

# Data Structures

## Lecture 2: Recursion

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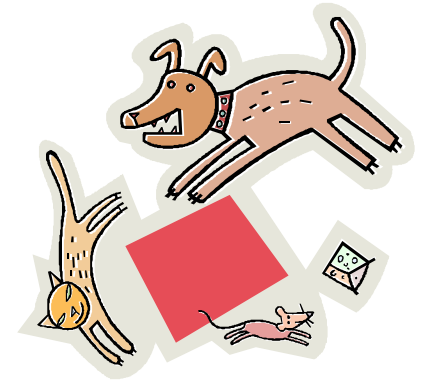
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# Recursion

- Method that solves the problem by calling the algorithm (or function) back
- A suitable method for circular definition
- Examples



Factorial computation

$$n! = \begin{cases} 1 & n = 0 \\ n * (n-1)! & n \geq 1 \end{cases}$$

Fibonacci series

$$fib(n) = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ fib(n-2) + fib(n-1) & \text{otherwise} \end{cases}$$

Binomial coefficient

$${}_nC_k = \begin{cases} 1 & n = 0 \text{ or } n = k \\ {}_{n-1}C_{k-1} + {}_{n-1}C_k & \text{otherwise} \end{cases}$$

$$n = 0 \quad \text{or} \quad n = k$$

*otherwise*

# Factorial Programming

- Definition of Factorial

$$n! = \begin{cases} 1 & n = 0 \\ n * (n-1)! & n \geq 1 \end{cases}$$

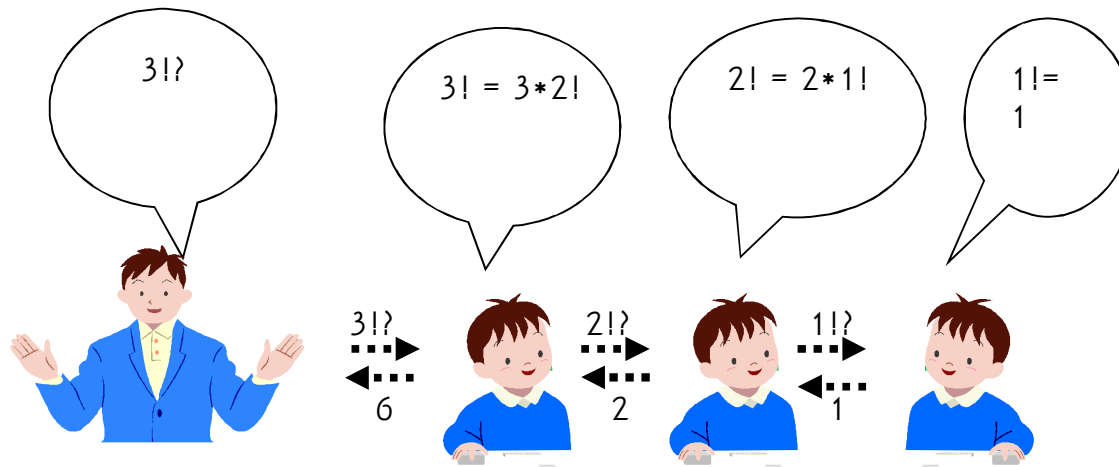
- Implementation 1
  - Use 'factorial\_n()', 'factorial\_n\_1()', 'factorial\_n\_2()'...

```
int factorial_n(int n)
{
    if( n <= 1 ) return(1);
    else return (n * factorial_n_1(n-1) );
}
```

