \begin{array}{c} C \\ D \end{array} \right. \left( \begin{array}{cc} R & S \\ T & P \end{array} \right) \\ PD: T > R > P > S \end{array}$$



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## Part III 其他两人两博弈模型——

雪堆博弈(Snowdrift game ,SG)  
猎鹿博弈(Stag hunt game, SH)



# Snowdrift game (SG, 雪堆博弈)

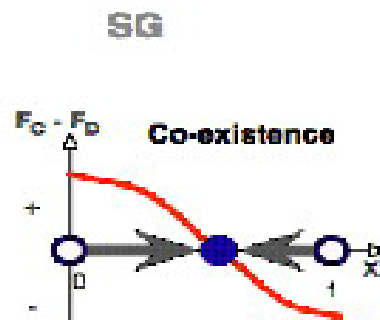
$$\begin{array}{c} \text{player1} \end{array} \begin{array}{c} \overbrace{\begin{array}{cc} C & D \end{array}}^{\text{player2}} \\ \left\{ \begin{array}{c} C \\ D \end{array} \right\} \begin{pmatrix} b - \frac{c}{2} & b - c \\ b & 0 \end{pmatrix} \end{array} \longrightarrow \begin{pmatrix} 1 & 1 - \frac{c}{2b - c} \\ 1 + \frac{c}{2b - c} & 0 \end{pmatrix} \longrightarrow \begin{pmatrix} 1 & 1 - r \\ 1 + r & 0 \end{pmatrix}$$

$C$ : cooperator;  $D$ : defector

**$r = c/(2b - c)$ : cost to benefit of mutual cooperation**

$$\begin{array}{c} \text{player1} \end{array} \begin{array}{c} \overbrace{\begin{array}{cc} C & D \end{array}}^{\text{player2}} \\ \left\{ \begin{array}{c} C \\ D \end{array} \right\} \begin{pmatrix} 1 & S \\ T & 0 \end{pmatrix} \end{array}$$

$C$  and  $D$  coexist:  $C \rightarrow 1 - r \leftarrow D$   
 The equilibrium frequency of cooperators in SG is  $1 - r$



# Chicken game(胆小鬼博弈)& Hawk-Dove game(鹰鸽博弈)

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## □ 一些例子:

- ✓ 鸟类和动物(蒙哥)的报警
- ✓ 冷战中的美苏关系





# Game theory models(几类博弈模型)

- ▣ Cooperator( C, 合作者): help others at a cost to themselves.
- ▣ Defector( D, 背叛者): receive the benefits without providing help.
- Prisoner's Dilemma (PD) :  $T > R > P > S$ ,  $T + S \leq 2R$   
D dominates C :  $C \rightarrow D$

$$\begin{array}{c} \text{player1} \left\{ \begin{array}{c} C \\ D \end{array} \right. \begin{array}{c} \overbrace{\begin{array}{cc} C & D \end{array}}^{\text{player2}} \\ \left( \begin{array}{cc} R & S \\ T & P \end{array} \right) \end{array}$$

