



# A Sub-band Filter Design Approach for Sound Field Reproduction

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# Content

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- Introduction
- Methodology
- Results
- Conclusions

# Content

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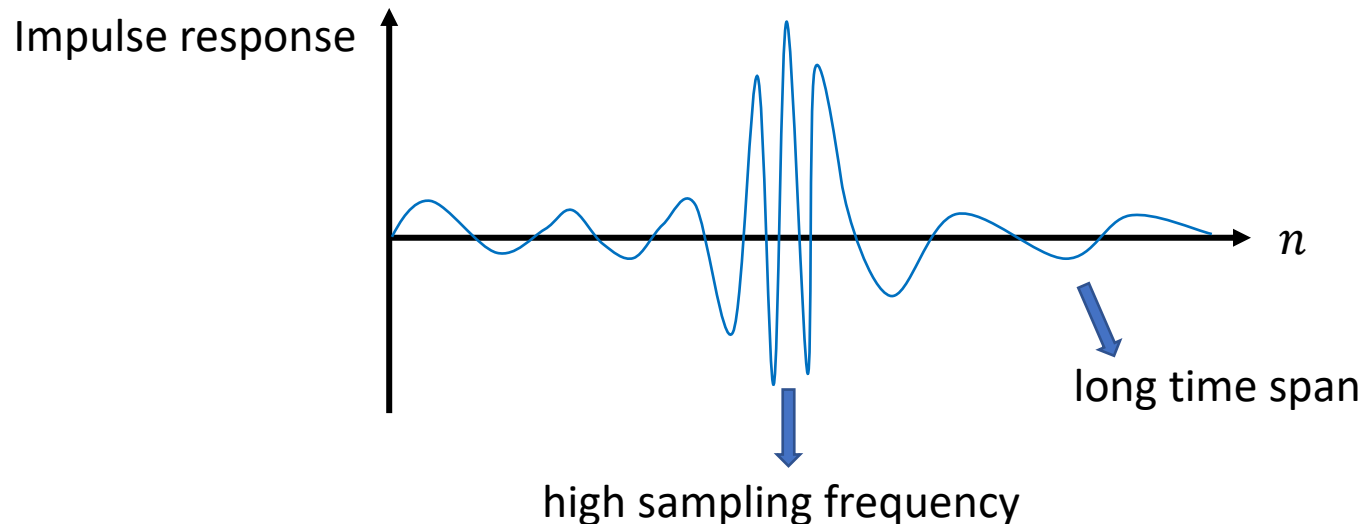
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# Statement of research problem

❑ Sound field reproduction uses loudspeakers to produce desired sound at locations.

❑ When designing filter for sound that spans a wide frequency range:

Low frequency band	➡	longer time span	➡	Large number of filter coefficients
High frequency band	➡	higher sampling frequency		



# Statement of research problem

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An approach is proposed to design filter in a sub-band form:

- ❑ Design all sub-band filters directly in one optimization problem:

  - The **transition region** between two sub-band filters can be designed conveniently

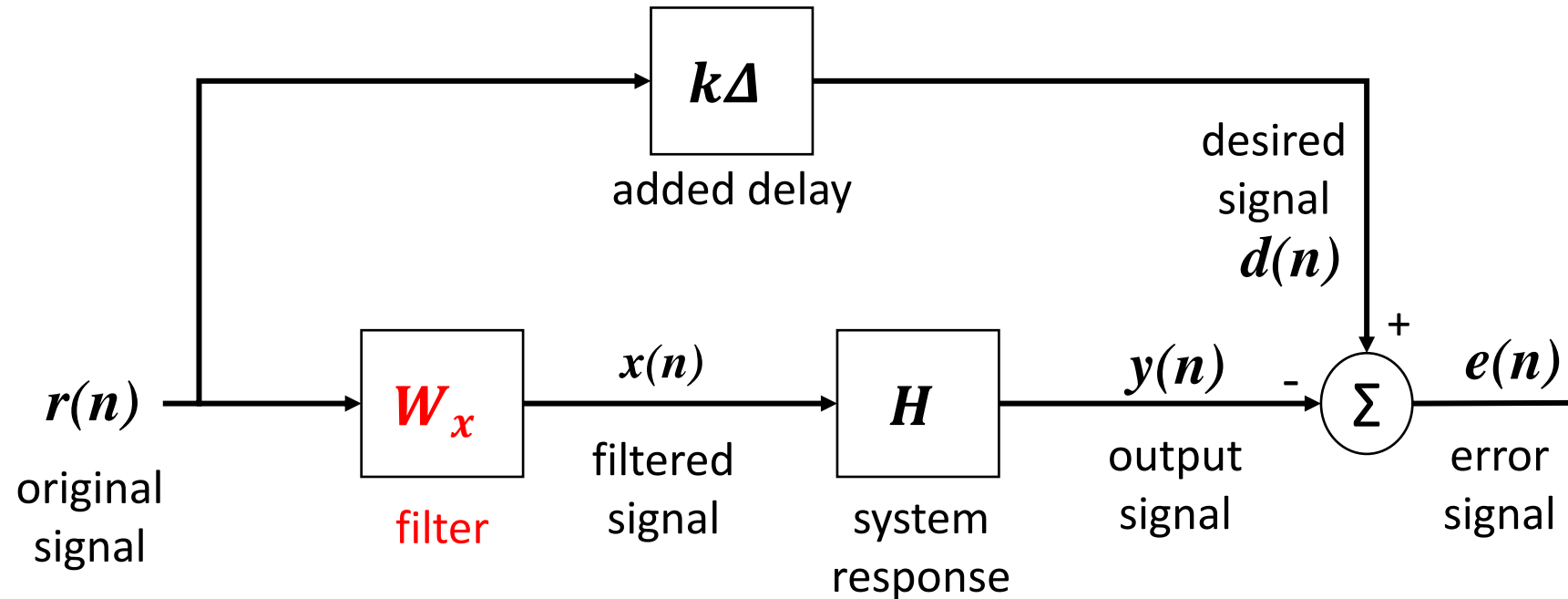
- ❑ The **computational load** can be reduced even if sub-band filters structure is not required