# Factorial Programming

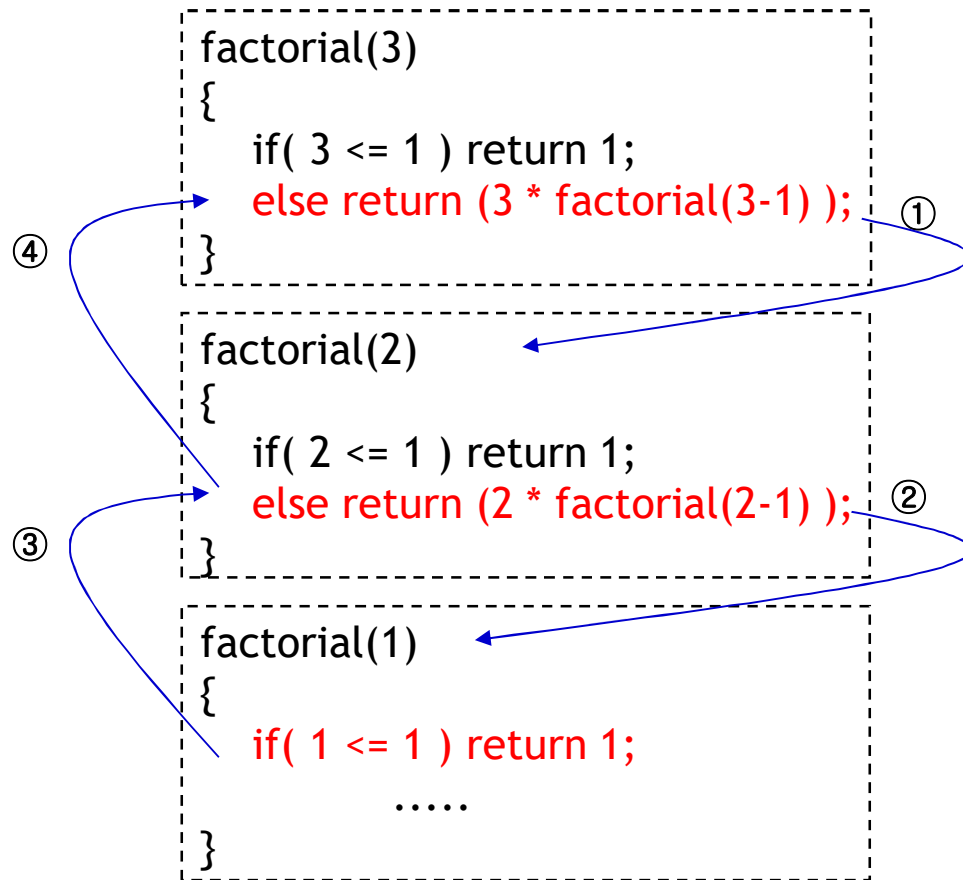
- Implementation 2
  - Using recursion with a single function 'factorial()'

```
int factorial(int n)
{
    if( n <= 1 ) return(1);
    else return (n * factorial(n-1) );
}
```



# Call in Recursion

- Call order in factorial



$$\begin{aligned}\text{factorial}(3) &= 3 * \text{factorial}(2) \\ &= 3 * 2 * \text{factorial}(1) \\ &= 3 * 2 * 1 \\ &= 6\end{aligned}$$

## Operation in ①, ②

1. Save the return address at system stack
2. Allocate parameters and local variables from system stack
3. Jump to the address of the called function

## Operation in ③, ④

1. Call the return address from system stack
2. Go back to the call function

# Recursion Structure

- The recursive algorithm includes the following parts.
  - The part that makes the recursive call
  - The part that stops the recursive call

```
int factorial(int n)
{
    if( n <= 1 ) return 1
    else return n * factorial(n-1);
}
```

Stop the recursion

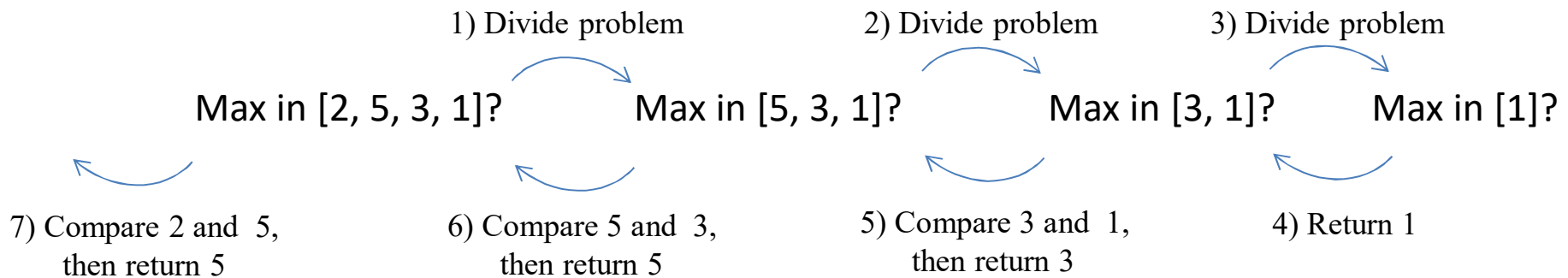
Call the recursion

Q: What if there is no part that stops the circular call?

A: Until a system error occurs, it is called indefinitely.

# Recursion Principle

- Divide-and-conquer
  - Divide the problem into a set of sub-problems
  - The number of sub-problems can be  $\geq 1$ .



# Recursion vs. Iteration

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**Recursion**: using recursive calls      **Iteration**: using 'for' or 'while' loop

- Most recursion can be implemented in a form of iteration.
- Recursion
  - + Good choice for recursive problems (*easy* to implement)
  - Overhead of function calls → Usually *slower* execution time
- Iteration
  - + *Fast* execution time
  - Programming can be often very *difficult* for recursive problems.



# Recursion vs. Iteration

- What is the best strategy?
  - It depends on problems.

Explain the algorithm using the recursion.  
Then, implement the algorithm using the iteration.

