

# Hashcaster

Yue Ying

January 2, 2025

# Overview

1. Preliminary
2. ANDCHECK
3. Optimization on Sumcheck
4. Appendix

# Preliminary

# Some important definitions

- Define the base field  $F_p$  and its extension field  $F_q$ , where  $q = p^n$  and  $p$  is a prime.  $F_{p^n}$  is a finite field of characteristic  $p$ .
- Definition of a polynomial over the extension field:

$$P(x) = \sum_{i=0}^{n-1} b_i P_i(x),$$

where  $b_i$  are elements of a basis of the extension field, and  $P_i(x)$  are coordinate polynomials defined over the base field.

- Definition of the Frobenius map:

$$F(x) = x^p, \quad \text{for } x \in F_q.$$

The Frobenius map is a linear transformation(proof is provided in the appendix):

1.  $F(x + y) = F(x) + F(y)$ , for all  $x, y \in F_q = F_{p^n}$ .
2.  $F(c \cdot x) = c \cdot F(x)$ , for all  $x \in F_q = F_{p^n}$  and  $c \in F_p$ .

# Some Important Definitions

- Definition of the Frobenius map:

$$F(x) = x^p, \quad \text{for } x \in F_q.$$

The Frobenius map is a linear transformation (proof is provided in the appendix):

1.  $F(x + y) = F(x) + F(y)$ , for all  $x, y \in F_q = F_{p^n}$ .
  2.  $F(c \cdot x) = c \cdot F(x)$ , for all  $x \in F_q = F_{p^n}$  and  $c \in F_p$ .
- Multiple Frobenius mappings:

$$F^k(x) = x^{p^k}, \quad \text{with the inverse mapping defined as } F^{-k}(x) = x^{p^{-k}}.$$

- Definition of Frobenius twists: applying the Frobenius mapping to the basis of a polynomial over the extension field:

$$P_{\gg k}(x) = \sum (b_i^{p^k}) P_i(x),$$

where  $b_i^{p^k}$  denotes the Frobenius mapping applied to the coefficients  $b_i$ , and  $P_i(x)$  are the coordinate polynomials over the base field.

# Using Frobenius Twists to efficiently get $P_i(x)$

- Objective of Frobenius twists in our setting: to efficiently decompose a polynomial  $P(x)$  defined over the extension field  $F_q$  into its coordinate polynomials  $P_i(x)$
- Apply a series of Frobenius twists to  $P(x)$ , resulting in  $P_{\gg 0}(x), P_{\gg 1}(x), \dots, P_{\gg d-1}(x)$ . Each twist is defined as:

$$P_{\gg i}(x) = \sum F^i(b_i)P_i(x) = \sum b_i^{p^i} P_i(x),$$

By expanding each twist, a system of linear equations is constructed:

$$P_{\gg 0}(x) = F^0(b_0)P_0(x) + F^0(b_1)P_1(x) + \dots + F^0(b_{d-1})P_{d-1}(x),$$

$$P_{\gg 1}(x) = F^1(b_0)P_0(x) + F^1(b_1)P_1(x) + \dots + F^1(b_{d-1})P_{d-1}(x),$$

$$\vdots$$

$$P_{\gg d-1}(x) = F^{d-1}(b_0)P_0(x) + F^{d-1}(b_1)P_1(x) + \dots + F^{d-1}(b_{d-1})P_{d-1}(x).$$

- Since the basis  $\{b_0, b_1, \dots, b_{d-1}\}$  of the extension field is linearly independent and the coefficient matrix of the system is full rank, the solution to the equations is unique, ensuring that each  $P_i(x)$  can be uniquely determined.

# Opening $P(x)$ on an Extension Field Element $r$

- Given  $P(r)$  and  $r$ , how to quickly deducing  $P_i(r)$ ?
- Trivial approach: First compute  $P_i(x)$  from  $P(x)$  using the method described on the previous slide, and then evaluate  $P_i(r)$  for each component polynomial.
- Improvement:  
Key observation: Reduce the problem of opening  $P_i$  at a single point  $r$  to multiple openings of  $P$  at points in the inverse Frobenius orbit of  $r$ . Specifically:

$$F^i(P(F^{-i}(r))) = P_{\gg i}(r),$$

where  $F^i$  represents the  $i$ -th Frobenius mapping and  $F^{-i}$  its inverse. A simple derivation is as follows (detailed proof is provided in the appendix):

$$F^i(P(F^{-i}(r))) = \sum_{j=0}^{d-1} F^i(b_j) F^i(P_j(F^{-i}(r))) = \sum_{j=0}^{d-1} F^i(b_j) P_j(r) = P_{\gg i}(r).$$

