

# Interim Architecture Report: The Automaton Auditor

**Submission Date:** Wednesday (Pre-21hr UTC)

**Status:** Phase 1 Complete (Forensic Layer Operational)

## 1. Objectives & Deliverables

The primary objective of this system is to transition from "Vibe-based" code review to **Forensic Governance**. The system is designed to audit LangGraph implementations by verifying structural compliance rather than just text presence.

## 2. Core Architectural Decisions

### A. Pydantic State vs. Standard Dicts

We implemented the AgentState using strictly typed **Pydantic models** (Evidence, JudicialOpinion, AuditReport).

- **Why:** Standard dictionaries allow for "schema drift" where agents might hallucinate field names. Pydantic enforces a contract, ensuring the Chief Justice node receives data in a predictable format for deterministic scoring.
- **Reducers:** We utilized `Annotated[dict, operator.ior]` for evidence and `Annotated[list, operator.add]` for opinions to enable **Parallel Fan-Out**. This prevents agents from overwriting each other's findings during concurrent execution.

### B. Structural AST Parsing (The RepoInvestigator)

Instead of brittle Regex searches, our RepoInvestigator utilizes Python's ast (Abstract Syntax Tree) module.

- **Forensic Rigor:** The system parses the code into a tree to identify actual StateGraph instantiations and `.add_node` method calls. This ensures that we don't return a "False Positive" if a developer simply mentions "StateGraph" in a comment.
- **Safety & Sandboxing:** All cloning occurs within a `tempfile.TemporaryDirectory`. This ensures the host environment remains clean and prevents the execution of potentially malicious untrusted code during the audit.

### C. Docling & Structural Document Analysis

We integrated **Docling** to convert architectural PDFs into structured Markdown.

- **Contextual Retrieval:** By converting to Markdown, the DocAnalyst can identify requirements based on header hierarchy (e.g., finding text specifically under the

"Constraints" header), providing higher accuracy than simple keyword search.

### 3. Implementation Status (Phase 1)

- **State Schema:** Fully implemented with official Pydantic models.
- **Parallel Swarm:** Graph configured for concurrent Detective execution.
- **AST Detective:** Capable of mapping graph structure.
- **Vision Slot:** Image extraction from PDF is functional; vision-model inference is ready for Phase 2 integration.

### 4. Constraints & Known Gaps

- **Inference Latency:** Parallel execution helps, but deep AST analysis of large repos can be time-intensive.
- **Judicial Layer:** Currently, the graph ends after the detective phase. The synthesis engine (Prosecutor/Defense debate) is the immediate next priority.

### 5. Concrete Plan for Phase 2

1. **Judicial Logic:** Implement a JudgeNode that takes the evidences dictionary and generates a JudicialOpinion based on the extracted rubric\_dimensions.
2. **Dialectical Synthesis:** Configure the Chief Justice node to resolve conflicts when the Prosecutor and Defense scores vary by more than 2 points (as required by the schema).
3. **Final Formatting:** Automate the export of the AuditReport into a professional Markdown file in the /reports directory.

### Architectural Workflow

The diagram below illustrates the current Phase 1: Forensic Fan-Out implementation and the planned Phase 2: Judicial Layer, highlighting how evidence is synthesized into a final audit report.

