# VATT: Transformers for Multimodal Self-Supervised Learning from Raw Video, Audio and Text

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- Conclusion

# **Research Purpose**

- VATT: Transformers for Multimodal Self-Supervised Learning from Raw Video, Audio and Text (arXiv, 2021.4)
  - Microsoft에서 연구하였으며 2021년 11월 17일 기준으로 19회 인용됨

### VATT: Transformers for Multimodal Self-Supervised Learning from Raw Video, Audio and Text

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### Abstract

We present a framework for learning multimodal representations from unlabeled data using convolution-free Transformer architectures. Specifically, our Video-Audio-Text Transformer (VATT) takes raw signals as inputs and extracts multimodal representations that are rich enough to benefit a variety of downstream tasks. We train VATT endto-end from scratch using multimodal contrastive losses and evaluate its performance on video action recognition, audio event classification, image classification, and text-tovideo retrieval. Furthermore, we study a modality-agnostic, single-backbone Transformer by sharing weights among the three modalities. We show that the convolution-free VATT outperforms state-of-the-art ConvNet-based architectures in the downstream tasks. Especially, VATT's vision Transformer achieves the top-1 accuracy of 82.1% on Kinetics-400, 83.6% on Kinetics-600, and 41.1% on Moments in Time, new records while avoiding supervised pre-training. Transferring to image classification leads to 78.7% top-1 accuracy on ImageNet compared to 64.7% by training the same Transformer from scratch, showing the generalizability of our model despite the domain gap between videos and images. VATT's audio Transformer also sets a new record on waveform-based audio event recognition by achieving the mAP of 39.4% on AudioSet without any supervised pretraining. VATT's source code is publicly available.1

ral networks [47, 8] and CNNs [110, 36], to more general architectures constructed upon self-attention. Particularly, Transformers [93] become the de facto model architecture for NLP tasks [27, 76, 77, 11]. Pre-training a Transformer on large text corpora followed by fine-tuning gives rise to state-of-the-art results for different downstream tasks.

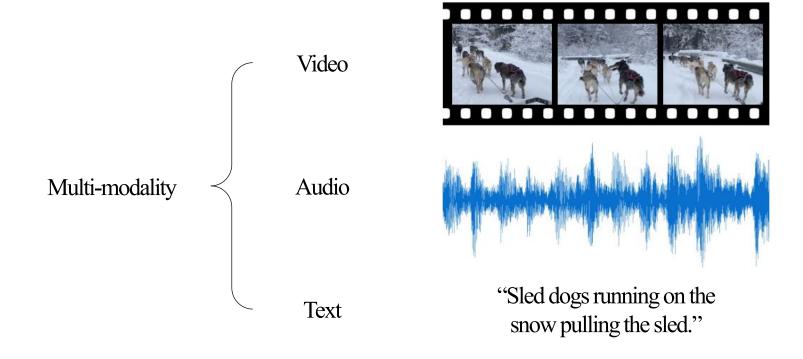
In view of the success of the attention mechanism in NLP, there has been a rich line of works exploring its potential in computer vision. Early work studied hybrid models consisting of both convolutions and attention modules [94, 100, 40, 111]. Recent studies showed that convolution-free, specially designed all-attention models can match CNNs' performance on image recognition tasks [112, 48, 79]. Most recently, Dosovitskiy et al. [29] achieved impressive performance on several image recognition tasks, including ImageNet [26], using a pre-trained Transformer with minimal architecture changes. Their work delivered a compelling message that "large scale (supervised) training trumps inductive bias (for image classification)." This conclusion was further extended to video recognition tasks by [10, 6].

