# UFO-ViT: High Performance Linear Transformer without Softmax

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# **Research Purpose**

- ❖ UFO-ViT: High Performance Linear Vision Transformer without Softmax (arXiv, 2021)
  - Kakao에서 연구하였고 2021년 11월 05일 기준으로 0회 인용

#### **UFO-ViT: High Performance Linear Vision Transformer without Softmax**

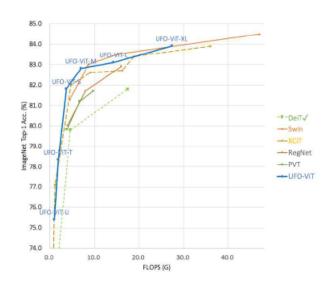
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#### PREPRINT

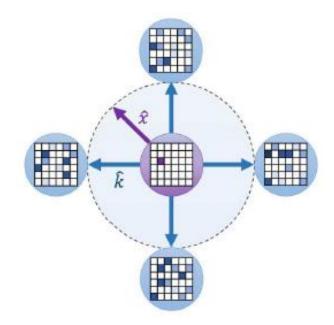
#### Abstract

Vision transformers have become one of the most important models for computer vision tasks. While they outperform earlier convolutional networks, the complexity quadratic to N is one of the major drawbacks when using traditional self-attention algorithms. Here we propose the UFO-ViT(Unit Force Operated Vision Trnasformer), novel method to reduce the computations of self-attention by eliminating some non-linearity. Modifying few of lines from self-attention, UFO-ViT achieves linear complexity without the degradation of performance. The proposed models outperform most transformer-based models on image classification and dense prediction tasks through most capacity regime.

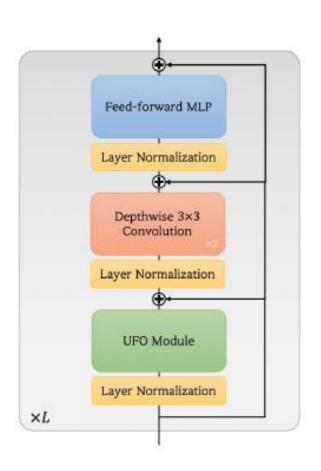


# **Research Purpose**

- UFO-ViT: High Performance Linear Vision Transformer without Softmax (arXiv, 2021)
  - Vision Transformer에서 기존 Self-Attention의 연산 복잡도와 Data-Efficiency를 향상시키기 위한 방법을 제안
    - ✓ Matrix Multiplication을 사용하기 위해 Softmax 연산을 제거
    - ✓ Linear Complexity의 Self-Attention 연산을 위한 Xnorm 제안(Constraint)
  - Unit Hypersphere 상에서 Feature들 간의 관계를 추출하도록 함



- Overview of UFO-ViT Module
  - Convolutional Layers, UFO Module, Simple Feed-Forward MLP Layer, Residual Connection으로 구성
- Patch Embedding with Convolutions
  - ✓ Linear Projection 대신 합성곱 Patch Embedding Layers를 차용
- Positional Encoding
  - ✓ 학습 가능한 매개변수로 Positional Encoding 사용
- Multi-Headed Attention
- Local Patch Interaction
  - ✓ 3 × 3 Depth-wise Convolution 사용
- Feed-Forward Network
- Class Attention
  - ✓ Spatial Information을 모으기 위한 CLS Token 예측



#### UFO Module

- 기존 Self-Attention 연산에서는 Softmax의 비선형성으로 인하여 분리가 불가능함
- 제안하는 방법은  $K^TV$ 를 먼저 계산하기 위해 Softmax 연산을 제거(간단한 Constraint 포함)
  - ✓ Cross-Normalization or Xnorm
  - $\checkmark$  간단한  $L_2$ -norm이지만 공간 차원  $K^TV$ 와 채널 차원 Q가 있어 Cross-Normalization으로도 부름
  - ✓ 연산 법칙으로  $K^TV$ 를 먼저 계산한 후에 Q를 곱함
  - $\checkmark$   $\gamma$ : 학습가능한 파라미터 /h: embedding 차원 수

