# A constrained optimal hear-through filter design approach for earphones

#### Yongjie Zhuang, Yangfan Liu

Ray W. Herrick Laboratories, 177 S. Russell Street, Purdue University, West Lafayette, IN 47907-2099 yangfan@purdue.edu







#### Introduction



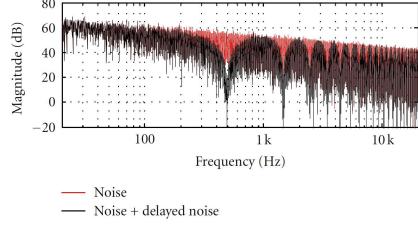
- ☐ Hear-through function
  - Sound can be altered when transmits through an earphone.
  - People will hear unnatural environment sound when wearing an earphone.
  - Hear-through function reproduces the environment sound using earphone speakers.
- ☐ Applications of hear-through function
  - One popular function in many earphones.
  - An important technique in achieving better augmented reality audio (ARA) performance.



### Two Main Design Approach Categories



- ☐ Direct inverse filter approach
  - Flattening the attenuation curve caused by the earphone and/or the ear canal.
  - Reproduced sound will combine with leakage environment sound to cause a comb-filtering effect.



- ☐ Design using an active noise control structure
- [Rämö and Välimäki, 2012]
- The leakage sound from environment can be attenuated to reduce comb-filtering effect.
- Many ANC algorithms can be applied in a similar way

For both methods, since sound from speaker will propagate to reference microphone, thus, **robust stability** should be considered



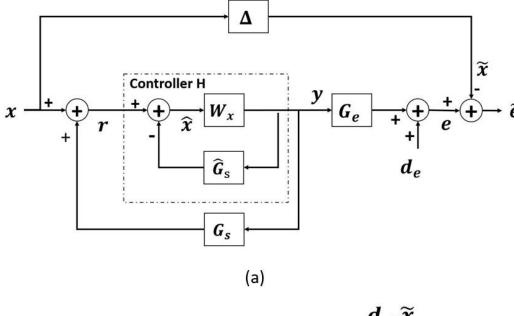
### Proposed Method

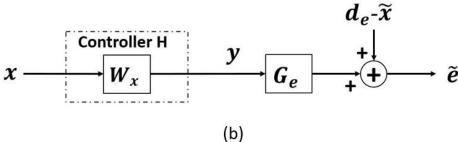


- ☐ Alleviate comb-filtering effect by using ANC structure
- ☐ Constraints like robust stability can be considered
- ☐ The desired delay of reproduced sound can be specified if a spatial sound impression is desired.
- ☐ Designed hear-through filter can be directly implemented in an ANC system.



### **Control System**





(Non-adaptive control is considered in the current work)



- Minimize the power of  $\tilde{\boldsymbol{e}}$
- $\Delta = e^{-j2\pi f\delta}$  is the specified delay between desired sound and reference signal
- The robust stability considered is the closed loop  $oldsymbol{W}_x \ \widehat{oldsymbol{G}}_{oldsymbol{s}}$
- Assume a perfect feedback path model  $\widehat{\pmb{G}}_{\pmb{s}}$





#### **Cost function:**

$$\sum_{k=1}^{N_f} \mathrm{E}[\tilde{\boldsymbol{e}}(f_k)\tilde{\boldsymbol{e}}^*(f_k)] \qquad \Longrightarrow \qquad \text{Total power of } \tilde{\boldsymbol{e}} \text{ cross all frequencies}$$



#### **Constraints:**

Stability: Use Nyquist criterion:

$$\operatorname{Re}\{W_{x}(f_{k})\hat{G}_{s}(f_{k})\} > -1$$

Robustness:  $M-\Delta$  structure and small gain theory:

$$\left| W_{x}(f_{k})\hat{G}_{s}(f_{k}) \right| B(f_{k}) \leq 1$$

Filter response: The magnitude of frequency response:

$$|W_x(f_k)| \le C(f_k)$$





**Cost function:** 

$$\sum_{k=1}^{N_f} \mathbb{E}[\tilde{\boldsymbol{e}}(f_k)\tilde{\boldsymbol{e}}^*(f_k)]$$

#### **Constraints:**

#### Stability:

$$\operatorname{Re}\{W_{x}(f_{k})\widehat{G}_{s}(f_{k})\} > -1$$



Nyquist criterion, on the right of -1 point

Robustness:  $M-\Delta$  structure and small gain theory:

$$\left| W_{x}(f_{k})\hat{G}_{s}(f_{k}) \right| B(f_{k}) \leq 1$$

Filter response: The magnitude of frequency response:

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**Cost function:** 

$$\sum_{k=1}^{N_f} \mathbb{E}[\tilde{\boldsymbol{e}}(f_k)\tilde{\boldsymbol{e}}^*(f_k)]$$

