

Graph Theory

Rosen 8th ed., ch. 10

10.1 图的概念/Introduction of Graph

10.2 图的术语/Graph Terminology

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Representing Graph and Graph Isomorphism

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Euler and Hamilton Paths

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10.7 平面图/Planar Graphs

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Transport networks传输网流量问题

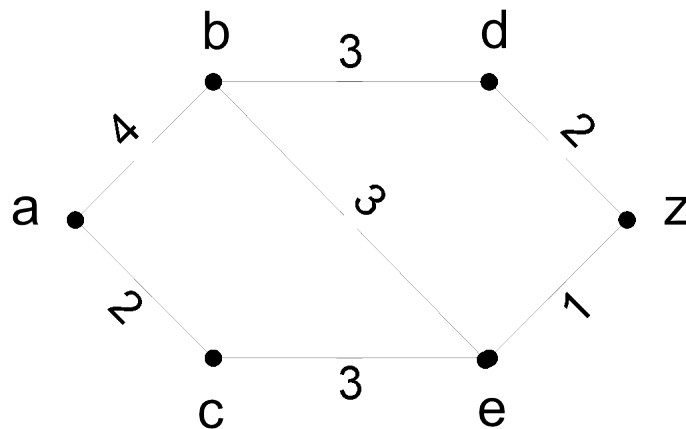
10.6 Shortest Path Problems

最短通路

- A ***weighed graph*** (带权图) is one in which weights (numbers) are assigned to all edges connecting each two vertices.
- Such numbers can represent, for instance, traveling distance, monthly cost, or traveling time between two vertices.
- The **length** of a path in a weighed graph is the sum of the weights of the edges of this path. (This use of term *length* is different from the use of *length* to denote the number of edges in a path in a graph without weights.)

Example

- Find the length of a shortest path between a and z in the weighted graph shown below.



Dijkstra Algorithm

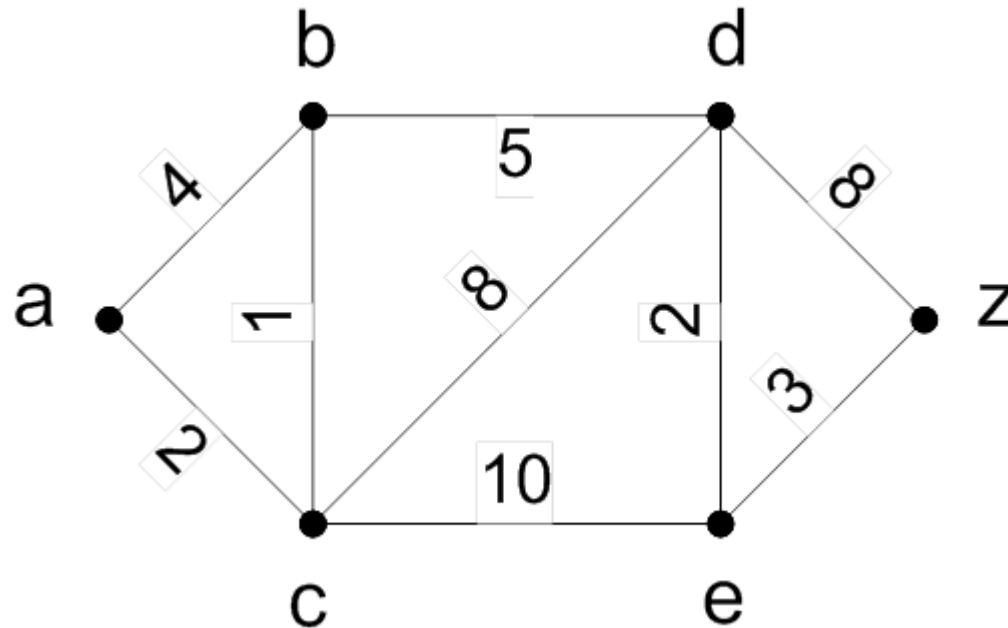
迪克斯特拉

- The algorithm is to find the shortest way from v_1 to v_n , at the same time, it gets the shortest way from v_1 to each other vertices in the graph.

Shortest Path (Dijkstra's)Algorithm

- **procedure** Dijkstra(G : weighted connected simple graph, with all weights positive)
 { G has vertices $a = v_0, v_1, \dots, v_n = z$ and weights $w(v_i, v_j)$ where $w(v_i, v_j) = \infty$ if $\{v_i, v_j\}$ is not an edge in G }
 for $i := 1$ to n
 $L(v_i) := \infty$
 $L(a) := 0$
 $S := \phi$
 {the labels are now initialized so that the label of a is 0 and all other labels are ∞ , and S is the empty set}
 while $z \notin S$
 begin
 $u := a$ vertex not in S with $L(u)$ minimal
 $S := S \cup \{u\}$
 for all vertices v not in S
 if $L(u) + w(u, v) < L(v)$ **then** $L(v) := L(u) + w(u, v)$
 {this adds a vertex to S with minimal label and updates the labels of vertices not in S }
 end { $L(z)$ = length of a shortest path from a to z }

Dijkstra's Algorithm Example



Dijkstra's Algorithm Example

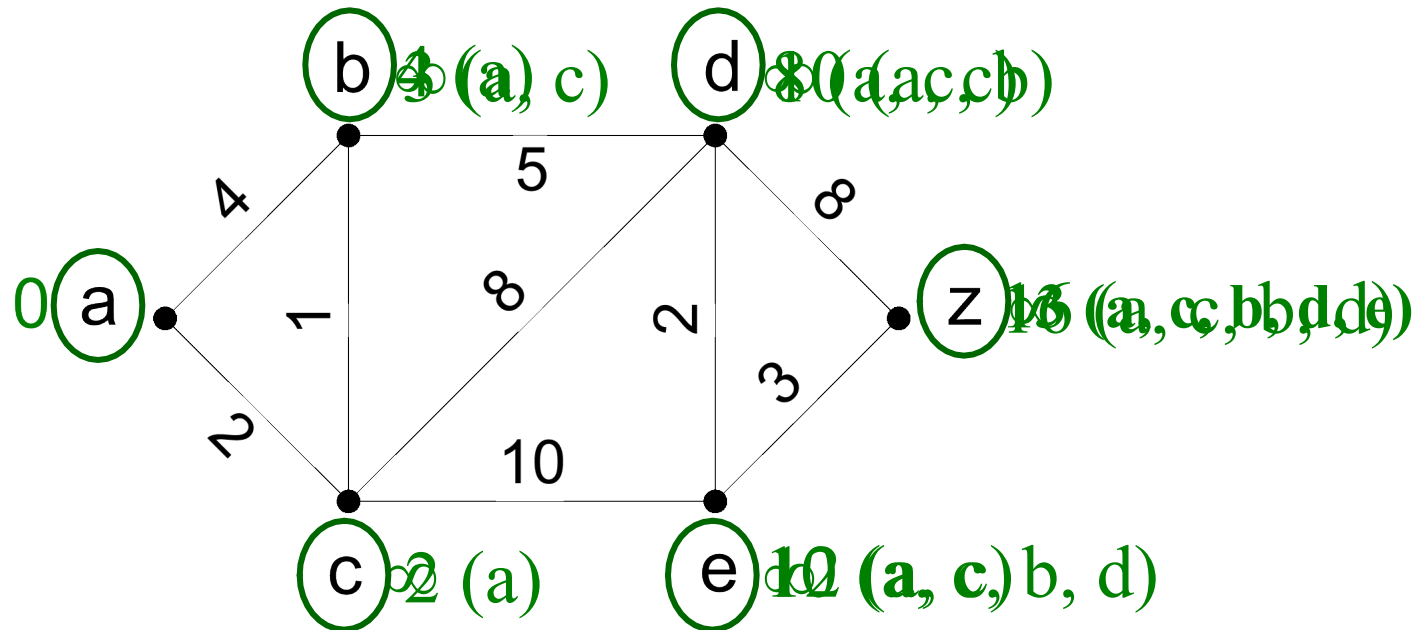
$S = \{ a \}$

$S = \{ a, c \}$

$S = \{ a, c, b \}$

$S = \{ a, c, b, d \}$

$S = \{ a, c, b, d, e \}$



Dijkstra's Algorithm Complexity

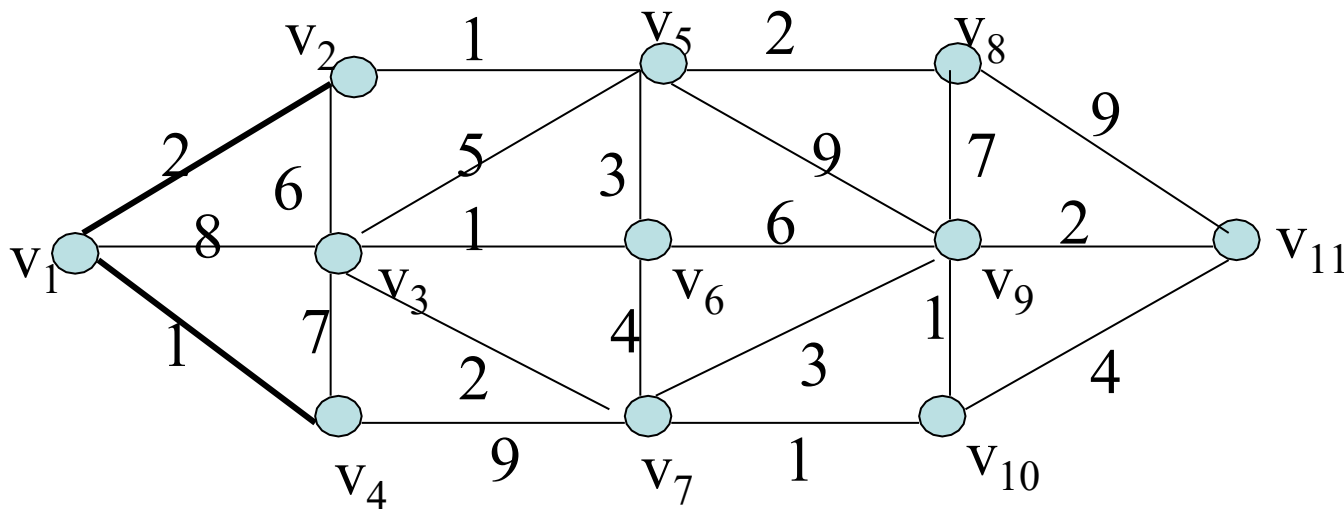
- Theorem 1: Dijkstra's algorithm finds the length of a shortest path between two vertices in a connected simple undirected weighted graph.
- Theorem 2: Dijkstra's algorithm uses $O(n^2)$ operations (additions and comparisons) to find the length of a shortest path between two vertices in a connected simple undirected weighted graph with n vertices.