#### **XNorm**

기존 Self-Attention

$$A(\mathbf{x}) = \sigma(QK^T/\sqrt{d_k})V \tag{1}$$

$$Q = \mathbf{x}W_Q, K = \mathbf{x}W_K, V = \mathbf{x}W_V \tag{2}$$

$$A(\mathbf{x}) = XN_{\text{dim}=\text{filter}}(Q)(XN_{\text{dim}=\text{space}}(K^TV))$$
 (3)

$$XN(\mathbf{a}) := \frac{\gamma \mathbf{a}}{\sqrt{\sum_{i=0}^{h} ||\mathbf{a}||^2}}$$
(4)

$$V = xW_V \longrightarrow K = xW_K \longrightarrow XNorm$$

$$Q = xW_Q \longrightarrow XNorm$$

#### UFO Module

- 연산 법칙으로  $K^TV$ 를 먼저 계산한 후에 Q를 곱함
- 위 연산으로 O(hNd) Complexity를 가지며 N에 Linear함

| Module Type             | Complexity      |
|-------------------------|-----------------|
| ViT[10]                 | $O(N^2d)$       |
| Linformer[40]           | O(kNd)          |
| Efficient Attention[31] | O(hNd)          |
| Axial[20]               | $O(N\sqrt{Nd})$ |
| XCiT[12]                | $O(Nd^2)$       |
| UFO-ViT                 | O(hNd)          |

#### **❖** XNorm

- Softmax 연산을 XNorm으로 대체
  - ✓ Key와 Value 계산(5)
  - ✓  $K^T V$ 와 Q 에 Xnorm 적용(7,8) 및 Attention Operator 계산(6)
  - ✓ Projection Weight Scales를 Weight Sum에 의해 계산(9)

$$[K^T V]_{ij} = \sum_{k=1}^{n} K_{ik}^T V_{kj}$$
 (5)

$$A(\mathbf{x}) = \begin{bmatrix} \hat{q}_0 \cdot \hat{k}_0 & \hat{q}_0 \cdot \hat{k}_1 & \cdots & \hat{q}_0 \cdot \hat{k}_h \\ \hat{q}_1 \cdot \hat{k}_0 & \hat{q}_1 \cdot \hat{k}_1 & \cdots & \hat{q}_1 \cdot \hat{k}_h \\ \vdots & \vdots & \ddots & \vdots \\ \hat{q}_N \cdot \hat{k}_0 & \hat{q}_N \cdot \hat{k}_1 & \cdots & \hat{q}_N \cdot \hat{k}_h \end{bmatrix}$$
(6)

$$\hat{q}_i = XN[(Q_{i0}, Q_{i1}, \cdots, Q_{ih})]$$
 (7)

$$\hat{k}_i = XN[([K^TV]_{0i}, [K^TV]_{1i}, \cdots, [K^TV]_{hi})]$$
 (8)

$$[W_{\text{proj}}A(\mathbf{x})]_{ij} = \sum_{m=1}^{h} w_{mj}\hat{q}_i \cdot \hat{k}_j$$
 (9)

# **Experiments**

#### Image Classification Metric

- Top-1 Accuracy: Softmax의 Output에서 제일 높은 수치를 가지는 값이 정답일 경우에 대한 지표
- Float Point Operations Per Second (FLOPs): 컴퓨터의 성능을 표현하는 지표
- Parameters: Model의 Weight 또는 Parameter 수

# Object Detection Metric

• Average Precision (AP): IoU 계산 결과 값이 0.5 이상이면 True Positive (TP), 0.5 미만이면 False Positive (FP)로 판단하고 검출 결과들 중 옳게 검출한 비율을 의미(정확도)