#### **Constraints:**

Stability: Use Nyquist criterion:

$$\operatorname{Re}\{W_{x}(f_{k})\hat{G}_{s}(f_{k})\} > -1$$

#### **Robustness:**

$$\left| W_{x}(f_{k})\hat{G}_{s}(f_{k}) \right| B(f_{k}) \leq 1$$



 $|W_x(f_k)\hat{G}_s(f_k)|B(f_k) \le 1$   $\longrightarrow$   $M-\Delta$  structure and small gain theory

Filter response: The magnitude of frequency response:

$$|W_{x}(f_{k})| \leq C(f_{k})$$





**Cost function:** 

$$\sum_{k=1}^{N_f} \mathbb{E}[\tilde{\boldsymbol{e}}(f_k)\tilde{\boldsymbol{e}}^*(f_k)]$$

#### **Constraints:**

Stability: Use Nyquist criterion:

$$\operatorname{Re}\{W_{x}(f_{k})\hat{G}_{s}(f_{k})\} > -1$$

Robustness: M-  $\Delta$  structure and small gain theory:

$$\left| W_{\chi}(f_k) \hat{G}_{S}(f_k) \right| B(f_k) \le 1$$

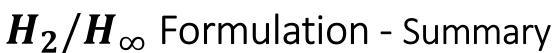
#### Filter response:

$$|W_{\chi}(f_k)| \le C(f_k)$$



The magnitude of frequency response







#### **Original Problem**

**Cost function:** Total power of  $\tilde{e}$ :

$$\sum_{k=1}^{N_f} \mathrm{E}[\tilde{\boldsymbol{e}}(f_k)\tilde{\boldsymbol{e}}^*(f_k)],$$





$$\operatorname{Re}\{W_{x}(f_{k})\hat{G}_{s}(f_{k})\} > -1$$

Robustness: M- $\Delta$  structure and small gain theory:

$$\left| \left. W_{x}(f_{k}) \hat{G}_{s}(f_{k}) \right| B(f_{k}) \leq 1 \right.$$

Filter response: The magnitude of frequency response:

$$|W_{x}(f_{k})| \leq C(f_{k})$$

By using the method proposed in previous conferences, This optimization problem can be solved efficiently.



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Study on the Cone Programming Reformulation of Active Noise Control Filter Design in the Frequency Domain

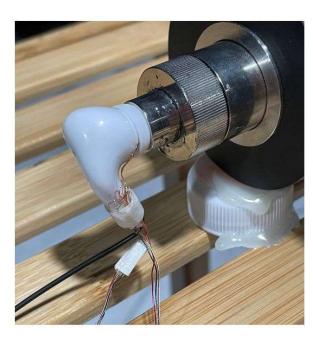


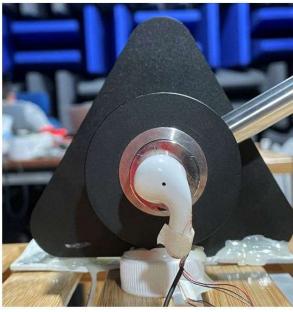
Development and application of dual form conic formulation of multichannel active noise control filter design problem in frequency domain





Off-line Simulation based on experimentally measured secondary path  $G_e$ 





Experiment description:

