

矩阵论及其应用

习题 1

陈轶洲 MF20330010

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首先证明充分性:

利用反证法, 设 $V_1 \cap V_2 = \{0\}$, 若 $z \in V_1 + V_2$ 不能唯一地表示成 V_1 和 V_2 的向量的和, 则必有 $x_1, x_2 \in V_1$ $y_1, y_2 \in V_2$, 且 $x_1 \neq x_2$ $y_1 \neq y_2$, 使得

$$z = x_1 + y_1 \quad z = x_2 + y_2 \quad (1.1)$$

两式相减得到 $(x_1 - x_2) + (y_1 - y_2) = 0$, 令 $w = x_1 - x_2 = -(y_1 - y_2) \neq 0$, 而 $(x_1 - x_2) \in V_1, -(y_1 - y_2) \in V_2$, 故 $w \in V_1 \cap V_2$, 进而得到 $V_1 \cap V_2 \neq \{0\}$, 与假设矛盾, 充分性可证;

接着证明必要性:

再次利用反证法, 假设 $V_1 \cap V_2 \neq \{0\}$, 则 $\exists w \in V_1 \cap V_2$ 且 $w \neq 0$ 。

由 $w \in V_1, w \in V_2$ 可得

$$\begin{aligned} w + w &= 2w \in V_1, w + w = 2w \in V_2 \\ 2w + w &= 3w \in V_1, 2w + w = 3w \in V_2 \end{aligned} \quad (1.2)$$

由上可知 $4w = 2w + 2w = 3w + w \in V_1 + V_2$ 且 $2 \neq 3, 2 \neq 1$, 所以其向量分解是不唯一的, 从而得到 $V_1 + V_2$ 不是 V_1, V_2 的直接和空间, 与假设矛盾, 必要性可证

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设 $\varepsilon_1 = x^2 + x, \varepsilon_2 = x^2 - x, \varepsilon_3 = x + 1$, 假设这三个向量线性相关, 则必存在一组不全为 0 的实数 a, b, c 使得

$$a\varepsilon_1 + b\varepsilon_2 + c\varepsilon_3 = (a+b)x^2 + (a-b+c)x + c = 0 \quad (2.1)$$

从而可以推出

$$\begin{cases} a+b=0 \\ a-b+c=0 \\ c=0 \end{cases} \quad (2.2)$$

解得

$$a = b = c = 0 \quad (2.3)$$

故 $\varepsilon_1, \varepsilon_2, \varepsilon_3$ 线性无关, 又有 $\dim(p_2(x)) = 3$, 故 $\varepsilon_1, \varepsilon_2, \varepsilon_3$ 是线性空间 $p_2(x)$ 的一组基。再令

$$\begin{aligned} 2x^2 + 7x + 3 &= \alpha_1 \varepsilon_1 + \alpha_2 \varepsilon_2 + \alpha_3 \varepsilon_3 \\ &= (\alpha_1 + \alpha_2)x^2 + (\alpha_1 - \alpha_2 + \alpha_3)x + \alpha_3 \end{aligned} \quad (2.4)$$

于是有

$$\begin{cases} \alpha_1 + \alpha_2 = 2 \\ \alpha_1 - \alpha_2 + \alpha_3 = 7 \\ \alpha_3 = 3 \end{cases} \quad (2.5)$$

解得

$$\begin{cases} \alpha_1 = 3 \\ \alpha_2 = -1 \\ \alpha_3 = 3 \end{cases} \quad (2.6)$$

故其坐标为 $(3, -1, 3)^T$

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设 $k_1\beta_1 + k_2\beta_2 + k_3\beta_3 = 0$, 即 $k_1(\alpha_1 - 2\alpha_2 + 3\alpha_3) + k_2(2\alpha_1 + 3\alpha_2 + 2\alpha_3) + k_3(4\alpha_1 + 13\alpha_2) = (k_1 + 2k_2 + 4k_3)\alpha_1 + (-2k_1 + 3k_2 + 13k_3)\alpha_2 + (3k_1 + 2k_2)\alpha_3 = 0$ 由于 $\alpha_1, \alpha_2, \alpha_3$ 是一组基底, 故其线性无关, 从而可得

$$\begin{cases} k_1 + 2k_2 + 4k_3 = 0 \\ -2k_1 + 3k_2 + 13k_3 = 0 \\ 3k_1 + 2k_2 = 0 \end{cases} \quad (3.1)$$

解得

$$\begin{cases} k_1 = 2k_3 \\ k_2 = -3k_3 \\ k_3 = k_3 \end{cases} \quad (3.2)$$

故 $2\beta_1 - 3\beta_2 + \beta_3 = 0$, $\beta_1, \beta_2, \beta_3$ 线性相关。又显见 β_1 与 β_2 (或 β_1 与 β_3 , β_2 与 β_3) 线性无关, 故 $\dim(\text{Span}(\beta_1, \beta_2, \beta_3)) = 2$, 基底由 β_1 与 β_2 (或 β_1 与 β_3 , β_2 与 β_3) 组成

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由于

$$(e_3, e_2, e_1) = (e_1, e_2, e_3) \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} = (e_1, e_2, e_3)B \quad (4.1)$$

而

$$T(e_1, e_2, e_3) = (e_1, e_2, e_3)A \quad (4.2)$$

故

$$\begin{aligned} T(e_3, e_2, e_1) &= T(e_1, e_2, e_3)B \\ &= (e_1, e_2, e_3)AB \\ &= (e_3, e_2, e_1)B^{-1}AB \\ &= (e_3, e_2, e_1) \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} A \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} \\ &= (e_3, e_2, e_1) \begin{bmatrix} a_{33} & a_{32} & a_{31} \\ a_{23} & a_{22} & a_{21} \\ a_{13} & a_{12} & a_{11} \end{bmatrix} \end{aligned} \quad (4.3)$$