Applications

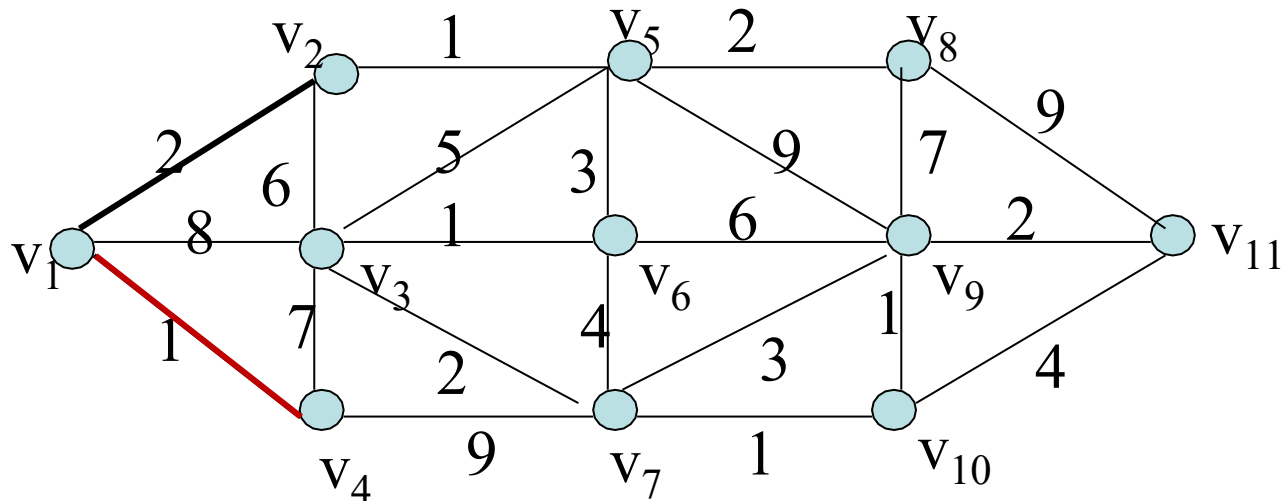
- Many problems in the real life are related to the shortest path problem. Such as
 - Pipe lines
 - Electric power grid
 - Communicating network
 - Railway stations

Example

- Let L_i represent the length from v_1 to v_i
- Let d_{ij} represent the length of the edge (v_i, v_j)
- Find the shortest path from v_1 to v_{11}

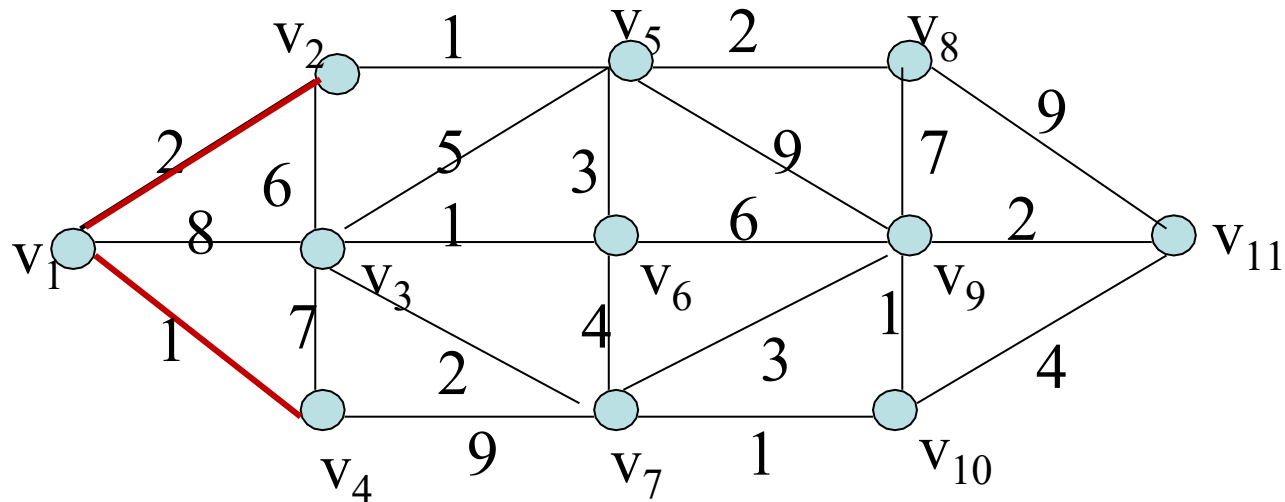


Step 1



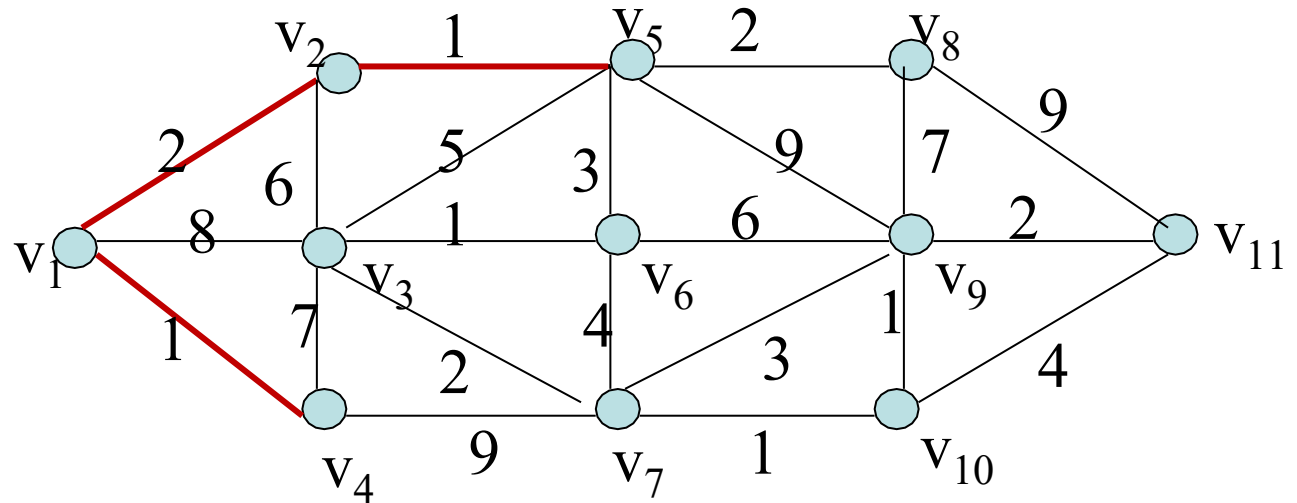
- Find the set of adjacent vertices of v_1 , which are $\{v_2, v_3, v_4\}$
- Find the length from v_1 to the vertices in the set.
 - $L_2 = d_{12} = 2$ $L_3 = d_{13} = 8$ $L_4 = d_{14} = 1$
- Find the shortest length of l_2, l_3, l_4
 - $\text{Min}\{L_2, L_3, L_4\} = L_4 = 1$
- Connect v_1 to v_4

Step 2



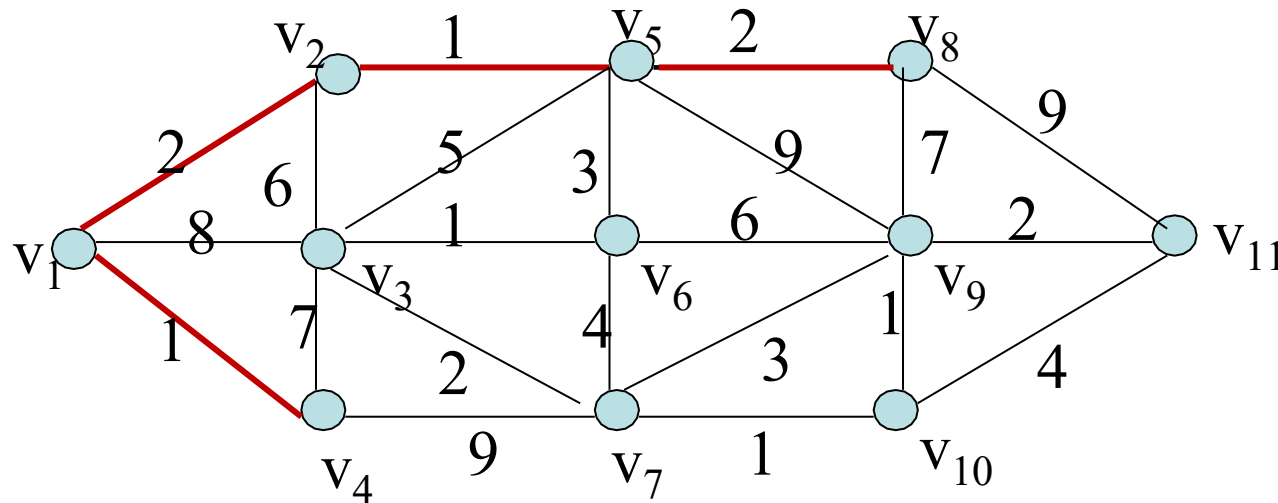
- Find the set of adjacent vertices of $\{v_1, v_4\}$ which are $\{v_2, v_3, v_7\}$
- Find the length from v_1 to the vertices in the set.
 - $L_2=2$ $L_3=8$ $L_7 = L_4 + d_{47} = 1 + 9 = 10$
- Find the shortest length of l_2, l_3, l_7
 - $\text{Min}\{L_2, L_3, L_7\} = L_2 = 2$
- Connect v_1 to v_2

Step 3



- Find the set of adjacent vertices of $\{v_1, v_2, v_4\}$ which are $\{v_3, v_5, v_7\}$
- Find the length from v_1 to the vertices in the set.
 - $L_3 = \min\{8, l_2 + d_{23}, l_4 + d_{43}\} = \{8, 8, 8\} = 8$
 - $L_5 = L_2 + d_{25} = 3$
 - $L_7 = L_4 + d_{47} = 10$
- $\min\{L_3, L_5, L_7\} = L_5 = 3$
- Connect v_2 to v_5

Step 4



- Find the set of adjacent vertices of $\{v_1, v_2, v_4, v_5\}$ which are $\{v_3, v_6, v_7, v_8, v_9\}$
- Find the length from v_1 to the vertices in the set.

