#### Assignment 1 Yoichiro Dobashi (ESS 563)

#### 1) Derivation highlights (Part B)

Here, the strain tensor is derived from the far-field terms of  $\mathbf{u}$  in the homogeneous, isotropic, linear elastic space, and small displacement.

$$\begin{split} \varepsilon_{ij}^{(th)}(X,t) &= \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \\ u(X,t) &= \frac{1}{4\pi\rho} \left( \frac{1}{\alpha^3 r} n \left( n^T \ddot{M}(t-tp)n \right) + \frac{1}{\beta^3 r} (I-nn^T) \ddot{M}(t-ts)n \right) \\ u_i &= \frac{1}{4\pi\rho} \left( \frac{1}{\alpha^3 r} n_i n_j n_k \ddot{M}_{jk}(t-tp) + \frac{1}{\beta^3 r} \left( \delta_{ij} - n_i n_j \right) \ddot{M}_{jk}(t-ts) n_k \right) \end{split}$$

Under the assumption that the P contribution is irrotational, and rotation arises from S radiation and non-radiation/induction terms.

P wave component is

$$\begin{split} &\frac{\partial u_i(P)}{\partial x_l} = \frac{1}{4\pi\rho\alpha^3} (\frac{\partial}{\partial x_l} \left(\frac{1}{r}\right) n_i n_j n_k \ddot{M_{jk}}(t-tp) + \frac{1}{r} \frac{\partial \left(n_i n_j n_k\right)}{\partial x_l} \ddot{M_{jk}}(t-tp) + \left(\frac{1}{r}\right) n_i n_j n_k \frac{\partial \left(\ddot{M_{jk}}(t-tp)\right)}{\partial x_l}) \\ &= \frac{1}{4\pi\rho\alpha^3} (-\frac{n_l}{r^2} n_i n_j n_k \ddot{M_{jk}}(t-tp) + \frac{1}{r^2} ((\delta_{il}-n_i n_l) n_j n_k + (\delta_{jl}-n_j n_l) n_i n_k + (\delta_{kl}-n_k n_l) n_i n_j) \ddot{M_{jk}}(t-tp) \\ &- \frac{n_l}{r\alpha} n_i n_j n_k \ddot{M_{jk}}(t-tp) \end{split}$$

Far-field term of P wave is

$$-\frac{1}{4\pi\rho\alpha^4}\frac{n_l}{r}n_in_jn_k\ddot{M_{jk}}(t-tp)$$

S wave component is

$$\begin{split} &\frac{\partial u_i(S)}{\partial x_l} \\ &= \frac{1}{4\pi\rho\beta^3} (\frac{\partial}{\partial x_l} \left(\frac{1}{r}\right) (\delta_{ij} - n_i n_j) \ddot{M_{jk}} (t - ts) n_k + \frac{1}{r} \frac{\partial \left((\delta_{ij} - n_i n_j)\right)}{\partial x_l} \ddot{M_{jk}} (t - ts) n_k + \frac{1}{r} (\delta_{ij} - n_i n_j) \dot{M_{jk}} (t - ts) n_k + \frac{1}{r} (\delta_{ij} - n_i n_j) \ddot{M_{jk}} (t - ts) \frac{\partial (n_k)}{\partial x_l} \\ &= \frac{1}{4\pi\rho\beta^3} (-\frac{n_l}{r^2} (\delta_{ij} - n_i n_j) \ddot{M_{jk}} (t - ts) n_k - \frac{1}{r^2} ((\delta_{il} - n_i n_l) n_j + (\delta_{jl} - n_j n_l) n_i) \ddot{M_{jk}} (t - ts)) - \frac{n_l}{r\beta} (\delta_{ij} - n_i n_j) \ddot{M_{jk}} (t - ts) n_k + \frac{1}{r^2} (\delta_{ij} - n_i n_j) \ddot{M_{jk}} (t - ts) ((\delta_{kl} - n_k n_l)) \end{split}$$