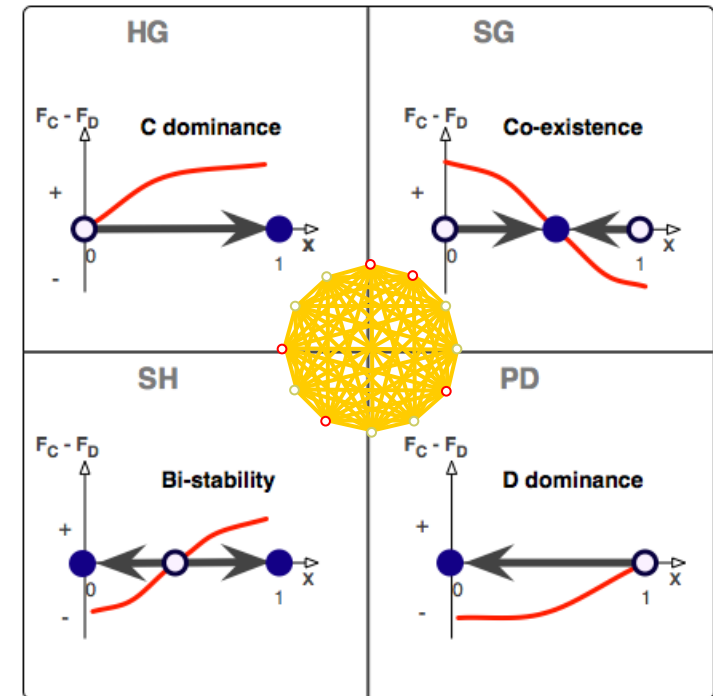
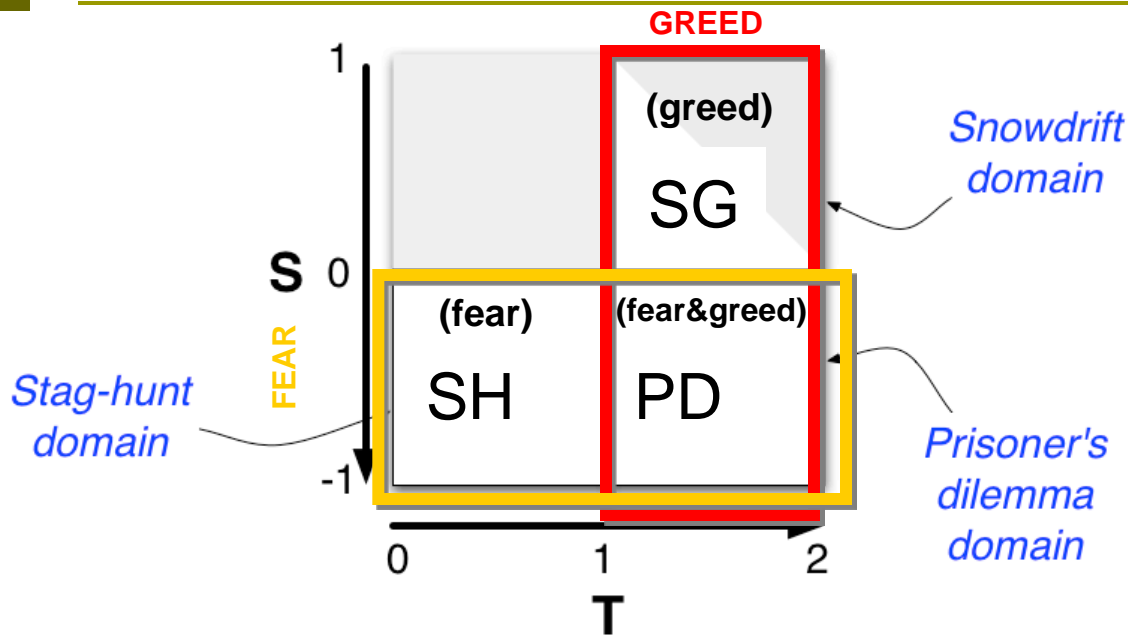
**R** is **REWARD** for mutual cooperation  
**S** **SUCKER's** payoff  
**T** **TEMPTATION** to defect  
**P** **PUNISHMENT** for mutual defection

# Game theory models(几类博弈模型)

- Prisoner's Dilemma (PD) :  $T > R > P > S$ ,  $T + S \leq 2R$   
 $D$  dominates  $C$  :  $C \rightarrow D$
  - Snowdrift game (SG):  $T > R > S > P$ ,  $T + S \leq 2R$   
 $C$  and  $D$  coexist:  $C \rightarrow r \leftarrow D$
  - Stag hunt game (SH, 猎鹿博弈; Battle of the sexes, 性别大战):  
 $R > T > P > S$ ,  $C$  and  $D$  are bistable:  $C \leftarrow \rightarrow D$
  - $C$  dominates,  $C \leftarrow D$ ,  $R > T > S > P$
- $$\begin{matrix} & \overbrace{\text{player2}} \\ & \begin{matrix} C & D \end{matrix} \\ \text{player1} \left\{ \begin{matrix} C \\ D \end{matrix} \right. & \begin{pmatrix} R & S \\ T & P \end{pmatrix} \end{matrix}$$

# 2D parameter space

$$\text{player1} \begin{cases} \text{C} \\ \text{D} \end{cases} \begin{matrix} \overbrace{\text{C} \quad \text{D}}^{\text{player2}} \\ \begin{pmatrix} 1 & S \\ T & 0 \end{pmatrix} \end{matrix}$$



- Formally, these dilemmas span the parameter space of
- T** (temptation to defect = greed)
- S** (sucker's payoff = fear).