## Ex 1) Factorial computation

Time complexity: recursion = iteration  
Memory and call overhead: recursion > iteration  
Total complexity: recursion > iteration

## Ex 2) Power computation

Time complexity: recursion  $O(\log n) <$  iteration  $O(n)$   
Memory and call overhead: recursion > iteration  
Total complexity: recursion < iteration

## Ex 3) Fibonacci series

Time complexity: recursion > iteration  
Memory and call overhead: recursion > iteration  
Total complexity: recursion > iteration

# Iterative Implementation of Factorial

$$n! = \begin{cases} 1 & n = 1 \\ n * (n-1) * (n-2) * \dots * 1 & n \geq 2 \end{cases}$$

```
int factorial_iter(int n)
{
    int k, v=1;
    for(k=n; k>0; k--)
        v = v*k;
    return(v);
}
```

# Factorial: Time Complexity Analysis

- $T(n)$ : Complexity with  $n$  inputs

```
int factorial_iter(int n)
{
    int k, v=1;
    for(k=n; k>0; k--)
        v = v*k;
    return(v);
}
```

Iteration

$$\Rightarrow T(n) = O(n)$$

```
int factorial(int n)
{
    if( n <= 1 ) return(1);
    else return (n * factorial(n-1) );
}
```

Recursion

$$T(n) = T(n - 1) + 1 \Rightarrow T(n) = O(n)$$

# Power Computation

- The problem of finding the  $n$ -squared value of  $x$ :  $x^n$
- Example that recursion is more efficient than the iteration
- Iterative method

```
double slow_power(double x, int n)
{
    int i;
    double r = 1.0;
    for(i=0; i<n; i++)
        r = r * x;
    return(r);
}
```

# Power Computation

- Recursion

```
power(x, n)

if n=0
    then return 1;
else if n is even
    then return power(x2, n/2);
else if n is odd
    then return x*power(x2, (n-1)/2);
```

When n is even

$$power(x, n) = power(x^2, \frac{n}{2})$$

When n is odd

$$power(x, n) = x \cdot power\left(x^2, \frac{n-1}{2}\right) = x \cdot x^{n-1} = x^n$$

# Power Computation

- Recursion

```
double power(double x, int n)
{
    if( n==0 ) return 1;
    else if ( (n%2)==0 )
        return power(x*x, n/2);
    else return x*power(x*x, (n-1)/2);
}
```

- Time complexity

- When n is the square of 2, the problem is reduced as follows.

$$2^n \rightarrow 2^{n/2} \rightarrow \dots 2^2 \rightarrow 2^1 \rightarrow 2^0$$

	slow_power (iteration)	power (recursion)
Time complexity	$O(n)$	$O(\log_2 n)$
Execution time	7.17 sec	0.47 sec

# Power Computation: Time Complexity Analysis

- $T(n)$ : Complexity with  $n$  inputs

```
double slow_power(double x, int n)
{
    int i;
    double r = 1.0;
    for(i=0; i<n; i++)
        r = r * x;
    return(r);
}
```

Iteration

$$\Rightarrow T(n) = O(n)$$

```
double power(double x, int n)
{
    if( n==0 ) return 1;
    else if ( (n%2)==0 )
        return power(x*x, n/2);
    else return x*power(x*x, (n-1)/2);
}
```

Recursion

$c$ : constant

$$T(n) = T\left(\frac{n}{2}\right) + c$$

$$\Rightarrow T(n) = O(\log_2 n)$$

# Fibonacci Series

- Recursion is not a good choice.

- Fibonacci Series

Ex) 0,1,1,2,3,5,8,13,21,...

$$fib(n) = \begin{cases} 0 & n = 0 \\ 1 & n = 1 \\ fib(n-2) + fib(n-1) & otherwise \end{cases}$$