Far-field term of S wave is

$$-\frac{1}{4\pi\rho\beta^4}\frac{n_l}{r}(\delta_{ij}-n_in_j)\ddot{M_{jk}}(t-ts)n_k$$

Theoretical strain wavefield is;

$$\begin{split} \varepsilon_{ij}^{(th)}(X,t) &= \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \\ &= -\frac{1}{8\pi\rho r} \left[ \frac{1}{\alpha^4} \left( n_i n_j + n_j n_i \right) n_k n_l \ddot{M_{kl}}(t-tp) \right. \\ &\left. + \frac{1}{\beta^4} \left\{ \left( (\delta_{ik} - n_i n_k) \ddot{M_{kl}}(t-ts) n_l n_j + \left( (\delta_{jk} - n_j n_k) \ddot{M_{kl}}(t-ts) n_l n_i \right) \right\} \right] \end{split}$$

Theoretical rotation wavefield is;

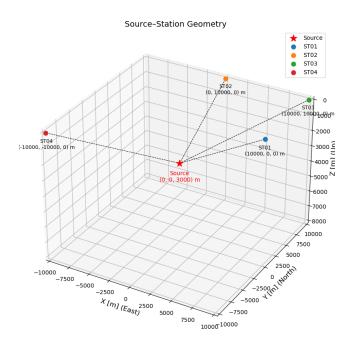
$$\begin{split} &\Omega_{ij}^{(th)}(X,t) = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} - \frac{\partial u_j}{\partial x_i} \right) \\ &= -\frac{1}{8\pi\rho r} \left[ \frac{1}{\alpha^4} \left( n_i n_j - n_j n_i \right) n_k n_l \ddot{M_{kl}}(t-tp) \right. \\ &\left. + \frac{1}{\beta^4} \left\{ \left( (\delta_{ik} - n_i n_k) \ddot{M_{kl}}(t-ts) n_l n_j - \left( (\delta_{jk} - n_j n_k) \ddot{M_{kl}}(t-ts) n_l n_i \right) \right\} \right] \end{split}$$

### 2) Three-component Seismograms

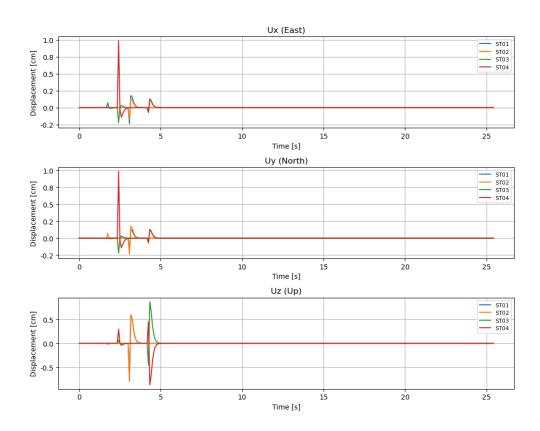
Source (0, 0, 3000), Mo: 1.0e16, fc 2.0, dt=0.08333 based on  $f_{Nyq}$ =3 $f_{Nyq}$ =6Hz Record length T=25.44sec from T  $\geq$ 6max( $r/\alpha$ , $r/\beta$ )+5 $\tau$ . Station St1 (10000, 0, 0), St2 (0, 10000, 0), St3 (10000, 10000, 0), St4 (-10000, -10000, 0)

#### Surface Boundary Condition

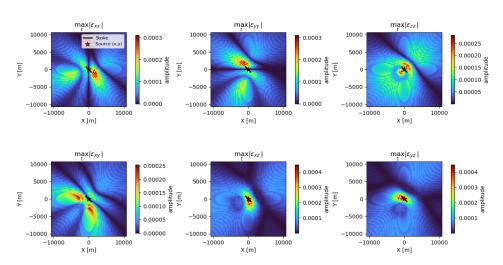
Although the geometry of my point source and station is on the half-space ( $z \ge 0$ ), wave reflection and the surface strain condition ( $\sigma_{iz} = 0$ ) is not considered in my model.