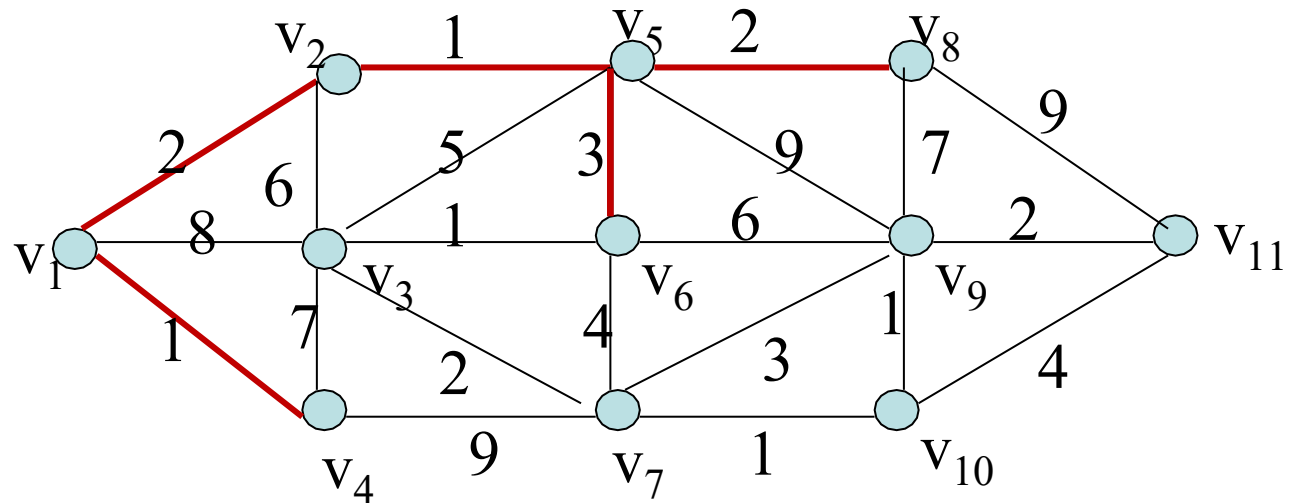
$$- L_3 = 8 \quad L_6 = L_5 + d_{56} = 6 \quad L_7 = 10$$

$$- L_8 = L_5 + d_{58} = 5 \quad L_9 = L_5 + d_{59} = 12$$

- $\text{Min}\{L_3, L_6, L_7, L_8, L_9\} = L_8 = 5$
- Connect v_5 to v_8

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Step 5



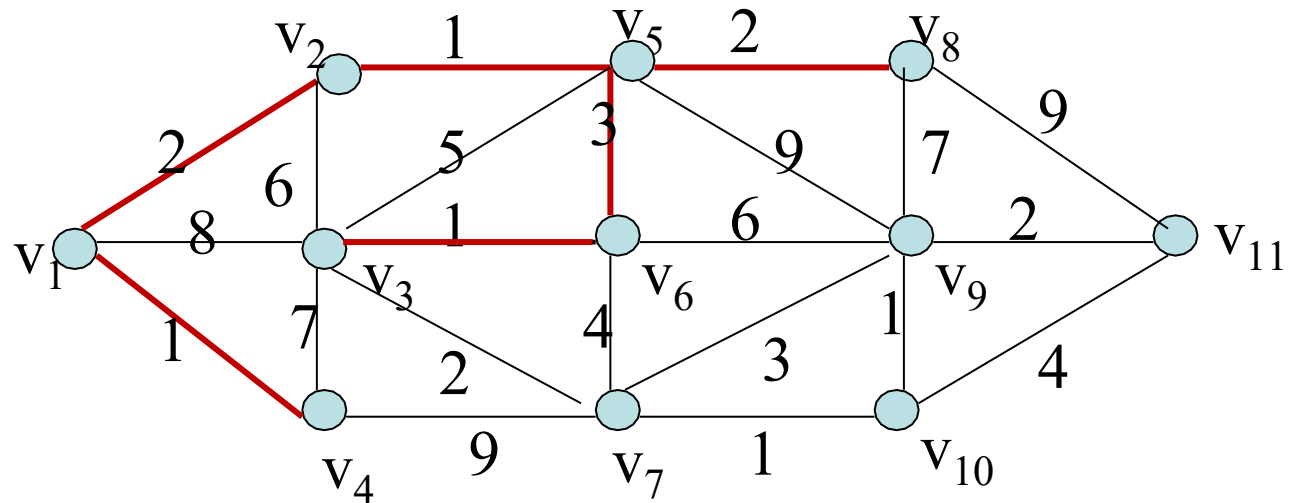
- Find the set of adjacent vertices of $\{v_1, v_2, v_4, v_5, v_8\}$ which are $\{v_3, v_6, v_7, v_9, v_{11}\}$
- Find the length from v_1 to the vertices in the set.

$$- L_3 = 8 \quad L_6 = L_5 + d_{56} = 6 \quad L_7 = 10$$

$$- L_9 = L_8 + d_{89} = 12 \quad L_{11} = L_8 + d_{8,11} = 14$$

- $\text{Min}\{L_3, L_6, L_7, L_9, L_{11}\} = L_6 = 6$
- Connect v_5 to v_6

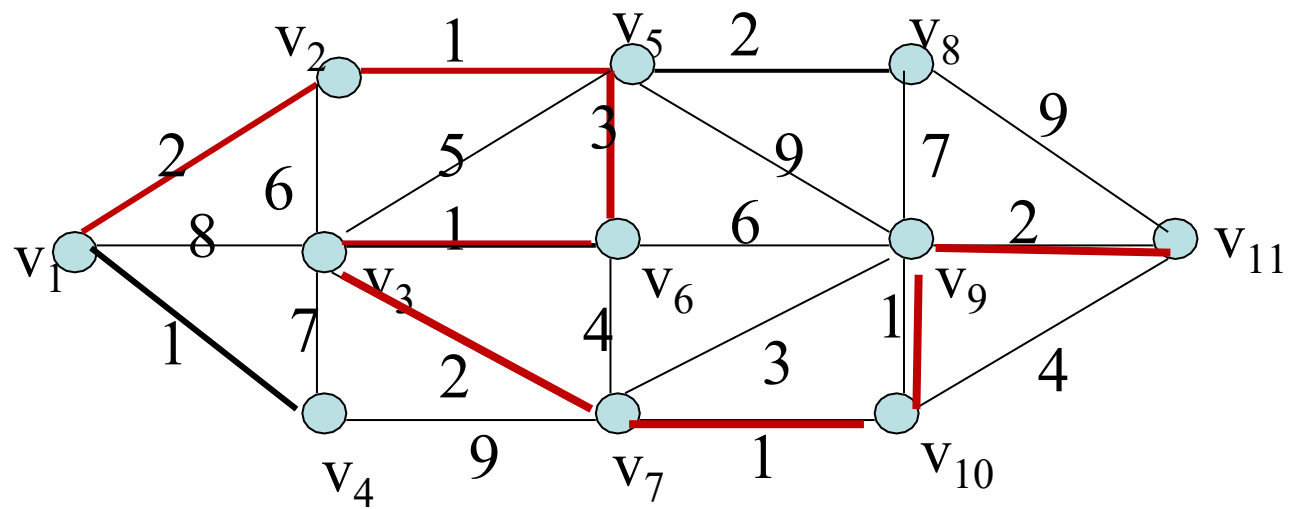
Step 6



- Find the set of adjacent vertices of $\{v_1, v_2, v_4, v_5, v_6, v_8\}$ which are $\{v_3, v_7, v_9, v_{11}\}$
- Find the length from v_1 to the vertices in the set.
 - $L_3 = \min\{8, L_6 + d_{36}\} = \{8, 7\} = 7$
 - $L_7 = \min\{L_4 + d_{47}, L_6 + d_{67}\} = \min\{10, 10\} = 10$
 - $L_9 = \min\{L_8 + d_{89}, L_6 + d_{69}, L_5 + d_{59}\} = \min\{12, 12, 12\} = 12$
 - $L_{11} = 14$
- $\min\{l_3, l_7, l_9, l_{11}\} = l_3 = 7$
- Connect v_6 to v_3

Step 7

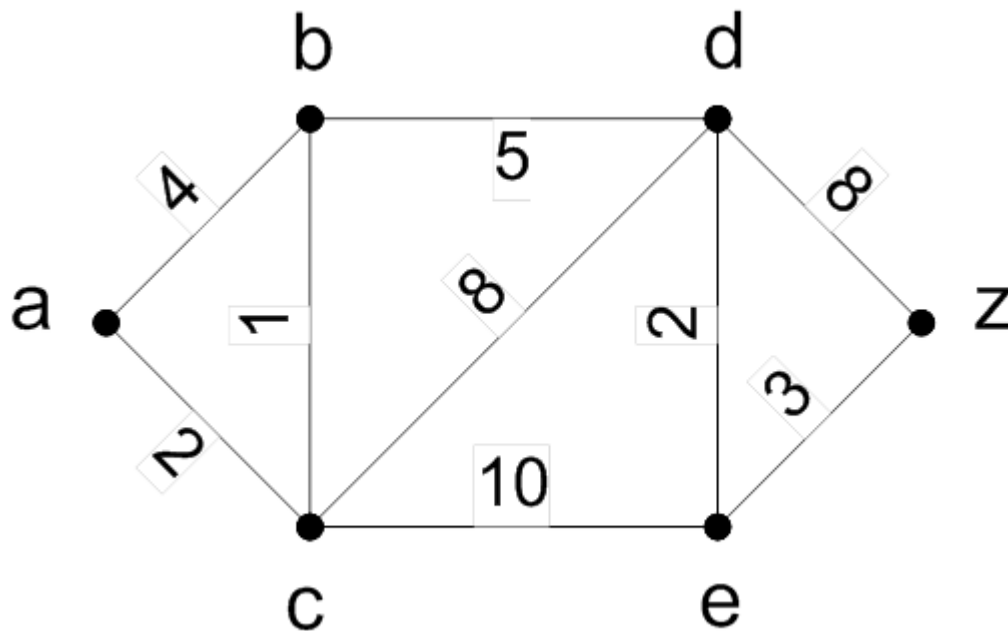
- Continue to
 - connect v_3 to v_7
 - connect v_7 to v_{10}
 - connect v_{10} to v_9
 - connect v_9 to v_{11}
- Then the path from v_1 to v_{11} is the answer.
- At the same time ,we also get the shortest path from v_1 to other vertices in the graph.



Problem

- There are two types of such problems
 - Determining the shortest path from a vertex to an assigned vertex.
 - Determining the shortest path of **any two vertices** in the graph.