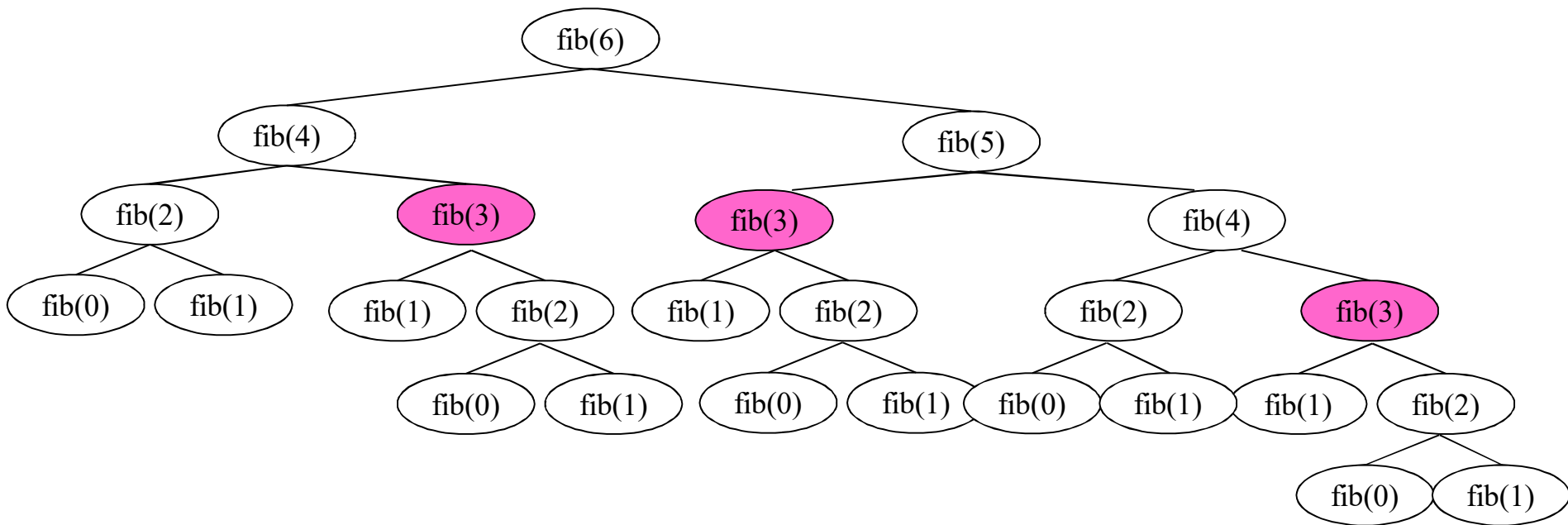
- Recursive implementation

```
int fib(int n)
{
    if( n==0 ) return 0;
    if( n==1 ) return 1;
    return (fib(n-1) + fib(n-2));
}
```



# Fibonacci Series

- Why is the recursion is inefficient for Fibonacci Series?
  - The same terms are computed in duplicate.  
Ex) When calling  $fib(6)$ ,  $fib(3)$  will be computed 3 times.
  - It becomes worse when  $n$  becomes larger.



For  $fib(6)$ , maximum depth of tree = 5  
 $\rightarrow T(n) < 2^{n-1} = O(2^n)$

# Fibonacci Series

- Iteration

```
fib_iter(int n)
{
    if( n < 2 ) return n;
    else {
        int i, tmp, current=1, last=0;
        for(i=2;i<=n;i++){
            tmp = current;
            current += last;
            last = tmp;
        }
        return current;
    }
}
```

# Fibonacci Series: Time Complexity Analysis

- $T(n)$ : Complexity with  $n$  inputs

```
fib_iter(int n)
{
    if( n < 2 ) return n;
    else {
        int i, tmp, current=1, last=0;
        for(i=2;i<=n;i++){
            tmp = current;
            current += last;
            last = tmp;
        }
        return current;
    }
}
```

Iteration

$$\Rightarrow T(n) = O(n)$$

```
int fib(int n)
{
    if( n==0 ) return 0;
    if( n==1 ) return 1;
    return (fib(n-1) + fib(n-2));
}
```

Recursion

$$T(n) = T(n-1) + T(n-2) + 1$$

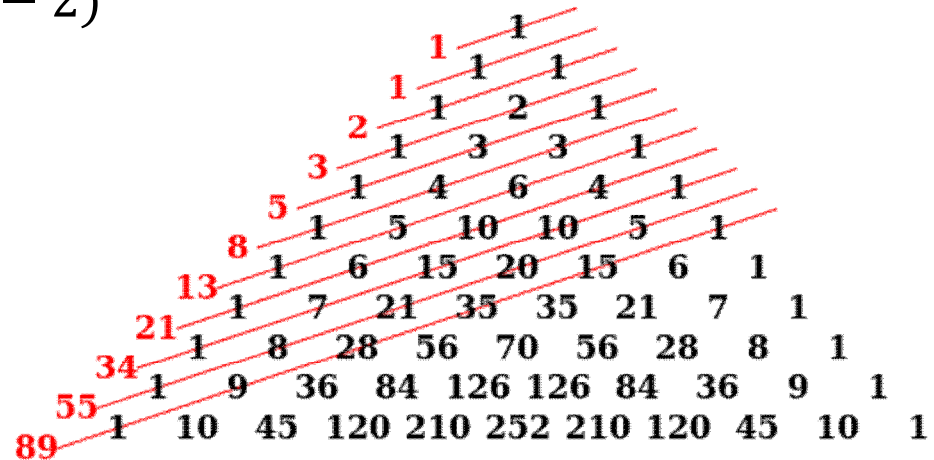
$$\Rightarrow T(n) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \binom{n-k-1}{k} = O(2^n)$$

# Fibonacci Series: Time Complexity Analysis

- The Fibonacci numbers
  - occur in the sums of "shallow" diagonals in Pascal's triangle.

$$Fib(n) = Fib(n - 1) + Fib(n - 2)$$

$$\Rightarrow Fib(n) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \binom{n-k-1}{k}$$