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# Designing filter directly



## ❑ Example:

Use loudspeaker to produce desired sound at certain locations

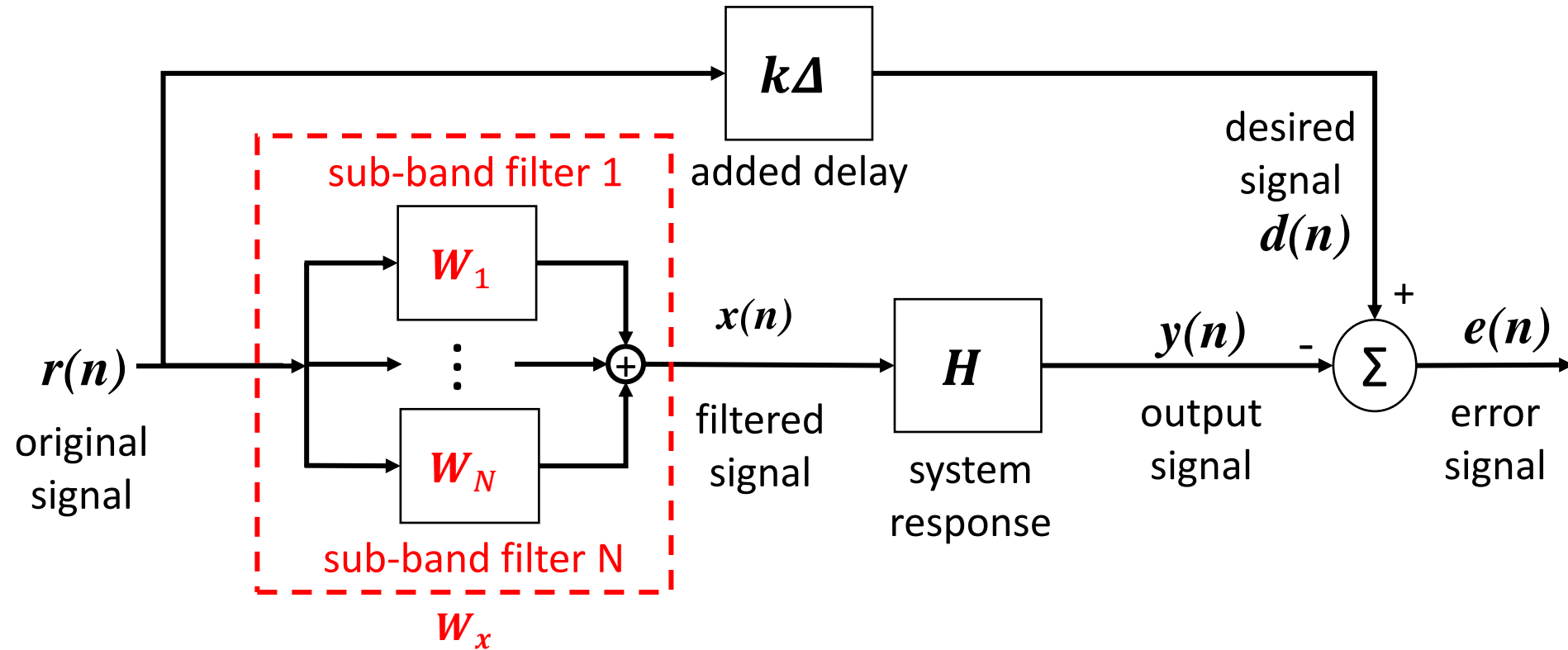
## ❑ Cost function:

Minimizing the power of error signal  $e$

## ❑ Constraints:

Filter response  $W_x(f)$

# Designing filter when sub-band technique is used



## ❑ Example:

Use loudspeaker to produce desired sound at certain locations

## ❑ Cost function:

Minimizing the power of error signal  $e$

## ❑ Constraints:

Filter response  $W_i(f)$



# Expressing sub-band filters as one equivalent filter

## ❑ Conventional method (one single filter)

frequency response of designed filter at frequency  $f_k$  :

$$W_x(f_k) = F(f_k, f_s, N_t) \vec{w}_x, \quad F(f_k, f_s, N_t) = \begin{bmatrix} 1 & e^{-\frac{j2\pi f_k}{f_s}} & \dots & e^{-\frac{j2\pi f_k(N_t-1)}{f_s}} \end{bmatrix}$$