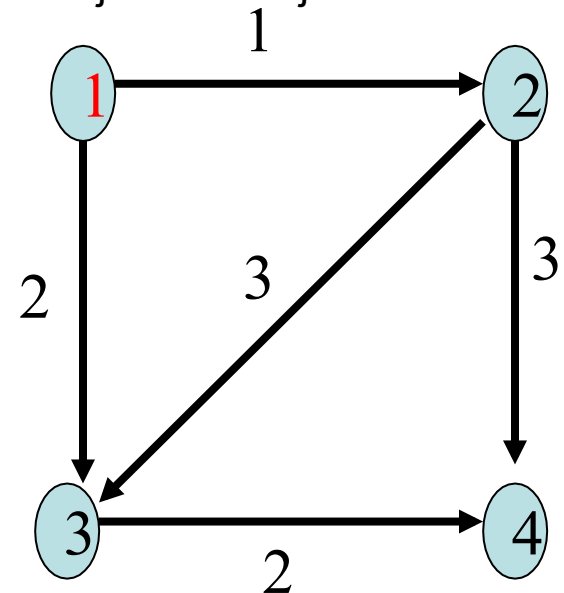
Finding the shortest path between any two vertices



Finding the shortest path between any two vertices

- Distance Matrix: Let G is a graph with n vertices. The distance matrix of G is $D=(d_{ij})_{n \times n}$
 - d_{ij} represent the the weights of the edge (v_i, v_j)
 - If there's no edge between v_i and v_j then $d_{ij}=\infty$

$$D = \begin{bmatrix} \infty & 1 & 2 & \infty \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix}$$

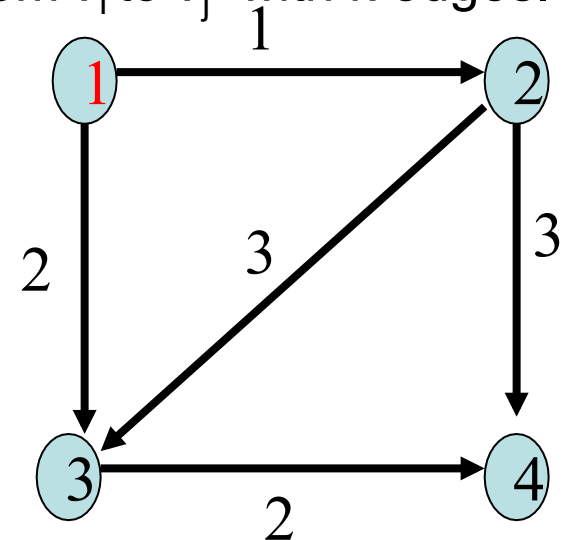


Finding the shortest path between any two vertices

- Let $D^2 = D * D = (d_{ij}^2)_{n \times n}$
- $d_{ij}^2 = \min\{d_{i1} + d_{1j}, d_{i2} + d_{2j}, \dots, d_{in} + d_{nj}\}$
 - d_{ij}^2 is the shortest length of the path from v_i to v_j with two edges.
- As the same $D^k = D^{k-1} * D = (d_{ij}^k)_{n \times n}$
 - d_{ij}^k is the shortest length of the path from v_i to v_j with k edges.

$$D^2 = \begin{bmatrix} \infty & 1 & 2 & \infty \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix} * \begin{bmatrix} \infty & 1 & 2 & \infty \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix}$$

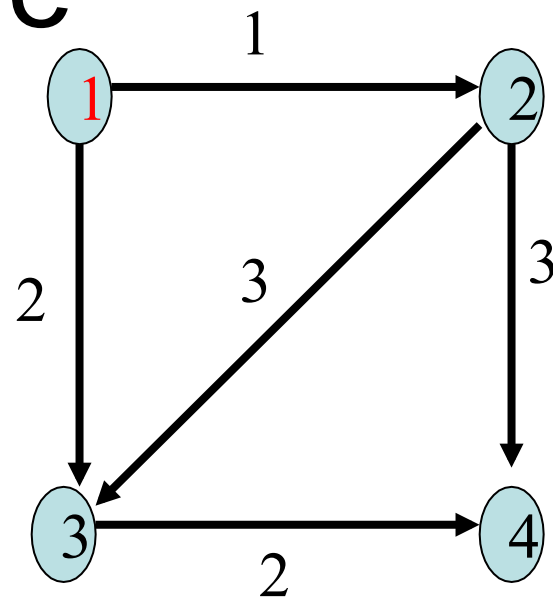
$$\min\{\infty + \infty, \infty + 3, 3 + 2, 3 + \infty\}$$



Define \oplus

- Let $A = (a_{ij})_{n \times n}$, $B = (b_{ij})_{n \times n}$
- $C = A \oplus B = (c_{ij})_{n \times n}$
 - $c_{ij} = \min(a_{ij}, b_{ij})$
- $P = D \oplus D^2 \oplus D^3 \oplus \dots \oplus D^n$
 - $p_{ij} = (p_{ij})_{n \times n}$
 - p_{ij} represent the shortest length from v_i to v_j

Example



$$D = \begin{bmatrix} \infty & 1 & 2 & \infty \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix}$$

$$D^2 = \begin{bmatrix} \infty & 1 & 2 & \infty \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix} * \begin{bmatrix} \infty & 1 & 2 & \infty \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix} = \begin{bmatrix} \infty & \infty & 4 & 4 \\ \infty & \infty & \infty & 5 \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \end{bmatrix}$$

$$D^3 = \begin{bmatrix} \infty & \infty & 4 & 4 \\ \infty & \infty & \infty & 5 \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \end{bmatrix} * \begin{bmatrix} \infty & 1 & 2 & \infty \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix} = \begin{bmatrix} \infty & \infty & \infty & 6 \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \end{bmatrix}$$

- $D_4 = (\infty)_{4 \times 4}$
- $P = D \oplus D^2 \oplus D^3 \oplus D^4$

$$\begin{bmatrix} \infty & 1 & 2 & \infty \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix} \oplus \begin{bmatrix} \infty & \infty & 4 & 4 \\ \infty & \infty & \infty & 5 \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \end{bmatrix} \oplus \begin{bmatrix} \infty & \infty & \infty & 6 \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty \end{bmatrix} \oplus D_4$$

•

$$P = \begin{bmatrix} \infty & 1 & 2 & 4 \\ \infty & \infty & 3 & 3 \\ \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty \end{bmatrix}$$

note: ex.21 floyd

Floyd algorithm

procedure Floyd (G:weighted simple graph)

{ G has vertices v_1, v_2, \dots, v_n and weights $w(v_i, v_j)$
with $w(v_i, v_j) = \infty$ if $\{v_i, v_j\}$ is not an edge}

for i: =1 to n

for j: =1 to n

$d(v_i, v_j) := w(v_i, v_j)$

for i: =1 to n

for j: =1 to n

for k: =1 to n

If $d(v_j, v_i) + d(v_i, v_k) < d(v_j, v_k)$

then $d(v_j, v_k) := d(v_j, v_i) + d(v_i, v_k)$

return $[d(v_i, v_j)]$ { $d(v_i, v_j)$ is the length of a shortest
path between v_i and v_j for $1 \leq i \leq n, 1 \leq j \leq n$ }

The traveling salesman problem

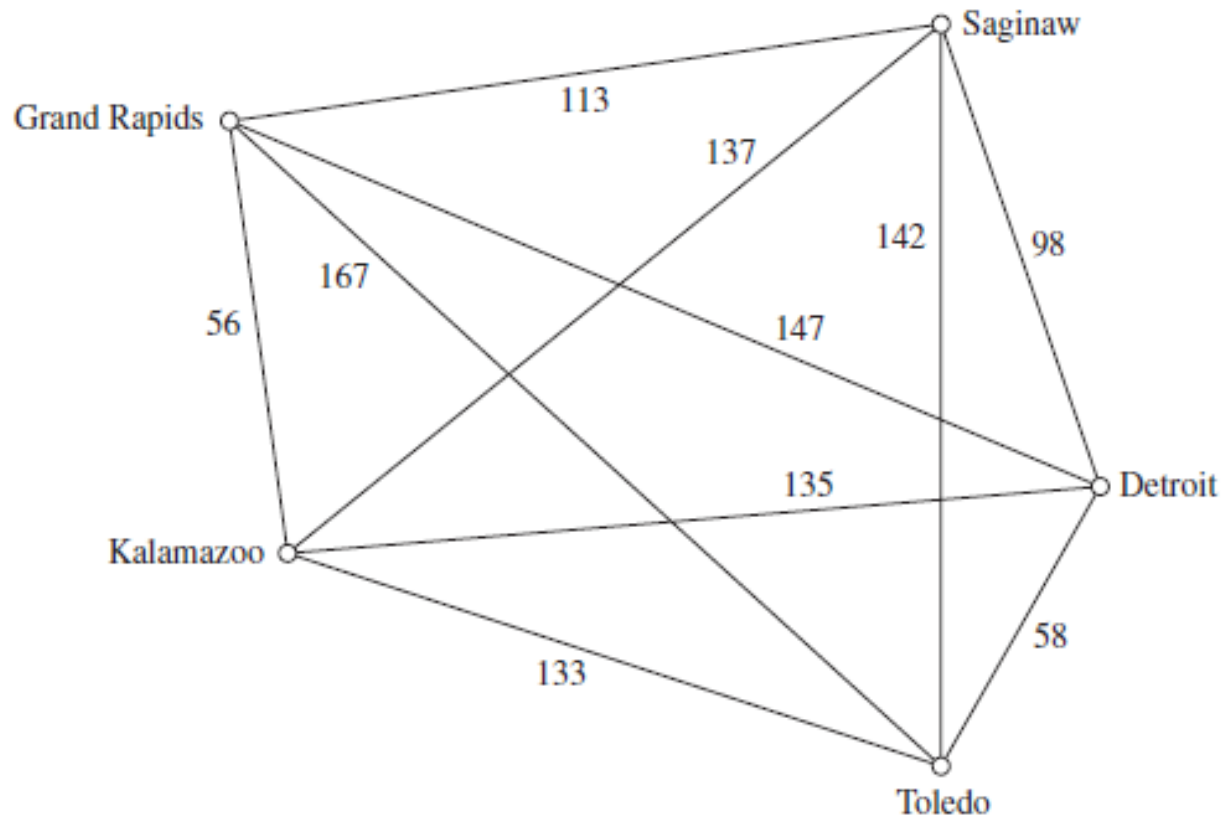


FIGURE 5 The Graph Showing the Distances between Five Cities.

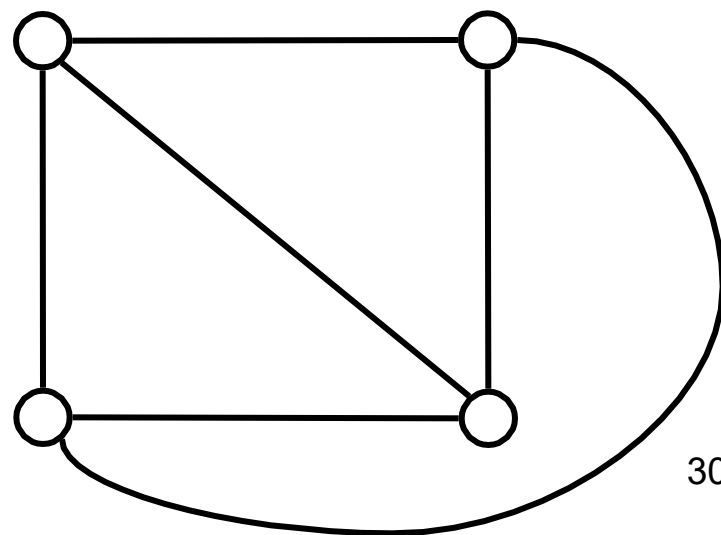
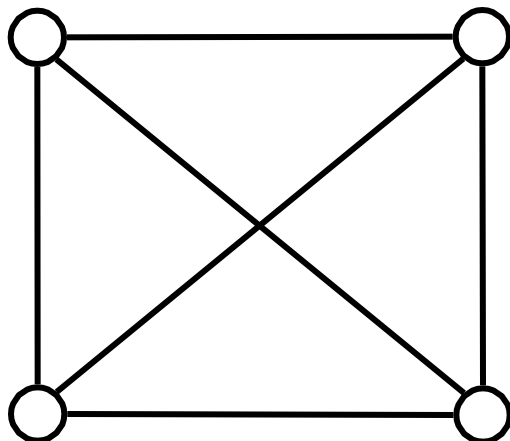
Planar Graphs

- Definition
- Euler Theorem
- Determine non-planar

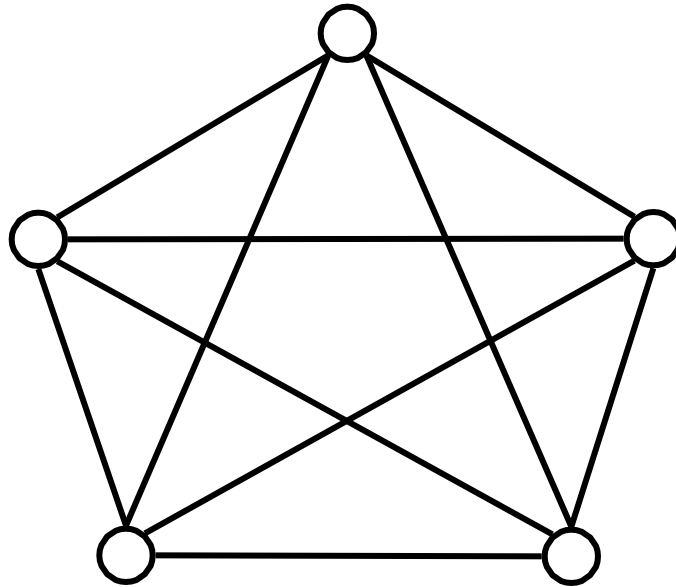
Planar Graphs – 平面图

- A graph is called *planar* if it can be drawn in the plane in such a way that no two edges cross.
- Example of a planar graph: The clique on 4 nodes.

