

Counting

November 10, 2019

1 A Problem in Midterm 1

Show that $|\mathcal{P}(\mathbb{N}) \times \mathcal{P}(\mathbb{N})| = |\mathcal{P}(\mathbb{N})|$.

My answer: $f_1(x) = 2x$, $f_2(x) = 2x + 1$.

$f : \mathcal{P}(\mathbb{N}) \times \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$, $f(A, B) = f_1(A) \cup f_2(B)$.

2 Counting

2.1 Definition

Let A be a finite set and let $0 \leq k \leq |A|$. Define

$$\mathcal{P}_k(A) = \{x \in \mathcal{P}(A) \mid |x| = k\}$$

For all $n \in \mathbb{N} \setminus \{0\}$, we will use $[n]$ to denote the set

$$\{0, \dots, n-1\}$$

and $[0] = \emptyset$. $[n]$ will be the canonical set of size n .

Let $n \in \mathbb{N}$ and $0 \leq k \leq n$. Define $\binom{n}{k}$ to be the cardinality of the set $\mathcal{P}_k([n])$.

$$\binom{n}{0} = \binom{n}{n} = 1.$$

2.2 Lemma

For all $n \in \mathbb{N}$ and for all $0 \leq k \leq n$, $\binom{n}{k} = \binom{n}{n-k}$.

2.3 Theorem

For all $n \in \mathbb{N}$ with $n \geq 1$ and for all $0 < k \leq n$,

$$\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$$

.

Proof. How to choose k different numbers from $[n+1]$? One way is to choose k different numbers from $[n+1]$ directly. Another way is to separate it into two cases. Choose k different numbers from $[n]$, or choose the number n and choose the other $k-1$ different numbers from $[n-1]$.

2.4 Binomial Theorem

For all $n \in \mathbb{N}$ with $n \geq 1$ and for all numbers x and y ,

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k.$$

Prove it by yourself.

2.5 Corollary

$$(1 + y)^n = \sum_{k=0}^n \binom{n}{k} y^k$$
$$\sum_{k=0}^n \binom{n}{k} = 2^n$$

2.6 Theorem

$$|\mathcal{P}_n([2n])| = \sum_{k=0}^n \binom{n}{k}^2$$

Proof. $(1 + x)^n(1 + x)^n = (1 + x)^{2n}$. Calculate the coefficients of x^n on each side through the Binomial Theorem.

$$|\mathcal{P}([n])| = 2^n$$

Proof. How to get a subset A of $[n]$. For every number in $[n]$, we can either put it into A or not. Therefore, there are 2^n different subsets of $[n]$.

2.7 Theorem

Let $n, r \in \mathbb{N}$. The number of solutions to the equation $x_1 + \cdots + x_n = r$ with $x_1, \dots, x_n \in \mathbb{N}$ is

$$\binom{n + r - 1}{r}$$

Proof. Let $y_i = x_i + 1$. We will count the solutions to the equation $y_1 + \cdots + y_n = n + r$. Imagine $n + r$ identical balls are placed in a straight line. Now, try to separate these balls into n groups. We can put $n - 1$ plates between the balls to make them into n groups. The i th group contains y_i balls. One separation corresponds to one solution of $y_1 + \cdots + y_n = n + r$. There are $\binom{n + r - 1}{n - 1} = \binom{n + r - 1}{r}$ different separations.

Another better proof. We still want to count the solutions to the equation $y_1 + \cdots + y_n = n + r$. Let $S_i = y_1 + \cdots + y_i$. Then, $S_n = n + r$. We can find a bijection between $\mathcal{P}_{n-1}([n + r - 1])$ and $\{S_1, \dots, S_{n-1}\}$, where $1 \leq S_1 < S_2 < \cdots < S_{n-1} \leq n + r$. (How?)

2.8 Theorem

The number of ways of selecting r objects from n objects when the order does not matter and repetitions are allowed is

$$\binom{n+r-1}{r}$$

Proof. It is equivalent to $x_1 + \dots + x_n = r$ where $x_1, \dots, x_n \in \mathbb{N}$.

2.9 Theorem

The number of bijections from $[n]$ to $[n]$ is $n!$. I.e.

$$|\{f : [n] \rightarrow [n] \text{ is a bijection}\}| = n!$$

Proof. A bijections from $[n]$ to $[n]$ can be mapped to a permutation of $[n]$.