$f_s$  is the sampling frequency,

$N_t$  is the number of filter coefficients,

$\vec{w}_x$  is the filter coefficients

## ❑ Sub-band structure

frequency response of designed filter at frequency  $f_k$ :

$$\sum_{i=1}^N W_i(f_k) = \sum_{i=1}^N \begin{bmatrix} 1 & e^{-\frac{j2\pi f_k}{f_{s_i}}} & \dots & e^{-\frac{j2\pi f_k(N_t-1)}{f_{s_i}}} \end{bmatrix} \vec{w}_i$$

# Expressing sub-band filters as one equivalent filter

So designing **sub-band filters** can be treated as:

designing **one filter**  $\vec{\tilde{w}}_x$  with **modified Fourier matrix**  $\tilde{F}(f_k)$

$$\tilde{W}_x(f_k) = \sum_{i=1}^N W_i(f_k) = \sum_{i=1}^N \begin{bmatrix} 1 & e^{-\frac{j2\pi f_k}{f_{s_i}}} & \dots & e^{-\frac{j2\pi f_k(N_t-1)}{f_{s_i}}} \end{bmatrix} \vec{w}_i = \tilde{F}(f_k) \vec{\tilde{w}}_x,$$

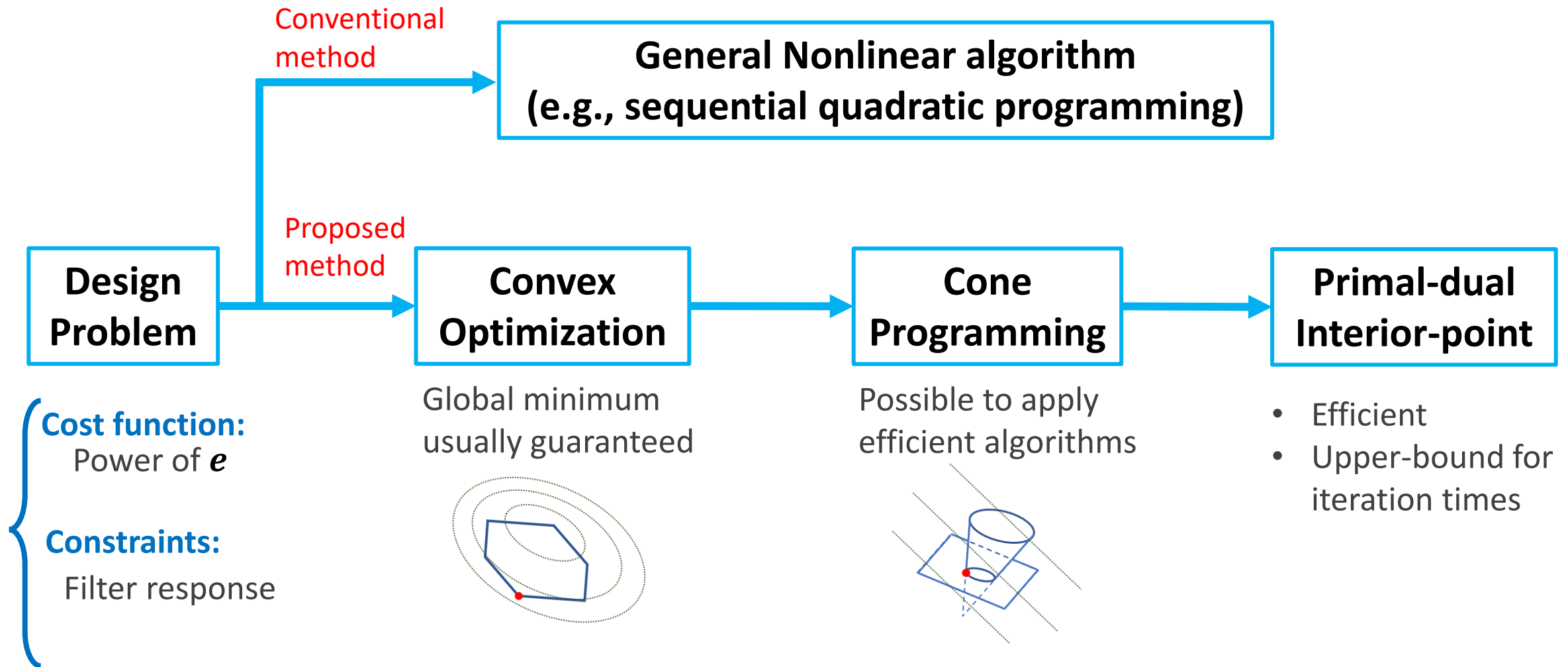
$$\tilde{F}(f_k) = \begin{bmatrix} F(f_k, f_{s_1}, N_{t_1}) & \dots & F(f_k, f_{s_N}, N_{t_N}) \end{bmatrix},$$

$$\vec{\tilde{w}}_x = \begin{bmatrix} \vec{w}_1 \\ \vdots \\ \vec{w}_N \end{bmatrix}$$

So all the sub-band filters can be designed in **one optimization problem** if designed in the **frequency domain**.

