



UNIVERSITY OF TRENTO - Italy

**International PhD Program in Biomolecular Sciences
XXVI Cycle**

**“Mass Spectrometry Imaging: Looking Fruits at
Molecular Level”**

Tutors

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Academic Year 2012-2013

Declaration

I (Yonghui Dong) confirm that this is my own work and the use of all material from other sources has been properly and fully acknowledged.

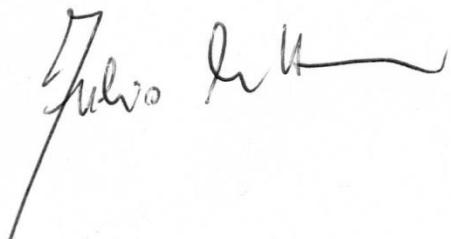
A handwritten signature in black ink, appearing to read "Yonghui Dong". The signature is fluid and cursive, with "Yonghui" on top and "Dong" below it, separated by a small gap.

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1

Abstract

2 Mass spectrometry imaging (MSI) is a MS-based technique. It provides a way of ascertaining
3 both spatial distribution and relative abundance of a large variety of analytes from various
4 biological sample surfaces. MSI is able to generate distribution maps of multiple analytes
5 simultaneously without any labeling and does not require *a prior* knowledge of the target
6 analytes, thus it has become an attractive molecular histology tool. MSI has been widely used in
7 medicine and pharmaceutical fields, while its application in plants is recent although information
8 regarding the spatial organization of metabolic processes in plants is of great value for
9 understanding biological questions such as plant development, plant environment interactions,
10 gene function and regulatory processes.

11 The application of MSI to these studies, however, is not straightforward due to the inherent
12 complexity of the technique. In this thesis, the issues of plant sample preparation, surface
13 properties heterogeneity, fast MSI analysis for spatially resolved population studies and data
14 analysis are addressed. More specifically, two MSI approaches, namely matrix assisted laser
15 desorption ionization (MALDI) imaging and desorption electrospray ionization (DESI) imaging,
16 have been evaluated and compared by mapping the localization of a range of secondary and
17 primary metabolites in apple and grapes, respectively. The work based on MALDI has been
18 focused on the optimization of sample preparation for apple tissues to preserve the true
19 quantitative localization of metabolites and on the development of specific data analysis tool to
20 enhance the chemical identification in untargeted MSI (chapter 3). MALDI imaging allows high-
21 spatial localization analysis of metabolites, but it is not suitable for applications where rapid and
22 high throughput analysis is required when the absolute quantitative information is not necessary
23 as in the case of screening a large number of lines in genomic or plant breeding programs. DESI
24 imaging, in contrast, is suitable for high throughput applications with the potential of obtaining
25 statistically robust results. However, DESI is still in its infancy and there are several fundamental
26 aspects which have to be investigated before using it as a reliable technique in extensive imaging
27 applications. With this in mind, we investigated how DESI imaging can be used to map the
28 distribution of the major organic acids in different grapevine tissue parts, aiming at statistically
29 comparing their distribution differences among various grapevine tissues and gaining insights
30 into their metabolic pathways in grapevine. Our study demonstrated that this class of molecules

31 can be successfully detected in grapevine stem sections, but the surface property differences
32 within the structurally heterogeneous grapevine tissues can strongly affect their semi-quantitative
33 detection in DESI, thereby masking their true distribution. Then we decided to investigate this
34 phenomenon in details, in a series of dedicated imaging studies, and the results have been
35 presented in chapter 4. At the same time, during DESI experiments we have observed the
36 production of the dianions of small dicarboxylates acids. We further studied the mechanism of
37 formation of such species in the ion source proposing the use of doubly charged anions as a
38 possible proxy to visualize the distributions of organic acid salts directly in plant tissues (chapter
39 5). The structural organization of the PhD thesis is as below:

40 **Chapter one** and **Chapter two** describe the general MSI principle, compare the most widely
41 used MSI ion sources, and discuss the current status in MSI data pre-processing and statistical
42 methods. Due to the importance of sample preparation in MSI, sample handling for plant
43 samples is independently reviewed in chapter two, with all the essential steps being fully
44 discussed. The first two chapters describe the comprehensive picture regarding to MSI in plants.

45 **Chapter three** presents high spatial and high mass resolution MALDI imaging of flavonols and
46 dihydrochalcones in apple. Besides its importance in plant research, our results demonstrate that
47 how data analysis as such Intensity Correlation Analysis could benefit untargeted MSI analysis.

48 **Chapter four** discusses how sample surface property differences in a structurally/biologically
49 heterogeneous sample affect the quantitative mapping of analytes in the DESI imaging of
50 organic acids in grapevine tissue sections.

51 **Chapter five** discusses the mechanism of formation of dicarboxylate dianions in DESI and ESI

52 **Chapter six** summarizes the work in the thesis and discusses the future perspectives.

53

54

55

Contributions

56 Those who made significant inputs to my research projects and writing of my PhD thesis are
57 listed below:

58 **Chapter 1:** Dong Y. wrote the draft; Pietro Franceschi revised the manuscript; Fulvio Mattivi
59 and Graziano Guella approved the final manuscript.

60 **Chapter 2:** Dong Y. wrote the draft; Pietro Franceschi revised and approved the final
61 manuscript.

62 **Chapter 3:** Dong Y. conducted part of the experiment, wrote the introduction and materials and
63 methods in the manuscript; Franceschi P. conceived and designed the experiment, conducted part
64 of the experiment, performed the data analysis, wrote the results and discussion in the manuscript,
65 and approved the final manuscript; Strupat K. conducted part of the experiment; Vrhovsek U and
66 Mattivi F approved the final manuscript.

67 **Chapter 4:** Dong Y. conceived and designed the experiment, conducted the experiment,
68 performed data analysis and wrote the draft; Guella G. and Mattivi F. designed the experiment
69 and approved the final manuscript; Franceschi P. conceived and designed the experiment, revised
70 and approved the final manuscript.

71 **Chapter 5:** Dong Y. conducted the experiment, performed data analysis and wrote the draft;
72 Guella G. designed the experiment, revised and approved the final manuscript; Mattivi F.
73 approved the final manuscript; Franceschi P. conceived and design the experiment, revised and
74 approved the final manuscript.

1

Acknowledgements

2 This work would not have been possible without the financial support from Fondazione Edmund
3 Mach and University of Trento.

4 First of all, I would like to express my greatest gratitude to my supervisor, Dr. Pietro Franceschi,
5 for all his competent guidance, incredible kindness and immense encouragement through all my
6 PhD study. He has been always available whenever I need his help (even on weekends).
7 Likewise, I would like to thank my tutor Dr. Fulvio Mattivi and my supervisor Prof. Graziano
8 Guella for the meaningful discussions, great ideas and guidance.

9 My visit in Dr. Aleš Svatoš's group in Max Planck Institute for Chemical Ecology was a
10 wonderful experience for me. I am thankful to Dr. Aleš Svatoš, Dr. Filip Kaftan, Sybille Lorenz,
11 Dr. Natalie Wielsch, Amol Fatangare, Mayuri Napagoda, Dr. Marco Kai, Dr. Jerrit Weißflog,
12 Yvonne Hupfer, Tan Wenhua and Jingjing Fang for their help and friendships. I have greatly
13 benefited from the interactions from all of them.

14 I am so lucky to be a part in biostatistics group, a friendly, collaborative and supportive research
15 group. I would like to thank my group leader Dr. Ron Wehrens for all his kind suggestions and
16 knowledge I have learned during group meetings. I would like to thank all my group members
17 Dr. Matthias Scholz, Dr. Marco Giordan, Nir Shahaf, Nikola Dordevi, Nay Min Min Thaw Saw
18 and Mridula Prasad for your suggestions, time and friendships.

19 I would like to acknowledge all my lab members for their valuable suggestions and technical
20 contributions. Dr. Fulvio Mattivi's Food Quality research group: Dr. Arapitsas Panagiotis, Dr.
21 Weingart Georg, Dr. Mary Ułaszewska, Dr. Kajetan Trost, Ghaste Manoj Shahaji, Narduzzi
22 Luca, Perenzoni Daniele and Angeli Andrea; Dr. Vrhovsek Urska's Metabolomics research
23 group: Masuero Domenico, Carlin Silvia, Gasperotti Mattia, Dr. Vinay Vishwanath, Dr. Della
24 Corte Anna and Lotti Cesare; Dr. Martens Stefan's Biotechnology of Natural Products research
25 group: Dr. Feller Antje Christin, Dr. Elisabete Carvalho and Lorena Herrera; Prof. Graziano
26 Guella's Bioorganic Chemistry research group: Dr. Andrea Anesi and Yu Yang; Dr. Claudio
27 Varotto's Ecogenomics research group: Dr. Li Minai, Wang bo, Qu Dong and Fu Yuan.

28 I sincerely thank my sister and brother-in-law for their support that ease the hardship of being
29 away from home for long. I would like to convey my deepest gratitude to my parents for their
30 encouragement and support throughout my life. For that, I dedicate my thesis to them.

1

Chapter 1

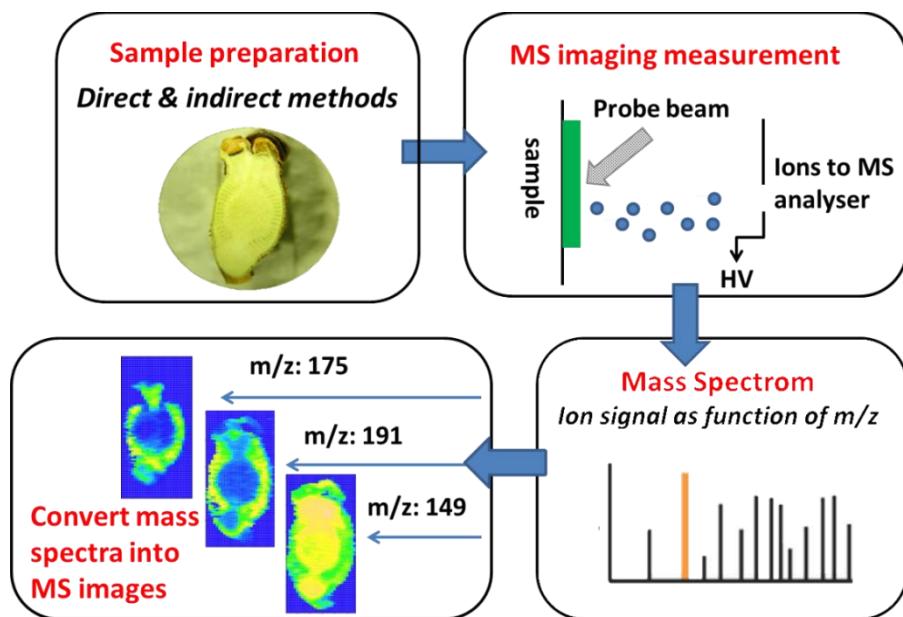
2 **Mass Spectrometry Imaging: Principle, Ion Sources and 3 Data Processing**

4 **Notes:**

5 Dong Y. wrote the draft; Pietro Franceschi revised the manuscript; Fulvio Mattivi and Graziano
6 Guella approved the final manuscript.

7 **1.1 Mass spectrometry imaging principle**

8 The basic principle of MSI is simple: the instrument collects a series of mass spectra by
9 ‘scanning’ an area of a tissue sample according to a predefined x-y coordinate. The distribution
10 images of the analytes over the sample surface are then generated by plotting the intensity of
11 their individual m/z peak in the mass spectra against the x-y coordinate [1]. The core of each
12 MSI experiments is the mass spectrometer, which consists of 3 major parts: ion source, mass
13 analyzer and detector. In ion source, analytes are desorbed and ionized. In the analyzer, they are
14 separated on the basis of their mass to charge ratios (m/z). The separated ions are then detected
15 in the detector. as a final output a mass spectrum is generated by displaying the intensity of the
16 detected ions over a full m/z scale [2] (Fig.1).



17

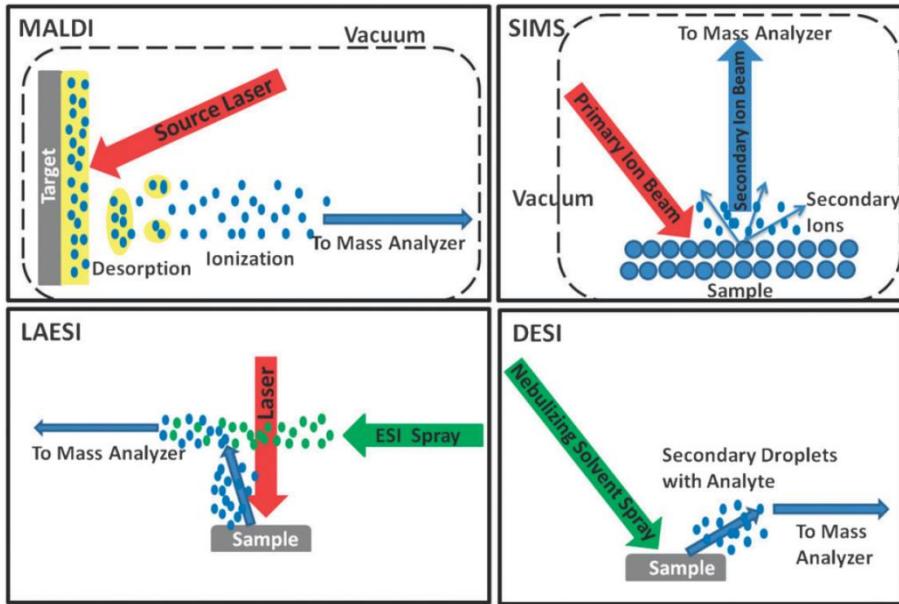
18 **Figure 1.** Scheme for mass spectrometry Imaging

19 **1.2. Ionization sources**

20 A large variety of ionization sources are available for MSI (Table 1), among which secondary
21 ion mass spectrometry (SIMS), matrix assisted laser desorption ionization (MALDI) and
22 desorption electrospray ionization (DESI) are the most popular [2-4]. In addition, laser ablation
23 electrospray ionization (LAESI) starts to gain popularity in MSI field. Each of them has their
24 own merits and a brief introduction of the four ionization sources is described below.

Table 1 Common MSI ion sources

Ion source	Matrix & Probe beam	Pressure regime	Spatial resolution	Reference
UV-MALDI	MALDI matrix; UV-laser	Vacuum or Ambient	50-100 µm	[5, 6]
• LDI*	None (only for UV-absorbing metabolites); UV-laser	Vacuum or Ambient	~10 µm by over-sampling	[7]
• GALDI*	Colloidal graphite; UV-laser	Vacuum or Ambient	50-100 µm	[8-10]
IR-MALDI	Native water of the sample; Infrared (IR)-laser	Vacuum or Ambient	~200 µm	[11-13]
DESI	None; High speed gas flow jet	Ambient	~200 µm (can be improved to ~35 µm by optimization of several operational parameters)	[14-16]
SIMS	None; Ion beam	Vacuum	0.1-1 µm	[17-19]
LAESI	Native water of the sample; Sample desorbed by mid infrared (mid-IR) laser	Ambient	100-300 µm (can be improved to single cell level by focusing the laser pulse)	[20-23]



28

29 **Figure 2.** . Simplified mechanistic diagrams for the four most commonly used ionization
 30 techniques in mass spectrometry Imaging. Image reproduced by permission from Chemical
 31 Communications (Royal Society of Chemistry) of Ref. [24]. It is worth noting that atmospheric
 32 pressure MALDI (AP-MALDI) also allows ionization to occur at ambient condition.

33 **1.2.1 Secondary Ion Mass Spectrometry (SIMS)**

34 In SIMS, a focused high energy primary ion beam (e.g. Ar^+ , Ga^+ , In^+) is used to strike the sample
 35 surface. The analyte molecules are released from the surface and ionized upon collision with the
 36 primary ions [4]. SIMS is advantageous in its high spatial resolution (~ 100 nm), enabling MS
 37 imaging at subcellular scale. Furthermore, the high energy used in SIMS (typically 5-40 KeV)
 38 can sputter individual atoms from the sample surface for elemental analysis [3]. On the other
 39 hand, because the elemental distributions cannot be used to address the most relevant biological
 40 questions, and the high energy usually causes extensive secondary ion fragmentation (limiting
 41 the practical mass range to $\sim \text{m/z}$ 1000), SIMS is not widely used for biological applications
 42 regardless of its long history [25]. Recently, several strategies aiming at extending the potential
 43 of SIMS and to increase the ionization efficiency of large intact biomolecule have been proposed.
 44 Among is worth mentioning primary ion beam modifications (i.e. using C_{60}^+) and sample surface
 45 treatment (i.e. coating the sample surface with common MALDI matrices and metallization of
 46 samples with silver and gold). Both methods modify the way that the energy of the primary ions

47 is dissipated in the surface so as to increase the survival yield and ionization efficiency of intact
48 biomolecular species [25].

49 **1.2.2 Matrix Assisted Laser Desorption Ionization (MALDI)**

50 MALDI can be used for the non-destructive vaporization and ionization of both small and large
51 molecules [26]. In MALDI analysis the deposition of a matrix (usually a UV absorbing weak
52 organic acid) on the sample surface serves several functions: 1). extraction of analytes from the
53 sample surface, 2). co-crystallization of analytes and matrix, and 3). ionization of analytes by
54 absorption of laser energy [27]. The spatial resolution of MALDI imaging is relatively low
55 compared with the one of SIMS (most MALDI imaging is done at 50-100 μm). Several factors
56 are responsible for that but the laser spot size, the matrix crystal size and matrix coverage are
57 worth to be mentioned [28-30]. Oversampling has been used to achieve higher spatial resolution
58 ($\sim 10 \mu\text{m}$), in these applications the laser beam is fired at a fixed position until no more ions are
59 detected, then the laser is moved by a distance smaller than its diameter to a second position; at
60 the second position, ions are only produced from the area which has not yet been exposed to
61 laser irradiation; therefore the effective area is reduced [31]. This higher spatial resolution,
62 however, is obtained at the cost of a slower analysis and of an extensive use of the laser source
63 which can reduce its lifetime.

64 Due to the high background noise resulting from common MALDI matrices, UV-MALDI is
65 limited in its ability of imaging the distribution of small metabolites ($m/z < 500$). To minimize the
66 high background noises in the low mass region matrix free MALDI laser desorption ionization
67 (LDI) approach has been proposed [7]. As an alternative for non UV-absorbing analytes, new
68 matrices such as colloidal graphite (Graphite assisted laser desorption and ionization, GALDI) [8,
69 9] and 1,8-bis(dimethylamino) naphthalene (DMAN) [32] have also been developed. Infrared
70 (IR) MALDI uses the water in the sample as matrix, therefore avoiding limitations associated to
71 the use of external matrices. The major drawback of this techniques is that the sample may dry
72 out during IR irritation, and additionally, different locations may give different sensitivities due
73 to inhomogeneous water content [9].

74 **1.2.3 Desorption Electrospray Ionization (DESI)**

75 DESI combines features of ESI with desorption ionization methods. It is mostly used to analyse a
76 large variety of polar compounds such as peptides and proteins, but it can also be used to ionize
77 molecules that are generally not ionized by electrospray, e.g. nonpolar compounds such as
78 cholesterol, carotene, and TNT [14, 33]. In DESI, sample surface is directed with continuous
79 spray of high-speed electrically charged aqueous mist, the initial wetting of the sample surface
80 allows rapid liquid-extraction of analyte molecules, the subsequent splash of the high-speed
81 droplets with the sample surface produces charged secondary micro-droplets with extracted
82 surface molecules. The charged micro-droplets containing the ions of analyte molecules are then
83 released from the surface and transports into an atmospheric inlet of the mass spectrometer [34].
84 DESI allows rapid ambient surface sampling without sample pretreatment, albeit its spatial
85 resolution is low (typically 200 μm). It has been shown that the spatial resolution can be
86 improved to $\sim 35 \mu\text{m}$ by optimizing several operational parameters, such as spray tip-to-surface
87 distance, solvent flow rate, and spacing of lane scans [15, 16]. Cooks group originally proposed
88 three DESI ionization mechanisms: droplet pick-up, chemical sputtering (based on charge
89 transfer from gas-phase ion to the analyte on surface) and neutral volatilization/desorption (based
90 on volatilization or desorption of neutral species from the surface into the gas phase) [14].
91 Droplet pickup is now considered as the most common mechanism [33, 35], and at a practical
92 point of view it sufficiently explains why DESI and normal ESI spectra are similar, often
93 identical [36]. Droplet pickup involves extraction of the analyte into the droplet by impacting of
94 electrosprayed droplets onto the surface, followed by dissolution of the analyte from the surface
95 into the droplets. The droplets are again released from the surface and subsequent evaporation of
96 the solvent and Coulomb fission generates ions by processes analogous to conventional ESI [14,
97 35].

98 **1.2.4 Laser Ablation Electrospray Ionization (LAESI)**

99 In LAESI, the sample is kept at atmospheric pressure and a focused mid-IR laser beam
100 (wavelength: 2.940 μm) is used to excite the OH vibrations of native water molecules in the
101 tissue sample. As rapid micro-scale ablation driven by phase explosion sets in, a microscopic
102 volume of the sample in the form of neutral particulates and/or molecules is ejected from the
103 sample (similar as IR-MALDI). The resulting plume is then crossed with charged droplets
104 produced by an electrospray, and a fraction of them is converted into gas-phase ions [21, 37, 38].

105 The intrinsic spatial resolution of LAESI is low (~ 300 μm), but recently, it has been shown that
106 by focusing the laser pulse through an etched optical fiber, the spatial resolution can be improved
107 to single cell level [20, 22]. A unique feature of LAESI imaging is depth profiling which, in
108 combination with lateral imaging, enables three-dimensional molecular imaging, with lateral and
109 depth resolutions of ~100 μm and ~40 μm, respectively [23]. As IR-MALDI, LAESI is only
110 suitable for biological samples with appreciable water content, sample can then dry out during IR
111 irritation and sensitivities may also get affected by inhomogeneous water content in the sample
112 [9]. Despite being in its infancy, this technique is expected to give interesting results in water
113 rich tissues like the ones commonly found in plants.

114 **1.3 MSI data processing**

115 A large number of powerful, integrated software suites are commercially and freely available for
116 MS image construction and/or statistical analysis (Table 2). The MSI data analysis includes 2
117 steps: preprocessing and statistical analysis.

118 **Table 2** Commercial and open source MSI software

Software	Company/Authors	Web
ImageQuest	Thermo Scientific	http://www.thermoscientific.com/en/home.html
FlexImaging	Brucker	http://www.bruker.com
HDI	Waters	http://www.waters.com/waters/home.htm?locale=en_US
SCiLS Lab	SciLS	http://scils.de/software
MALDIVision	Premier Biosoft	http://www.premierbiosoft.com
TissueView	AB SCIEX	http://www.absciex.com
Quantinetix	Imabiotech	http://www.imabiotech.com
Biomap	M. Rausch & M. Stoeckli	http://www.maldi-msi.org
Datacube Explorer	AMOLF	www.imzml.org

	NC State University, W.M.	
MSiReader	Keck FT-ICR Mass Spectrometry lab	http://www4.ncsu.edu/~dcmuddim/msireader.html
OpenMSI*	Lawrence Berkeley National Lab	https://openmsi.nersc.gov/openmsi/client/index.html

119 **1.3.1 Pre-processing**

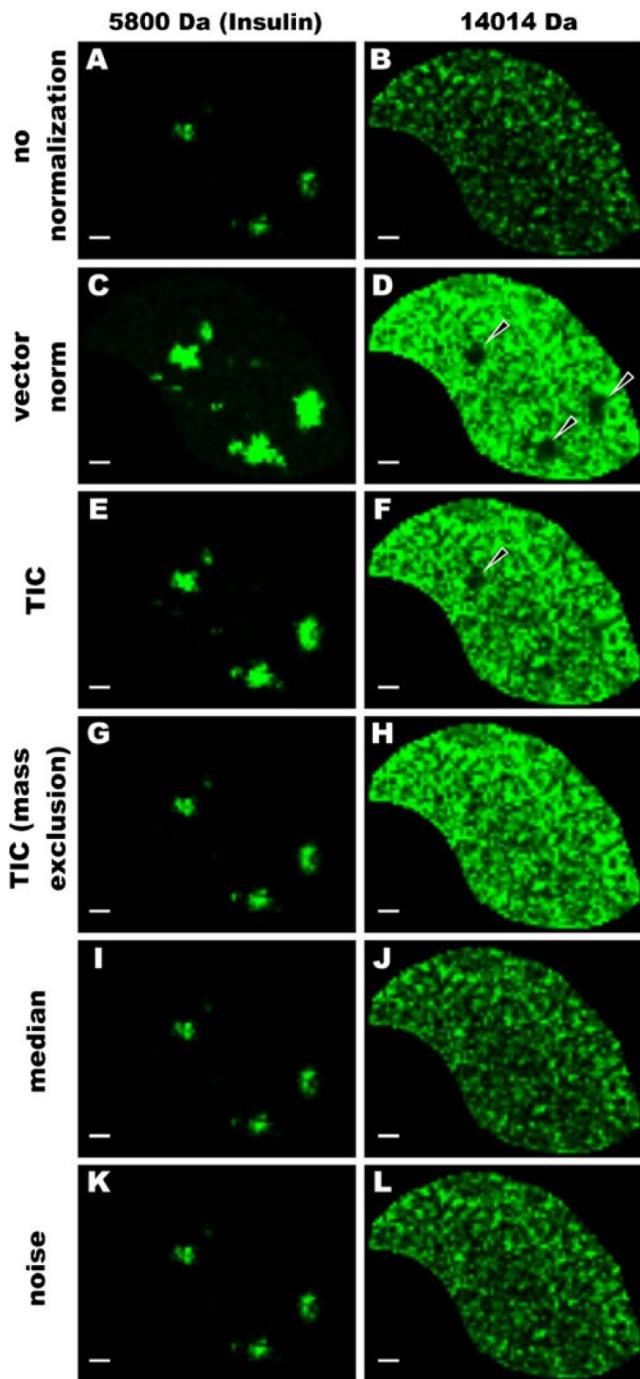
120 The purpose of pre-processing of MSI data is to reduce experimental variance and transform the
 121 big amount of raw spectral data into a much cleaner, smaller, and statistically manageable set of
 122 peaks. The pre-processing of MSI dataset includes baseline correction, peak picking,
 123 normalization, and spectra alignment. It is worth noting that data preprocessing is instrument
 124 specific, different instrument may require various data pre-processing methods.