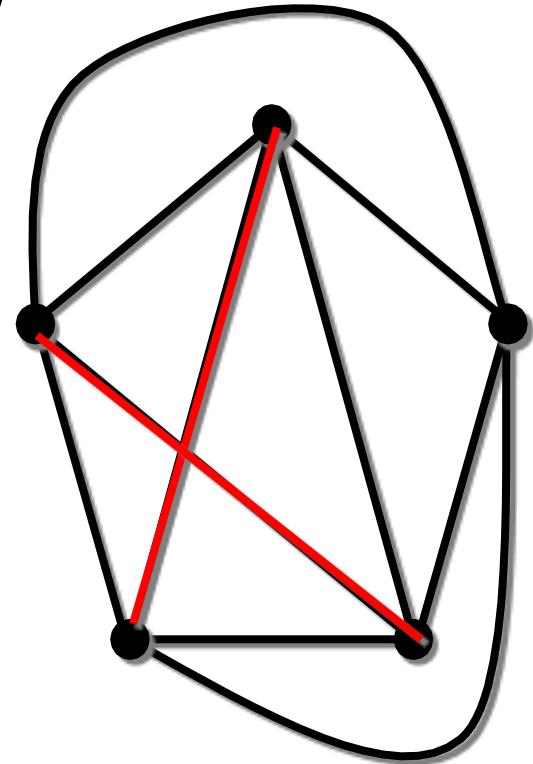
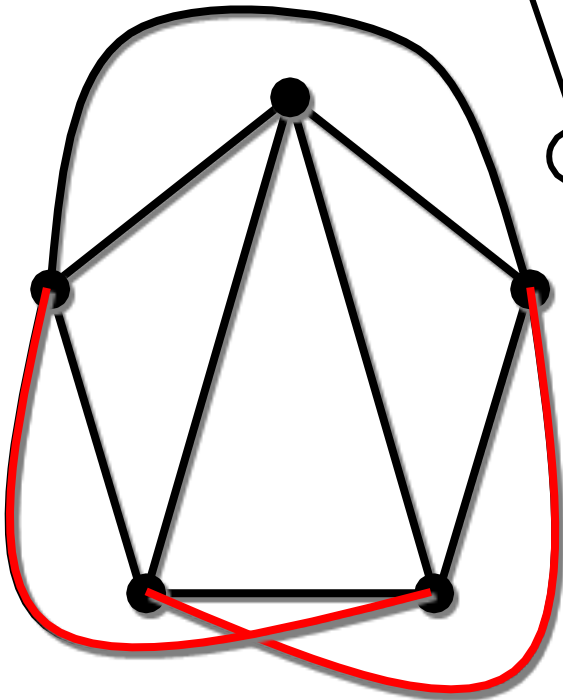
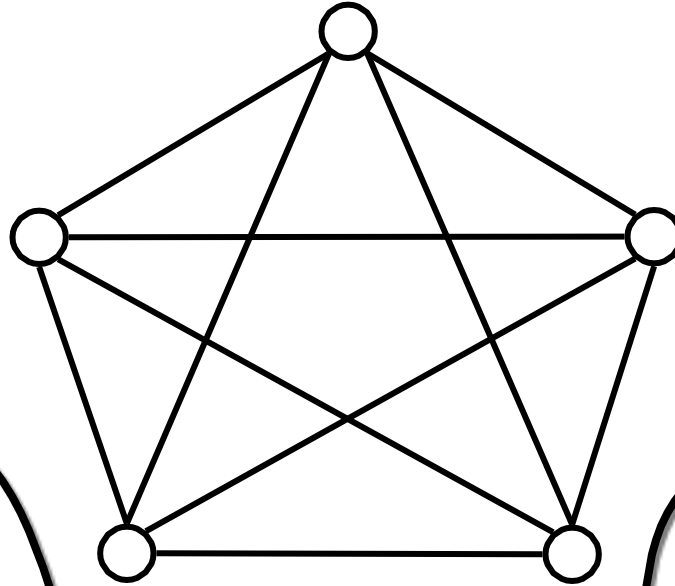
K_4



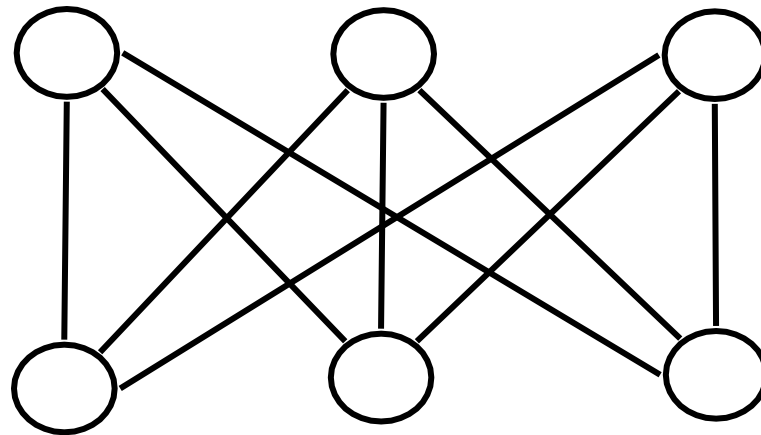
Is K_5 planar?



Is K_5 planar?



What about $K_{3,3}$?



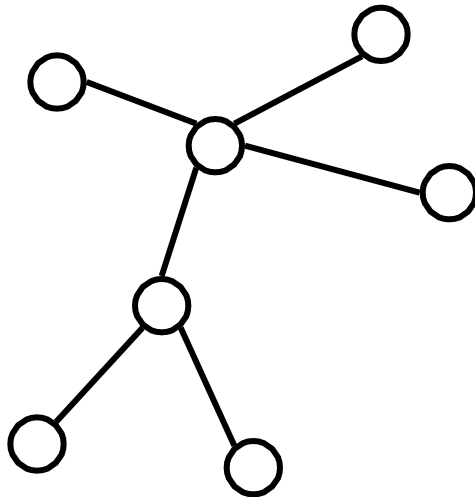
Why Planar?

- The problem of drawing a graph in the plane arises frequently in VLSI layout problems.

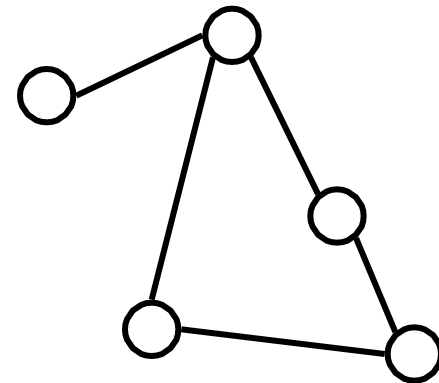
Regions, faces – 面

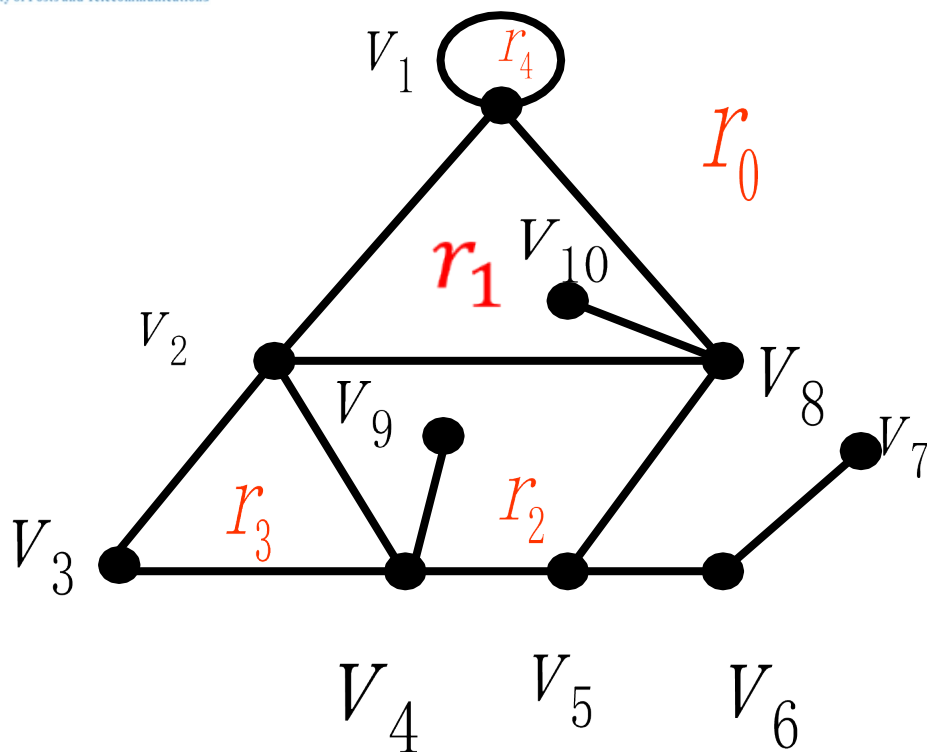
- A plane graph cuts the plane into regions that we call *faces*.