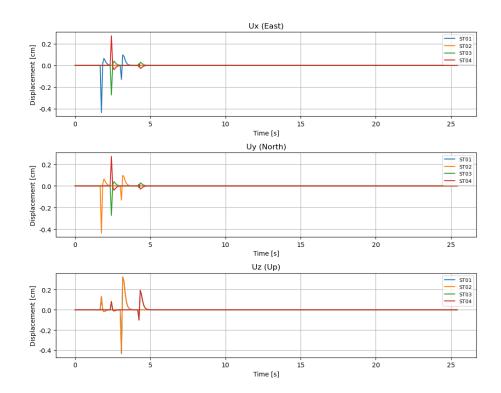
### a) DC Strike 135.0, Dip 20.0, Rake 90.0



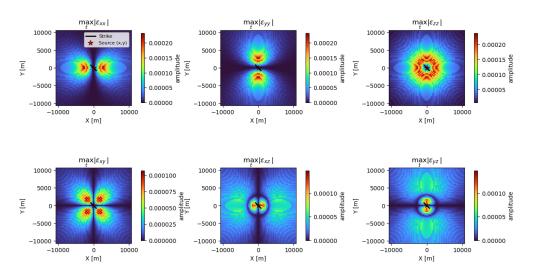
Peak strain components (A=16  $\lambda$ S,  $\Delta$ =0.25  $\lambda$ S)



### b) CLVD Mxx = -0.3333, Myy = -0.3333, Mzz = 0.6667



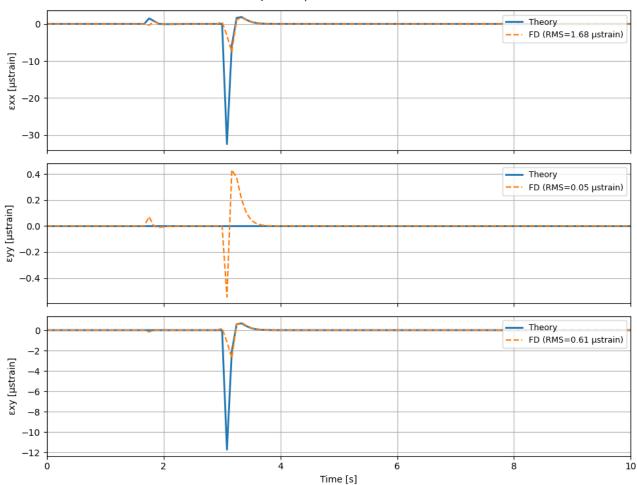
Peak strain components (A=16  $\lambda$ S,  $\Delta$ =0.25  $\lambda$ S)



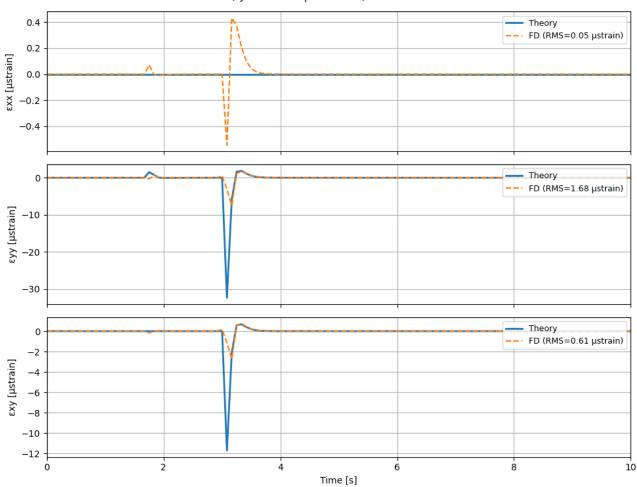
# 3) Theoretical vs. FD strain for selected stations

a) ST01

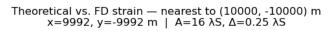
Theoretical vs. FD strain — nearest to (10000, 0) m x=9992, y=0 m | A=16  $\lambda$ S,  $\Delta$ =0.25  $\lambda$ S