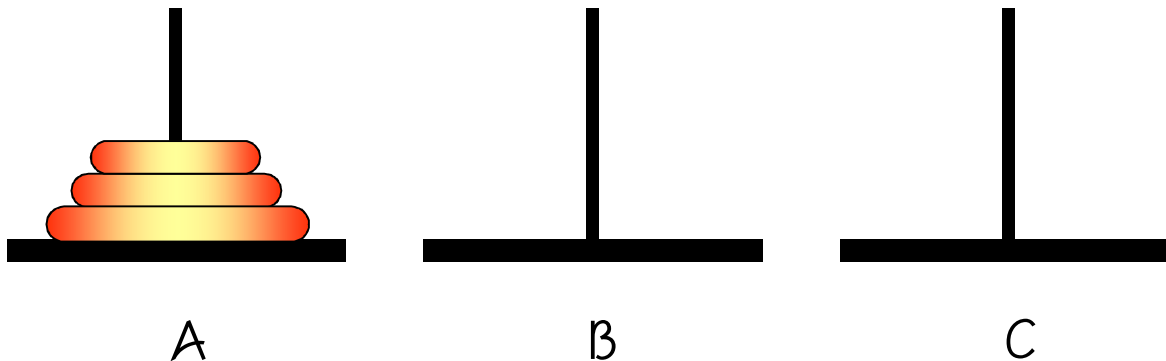


## binomial coefficient

for  $n^{th}$  polynomial,  $k^{th}$  coefficient:  $\binom{n}{k}$

# Hanoi Tower

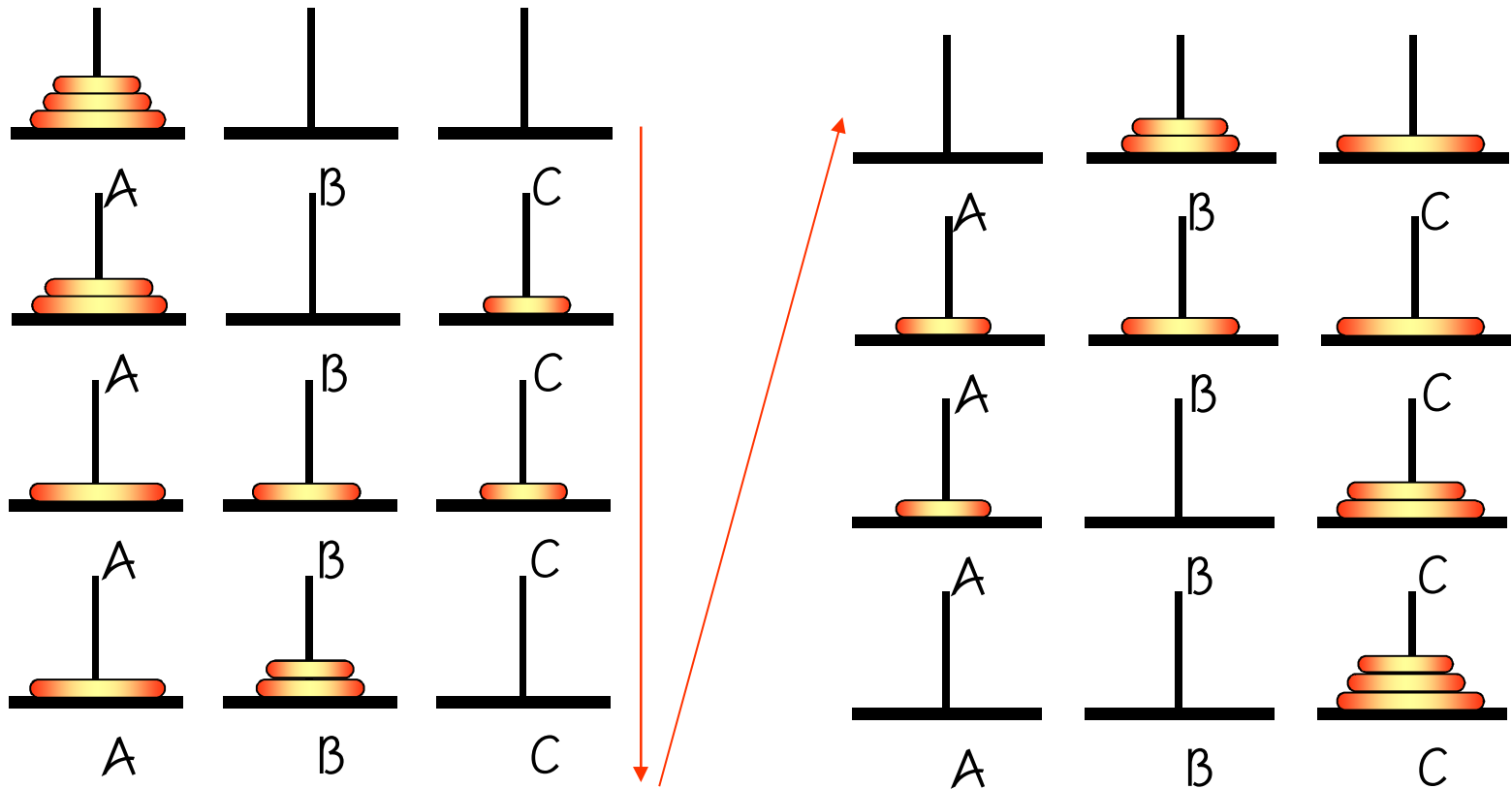
- The problem is to move  $n$  discs stacked on rod A to rod C, with the following conditions.
  - Only one disc can be moved at a time
  - Only the top disc can be moved
  - A large disc can not be stacked on a small disc.
  - The middle bar may be used temporarily, but the preceding conditions must be kept.