one face



two faces





r_1 由回路

$v_1 v_2 v_8 v_{10} v_8 v_1$

所包围,

$\deg(r_1) = 5$

r_0 由回路

$v_2 v_3 v_4 v_5 v_6 v_7 v_6 v_5 v_8 v_1 v_1 v_2$

所包围。 $\text{Deg}(r_0) = 11$

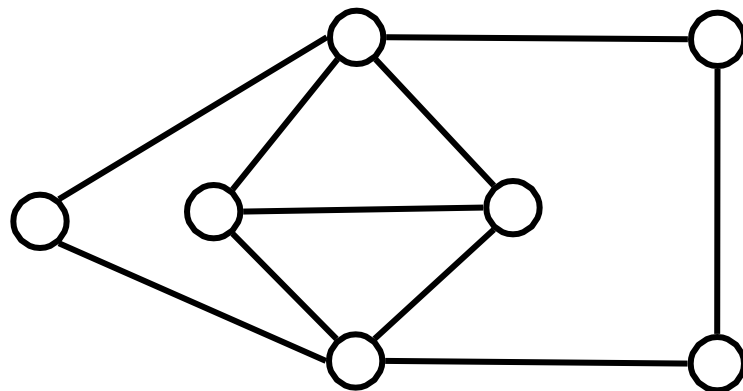
r_2 由 $v_2 v_4 v_9 v_4 v_5 v_8 v_2$ 所包围,
 $\deg(r_2) = 6$

r_3 由回路 $v_2 v_3 v_4$ 所包围,
 $\deg(r_3) = 3$

r_4 由回路 $v_1 v_1$ 所包围,
 $\deg(r_4) = 1$

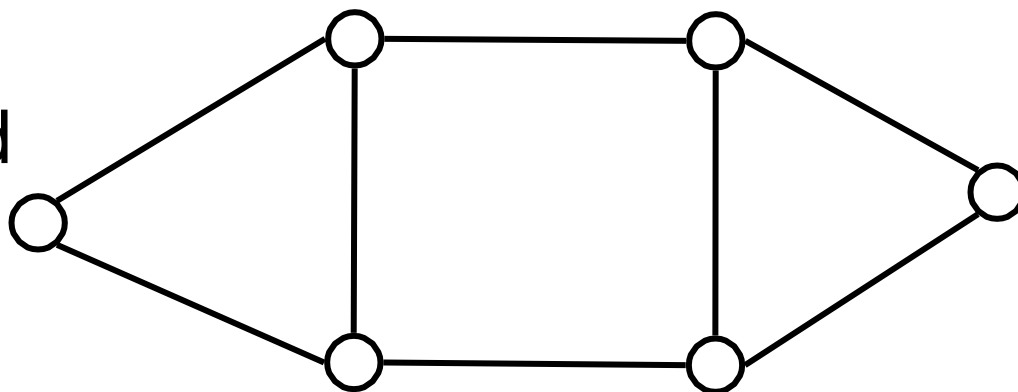
Question

- Can you redraw this graph as a plane graph so as to alter the number of its faces?



Example

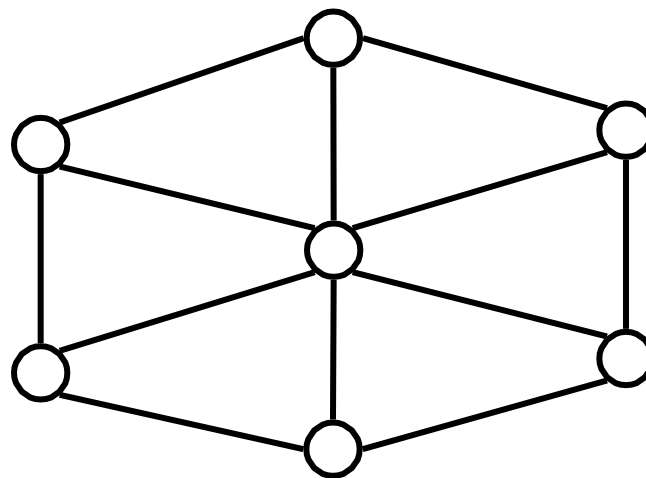
- This graph has
 - 6 vertices
 - 8 edges and
 - 4 faces



$$\text{vertices} - \text{edges} + \text{faces} = 2$$

Example

- This graph has
 - 7 vertices
 - 12 edges and
 - 7 faces



- $\text{vertices} - \text{edges} + \text{faces} = 2$

Euler Theorem

➤ If G is a connected plane graph, then

- vertices – edges + faces = 2

➤ Let

- v = # of **v**ertices
- e = # of **e**dges
- r = # of **r**egion (faces)

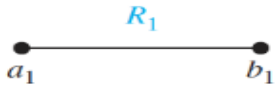
$$v - e + r = 2$$



[定理1] (欧拉公式/Euler's formula)

• Let G be a connected planar simple graph with e edges and v vertices. Let r be the number of regions in a planar representation of G . Then $r = e - v + 2$.

proof: induction

(1) $e = 1$ 
 $v = 2, r = 1, v - e + r = 2 - 1 + 1 = 2$



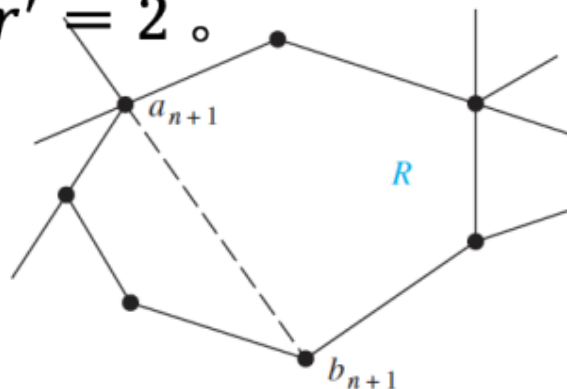
(2) assume , $e = n$, $r - e + v = 2$

then, while $e = n + 1$, eliminate one edge, there are two cases:

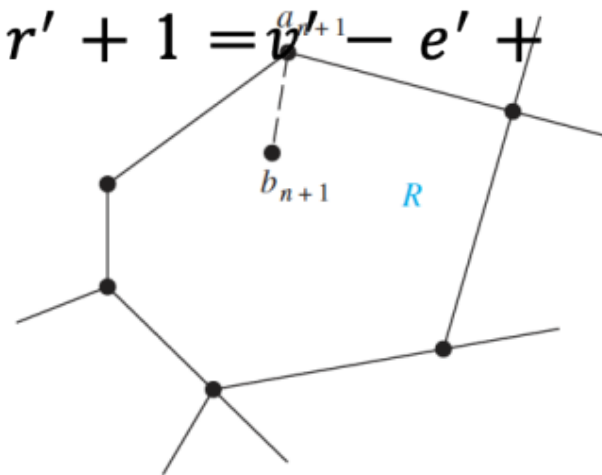
case a) $v' - e' + r' = 2$,

$v = v'$, $e = e' + 1$, $r = r' + 1$,

$v - e + r = v' - e' - 1 + r' + 1 = v' - e' + r' = 2$.



(a)



(b)

b)

- $v' - e' + r' = 2,$
- $v = v' + 1, \quad e = e' + 1, \quad r = r' = 1,$
- $v - e + r = v' + 1 - e' - 1 + r' = v' - e' + r' = 2.$

Corollary

- No matter how we redraw a planar graph it will have the same # of regions.
- Proof:
 - $r = 2 - v + e$ is determined by v and e , neither of which change when we redraw the graph.
 - 图重画，不影响 $r = 2 - v + e$ 。

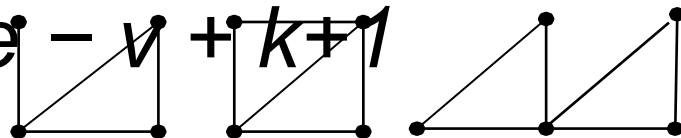
Theorem

k个分支的图

- Let G be a planar graph with k connected component and e edges and v vertices. Let r be the number of regions in a planar representation of G . Then $r = e - v + k + 1$.

Proof:

- Suppose component $G_1 G_2 \dots G_k$,
- so $e_i - v_i + 2 = r_i \Rightarrow 2k = \sum r_i + \sum v_i - \sum e_i$
- and $r = \sum r_i - (k - 1)$; (外部面只有一个)
- so $2k = r + k - 1 + v - e \Rightarrow r = e - v + k + 1$



corollary 1

- Every connected planar simple graph G with e -edges, v -node ($v \geq 3$) has at most $3v-6$ edges. $e \leq 3v-6$

Proof:

- $v = 3$, $e \leq 3v-6$ is true.
- $v \geq 3$: G is simple graph, at least 3 edges per face.
- At most 2 faces per edge

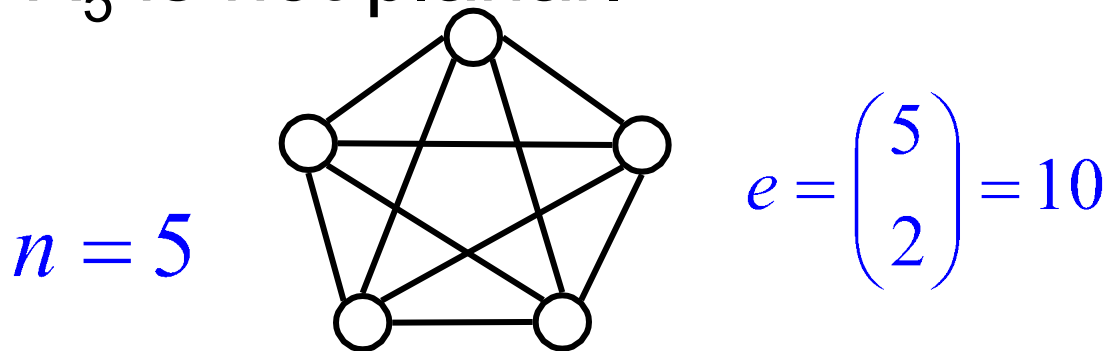
corollary 2

- If G is a connected planar simple graph, then G has a vertex of degree not exceeding five. ($\exists \delta(G) \leq 5$)
- proof: $v=1$, or 2 , It is clearly true.
- $v \geq 3$, by corollary 1, $e \leq 3v-6$, $2e \leq 6v-12$.
- If $\delta(G)=6$, $2e = \sum \deg(v) \geq 6v$ (by handshaking theorem).
- contradict so $\delta(G) \leq 5$

K_5 is not planar

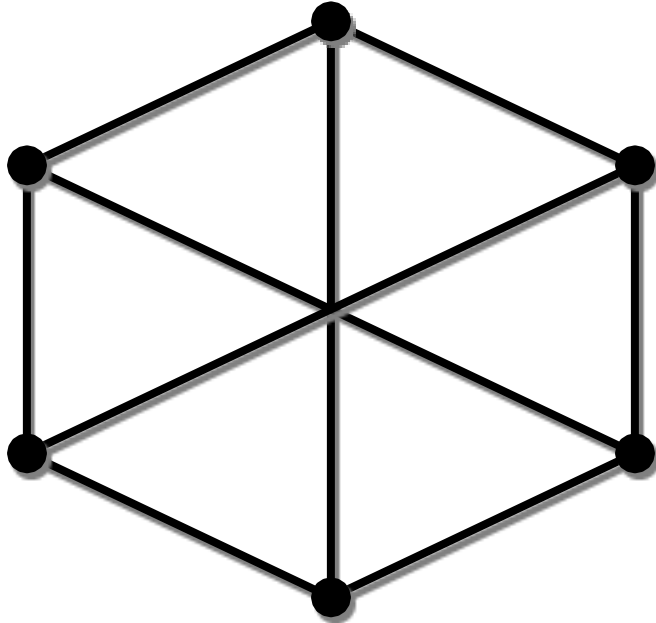
- A connected planar simple graph on
- $n = 5$ nodes can have at most
- $3n - 6 = 9$ edges.