The **transition region** can be designed more conveniently.

# Overview of proposed design process



# Problem formulation

## Design Problem Expressed in Convex Problem

### Cost function:

Total power of e:

$$\sum_{k=k_1}^{k_2} |E(f_k)|^2, \quad \longrightarrow \quad \vec{\tilde{w}}_x^T \left( \sum_{k=k_1}^{k_2} A_J(f_k) \right) \vec{\tilde{w}}_x + 2\text{Re} \left( \sum_{k=k_1}^{k_2} b_J^T(f_k) \right) \vec{\tilde{w}}_x + \sum_{k=k_1}^{k_2} c_J(f_k)$$

Convex ✓

- Quadratic
- $A_J(f_k)$  p.s.d

### Constraints:

Filter response:

The magnitude of frequency response:

$$|W_i(f_k)| \leq C_i(f_k) \quad \longrightarrow \quad \|F(f_k, f_{s_1}, N_{t_1}) \vec{w}_i\|_2 - C_i(f_k) \leq 0 \quad \longrightarrow \quad \text{Vector norm} \quad \text{Convex} \checkmark$$

# Cone Programming Reformulation

## Convex Problem

**Cost function:**

$$\vec{w}_x^T \left( \sum_{k=k_1}^{k_2} A_J(f_k) \right) \vec{w}_x + 2\text{Re} \left( \sum_{k=k_1}^{k_2} b_J^T(f_k) \right) \vec{w}_x + \sum_{k=k_1}^{k_2} c_J(f_k)$$

**Constraints:**

$$\|F(f_k, f_{s_1}, N_{t_1}) \vec{w}_i\|_2 - C_i(f_k) \leq 0$$



## Standard Cone Programming

**Cost function:**  $c^T x$

**Constraints:**  $x \in K_i, \quad i = 1, 2, 3 \dots$

$$Ax = b$$

$c$  to be a constant vector

$K_i$  to be a convex cone

$A, b$  to be a constant matrix and vector

# Cone Programming Reformulation

Convex Problem  Cone Programming




- Reformulate quadratic cost function

**Cost function:**  $x^T A x + b^T x + c$



**Cost function:**  $t_0 + b^T x$

**Constraints:**  $\|\sqrt{A} x\|_2 \leq \sqrt{t_0} \tilde{t}_0$   
 $\tilde{t}_0 = 1$

-  Linear cost function
-  Rotated second-order cone
-  Linear constraint



- The vector norm constraint

**Constraints:**  $\|x\|_2 - c \leq 0$



**Constraints:**  $\|x\|_2 \leq t$

$t = c$

-  Second-order cone
-  Linear constraint

# Cone Programming Reformulation

## Convex Problem



## Cone Programming

### Cost function:

$$\vec{w}_x^T \left( \sum_{k=k_1}^{k_2} A_J(f_k) \right) \vec{w}_x + 2\text{Re} \left( \sum_{k=k_1}^{k_2} b_J^T(f_k) \right) \vec{w}_x + \sum_{k=k_1}^{k_2} c_J(f_k)$$

### Constraints:

$$\|F(f_k, f_{s_1}, N_{t_1}) \vec{w}_i\|_2 - C_i(f_k) \leq 0$$

### Cost function:

$$t_0 + 2\text{Re} \left( \sum_{k=k_1}^{k_2} b_J^T(f_k) \right) \vec{w}_x$$

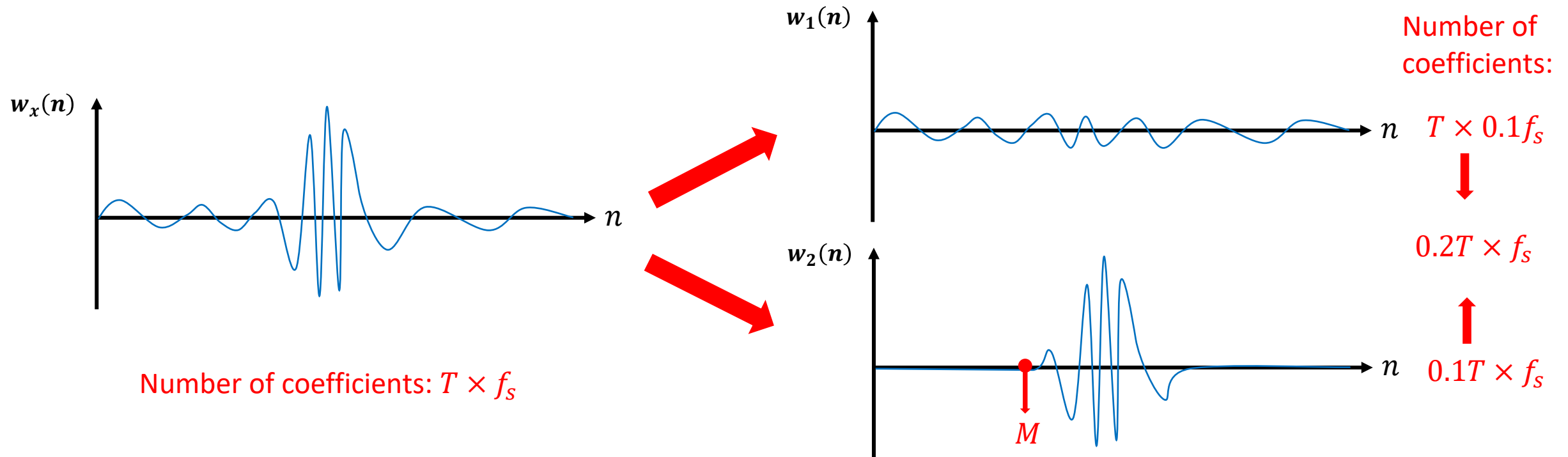
### Constraints:

