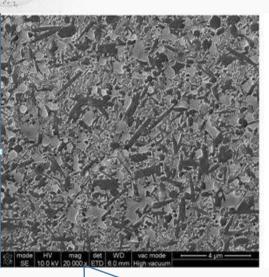
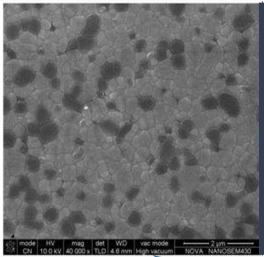
#### 1.General Field of the Research





#### Introduction

Microstructural analysis is an important part of material science. The accurate analysis of the microstructure image of the new composite ceramic material can effectively optimize the material preparation process and improve the product performance.

At present, the analysis methods for the microstructure image of ceramic materials mainly include manual calculation and enumeration, as well as image analysis methods based on the traditional edge detection based on mathematical morphology.

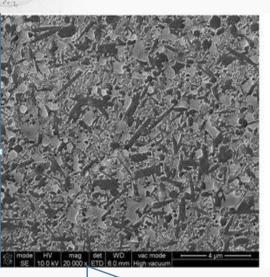
# 01 Si<sub>3</sub>N<sub>4</sub>-TiN Multiphase Ceramic

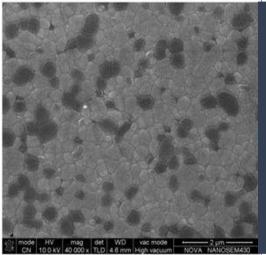
Multiphase ceramics with different aspect ratio

# 02 Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> Multiphase Ceramic

Multiphase ceramics with different background contrast

#### 1.General Field of the Research





#### Introduction

However, the existing methods have many problems, such as complicated process, unable to achieve automatic detection and recognition, which restrict the efficiency and accuracy of image analysis.

The domain reason is that the image background is complex, and the boundary between the target grain and the background is difficult to be divided by a unified standard.

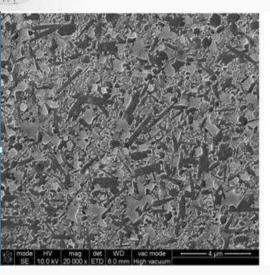
# 01 Si<sub>3</sub>N<sub>4</sub>-TiN Multiphase Ceramic

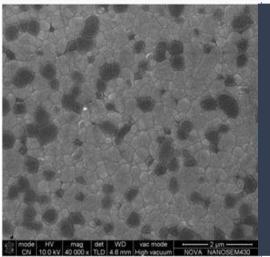
Multiphase ceramics with different aspect ratio

# 02 Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub> Multiphase Ceramic

Multiphase ceramics with different background contrast

#### 2. Research Aim



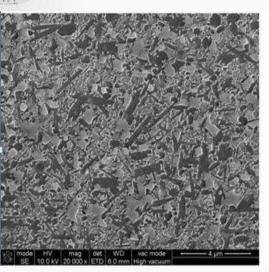


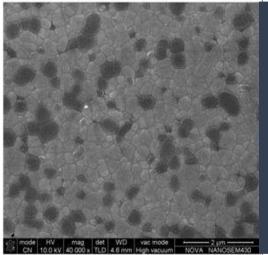
#### **Research Aim**

To solve above difficulties, this research aims at designing a Multi-model Fusion Instance Segmentation Method for Microstructure Analysis of Multiphase Ceramic Materials.

This type of method is more robust, with the combination of 3 different models(U-Net, U-Net++ and Mask R-CNN), and it can achieve automatic detection as well as output the information of each detected grains.

#### 2. Research Aim





#### **Research Aim**

Given these characteristics, this research intends to realize extracting information of the microstructure image of the new composite ceramic material in a more robust and efficient way than before.