125 The baseline is a mass-to-charge dependent offset of mass intensities easily visible in ToF
 126 spectra, commonly such that it is highest at low m/z values, and shows an exponential decay
 127 towards higher masses [39]. Many algorithms have been developed for baseline correction. The
 128 simplest one is to identify the lowest point in the spectrum and set it to 0, and meanwhile the
 129 base peak is still kept as 100% intensity. As a consequence, the spectrum is stretched along the
 130 y-axis. More advanced methods take into account that baseline varies across the spectrum and try
 131 to locally fit some functions (e.g. polynomial and spline) to find regions of signal that consist
 132 only of the baseline without peaks of real signal [40-42].

133 The objective of peak picking is to locate peaks within a spectrum. Its purpose is to reduce the
 134 number of m/z values by removing those peaks corresponding to noise or non-specific baseline
 135 signals [43]. Various approaches have been used in the mass spectrometry community to identify
 136 the peaks, some of them look for signals above a certain noise level (signal to noise ratio SNR),
 137 others use more advanced mathematical tools like continuous wavelet transform (CWT), or
 138 template based peak detection [44]. Peak picking in MSI can be challenging due to the large
 139 amount of spectra (i.e. high spatial resolution MALDI imaging). Computationally inefficient
 140 methods such as continuous wavelet transformation or ridge lines are therefore less common in
 141 MSI. Peak picking methods should be robust to strong noise, those which create too many false

positives such as simple local maxima or signal to noise ratio are less favored [45]. To improve speed, peak picking applied to the dataset mean spectrum has been proposed, in this way, the position of the possible peaks is identified on the base of only one spectrum; however this approach is not sensitive as it does not favor high and relevant peaks presented only in a small part of a sample. For example, if a peak is present only in 1% of spectra, then its contribution to the mean spectrum will be reduced by 100 times as compared to a low peak present in all spectra [43, 45]. To overcome this problem, peak picking is performed pixel-wise and a peak is retained if it is found in at least 1% of the spectra [45]. A possible appealing alternative to the pixel-wise approach is to look for m/z-images exhibiting a spatial structure, regardless of its intensity [46].

Normalization is a process employed to minimize intra-spectrum differences in peak intensities which might derive from sample variability, sample preparation, instrument variation and experimental error [47]. This is often performed by dividing the intensity of each mass spectrum by a normalization factor [43, 48]. The most common method used in MSI is total ion count (TIC) normalization, where all the mass spectra are divided by their TIC value. In certain cases, however, TIC normalization may create misleading results and lead to wrong conclusions. This is typical when signals with very high intensity are solely present in localized tissue areas, as the example present in Figure 3, where the dominant insulin signal causes artificial attenuation of m/z 14,104 signal when vector (Fig 3D) and TIC (Fig 3F) are used. Normalization to the median (Fig 3J) or the noise level (Fig 3L) are suggested as more robust methods (although TIC normalization with the manual exclusion achieves best results (Fig 3H), this approach requires manual intervention) [49]. The use spraying of a section with an internal standard is a very robust strategy, which is commonly applied in targeted MSI by using isotopically labeled standards. In such case, the molecule-specific ion suppression and global effects are also affecting the internal standard which can then be used to extract (semi-)quantitative information [48].



167

168 **Figure 3.** MALDI images of insulin (m/z 5,800) and a ubiquitous signal at m/z 14,014 in the
 169 mouse pancreas visualized using several normalization algorithms. The dominant insulin signal
 170 causes artificial attenuation of m/z 14,104 signal when vector and TIC normalization methods
 171 are used (as indicated by arrows). Image reproduced by permission from Analytical and
 172 Bioanalytical Chemistry (Springer) of Ref. [49].

173 Spectra alignment aims at correcting for possible mass shifts occurring from spectrum to
174 spectrum. In practice this is done by finding, for each spectrum, a warping function in order to
175 match or bin peaks with similarly mass into categories to ensure that we are comparing the
176 intensity of the same ions across multiple spectra [42, 50]. In many cases, the warping function is
177 not simple because mass shift varies with m/z in a nonlinear fashion as a result of experimental
178 and instrumental complexity and data variation [42], so it cannot be found by using a single
179 “lock mass” value. This limitation can be overcome by using several internal standards covering
180 the whole span of the entire mass range. Another similar but internal standards free method is to
181 identify a subset of common peaks present in most of the datasets using the criteria that a peak
182 must be found in more than 90% of the spectra, and then use these peaks as basis for spectra
183 alignment). Typically, 10-20 peaks are selected and it is important to ensure that these peaks
184 span the entire mass range [47, 50]. As before, a simple and fast method for peak alignment is to
185 use the dataset mean spectrum [51].

186 **1.3.2 Statistical analysis**

187 Statistical analysis can be divided into unsupervised and supervised methods. Unsupervised
188 methods do not rely on a prior knowledge. They can be applied for preliminary data examination,
189 and aim at revealing general data structure [43], while supervised methods are used for
190 biomarker discovery. They require specifying at least 2 groups of spectra, and aims at identifying
191 discriminative m/z values [43, 47].

192 *1.3.2.1 Unsupervised methods*

193 In unsupervised methods, MSI dataset is decomposed into a series of components, score images
194 and loadings plots are then created for each component. In the majority of cases, each pixel of
195 the image is projected in the space of the mass spectra and the organization of the point is
196 analyzed by multivariate methods [52]. Various unsupervised approaches have been used for
197 MSI data representation such as Principle Component Analysis (PCA) [53, 54], Independent
198 Component Analysis (ICA) [55], Probabilistic Latent Semantic Analysis (PLSA) [56] and K-
199 Means Clustering [57, 58]. A comprehensive comparison of the different methods can be found
200 in [52, 56].

- 201 (1) Principal Component Analysis: Performs linear orthogonal transformation of the data to
202 maximize variance, resulting in a set of orthogonal principal components that describe the largest
203 variance in the dataset (PC1), the next largest variance (PC2), and so on [52].
- 204 (2) Independent Component Analysis: separates a multivariate signal into additive sub-
205 components by assuming that the characteristic component spectra are statistically independent
206 with a non-Gaussian distribution [56].
- 207 (3) Probabilistic Latent Semantic Analysis: Each single tissue type is characterized by a distinct
208 distribution and each acquired spectrum is regarded as a specific mixture of these structures. It
209 provides physical interpretability and allows identification of the discriminating peaks for a
210 specific tissue type within a spectrum [56].
- 211 (4) K-Means Clustering: Assigns each pixel to a predefined number of classes using the squared
212 Euclidean distance between spectra [52].

213 *1.3.2.2 Supervised methods*

214 Supervised method in MSI is mainly used to identify profiles or specific bio-molecular ions to
215 discriminate samples from different groups, e.g. a tumor from benign tissue. If information is
216 known about patient outcome or response-to-treatment, the supervised analysis of the tumor
217 specific profiles is then used to search for candidate bio-markers. These candidates are then
218 tested in a larger sample set to test their ability to distinguish samples from different groups. This
219 step usually yields only several candidate bio-markers, which can be then finally validated by
220 using well established assays [48, 59]. To find candidate biomarkers, the pixels belonging to
221 different areas of the tissues (e.g. tumor/benign) are compared, another time in the multivariate
222 space of the m/zs, to find which variables are more important to distinguish one area from the
223 other. Currently the widely used supervised classification methods include Partial Least Squares
224 Discriminant Analysis (PLS-DA) [60, 61], Support Vector Machine (SVM) [62, 63] and
225 Artificial Neural Network (ANN) [64, 65]. The foremost advantage of supervised methods is that
226 the output manipulated by the algorithm is meaningful and can be easily used for discriminative
227 pattern classification, but there are several disadvantages, such as 1) over-training of some

228 patterns while other patterns are left untrained or under-trained and 2) training data can be time-
229 consuming and costly.

230 (1) Partial Least Squares Discriminant Analysis: Calculates scores and loadings like PCA from
231 the training spectra groups and a discrimination line is created to represent the area of maximum
232 separation between training spectra. The new spectra are then projected onto the training spectra
233 for discrimination [60].

234 (2) Support Vector Machine: finds a hyper-plane that separates one or more classes. A peak
235 ranking is derived from the hyper-plane. The best number of peaks is determined by a clustering
236 in the subspace taken from the k best peaks, and the (best) solution is stored as the final model.
237 The generated models are then used to classify each spectrum of the sample [66].

238 (3) Artificial Neural Network (back-propagation): feeds the information forward through the
239 ANN layers, compares with outcome result with the known value, and then propagate the error
240 backwards through the network. During the sequence of forward and backward cycles, the error
241 is minimized by adjusting the weights that are applied to the interconnection between the input
242 and hidden nodes, and hidden and output nodes. The training is terminated when the error
243 reaches an acceptable threshold, The trained model is then used to classify each spectrum [67].

244 **1.4 Conclusion**

245 Most current data processing methods (both pre-processing and statistical analysis) follow
246 algorithms designed for metabolomics. Those methods, unfortunately, cannot always be directly
247 applied for MSI. Additionally, considering the large dataset produced in MSI, more time and
248 memory efficient methods are required. These methods should also be instrument (both ion
249 source and mass analyzer) specific. For example, base line correction could be easily done by
250 smoothing for MSI data generated by high resolution Orbitrap, while it is not the case at all for
251 those produced by TOF-MS. Although several ion sources have been widely used in MSI
252 community, the data analysis is still focused on MALDI imaging, thus ion source-specific data
253 processing methods are expected in the near future.

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1

Chapter 2

2

Mass Spectrometry Imaging in Plants: Sample 3 Preparation and Application

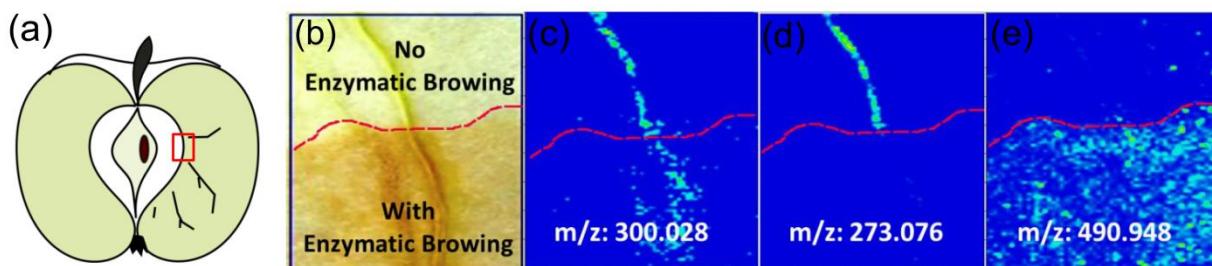
4 Yonghui Dong, Pietro Franceschi

5 **Notes:**

6 This Chapter has been prepared for submission. Dong Y. wrote the draft; Pietro Franceschi
7 revised and approved the final manuscript.

8 **2.1 Introduction**

9 Sample handling is one of the most crucial steps in MSI. Appropriate sample preparation method
10 ensures high-quality signals, sufficient spatial resolution, and maintains the origin distribution
11 and abundance of the molecules in biological samples [1-3]. Although sample preparation for
12 proteins and peptides has been somewhat standardized [4, 5], it is still a major challenge for
13 metabolites, largely because metabolites can be easily metabolically modified, diffuse from the
14 sample, or be removed during sample preparation [5]. Figure 1 presents an example showing
15 how small variations in sample handling (use ascorbic acid to prevent oxidation) can greatly
16 influence the quantitative mapping of metabolites in MSI by comparing three ion images at two
17 different areas in the same apple section. Enzymatic oxidation is effectively prevented in the area
18 where ascorbic acid solution is sprayed, and quercetin and phloretin are solely detected in the
19 sepal bundle. While in the ascorbic acid-free area, quercetin is found to diffuse out of the sepal
20 bundle and phloretin is completely undetectable. Alongside, a marker of m/z 490.948 is
21 identified in the enzymatic browning area. Everyone agrees on the fact that sample preparation is
22 important to ensure true and accurate mapping of molecules with a reproducible manner, but it is
23 common to think that sample preparation is appropriate if there are not visible alternations in
24 tissue. This is in general true, but cannot be generalized to all cases. Sample preparation method
25 is MSI instrument, sample tissue and target analyte molecules dependent, and therefore should
26 be carefully optimized accordingly.



28 **Figure 1.** Effects of sample preparation on quantitative mapping of flavonols in apple by using
29 MALDI imaging. Apple section was manual sliced using a razor blade. After mounted on a glass
30 slide, the upper part of the section was immediately sprayed with 10 mM/L ascorbic acid to
31 prevent the enzymatic oxidation, while the lower part remained untreated. After vacuum
32 dehydration (~4h), the section was uniformly sprayed coated with 7 g/L CHCA using an

33 ImagePrep station and analyzed by MALDI Orbitrap at negative mode. (a) An apple sketch
34 illustrating the origin of apple tissue sample. (b) The optical image of apple section prior to
35 matrix coating, showing difference between ascorbic acid treated (upper) and untreated (lower)
36 parts following vacuum dehydration. (c) Delocalization: quercetin (m/z 300.028) detected in
37 sepal bundle in both upper and lower apple section, but it was diffused from the sepal bundle in
38 lower part. (d) False negative: phloretin (m/z 273.076) only present in the sepal bundle in upper
39 part. (e) False positive: marker (m/z 490.948) only present in the lower part as a result of
40 enzymatic browning.

41 A comprehensive overview of different aspects of MSI sample preparation for mammalian
42 tissues has been recently published [6]. MSI sample preparation for plants, however, is more
43 challenging as plant surfaces are hydrophobic, making ionization more difficult. In addition,
44 plant surface are often covered with cuticles, direct MSI of molecules under the cuticle layer
45 become difficult by using soft ionization methods like MALDI and DESI; therefore it is
46 necessary to efficiently remove the cuticle barriers while preserving the analytes original
47 localization. Furthermore, application of MSI in plants is recent, and there is a necessity to adapt
48 the current sample preparation protocols for plant tissues [1]. This review will thereby focus on
49 MSI sample preparation methods for plants. In particular, the optimal sample preparation
50 strategy for different classes of metabolites in plants will be discussed on the bases of the most
51 recent publications.

52 **2.2 Sample Preparation**

53 The sample preparation protocol consists of several steps: tissue storage, sectioning, mounting
54 and ionization aiding treatment [6, 7]. The steps vary in methods and sequences depending on
55 MSI instrument, nature of sample tissues and analytes to be imaged. Therefore each step need to
56 be carefully designed and optimized accordingly.

57 **2.2.1 sample storage**

58 Most MSI in plants are done on freshly prepared samples, MSI on long-term-stored plant
59 samples are seldom reported. When necessary, plant samples can be stored as section slides. In
60 our lab, apple sections are vacuum dried (~50 Torr, 4 h), mounted onto the glass slide, and

placed into a 50 ml centrafigation tube with several small holes (~ 2mm) drilled on its cap (i.e. corning® 50 mL PP centrifuge tubes, Sigma Aldrich). The tubes are then vacuum sealed in a vacuum bag, and stored at -80 °C. Vacuum sealing prevents the sample from contacting with air and water, while placing the section slide into the tube avoids the deformation of section during vacuum sealing, and avoids direct contact with the bag during storage. When ready for MSI, the sections can be recovered for 2 h under vacuum (~50 Torr). Our MALDI imaging of flavonoids suggests that there are no significant quantitative detection differences between long-term-stored (9 months) and fresh-prepared apple sections for the target analytes. A possible alternative is to store plant samples as imprints, although the effect of storage on the quantitative detection in MSI still needs to be evaluated (details about Impring are discusses in the following section). When samples are small, they can also be stored as intact tissues, but freezing and thawing are a critical process that has an effect on the tissue architecture.

2.2.2 Sectioning

Plant cells have rigid cell walls and large intercellular spaces, embedding materials are often used to maintain the tissue morphology and to ensure precise sample sectioning in conventional histology practice. Unfortunately, many of these commonly used embedding mediums are incompatible with MSI, for example, optimum temperature cutting (OTC) compounds can diffuse easily into sample tissues and act as significant ion suppressors (as they have a high ionization efficiency) in MALDI-MSI [8]. Carboxymethyl cellulose (CMC) [9], gelatin [10], ice [11] or their combinations [12] have been successfully employed for embedding mammalian tissues. While for plant tissues in the specific case of rice seed, Zaima and coworkers found that the rice section quality is rather poor when using 2% CMC alone as embedding medium; with the assistance of adhesive film, CMC embedding offers good performance for both sectioning and MALDI imaging of rice metabolites [13, 14] because the presence of adhesive film largely reduces section distortion and dislocation, facilitating the transfer and attachment of sections to slides [15].

Cryosectioning is the most commonly used method to prepare plant sample sections. Frozen samples are prepared by using freezer (mostly -80 °C), powdered dry ice, liquid nitrogen or liquid nitrogen-chilled isopentane [8]. Liquid nitrogen frozen usually makes plant sections brittle,

90 and can result in ice crystal formation, thus rapid plunging of the tissue into the liquid nitrogen is
91 not recommended. Floating tissues in aluminum foil in liquid nitrogen [16, 17] or freezing plant
92 tissues on dry ice-chilled steel plate is more favoured. Besides, plant sample sections can be
93 prepared using microtome sectioning [18] and hand-cutting [19, 20] at room temperature.

94 Sample thickness is another important parameter to consider as it can affect peak numbers and
95 peak intensity in some MSI instruments. For mammalian tissues, section thickness between 5-20
96 μm is recommended for analysis of low molecular weight molecules, and $< 5 \mu\text{m}$ thickness for
97 high molecular weight proteins ($m/z > 9000$) [21]. In contrast, relationship between tissue
98 thickness and spectrum quality is seldom studied in MSI of plant sections. As a general rule it is
99 difficult to cut tiny slices from the water rich tissues commonly found in plants, so tissue
100 thickness of most plant sections in current MSI studies is around 50 μm , which provides a good
101 compromise between optimum MSI performance and practicality, especially when a large
102 number of samples has to be prepared [1]. Thicker sections, however, usually cause poor
103 molecule ionization, which is probably due to electrical non-conductivity (especially in ToF-MS),
104 high impurities in thick tissues [21], tissue distortion and matrix absorption (in MALDI MSI) [1].
105 In particular, matrix absorption is often observed in spongy tissue sections, and this causes a
106 strong signal loss. This phenomenon has been observed in the pith region of a tobacco root
107 section when the tissue thickness is over 45 μm [1].

108 **2.2.3 Mounting**

109 The simplest mounting method is to place the tissue slices directly onto the target plate, however,
110 target plate must be thoroughly cleaned after each measurement [17]. A more common method
111 involves attaching the sample slices onto a glass slide. It is worth noting that indium tin
112 oxide coated (ITO) conductive glass slide is not a must, it is only needed when good electric
113 conductivity is essential, i.e. non-orthogonal TOF-MS. In contrast, since DESI involves landing
114 and releasing of charged particles in the surface, such conductive materials should not be used to
115 avoid neutralization on the surface [22].

116 Three approaches are commonly used to mount plant sections to the glass slide, the use of double
117 sided tapes, epoxy glue and thaw mounting. Using double sided tape is fast and easy, but it
118 should be careful not to contaminate the sample. Epoxy glue is suitable for delicate samples and

119 it does not produce extra mass signals. Thaw mounting is usually used to attach plant samples
120 sectioned which are acquired by cryosectioning. It minimizes the risk of sample contamination,
121 while relocation of water soluble analytes due to water condensation during thaw mounting of
122 frozen sections is a major concern [23]. Thaw mounting also limits the downstream sample
123 processing steps, as the tissue risks being washed off the sample plate by any vigorous solution-
124 based treatments (i.e. the washing steps in MSI of proteins for the purpose of removing salts) [4].
125 To avoid this problem, thaw mounted samples are usually freeze dried on the sample plate to
126 improve the mounting efficiency.

127 **2.2.4 Ionization aiding treatments**

128 Most widely used matrices in MALDI MSI are α -cyano-4-hydroxycinnamic acid (CHCA) and
129 2,5-dihydroxybenzoic acid (DHB). However, there is a valid concern that imaging of small
130 molecules, especially those with molecule weights in the matrix region, is affected by
131 interference with matrix [24]. For these reasons, alternative metrics are designed to limit these
132 artifacts, such as porphyrins [25], inorganic materials [23, 26, 27], porous silicon [28], and 1,8-
133 bis(dimethylamino) naphthalene (DMAN) [29].

134 Four matrix application methods are generally used:

- 135 • The first is spray based method, including manual spraying (i.e. with an airbrush) and
136 automatic spraying (i.e. using ImagePrep (Brucker) or M-Sprayer™ (HTXImaging)). Manual
137 spray requires high skills as inhomogeneous matrix application and analyte delocalization
138 can easily occur, while with automatic spraying sample surface could be homogeneously
139 covered with matrices easily.
- 140 • The second method is spotting based (matrix is delivered by microspotting to a specific
141 sample surface location), such as using CHIP-1000 chemical printer (Shimadzu) or
142 Portrait® 630 Spotter (Labcyte). The spotting method allows accurate matrix deposition
143 onto tissue sections, which thus ensures a good quantitative MS signal generation in MSI
144 [30].
- 145 • The third method is sublimation, where matrix can be homogeneously applied to sample
146 surface under reduced pressure and elevated temperature in a fast manner. This method
147 requires no solvent; therefore diffusion of the analyte molecules during matrix

148 application is eliminated. Other advantages include high reproducibility, increased matrix
149 purity and formation of fine matrix crystals [31].

- 150 • The matrix can be dry coated by filtering through a sieve (i.e. 20 µm) directly onto the tissue
151 sections without any solvent. This method is simple, fast and meanwhile it avoids analyte
152 delocalization [32],], even though it can reduce the extraction of the metabolites from the
153 tissues.

154 In DESI, matrix coating on sample surface is not necessary because analyte molecules are
155 ionized by a high-speed, charged liquid jet stream. In this case, however, the spray solvent
156 composition is the critical element. It should be optimized according to the metabolites under
157 investigation, but also taking into account specific characteristics of the samples like surface
158 wettability [33, 34]. In general, an higher fraction of water is used for long lasting signal, while
159 an higher proportion of methanol is used when higher spatial resolution is required [35] .

160 **2.2.5 Other considerations for plant samples**

161 *2.2.5.1 Fresh sample versus dry sample*

162 Fresh plant tissues are ideal for MSI studies since they are chemically unmodified and treatment-
163 free, which largely keep the origin and integrity of molecules spatial arrangement and avoid the
164 chemical contamination during sample handling. One obvious problem is that the fresh plant
165 samples may shrink significantly during MSI analysis even when they are firmly attached on the
166 sample plate. This is particularly true when the experiments are performed under vacuum like in
167 the case of UV-MALDI or SIMS. The shrinkage would result in mismatch between MS image
168 and optical image [23], which makes biological interpretation difficult. Alongside, the shrinkage
169 during MSI analysis may bring in large mass shift under ToF-MS analyzer, and molecule
170 identification and reproducibility of MS images are thus limited. Another concern is that the
171 biological processes are still active inside the fresh samples and they may cause degradation
172 and/or chemical modification during MS imaging. In the case of (IR)-MALDI and LAESI,
173 native water in the plant samples are employed as matrix, so the sample tissues should be fresh
174 or at least not totally dried out [36-38].

175 Most plant tissue samples are usually vacuum or freeze dried prior to MSI. Vacuum desiccation
176 is generally applied at room temperature to thin plant organs without sectioning, such as plant
177 leaves and flowers [23, 39-41], or to plant samples sectioned with a microtome or a razor blade,
178 such as apple [20]. Vacuum pressure and drying time should be carefully optimized, according to
179 the nature of sample and analytes of interest. Sample surface regulation after drying is usually
180 necessary to reduce the distortion of plant tissues. It is likely that some volatiles would be
181 removed from plant sections upon vacuum desiccation, while the majority of molecules are
182 expected to be unaffected due to their low vapor pressure [20]. This has been confirmed by
183 comparing MS profiles of target metabolites between fresh and dried *Arabidopsis* samples before
184 MSI studies [23].

185 *2.2.5.2 Removal of plant cuticle*

186 Land plant body, such as leaves and flowers, is usually covered with cuticles (0.1-10 µm thick).
187 Soft ionization techniques such as MALDI and DESI are unable to penetrate through the plant
188 cuticle, causing signal intensity insufficient and instability during MSI of analyte molecules
189 below the cuticle layer. There are several ways to deal with the plant cuticle barrier. Direct
190 methods include physical and chemical treatments. Sample cuticles can be either physically
191 removed or chemically washed off. For example, after peeling off the epidermis, distribution of
192 hydroxynitrile glucosides was successfully mapped in barley leaves [40]; Kaempferol and
193 kaempferol rhamnoside were mostly detected in *Arabidopsis* leaf area where it was pre-treated
194 with chloroform for 60 s [39]. However those ‘stress methods’ may delocalize and/or wash away
195 the target compounds, and not all plant epidermis can be easily removed physically.

196 Alternatively, an indirect method is the use of blotting or imprinting. Plant tissues are pressed
197 onto porous Teflon [40, 42, 43], porous polytetrafluoroethylene (PTFE) [44] or print paper
198 surfaces [45] by applying a moderate pressure over the plant tissues, thereby transferring the
199 plant molecules onto flat hard surfaces while keeping their spatial distribution. A recent
200 publication demonstrates that the transfer efficiency could be improved with the assistance of
201 solvent extraction and/or heating during imprinting [46]. Imprinting is simple, fast and robust,
202 and MSI results are quite reproducible. However, this method is restricted to relatively ‘juicy’
203 plant tissues. Spatial resolution is also limited since analytes can be smeared during imprinting

204 [5]. The loss of compartmentalization of enzymes during imprinting may also trigger the reaction
205 of the enzymes with their metabolites, causing the degradation or inter-conversion of the
206 metabolites.

207 *2.2.5.3 Tissue sample surface effect*

208 The multicellular nature of higher plants presents many challenges for mapping the distribution
209 of analyte molecules [5]. It is well known that that sample surface properties affect the ionization
210 processes in MSI, while it is often ignored that the differences of sample surface properties in a
211 structurally/biologically heterogeneous sample can largely affect the quantitative detection of
212 analytes, causing the MS images misrepresenting their true distribution. This phenomenon has
213 been observed in MALDI [1], SIMS [47] and DESI (chapter 4). In MALDI imaging, spraying
214 the surface with large amounts of matrix can to some degree minimize the surface effect
215 differences [5], while it is more difficult for SIMS and DESI imaging. Complementary
216 quantification methods such as LC-MS or GC-MS, novel sample handling methods (see details
217 in chapter 4) are thus suggested to validate the MSI results.