sampling rate: 24000 Hz

• FIR filter length: 128

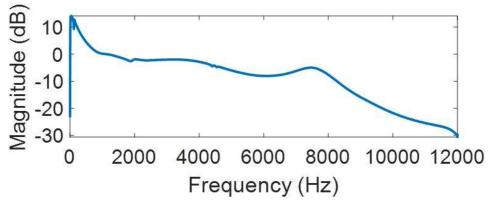
• Hear-through band: 0 - 6000 Hz

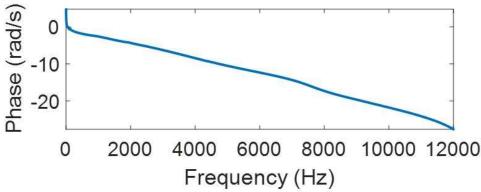
Desired delay: 2 ms



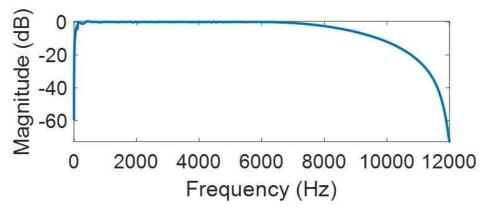
### Results – comb-filtering effect

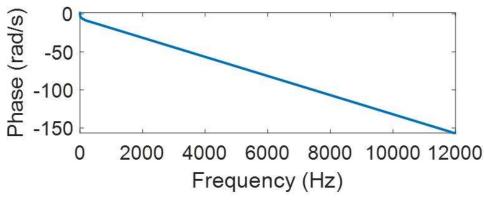






Measured secondary path  $\emph{G}_e$ 





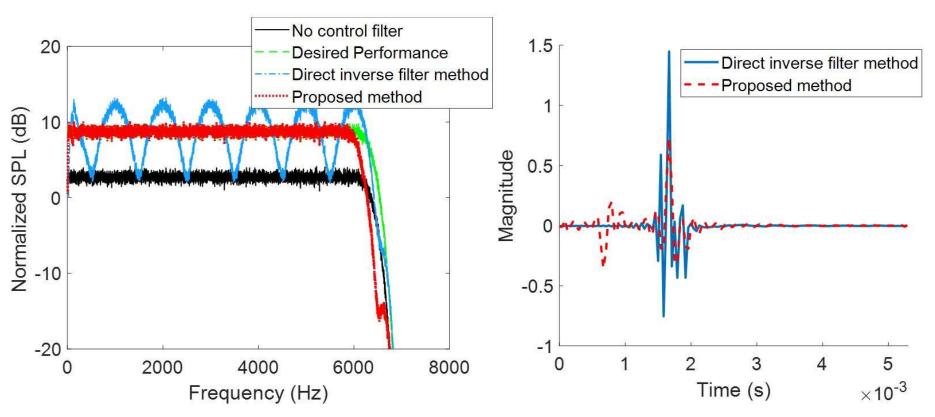
Direct inverse filter design, frequency response of  $G_eW_x$ 





### Results — comb-filtering effect

Assume environment sound is 6 dB lower and 1 ms lag after transmit through earphone



Performance in frequency domain

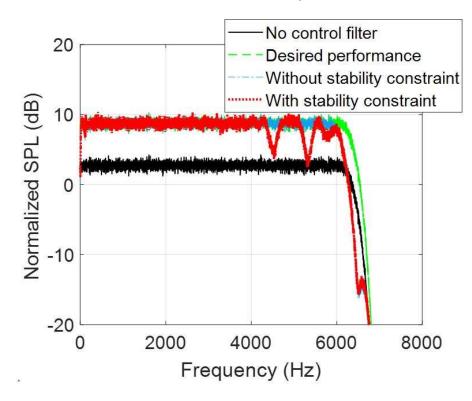




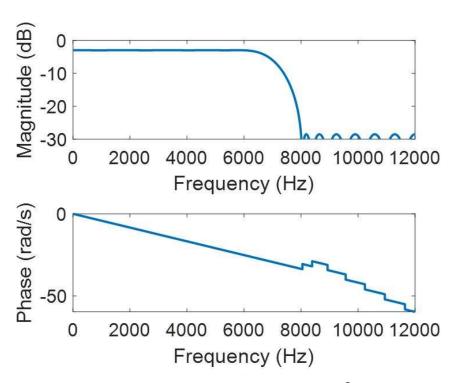


### Results – Stability constraints

Assume an acoustic feedback path also 3 dB attenuation and 1 ms lag



Performance in frequency domain



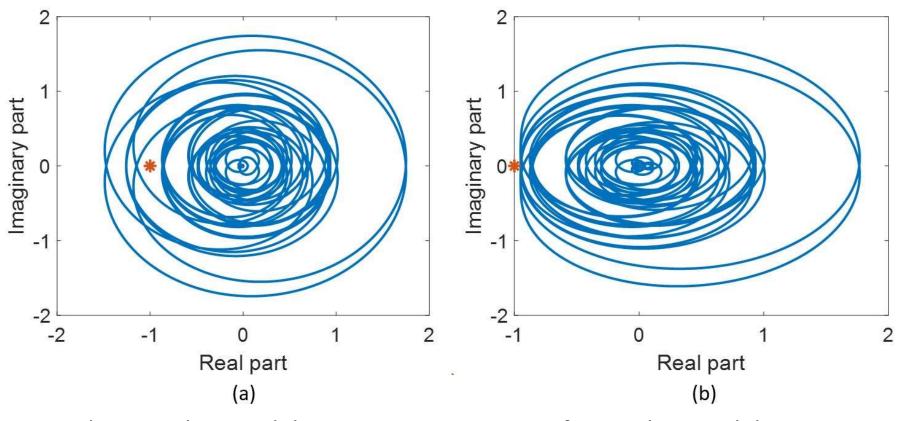
Frequency response of acoustic feedback path  $G_s$ 



## inter-noize 2021

### Results — Stability constraints





Without applying stability constraint





### Conclusions



- The proposed method can alleviate the comb-filtering effect by attenuating the leakage sound from environment.
- Robust stability constraint can be applied when using proposed method.
- Desired delay can be specified.
- The proposed method has the potential to be expanded to multi-channel situations which has a wider application besides earphone, e.g., the hearthrough function in an automobile.



# Thank you!