Thus: K_5 is not planar.



$$e \leq 3v - 6$$

是简单连通平面图的必要条件



$K_{3,3}$

$$e \leq 3v - 6$$

$$9 \leq 3 \times 6 - 6 = 12$$

$K_{3,3}$ is not planar

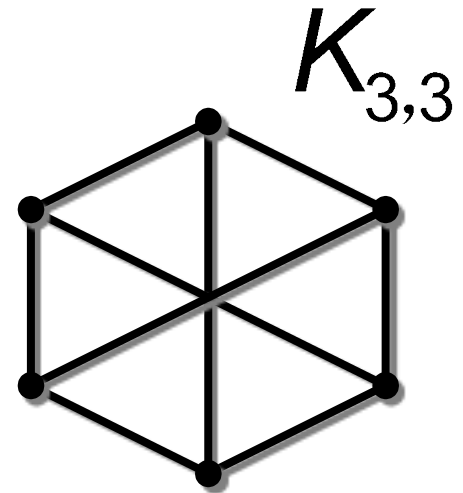
corollary 3

- If a connected planar simple graph has e edges and v vertices with $v \geq 3$ **and no circuit of length three**, then $e \leq 2v - 4$.

Proof:

$v \geq 3$: G is simple graph, no circuit of length three, so every face has at least 4 edges on its boundary. Thus $2e \geq 4r$.

$$4v - 4e + 4r = 8 \Rightarrow 4v - 2e \geq 8 \Rightarrow e \leq 2v - 4.$$



If a graph is planar, so will be any graph obtained by removing an edge $\{u, v\}$ and adding a new vertex w together with edges $\{u, w\}$ and $\{w, v\}$. Such an operation is called an **elementary subdivision** (初等细分). The graphs $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are called **homeomorphic** (同胚) if they can be obtained from the same graph by a sequence of elementary subdivisions.

Homeomorphic (同胚)

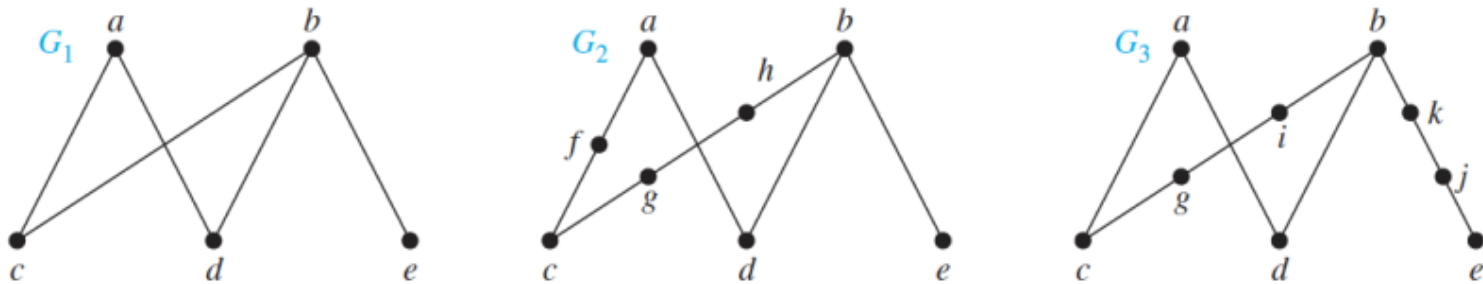
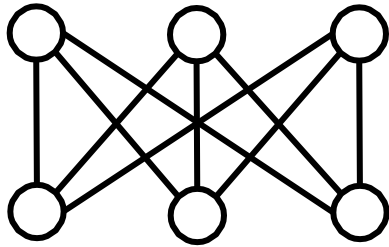


FIGURE 12 Homeomorphic Graphs.

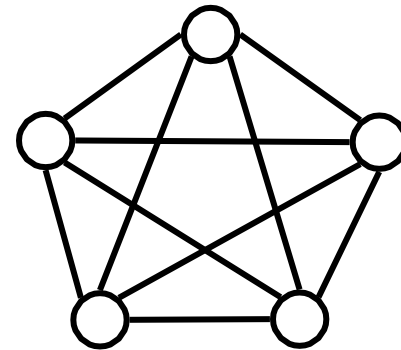
Kuratowski's Theorem

库拉托夫斯基定理

A graph is planar if and only if it contains no subgraph obtainable from K_5 or $K_{3,3}$ by replacing edges with paths.



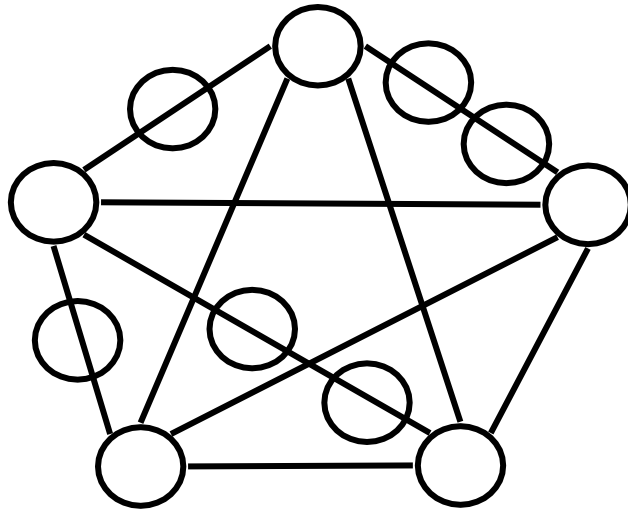
$K_{3,3}$



K_5

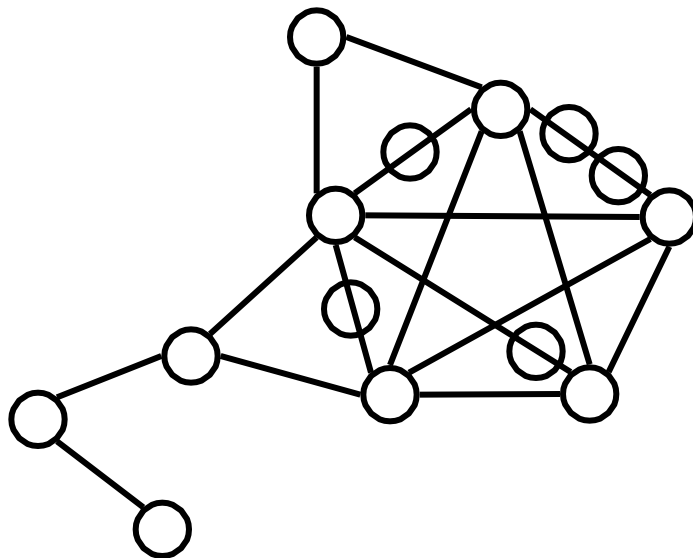
Insight 1

- If we replace edges in a Kuratowski graph by paths of whatever length, they remain non-planar.