START

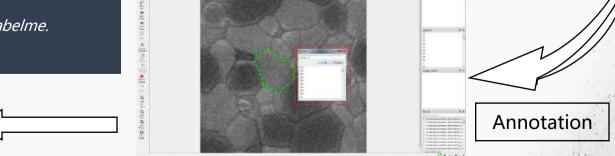
#### 01 Image Collection and Data Annotation

The first step is to collect and annotate microstructure images of 2 kinds of composite ceramic material  $(Si_3N_4-TiN \& Al_2O_3-ZrO_2)$ .

Microstructure images are collected by SEM(Scanning Electron Microscope), with 1024×943 pixels.

**NEXT** 

The obtained images are annotated via Labelme.



**SEM** 

Data Augmentation

01

#### 02 Data Augmentation and EDA

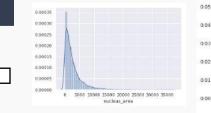
The second step is data augmentation and EDA.

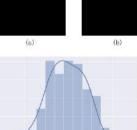
#### **Data augmentation:**

Through reading the mask in batch and performing the transformation such as turning, translation, rotation and random cutting (or combination of the above) with the original image at the same time.

#### **EDA(Exploratory Data Analysis):**

The data set is explored and visualized, in order to prevent using the low quality data which may cause a negative influence on the segmentation performance of the models.





(c)

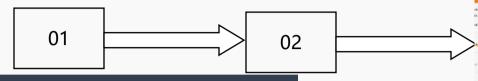


(d)

**EDA** 

**NEXT** 

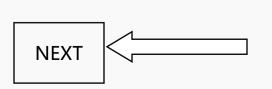
**Model Training** 



#### 03 Model training and Evaluation

The third step is training models and evaluating their performance, including tuning different parameters(pretrained weights, optimizer, learning rate, loss, etc.) and setting evaluation standard.

In the process of model training, a total of four different network frameworks and backbones (Mask R-CNN & Resnet-50, Mask R-CNN & Resnet-101, U-Net & Efficientnet-b4, U-Net + + & Efficientnet-b4) are used, and additional cell image data training weights are used as the pre-trained weights in order to boost training.



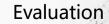
#### 表1 模型性能评估结果(Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub>复相材料)。

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CEDUCADOLIC		耗时/s.				
fask R-CNN & Resnet-50.	74.0.,	2.88.,	•			
fask R-CNN & Resnet-101.	76.5.,	2.91.	•			
U-Net & Efficientnet-b4.	75.4.,	2.76	表2 模型性能评价	古结果(Si <sub>3</sub> N <sub>4</sub>	+TiN复相材料)	Ų
U-Net++ & Efficientnet-b4.	76.8.,	3.09	模型框架 &Backbone。	AP <sub>50</sub> /%.,	预测掩膜平均 耗时/s。	
			Mask R-CNN &	20.0	4.08	4

模型框架 &Backbone.	AP <sub>50</sub> /%.,	预测掩膜平均 耗时/s
Mask R-CNN & Resnet-50.	39.9.,	4.08.,
Mask R-CNN & Resnet-101.	46.6.	4.57.,
U-Net & Efficientnet-b4.	47.7.,	3.86.
U-Net++ & Efficientnet-b4.	48.5.,	4.21.,



**Model Training** 



### 03 Model training and Evaluation

The third step is training models and evaluating their performance, including tuning different parameters(pretrained weights, optimizer, learning rate, loss, etc.) and setting evaluation standard.

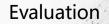
In the process of model evaluation, two indexes are used to evaluate it: AP50 and the average time of prediction.

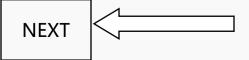
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	eat PECON, Made, Son

#### 表1 模型性能评估结果(Al<sub>2</sub>O<sub>3</sub>-ZrO<sub>2</sub>复相材料)。

模型框架 &Backbone。	AP <sub>50</sub> /%.,	预测掩膜平均 耗时/s.
Mask R-CNN & Resnet-50.,	74.0.,	2.88.,
Mask R-CNN & Resnet-101.	76.5.,	2.91.
U-Net & Efficientnet-b4.,	75.4.	2.76 表2
U-Net++ & Efficientnet-b4.,	76.8.,	核 3.09. &I
		Masl