$$\|F(f_k, f_{s_1}, N_{t_1}) \vec{w}_i\|_2 \leq t_{3,k},$$

$$t_{3,k} = C(f_k)$$

# A reduced order technique

Sometimes, the designed filter has high frequency response concentrated in small time span:



In this case,  $\vec{w}_i$  (with higher sampling frequency) can be chosen to start with  $t = M\Delta$ , where  $M > 0$ , then we have:

$$F_r(f_k, f_s, N_t) = \left[ e^{-\frac{j2\pi f_k M}{f_s}} \quad e^{-\frac{j2\pi f_k (M+1)}{f_s}} \quad \dots \quad e^{-\frac{j2\pi f_k (N_t-1)}{f_s}} \right]$$



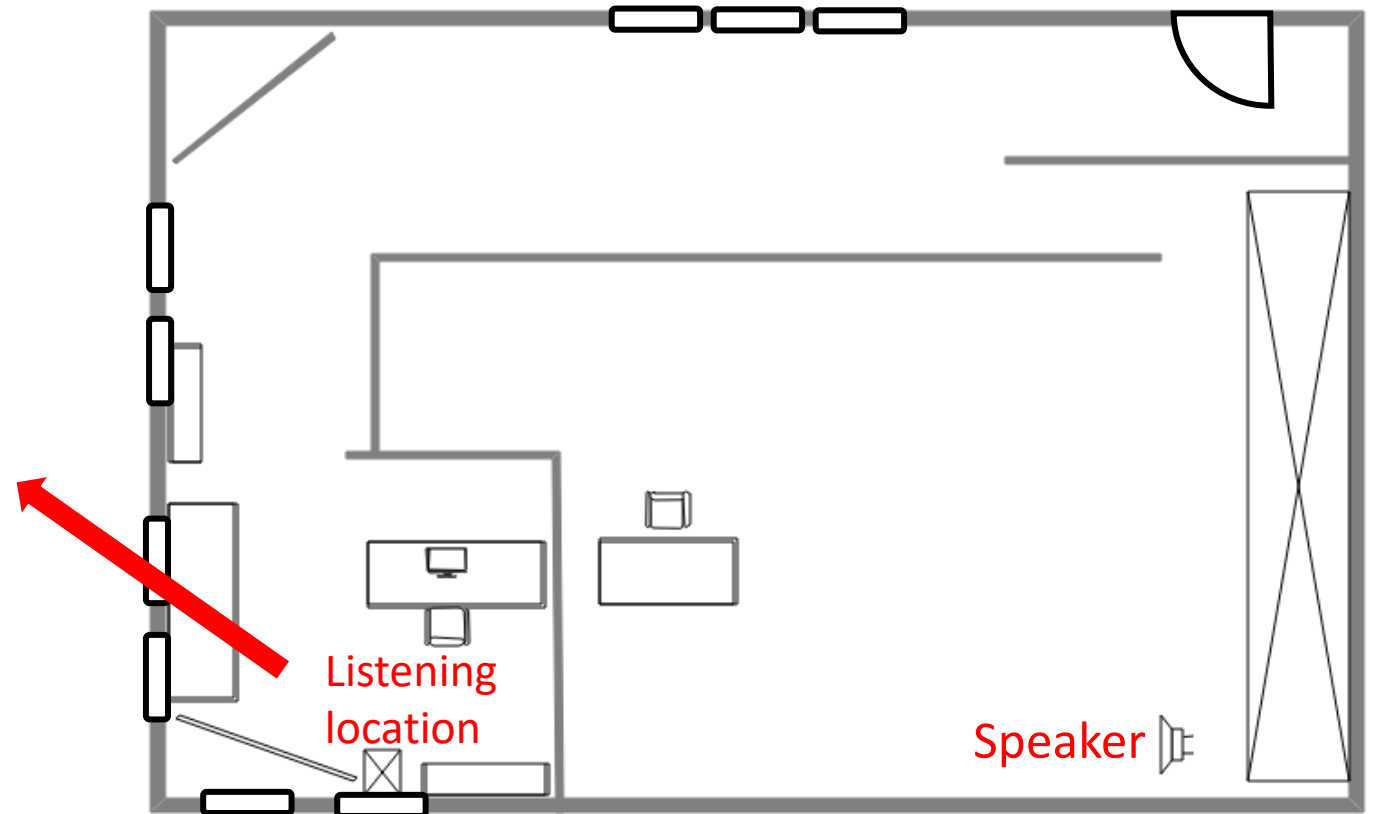
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# Experimental setup