However, the large-scale supervised training of Transformers is essentially troubling for two main reasons. First, it rules out the much larger other part of "big visual data," i.e., the vast amount of unlabeled, unstructured visual data. As a result, the supervised training strategy could produce biased systems that require even more labeled data to correct their biases. Second, this strategy fundamentally limits

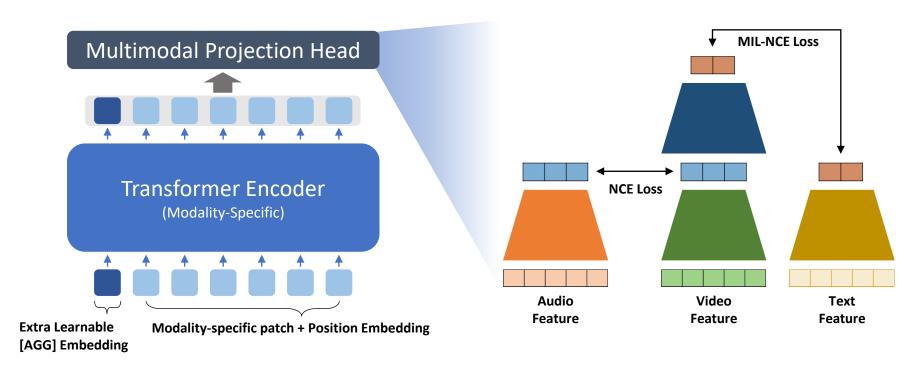


# **Research Purpose**

- VATT: Transformers for Multimodal Self-Supervised Learning from Raw Video, Audio and Text
  - Multi-modal 데이터에 대하여 self-supervised learning 방법론을 적용
  - 최근 텍스트, 시계열 뿐만 아니라 이미지에도 활용되고 있는 Transformer를 활용하여 modal-agnostic한 multi-modal모델 제안 (단, 주요 실험은 modality-specific으로 진행함)



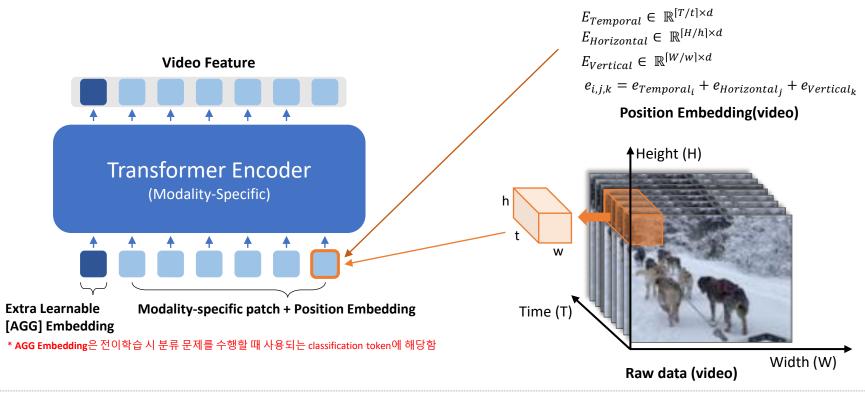
- Diagram of VATT (overall architectures)
  - VATT는 modality-agnostic 혹은 modality-specific 방식으로 구현될 수 있음
  - 논문에서는 modality-specific 로 진행하였으며 modal 별로 총 세 개의 Transformer를 생성함
  - Transformers 인코더는 A. Vaswani(2017)<sup>1</sup>가 제안한 구조를 사용함



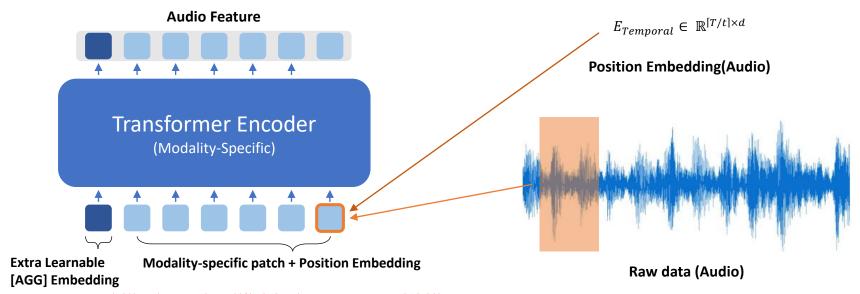
1. Vaswani, Ashish, et al. "Attention is all you need." Advances in neural information processing systems. 2017.