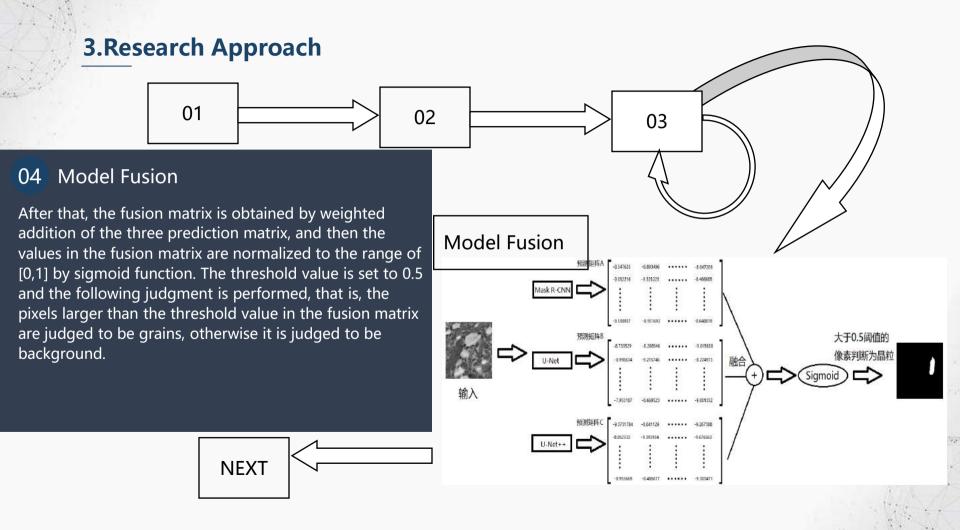
表2 模型性能评	估结果(Si₃N₄	-TiN复相材料)↔
模型框架 &Backbone。	AP50/%.1	预测掩膜平均 耗时/s。
Mask R-CNN & Resnet-50.	39.9.	4.08.,
Mask R-CNN & Resnet-101.	46.6.	4.57.,
U-Net & Efficientnet-b4.	47.7.,	3.86.,
U-Net++ & Efficientnet-h4	48.5.,	4.21.





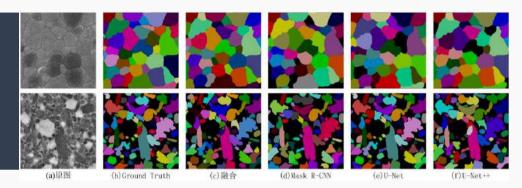
## 3. Research Approach 01 02 03 04 Model Fusion The fourth step is model fusion, the prediction matrix from 3 models (Mask R-CNN & Resnet-101, U-Net & **Model Fusion** Efficientnet-b4, U-Net + + & Efficientnet-b4) are selected. In the process of model fusion, the input image is predicted by three models, and then the prediction matrix corresponding to each pixel in the input image is 大干0.5阈值的 obtained. The larger the corresponding values are, the 像素判断为品料 higher the possibility that the model thinks that the pixel is a grain.

**NEXT** 



#### 04 Model Fusion

After comparison, it can be found that for  $Al_2O_3$ - $ZrO_2$ , the performance of AP50 after fusion is 2.1% higher than that of single model AP50 with the best performance, while  $Si_3N_4$ -TiN is 3.8% higher.



**Before** 

After

	表1	模型性能评估结果	(Al <sub>2</sub> O <sub>3</sub> -ZrO <sub>2</sub> 复相材料)	表2	模型性能评估结果	(Si <sub>3</sub> N <sub>4</sub> -TiN复相材料)	ų.
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模型框架 &Backbone.	AP <sub>50</sub> /%.,	预测掩膜平均 耗时/s	模型框架 &Backbone.	AP50/%.,	预测掩膜平均 耗时/s。
Mask R-CNN & Resnet-50.	74.0.,	2.88.,	Mask R-CNN & Resnet-50.	39.9.,	4.08.,
Mask R-CNN & Resnet-101.,	76.5.,	2.91.,	Mask R-CNN & Resnet-101.	46.6.,	4.57.,
U-Net & Efficientnet-b4.	75.4.,	2.76.	U-Net & Efficientnet-b4.	47.7.,	3.86.,
U-Net++ & Efficientnet-b4.	76.8.,	3.09.,	U-Net++ & Efficientnet-b4.	48.5.,	4.21.,