- An experimental setup for psychoacoustic listening test
- Speaker should produce desired sound at listening location



# Experimental setup

☐ Required sampling frequency: **48 kHz** ( $\Delta = 20.83 \text{ us}$ )

☐ Desired delay: **19200  $\Delta$**

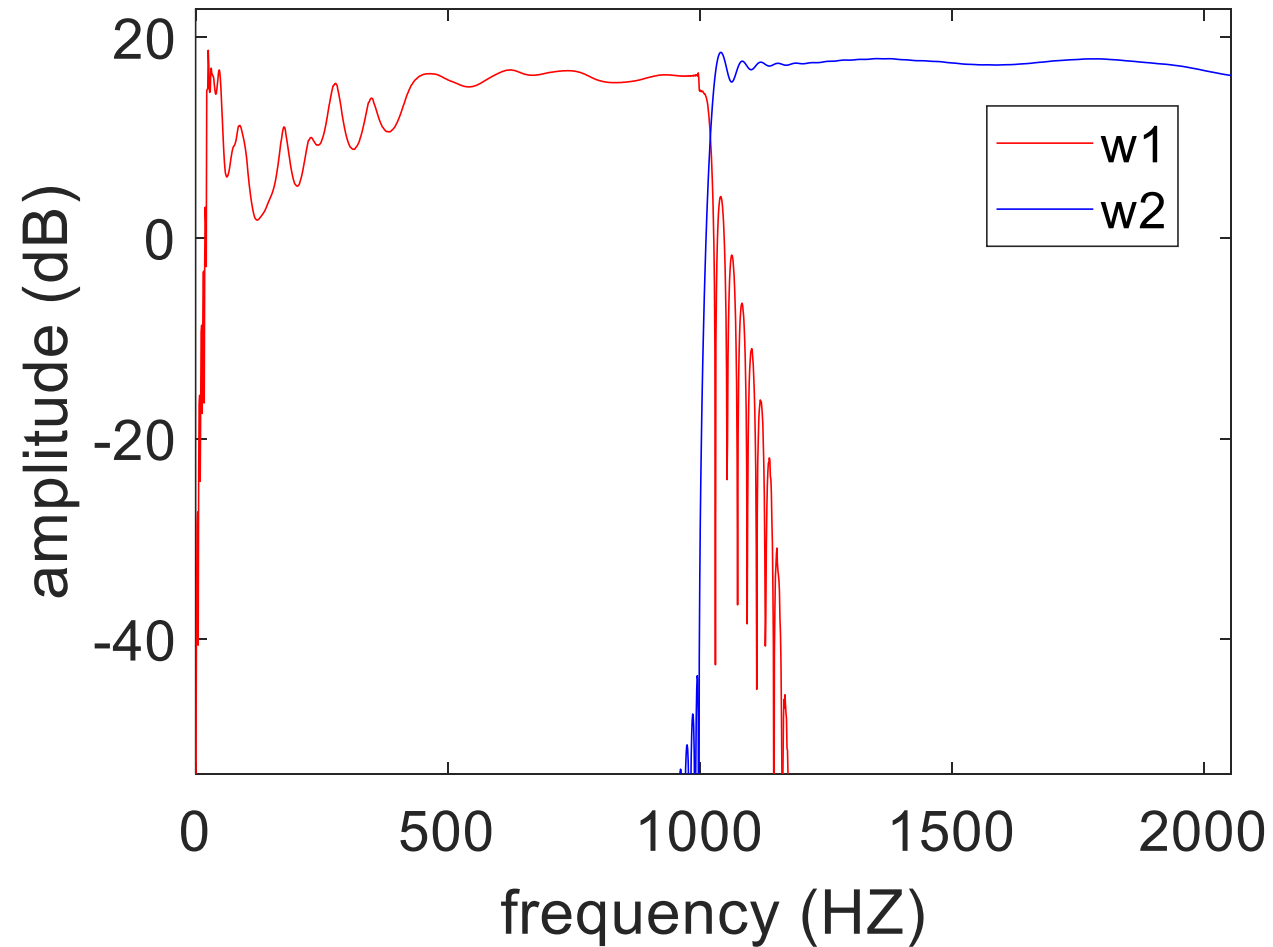
☐ Two sub-band filters:

	Sampling frequency	Filter coefficients	Starting time
Filter 1	2.4 kHz	1920	0
Filter 2	48 kHz	3000	17700 $\Delta$