Santos, Pacheco, Lenaerts, PNAS  
103 (2006) 3490-3494

# Defectors can **diffuse** in well-mixed populations

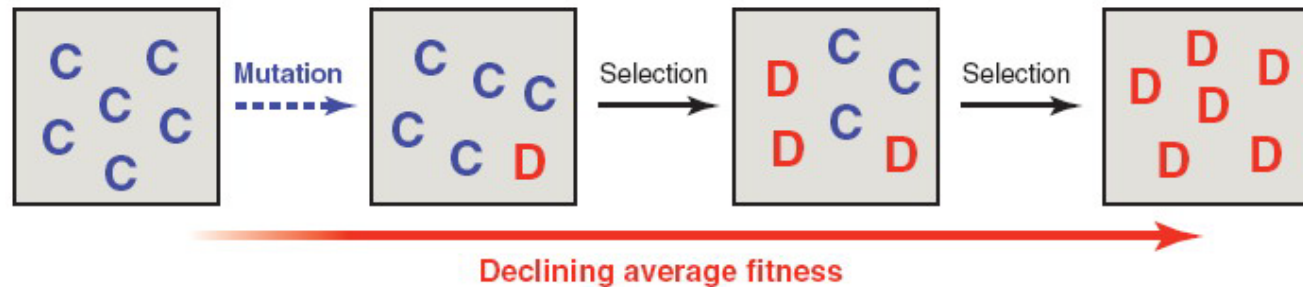


An Austrian holiday led to iterated cooperation between Karl Sigmund (left) and Martin Nowak.

Prisoners of the dilemma: When mathematics and biology met on a mountain. *Nature* 2004

- Cooperator (C): help others at a **cost** to himself.
- Defector (D): receive the **benefits** without providing help.

		PLAYER 2	
		COOPERATION	DEFECTION
PLAYER 1	COOPERATION	COOPERATION COOPERATION 3 points 3 points	DEFLECTION COOPERATION 5 points 0 points
	DEFLECTION	COOPERATION DEFLECTION 0 points 5 points	DEFLECTION DEFLECTION 1 point 1 point
		PLAYER 2	PLAYER 2



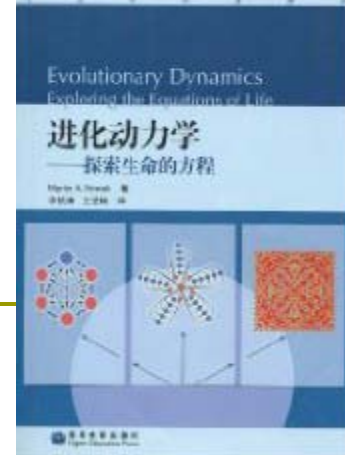
**Fig. 1.** Without any mechanism for the evolution of cooperation, natural selection favors defectors. In a mixed population, defectors,  $D$ , have a higher payoff (= fitness) than cooperators,  $C$ . Therefore, natural selection continuously reduces the abundance,  $i$ , of cooperators until they are extinct. The average fitness of the population also declines under natural selection. The total population size is given by  $N$ . If there are  $i$  cooperators and  $N - i$  defectors, then the fitness of cooperators and defectors, respectively, is given by  $f_C = [b(i - 1)/(N - 1)] - c$  and  $f_D = bi/(N - 1)$ . The average fitness of the population is given by  $\bar{f} = (b - c)i/N$ .