# Opening $P(x)$ on an Extension Field Element $r$

- LHS:  $F^i(P(F^{-i}(r)))$

1. Compute the inverse Frobenius orbit of  $r$ :

$$[r, F^{-1}(r), F^{-2}(r), \dots, F^{-(d-1)}(r)] = [r, r^{p^{-1}}, r^{p^{-2}}, \dots, r^{p^{-(d-1)}}].$$

2. Evaluate  $P(x)$  at the points in the inverse Frobenius orbit:

$$P(F^{-i}(r)), \quad \text{for } i = 0, 1, \dots, d-1.$$

3. Compute Frobenius mappings on those evaluations and form the vector:

$$\mathbf{b} = \begin{bmatrix} F^0(P(F^0(r))) \\ F^1(P(F^{-1}(r))) \\ F^2(P(F^{-2}(r))) \\ \vdots \\ F^{d-1}(P(F^{-(d-1)}(r))) \end{bmatrix}.$$



# Opening $P(x)$ on an Extension Field Element $r$

- RHS:  $P_{\gg i}(r)$

$$P_{\gg 0}(r) = F^0(b_0)P_0(r) + F^0(b_1)P_1(r) + \cdots + F^0(b_{d-1})P_{d-1}(r),$$

$$P_{\gg 1}(r) = F^1(b_0)P_0(r) + F^1(b_1)P_1(r) + \cdots + F^1(b_{d-1})P_{d-1}(r),$$

$$\vdots$$

$$P_{\gg d-1}(r) = F^{d-1}(b_0)P_0(r) + F^{d-1}(b_1)P_1(r) + \cdots + F^{d-1}(b_{d-1})P_{d-1}(r).$$

1. Construct the matrix  $\mathbf{A}$ : Each row corresponds to the Frobenius mappings of the basis elements  $b_i$ , defined as:

$$\mathbf{A} = \begin{bmatrix} F^0(b_0) & F^0(b_1) & \cdots & F^0(b_{d-1}) \\ F^1(b_0) & F^1(b_1) & \cdots & F^1(b_{d-1}) \\ \vdots & \vdots & \ddots & \vdots \\ F^{d-1}(b_0) & F^{d-1}(b_1) & \cdots & F^{d-1}(b_{d-1}) \end{bmatrix}.$$

2. Construct the vector  $\mathbf{x}$ :

$$\mathbf{x}^T = [P_0(r) \quad P_1(r) \quad \cdots \quad P_{d-1}(r)].$$

# Opening $P(x)$ on an Extension Field Element $r$

- RHS:  $P_{\gg i}(r)$

$$P_{\gg 0}(r) = F^0(b_0)P_0(r) + F^0(b_1)P_1(r) + \cdots + F^0(b_{d-1})P_{d-1}(r),$$

$$P_{\gg 1}(r) = F^1(b_0)P_0(r) + F^1(b_1)P_1(r) + \cdots + F^1(b_{d-1})P_{d-1}(r),$$

$$\vdots$$

$$P_{\gg d-1}(r) = F^{d-1}(b_0)P_0(r) + F^{d-1}(b_1)P_1(r) + \cdots + F^{d-1}(b_{d-1})P_{d-1}(r).$$

4. Construct the matrix **A**: Each row corresponds to the Frobenius mappings of the basis elements  $b_i$
5. Construct the vector  $\mathbf{x}$
6. Solve the linear system in matrix form:

$$\mathbf{Ax} = \mathbf{b}$$

Since **A** is full-rank due to the linear independence of the basis  $\{b_0, b_1, \dots, b_{d-1}\}$ , the solution  $\mathbf{x}$  is unique.