☐ SeDuMi is used to solve the reformulated cone programming problem

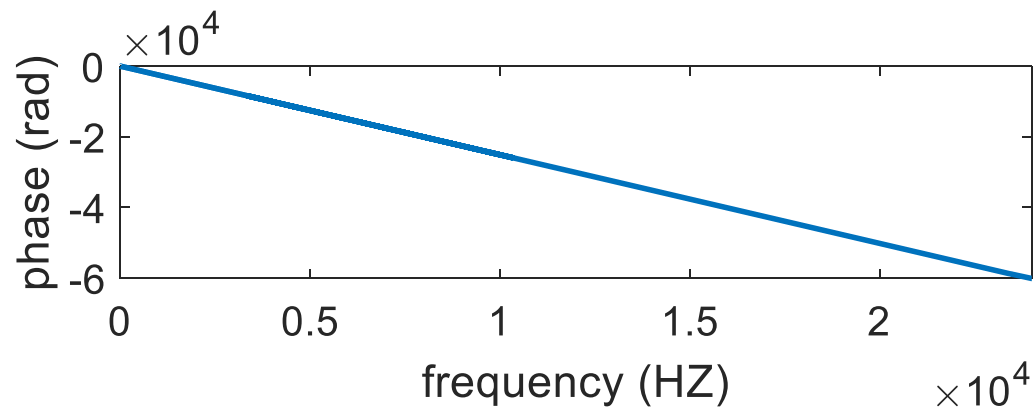
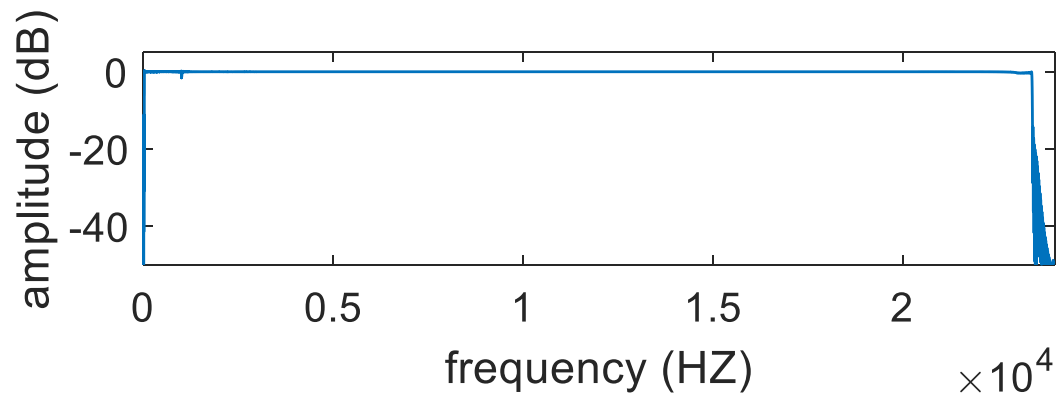
# Result

The frequency response of both filter around 1200 Hz

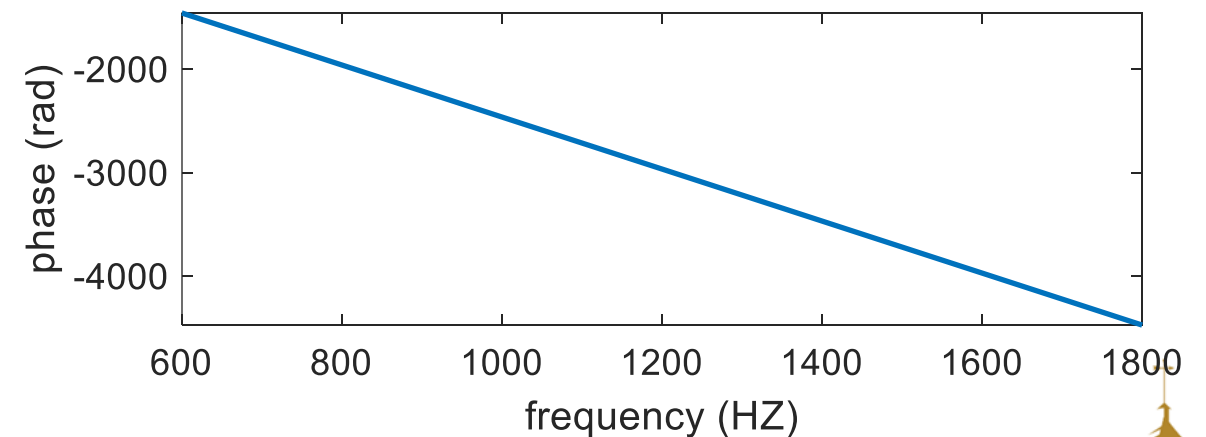
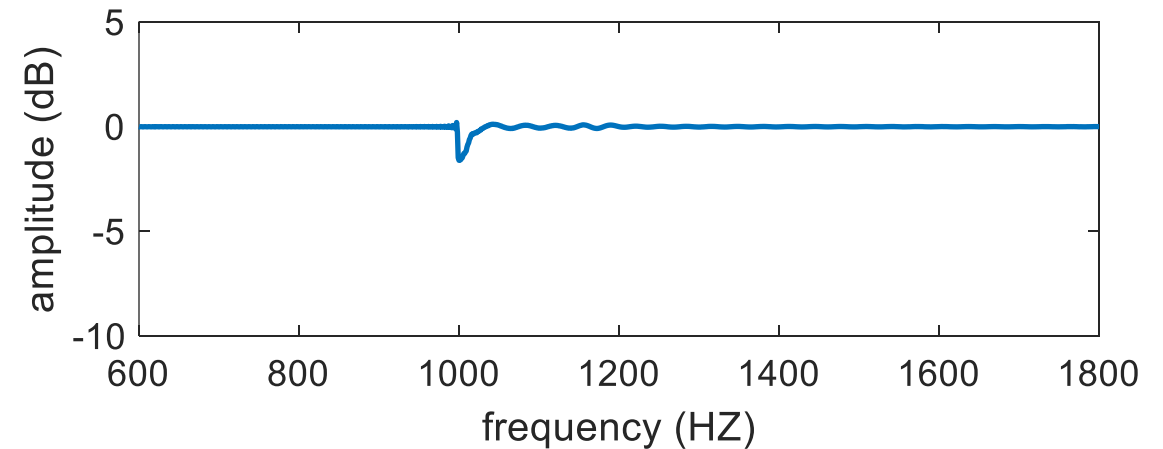