- Diagram of VATT (Video Encoder)
  - 각 패치는 voxel 단위로서 time \* (height \* weight \* 3 channels)으로 구성됨
    - ✓ 각패치는 linear projection을 통해 d 차원의 벡터로 표현됨
  - 각시간대 및 영상 패치 위치에 대한 positional encoding을 수행함



- Diagram of VATT (Audio Encoder)
  - 각 패치는 t 만큼의 길이로 나눈 audio waveform에 해당함
    - ✓ 각패치는 linear projection을 통해 d 차원의 벡터로 표현됨
  - 각 나눈 구간의 위치에 대한 positional encoding을 수행함

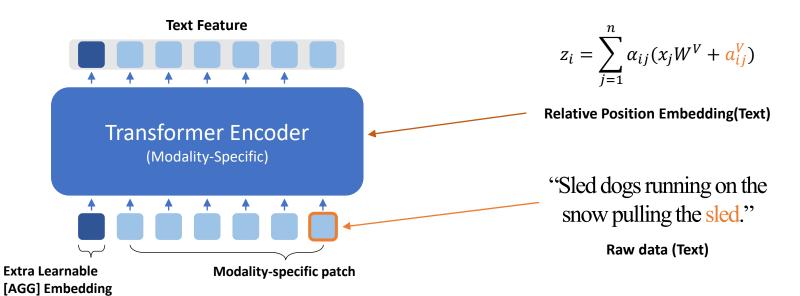


<sup>\*</sup> AGG Embedding은 전이학습 시 분류 문제를 수행할 때 사용되는 classification token에 해당함

- Diagram of VATT (Text Encoder)
  - 학습 데이터셋에 등장하는 단어에 대해서 embedding table을 생성함
  - Text 데이터에 대해서는 relative positional encoding을 수행함

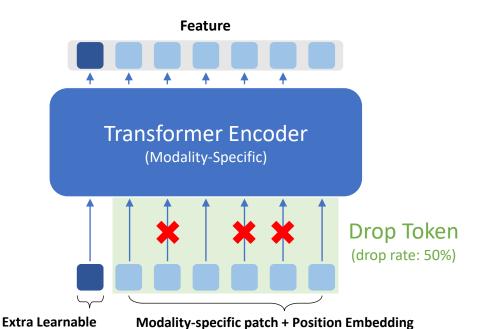
\* AGG Embedding은 전이학습 시 분류 문제를 수행할 때 사용되는 classification token에 해당함

- ✓ 기존의 positional encoding은 입력 데이터에 적용되며 절대적인 위치를 임베딩
- ✓ Relative positional encoding은 attention 연산과 함께 수행되며 연산되는 토큰의 위치를 중심으로 다른 토 큰 간의 상대적인 위치를 임베딩



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- Diagram of VATT (Drop Token)
  - Video와 Audio modality의 경우 입력 데이터의 일부를 탈락시키는 기법을 사용함
  - Transformer의 학습 시 계산 복잡도가 입력 데이터 길이의 제곱에 비례하기 때문
    - ✓ Video와 audio는 text에 비해서 특징이 더 많기 때문에 데이터의 길이가 더 길어질 수밖에 없음
  - Drop Token을 적용할 경우 낮아지는 계산 복잡도에 비해 성능이 거의 유지되는 모습을 보임



	DropToken Drop Rate			
	75%	50%	25%	0%
Multimodal GFLOPs	188.1	375.4	574.2	784.8
HMDB51	62.5	64.8	65.6	66.4
UCF101	84.0	85.5	87.2	87.6
ESC50	78.9	84.1	84.6	84.9

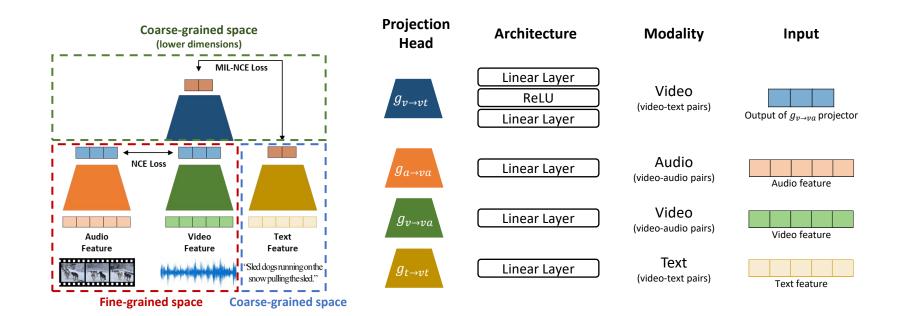
Table 13. Linear classification top-1 accuracy vs. sampling rate vs. inference GFLOPs in the Medium-Base-Small (MBS) setting.