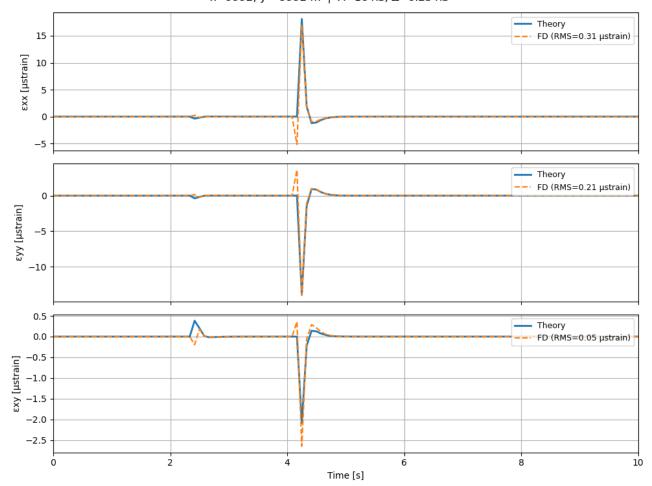


Theoretical vs. FD strain — nearest to (0, 10000) m x=0, y=9992 m | A=16  $\lambda$ S,  $\Delta$ =0.25  $\lambda$ S

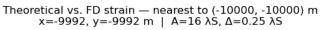


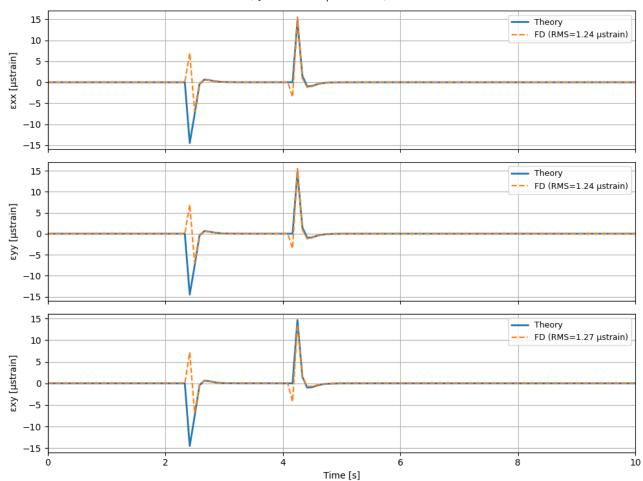
## c) ST03





## **4)** ST04

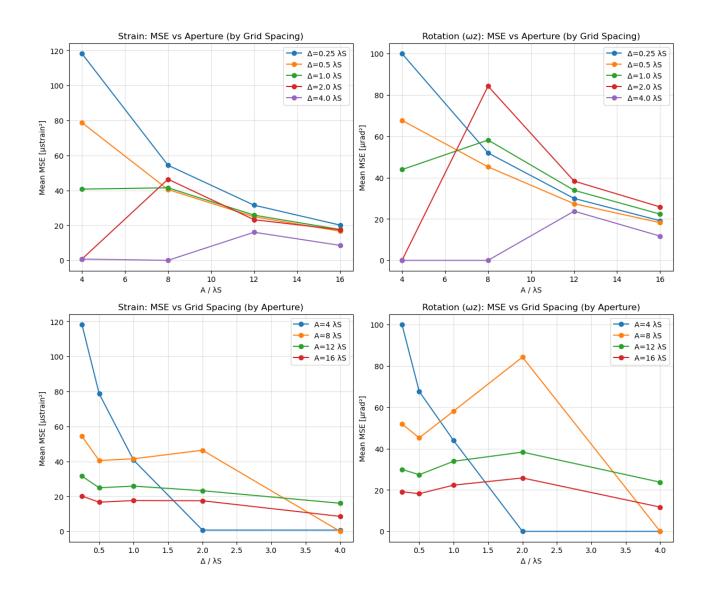




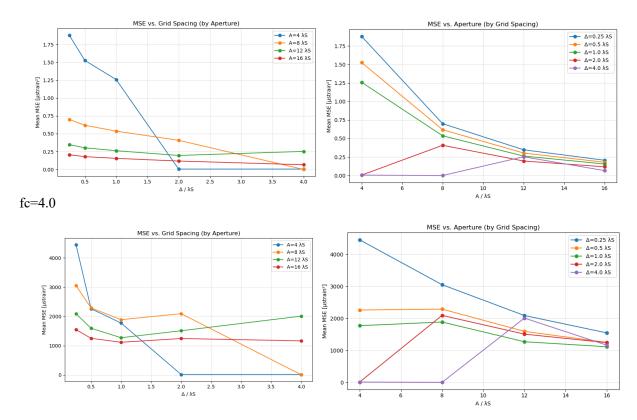
## 5) MSE curves vs. $\Delta/\lambda_S$ and aperture, radiation patterns at the surface