218 **2.3 Application of MSI in plants**

219 MSI studies in plants are still focused on method development and technological applications
220 and this type of studies has been mainly performed by mapping distribution of readily accessible
221 metabolites [48], only recently has MSI started to be applied to the solution of biologically
222 relevant questions [5], including: 1) plant-environment interaction [49-51], 2) new compound
223 identification [52], and 3) functional genomics [41].

224 In the following section, we will summarize the recent studies of MSI in plants according to
225 different classes of primary and secondary metabolites. More specifically, the choice of
226 matrix/spray solvent is also a crucial factor contributing to MSI quality, i.e., spatial resolution,
227 peak resolution, sensitivity, intensity, noise. Thereby the use of different matrices/spray solvents
228 to specifically map various classes of molecules will be also discussed.

229 **Table 1 A summarize of current MSI of different classes of plant metabolites.**

Chemical class	MSI Source (Ion mode)	Matrix or Spray solvent	Sample	Analyte	Sample preparation	Ref.
Carbohydrates	UV-MALDI (+)	CHCA (in MeOH:H ₂ O, 1:1, + 0.1% FA)	Wheat stem (<i>Triticum aestivum</i>)	oligosaccharides	<ul style="list-style-type: none"> • cryosecting (-20°C, 50 µm) & hand sectioning • matrix applied with airbrush • doubly sided tape mounting 	[19]
	UV-MALDI (+)	DHB, CHCA, SA 20mg/ml (ACN:H ₂ O, 1:1, +0.1% TFA)	Poplar stem	Cellulose	<ul style="list-style-type: none"> • cryosecting (-8°C, 50 µm) • matrix applied with oscillating capillary nebulizer • doubly sided tape mounting 	[53]
	UV-MALDI (+)	DHB, 25mg/ml (in 0.05 mM aqueous sodium acetate)	Poplar stem	cellulose hemicellulose	<ul style="list-style-type: none"> • microtome sectioning (room temperature, 50 µm) • matrix applied with Meinhard nebulizer • cryoJane tape mounting 	[18]
	IR-MALDI (+)	Native water in the samples	Strawberry	fructose/glucose sucrose	<ul style="list-style-type: none"> • hand cutting (room temperature, 0.2-0.5 mm) • fresh sample 	[36]
Organic acids	DESI (+)	MeOH:H ₂ O,1:1 +1%FA	Datura stramonium	hexose sucrose	<ul style="list-style-type: none"> • imprinting on porous Teflon 	[42]
	GALDI (-)	Colloidal graphite (in 2-propanol)	Apple Strawberry	malic acid ascorbic acid citric acid quinic acid	<ul style="list-style-type: none"> • cryosecting (liquid nitrogen pre-treated) • colloidal graphite applied by air spray • doubly sided tape mounting 	[26]
	DESI (-)	ACN:H ₂ O,4:1	Grape leaf, stem	malic acid tartaric acid citric acid	<ul style="list-style-type: none"> • hand cutting (room temperature, 0.3mm) & imprinting • doubly sided tape mounting 	unpublished

				lysophosphatidylcholine,	[13]
MALDI (+/-)	DHB, 50mg/ml (in MeOH:H ₂ O, 7:3)	Black rice seed <i>(Oryza sativa L.)</i>	Phytic acid gamma-Oryzanol alpha-Tocopherol	phosphatidylcholine, Phytic acid gamma-Oryzanol alpha-Tocopherol	<ul style="list-style-type: none"> • cryosecting (-80°C frozen section & freeze imbedded section with 2% CMC at -80°C, 8 µm) • matrix applied with airbrush • doubly sided tape mounting
Lipids & Fatty acids	MALDI (+)	DHB	Cotton seed <i>(Gossypium hirsutum)</i>	phosphatidylcholines, triacylglycerols, phospholipids	<ul style="list-style-type: none"> • cryosecting (unfixed & paraformaldehyde fixed sections, - 20°C, 30 µm) • matrix applied via sublimation
LDI (+)	Colloidal silver	A. thaliana leaf & flower	epicuticular wax metabolites	<ul style="list-style-type: none"> • vacuum dried (~50 Torr, 30-60 min) • MicroFlow PFA-ST Nebulizer • doubly sided tape mounting 	[39]
MALDI (+)	Lithium-DHB, 20mg/ml (in acetone: dichloromethane, 9:1)	A. thaliana leaf	Date palm tree leaf (Phoenix sp.)	Wax esters	<ul style="list-style-type: none"> • desiccator dried samples • matrix applied with airbrush •
Proteins & Peptides	MALDI (?)	Sinapinic acid	soybean cotyledon	Proteins	<ul style="list-style-type: none"> • cryosectioning (10-15 µm)
	MALDI (?)	?	Barley grain	Proteins	?

	LDI (-)	Hypericum leaf, placenta, stamen and stylus	Naphthodianthrones	• cryosecting of placenta (60 µm)	[56]
Terpenoids	DESI (-)	MeOH:H ₂ O, 1:1, +1% ammonium	<i>Hypericum perforatum</i>	Hyperforin Hypericin	• imprinting on porous Teflon [42]
	DESI (-)	100 uM NH4Cl in MeOH		Bromophycolide A and B	• preserved with 10% formalin in seawater and kept moist with seawater [50]
	MALDI (+)	Saturated HCCA (in methanol)	Capsicum fruit	Capsaicin	• cryosectioning (- 20°C, 70 µm) • matrix applied with airbrush • thaw-mounted [57]
Alkaloids	DESI (-)	MeOH:H ₂ O, 9:1	<i>Myristica malabarica</i> seed	Malabaricone C	• imprinting on printer paper [45]
	MALDI (+)	DHB, 30mg/ml (in MeOH:H ₂ O, 1:1+1%TFA)	Fruiting bodies of <i>M. metata</i> * L.	6-Hydroxymetatacarboline D	• freeze-dried • matrix applied with ImagePrep • double sided tape mounting [52]
Phenolics	GALDI (-)	Colloidal graphite (in 2-propanol)	A. thaliana leaf , flower and stem	Favonoids	• vacuum dried (for leaf and flower, ~50 Torr, 30 min) & cryosectioning (for stem) [23, 41]
	MALDI (+)	DHB, 50 mg/mL (in MeOH:H ₂ O, 7:3)	Black rice seed (<i>Oryza sativa</i> L.)	Anthocyanins	• cryosectioning (freeze-embedded with 2% CMC at - 80°C, 10 µm) • matrix applied with airbrush • doubly sided tape mounting [14]

MALDI (+)	DHB, 50 mg/mL (in MeOH:H ₂ O, 7:3)	Rabbiteye blueberry (<i>Vaccinium</i> <i>ashei</i>)	Anthocyanins	<ul style="list-style-type: none"> • cryosectioning (50 µm) • matrix applied with airbrush • thaw-mounted and air dried 	[58]
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230 CHCA: α -Cyano-4-hydroxycinnamic acid; DHB: 2,5-dihydroxybenzoic acid; SA: sinapinic acid;
 231 ACN: acetonitrile; FA: formic acid; TFA: Trifluoroacetic acid

232 **M. metata* is not classified as plant

233 2.3.1 Primary metabolites

234 2.3.1.1 Carbohydrates

235 Distribution of carbohydrates has been mapped by UV-MALDI imaging in several plant systems
 236 [18, 19, 53], in which DHB and CHCA are the common matrices for most types of carbohydrates,
 237 and DHB is proved slightly better than CHCA in detecting the small oligosaccharides such as
 238 glucose and sucrose [26]. Colloidal graphite is proposed as an alternative matrix for imaging the
 239 small oligosaccharides as it largely reduced the matrix interference in small mass region ($m/z <$
 240 500). Besides, IR-MALDI is also used for imaging carbohydrates in different plants, such as
 241 strawberry [36] and lily flower [37]. Carbohydrates are mostly detected in MALDI imaging
 242 under positive mode.

243 Only one case on DESI imaging of carbohydrates in plants has reported [42], partially because of
 244 its low selectivity and sensitivity for carbohydrates. Yet 3-nitrophenylboronic acid and N-
 245 methyl-4-pyridineboronic have been suggested as effective reagents added in DESI spray solvent
 246 for *in-situ* derivatization of sugars (reactive-DESI), which therefore largely improves both
 247 selectivity and sensitivity of intact sugars in complicated biological matrices [59].

248 2.3.1.2 Lipids and Fatty Acids

249 Localization of various unsaturated lipids have been mapped in rice [13] and cotton seeds by
 250 MALDI MSI [54]. In these tissues, they are readily detected as multiple adducted ions (primarily
 251 H⁺, Na⁺ and K⁺) under positive ion mode by using DHB as matrix. MALDI imaging of saturated
 252 hydrocarbons (Hcs) is more challenging as they do not contain any polar groups neither
 253 susceptible to protonation nor to which a cation or an anion can be easily attached [60].

254 Monovalent cations of transition metals (e.g. Fe, Mn, Cu), when co-deposited on the MALDI
255 target with Hcs, can give cationized species which can be detected in a mass spectrometer. Due
256 to the high reactivity of transition metals, Hcs are highly fragmented during analysis, and
257 molecule identification is thus limited [60]. The reactivity of silver with Hcs is lower than that of
258 any other transition metals and it usually generates intact silver adduct ions. MALDI imaging of
259 epicuticular wax in *Arabidopsis* has been successfully reported by using silver colloid as matrix,
260 in which 14 cuticular wax compounds are identified in *Arabidopsis* wild-type (Ler) and CS8
261 mutant leaves [39]. The pitfall is that silver is present with similar abundance of the two stable
262 isotope. Each molecule produces a group of silver adduct ion peaks including two major ions
263 [monoisotopic mass of the metabolite + ^{107}Ag or $^{109}\text{Ag}^+$], making compound identification and
264 quantification difficult [39, 60]. Another report on MALDI imaging of saturated wax esters in
265 *Arabidopsis* and date palm leaves by using LiDHB suggests that LiDHB is the most versatile
266 matrix for detection of a majority of neutral lipids and it can potentially replace currently used
267 silver salts [55].

268 DESI imaging of lipids is the most frequent application of DESI MSI in mammalian tissues,
269 while DESI imaging of lipids in plants has not yet been reported. Since lipids are more readily
270 ionized by DESI, DESI will be a complimentary to MALDI for imaging lipids in plants where
271 high spatial resolution is not required. In mammalian tissues, mixtures of water and methanol
272 acetonitrile, with or without an acidic modifier are the most commonly used spray solvents DESI
273 imaging of lipids [61].

274 *2.3.1.3 Proteins and Peptides*

275 Only few MSI of proteins and peptides have been reported in plants ad this is probably due to
276 several concurring reasons.

- 277 • Difficulties in plant protein identification: protein identification relays heavily on the
278 complete protein databases but to date only few plant genomes are fully sequenced [3];
279 • Challenges in sample preparations: sample preparation for proteins is more sophisticated
280 than for other molecules. Several additional washing steps are required to remove the
281 contaminate salts and sugars, to ensure tissue dehydration and fixation, and to prevent

282 proteolysis [17]. The wash procedure varies in solvent composition, temperature and
283 duration among different tissues, which needs to be optimized accordingly.

- 284 • Detection limit: Proteins larger than 25KD are not routinely detectable by MALDI MSI,
285 as they are not efficiently stabilized in the matrix solution and are not extractable from
286 the tissue [62]. On-tissue digestion of large proteins enables their detection and
287 identification in MSI while the treatment with proteolytic enzymes will enhance analyte
288 diffusion and thus reduce the spatial resolution [3]. The application of MSI of proteins in
289 plants has, however, been illustrated by two examples. MALDI imaging of proteins in
290 developing barley grain [3] and in soybean cotyledon [2], where tissue-specific and
291 color-specific protein expression patterns have been revealed. A detailed protocol is also
292 attached in the latter example, in which sinapinic acid (SA) is suggested as matrix for
293 MALDI imaging of proteins (>3000 Da), and CHCA and DHB for Peptides (< 3000 Da).

294 **2.3.2 Secondary metabolites**

295 **2.3.2.1 The terpenoids**

296 Distribution of terpenoids in *Hypericum perforatum* have been studied by LDI imaging on fresh
297 tissues [56] and DESI imaging on tissue imprints [42], respectively. Results of those two studies
298 from different MSI ion sources are in complete agreement that hyperforin and adhyperforin are
299 found in translucent glands, and hypericin, pseudohypericin, protopseudohypericin, and
300 protohypericin are exclusively located in dark glands in leaves. In particular, since they are
301 highly UV absorbing compounds, application of a matrix is not necessary thus LDI removes the
302 barriers of matrix for spatial resolution, and a 10 um resolution is achieved under the 10 x 10 um
303 laser focus setting. Understanding the true biological function of a natural product requires direct
304 fine-scale evaluation in the producing organism's tissues [63]. MSI provides a definitive
305 measurement of the physical location of natural products with semi-quantitative information,
306 which would facilitate our understanding in chemically-mediated biological processes. One
307 example is the DESI imaging of a tropical red alga tissue surface, where bromophycolide A and
308 B are found exclusively distributed in association with distinct surface patches at concentrations
309 sufficient to inhibit the detrimental *Lindra thalassiae* fungus [50]. Notice that all those terpenoids
310 in the above examples are imaged under negative ion mode.

311 2.3.2.2 *The Alkaloids*

312 Alkaloids are often highly present in particular medicinal plant organs, namely ‘medicinal part’
313 [64]. Knowing their localization is valuable not only to understand their metabolic origins, but
314 also for the optimization of isolation process since the extraction can be focused on compound
315 containing parts. Rapid profiling of alkaloids have been studied in several plants by DESI and
316 MALDI, such as DESI profiling of alkaloids in *Conium maculatum*, *Datura stramonium* and
317 *Atropa belladonna* [65], and MALDI profiling of alkaloids in several medicinal herbs, including
318 *Radix Aconiti Lateralis Preparata*, *Rhizoma Coptidis* and *Strychnos nux-vomica L.* [66]. This
319 type of studies provides potential protocols for the application of MSI of alkaloids in plants.
320 Distribution of alkaloids has been mapped for several purposes. For example, MALDI imaging
321 of capsaicin in capsicum fruits [57] and DESI imaging of malabaricone C. in *Myristica*
322 *malabarica* seed [45] to study their metabolic origin; MALDI imaging of fruiting bodies of a
323 mushroom to screen for new metabolites [52]. All the above studies are performed under positive
324 mode in DESI and MALDI, and alkaloids are detected mainly as $[M+H]^+$, and some salt adducts,
325 such as $[M+K]^+$.

326 2.3.2.3 *The Phenolics*

327 Distribution of phenolics have been mapped in several plants including strawberry [26], apple
328 [20, 26], *Arabidopsis* [23, 56], rice [14] and blueberry [58] by using MALDI, GALDI and LDI
329 imaging. Flavonols are mainly detected as $[M-H]^-$ at negative mode, while anthocyanins are
330 primarily identified under positive mode as $[M]^+$. Both CHCA and DHB are common matrices in
331 MALDI imaging of phenolics in plants. DESI imaging is recently applied to localize flavonols in
332 gingo leaves under negative mode, and anthocyanins in strawberry under positive mode [46].
333 MSI also can be utilized to understand the gene expression pattern. One good example is the LDI
334 imaging of flavonoids on the wild-type and mutant (tt7) *Arabidopsis* flowers. *Arabidopsis* TT7
335 mutant effectively blocks the production of quercetin and isorhamnetin and their glycoside
336 derivatives, but leads to the accumulation of kaempferol and its glycoside glycosides. By
337 comparing distribution of various flavonoids on the flower of the wild-type and mutant (tt7)
338 *Arabidopsis*, results strongly suggests that the expression of the TT7 gene is localized on the

339 proximal part of the petal while the other genes in the upper stream pathway are evenly
340 expressed throughout the petal [41].

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505

Chapter 3

Combining intensity correlation analysis and MALDI imaging to study the distribution of flavonols and dihydrochalcones in Golden Delicious apples

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13 Notes:

14 This chapter is adapted from the manuscript entitled ‘Combining intensity correlation analysis
15 and MALDI imaging to study the distribution of flavonols and dihydrochalcones in Golden
16 Delicious apples’, which has been published in Journal of Experimental botany. Dong Y.
17 conducted part of the experiment, wrote the introduction and materials and methods in the
18 manuscript; Franceschi P. conceived and designed the experiment, conducted part of the
19 experiment, performed the data analysis, wrote the results and discussion in the manuscript,
20 and approved the final manuscript; Strupat K. conducted part of the experiment; Vrhovsek U
21 and Mattivi F approved the final manuscript.

22 **3.1 Introduction**

23 Assessment of the spatial and temporal distribution of metabolites in tissues is important for
24 rationalizing many biological processes occurring in plants. Qualitative or semi-quantitative
25 imaging techniques are thus ideal for complementing the expanding field of metabolomics,
26 when studying the tissue specific distribution of metabolic biomarkers.

27 Of the various imaging techniques, mass spectrometry (MS) based technologies would seem
28 to be particularly promising, due to their sensitivity, broad response and speed. Matrix
29 Assisted Laser Desorption Ionization Imaging (MALDI-MSI) [1] in particular has become
30 one of the most important molecular histology methods for understanding the spatial
31 complexity of biological samples, and has been demonstrated to be applicable to different
32 kinds of animal and plant tissues [2].

33 As far as the imaging of small molecules is concerned, the performance of MALDI is
34 hindered by the presence of ions resulting from matrix ionization at the lower end of the mass
35 spectrum [3]. There are different approaches to dealing with the matrix background produced
36 by MALDI or related techniques, mainly relying on specific laser absorbing media (such as
37 graphite or colloidal silver) [4-7] or on matrix-free approaches [8]. However, due to the
38 robustness and widespread use of conventional MALDI protocols, there is definitive interest
39 in developing tools to use them in metabolic imaging applications.

40 In these MS based technologies the unique chemical identification of the ions is a major
41 challenge, in absence of separative techniques applied to neutral molecules (e.g. Liquid
42 Chromatography). The use of high resolution spectrometers is of great help to reduce the set
43 of possible molecular formulas [2], but more detailed structural information has to be obtained
44 from fragmentation experiments [5, 6].

45 In fragmentation experiments, however, primary ions have to be selected according to their
46 mass-to-charge ratio, so acquisition time, isolation width and progressive matrix depletion
47 represent a limit to the information yield of MS/MS which can be performed on a specific
48 tissue section. Of these factors, analysis time is particularly relevant, considering that a
49 MALDI imaging run composed of a full scan spectrum and a few fragmentation experiments
50 can easily last 20 to 30 hours.

51 In conclusion, although full scan MALDI would be suitable for untargeted profiling, in
52 practice it is often necessary to make an a priori choice of the molecules of interest, thus
53 reducing the profiling potential of the technique.

54 Due to the dynamics of the MALDI process, however, in-source fragmentation is ubiquitous
55 and fragmentation information is already embedded in a full scan spectrum. The development
56 of tools optimized for its extraction would then represent a key step for the full exploitation of
57 MALDI profiling. To take advantage of such information it is necessary to develop and
58 validate a framework to compare the “localization” of primary and fragment ions. A visual
59 approach, indeed, is not optimal because its results are likely to depend very much on the
60 experimenter and manual inspection cannot be applied over an extensive database of
61 biomarkers as should be required in untargeted experiments. All these considerations, push
62 towards the development of bioinformatic tools to treat MALDI-MSI datasets, but the topic is
63 particularly challenging, considering the size and the complexity of the data files. To date,
64 bioinformatic algorithms have been developed to perform reduction and image segmentation
65 for biomarker discovery [9] and to measure the correlation of different ion images [10].

66 In this chapter we propose an approach for applying conventional untargeted MALDI
67 profiling and advanced data analysis to perform imaging of metabolites in apple tissues,
68 developing an unique framework taking advantage of the in-source fragmentation information
69 to increase the chemical selectivity of the technique. With the proposed pipeline, co-
70 localization between parent and fragment ions is assessed, validated and exploited to
71 reconstruct compound-specific images which can be used for interpretation purposes.

72 In our experiment, MALDI-MSI data were acquired using a high resolution mass
73 spectrometer. An algorithm based on Intensity Correlation Analysis (ICA) was developed to
74 study the co-localization between parent and fragment ions, thus visualizing the distribution
75 of specific metabolites in tissues.

76 To perform our experiment, we set up a simple sample preparation protocol to optimize the
77 sectioning of the apple tissues, while minimizing the negative effects of oxidation of the
78 molecules concerned.

79 The proposed approach was applied to study the distribution of some relevant glycosylated
80 flavonols and dihydrochalcones in apple sections. This latter class of molecules is challenging
81 from the spectrometric point of view. Indeed, polyphenol glycosides easily fragment in nearly

82 all ionization sources losing primarily their sugar subunits. Furthermore, they show relevant
83 UV absorption in the wavelength region typical of nitrogen lasers and for this reason, they
84 have been proposed as MALDI matrices [11], and have been analyzed in imaging applications
85 in matrix-free conditions [8]. In this class of molecules, then, ionization and fragmentation
86 happen at the same time in the laser desorption process, making them suitable for the
87 application of the proposed bioinformatic approach.

88 The distribution this particular class of metabolites in plant tissues can be also visualized by
89 optical/UV microscopy, possibly in combination with histological staining [12, 13]. Several
90 families of phenolic compounds like hydroxycinnamic acids, coumarins, stilbenes, and styryl
91 pyrones, for example, are, strongly autofluorescent when irradiated with UV or blue light [13],
92 while non-fluorescent phenolc compound can be stained with chromogenic reagents, like in
93 the case of proanthocyanidins with dimethylamino-cinnamaldehyde [14] or vanillin-HCl [15].
94 In terms of spatial resolution, however, these methods show a definitive advantage over MS-
95 based approaches. In fact, with optimized sample preparation protocols, it is possible to
96 achieve subcellular resolution [12], still beyond the capabilities of the majority of MS-based
97 imaging platforms.

98 In terms of generality and selectivity, however, MS show some relevant advantages.
99 Metabolites are identified on the bases of their mass spectra, often allowing the reconstruction
100 of molecule-specific pictures. In addition, these techniques can be applied to the analysis of
101 many different classes of metabolites, permitting a more comprehensive profiling of the tissue
102 sections.

103 From a biological point of view, this particular class of molecules is known to have important
104 and diverse key functions in plant growth, development and protection, while antioxidant
105 activity is relevant to food and nutrition [16]. The tissue-specific synthesis of glycosylated
106 polyphenols in apples has already been proposed on the basis of High Performance Liquid
107 Chromatography Diode Array Detection (HPLC-DAD) studies and has been suggested by a
108 Graphite assisted Laser Desorption Ionization study [5]. The availability of this background
109 knowledge is of fundamental importance for the overall validation of the proposed
110 methodology.

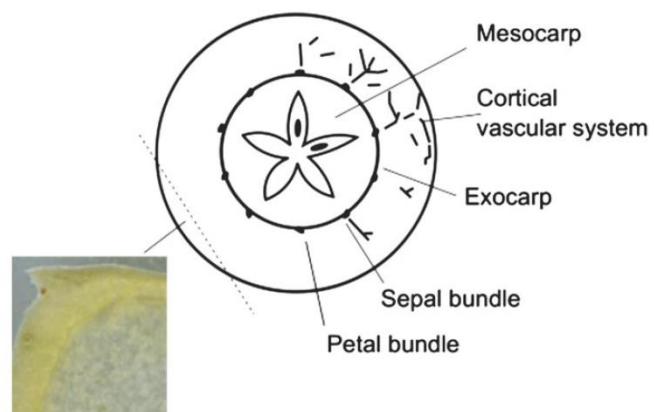
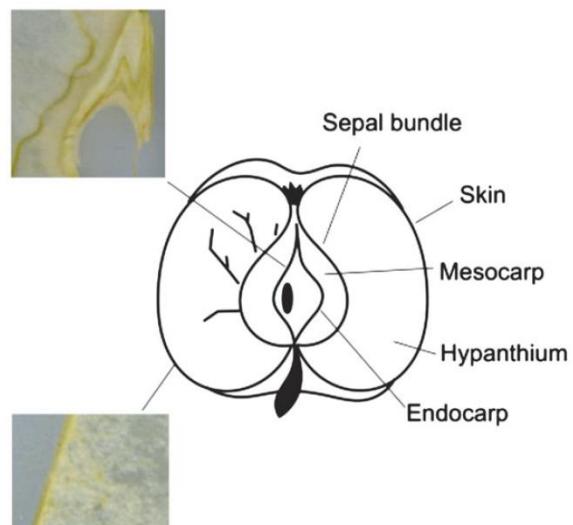
111 **3.2 Material and Methods**

112 **3.2.1 Reagents**

113 α -cyano-4-hydroxycinnamic acid (CHCA) and 2,5-dihydrobenzoic acid (DHB) were
114 purchased from Sigma-Aldrich (Steinheim, Germany), L(+)-ascorbic acid from VWR
115 international (Geldenaaksebaan, Leuven, Belgium), while acetonitrile was of LC-MS
116 Chromasolv grade (Sigma-Aldrich, Steinheim, Germany).

117 **3.2.2 Preparation of apple sections**

118 Apples (Golden Delicious) were purchased locally. Metabolite localization was tested in three
119 types of sections; their position within the fruit is presented in Figure 1, with a schematic view
120 of the anatomy of the fruit [17, 18]. The central part of a longitudinal section of the fruit was
121 used to investigate the pericarp (20 mm x 20 mm), while two other different types of cross
122 sections were selected to study the transition region between the skin and the hypanthium
123 (around 6.6 x 6.6 mm). In relation to the skin, sectioning was performed orthogonally and
124 obliquely (Figure 1). In this second type of sectioning, cell layers just below the skin were cut
125 with a favourable projection, enhancing the histological resolution of the MSI images.



127 **Figure 1.** Graphic representation of the different sections considered in the study. The
128 schematic view of the apple anatomy is reconstructed from Trentham (2008) and Tukey and
129 Young (1942). The pericarp is the fruit wall developed from the ovary; it can be subdivided in
130 endocarp, mesocarp and exocarp.

131 Apple sections for MALDI-MSI were obtained by manual cutting of slices (around 0.5 mm
132 thick) using a razor blade. The sections were mounted on a glass slide and immediately
133 sprayed with ascorbic acid (10 mmolL^{-1}) to prevent oxidation during handling and
134 dehydration. After vacuum drying (12 h), the sections were regularized using a razor blade.
135 Regularization was necessary due to shrinkage differences within the tissue during drying.