Nowak MA (2006). Five rules for the evolution of cooperation. *Science*

# Natural cooperation(自然合作)

Nowak MA (2006). Five rules for the evolution of cooperation. Science

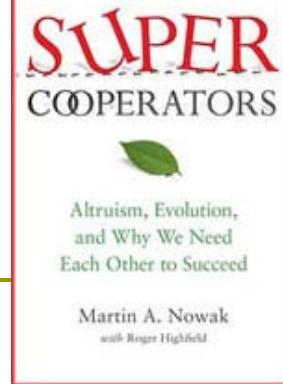
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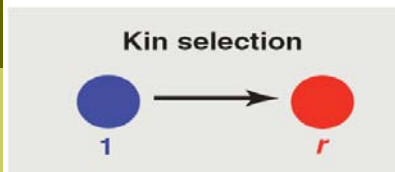
- “The two **fundamental principles** of evolution are **mutation** and **natural selection**. But evolution is constructive because of **cooperation**. New levels of organization evolve when the competing units on the lower level begin to cooperate. ...
- Thus, we might add “**natural cooperation**” as a third fundamental principle of evolution beside **mutation** and **natural selection**.”



# Some rules for evolutions cooperation (一些演化合作的规则)



Nowak MA (2006). Five rules for the evolution of cooperation. Science



□ Kin selection: **relative** ● Cooperators ● Defectors  
“我会跳进河里去救我的两个弟弟或者八个堂兄”

□ Direct reciprocity: **unrelated individuals**

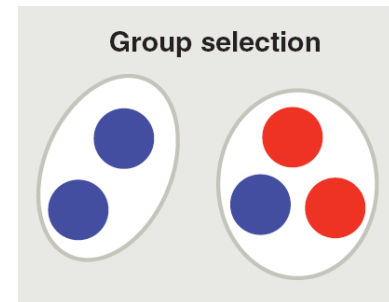
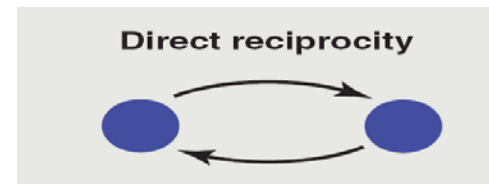
“如果你帮我挠挠后背，我也帮你挠”

□ Indirect reciprocity: **reputation**

“为了得到大家的回报，我现在得多半住别人，为自己赢得一个好的名声”

□ Group (Multi-level) selection  
“一群相互协作的人往往比一群相会背叛的人更能获得成功”

□ **Network reciprocity**



# 人工智能 vs 博弈论 vs 机器行为

自然(系统)哲学的数学原理 → 人造(系统)哲学的数学原理

REVIEW Nature 2019. 04. 25

<https://doi.org/10.1038/s41586-019-1138-y>

## Machine behaviour

Iyad Rahwan<sup>1,2,3,34\*</sup>, Manuel Cebrian<sup>1,34</sup>, Nick Obradovich<sup>1,34</sup>, Josh Bongard<sup>4</sup>, Jean-François Bonnefon<sup>5</sup>, Cynthia Breazeal<sup>1</sup>, Jacob W. Crandall<sup>6</sup>, Nicholas A. Christakis<sup>7,8,9,10</sup>, Iain D. Couzin<sup>11,12,13</sup>, Matthew O. Jackson<sup>14,15,16</sup>, Nicholas R. Jennings<sup>17,18</sup>, Ece Kamar<sup>19</sup>, Isabel M. Kloumann<sup>20</sup>, Hugo Larochelle<sup>21</sup>, David Lazer<sup>22,23,24</sup>, Richard McElreath<sup>25,26</sup>, Alan Mislove<sup>27</sup>, David C. Parkes<sup>28,29</sup>, Alex 'Sandy' Pentland<sup>1</sup>, Margaret E. Roberts<sup>30</sup>, Azim Shariff<sup>31</sup>, Joshua B. Tenenbaum<sup>32</sup> & Michael Wellman<sup>33</sup>

## Human-machine co-behaviour

Although it can be methodologically convenient to separate studies into the ways that humans shape machines and vice versa, most AI systems function in domains where they co-exist with humans in complex hybrid systems<sup>39,67,125,128</sup>. Questions of importance to the study of these systems include those that examine the behaviours that characterize human-machine interactions including cooperation, competition and coordination—for example, how human biases combine with AI to alter human emotions or beliefs<sup>14,55,56,129,130</sup>, how human tendencies