a) MES curves DC Strike 135.0, Dip 20.0, Rake 90.0

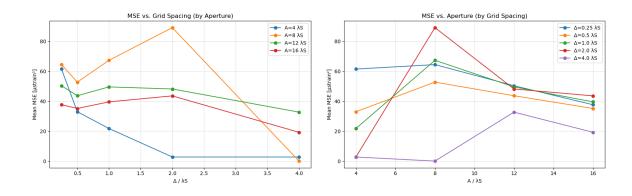
fc=2.0



fc=1.0

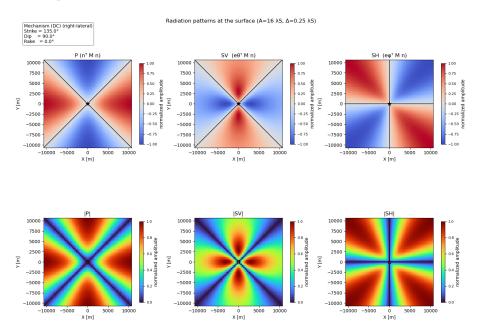


### b) MES curves DC Strike 135.0, Dip 90.0, Rake 0.0

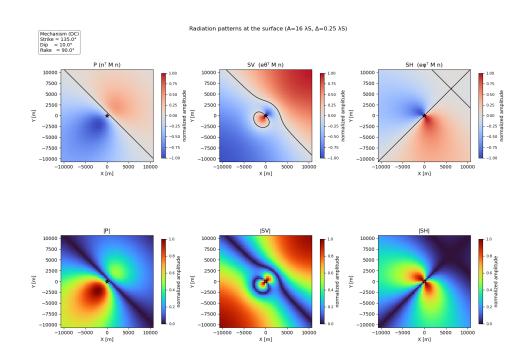


## c) Radiation pattern

### i) DC Strike slip Strike 135.0, Dip 90.0, Rake 0.0

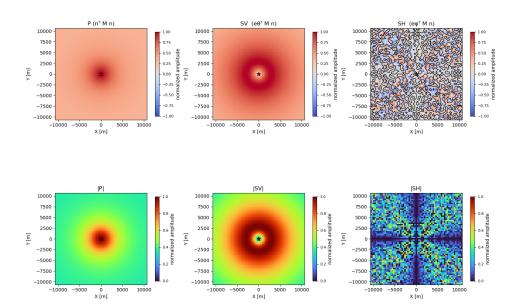


ii) DC Strike 135.0, Dip 45.0, Rake 90.0



# i) CLVD Mxx=0.3333, Myy=0.3333, Mzz=0.9999

Radiation patterns at the surface (A=16  $\lambda S,\,\Delta {=}0.25~\lambda S)$ 



### 6) Discussion: Arrays as Wavefield-Gradient & Rotation Instrument (Part G)

- a) How array spacing  $\Delta$  and aperture A control accuracy/bandwidth of both strain and rotation estimates. Array spacing  $\Delta$  sets a resolution of high-wave number signal and control high frequency noise/bias coming from discretization scheme. Aperture sets low-wave number signal. My result with fc=2.0sec, source depth 3km shows;
  - Consistent MSE values when A/ $\lambda$ S is set to 12, 16 to both strain and rotation
  - MSE has local minimum when  $\Delta/\lambda S$  is set to 0.5, and becomes large at 0.25 Since  $\Delta/\lambda S$ =0.5 is Nyquist frequency,  $\Delta/\lambda S$ =0.25 is expected to have smaller MSE, however It doesn't be realized.

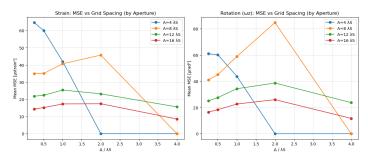
Thus, stations should be set as A/ $\lambda g \ge 12$ , and  $\Delta \lambda g \le 0.5$  with high frequency filtering.

b) Noise amplification in spatial differencing; filtering choices; sensitivity of ω vs. ε to high- wavenumber noise.

This test uses the centered-difference method, whose truncation error is dominated by the  $u^{(3)}(x)$  term. Consequently, high-frequency content and sharp peaks can greatly amplify the error.

$$\frac{u(x + \Delta) - u(x - \Delta)}{2\Delta} = u'(x) - \frac{\Delta^2}{6}u^{(3)}(x) + O(\Delta^4)$$