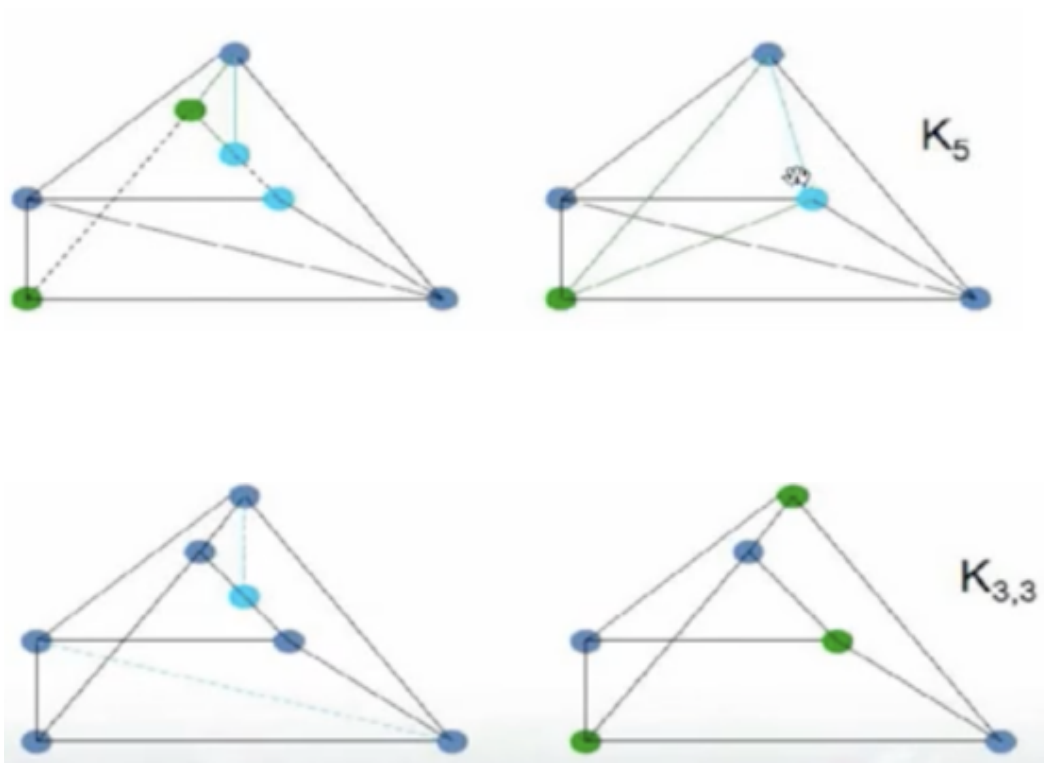


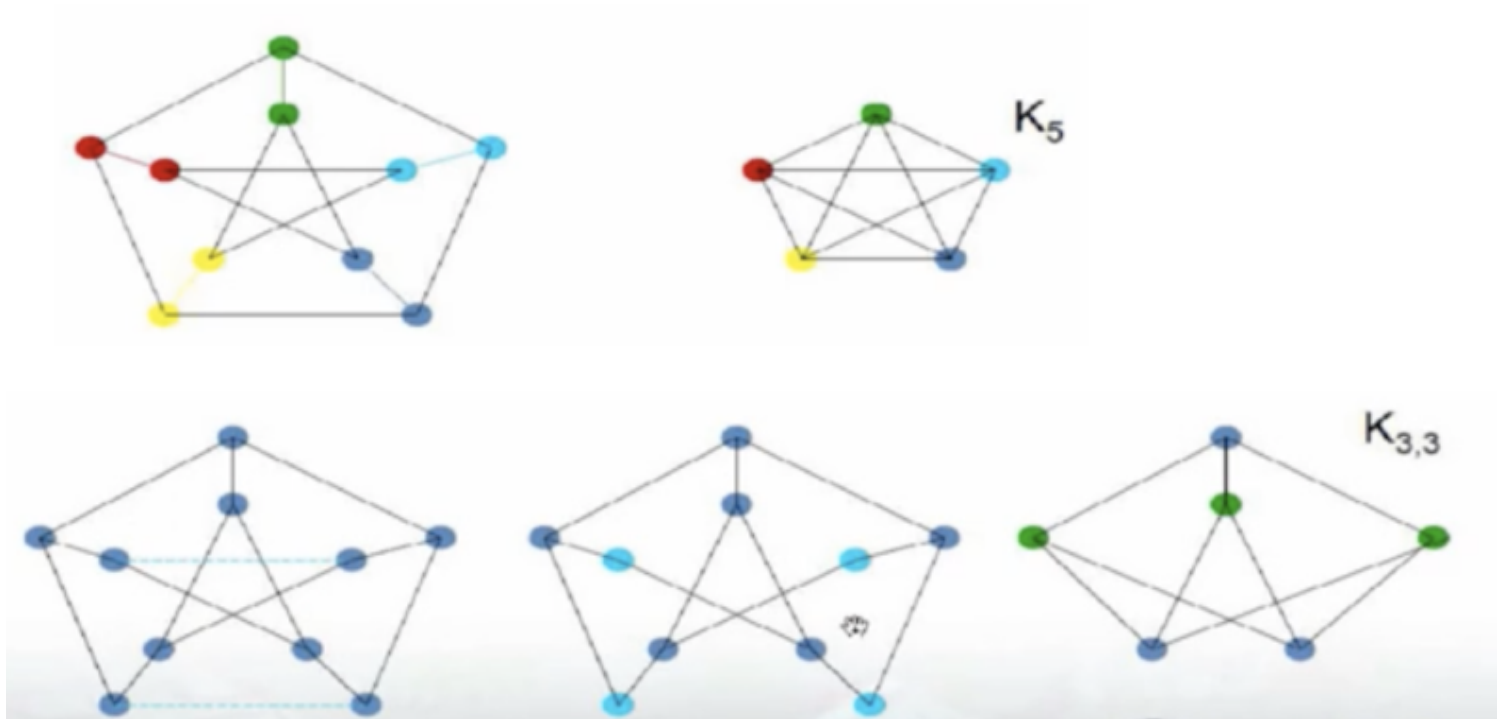
Insight 2

- If a graph G contains a subgraph obtained by starting with K_5 or $K_{3,3}$ and replacing edges with paths, then G is non-planar.



example





example

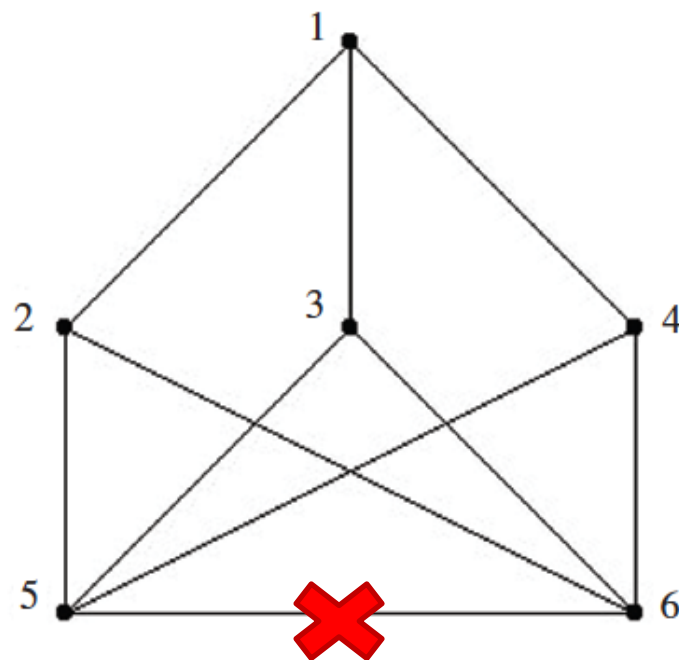
Determine whether the following graph is planar.

Solution:

The graph is not planar.

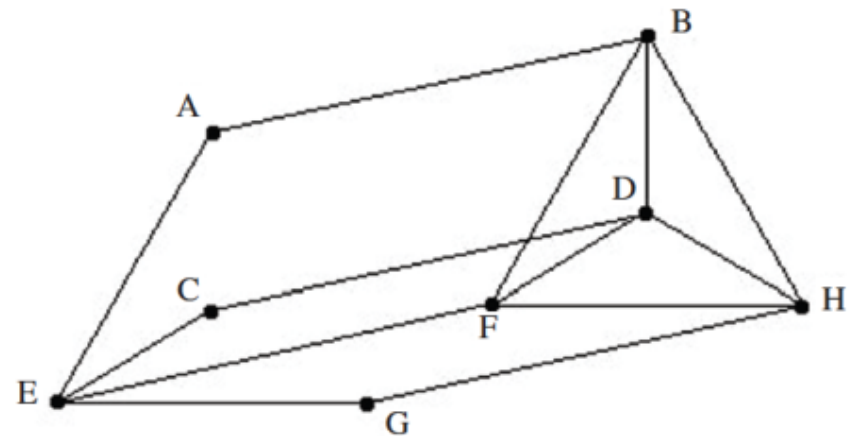
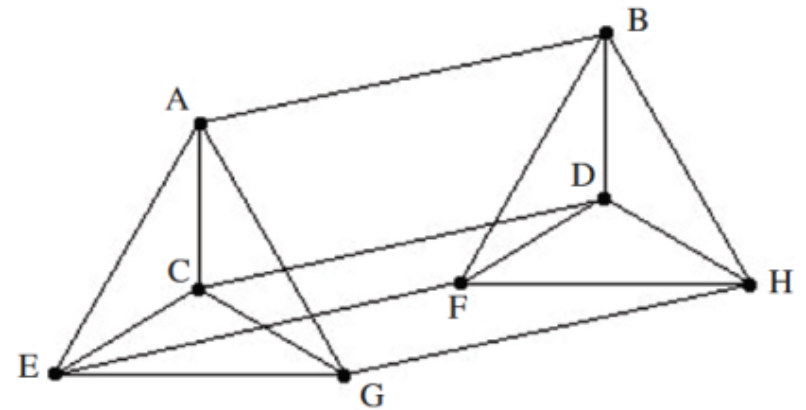
If the edge $\{5; 6\}$ is removed, the resulting subgraph is isomorphic to $K_{3;3}$. (Use $\{2; 3; 4\}$ and

$\{1; 5; 6\}$ as the partition of the vertices of $K_{3;3}$.

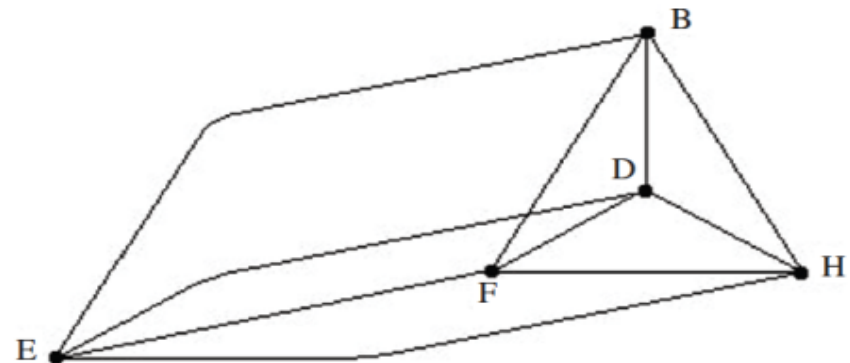


Solution:

The graph is not planar. It contains a subgraph homeomorphic to K_5 , using vertices $E; B; D; F; H$. First remove some edges to obtain the following subgraph:

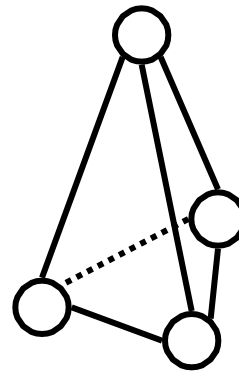
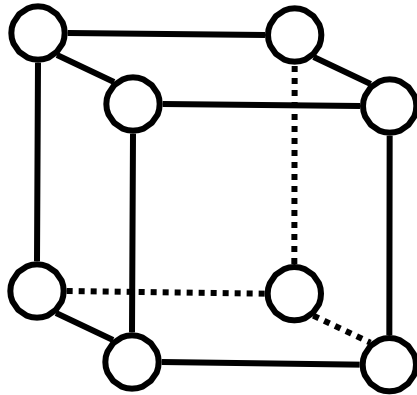


Then use elementary subdivisions at vertices $A; C; G$ to obtain the following graph, K_5 :



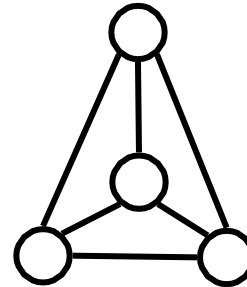
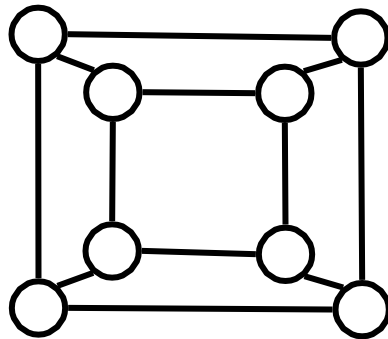
Platonic Solids – 柏拉图体

- A **Platonic solid** has congruent **regular polygons**(正则多边形) as faces and has the same number of edges meeting at each corner.



Platonic Solids

- Each one can be flattened into a planar graph:



- with constant degree: k and
- the same number of edges bounding each face: l

$$\sum_{\text{vertex } x} \# \text{ of edges coming from } x = 2e$$

$$\parallel$$

$$kv$$

$$kv = 2e$$

Each edge belongs to 2 faces:

$$fl = 2e$$

By Euler's formula:

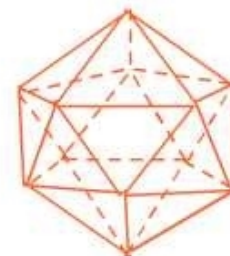
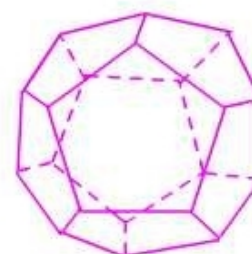
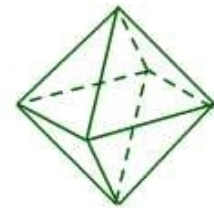
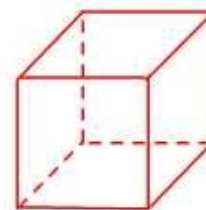
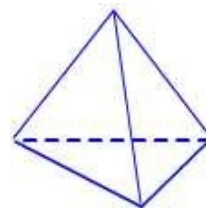
$$v - e + f = 2$$

$$(k-2)(l-2) = \frac{2f-4}{f} \square \frac{2v-4}{v} \Rightarrow (k-2)(l-2) < 4$$

and $k, l \geq 3$ for physical reasons

The only solutions

k	l	e	v	f	
3	3	6	4	4	tetrahedron
3	4	12	8	6	cube
4	3	12	6	8	octahedron
3	5	30	20	12	dodecahedron
5	3	30	12	20	icosahedron



作业

- §10.5 8, 10, 16, 26, 34, 48, 58
- §10.6 8, 16, 18, 26
- §10.7 6, 8, 12, 18, 24, 30