# Hanoi Tower

For 3 discs

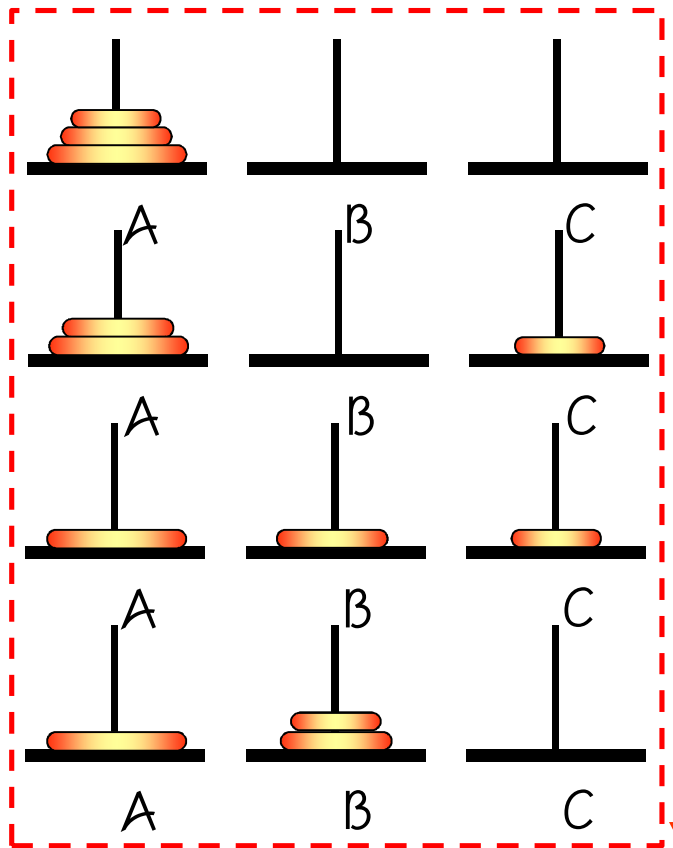
Original problem: A  $\rightarrow$  C for 3 discs



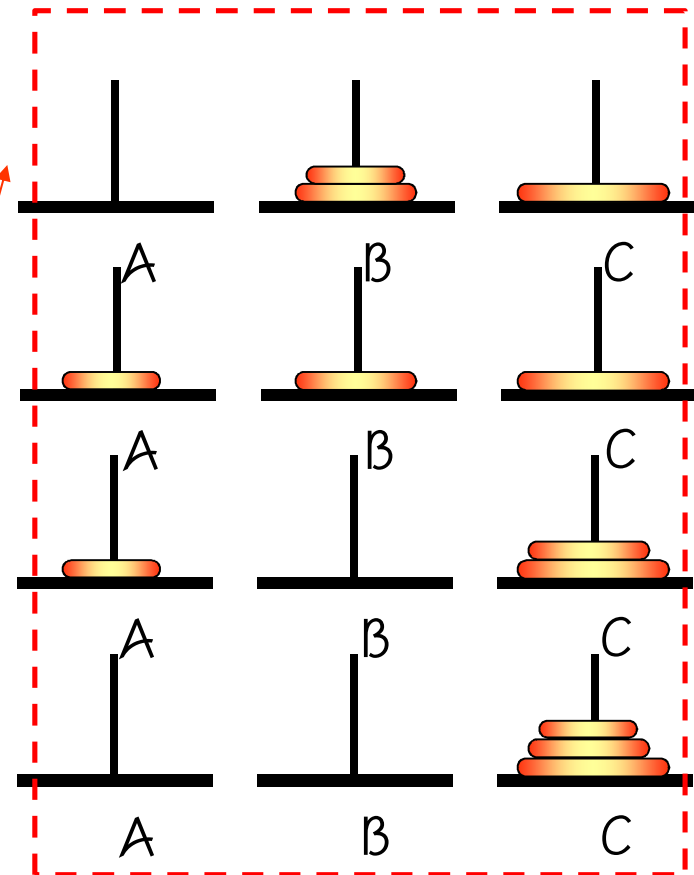
# Hanoi Tower

For 3 discs

Original problem:  $A \rightarrow C$  for 3 discs



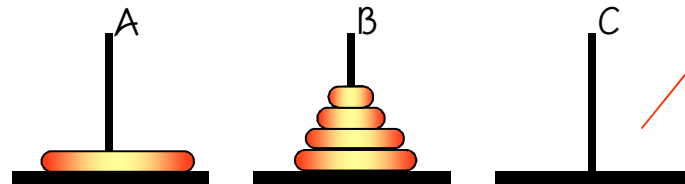
Sub-problem:  $A \rightarrow B$  for 2 discs