### \*\* Drop Rate 0% → 50% 시 (논문 실험 세팅 값)

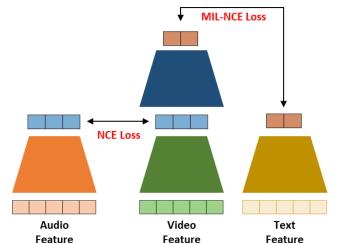
- ✓ 계산 복잡도 약 52.1% 하락
- ✓ 모델 성능 평균 약 1.9% 하락

[AGG] Embedding

- Diagram of VATT (Common Space Projection)
  - Video, Audio의 데이터 밀도와 Text 데이터 밀도에는 차이가 존재함
    - ✓ Video & Audio: 하나의 토큰에 여러 time step의 특징이 들어감 → Fine-grained feature space
    - ✓ Text: 하나의 토큰에 하나의 단어(특징)가 들어감 → Coarse-grained feature space
  - 따라서 loss를 계산할 modality 간의 feature space 수준을 맞춰줄 필요가 있음



- Diagram of VATT (Multi-modal Contrastive Learning)
  - Video-audio pairs에는 Noise-Contrastive Estimation (NCE) Loss를 적용함
  - Video-text pairs에는 Multiple-Instance-Learning-NCE (MIL-NCE) Loss를 적용함
    - ✓ 하나의 video feature에 대해서 다수의 text features에 대한 유사도를 계산함
    - ✓ Video의 한 장면이 한 문장과 시간 순서 측면에서 완벽하게 일치하지 않기 때문에 해당 시간대의 주변 문장을 모두 활용



### **Total Loss**

$$\mathcal{L} = NCEig(z_{v,va}, z_{a,va}ig) + \lambda MIL - NCEig(z_{v,vt}, \{z_{t,vt}\}ig)$$
 \*  $\lambda$ 는 loss의 비중을 조절함

### **NCE Loss**

$$NCE(z_{v,va}, z_{a,va}) = -\log \left( \frac{\exp\left(\frac{z_{v,va}^T z_{a,va}}{\tau}\right)}{\sum_{i=1}^{B} \exp\left(\frac{z_{v,va}^i z_{a,va}^i}{\tau}\right)} \right)$$

### **MIL-NCE Loss**

$$MIL - NCE(z_{v,vt}, \{z_{t,vt}\}) = -\log\left(\frac{\sum_{Z_{t,vt \in P(Z_{v,vt})}} \exp\left(\frac{Z_{v,vt}^T Z_{t,vt}}{\tau}\right)}{\sum_{Z_{t,vt \in P(Z_{v,vt})} \cup \mathcal{N}(Z_{v,vt})} \exp\left(\frac{Z_{v,vt}^i Z_{t,vt}^i}{\tau}\right)}\right)$$

# **Experiments**

### Model & Data settings

- 사전학습은 온라인에 업로드 된 비디오로 수행되었으며 모두 레이블이 달려있지 않음
- 전이학습 및 검증은 각 modality에서 사용되는 벤치마크 데이터셋이 사용되었음

### Default pre-training settings (all models)

• Da	taset:	Online v	video datase	et with au	dio and text	(136M)	
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•	Optimizer:	Adam
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• Batch size: 2048