136 Vacuum drying was necessary considering the high water content of the sections; during this
137 stage volatile molecules are likely to be removed from the section, but the same would happen
138 inside the low vacuum MALDI interface. However, the majority of metabolites are not
139 expected to be affected by the same phenomenon due to its low vapour pressure. This
140 observation is also confirmed by the consistency MALD-MSI results of experiments
141 performed in a wide range of drying conditions and MALDI acquisition times.

142 Optical images of apple sections were obtained using a photo scanner (HP Scanjet G4050) at
143 600 dpi. High resolution scans were acquired using the optical scanner embedded in the MS
144 Instrument via the xy stage, holding the MALDI MSI sample and the camera to examine the
145 sample optically.

146 **3.2.3 MALDI Imaging**

147 MALDI spectra were acquired by using α -cyano-4-hydroxycinnamic acid (CHCA) as the UV-
148 absorbing matrix. Standard MALDI conditions were preferred over matrix free solutions for
149 two reasons: a) to avoid an almost complete fragmentation of the UV-absorbing metabolites;
150 b) to increase the profiling efficiency of the acquisition, by increasing the efficiency towards
151 non UV-absorbing metabolites. According to the ImagePrep protocols, both CHCA and 2,5-
152 dihydrobenzoic acid (DHB) were tested as possible MALDI matrices. The former was
153 selected since its regular crystallization produces higher quality images. Matrix solution (7
154 g/L CHCA in 50 % acetonitrile) was sprayed uniformly over the sections using an ImagePrep
155 station (Bruker Daltonics, Germany) adopting the following program: 22 spray cycles, 75 %
156 sprayer power, 0 % modulation, 1s spray, 2s incubation, and 70s dry time. The matrix
157 deposition program was optimized to minimize the wetting of the samples, because this would

158 result in metabolite delocalization and possibly in the reactivation of oxidative degradation.
159 The ImagePrep station performs the entire spraying process under nitrogen flux, which
160 prevents oxidation of the sections during matrix deposition.

161 MALDI-MSI analysis was performed using a MALDI LTQ Orbitrap XL mass spectrometer
162 (Thermo Fisher Scientific (Bremen), GmbH, Germany) with a resolution of 60,000 (at m/z
163 400, FWHM). Positive and negative ion modes were evaluated. The latter was preferred
164 because, in negative mode, the ions resulting from the ionization of the matrix were less in
165 quantity and intensity.

166 FTMS full scans from m/z 120 to m/z 1000 were acquired using the Instrument Control
167 Software (LTQ Tune Plus 2.5.5 SP1) and Xcalibur 2.1. The same software was used to
168 automatically raster the laser beam across the sectioned surface in x and y dimensions. The
169 Automatic Gain Control mode of the spectrometer was used to optimize the number of laser
170 shots for every pixel. Typical values were varying from 10 to 80 laser shots depending on the
171 ion yield. In the case of the skin sections, each spectrum were obtained by averaging two full
172 scan spectra, while only one spectrum was acquired for the pericarp section presented in this
173 chapter. Due to this setting, the acquisition time is different for every pixel, while the scan
174 time for the spectrometer is determined by the resolution at around 0.7 s.

175 The laser step size between adjacent x/y positions was set at 150, 100 and 75 μm . The
176 pericarp section presented in this chapter was analyzed with a step of 150 μm , leading to an
177 image of 125 X 136 pixels (17000 steps). In the case of the skin region the two sections were
178 acquired with a step of 100 and 75 μm resulting in images with 90 x 69 (6210 steps) and 67 x
179 68 (4556) pixels. Total acquisition times for the images were 9 h for the pericarp section and 6
180 and 7 hours for the skin sections, respectively.

181 The Orbitrap was calibrated prior to mass analysis by external calibration using standard
182 peptide mixtures (ProteoMass MALDI calibration kit for LTQ XL and LTQ hybrids, Sigma-
183 Aldrich) for the normal mass range. To increase the accuracy of the acquisition, the spectra
184 were internally calibrated at m/z 333.088, the matrix dimer having undergone a loss of CO₂
185 ([2CHCA - CO₂ -H]⁻).

186 **3.2.4 Data Analysis**

187 Data files were converted into open-source CDF format using Xcalibur Software. The
188 analysis and visualization pipeline was developed in Python version 2.5
189 (<http://www.python.org/>). To correct any uneven responses in the sample, the total ion current
190 of each pixel was normalized to one. Extracted ion chromatograms (XICs) were extracted
191 from the full scan data with different levels of tolerance depending on the mass range. Mass
192 selected images were reconstructed from these traces. Plots were produced by using the
193 interactive Ipython shell (<http://ipython.scipy.org>) with Scipy (<http://www.scipy.org/>) and
194 Matplot (<http://matplotlib.sourceforge.net/>) libraries.

195 Intensity Correlation Analysis (ICA) – originally developed to assist the analysis and
196 interpretation of immunohistochemical images [19] – was selected as a strategy to compare
197 the co-distribution of ions in the image. The starting point of ICA is comparison of the
198 intensities of two ions within the same pixel. For each pixel i in the image, the Intensity
199 Correlation Factor (IC_i) between two ions at m/z a and b is calculated according to the
200 following relation.

201

202
$$IC_i = (I_{a_i} - \bar{I}_{a_i}) \cdot (I_{b_i} - \bar{I}_{b_i})$$

203 Here ion of m/z ratio a has an intensity I_{a_i} at position pixel i and ion of m/z ratio b has an
204 intensity I_{b_i} at pixel i , while \bar{I}_{a_i} and \bar{I}_{b_i} are the averages of the intensities of ions a and b over
205 the whole rastered area.

206 The rationale behind this approach is that IC_i is positive for a given pixel i , if both intensities
207 are on the same side of their respective mean values on the image. If the ions at m/z a and m/z
208 b are correlated – as in the case of a fragmentation occurring during ionization – their
209 intensities should vary “in tune” almost in every pixel resulting in a set of positive IC factors.

210 The intensity correlation framework was adapted to the specific characteristics of MS images
211 acquired using a FTMS instrument. First of all, the intensity range of ion signals spanned
212 several orders of magnitude (up to 4 or 5) so logarithmic scaling of the normalized intensities
213 was performed. This type of scaling also had the positive effect of making the distribution of
214 the intensities less skewed. Co-localization is only meaningful when both species are present,
215 so ICA analysis was only performed on pixels where the intensities of m/z a and m/z b were
216 different from zero.

217 A strategy to validate the results of ICA analysis was also developed to evaluate the
218 probability of obtaining only by chance a given set of IC factors. If one considers how IC
219 factors are calculated, their distribution for two uncorrelated species should be symmetric
220 around zero. Any asymmetry in the distribution towards positive values is therefore an
221 indication of intensity correlation between the two ion traces, which indicates the presence of
222 significant co-localization. To validate the asymmetry in ICs it is then possible to consider the
223 distance between the medians of the distributions of positive and negative IC values ((med+)-
224 (med-)). The significance of this parameter was tested by checking its variability in a series of
225 random permutations of pixel intensities for either m/z *a* or m/z *b*. In this way it was possible
226 to probe the intrinsic variability of IC factors distribution, calculating the likelihood of
227 obtaining the actual asymmetry by chance. This validation strategy also had the advantage of
228 being non-parametric, not requiring any a priori hypotheses regarding the distribution of IC
229 factors.

230 If ICA analysis is performed on two ion traces which can be the result of an in-source
231 fragmentation process – such as glycoside and its corresponding aglycone –, the presence of
232 significant intensity correlation confirms the chemical identification of the parent ion. On the
233 other hand, the presence of correlation between ions relative to two different metabolites
234 suggests that the two species could be biologically related, since, on average, they show the
235 same trend in relation to their means.

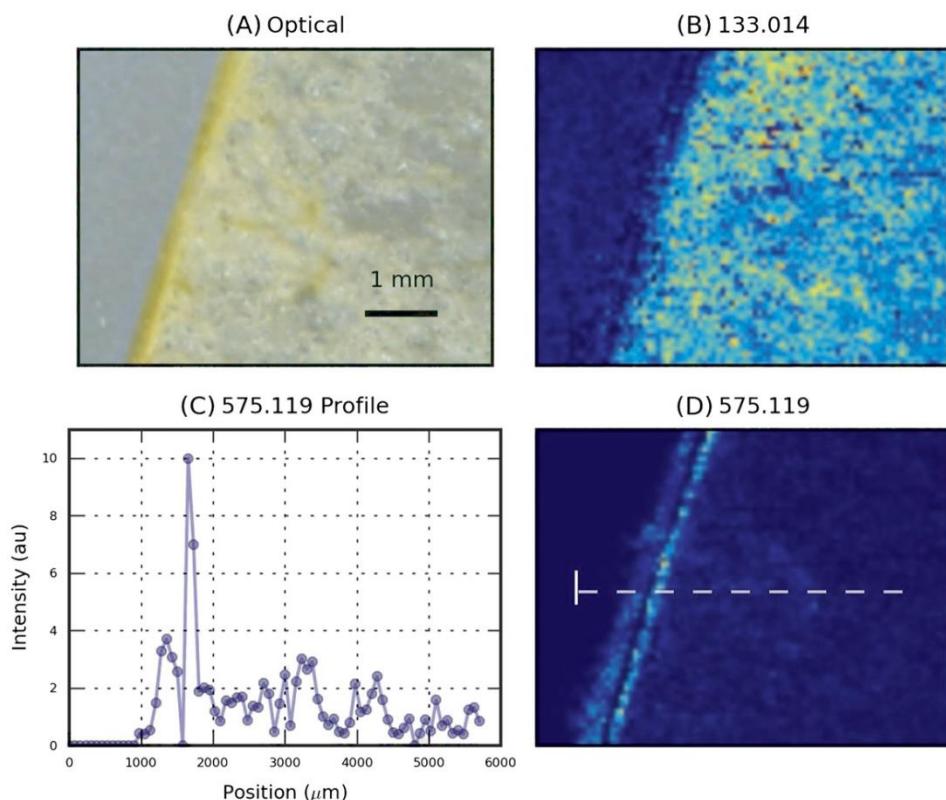
236 The results of the IC analysis were used to reconstruct maps showing the spatial distribution
237 of highly correlated pixels. These images were then used for interpretation purposes.

238 **3.3 Results and Discussion**

239 In this section the results of applying the proposed framework to the MALDI MSI of Golden
240 Delicious apple are presented. In the first paragraph, the effectiveness of the sample
241 preparation protocol and high resolution MS analysis is assessed by studying the distribution
242 of some metabolites known to be present in apple. Subsequently, IC analysis will be applied
243 to study the distribution of flavonoid glycosides in apples, focusing on the pericarp and on the
244 skin.

245 **3.3.1 Imaging of test metabolites**

246 The reconstructed images for malic acid ($C_4H_6O_5$), detected as $C_4H_5O_5 ((M-H)^{-})$ ions at $m/z =$
247 133.014) are shown in Figure 2(B).



248
249 **Figure 2.** Spatial distribution of some metabolites known to be present in apples. The color
250 scale goes from blue to red (linear scaled plots). MS images have been acquired with a raster
251 step of 100 μm . (A) Optical Image. (B) Image reconstructed from XIC at m/z 133.014,
252 identified as malic acid. (C) Intensity profile of m/z 575.119 along the dotted line. (D) Image
253 reconstructed from XIC at m/z 575.119, identified as a procyanidin-related ion.

254 Malic acid was detected inside apple hypanthium with an almost constant concentration.
255 Malic acid, as its name suggests, accounts for 90 % of total organic acids in apple
256 (Ackermann et al., 1992). Figure 2(D) shows the same graphic representation for an ion
257 detected at $m/z = 575.119$. Among the possible molecular formulas for this ion, $C_{30}H_{23}O_{12}$ can
258 be related to procyanidin B ($C_{30}H_{26}O_{12}$ detected as $(M-H^2-H)^{-}$). The observed ion is
259 compatible either with the direct ionization of procyanidin B or with UV induced
260 fragmentation of higher order procyanidins. Procyanidins – polymeric flavonoids made up of
261 catechin/epicatechin units – are known to be abundant in apples, where they can account for a
262 significant fraction of overall phenolic content [2]. The ion image presented in Figure 2(D) is
263 reconstructed from a trace extracted with a 10 ppm window, corresponding to 0.005 mDa.

Figure 2(C) displays the intensity profile of the signal along a line crossing the skin. The images indicate that the metabolite was more concentrated in the cell layers just below the cuticle and that its concentration decreased inside the fruit. This trend agrees with the relatively high content of procyanidin B2 in Golden Delicious hypanthium reported in a previous HPLC-DAD study [20] where a 1-2 mm thick “peel” fraction was analyzed. The intensity of the 575.119 ion decreased by approximately a factor of five moving from the external hypanthium cells towards the inner hypanthium (Figure 2(C)). This particular localization could explain the much lower content of procyanidin B2 in “pulp” reported by Chinnici et al. [21], since in this experiment a 5 mm thick “peel” fraction was sampled, which was also including the procyanidin-rich cells present below the skin. This example demonstrates the capability of MS-imaging to provide semiquantitative information, complementary to those obtained from the analysis of extracts or homogenates.

3.3.2 Imaging of selected polyphenol glycosides

The polyphenol glycosides detected in different apple sections are listed in Table 1. The table displays also the molecular formulas and their main ions observed in the spectra. In all tested sections, diglycosides were not detected or were close to the detection limit, so they were not taken into account.

Table 1. Polyphenol glycosides considered in our investigation, with their respective aglycones. 6S stands for 6 carbon sugars, excluding rhamnose. Likewise, 5S stands for all the 5 carbon sugars.

Name	Molecular Formula	Ion observed	Theoretical m/z
Quercetin	C ₁₅ H ₁₀ O ₇	[M-2H] ^{-•}	300.028
Kaempferol	C ₁₅ H ₁₀ O ₆	[M-H] ⁻	285.040
Phloretin	C ₁₅ H ₁₄ O ₅	[M-H] ⁻	273.076
quercetin-hexoside [querc-6S]	C ₂₁ H ₂₀ O ₁₂	[M-H] ⁻	463.088
quercetin-rhamnoside [querc-rham]	C ₂₁ H ₂₀ O ₁₁	[M-H] ⁻	447.093
kaempferol-hexoside [kaemp-6S]	C ₂₁ H ₂₀ O ₁₁	[M-H] ⁻	447.093

phloretin-hexoside [phlor-6S]	C ₂₁ H ₂₄ O ₁₀	[M-H] ⁻	435.129
quercetin-pentoside [querc-5S]	C ₂₀ H ₁₈ O ₁₁	[M-H] ⁻	433.077
kaempferol-pentoside [kaemp-5S]	C ₂₀ H ₁₈ O ₁₀	[M-H] ⁻	417.082

284

285 Most of the molecules concerned were detected as [M-H]⁻ ions, while quercetin was mainly
 286 detected as [M-2H]^{-•} radical anion. The production of such an anion in the ionization was
 287 checked in the MALDI analysis of a standard. The formation of radical anions in the
 288 fragmentation of flavonoid glycosides has already been reported [22]. Even though the two
 289 experiments cannot be directly compared due to the different ionization interfaces, the ESI
 290 experiments suggest that the collision induced fragmentation of the flavonoid glycoside parent
 291 ions can produce radical anions. These phenomena can also occur during the extraction of
 292 the ions within the MALDI source.

293 Table 1 shows the expected m/z value for the metabolites of interest. These values have been
 294 used to extract the XICs necessary for ICA analysis (10 ppm tolerance). The experimentally
 295 measured m/z values for the metabolites identified in each tissue section are presented in the
 296 Appendix one (Table S1). The values obtained in the different sections are slightly different
 297 as can be expected for acquisitions running over several days; however measured values are
 298 always very close to the theoretical ones.

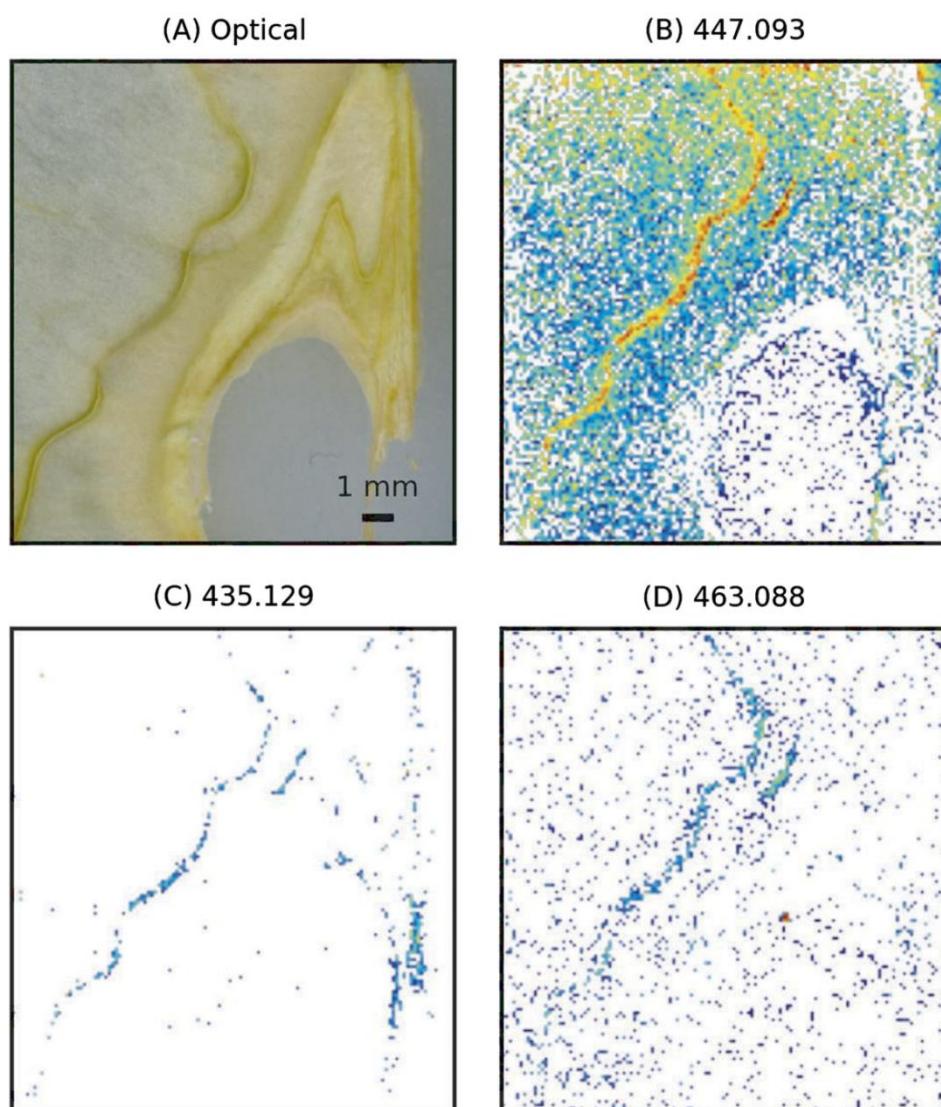
299 As discussed in the introduction the presence of the mass in Table 1 in the spectra is not
 300 sufficient to assess the distribution of the corresponding metabolite in the tissue. To increase
 301 the chemical selectivity of the analysis it is necessary to run a co-localization analysis
 302 between the ions in Table 1 and a characteristic fragment.

303 It has already been pointed out that this class of molecules undergoes fragmentation during
 304 ionization so aglycones show generally high intensity profiles, and were therefore selected as
 305 characteristic fragments for the running of the ICA analysis. The aglycons of dihydrochalcones
 306 (i.e. phloretin) are absent in apple tissues and only traces of those of flavonols are present [23],
 307 thus supporting this specific choice. In Table 1 the abbreviation 6S refers to the six carbon
 308 sugars, excluding rhamnose, which is not isobaric as it is a deoxy-hexose, while 5S indicates
 309 all the pentoses attached to the specific polyphenols. Isomeric forms are indeed difficult to
 310 distinguish by mass spectrometry. Full scan information alone cannot help, but MS/MS or

311 higher order MS studies possibly could provide structural and linkage information helping to
312 differentiate isoforms. The only phloretin hexoside in apples is the phloretin-2-glucoside
313 (phloridzin), while the main known quercetin-hexosides in Golden Delicious apples are (in
314 decreasing order) quercetin 3-galactoside and quercetin 3-glucoside. The quercetin-pentosides
315 are quercetin 3-arabinoside and quercetin 3-xyloside [23]. Kaempferol is not usually reported
316 in apples. It was recently reported as 3-glucoside [24] or as aglycon.

317 *3.3.2.1 Apple pericarp*

318 The distribution of masses corresponding to flavonoid hexosides in the apple pericarp is
319 presented in Figure 3.

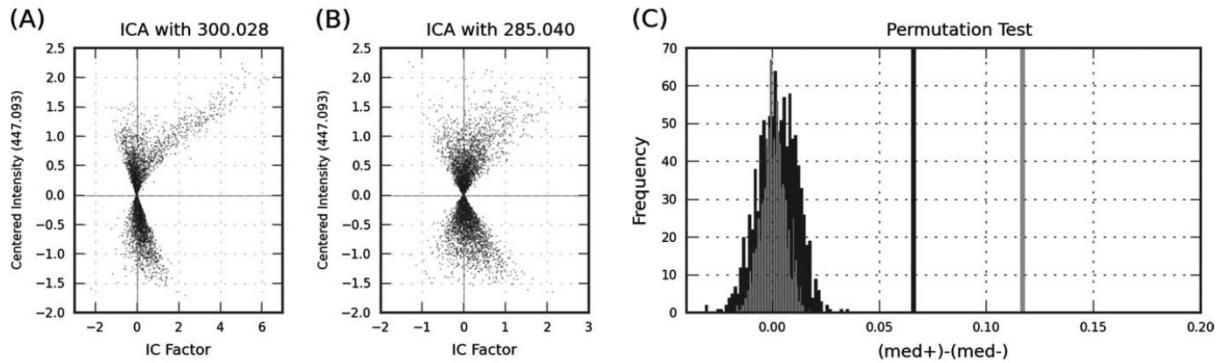


320

321 **Figure 3:** Spatial distribution of flavonoid glycosides (Table 1) in apple pericarp. The
322 intensities were calculated as the logarithm of the normalized ion trace. The color scale ranges

323 from blue (low) to red (high). MS images have been acquired with a raster step of 150 μm . (A)
324 Optical image. (B) Image reconstructed from XIC at m/z 447.093. This mass can be assigned
325 either to quercetin-rhamnoside or kaempferol-6S. (C) Image reconstructed from XIC at
326 435.129 (phloretin-6S). (D) Image reconstructed from XIC at 463.088 (quercetin-6S).

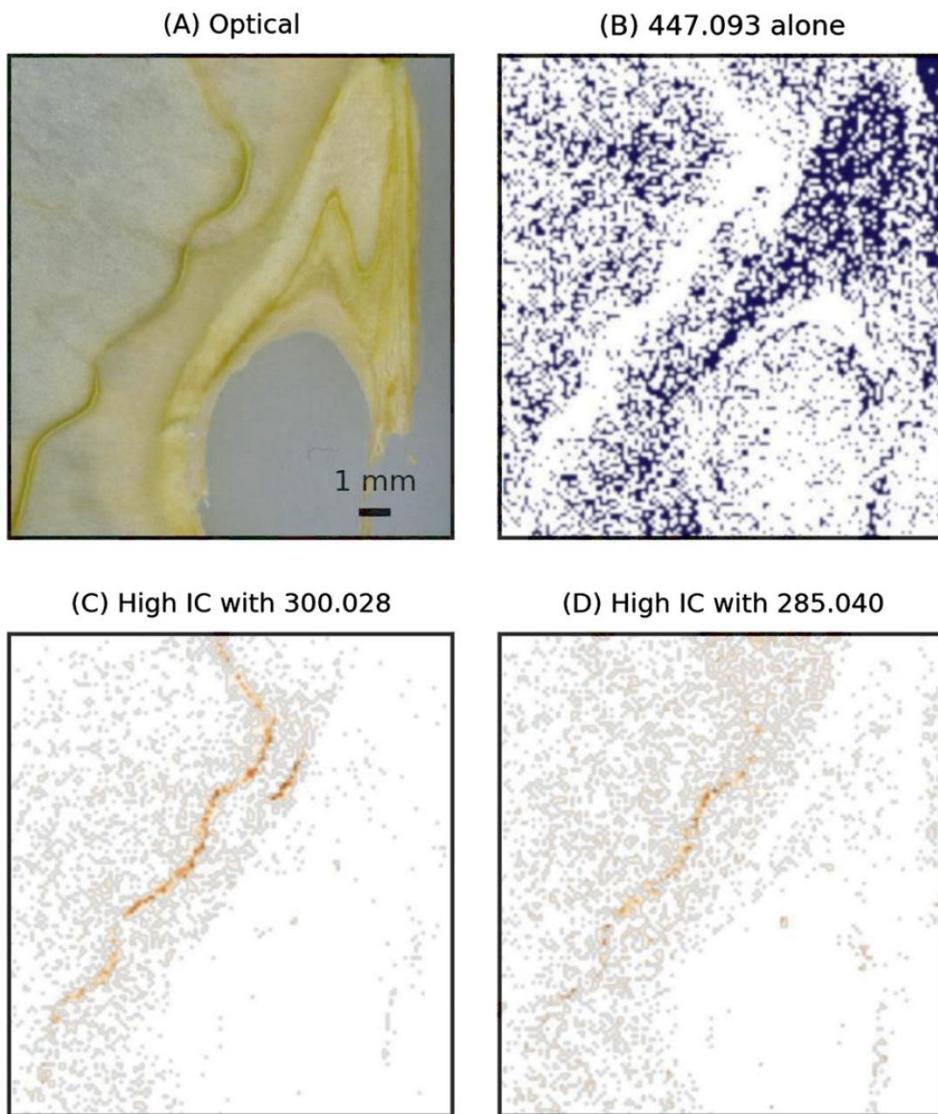
327 The experimental results indicated that the ion at m/z 447.093 was distributed over the tissue
328 with a maximal intensity on the sepal bundle. The ion at m/z 435.129, was detected at both
329 locations, the sepal bundle and the rims of the endocarp. The yield of the ion at m/z 463.088
330 was low, showing a slight increase towards the sepal bundle. Table1 indicates that the ion at
331 m/z 447.093 can be related to the presence of both quercetin-rhamnoside and kaempferol-6S,
332 while the ions at m/z 435.129 and m/z 463.088 can be assigned to phloretin-6S and quercetin-
333 6S, respectively. To discriminate between the two contributions in the ion trace of m/z
334 447.093 and to confirm the chemical origin of the ions, ICA analysis of these ions and the
335 relative aglycones was performed. The results of this analysis for m/z ratios 447.093, 300.028
336 and 285.040 are summarized in Figure 4. The results of ICA analysis are presented in the two
337 plots on the left (A,B): each point in the plot represents a pixel of the image in which two
338 masses were related, m/z 447.093 and m/z 300.028 in the case of (A). IC factors are displayed
339 on the horizontal coordinate, while the mean centered value of the ion intensity is used as
340 vertical coordinate[19]. In this representation, pixels showing positive intensity correlation
341 show up in the right hand plane, with the high intensity pixels occupying the top right
342 quadrant. A significant skew in the distribution of the IC factors towards the right therefore
343 indicates that the two m/z traces are positively intensity-correlated, and this allows to
344 conclude that the two ions are significantly co-localized. This is clearly visible on the first
345 scatter plot (A), in which the high correlation tail is clearly directed towards the top right.
346 Validation was performed with the permutation test discussed in the previous section and the
347 results are summarized in Figure 4(C). The two histograms show the variability of the
348 (med+)-(med-) parameter over 1000 permutations, while the vertical lines indicate the values
349 obtained from the measured values. For both IC analyses, validation indicated that the null
350 hypothesis should be discarded at a 0.001 level of confidence, indicating significant co-
351 localization of the two ion traces.