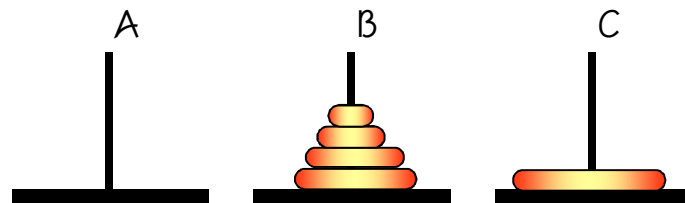
Sub-problem:  $B \rightarrow C$  for 2 discs

# Hanoi Tower

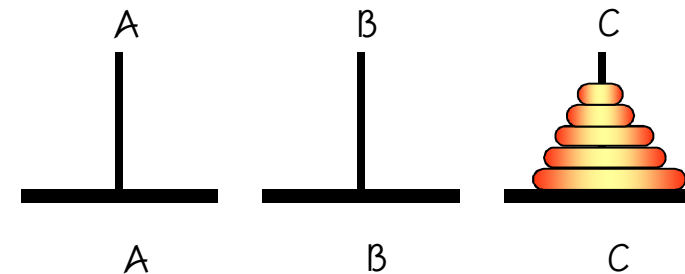
For  $n$  discs



Using C as a temporary buffer  
Move  $n-1$  discs stacked in A to B.



Move the largest disc of A to C.



Using A as a temporary buffer,  
move  $n-1$  discs in B to C.



# Hanoi Tower

- How do you move  $n-1$  discs from A to B and from B to C?

Note) Our original problem is to move  $n$  discs from A to C

→ It is necessary to recursively call the function with  $n-1$  disc as an input.

```
// Move n discs stacked on the bar 'from' to the bar 'to' using the bar 'tmp'.  
void hanoi_tower(int n, char from, char tmp, char to)  
{  
    if (n==1){  
        Move a disc 'from' → 'to'  
    }  
    else{  
        hanoi_tower(n-1, from, to, tmp);  
        Move a disc at the bar 'from' to the bar 'to'.  
        hanoi_tower(n-1, tmp, from, to);  
    }  
}
```

# Hanoi Tower

- Procedure
  1. Move  $n-1$  discs from A to B.
  2. Move  $n$ -th disc from A to C.
  3. Move  $n-1$  discs from B to C.

```
#include <stdio.h>
void hanoi_tower(int n, char from, char tmp, char to)
{
    if( n==1 ) printf("Move 1 disc from %c to %c.\n", from, to);
    else {
        hanoi_tower(n-1, from, to, tmp);
        printf("Move disc %d from %c to %c.\n", n, from, to);
        hanoi_tower(n-1, tmp, from, to);
    }
}
main()
{
    hanoi_tower(4, 'A', 'B', 'C');
}
```