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设 $x = (x_1, x_2, x_3)^T, y = (y_1, y_2, y_3)^T, \lambda, \mu \in F$

对于 T_1 :

$$T_1(\lambda x + \mu y) = \begin{bmatrix} \lambda x_1 + \mu y_1 + \lambda x_2 + \mu y_2 \\ (\lambda x_1 + \mu y_1)^2 - (\lambda x_2 + \mu y_2)^2 \end{bmatrix} \quad (5.1)$$

$$\begin{aligned} \lambda T_1(x) + \mu T_1(y) &= \begin{bmatrix} \lambda x_1 + \mu y_1 + \lambda x_2 + \mu y_2 \\ \lambda x_1^2 + \mu y_1^2 - (\lambda x_2^2 + \mu y_2^2) \end{bmatrix} \\ &\neq T_1(\lambda x + \mu y) \end{aligned} \quad (5.2)$$

故 $T_1 : R^3 \rightarrow R^2$ 不是线性映射

对于 T_2 :

$$T_2(\lambda x + \mu y) = \begin{bmatrix} \lambda x_1 + \mu y_1 - (\lambda x_2 + \mu y_2) \\ \lambda x_2 + \mu y_2 + (\lambda x_3 + \mu y_3) \end{bmatrix} \quad (5.3)$$

$$\begin{aligned} \lambda T_2(x) + \mu T_2(y) &= \begin{bmatrix} \lambda x_1 + \mu y_1 - (\lambda x_2 + \mu y_2) \\ \lambda x_2 + \mu y_2 + (\lambda x_3 + \mu y_3) \end{bmatrix} \\ &= T_2(\lambda x + \mu y) \end{aligned} \quad (5.4)$$

故 $T_2 : R^3 \rightarrow R^2$ 是线性映射

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(1) 设

$$X = \begin{bmatrix} x_1 & x_2 \\ x_3 & x_4 \end{bmatrix}, Y = \begin{bmatrix} y_1 & y_2 \\ y_3 & y_4 \end{bmatrix} \in R^{2 \times 2} \quad (6.1)$$

对 $\forall \lambda, \mu \in F$, 有

$$\begin{aligned}
T(\lambda X + \mu Y) &= \begin{bmatrix} (\lambda x_1 + \mu y_1) + (\lambda x_3 + \mu y_3) & (\lambda x_2 + \mu y_2) + (\lambda x_4 + \mu y_4) \\ 2(\lambda x_1 + \mu y_1) + 2(\lambda x_3 + \mu y_3) & 2(\lambda x_2 + \mu y_2) + 2(\lambda x_4 + \mu y_4) \end{bmatrix} \\
&= \begin{bmatrix} \lambda x_1 + \lambda x_3 & \lambda x_2 + \lambda x_4 \\ 2\lambda x_1 + 2\lambda x_3 & 2\lambda x_2 + 2\lambda x_4 \end{bmatrix} + \begin{bmatrix} \mu y_1 + \mu y_3 & \mu y_2 + \mu y_4 \\ 2\mu y_1 + 2\mu y_3 & 2\mu y_2 + 2\mu y_4 \end{bmatrix} \\
&= \lambda \begin{bmatrix} x_1 + x_3 & x_2 + x_4 \\ 2x_1 + 2x_3 & 2x_2 + 2x_4 \end{bmatrix} + \mu \begin{bmatrix} y_1 + y_3 & y_2 + y_4 \\ 2y_1 + 2y_3 & 2y_2 + 2y_4 \end{bmatrix} \\
&= \lambda T(X) + \mu T(Y)
\end{aligned} \tag{6.2}$$

故 T 是 $R^{2 \times 2}$ 上的线性变换
(2)

$$\begin{aligned}
T(E_1) &= \begin{bmatrix} 1 & 0 \\ 2 & 0 \end{bmatrix} = E_1 - 2E_3 + 2E_4 \\
T(E_2) &= \begin{bmatrix} 1 & 1 \\ 2 & 2 \end{bmatrix} = -E_2 + 2E_4 \\
T(E_3) &= \begin{bmatrix} 1 & 2 \\ 2 & 4 \end{bmatrix} = -E_1 - 2E_2 + 2E_3 + 2E_4 \\
T(E_4) &= \begin{bmatrix} 2 & 2 \\ 4 & 4 \end{bmatrix} = -2E_2 + 4E_4
\end{aligned} \tag{6.3}$$

$$\text{故 } T(E_1, E_2, E_3, E_4) = (E_1, E_2, E_3, E_4) \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & -1 & -2 & -2 \\ -2 & 0 & 2 & 0 \\ 2 & 2 & 2 & 4 \end{bmatrix}$$

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(1)

$$|B, AB, A^2B| = \begin{vmatrix} 0 & 1 & 0 \\ 1 & -0.9 & 0.81 \\ 1 & 0.5 & 0.25 \end{vmatrix} = 0.56 \neq 0$$

故方阵 $[B, AB, A^2B]$ 满秩, $\text{rank}([B, AB, A^2B]) = 3$, 矩阵对 (A, B) 可控

(2)

$$|B, AB, A^2B| = \begin{vmatrix} 1 & 0.5 & 0.19 \\ 1 & 0.7 & 0.45 \\ 0 & 0 & 0 \end{vmatrix} = 0$$

故 B, AB, A^2B 这三个向量线性相关, $\text{rank}([B, AB, A^2B]) = 2$, 矩阵对 (A, B) 不可控