352

353 **Figure 4.** Graphic representation of the ICA for m/z 447.093/300.028 and m/z
 354 447.093/285.040. (A,B) Position of each pixel in the IC/intensity plane. The intensity of mass
 355 m/z 447.093 was mean centered. (C) Validation of the ICA between m/z 447.093/300.028 (gray)
 356 and m/z 447.093/285.040 (black). The histograms show the variability of the ((med+)-(med-))
 357 parameter with 1000 permutations of the intensities. Vertical lines indicate the measured
 358 values.

359 For interpretative purposes, it is helpful to reconstruct the spatial distribution of the pixels
 360 showing positive IC. This image is also included in Figure 5(C,D), where positive IC pixels
 361 are displayed with colors ranging from gray to red depending on the IC value. The image
 362 shows that, for pixels where m/z 447.093 was present, a part – mainly distributed in the
 363 central part of the endocarp – could not be co-localized neither to m/z 300.028 nor to m/z
 364 285.040, thus suggesting that, in this region, the signal at m/z 447.093 was likely to come
 365 from a neutral molecule not listed in Table 1. Of the other pixels, maximal co-localization – in
 366 correspondence of the pixels with the highest IC factors –, was found almost exclusively
 367 along the sepal bundle. This was mainly true for m/z 300.028, while correlation with the ion
 368 at m/z 285.040 was less strong. Due to the choice of ions – glycoside and aglycones here – the
 369 presence of high intensity correlation confirmed the chemical assignment of the ion at m/z
 370 447.093, while the spatial distribution of the high IC pixels showed highly localized presence
 371 of both metabolites in the tissue.



372

373 **Figure 5.** Results of the ICA on the ion at m/z 447.093. (A) Optical image. MS images have
 374 been acquired with a raster step of 150 μm . (B) Mask showing the distribution of the ion at
 375 m/z 447.093 not correlated with m/z 300.028 or m/z 285.040. (C,D) Images reconstructed
 376 from the pixels showing positive IC factors between m/z 447.093/300.028 (C) and m/z
 377 447.093/285.040 (D). Color scale ranges from gray (low) to red (high).

378 The same pipeline was applied to analyze the couple of masses at m/z 435.129 and 273.076,
 379 which correspond to phloretin-6S and phloretin, respectively. The results are presented in
 380 Appendix one (Figure S1). Here, the distribution of intensity correlation factors peaks
 381 noticeably towards the right side of the plot, indicating that the intensities of these two masses
 382 were correlated almost everywhere, speaking of an almost perfect co-localization. This result
 383 would be expected if the smaller m/z ion is produced in the fragmentation of the larger one, so

384 it is substantially confirming that the trace of the ion at m/z 435.129 shows the distribution of
385 phloretin-6S.

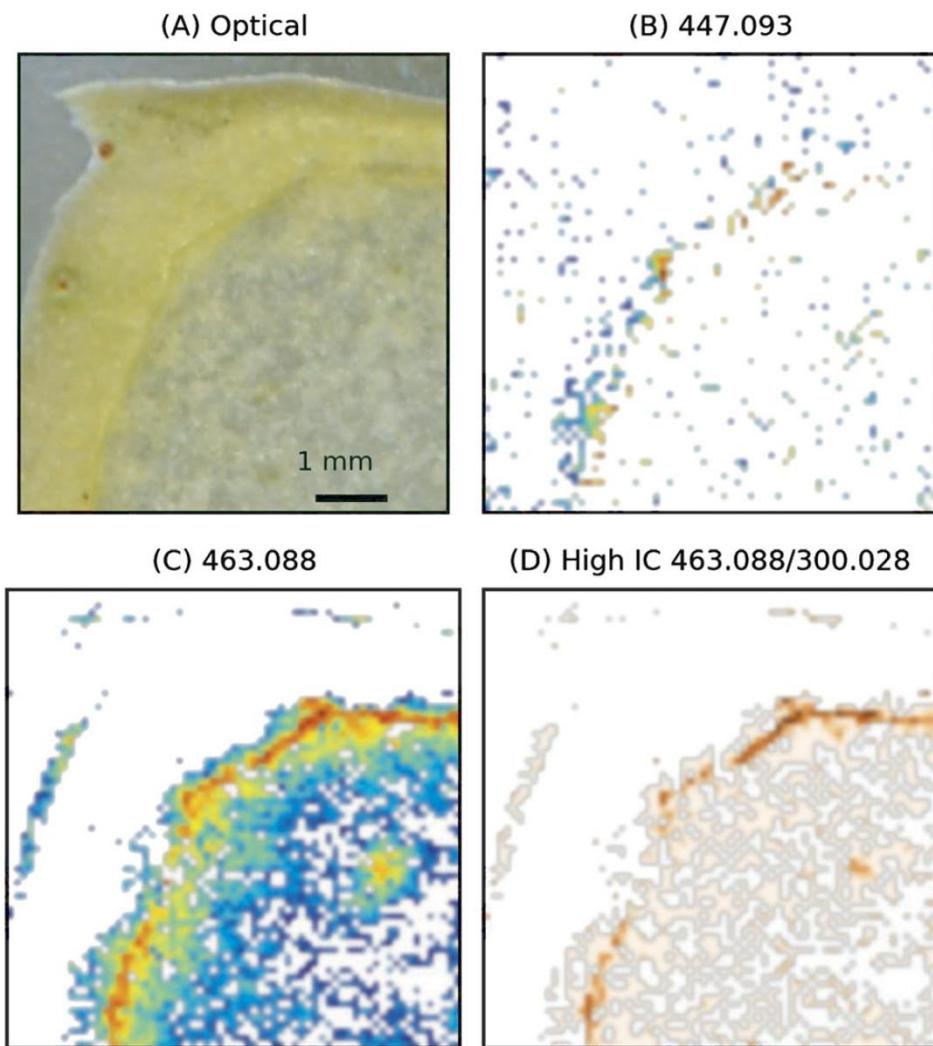
386 The results of the correlation analysis for the masses corresponding to pentosides (m/z
387 417.082 with m/z 285.040 and m/z 433.077 with m/z 300.028) are also included in the
388 Appendix one (Figure S1). Distribution of IC factors for the couple m/z 417.082/285.040
389 shows a significant asymmetry in the permutation test, but lacks a clear tail with higher IC.
390 Furthermore, the higher IC pixels do not show a definite spatial pattern. Thus the assignment
391 of m/z 417.082 to kaempferol-5S is not conclusive on this basis. On the other hand, quercetin-
392 5S (m/z 433.077/300.028 couple) shows a markedly asymmetric IC distribution, with higher
393 IC factors (meaning higher correlation) being observed towards the sepal bundle.

394 On the basis of the chemical assignment obtained from ICA analysis, the spatial distribution
395 of flavonoid glycosides in the tissue section was assessed. Quercetin-6S (m/z 463.088 co-
396 localized with m/z 300.028) was not abundant in the apple pericarp only showing up with a
397 low intensity on the sepal bundle. Quercetin-Rhamnoside (m/z 447.093 co-localized with m/z
398 300.028) was instead present, mainly within this bundle. Kaempferol-6S (m/z 447.093 co-
399 localized with m/z 285.040) was probably also present in the same position, albeit at low
400 concentration. In the pericarp, phloretin-6S (m/z 435.129 co-localized with m/z 273.076) was
401 mainly present in the sepal bundle, but was also detectable on the papery rim of the endocarp
402 near the seed locules. Finally, quercetin-5S (m/z 433.077 co-localized with m/z 300.028) was
403 present mainly on the sepal bundle.

404 *3.3.3.2 Skin region*

405 The skin is the outer part of the apple and it is composed of cuticle, epidermis and
406 hypodermal layer [17, 18]. The skin is known to be rich in secondary metabolites. In
407 particular, flavonoid glycoside accumulation in this area guarantees the protection of the fruit
408 from UV radiation [16]. In keeping with the analysis pipeline applied for the core data, MS
409 images for the ions at m/z 447.093 and m/z 463.088 are shown in Figure 6, with the
410 distribution of pixels with high IC between m/z 463.088 and m/z 300.028. The results indicate
411 that m/z 447.093 was present in low concentration in the tissue sections of the skin region. On
412 the contrary, the signal at m/z 463.088 was intense, showing a decrease towards the
413 hypanthium. Intensity correlation with m/z 300.028 was higher in the region just below the

414 cuticle, with an increase in correspondence with one of the bundles of the cortical vascular
415 system, visible as a high intensity spot within the apple flesh.



416

417 **Figure 6.** MS Imaging of the oblique section of the skin region. MS images have been
418 acquired with a raster step of 75 μm . (A) Optical Image. (B,C) Images reconstructed from
419 XIC at m/z 447.093 (assigned to quercetin-rhamnoside) and m/z 463.088 (assigned to
420 quercetin-6S). Logarithmic scaling. The color scale ranges from blue to red. (D) Images
421 reconstructed from the pixels showing positive intensity correlation between m/z 463.088 and
422 m/z 300.028. Color scale from gray (low) to red (high).

423 In this area of the apple, the ion at mass m/z 435.129 was not detected, while the intensity of
424 the ion at m/z 273,076 was low, showing a decreasing profile towards the hypanthium (see
425 Figure S2 in Appendix one). As far as the m/z 433.077/300.028 couple is concerned, ICA
426 analysis identified the co-localization region right below the skin. As before, the situation for

427 the pair at m/z 417.082/285.040 was not clear cut and ICA analysis resulted in a relatively
428 symmetric distribution. Pixels showing higher correlation were located in the skin region but,
429 interestingly, they were not found where the intensities of the ions at m/z 417.082 and m/z
430 285.040 were higher. In this specific case the results of ICA analysis were not conclusive for
431 assignment of the ion at m/z 417.082 to kaempferol-5S, in agreement with the fact that its
432 presence in the apple has never been reported to date.

433 The same analysis pipeline was applied to the orthogonal section: results for masses at m/z
434 447.093 and m/z 463.088 are presented in the Appendix one (Figure S3). In this case, MS
435 images show a picture consistent with the one just discussed. The same consideration applies
436 to the ions at m/z 273.076, 417.082 and 433.077 observed by MALDI MSI of the orthogonal
437 section.

438 The experiments performed to characterize the apple skin region indicate that quercetin-6S
439 (m/z 463.088 co-localized with m/z 300.028) was strongly concentrated in the region just
440 below the cuticle. With a rastering step of 75 μ m, the width of the high concentration region
441 can be estimated to be around 150 μ m. This evidence is supported by previous HPLC analysis
442 which indicated quercetin-galactoside as the main glucoside present in the skin [21]. In
443 contrast to the pericarp, quercetin-rhamnoside was less abundant. In none of the skin sections
444 a clear signature of phloretin-glycosides was detected, though a weak phloretin signal was
445 detectable. Considering that the signals of aglycone fragment ions are more intense than the
446 corresponding glycosides, this observation did not rule out the presence of a low
447 concentration of phloretin-glycosides below the cuticle. This hypothesis is supported by the
448 observation that the phloretin signal, decreased in the hypanthium: in accordance to what was
449 observed for phloridzin in HPLC-DAD measurements [21].

450 As far as pentosides were concerned, the results of ICA analysis made it possible to conclude
451 that quercetin-5S was present in higher concentrations just below the cuticle, dropping rapidly
452 in the hypanthium. Interestingly, flavonoid glycosides also showed a higher concentration in
453 correspondence of the cortical vascular system.

454 **3.4 Conclusions**

455 In a biological context, our study supports the idea of tissue-specific biosynthesis of flavonoid
456 glycosides in the apple, in agreement with what has already been proved in Arabidopsis at the
457 genetic expression level [25]. Major differences were found in the distributions of quercetin-

458 6S – present mainly below the cuticle – and quercetin-rhamnoside and phloretin-6S, detected
459 in the pericarp.

460 In the skin region, glycosides were more concentrated in the cell layers lying just below the
461 cuticle – the thickness of the polyphenol-rich layer was about 150 µm –, while in the pericarp
462 they were located mainly in correspondence with the vascular system.

463 As a general rule, the distribution of glycosides was very much localized. This makes the
464 study of their spatial tissue-specific biosynthesis a challenge using more conventional
465 analytical methods. In particular, great care must be taken in studies involving the analysis of
466 peel and pulp after mechanical separation, in the light of the critical concentration of some
467 important metabolites in tissues close to the cuticle. This specific observation also has
468 important practical implications, in view of the industrial production of foods with an
469 increased content of beneficial compounds.

470 The experimental results discussed so far indicate that the proposed pipeline based on the
471 application of ICA to study co-localization in high resolution MALDI spectra is effective for
472 studying the distribution of polyphenol glycosides in apples. Substantial agreement with
473 previous HPLC studies validated this approach, which showed a higher potential for
474 ascertaining detailed metabolite localization. Intensity Correlation Analysis proved to be of
475 great help in assessing co-localization and extracting and interpreting fragmentation profiles
476 from un-targeted MALDI profiles, by increasing the selectivity of high resolution spectra.

477

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545

1

Chapter 4

2

Tissue surface properties jeopardize quantitative 3 detection of metabolites in DESI imaging

4 Yonghui Dong, Graziano Guella, Fulvio Mattivi, Pietro Franceschi

5 **Notes:**

6 This chapter has been prepared for submission. Dong Y. conceived and designed the experiment,
7 conducted the experiment, performed data analysis and wrote the draft; Guella G. and Mattivi F.
8 designed the experiment and approved the final manuscript; Franceschi P. conceived and
9 designed the experiment, revised and approved the final manuscript.

10 **4.1 Introduction**
11 Mass spectrometry imaging (MSI) enables direct analyses to be made of both the distribution and
12 abundance of metabolites on the surfaces of a large variety of samples. The most widely used
13 MSI ionization sources for biological applications are secondary ion mass spectrometry (SIMS),
14 matrix-assisted laser desorption ionization (MALDI), and desorption electrospray ionization
15 (DESI) [1, 2]. Although spatial resolution is still low (~ 200 µm), DESI can be performed under
16 ambient conditions with minimal or no sample preparation [3], making it a fast analytical tool
17 for investigating the anisotropic distribution of metabolites in biological matrices with enormous
18 potential in high throughput applications. DESI imaging has gained popularity in mapping drugs
19 and metabolites in animal tissues [4, 5], but has only very recently been applied in plant sciences.
20 Several studies have demonstrated that the chemical properties and texture of the surface can
21 have a strong effect on the desorption process in DESI [6-9], although the impact of these
22 phenomena on imaging applications has not yet been investigated. When imaging heterogeneous
23 tissues, such as those commonly found in plants, differences in surface effects could mask the
24 real distribution of metabolites, potentially undermining the biological significance of DESI
25 measurements.

26 In this chapter we present the results of an extensive study on the impact of surface effects on
27 DESI imaging of small organic acids in tissues of *Vitis vinifera*. Organic acids are an important
28 class of metabolites playing a fundamental role in plant biology and wine production [10-13].
29 Previous work on grapevine has shown that there are spatial gradients of organic acids in grape
30 berry [14], suggesting anisotropic distribution of metabolites within and across different
31 grapevine tissues.

32 In the first part of the chapter the results of DESI imaging experiments on several endogenous
33 organic acids in grapevine stem are critically assessed by comparing them with the results of

34 spatially resolved ion chromatography (IC) analysis. The effects of sample surface are further
35 investigated by imaging grapevine stem sections enriched in exogenous organic acids. Possible
36 strategies for minimizing surface effects are critically evaluated.

37 The second part of the chapter investigates the effects of surface textures on DESI detection of
38 organic acids by means of a series of MS measurements performed on Teflon (PTFE) surfaces of
39 varying porosities.

40 **4.2 Experimental**

41 **4.2.1 Chemicals and Reagents**

42 Acetonitrile (LC-MS grade) was purchased from Sigma-Aldrich (Italy), water was purified using
43 a Milli-Q water purification system (Sartorius Stedim Biotech GmbH, Germany). Succinic
44 (>99.5%), malic (99%) and tartaric acids (>99.7%) were purchased from Sigma-Aldrich (Italy),
45 adipic (>99.5%) and glutaric (>99.0%) acids from Fluka AG (Germany). Three types of PTFE
46 sheet, flat PTFE, porous PTFE1 (pore size 1-3 µm, porosity 25-30%) and PTFE14 (pore size 7-
47 14 µm, porosity 45-50%) were obtained from the Porex Corporation (Germany). One-year-old
48 grapevine stems were obtained (at post-veraison in 2 growing seasons) from Cabernet Sauvignon
49 vines (*Vitis vinifera* L.) planted in the vineyard of the Fondazione Edmund Mach, San Michele
50 all'Adige, Italy (Coordinates: 46° 11' 42" N, 11° 8' 15" E; Elevation: 250 m).

51 *Instrumentation*

52 Mass spectra were collected with a Thermo-Fisher Scientific LTQ Orbitrap XL mass
53 spectrometer (Bremen, Germany) equipped with an OmniSpray™ **2D** ion source from Prosolia
54 Inc. (Indianapolis, IN). Optimized instrumental parameters were: -100 V tube lens voltage, -10 V
55 capillary voltage, 3 kV spray voltage, 200 °C capillary temperature, 2 micro scans, 200 ms MS
56 injection time, AGC mode off. Mass spectra were acquired in full scan at negative mode over an

57 m/z range of 50-200. DESI source parameters were: 55 degree spray angle, 1-1.5 mm tip-to-
58 surface distance, 4 mm tip-to-inlet distance, 10° collection angle, 8 bar nitrogen carrier gas
59 pressure. A mixture of Milli-Q water and acetonitrile (1:4, vol/vol) was used as spray solvent and
60 was delivered at a flow rate of 3 µl/min. Unless otherwise stated, the instrumental and ion source
61 parameters were constant for all the experiments in this study.

62 **4.2.2 DESI imaging and profiling**

63 Four imaging experiments were performed: (a) DESI imaging of endogenous organic acids
64 (malic, tartaric and citric acids) in grapevine stems, both fresh and vacuum dried (1h at 50 torr);
65 as no significant differences in the quantitative distribution of the three targeted organic acids
66 were observed, vacuum dried grapevine stems were preferred in order to avoid sample shrinkage
67 during the imaging process. (b) DESI imaging of exogenous organic acids (glutaric and adipic
68 acids) in grapevine stem. Fresh grapevine stem sections were dipped into an adipic and glutaric
69 acid aqueous solution (2.5 mM, 1h, 50 torr) then dried under the same vacuum conditions for 1 h.
70 The uppermost layer of the grapevine stem was cut away with a razor blade to remove any
71 inhomogeneous glutaric and adipic acid crystallization following vacuum dehydration. (c) DESI
72 imaging experiment in which glutaric and adipic acids were added to the spray solvent to a final
73 concentration of 0.5 mM. DESI imaging was then performed on vacuum dried grapevine stems
74 to see whether surface characteristics affect detection of glutaric and adipic acids. (d) Indirect
75 DESI imaging of endogenous organic acids (malic, tartaric and citric) in grapevine leaf. Leaf
76 blade was imprinted on a porous PTFE sheet (pore size 7-14 µm, porosity 45-50%) following a
77 previously described protocol [15]. The imprints were made by pressing a sandwich consisting of
78 a porous PTFE sheet, the plant material with its abaxial surface facing the PTFE surface, and a
79 few layers of filter paper. A 10 mL round-bottomed flask was used as a plunger to imprint the

80 leaf sap onto the porous PTFE surface. After a few minutes of vacuum desiccation, the imprints
81 were ready to use. For DESI Imaging, the sample was scanned at a velocity of 200 µm/s in *x*
82 direction and 200 µm step size in *y* direction until the entire sample was analyzed. The thickness
83 of grapevine stem sections was ~200 µm.

84 For the DESI profiling experiments, an organic acid solution containing equimolar amounts of
85 the 5 organic acid standards (2.5 mM), namely malic, tartaric, citric, glutaric and adipic acids,
86 was prepared by dissolving them in a 1:4 (v/v) water/acetonitrile mixture. Three microliters of
87 this solution was spotted onto the three PTFE surfaces. The deposits were air dried before
88 analysis. Each analysis was repeated 10 times with an acquisition time of 1 min.

89 **4.2.3 Quantification of organic acids**

90 Endogenous organic acids (malic, tartaric and citric acids) were quantified in the pith and outer
91 pith regions of grapevine stem, and in leaf lamina and leaf vein of grapevine leaf blade by IC
92 following the method recommended by Thermo Scientific [16]. Detailed sample preparation and
93 analytical methods are described in the Appendix 2. Exogenous organic acids (glutaric and
94 adipic acids) were quantified by direct infusion ESI-MS in the pith and outer pith region.
95 Detailed sample preparation and analytical methods are described in the Appendix 2.

96 **4.2.4 Data processing and data analysis**

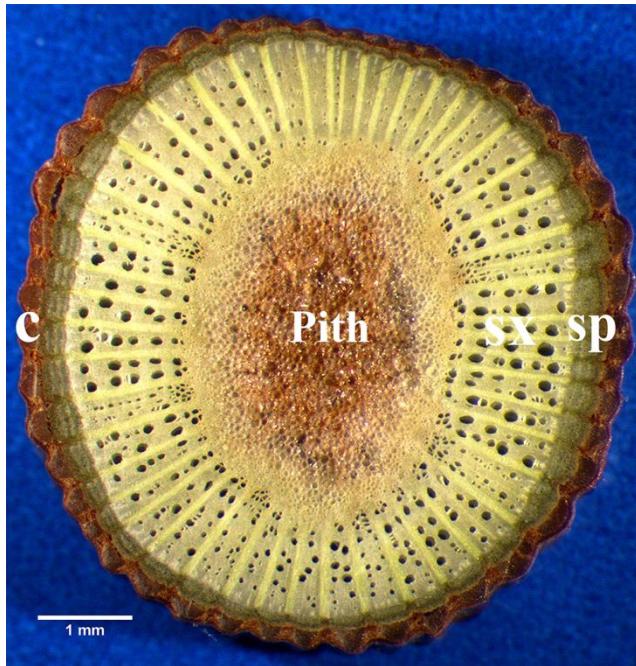
97 The mass spectra files (.raw) were converted into common data format (CDF) by XcaliburTM 2.1
98 (Thermo Fisher Scientific). The CDF files were then analyzed and converted into 2-D ion maps
99 using an in-house R package [17]. Each pixel was normalized over the total ion count (TIC), and
100 visualization was improved with 2-D median smoothing over each ion map. Figures were
101 produced with R package ggplot2 [18] and lattice [19].

102 **4.3 Results and Discussion**

103 **4.3.1 DESI Imaging of *Vitis vinifera* tissues**

104 *DESI imaging revealed uneven distribution of organic acids in grapevine stem*

105 In total, eight organic acids were detected in the different grapevine tissues using negative ion
106 mode ESI. Identification was confirmed by high resolution mass measurements carried out on
107 the corresponding [M-H]⁻ parent ions and by comparing their MS/MS spectra with those of the
108 corresponding pure standards (table S1). We focused our attention on the results obtained for the
109 3 major endogenous organic acids (malic, tartaric and citric) on grapevine stem to investigate
110 surface effects on parent ion detection and to establish a rough quantitative estimate. Grapevine
111 stem was selected as reference because it is structurally highly heterogeneous and gives
112 reproducible DESI imaging results (the imaging experiments were repeated on 10 stem sections,
113 each from 1 of 10 different plants). From a histological point of view, one-year-old grapevine
114 stem is mainly composed of pith, xylem, phloem and cortex [20] and its structural heterogeneity
115 can be readily discerned, for example, the pith is a brown, sponge-like area in the middle of a
116 transverse grapevine stem section, while xylem is distinguished by its xylem vessels (black pores)
117 and rays (Fig 1).



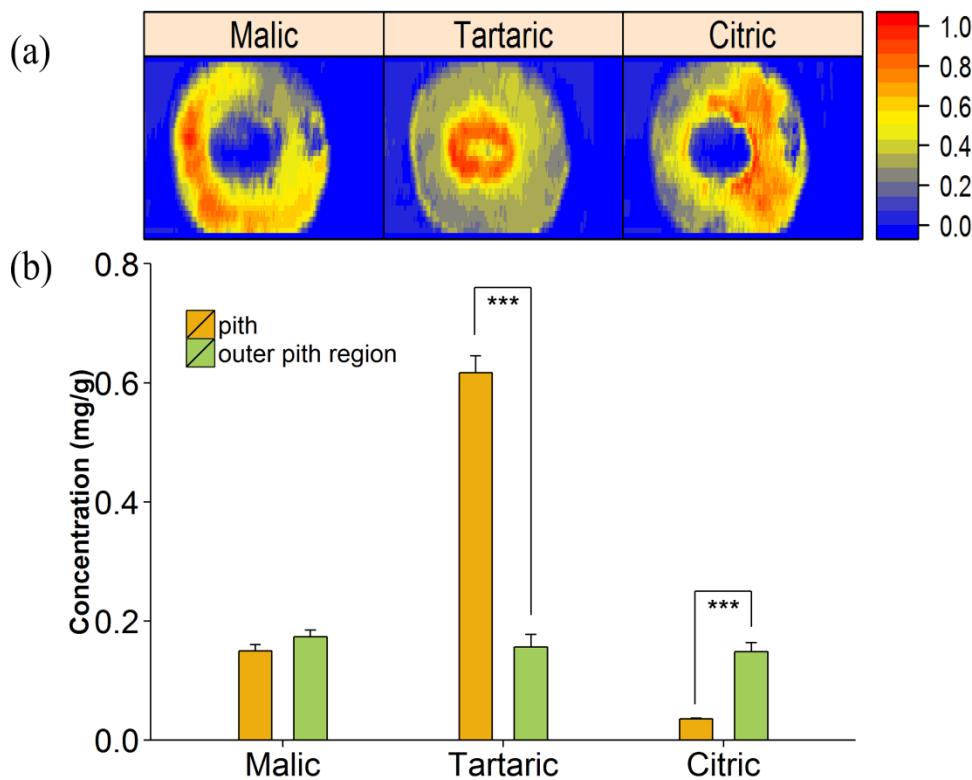
118

119 **Fig 1** Microscope image of a cross section of a one-year-old grapevine stem clearly showing its
120 structural heterogeneity. Pith, containing large parenchyma cells and brown in color, is located at
121 the center of the stem; secondary xylem (SX) is distinguished by its xylem vessels (black pores)
122 and rays; surrounding the secondary xylem is the secondary phloem (SP), a dark green ring;
123 cortex (C) is the outmost brown layer.