# **Experiments**

#### Image Classification Results

# ❖ ImageNet-1K Dataset

| Hyperparam       | Model            | Value  |
|------------------|------------------|--------|
|                  | UFO-ViT-S, L, XL | 5e-4   |
| learning rate    | UFO-ViT-M        | 4e-4   |
|                  | UFO-ViT-B        | 3.5e-4 |
|                  | UFO-ViT-S, L     | 0.05   |
| weight decay[27] | UFO-ViT-M, XL    | 0.07   |
|                  | UFO-ViT-B        | 0.09   |
| drop path[21]    | UFO-ViT-S, L     | 0.1    |
|                  | UFO-ViT-M, XL    | 0.15   |
|                  | UFO-ViT-B        | 0.2    |
| grad clip[28]    | UFO-ViT-S/L      | 1.0    |
|                  | UFO-ViT-M, XL    | 0.7    |
|                  | UFO-ViT-B        | 0.5    |

Table 3: **Hyperparameters for image classification.** All the other hyperparameters are same as DeiT[37].

| Method                                    | Top-1 Acc. (%) |
|---|----------------|
| Baseline(Linear Embed+XNorm)              | 81.8           |
| $XNorm \rightarrow LN[1], GN[43]$         | Failed         |
| $XNorm \rightarrow Learnable p-Norm$      | 81.8           |
| XNorm → Single L2Norm                     | Failed         |
| Linear Embed[10] $\rightarrow$ Conv Embed | 82.0           |
| +Tuned Hyperparameter                     | 82.8           |

Table 4: **Ablation study on ImageNet1k classification.** The results of ablation study on UFO-ViT-M. Note that single L2Norm means applying L2Norm to only one of query and key-value interaction. The learnable parameter p of p-norm is initialized by 2.

| M - 1-1             | Top-1 | D   | Params | FLOPs |  |
|---------------------|-------|-----|--------|-------|--|
| Model               | Acc   | Res | (M)    | (G)   |  |
| RegNetY-1.6G[30]    | 78.0  | 224 | 11     | 1.6   |  |
| DeiT-Ti[37]         | 72.2  | 224 | 5      | 1.3   |  |
| XCiT-T12/16[12]     | 77.1  | 224 | 26     | 1.2   |  |
| UFO-ViT-T           | 78.3  | 224 | 10     | 1.9   |  |
| ResNet-50[17]       | 75.3  | 224 | 26     | 3.8   |  |
| RegNetY-4G[30]      | 80.0  | 224 | 21     | 4.0   |  |
| DeiT-S[37]          | 79.8  | 224 | 22     | 4.6   |  |
| Swin-T[26]          | 81.3  | 224 | 29     | 4.5   |  |
| XCiT-S12/16[12]     | 82.0  | 224 | 26     | 4.8   |  |
| UFO-ViT-S           | 81.8  | 224 | 21     | 3.7   |  |
| ResNet-101[17]      | 75.3  | 224 | 47     | 7.6   |  |
| RegNetY-8G[30]      | 81.7  | 224 | 39     | 8.0   |  |
| Swin-S[26]          | 83.0  | 224 | 50     | 8.7   |  |
| XCiT-S24/16[12]     | 82.6  | 224 | 48     | 9.1   |  |
| UFO-ViT-M           | 82.8  | 224 | 37     | 7.0   |  |
| RegNetY-16G[30]     | 82.9  | 224 | 84     | 16.0  |  |
| DeiT-B[37]          | 81.8  | 224 | 86     | 17.5  |  |
| Swin-B[26]          | 83.5  | 224 | 88     | 15.4  |  |
| XCiT-S12/8[12]      | 83.4  | 224 | 26     | 18.9  |  |
| UFO-ViT-L           | 83.1  | 224 | 21     | 14.3  |  |
| EfficientNet-B7[34] | 84.3  | 600 | 66     | 37.0  |  |
| XCiT-S24/8[12]      | 83.9  | 224 | 48     | 36.0  |  |
| UFO-ViT-XL          | 83.9  | 224 | 37     | 27.4  |  |

Table 5: Comparison with the state of the art models. Note that the properties of the other models are taken from original papers.