ANDCHECK

# The Setting of the Problems

- The setting of the problem is as follows:
  - Base Field:  $\mathbb{F}_p$ , where  $p = 2$  (binary field).
  - Extension Field:  $\mathbb{F}_q$ , where  $q = p^n = 2^n$ .
- Challenge: For all  $x, y \in \mathbb{F}_{2^n}$ , performing a **bitwise**  $\wedge$  (logical AND) operation is not directly supported in common prime fields.
- Proposed Solution: Using Frobenius mapping, we map the  $\wedge$  operation in the extension field to arithmetic operations in the base field:

$$x \wedge y = \sum_{i=0}^{n-1} b_i \cdot x_i \cdot y_i,$$

where:

- $x_i$  and  $y_i$  are the projections of  $x$  and  $y$  along the  $b_i$ -basis directions, i.e.,  $x = \sum_{i=0}^{n-1} x_i b_i$  and  $y = \sum_{i=0}^{n-1} y_i b_i$ .
- $x_i, y_i \in \mathbb{F}_2$ . In  $\mathbb{F}_2$ , arithmetic multiplication is equivalent to the logical  $\wedge$  operation:

$$x_i \cdot y_i = x_i \wedge y_i.$$

# The Objective of the Whole System

- Perform the sumcheck of the form:

$$\sum_{x \in B^n} (P \wedge Q)(x) \cdot eq(x; q),$$

where  $(P \wedge Q)(x) = \sum_{i=0}^n b_i \cdot P_i(x) \cdot Q_i(x)$ .

# Optimization on Sumcheck

## Trick 1: drops the degree in $t$ under summation to 2

- For the  $i$ -th round where  $i \leq n$ , the prover sends a polynomial of the form:

$$U(t) = \sum_{x_{>i} \in \mathbb{B}^{n-i-1}} (P \wedge Q)(r_{<i}, t, x_{>i}) \cdot eq(r_{<i}, t, x_{>i}; q),$$

which is a cubic polynomial. can be rearranged as

$$U_i(t) = eq(r_{<i}, q_{<i})eq(t, q_i) \sum_{x_{>i} \in \mathbb{B}^{n-i-1}} (P \wedge Q)(r_{<i}, t, x_{>i}) \times (x_{>i}, q_{>i})$$

which drops the degree in  $t$  under summation to 2.

## Trick 2: Perform in Two Phases using different strategies

- The process involves two phases:
  - The first phase runs for  $c$  rounds.
  - The second phase runs for  $n - c$  rounds.
- In the first  $c$  rounds:
  1. start by computing the table of evaluations of  $P, Q$  in the extended size  $(0, 1, \infty)^{c+1} \times (0, 1)^{n-c-1}$
  2. evaluate  $P \wedge Q$  on this whole subset
  3. Prover enters the first round and does the following:
    - Compute  $\sum (P \wedge Q)(r_{<i}, t, x_{>i}) \times eq(x_{>i}, q_{>i})$ : lookup table and compute evaluations of  $(P \wedge Q)(t, x_{>0})$  for  $t \in \{0, 1, \infty\}$ , and add them up multiplied by  $eq(x_{>0}, q_{>0})$
    - Obtain Obtain challenge  $r_0$  from verifier.
    - Update table according to  $r_0$



# Phase 1 in details

1. The prover maintains two arrays, say  $A$  and  $B$ , which initially store all evaluations of  $P$  and  $Q$  over  $\{0, 1\}^n$ . We will index entries of  $A$  and  $B$  by  $x \in \{0, 1\}^n$ , so that at initialization,  $A[x]$  stores  $P(x)$  and  $B[x]$  stores  $Q(x)$ . Each row of  $A$  and  $B$  contains an element from the extension field (e.g., if the extension field is  $\mathbb{F}_{2^{128}}$ , then each row stores an  $F128$ ).
2. Based on  $A[x]$  and  $B[x]$ , prover computes an extending table storing all evaluations of  $P$  and  $Q$  over  $\{0, 1, \infty\}^{c+1} \times \{0, 1\}^{n-c-1}$

Index	$P(x)$
First $c + 1$ bits: $0, 0, \dots, 0$ , Last $n - c - 1$ bits: $0, 0, \dots, 0$	$P(0, 0, \dots, 0, 0, 0, \dots, 0)$ (from $A[x]$ )
First $c + 1$ bits: $1, 0, \dots, 0$ , Last $n - c - 1$ bits: $0, 0, \dots, 0$	$P(1, 0, \dots, 0, 0, 0, \dots, 0)$ (from $A[x]$ )
First $c + 1$ bits: $\infty, 0, \dots, 0$ , Last $n - c - 1$ bits: $0, 0, \dots, 0$	$P(\infty, 0, \dots, 0, 0, 0, \dots, 0)$ (computed from $P(0, 0, \dots) + P(1, 0, \dots)$ )

This table has  $3^{c+1} \cdot 2^{n-c-1}$  rows, representing all combinations of elements from  $\{0, 1, \infty\}^{c+1} \times \{0, 1\}^{n-c-1}$ .