2.10 Theorem

Let $n \in \mathbb{N}$ and let $0 \leq k \leq n$. The number of ordered k -tuples of distinct elements of $[n]$ is

$$\binom{n}{k} k!$$

I.e. $|\{(x_1, \dots, x_k) \in [n]^k \mid \text{for all } 0 \leq i < j \leq k, x_i \neq x_j\}| = \binom{n}{k} k!$

Prove. Choose k different numbers from $[n]$, consider all the permutations.

2.11 Toolbox

1. $\binom{n}{r} = \binom{n}{n-r}$
2. $\binom{n+1}{r+1} = \binom{n}{r+1} + \binom{n}{r}$
3. $\binom{n}{r} = \frac{n}{r} \binom{n-1}{r-1}$
4. $\binom{n}{r} \binom{r}{m} = \binom{n}{m} \binom{n-m}{r-m}$
5. $\sum_{k=0}^n \binom{n}{k} = 2^n$

$$6. \sum_{k=0}^n (-1)^k \binom{n}{k} = 0$$

$$7. \binom{n}{r} + \binom{n+1}{r} + \cdots + \binom{n+k}{r} = \binom{n+k-1}{r+1} - \binom{n}{r+1}$$

2.12 Warm-up Exercise

Explain the following equations with minimal calculation.

$$1. \binom{n+1}{r+1} = \binom{n}{r+1} + \binom{n}{r}$$

$$2. \binom{n}{r} = \frac{n}{r} \binom{n-1}{r-1}$$

$$3. \binom{n}{r} \binom{r}{m} = \binom{n}{m} \binom{n-m}{r-m}$$

1. See 2.3.
2. How to choose r different numbers from $[n]$? Can we just choose $r-1$ different numbers from $[n] \setminus \{x\}$ plus the the number x for all $x \in [n]$?
3. How to choose r different numbers from $[n]$? We first separate $[n]$ into two groups with m numbers in group I and $n-m$ numbers in group II. Can we just choose all the numbers in group I plus $r-m$ numbers from group II for all the different separations?

Show that

$$\binom{n}{r} + \binom{n+1}{r} + \cdots + \binom{n+k}{r} = \binom{n+k-1}{r+1} - \binom{n}{r+1}$$

Apply the equation $\binom{n+1}{r+1} = \binom{n}{r+1} + \binom{n}{r}$ again and again.

2.13 Difficult Exercise

1. Calculate

$$\binom{4n-1}{0} + \binom{4n-1}{3} + \binom{4n-1}{4} + \binom{4n-1}{7} + \cdots + \binom{4n-1}{4n-4} + \binom{4n-1}{4n-1}$$

Hint. Apply Binomial Theorem to $(1 + i^k)^{4n-1}$, $k = 0, 1, 2, 3$.

It is equal to

$$\frac{1-i}{4}(1+i)^{4n-1} + \frac{1}{2}(1+i^2)^{4n-1} + \frac{1+i}{4}(1+i^3)^{4n-1}$$

2. Calculate

$$\binom{n}{1} - 3\binom{n}{3} + 5\binom{n}{5} - 7\binom{n}{7} + \dots$$

Hint. $\binom{n}{r} = \frac{n}{r} \binom{n-1}{r-1}$. Calculate the $Re[(1+i)^{n-1}]$.

3. Let $S_n(k)$ be the set of all the bijection in $[n]$ with exact k fixed points. Show that

$$\sum_{k=0}^n k |S_n(k)| = n!$$

Hint. $|S_n(k)| = \binom{n}{k} |S_{n-k}(0)|$. $\sum_{k=0}^{n-1} |S_{n-1}(k)| = (n-1)!$

4. Show that

$$S_{m,n} = \sum_{k=m}^n (-1)^k \binom{n}{k} \binom{k}{m} = (-1)^m \delta_{m,n} \quad (m \leq n),$$

where

$$\delta_{m,n} = \begin{cases} 1 & m = n \\ 0 & m \neq n \end{cases}$$

5. Let $\{a_n\}$ be a sequence of real numbers. Show that if

$$b_n = (-1)^k \sum_{k=0}^n \binom{n}{k} a_k, \quad n = 0, 1, 2, \dots,$$

then

$$a_n = (-1)^k \sum_{k=0}^n \binom{n}{k} b_k, \quad n = 0, 1, 2, \dots.$$

Hint. Use the conclusion of question 4.