表3 融	合模型性能评价	古结果↩	_
材料。	AP <sub>50</sub> /%.,	 预测掩膜平均 耗时/s	₽
Al <sub>2</sub> O <sub>3</sub> -ZrO <sub>2</sub> 复 相材料。	78.9.,	12.08.,	Ð
Si <sub>3</sub> N <sub>4</sub> -TiN 复相 材料。	52.3.,	15.24.,	P

# 05 Post Processing, Shape Fitting& Output Information

The fifth step includes post processing, shape fitting & output information.

#### **Post Processing:**

The area and number of connected regions in the mask are obtained by *OpenCV*, and each connected region is traversed. If its area is less than 150 pixels, it is considered as an invalid region, which is deleted from the predicted mask.

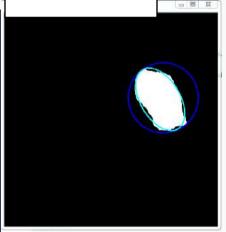
#### **Shape Fitting:**

Using *findcontours* in *OpenCV* library to find the outline of masks, and then using *fitellipse* and *minenclosing* function to fit the grain shape.

#### **Output Information:**

Finally, the mask is transformed into microstructure image information by integrating them into excel file.

#### **Shape Fitting**



場合圆直径84、33425903530313 短轴: 47、57047653198242 平5882、73211669821875

# Output Information

4	Α	В		D	
1	晶粒名称	圆直径	椭圆短轴	椭圆长轴	
2					
3	mask_0.pr	168.6685	95.14095	165.4642	
4					
5	mask_1.pr	156.3434	98.85229	153.9702	
6					
7	mask_10.p	70.21416	51.35477	63.12065	
8					
9	mask_11.p	81.9392	51.67942	76. 28656	
10 11	1 10	45105	40.0000	E0 0EE00	
12	mask_12. p	61.45425	48.3978	59.95788	
13	mask_13.p	104 0055	61 02202	00 67040	
14	mask_15.f	104. 2500	61.03203	99.61042	
15	mask_14.r	80 4088	63 62942	84 02386	
16	mdsK_IT.F	05. 4500	00.02512	04.02300	
17	mask_15.p	106, 9081	75, 66516	101.0789	
18					
19	mask 16.r	57.14256	28. 8945	56.81305	
20					
21	mask_17.p	70.0359	49.04614	64.865	
22					
23	mask_18.p	52.95301	34.30774	50.4225	
24					
25	mask_19.p	51.59603	43.52356	47.88248	
26			40 00054	00 11140	
27 28	mask_2.pr	81.3021	62. 32051	80.44469	
29	mask_20.p	114 0650	E0 E0404	110 6000	
30	mask_20.p	114.0002	32. 33404	112.0000	
31	mask_21.p	67 67689	43 02518	66 04923	
32	madr_21.p	01.01002	10.02010	00.01020	
33	mask_22.p	68. 8188	29.13232	69, 393	
34					
35	mask_23. p	122.3317	33.89413	129.4478	
36					
37	mask_24. p	38.21014	9.781484	40.73866	
38					
39	mask_25. p	101.2425	66.99308	99. 59996	
40					
41	mask_26. p	60.20817	33.40079	60.43233	

#### 4. Research Achievements

Patent:

Image Recognition Method and Device of Crystal Material (CN:2019108208000)

Current status: Substantive Examination Procedure

#### Paper:

Instance Segmentation Method Based on Multimodel Fusion for Microstructure Analysis of Multiphase Ceramic Materials Current status: Under Review