# Phase 1 in details

## Notes on computing $P(x)$ :

- If the index is a pure bitstring (without  $\infty$ ), the evaluation is directly copied from table  $A[x]$ .
- If the index contains  $\infty$ , the new value is computed by summing (or XORing in  $\mathbb{F}_2$ ) two rows in the extended table.
- The two rows to be summed are determined as follows:
  1. Locate the rightmost  $\infty$  in the index.
  2. Replace this position with 0 and 1 while keeping all other positions unchanged.
  3. The resulting two indices correspond to the rows to be summed.
- For example:

$$P(\infty, 0, 0, \dots, 0, 0, \dots, 0) = P(0, 0, 0, \dots, 0, 0, \dots, 0) + P(1, 0, 0, \dots, 0, 0, \dots, 0)$$

$$P(\infty, 0, 0, \dots, \infty, 0, \dots, 0) = P(\infty, 0, 0, \dots, 0, 0, \dots, 0) + P(\infty, 0, 0, \dots, 1, 0, \dots, 0)$$

$Q(x)$  values are computed similarly to  $P(x)$ , but using  $B[x]$  instead of  $A[x]$ .

**Constructing the extended table requires  $3^{c+1} \cdot 2^{n-c-1} - 2^n$  extension field additions (or XOR) and  $2^n$  copy-paste operations.**

# Phase 1 Details

3. Compute  $P \wedge Q$  based on the  $P(x)$  and  $Q(x)$  columns. The extended table is structured as follows:

Index	$P(x)$	$Q(x)$	$P \wedge Q$
...	...	...	...

**Calculating  $P \wedge Q$  requires  $3^{c+1} \cdot 2^{n-c-1}$  extension field  $\wedge$  operations.**

# Phase 1 Details

## 4. Prover enters Round 1:

- Compute valuations of  $(P \wedge Q)(t, x_{>0})$  for  $t \in \{0, 1, \infty\}$ :
  - fix  $t$
  - look up extending table to get  $(P \wedge Q)(t, x_{>0})$  for all  $x \in 0, 1^{n-1}$
  - multiplied by corresponding  $eq(x_{>0}, q_{>0})$
  - add them up

**This requires  $3 * 2^{n-1}$  extension field multiplications**

- Obtain challenge  $r_0$  from verifier
- Update table according to  $r_0$  Update table  $A$  according to  $r_0$ :

$$A[x] \leftarrow (1 - r_0) \cdot A[0, x] + r_0 \cdot A[1, x] = A[0, x] + r_0 \cdot (A[1, x] - A[0, x])$$

Similarly, update table  $B$  according to  $r_0$ :

$$B[x] \leftarrow (1 - r_0) \cdot B[0, x] + r_0 \cdot B[1, x] = B[0, x] + r_0 \cdot (B[1, x] - B[0, x])$$

Update the extended table based on the updated  $A$  and  $B$ . **This step, including updates to  $A$ ,  $B$ , and the extended table, requires  $3^{c+1} \cdot 2^{n-c-1}$  extension field multiplications in total.**

# Summary for Phase 1

- Construct Original Table:  $3^{c+1} \cdot 2^{n-c-1} = 2^n$  **extension field additions (or XOR) and  $2^n$  copy-paste operations**
- For each round:
  - Compute valuations of  $(P \wedge Q)(t, x_{>0})$  for  $t \in \{0, 1, \infty\}$ :  $3 * 2^{n-1}$  **extension field multiplications**
  - Update table:  $3^{c+1} \cdot 2^{n-c-1}$  **extension field multiplications**
- First phase requires  $3^{c+1} \cdot 2^{n-c-1}$  **extension field multiplications**, assuming that extension field multiplication dominates the runtime. Note that  $3^{c+1} \cdot 2^{n-c-1} = (3/2)^{c+1} \cdot 2^n = (3/2)^{c+1} \cdot N$ .