• Initial learning rate: 0.0001

• Warm-up steps: 10,000

• Cosine learning scheduler

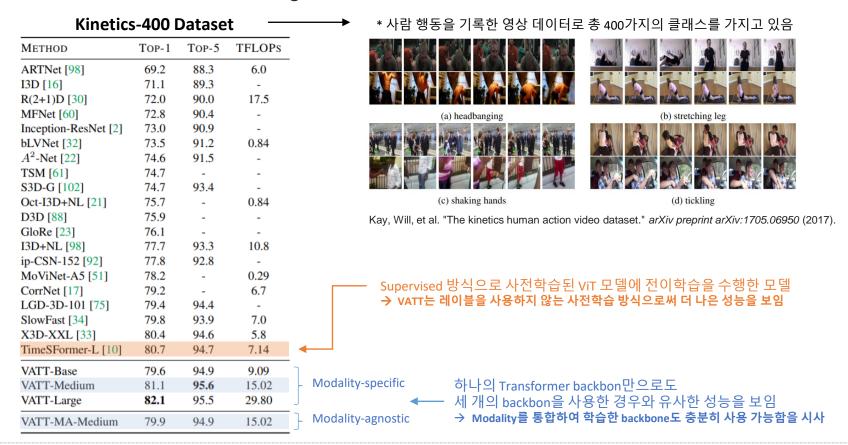
### Default architecture settings (all models)

Model	Layers	Hidden Size	MLP Size	Heads	Params
Small	6	512	2048	8	20.9 M
Base	12	768	3072	12	87.9 M
Medium	12	1024	4096	16	155.0 M
Large	24	1024	4096	16	306.1 M

Table 1. Details of the Transformer architectures in VATT.

# **Experiments**

- Fine-tuning for video action recognition
  - Modality-agnostic 방식은 하나의 Transformer backbone을 가지는 모델
  - 기존의 모든 video action recognition 방법론에 비해서 좋은 성능을 모임

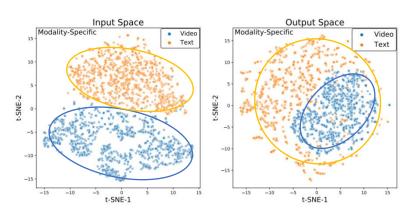


# **Experiments**

### Feature visualization

- Modality-agnostic 모델과 modality-specific 모델에서 추출한 데이터의 특징을 비교함
- 입력데이터의 경우 두 모델 모두 video와 text의 특징이 서로 다른 공간에 군집하고 있음
- 반면 모델로부터 추출한 특징의 경우 두 modality가 서로 다른 양상을 보여줌
  - ✓ Modality-agnostic: 두 modality가 특징 공간에서 혼재되어 있는 모습을 보임
  - ✓ Modality-specific: 두 modality가 특징 공간에서 분리되어 있는 모습을 보임

이는 modality-agnostic 모델은 서로 다른 modality를 가진 데이터라도 그 의미(semantic)를 같게 표현하는 것으로 해석할 수 있음



Modality-Specific

Modality-Agnostic

## **Conclusion**

### Conclusion & Limitations

- Transformer로 수행할 수 있는 self-supervised multimodal learning 프레임워크를 제안함
- Inductive bias가 약하기 때문에 하나의 네트워크가 다양한 modality를 잘 학습할 수 있으며 modality-specific 모델과의 성능 차이가 크지 않음을 보여줌
- Drop Token 기법으로 일정 수준 해결하였지만 근본적으로 Vanilla Transformer는 많은 연산량과 메모리를 요구하는 단점이 있음

# Reference

- 1. Akbari, Hassan, et al. "Vatt: Transformers for multimodal self-supervised learning from raw video, audio and text." *arXiv* preprint arXiv:2104.11178 (2021).
- 2. Vaswani, Ashish, et al. "Attention is all you need." *Advances in neural information processing systems*. 2017.
- 3. Kay, Will, et al. "The kinetics human action video dataset." arXiv preprint arXiv:1705.06950 (2017).
- 4. <a href="https://littlefoxdiary.tistory.com/85">https://littlefoxdiary.tistory.com/85</a>
- 5. <a href="https://robot-vision-develop-story.tistory.com/29">https://robot-vision-develop-story.tistory.com/29</a>

# Thank you