124 Typical results of the imaging experiments are shown in figure 2a. Malic and citric acids were
125 detected in the outer pith region with a rather homogeneous distribution; in contrast, tartaric acid
126 was distributed over the entire grapevine stem but with a higher concentration in the pith. It is
127 noteworthy that no traces of any of the organic acids investigated could be found in the xylem,
128 phloem and cortex. We were able to perform a semi-quantitative comparison of the
129 concentrations of the three organic acids in the different grapevine tissues [4, 21] from the
130 reconstructed ion images.

131 In order to validate the semi-quantitative potential of DESI, the outer parts of the grapevine stem
132 (xylem + phloem + cortex), termed the outer pith region, were separated from the pith, and the

133 quantities of malic, tartaric and citric acids in the two sample types were measured by IC. The
 134 results are shown in Figure 2b. A Wilcoxon signed-rank test ($p<0.01$) clearly show that malic
 135 acid is present in comparable concentrations in the pith and outer pith region, while citric acid
 136 and tartaric acid are present in significantly different concentrations (citric acid is more abundant
 137 in the outer pith region and tartaric acid is present in greater concentrations in the pith (Fig 2b)).
 138 The IC results are therefore in agreement with DESI for tartaric and citric acid, but they do not
 139 confirm the quantitative distribution of malic acid. DESI is a surface analysis technique, while IC
 140 measures averaged concentrations ('bulk' mainly) of the same metabolites, so it could be argued
 141 that comparison of the two methods is unfair. We therefore designed an imaging experiment in
 142 which the grapevine stem tissue sections were uniformly enriched in two xenobiotic compounds
 143 (adipic and glutaric acids) with physico-chemical properties quite similar to the endogenous
 144 acids under investigation (see Materials and Methods).

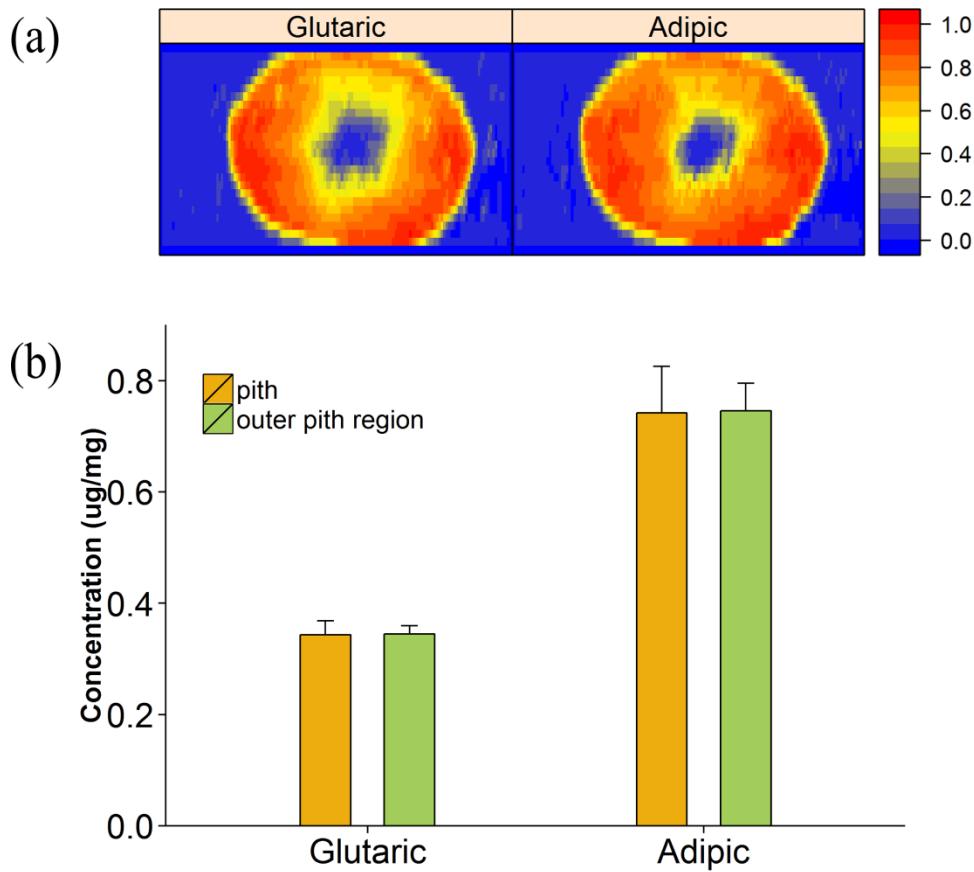


145

Fig 2 Quantitative mapping of three major organic acids, malic, tartaric and citric acids, in one-year-old grapevine stem. **(a)** DESI imaging of the three organic acids at negative ion mode. The color bar represents TIC normalized ion intensity from 0 (blue) to 1 (red). **(b)** IC quantification of the three organic acids in the pith and outer pith region of one-year-old grapevine stem. Values represent mean+SD (n=3). Statistical differences were calculated using a Wilcoxon signed-rank test. Asterisks denote significant differences ($P<0.01$) in the organic acids between the pith and outer pith region.

146 Before proceeding to the DESI imaging, uniformity of enrichment of the stem sections was
147 checked by ESI-MS. The pith and outer pith region of several stems were manually separated
148 and the relative concentrations of glutaric and adipic acids in the extracts were measured by
149 Direct Infusion ESI-MS (see Appendix 2). The results, shown in Figure 3b, show that there are
150 no statistically significant differences between the quantities of the two xenobiotics in the
151 different parts of the grapevine stem, confirming that the samples were uniformly enriched in
152 adipic and glutaric acids.

153 The enriched stem sections were then imaged by DESI. The results, shown in Figure 3a, revealed
154 that glutaric and adipic acids were detected to a lesser extent in the pith region compared with
155 the remaining part of the stem. As discussed in ‘Materials and Methods’, the different DESI
156 imaging scans were normalized to the corresponding Total Ion Current (TIC) to account for local
157 changes in overall ionization efficiency. These results show that in DESI imaging measurements,
158 the matrix effect due to the different physico-chemical properties of the tissue surface has a
159 strong impact on the desorption response factors of the metabolites and hence on their semi-
160 quantitative detection.



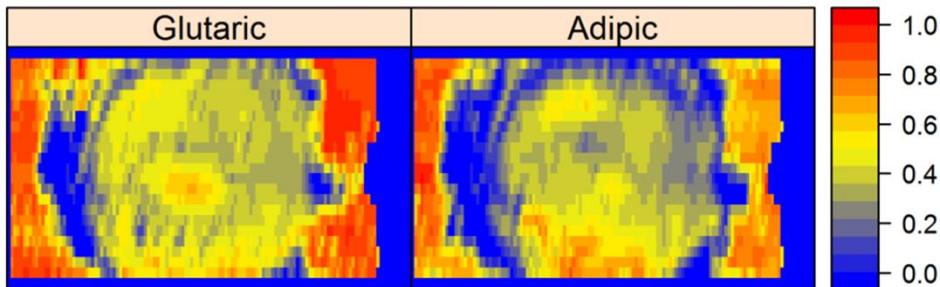
161

Fig 3 Quantitative mapping of exogenous glutaric and adipic acids in one-year-old grapevine stem. **(a)** DESI imaging of the two organic acids at negative ion mode in one-year-old grapevine stem enriched in glutaric and adipic acids. The color bar represents TIC normalized ion intensity from 0 (blue) to 1 (red). **(b)** Quantification through direct infusion ESI-MS of the two organic acids in the pith and outer pith region of one-year-old stem enriched in glutaric and adipic acids. Values represent mean+SD (n=3). No statistical differences were found between pith and outer pith region ($P<0.01$) for both organic acids according to a Wilcoxon signed-rank test.

162 *Strategies to correct for surface effects*

163 Going one step further, we investigated how these surface effects could be minimized. Since, as
164 already discussed, TIC normalization is not a suitable solution to this problem, the addition of

internal standards was considered. When making DESI measurements, internal standards can be added to the DESI spray solvent, an approach already reported in the literature to improve quantification accuracy [9]. We tested this approach in imaging applications by adding glutaric and adipic acids (0.5 mM) to the solvent spray. For this to be a useful tool for avoiding and/or measuring surface effects, the signal of these standards should change during acquisition depending on local tissue physico-chemical properties. The images reconstructed for the internal standards are shown in Figure 4 and show a rather uniform distribution of glutaric and adipic acids, indicating that the signals of the two acids are not highly dependent on the characteristics of the surface. These results contrast with those observed when the two acids were ‘added’ to the grapevine stem and tell us that differences in surface effects cannot be controlled by simply adding a “calibrant” to the spray solvent. This could be explained by the fact that desorption is not necessary in the ionization process when internal standards are sprayed in DESI solvent.



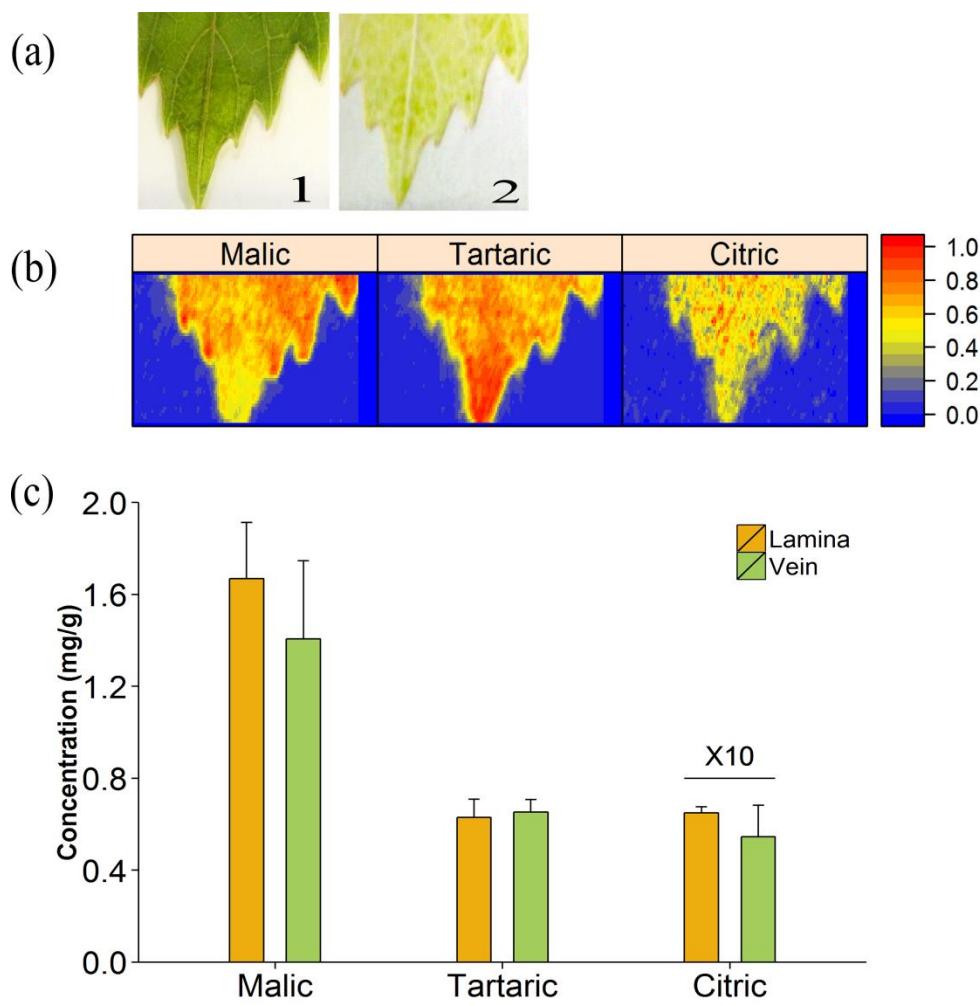
177

Fig 4 DESI imaging of glutaric and adipic acids added to the spray solvent. The color bar represents TIC normalized ion intensity from 0 (blue) to 1(red).

An attractive alternative, especially in untargeted DESI experiments, to minimize surface effects on the efficiency of metabolite desorption is to ‘imprint’ the tissues on a uniform surface while preserving the original distribution of the metabolites. This indirect DESI imaging has been applied in several contexts using porous Teflon [21-23], PTFE [15], print paper or TLC plate [24].

183 To verify the ability of imprinting in compensating the surface effects, the ideal way is to map
184 the distribution of organic acids from the imprint of grapevine stem on PTFE surfaces, and then
185 compare the results with the direct DESI imaging results. We have tried imprinting grapevine
186 stem onto the PTFE surface but the results are not satisfying. The reasons are: 1). the grapevine
187 stems used in our experiment were harvested at post-veraison stage and the water content in the
188 grapevine stem at that time is too low to allow adequate organic acid transfer. 2). although
189 increasing the pressure during imprinting improves the organic acid transfer efficiency but
190 meanwhile the transfer efficiency difference between pith and outer pith region is also increased
191 as their structures differ significantly (pith is spongeous and soft and outer pith region is hard and
192 woody). 3). a recent study demonstrated that metabolite transfer efficiency can be improved with
193 the assistance of solvent extraction and/or heating during imprinting, while ion intensity varies
194 significantly under different solvent and/or heating conditions [26]. To avoid bias and exclude
195 the possible effect brought by the organic acid transfer efficiency differences between pith and
196 outer pith region on our DESI imaging results, we used grapevine leaf (Fig 5a) in our experiment
197 as it is water-rich and the structural difference between leaf lamina and vein is minimum (the
198 organic acid transfer efficiency difference is also minimum). As before, semi-quantitative
199 distribution of malic, tartaric and citric acids in the grapevine leaf obtained from DESI images
200 was compared with IC by separating the lamina and vein of leaves. DESI images revealed no
201 differences between leaf lamina and leaf vein for the three organic acids (Fig 5b), consistent with
202 the results obtained by IC (Fig 5c). Although we focused on a small number of compounds in
203 only one tissue type, our results suggest that imprinting might be useful in minimizing desorption
204 differences due to surface effects in structurally or biologically heterogeneous tissue. However, it
205 is important to point out that this method is more suitable for water-rich samples and does not

206 yield good outputs for harder tissues like grapevine stem. A recent study demonstrated that
 207 metabolite transfer efficiency can be improved with the assistance of solvent extraction and/or
 208 heating during imprinting, while ion intensity varies significantly under different solvent and/or
 209 heating conditions [25]. Spatial resolution is another concern, as metabolites could be smeared
 210 during pressing [1]. Furthermore, we cannot exclude the possibility that homogeneous detection
 211 of the three organic acids over the grapevine leaf blade might be due to the fact that differences
 212 in their concentrations between leaf lamina and vein are averaged out during pressing. It is our
 213 opinion, therefore, that imprinting could be a promising technique to minimize surface effects,
 214 although it should be borne in mind that the overall approach needs further validation.



215

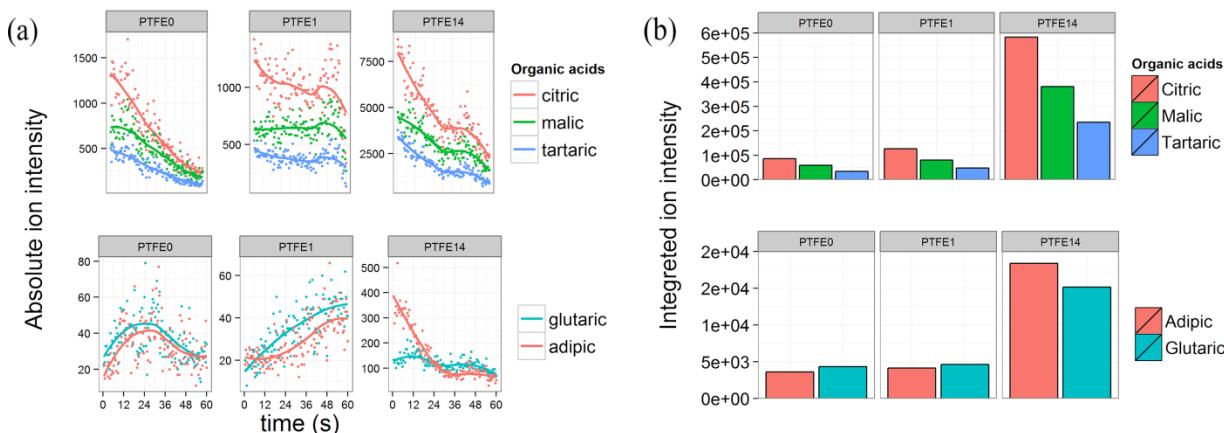
Fig 5 Quantitative mapping of three major organic acids (malic, tartaric and citric acids) in grapevine leaf blade. **(a)** Optical images of the grapevine leaf (1) and its imprint on a PTFE surface (2). **(b)** DESI imaging of the three organic acids at negative ion mode. The color bar represents TIC normalized ion intensity from 0 (blue) to 1(red). **(c)** IC quantification of the three organic acids in leaf lamina and leaf vein. Values represent mean+SD (n=3); values for citric acid are increased 10 times for better visualization. No statistical differences were found between leaf blade and leaf vein ($P<0.01$) for each organic acid according to a Wilcoxon signed-rank test.

216 **4.3.2 DESI Profiling on PTFE**

217 In the previous section, the role of surface effects on the output of DESI imaging experiments
218 was investigated. These phenomena are likely due to differences in the local physico-chemical
219 properties of the tissue surfaces. To understand how these properties affect the desorption
220 process, we performed a series of DESI profiling experiments on PTFE surfaces with different
221 porosities. Three surfaces, a) flat PTFE, b) PTFE with a pore size of 1-3 μm , 25-30% porosity
222 (PTFE1), and c) PTFE with a pore size of 7-14 μm , 45-50% porosity (PTFE14), were used to
223 mimic a heterogeneous sample tissue. A solution (2.5 mM) of five organic acids was spotted on
224 the surfaces and analyzed by DESI.

225 Results of the DESI analysis are shown in Figure 6. The six plots in Figure 6a show how the
226 absolute ion intensity of the 5 organic acids varies over time on the three different surfaces.
227 Figure 6b, on the other hand, displays the absolute integrated signal over 1 minute (note that the
228 relative abundances of the 5 organic acids vary with different integration times as they do not
229 decay at the same rate (Fig S2)). Although deposited in equimolar amounts, ion intensities vary
230 widely among the 5 organic acids. The integrated ion intensities for citric acid, for example, are
231 at least 20 times higher than those for adipic acid on all three PTFE surfaces. This result is not

232 unexpected and is primarily due to their intrinsic pK_{a1} differences which largely determine their
 233 ionization efficiencies [26], the pK_{a1} values of citric acid being the lowest and adipic acid the
 234 highest [27, 28]. Figure 6b shows that, in terms of absolute response, PTFE14 gives optimum
 235 performance, followed by PTFE1 then flat PTFE. In terms of signal decay over time, figure 6a
 236 shows that the ion intensity of each organic acid is relatively stable on PTFE1, while flat PTFE
 237 has the poorest signal stability, the signals of glutaric and adipic acids disappearing after 1.5 min
 238 (data not shown). As expected, the compounds are more readily washed away from the flat
 239 surface, and this also affects the absolute intensity of the signal over 1 minute. On the other hand,
 240 PTFE1 porosity seems better able to ‘cage’ the metabolites on its surface and to therefore
 241 produce a more stable signal. More generally, it is known that surface roughness and porosity
 242 affect the degree of sample spreading upon spotting as well as the degree of washing away upon
 243 spay solvent splashing [6-9].



244

Fig 6 Absolute ion intensity of malic, tartaric, citric, glutaric and adipic acids detected on 3 different surfaces, PTFE0 (flat PTFE), PTFE1 (PTFE with pore size 1-3 µm) and PTFE14 (PTFE with pore size 7-14 µm), at negative mode. **(a)** Absolute ion intensity of each organic acid as a function of time. **(b)** Integrated ion intensity of each organic acid for 1 min.

245 As the model PTFE surfaces are chemically inert to the organic acids and DESI solvent, DESI
246 profiling results on the PTFE surfaces suggest that the local physical properties of the tissue
247 surfaces strongly affect the desorption process as well as their relative quantitative detection (in
248 terms of integrated ion intensity).

249 **Conclusions**

250 It is known that sample surface properties affect the desorption processes in MSI, while it is
251 often ignored that different surface properties within a structurally/biologically heterogeneous
252 sample tissue can largely affect the quantitative detection of analytes, and may result in the MS
253 images misrepresenting their true distribution. This phenomenon has also been reported in
254 MALDI [29] and SIMS imaging [30]. Attention should be paid in interpreting biologically
255 related questions when using this type of imaging information, as differences in the quantitative
256 distribution of a metabolite in a sample tissue might simply reflect differences in specific
257 physico-chemical interactions with the matrix and not differences in relative amounts.

258 Our investigation suggests that imprinting could be a solution for minimizing these surface
259 effects, although the effectiveness of this strategy requires further investigation. As far as the
260 addition of external standards is concerned, our results clearly indicate that the addition of a
261 reference compound to the DESI spray has no significant effect, but enrichment of the samples
262 with close analogues or stable isotope labeled compounds could be the way to go, similarly to
263 what is normally done in MALDI [31, 32] and DESI [33]. It is important to point out, however,
264 that this solution is expected to be optimal only in the case of targeted analysis because the ‘local
265 environment’ can affect different metabolites in different ways. This observation *de facto* limits
266 the value of untargeted imaging studies if their outcomes are not suitably validated.

267 The results of our imaging experiments, however, were highly reproducible, and showed
268 consistent spatial distributions of organic acids in several stems of different plants collected in
269 two growing seasons. Even where grapevine stems were dipped in an adipic and glutaric acid
270 solution for 1 h, the distributions of malic, tartaric and citric acids did not alter significantly (Fig
271 S3). Our results suggest that ion intensity in a DESI image is a function of metabolite
272 concentration and tissue surface properties when instrumental and ion source parameters are
273 constant. This ion intensity can be used as a characteristic descriptor of tissue type since both
274 metabolite content and tissue surface are tissue specific. This information is potentially useful in
275 distinguishing both intra- (as shown in grapevine stem) and inter-sample tissue differences,
276 which are often impossible to resolve with a microscope. In this study, we successfully
277 distinguished 6 different grapevine tissue types using Principal Component Analysis on ion
278 intensities of 8 organic acids directly extracted from DESI images (Fig S4).

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355

1

Chapter 5

2 **High production of small organic dicarboxylate dianions 3 by DESI and ESI**

4 Yonghui Dong, Graziano Guella, Fulvio Mattivi, Pietro Franceschi

5

6 **Notes:**

7 This chapter has been prepared for submission. Dong Y. conducted the experiment, performed
8 data analysis and wrote the draft; Guella G. designed the experiment, revised and approved the
9 final manuscript; Mattivi F. approved the final manuscript; Franceschi P. conceived and design
10 the experiment, revised and approved the final manuscript.

11 **5.1 Introduction**

12 Small organic dicarboxylic acids (SODAs) play an important role in many biological systems. In
13 the specific case of plants they are key intermediates in carbon metabolism and can be present in
14 high concentrations - often stored as K⁺ salts - with important implications for the production of
15 beverages like juices or wine.

16 Since dicarboxylic acids (H₂DCA) such as succinic, glutaric, adipic, malic and tartaric acid have
17 pK_{a2} values in water lower than 6, in alkaline aqueous solutions they are expected to be present
18 mostly as dicarboxylate anions (DCA²⁻) followed by minor relative amount of the
19 monoprotonated species HDCA⁻. However, DCA²⁻ species are rarely (and scarcely) detected by
20 mass spectrometric techniques no matter of the used ionization source used to carry these ions in
21 the final gas phase [1]; in fact, due to their high gas phase proton affinity they easily tend a) to be
22 protonated during solvent evaporation leading to HDCA⁻ or b) to lose one electron leading to the
23 corresponding radical anion or c) to dissociate into singly charged adduct ions and neutral
24 fragments. For a given carbon backbone, the gas phase stability of DCA²⁻ can be increased by
25 introducing additional functional groups which allow charge delocalization and/or favour
26 intramolecular hydrogen bonding, as in the case of tartaric acid [2]. This complex behaviour
27 coupled with a relatively simple structure has made SODAs an ideal system to study
28 fundamental molecular phenomena like Coulomb repulsions [3] and solute-solvent interactions
29 [4, 5]. From an experimental point of view, ESI should be the technique of election to produce
30 gas phase DCA²⁻, but it has been always quite difficult to produce these ionic species in
31 significant amount, at least for H₂DCA with low molecular weight. In particular, a recent work
32 by Tonner et al. demonstrates that the tartaric dianion (TA²⁻) can be produced by ESI, albeit with
33 low efficiency, only under restricted experimental conditions by hindering their spontaneous
34 thermodynamic decomposition [2].

35 Since it is widely accepted that DESI follows an ESI-like dynamics [6, 7], the production of TA²⁻
36 specie in DESI-MS spectra was expected to be also highly inefficient. However, during
37 Desorption Electrospray Ionization (DESI) imaging of SODAs in grape tissues (Figure 1), we
38 have observed a relatively strong signal at m/z 72 attributable to this tartrate dianion, thus
39 demonstrating that it can be produced in good yields also in standard MS conditions. As a
40 consequence, this outcome seems to suggest the presence of significant and subtle differences
41 between DESI and ESI ionization mechanisms.

42 In order to understand the mechanism of formation of DCA²⁻, we designed a series of DESI and
43 ESI experiments on SODAs differing for the length of the carbon backbone and the number of
44 hydroxyl groups. In particular, we analyzed a) 3 H₂DCA acids of general structural formula
45 HOOC-(CH₂)_n-COOH, i.e. succinic (n=2,H₂SA), glutaric (n=3,H₂GA) and adipic acid (n=4,
46 H₂AA) and b) two hydroxylated dicarboxylic acids, malic (HOOC-CH₂-CHOH-COOH, H₂MA)
47 and meso-tartaric acid (HOOC-CHOH-CHOH-COOH, H₂TA) (see Figure 1).