# **Experiments**

#### Object Detection Results

# Object Detection on COCO

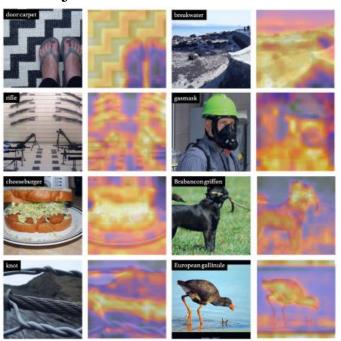


Figure 6: Visualized UFO-module outputs. UFO schemes cannot be visualized like traditional  $N \times N$  self-attention maps. Instead, we visualize tensor length map of UFO-module output from UFO-ViT-M pretrained on ImageNet1k. All maps are extracted from first layer which gathers most of spatial information. This map is scaled at (0,1). Red means the value is close to 1.

| Backbone          | Params (M) | $AP^b$ | $AP^b_{50}$ | $\mathrm{AP}^b_{75}$ | $AP^m$ | $AP_{50}^m$ | $AP^m_{75}$ |
|-------------------|------------|--------|-------------|----------------------|--------|-------------|-------------|
| ResNet50[17]      | 44.2       | 41.0   | 61.7        | 44.9                 | 37.1   | 58.4        | 40.1        |
| PVT-Small[41]     | 44.1       | 43.0   | 65.3        | 46.9                 | 39.9   | 62.5        | 42.8        |
| Swin-T[26]        | 47.8       | 46.0   | 68.1        | 50.3                 | 41.6   | 65.1        | 44.9        |
| XCiT-S12/16[12]   | 44.3       | 45.3   | 67.0        | 49.5                 | 40.8   | 64.0        | 43.8        |
| UFO-ViT-S         | 39.7       | 44.6   | 66.7        | 48.7                 | 40.4   | 63.6        | 42.9        |
| ResNet101[17]     | 63.2       | 42.8   | 63.2        | 47.1                 | 39.2   | 60.1        | 41.3        |
| PVT-Medium[41]    | 63.9       | 44.2   | 66.0        | 48.2                 | 40.5   | 63.1        | 43.5        |
| Swin-S[26]        | 69.0       | 48.5   | 70.2        | 53.5                 | 43.3   | 67.3        | 46.6        |
| XCiT-S24/16[12]   | 65.8       | 46.5   | 68.0        | 50.9                 | 41.8   | 65.2        | 45.0        |
| UFO-ViT-M         | 56.4       | 46.0   | 68.2        | 50.0                 | 41.0   | 64.6        | 43.7        |
| ResNeXt101-64[45] | 101.9      | 44.4   | 64.9        | 48.8                 | 39.7   | 61.9        | 42.6        |
| PVT-Large[41]     | 81.0       | 44.5   | 66.0        | 48.3                 | 40.7   | 63.4        | 43.7        |
| XCiT-M24/16[12]   | 101.1      | 46.7   | 68.2        | 51.1                 | 42.0   | 65.6        | 44.9        |
| UFO-ViT-B         | 82.4       | 45.8   | 67.4        | 50.1                 | 41.2   | 64.5        | 44.1        |

Table 6: Object detection performance on the COCO val2017.

# **Conclusion**

- ❖ Softmax 제거 및 행렬 연산 법칙을 사용하여 Self-Attention가 Linear Complexity를 가지도록 UFO-ViT를 제안
- ❖ 굉장히 간단한 방법으로 ViT에서 발생하는 Complexity와 Data-Efficiency를 해결
- ❖ Image Classification과 Object Detection Task에서 우수한 성능 달성
  - 후기: 최근 Self-Attention의 연산 복잡도를 줄이기 위해 다양한 방법론들이 제시되어 왔고 Data-Efficiency 문제와 함께 해결하였음. 연구 트렌드에 맞게 매우 간단한 연산 방법으로 Complexity 와 Efficiency를 해결하는 부분이 인상 깊었음. 코드도 공개가 되어있었다면 이해하기가 쉬웠을 듯!

#### Reference

• Song, J. G. (2021). UFO-ViT: High Performance Linear Vision Transformer without Softmax. arXiv preprint arXiv:2109.14382.

# Thank you