## Trick 2: Perform in Two Phases using different strategy

- In the last  $n - c$  rounds, the strategy changes to maintain polynomials:

$$P_i(r_0, \dots, r_c, x_{>c}), \quad Q_i(r_0, \dots, r_c, x_{>c}).$$

$128 * \frac{2^n}{2^c} = \frac{128}{2^c} N$  **extension field operations.**

- In the final round, when opening  $U(r)$ , Frobenius Twists are used to compute  $P_i(r)$  and  $Q_i(r)$ . Additionally, the **4 Russians method** is employed for the  $eq(r, q)$  multi-open operation:

$$U(r) = (P \wedge Q)(r) \cdot eq(r, q).$$

# Summary

- The cost of Phase 1 is  $(3/2)^{c+1}N$  extension field multiplications, and the cost of Phase 2 is  $128 \cdot \frac{2^n}{2^c} = \frac{128}{2^c}N$  extension field multiplications, where  $N = 2^n$  is the number of  $\wedge$  gates, equivalent to  $128N$  binary AND gates.
- When  $c = 5$ , the total cost is  $(3/2)^6N + \frac{128}{2^5}N = 15N$  extension field multiplications.

# Appendix



# Proof: Frobenius Mapping is a Linear Transformation

Let  $F : \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}$  be the Frobenius mapping defined by  $F(x) = x^q$ , where  $\mathbb{F}_{q^n}$  is a finite field of characteristic  $p$  and order  $q^n$ . We aim to prove that  $F$  is a linear transformation over the field  $\mathbb{F}_q$ .

- **Additivity:** For any  $a, b \in \mathbb{F}_{q^n}$ ,

$$F(a + b) = (a + b)^q.$$

Using the Binomial Theorem,

$$(a + b)^q = \sum_{k=0}^q \binom{q}{k} a^k b^{q-k}.$$

Since  $q = p^m$  for some  $m \geq 1$  and the characteristic of the field is  $p$ , all binomial coefficients  $\binom{q}{k}$  are divisible by  $p$  for  $1 \leq k \leq q - 1$ . Thus,

$$F(a + b) = a^q + b^q = F(a) + F(b).$$

# Proof: Frobenius Mapping is a Linear Transformation

- **Homogeneity:** For any  $c \in \mathbb{F}_q$  and  $a \in \mathbb{F}_{q^n}$ ,

$$F(ca) = (ca)^q = c^q a^q.$$

Since  $c \in \mathbb{F}_q$ , we have  $c^q = c$  (Fermat's Little Theorem). Hence,

$$F(ca) = cF(a).$$

**Conclusion:**  $F$  satisfies additivity and homogeneity, proving that  $F$  is a linear transformation over  $\mathbb{F}_q$ .

# Proof: $F^i(P_j(F^{-i}(r))) = P_j(r)$

- Let  $P_j(x)$  be a polynomial defined as:

$$P_j(x) = c_0 + c_1x + c_2x^2 + \cdots + c_nx^n, \quad c_i \in \mathbb{F}_p.$$

- Start by evaluating  $P_j(F^{-i}(r))$ :

$$P_j(F^{-i}(r)) = c_0 + c_1(F^{-i}(r)) + c_2(F^{-i}(r))^2 + \cdots + c_n(F^{-i}(r))^n.$$

- Apply the Frobenius map  $F^i$  to  $P_j(F^{-i}(r))$ :

$$F^i(P_j(F^{-i}(r))) = F^i\left(c_0 + c_1F^{-i}(r) + c_2(F^{-i}(r))^2 + \cdots + c_n(F^{-i}(r))^n\right).$$

- Using the linearity of the Frobenius map  $F^i(x + y) = F^i(x) + F^i(y)$  and its action on powers  $F^i(x^k) = (F^i(x))^k$ :

$$F^i(P_j(F^{-i}(r))) = F^i(c_0) + F^i(c_1)F^i(F^{-i}(r)) + F^i(c_2)(F^i(F^{-i}(r)))^2 + \cdots + F^i(c_n)(F^i(F^{-i}(r)))^n$$

## Proof: $F^i(P_j(F^{-i}(r))) = P_j(r)$

- Since  $F^i(F^{-i}(x)) = x$ , this simplifies to:

$$F^i(P_j(F^{-i}(r))) = F^i(c_0) + F^i(c_1)r + F^i(c_2)r^2 + \cdots + F^i(c_n)r^n.$$

- Now, note that  $c_i \in \mathbb{F}_p$ , and for any  $c_i \in \mathbb{F}_p$ ,  $F^i(c_i) = c_i$ . Therefore:

$$F^i(P_j(F^{-i}(r))) = c_0 + c_1r + c_2r^2 + \cdots + c_nr^n.$$

- This is exactly  $P_j(r)$ .

**Conclusion:** We have shown that:

$$F^i(P_j(F^{-i}(r))) = P_j(r).$$

The End