48 Since in grape tissue SODAs are often stored as potassium salts [8], different SODA potassium
49 salts were used as starting point of all the MS experiments as prepared by titration of the
50 corresponding acid with KOH (potassium hydroxide); in order to investigate any possible role
51 played by counter-ions, we prepared (by titration with NaOH and LiOH) the corresponding
52 sodium and lithium salts (for the details see the Experimental Section). DESI analysis was
53 carried out on SODA aqueous solutions deposited and air dried on a chemically inert porous
54 Teflon surface. For ESI measurements, the same SODA aqueous solutions were directly injected
55 into the ion source by a syringe pump. To minimize possible differences due to different
56 composition of needle-sprayers, the DESI sprayer was also used as ESI source by adjusting the
57 source geometry (see Experimental Section).

58 **Experimental section**

59 Acetonitrile was purchased from Sigma-Aldrich (Italy), water was purified using a Mili-Q water
60 purification system (Sartorius Stedim biotech GmbH, Germany). Succinic (>99.5%), malic
61 (>99.5%), glutaric (>99.0%) and adipic acids (>99.5%) were purchased from Fluka AG
62 (Germany), L-tartaric acid (99.5%), lithium hydroxide monohydrate (99%), sodium hydroxide
63 (>97%) and potassium hydroxide (>85%) were from Sigma Aldrich (Italy).

64 The dicarboxylate salts were produced by mixing equal volumes (20 mL, water/acetonitrile 1:4)
65 of 0.5 mM aqueous solutions of each H₂DCA (succinic, malic, tartaric, glutaric and adipic acids)
66 a) with a molar excess (1.5 mM) of alkali hydroxides (LiOH, NaOH, KOH) or b) with 2 mol
67 equivalent (1.0 mM) of the same hydroxides. Three microliter of each solution was deposited on
68 a porous PTFE sheet (pore size 1-3 µm, porosity 25-30%, Porex Corporation, Germany) and then
69 was air dried before DESI analysis. Each solution was analysed 6 times with an acquisition time
70 of 1 min.

71 All the MS experiments were performed using a Thermo-Fisher Scientific LTQ-Orbitrap XL
72 mass spectrometer (Bremen, Germany) equipped with an OmniSpray™ ion source from Prosolia

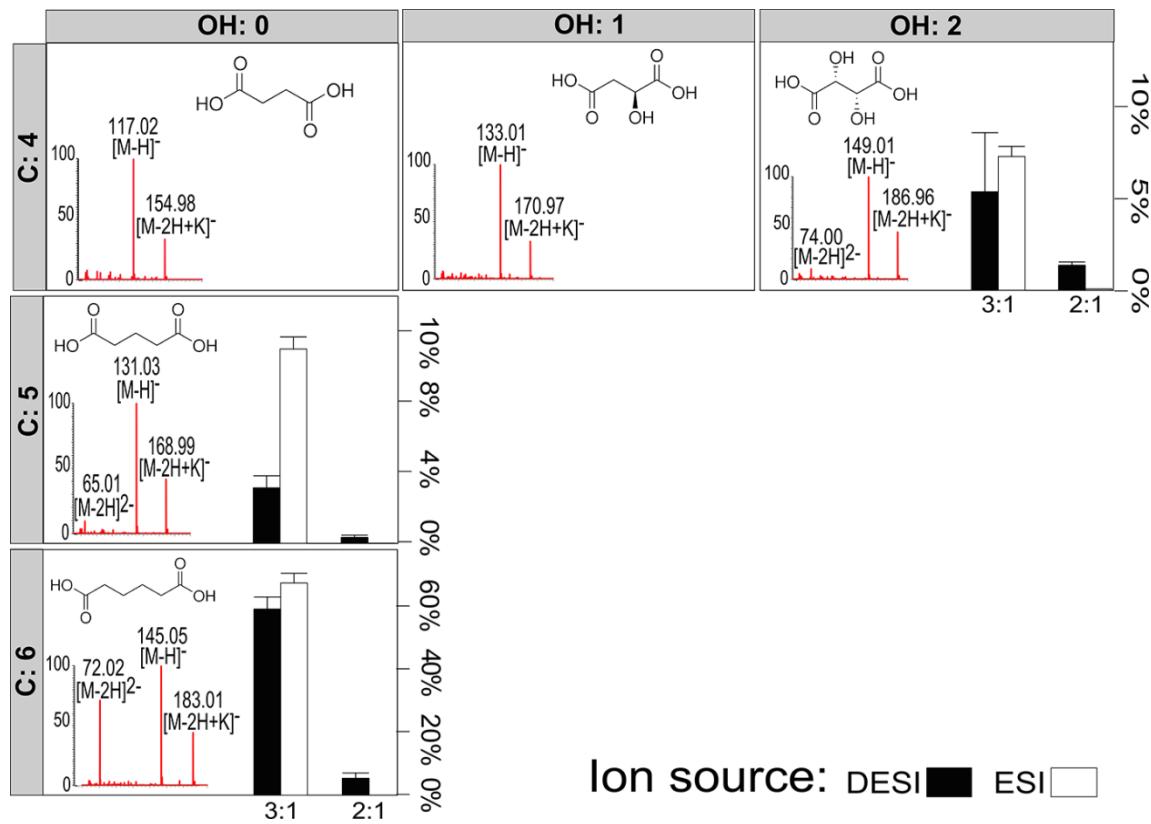
73 Inc. (Indianapolis, IN). The typical instrumental parameters for standard DESI were: -100 V tube
74 lens voltage, -10 V capillary voltage, 5 kV spray voltage, 200 °C capillary temperature, 2 micro
75 scans, 200 ms MS injection time. Mass spectra were acquired in full scan negative mode over the
76 m/z range of 50-200. The DESI source parameters used were: 55 degree spray angle, 1-1.5 mm
77 tip-to-surface distance, ~ 4 mm tip-to-inlet distance, 10° collection angel, 8 bar nitrogen carrier
78 gas pressure. A mixture of Milli-Q water and acetonitrile (1:4, vol/vol) was used as spray solvent
79 and delivered at the flow rate of 3 $\mu\text{l min}^{-1}$.

80 ESI measurements were carried out by simply changing the DESY spray angle close to 45
81 degree, while keeping other instrumental and ion source parameters constant. Analyte solutions
82 were injected directly into the inlet at the flow rate of 3 $\mu\text{l min}^{-1}$. Each solution was analyzed 6
83 times with an acquisition time of about 1 min.; ion source was washed by spraying Milli-Q
84 water/acetonitrile 1:4 (v/v) for about 10 min at the flow-rate of 8 $\mu\text{l min}^{-1}$.

85 **5.2 Results and Discussion**

86 The outcomes of the complete set of our experiments is summarized in Figure 1 which shows the
87 intensity of the DCA^{2-} ion with respect to the intensity of the corresponding base peak ($[\text{M}-\text{H}]^-$,
88 always the most intense peak in the MS spectra). Only the results for K_2DCA salts are shown,
89 but similar data were obtained for the corresponding sodium and lithium salts (see Figure 2S and
90 Figure 3S in Appendix 3 for Na_2DCA and Li_2DCA salts, respectively).

91



92 **Figure 1.** DCA^{2-} ion yields as observed in ESI and DESI ion-sources for K_2DCA aq. solutions.
 93 Dianion yields were calculated by the ratio of abundance of dianions to those of their respective
 94 deprotonated singly charged ions. Values represent mean \pm SD ($n=6$). ‘3:1’ represent salts
 95 obtained from DCA by using molar excess (3:1) of KOH whilst ‘2:1’ represent salts obtained
 96 from DCA by using two molar equivalents (2:1) of KOH.

97 Each panel of the plot displays the results relative to SODAs with a different carbon backbone
 98 (first column) bearing a different number of hydroxyl groups (first row). The leftmost barplot
 99 illustrates the results obtained with aqueous solutions prepared by KOH/DCA in 3:1 ratio. In this
 100 condition for both sources, DCA^{2-} starts to be detectable for a carbon chain of at least five carbon
 101 atoms and the relative intensity, as expected, increases with the number of carbons. A longer
 102 chain, indeed, means a bigger separation between the two charges and the subsequent reduction
 103 of Coulomb repulsion stabilizes the doubly charged ion. Our findings are in keeping with
 104 previous investigations, which demonstrated that $\text{HOOC-(CH}_2)_2\text{-COOH}$ is not stable in the gas
 105 phase [5, 9]. A simple calculation of the Coulomb repulsion energy $E_{\text{rep}} = e^2/4\pi\epsilon_0 r$ between two
 106 electrons, at a distance r , suggests that the two negative charges in a molecule with a mutual

107 electron affinity of 3eV (electron affinity of carboxylate radical anions is about 3eV) can be
108 stable when they are more than 5Å apart [2, 10]. The charge separation distance of 5.3Å for
109 succinate estimated by Wang and co-workers [3] rationalizes why bare succinate dianion is not
110 observable in the gas phase. In our conditions, the increase in the relative intensity with
111 increased length of the chain is remarkable: for HOOC-(CH₂)₄-COOH the DCA²⁻ is as high as 60%
112 of the deprotonated singly charged ion DCA⁻. ESI and DESI seem to give qualitatively
113 comparable results, even if the ESI relative yield was found a bit higher for HOOC-(CH₂)₃-
114 COOH.

115 The role of the hydroxyl groups on the four carbon backbone is displayed in the first row of
116 Figure 1. In our measurements, only H₂TA (bearing 2 hydroxyl groups) shows efficient
117 production of DCA²⁻ ions in both DESI and ESI. Again, this result is in agreement with
118 experiments and *ab-initio* calculations carried out by Tonner and co-workers [2], who
119 demonstrated that TA²⁻ is the smallest dicarboxylate stable in the gas phase. Interestingly,
120 however, in our experiments we have been able to produce a much stronger signal for the TA²⁻ at
121 m/z 72 (around 8% of TA⁻) than that reported in recent literature [2] where this species has been
122 barely detected only under highly restricted ESI conditions. The observed strong DCA²⁻ ion yield
123 is difficult to rationalize, we only have the experimental hint that ESI/DESI DCA²⁻ ion yield is
124 higher on strongly alkaline solutions prepared by using a molar excess of metal hydroxides.
125 From one side the expected higher pH of these solutions ensures higher molar fraction of TA²⁻
126 species even because the pK_{a2} of H₂TA in acetonitrile/water solution (4:1, v/v) should be much
127 higher (at least of 5 magnitude order) than in aqueous solution. From the other side, however,
128 higher concentration of the metal cations should lead, during ESI/DESI processes, to relevant
129 formation of neutral (such as K⁺--TA²⁻--K⁺) or singly charged (TA²⁻--K⁺) ion-pairs. The results
130 seem to suggest that during ionization the metal ions can be selectively and efficiently “caged”
131 by hydroxyl groups and they are not available to partially (or completely) neutralize the most
132 abundant DCA²⁻ species in strong alkaline conditions.

133 To confirm the role of the free OH⁻ present in solution we reduced their amount, by using double
134 metal salts to prepare the initial solution. The results of the experiments performed in these new
135 conditions are summarized in the right barplot in Figure 1 (2:1). The effect of the reduction of
136 free OH⁻ on the ESI spectra is striking: DCA²⁻ is practically undetectable in all cases. A minor
137 signal is still visible (0.075% for TA, 0.006% for AA), but it is almost comparable with the

138 chemical noise and in line with that observed in literature [2]. This experiment highlights the
139 role of OH⁻ in promoting the production of the dianions: obviously their presence is not affecting
140 the molecular properties of the OAs, but it can reduce the extent of ion pairing K⁺-- DCA²⁻--K⁺
141 in ESI conditions.

142 The situation in DESI, however, is now different. The DCA²⁻ yield is indeed lowered, but the
143 signals are still clearly present, indicating that in DESI, DCA²⁻ can be efficiently produced also
144 when free from OH⁻ groups. A straightforward way to account for this observation is to suppose
145 that in DESI there is another active “caging” mechanism which mimics the effects of the free
146 OH⁻ excess. The particular nature of DESI suggests a possible solution. It is known that DESI
147 involves the landing and releasing of charges on the surface [11], but when the surface is an
148 insulator this will produce a local charge accumulation. In negative ion mode, the surface is
149 expected to be negatively charged and it can attract and cage the K⁺ ions thus favoring the
150 formation of DCA²⁻ ions in the gas phase.

151 **5.3 Conclusions**

152 We have proposed a simple and efficient method to produce DCA²⁻ in DESI and ESI by
153 introducing an excess amount of OH⁻ in dicarboxylate solutions. Although the ionization
154 dynamics is similar in DESI and ESI, our results indicate that the surface in DESI play a crucial
155 role in determining the nature of the observed gas-phase ions. Our results have been obtained on
156 a PTFE model surface, but the same phenomena are expected to happen if DESI is used to
157 analyze plant tissue sections. There, the large amount of OH-rich polymers is expected to bind
158 metal ions, favoring the formation of DCA²⁻ observed during our imaging experiments. From the
159 analytical point of view, our study suggests that DCA²⁻ signal could be used in MS imaging
160 experiments as a proxy to measure the distribution of SODA salts in plant tissues. The possible
161 application of this idea will be the subject of further investigations.

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191

1

Chapter 6

2

Conclusions and Future Work

3

6.1 Conclusions

4 In this thesis some of the central issues which have to be faced for the fruitful application of MSI
5 to plant tissues have been addressed by using MALDI and DESI, for mapping the distribution of
6 small metabolites in apple and grape, respectively.

7 High spatial resolution MALDI ion source coupled with high mass resolution Orbitrap was used
8 to image the distribution of flavonols and dihydrochalcones in different parts of apple. To
9 increase the chemical selectivity of this type of experiments, a novel data analysis approach
10 based on Intensity Correlation Analysis has been also developed.

11 DESI imaging was used as a fast technique because it allows rapid analysis under ambient
12 conditions without or with limited sample preparation. For this reason we have been using it to
13 map the distribution of small organic acids in different grape tissues. Our investigation has
14 demonstrated that the technique is reproducible and fast, but the sample surface properties
15 largely affect the ionization process of organic acids. Furthermore, different surface properties in
16 a structurally/biologically heterogeneous sample influence the ionization of target analytes at
17 different degrees, resulting in the MS images misrepresenting their true distribution. The use
18 of Hyphenated mass spectrometry quantification methods such as GC-MS or LC-MS was necessary.
19 Our experiments suggest that the impact of these phenomena could be reduced by tissue
20 imprinting, but the potential of this approach will need further validation on different tissues and
21 different analytes.

22 Our DESI experiments have been the occasion to investigate the mechanistic details of DESI
23 ionization by studying the formation of small doubly charged anions in analytical conditions. It
24 has been generally accepted that ionization in DESI occurs by ESI mechanism, during our DESI
25 imaging of tartrate in grape stem, we have observed a strong ion signal corresponding to the
26 doubly charged anion of tartrate, while an ESI-based study failed to observe the bare tartrate
27 dianion. This leads our interest to study the factors that can stabilize dianions in DESI. Our

28 results on several dicarboxylates using DESI and ESI suggest that the surface charging in DESI
29 could trap the counterions, thus enhancing the doubly charged ion production.

30 **6.2 Future directions**

31 MSI is becoming an indispensable tool for molecular imaging of plants. It has been applied to
32 study plant development, plant-environment interactions and functional genomics, but most of
33 the studies are still focused on method development and technological application. Several
34 factors are responsible for this situation. First of all experiments are time consuming so it is
35 indeed difficult to analyze tissues in a real high throughput manner. Chemical sensitivity and
36 low chemical specificity are another concern and this often requires the use of more standard
37 validation analytical tools like GC-MS and LC-MS. The algorithms/software used for data
38 analysis is another limiting factor: the use of MSI to foster biological interpretation requires
39 being able to go beyond the sole production of nice pictures, making the analysis automatic and
40 reproducible.

41 To make MSI an “off the shelf” tool which can be used to efficiently solve biological problems,
42 advancements are then needed in several areas.

43 **6.2.1 Sample preparation**

44 Sample preparation is crucial to ensure the true distribution of analytes of interest. Small
45 mistakes in sample preparation might significantly alter the quantitative detection of analyte
46 molecules, resulting in misleading biological conclusions. Although many of the current standard
47 MSI sample preparation methods have not changed significantly from those initially reported,
48 plant sample preparation methods should be specifically investigated according to characteristics
49 of the MSI instrument, nature of sample tissues and analytes of interest. In addition, sample
50 preparation should be developed to be able to introduce the possibility of *in vivo* imaging with
51 high temporal resolution (i.e. developing more ‘soft’ spray solvent system for DESI imaging).

52 **6.2.2 Data analysis**

53 Although a number of software packages are available for MSI image construction and/or MS
54 imaging data analysis, there are many challenges to be overcome. For example, the large dataset

55 produced in MSI (i.e. a typical MALDI imaging dataset is comprised of 5,000-50,000 spectra,
56 each having 10,000-100,000 intensity values) requires more time and memory efficient methods.
57 Most of the current data processing methods are directly adopted from conventional mass
58 spectrometry data analysis approaches. There are a lot to be done for MSI ion source and MSI
59 dataset specific data analysis algorithm development. In addition, strategy regarding how to
60 effectively co-register and MSI images and optical image is needed.

61 **6.2.3 Quantitative imaging**

62 There are many issues associated with semi-quantitation of MSI. Matrix effects, ion suppression
63 (for MALDI), spray solvent system (for DESI), ionization efficiency and sample surface
64 properties (for all MSI methods) are all factors that limit measured signal intensity truly
65 representing target compound concentration. In particular, sample surface property differences
66 over the sample tissue might mask the true distribution of analytes of interest as ionization
67 efficiency is strongly affected by sample surface characteristics. Due to this reason, other
68 quantification methods should be used to validate the MSI results. Isotopically labeled
69 compound could be used for targeted MSI quantification by spraying it homogeneously over the
70 sample surface prior MS imaging, while quantification for untargeted MSI is still challenging.
71 One might subject the sample for LC-MS or GC-MS analysis to search for potential analytes of
72 interest, and then use targeted MSI quantification strategy to acquire their exact quantitative
73 distribution. However there are several limitations for this approach; it requires more samples,
74 and the biomarkers are difficult to found if they are only highly localized in a small sample
75 region. More versatile quantification methods for untargeted MSI are required.

76 **6.2.4 High spatial resolution MSI**

77 SIMS imaging is superior for high spatial resolution imaging of elements and small molecules at
78 organelle level. However, it lacks the sensitivity of the mass range over m/z 1000 due to in-
79 source fragmentation and molecular identification ability due to MS/MS incapability. The spatial
80 resolution of MALDI imaging is partially limited by the size of matrix crystal, and DESI by the
81 plume of spray solvent. Besides the efforts toward novel ion sources development, future work
82 need to focus on new matrix development (for MALDI), spray solvent system (for DESI), and
83 sample method to improve both spatial resolution and chemical sensitivity.

Appendix 1:

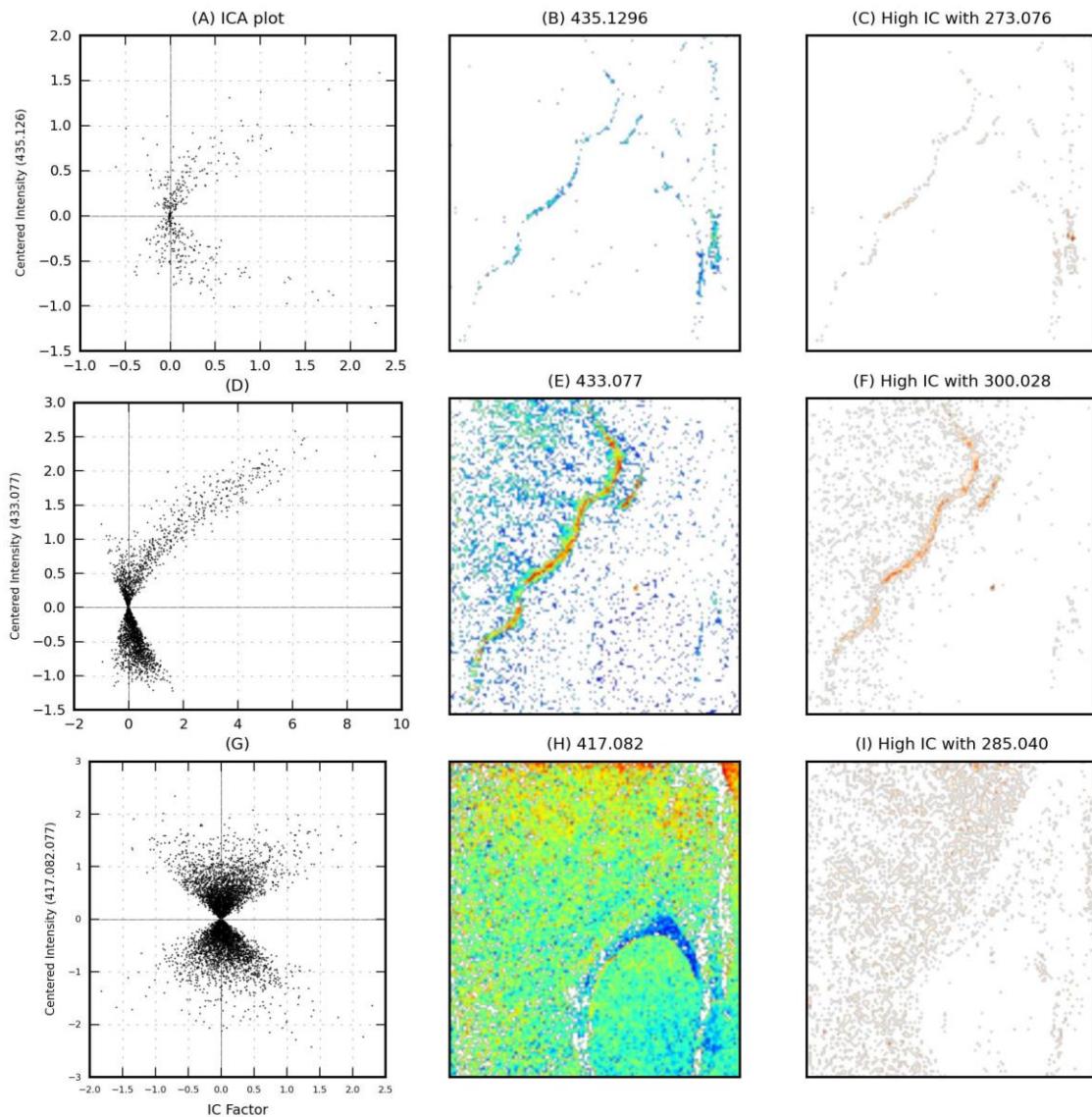


Figure S1: Pericarp region. MS images have been acquired with a raster step of 150 μm . (A,B,C) Intensity Correlation Analysis for masses at m/z 435.129 (assigned to phloretin-6S) and m/z 273.076 (assigned to phloretin). (A) ICA plot. (B) Image reconstructed from XIC at m/z 435.129 (logarithmic scaling, intensity from blue (low) to red (high)). (C) Image reconstructed from the pixels showing positive intensity correlation (scale from gray (low) to red (high)). (D,E,F) ICA analysis for the ions at m/z 433.077 (assigned to quercetin-5S) and m/z 300.028 (assigned to quercetin). (G,H,I) ICA analysis for the ions at m/z 417.082 (assigned to kaempferol-5S) and m/z 285.040 (assigned to kaempferol).

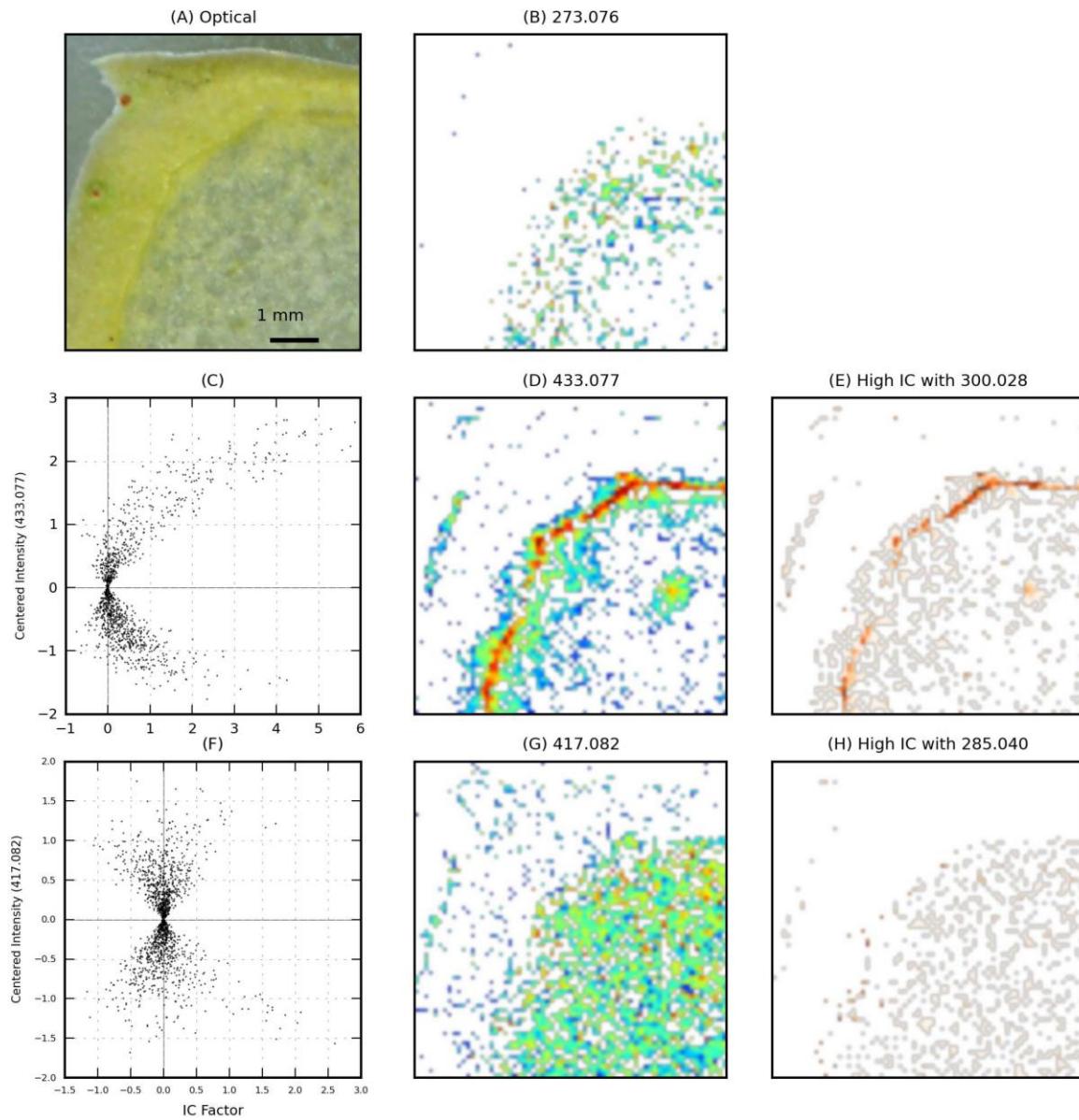


Figure S2: Skin region. MS images have been acquired with a raster step of 75 μm . (A) Optical Image. (B) Image reconstructed from XIC of m/z 273.076 (assigned to phloretin). (C,D,E) ICA analysis for the ions at m/z 433.077 (assigned to quercetin-5S) and m/z 300.028 (assigned to quercetin). (F,G,H) ICA analysis for the ions at m/z 417.082 (assigned to kaempferol-5S) and m/z 285.040 (assigned to kaempferol).