To control high-wave number noise, a spatial gaussian filler is tested to the displacement before taking spatial derivative. For the spatial-derivative estimates, applying Gaussian smoothing with  $\sigma \approx 0.75-1.0$  (in grid-cell units) reduces the MSE at  $\Delta/\lambda S = 0.25$  to below that at  $\Delta/\lambda S = 0.50$ .



In terms of the sensitivity to the high-wavenumber noise filtering,  $\omega$  has more sensitive than  $\varepsilon$ .

- c) Physical insights: near-irrotational nature of far-field P; dominance of rotation in S and in-duction (near-field) terms; free-surface effects on vertical rotation.
  - Rotation tensor doesn't be observed at the first P-wave arrival in this near-irrotational far-field P wave scheme. Far field S term and Near field term has An, which is perpendicular to radiation direction and  $1/r^n$  generates spatial gradient, then dominant rotations.
  - On the assumption of the free-surface considering  $\sigma_{iz} = 0$ , even far-field P term cause vertical rotation via reflection and phase shift.
- d) Practical implications for strainmeters, rotational seismometers, and DAS; recommended design rules (e.g.,  $\Delta/\lambda S$  targets).
  - Recommended designs are  $A/\lambda_S \ge 12$  to 16 and  $\Delta/\lambda_S \approx 0.25-0.5$ , which balance truncation error and noise amplification in spatial differencing. For  $\lambda_S \approx 1.3$ km,  $A/\lambda_S = 16$  (this study), implies an array of  $20.8 \times 20.8$ km. The required sensor count at  $\Delta/\lambda_S = 0.25$  would be impractical for rotational seismometers, but DAS can feasibly realize this dense sampling, noting it measures axial strain only and demands careful filtering and geometry to recover shear/rotation.

A hybrid deployment is therefore advisable: place a small cluster of strainmeters and a few rotational seismometers near the array center (or anticipated sources) to constrain near-field and intermediate terms, while covering the broader aperture with DAS to capture long-wavelength structure and provide dense spatial gradients.