# Result

The frequency response of  $H(f)\tilde{W}_x(f)$



$H(f)\tilde{W}_x(f)$  around 1200 Hz

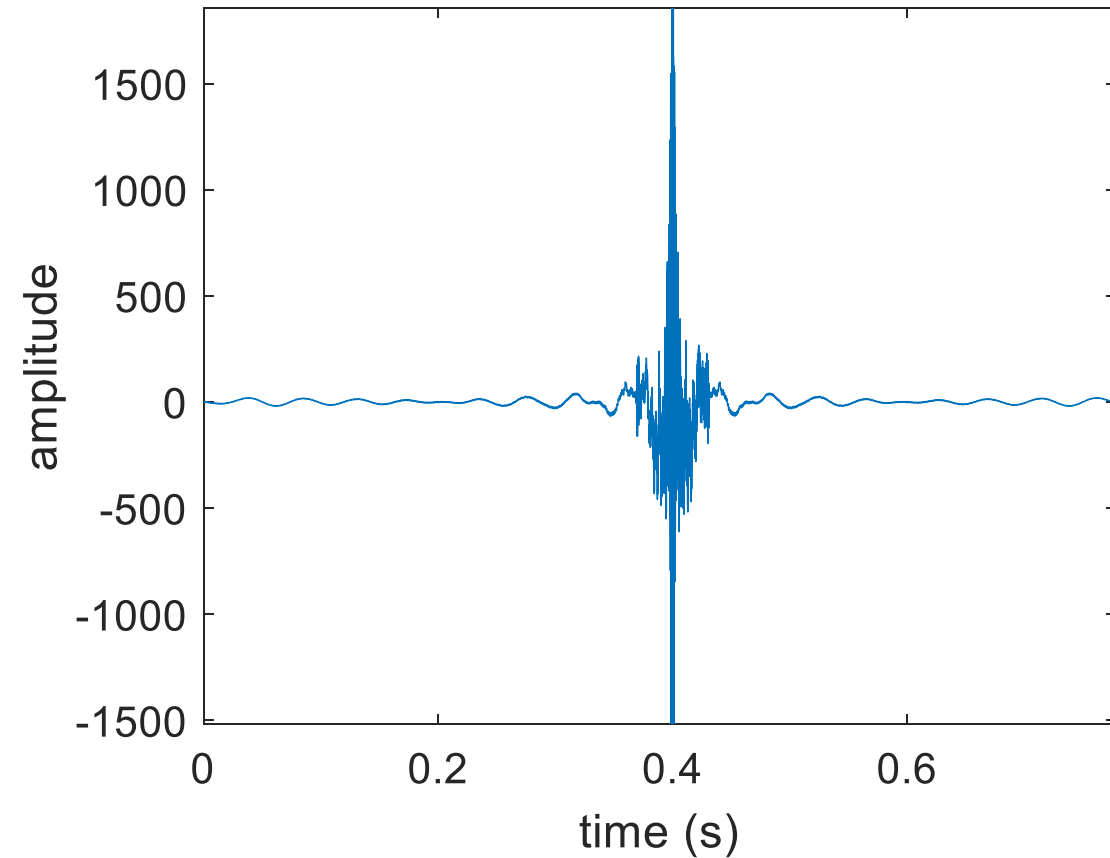


# Result

## Combining two sub-band filters together in time domain

The combination is done by:

- Upsampling the sub-band filter 1 with lower sampling frequency
- Adds the upsampled filter 1 with filter 2



The designed filter coefficients are  $1920 + 3000 = 4920$ , which is much smaller than  $48000 \times \frac{1920}{2400} = 38400$

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# Conclusions

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- ❑ The proposed method can design sub-band filters for sound field reconstruction in one optimization problem, so designing transition region is more convenient.
- ❑ The optimization problem can be reformulated to a convex problem, then further reformulated to a cone programming problem. These guarantees the global optimal solution can be found in an efficient way.
- ❑ A reduced-order technique can be used to reduce the variables in filter design problem if different frequency bands of required filter have impulse response concentrated in different time intervals.



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Q&A

# References

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- Zhuang, Yongjie, and Yangfan Liu. "Development and application of dual form conic formulation of multichannel active noise control filter design problem in frequency domain." *INTER-NOISE and NOISE-CON Congress and Conference Proceedings*. Vol. 261. No. 6. Institute of Noise Control Engineering, 2020.