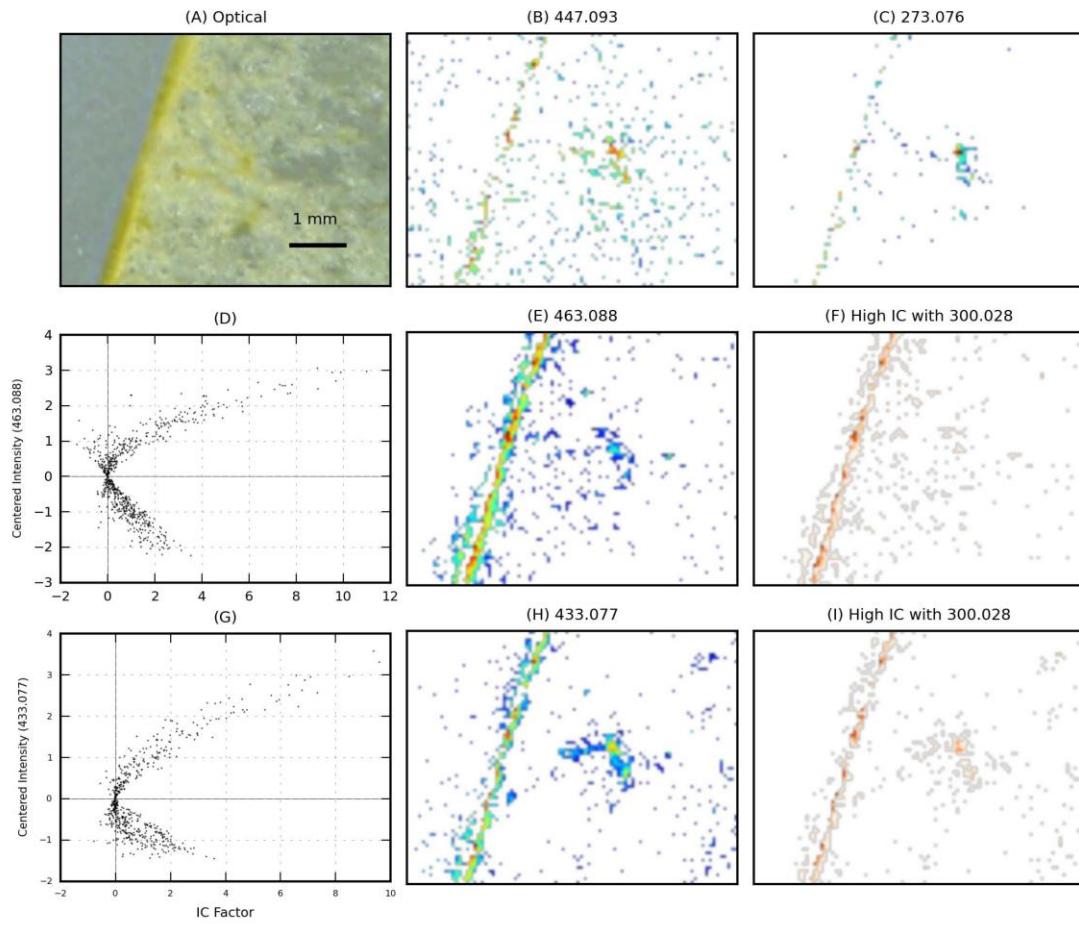


Figure S3: Skin region. MS images have been acquired with a raster step of 100 μm . (A) Optical Image. (B) Image reconstructed from XIC of m/z 447.093 (assigned to quercetin-rhamnoside). (C) Image reconstructed from the XIC of m/z 273.076 (assigned to phloretin). Note the higher intensity spot in correspondence of the cortical vascular system. (D,E,F) ICA analysis for the ions at m/z 463.088 (assigned to quercetin-6S) and m/z 300.028 (assigned to quercetin). (G,H,I) ICA analysis for the ions at m/z 433.077 (assigned to quercetin-5S) and m/z 300.028 (assigned to quercetin).

Table S1: Differences in ppm between the theoretical and the measured mass for the polyphenol glycosides detected in the three tissue sections (see Table 1). In the table only the metabolites with confirmed assignment are included. The value for the observed mass is obtained by combining all the spectra measured during the rastering of each tissue section.

Compound	Theoretical Mass	Observed Mass	Mass difference (ppm)
Endocarp Region			
Quercetin	300.0276	300.0270	2
kaempferol	285.0405	285.0397	2.7
Phloretin	273.0768	273.0759	3.3
phlor-6S	435.1297	435.1320	5.2
querc-rham	447.0933	447.0911	4.9
querc-5S	433.0776	433.0785	2.08
Skin Region: oblique section			
Quercetin	300.0276	300.0276	0
kaempferol	285.0405	285.0404	0.35
Phloretin	273.0768	273.0767	0.37
querc-5S	433.0776	433.0755	4.8
querc-6S	463.0882	463.0857	5.4
Skin Region: transverse section			
Quercetin	300.0276	300.0281	1.7
kaempferol	285.0405	285.0404	0.35

Phloretin	273.0768	273.0771	1.1
querc-5S	433.0776	433.0788	2.6
querc-6S	463.0882	463.0862	4.4

Appendix 2:

Quantification of malic, tartaric and citric acids in grape stem and grape leaf blade

Pith and out-pith-region (including xylem, phloem and periderms) were carefully separated, flash frozen in liquid nitrogen, and ground in a cryo-mill (Retsch, Haan, Germany) respectively. One gram of each was suspended in a fresh prepared extraction solution containing 1.2 ml methanol/water (2:1, vol/vol) and 0.8 ml chloroform. The extract was mixed by vortex for 10 s and sonicated for 10 min. The homogenate was centrifuged for 5 min at 5000 rpm at 4 °C. The supernatant was transferred through a 0.22 µm PVDF filter (Millipore Corporation, USA), leaving 1.5 ml total volume to a LCMS certified vials. Same method was used for extraction of the three organic acids in grape leaf lamina and leaf vein.

Organic acids were analyzed using high performance anion exchange chromatography (HPAEC) with suppressed conductivity detection (Dionex ICS-5000, Thermo Scientific). Briefly, An ion-exchange OmniPac Pax100 column (4 x 250 mm) and OmniPac Pax100 (4 x 50 mm) guard column were used to separate organic acids. A gradient mobile phase with a flow rate of 1.0 mL/min was applied using the following eluents: (A) a mixture of methanol (12%), ethanol (16%) in DI water, (B) 0.1 M NaOH in DI water and (C) 1 M NaOH in DI water. Prior to organic acids detection, eluents were suppressed by an anion suppressor (ASRS®300, 4 mm, Thermo Scientific). Pure organic acid standards were used to create the calibration curves for peak identification and quantification.

Direct infusion ESI-MS of glutaric and adipic acids in grape stem

Six calibrant solutions were prepared by a series of 10 time-fold dilution of a mother solution containing 2.5 µM/ml of glutaric and adipic acids. The 6 calibrant solutions were analyzed by a Thermo-Fisher Scientific LTQ-Orbitrap XL mass spectrometer (Bremen, Germany) coupled with an ESI source (Bremen, Germany). Typical instrumental parameters included: -120 V tube lens voltage, -10 V capillary voltage, 2 kV spray voltage, 275 °C capillary temperature, 6 sheath gas flow rate (arb), 2 micro scans, 200 ms MS injection time. Mass spectra for each calibrant were acquired in full scan at negative mode over the m/z range of 50-200 for 0.5 min, with an injection rate of 6 µl/s. Each calibrant was analyzed 6 times. Linear dynamic range for each

organic acid was determined by plotting its log-transformed concentration versus log-transformed average signal intensity at each concentration. These curves were fit to a least squares linear regression for each organic acid, the most linear $R^2 > 0.999$ (Fig S1).

Glutaric and adipic acids enriched grape stems were prepared as described in DESI imaging experiment (b). Their extractions were performed as described for IC analysis. The extracts were diluted until their signal intensities fell within the defined linear range, their concentrations in pith and out pith were then estimated from the standard curves.

DESI imaging of organic acids in various grape tissues

Leaf blade, petiole, stem, node, rachis and receptacle were collected from the same shoot at post-veraison stage for DESI imaging, in which leaf blade was imaged by imprinting method and the other grape tissue types by direct DESI imaging. Sample preparation method for direct DESI imaging was similar as described for grape stem except that the vacuum dehydration time varied from 20 min to 1h depending on the tissue type. Totally 8 organic acids, including succinic, malic, thronic, tartaric, shikimic, ascorbic and citric acids were imaged. Those 8 organic acids have been confirmed both by their exact m/z by Orbitrap with the resolution set at 60,000 and by comparison of their MS/MS to those of their standards (table S1). Instrumental and DESI source settings were the same as described in DESI imaging of organic acids in grape stem.

Ion intensities of the 8 organic acids were extracted directly from DESI images of various grape tissue samples, and were normalized against the sum of their ion intensities. Principal Component Analysis was performed on normalized ion intensities of the 8 organic acids with ChemometricsWithR package [1] to distinguish different tissue types.

References

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Table S1. List of organic acids directly detected from grape tissue samples

Organic acids	Molecular formula	Measured m/z	Theoretical m/z	Mass accuracy (ppm)	MS/MS confirmed
Succinic	[C ₄ H ₆ O ₄ -H] ⁻	117.01919	117.01933	-1.213	Yes
Malic	[C ₄ H ₆ O ₅ -H] ⁻	133.01392	133.01425	-2.455	Yes
Threonic	[C ₄ H ₈ O ₅ -H] ⁻	135.02968	135.02990	-1.604	Yes*
Tartaric	[C ₄ H ₆ O ₆ -H] ⁻	149.00881	149.00916	-2.357	Yes
Shikimic	[C ₇ H ₁₀ O ₅ -H] ⁻	173.04537	173.04555	-1.021	Yes
Ascorbic	[C ₆ H ₈ O ₆ -H] ⁻	175.02480	175.02481	-0.034	Yes
Citric	[C ₆ H ₈ O ₇ -H] ⁻	191.01930	191.01973	-2.229	Yes
Gluconic	[C ₆ H ₁₂ O ₇ -H] ⁻	195.05059	195.05103	-2.235	Yes

*Due to the unavailability of threonic acid standard, the MS/MS data for this acid were compared with those in literature

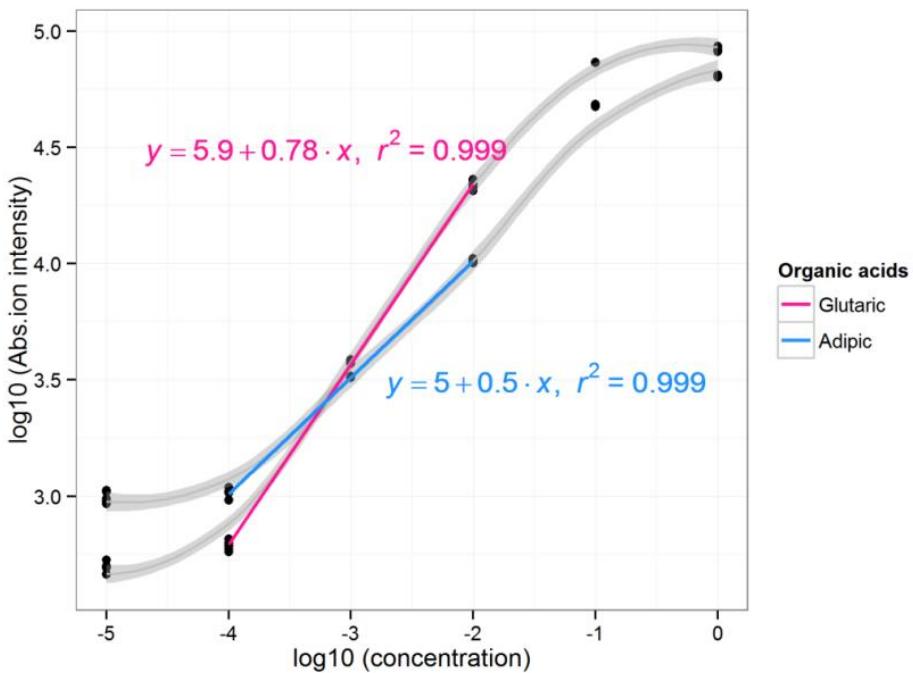


Figure S1. Dynamic ranges of glutaric and adipic acids obtained by direct infusion ESI-MS method. The signal intensity and concentration are both log-transformed. Linear dynamic ranges for both organic acids are between 10^{-4} and 10^{-1} dilution of 2.5 $\mu\text{M}/\text{ml}$ mother solution.

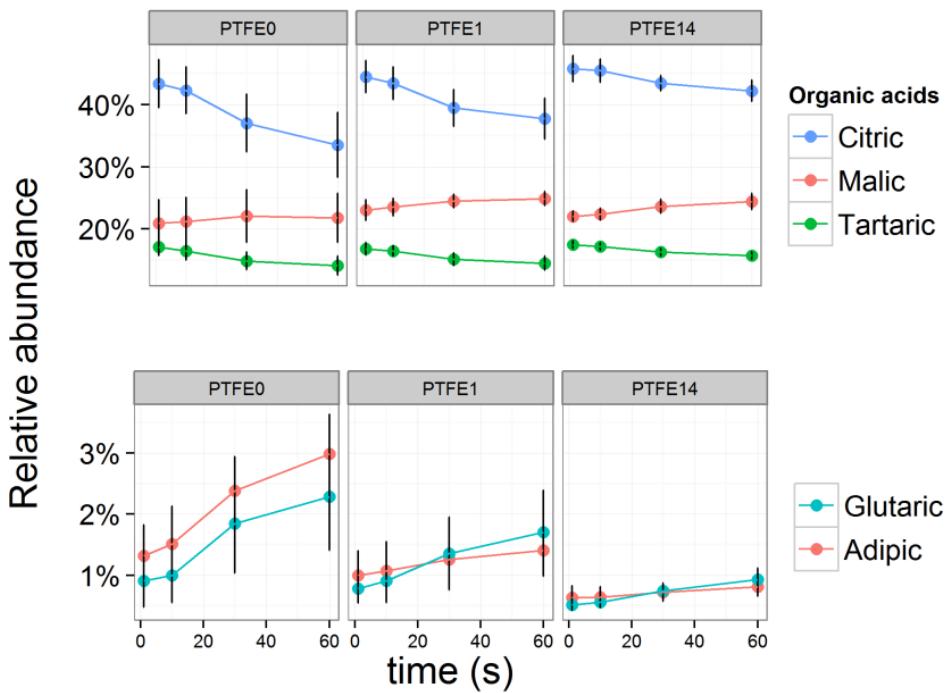


Figure S2. Relative abundance of malic, tartaric, citric, glutaric and adipic acids on 3 different PTFE surfaces, PTFE0 (flat PTFE), PTFE1 (PTFE with pore size of 1-3 µm) and PTFE14 (PTFE with pore size of 7-14 µm) calculated by integrating their respective ion intensities over 1, 10, 30, 60s. Values represent mean+SD (n=10).

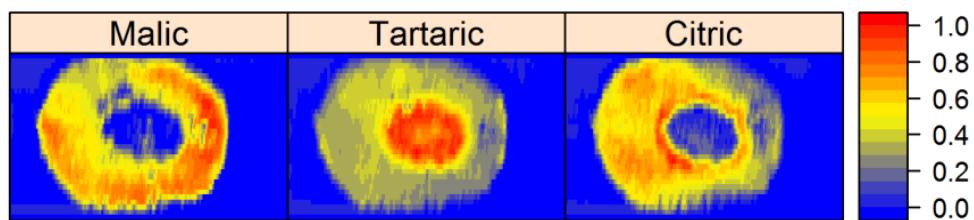


Figure S3. DESI imaging of malic, tartaric and citric acids at negative mode in a one-year-old grape stem which has been dipped into a glutaric and adipic acid solution for 1h. The color bar represents TIC normalized ion intensity from 0 (blue) to 1(red).

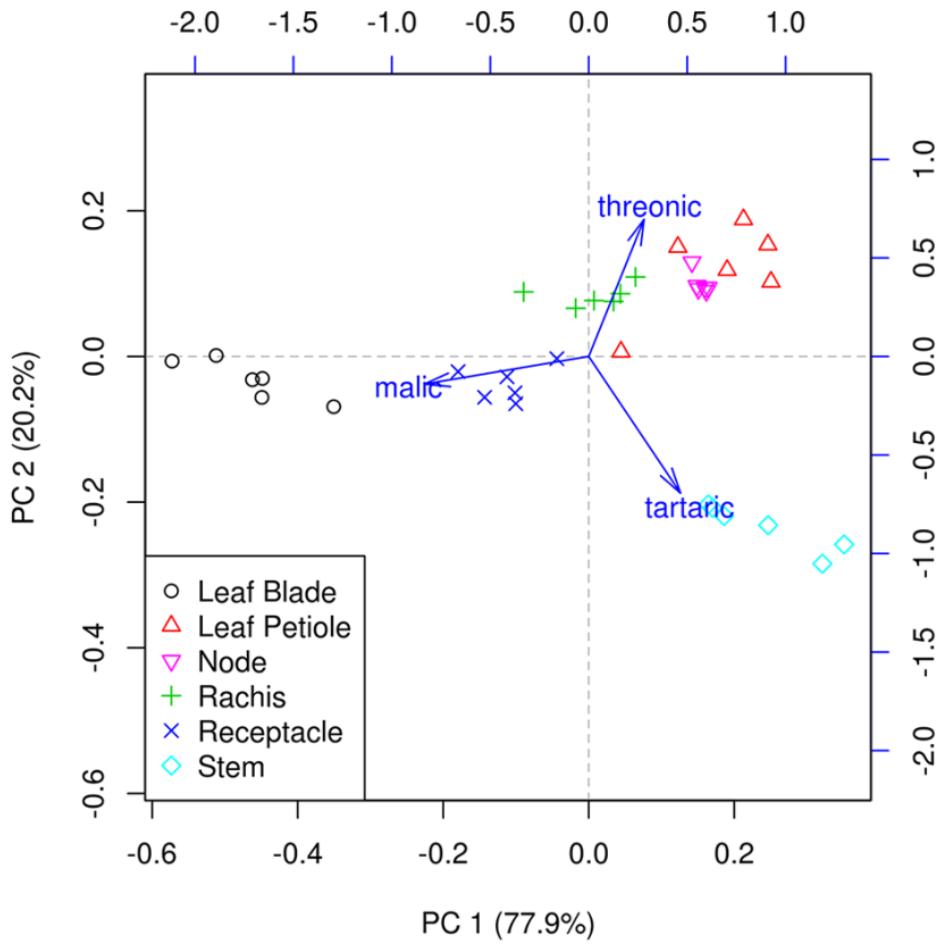


Figure S4. Principal Component Analysis of data from eight organic acids extracted from DESI images in 6 different grape tissue types ($n=6$). The first 2 PCs were selected for the best visualization of grape tissue separations; together they accounted for 98.1% of the total variance. Blue arrows show the projections of the three most important organic acids in defining the tissue separations into the 2 PCs.

Appendix 3:

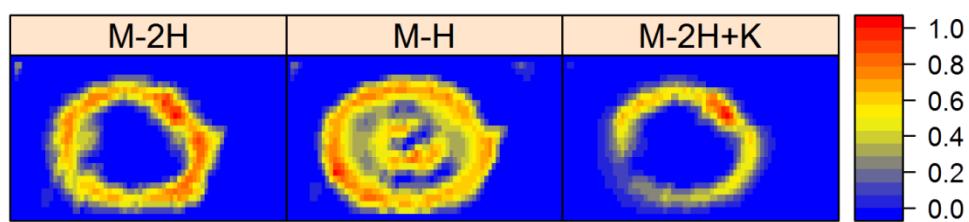


Figure S1. DESI images of tartrate in grape stem. M-2H denotes doubly charged tartrate dianions, M-H singly deprotonated ion and M-2H+K potassium adduct ions.

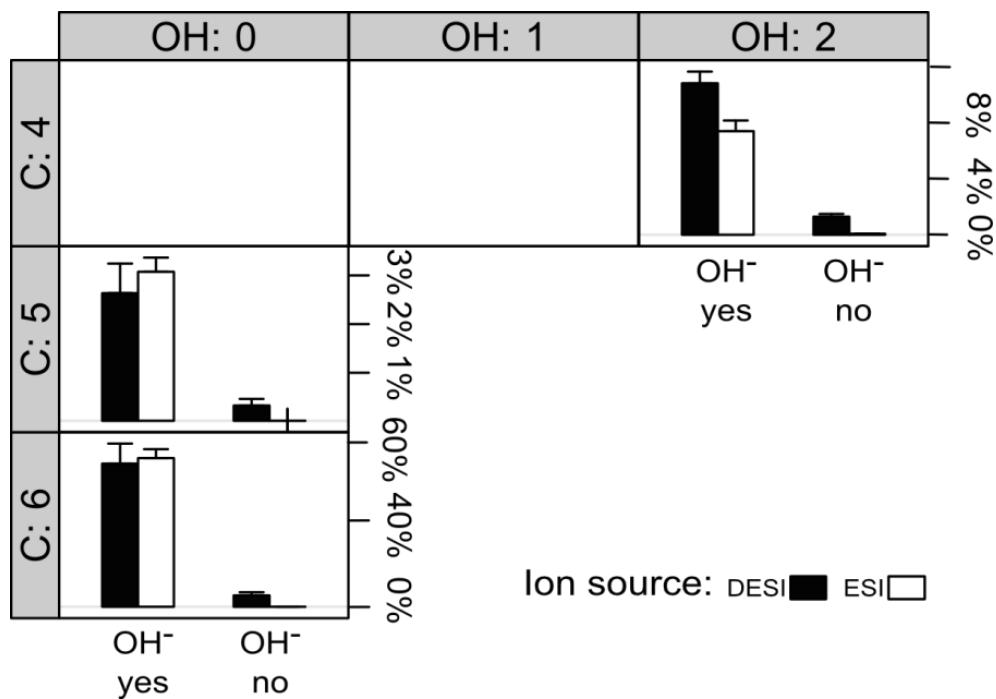


Figure S2. DCA^{2-} yields as observed in ESI and DESI ion-sources with Na^+ being the counterion. Dianion yields were calculated by the ratio of abundance of dianions to those of their respective deprotonated singly charged ions. Values represent mean \pm SD ($n=6$). ‘ OH^- yes’ is OH^- excess group (KOH:DCA, 3:1,mol/mol) and ‘ OH^- no’ is no excess OH^- group (KOH:DCA, 2:1, mol/mol).

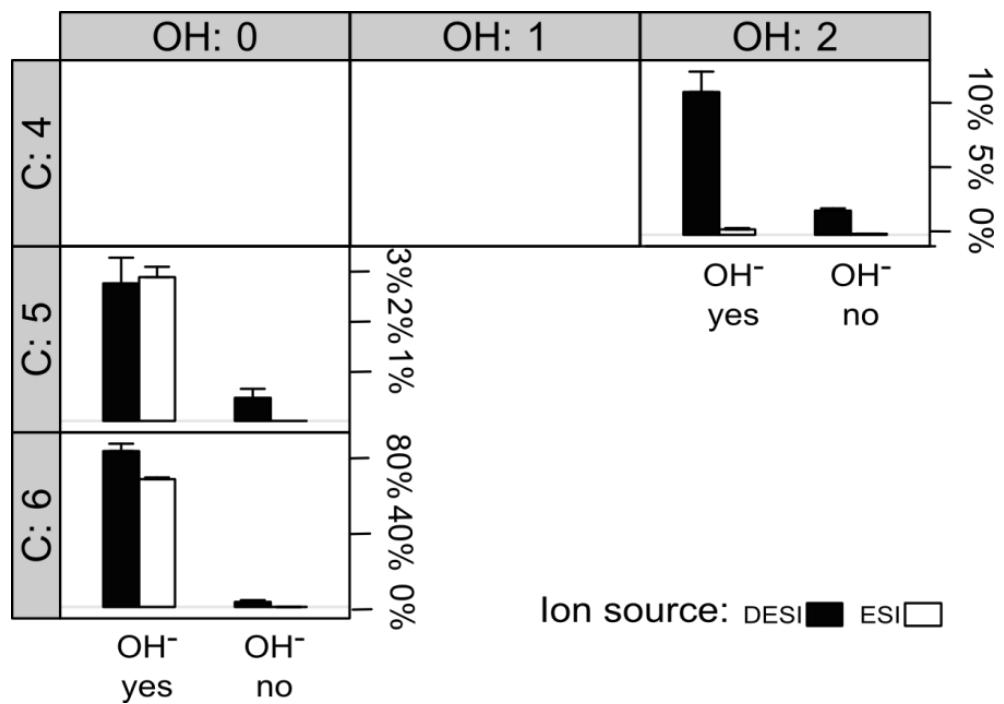


Figure S3. DCA²⁻ yields as observed in ESI and DESI ion-sources with Li⁺ being the counterion. Dianion yields were calculated by the ratio of abundance of dianions to those of their respective deprotonated singly charged ions. Values represent mean±SD (n=6). ‘OH⁻ yes’ is OH⁻ excess group (KOH:DCA, 3:1,mol/mol) and ‘OH⁻ no’ is no excess OH⁻ group (KOH:DCA, 2:1, mol/mol).