# Hanoi Tower: Time Complexity Analysis

- $T(n)$ : Complexity with  $n$  inputs

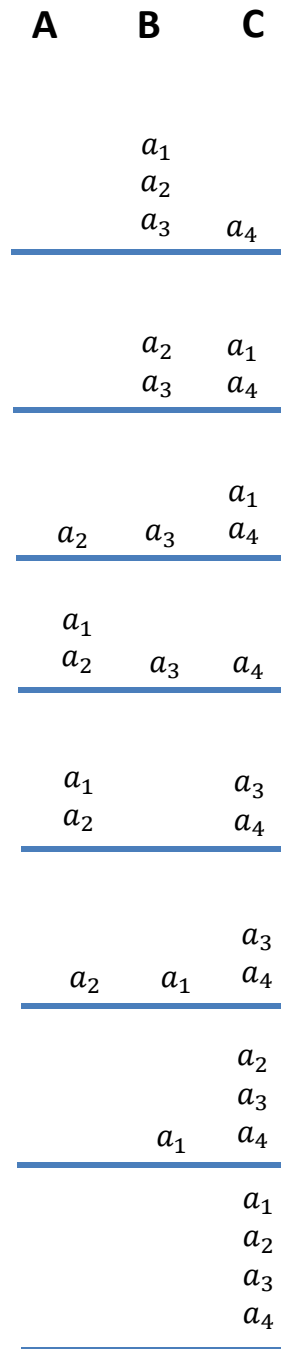
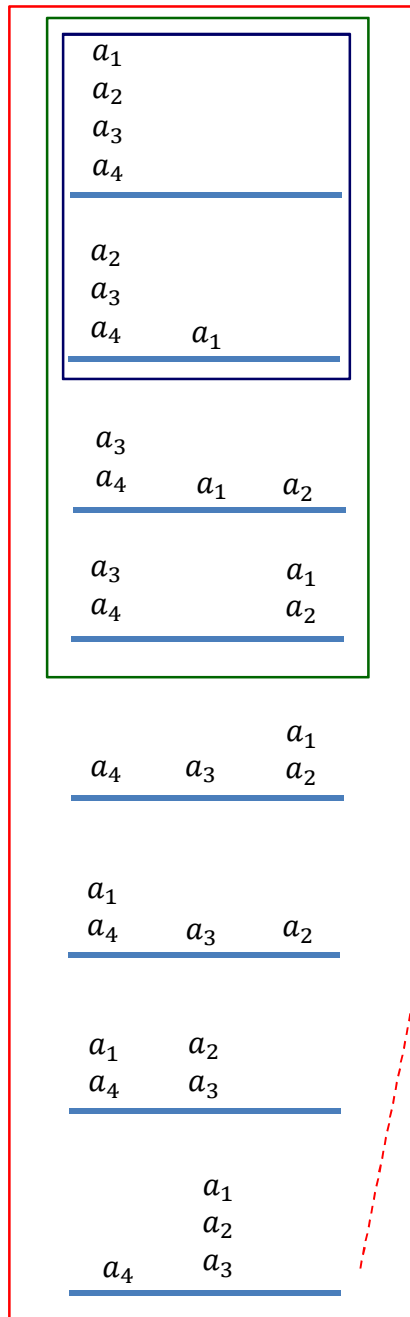
```
#include <stdio.h>
void hanoi_tower(int n, char from, char tmp, char to)
{
    if( n==1 ) printf("Move 1 disc from %c to %c.\n", from, to);
    else {
        hanoi_tower(n-1, from, to, tmp);
        printf("Move disc %d from %c to %c.\n", n, from, to);
        hanoi_tower(n-1, tmp, from, to);
    }
}
main()
{
    hanoi_tower(4, 'A', 'B', 'C');
}
```

Recursion

$$T(n) = 2T(n - 1) + 1$$

$$\Rightarrow T(n) = 2^n - 1 = O(2^n)$$

# Hierarchical Structure of Recursion (Divide-and-Conquer)



## Original problem

Move 4 discs  $a_1, a_2, a_3, a_4$  from A to C

  : Move 3 discs  $a_1, a_2, a_3$  from A to B

  : Move 2 discs  $a_1, a_2$  from A to C

  : Move 1 discs  $a_1$  from A to B

Note)  $T(n) = 2^n - 1$

- Q: Explain the process when 5 discs are used.
- Q: Implement Hanoi Tower in an iterative manner, and explain what is the complexity, and how many bars are needed.  
(Note that the recursion of Hanoi Tower requires 3 bars only.)

# Binary Search

- Problem

- Input: a set of ordered numbers  $\{a_1, \dots, a_n\}$
- Goal: query  $b$
- Output: an index  $k$  where  $a_k = b$

- Iterative implementation

```
int search_iter(A, b)
  for i=1 to n
    if(A[i] == b)
      k=i;
  return k
```

- Recursive implementation

```
int search_recur(A, b, start, end)
  if(start>end)    return -1;
  int median = (start+end)/2;
  if(A[median]<b)
    search_recur(A, b, median, end);
  else if(A[median]>b)
    search_recur(A, b, start, median);
  else
    return median;
```

# Binary Search: Time Complexity Analysis

- $T(n)$ : Complexity with  $n$  inputs

```
int search_iter(A, b) Iteration
    for i=1 to n
        if(A[i] == b)
            k=i;
    return k
```

$$\Rightarrow T(n) = O(n)$$

```
int search_recur(A, b, start, end)
    if(start>end) return -1; Recursion
    int median = (start+end)/2;
    if(A[median]<b)
        search_recur(A, b, median, end);
    else if(A[median]>b)
        search_recur(A, b, start, median);
    else
        return median;
```

$c$ : constant

$$T(n) = T\left(\frac{n}{2}\right) + c$$

$$\Rightarrow T(n) = O(\log_2 n)$$

# Recursion Types

- Tail recursion: can be easily implemented using iteration

```
return n * factorial(n-1);
```


- Head recursion: is difficult to implement using iteration

```
return factorial(n-1) * n;
```

- Multi-recursion: is difficult to implement using iteration

```
function(A, n)
{
    function(A, n-1)
    function(A, n-1)
}
```

```
function(A, n, p)
{
    if(...) function(A, n-1, p)
    else function(A, n-1, q)
}
```

 This is NOT a multi-recursion