

I. TENDERMINT CONSENSUS ALGORITHM

Algorithm 1 Tendermint consensus algorithm

```

1: Initialization:
2:    $round_p := 0$  /* current round number */
3:    $step_p \in \{propose, prevote, precommit\}$ 
4:    $decision_p := nil$ 
5:    $lockedValue_p := nil$ 
6:    $lockedRound_p := -1$ 
7:    $validValue_p := nil$ 
8:    $validRound_p := -1$ 
9: upon start do  $StartRound(0)$ 
10: Function  $StartRound(round)$  :
11:    $round_p \leftarrow round$ 
12:    $step_p \leftarrow propose$ 
13:   if  $proposer(round_p) = p$  then
14:     if  $validValue_p \neq nil$  then
15:        $proposal \leftarrow validValue_p$ 
16:     else
17:        $proposal \leftarrow getValue()$ 
18:     broadcast  $\langle PROPOSAL, round_p, proposal, validRound_p \rangle$ 
19:   else
20:     schedule  $OnTimeoutPropose(round_p)$  to be executed after  $timeoutPropose(round_p)$ 

21: // on-proposal
22: upon  $\langle PROPOSAL, round_p, v, -1 \rangle$  from  $proposer(round_p)$  while  $step_p = propose$  do
23:   if  $valid(v) \wedge (lockedValue_p = v \vee (favor(v) \wedge lockedRound_p = -1))$  then
24:     broadcast  $\langle PREVOTE, round_p, id(v) \rangle$ 
25:   else
26:     broadcast  $\langle PREVOTE, round_p, nil \rangle$ 
27:    $step_p \leftarrow prevote$ 

28: // on-4f-favor-prevote-propose-step
29: upon  $\langle PROPOSAL, round_p, v, vr \rangle$  from  $proposer(round_p)$  AND  $4f + 1 \langle PREVOTE, vr, id(v) \rangle$  while  $step_p = propose \wedge (vr \geq 0 \wedge vr < round_p)$  do
30:   if  $valid(v) \wedge ((favor(v) \wedge lockedRound_p < vr) \vee lockedValue_p = v)$  then
31:     broadcast  $\langle PREVOTE, round_p, id(v) \rangle$ 
32:   else
33:     broadcast  $\langle PREVOTE, round_p, nil \rangle$ 
34:    $step_p \leftarrow prevote$ 

35: // on-4f-favor-prevote-prevote-step
36: upon  $\langle PROPOSAL, round_p, v, * \rangle$  from  $proposer(round_p)$  AND  $4f + 1 \langle PREVOTE, round_p, id(v) \rangle$  while  $valid(v) \wedge step_p \geq prevote$  for the first time do
37:   if  $step_p = prevote$  then
38:      $lockedValue_p \leftarrow v$ 
39:      $lockedRound_p \leftarrow round_p$ 
40:     broadcast  $\langle PRECOMMIT, round_p, id(v) \rangle$ 
41:      $step_p \leftarrow precommit$ 
42:      $validValue_p \leftarrow v$ 
43:      $validRound_p \leftarrow round_p$ 
44: // on-4f-nil-prevote
45: upon  $4f + 1 \langle PREVOTE, round_p, nil \rangle$  while  $step_p = prevote$  do
46:   broadcast  $\langle PRECOMMIT, round_p, nil \rangle$ 
47:    $step_p \leftarrow precommit$ 

48: // on-5f-prevote /* Early termination of prevote phase */
49: upon  $5f + 1 \langle PREVOTE, round_p, * \rangle$  while  $step_p = prevote$  do
50:   if  $4f + 1 \langle PREVOTE, round_p, id(v) \rangle$  is received then
51:     broadcast  $\langle PRECOMMIT, round_p, id(v) \rangle$ 
52:   else
53:     broadcast  $\langle PRECOMMIT, round_p, nil \rangle$ 
54:    $step_p \leftarrow precommit$ 

55: // on-5f-precommit
56: upon  $5f + 1 \langle PRECOMMIT, round_p, * \rangle$  for the first time do
57:   schedule  $OnTimeoutPrecommit(round_p)$  to be executed after  $timeoutPrecommit(round_p)$ 

58: // on-4f-favor-precommit
59: upon  $\langle PROPOSAL, r, v, * \rangle$  from  $proposer(r)$  AND  $4f + 1 \langle PRECOMMIT, r, id(v) \rangle$  while  $decision_p = nil$  do
60:   if  $valid(v)$  then
61:     update height, reset all, and call  $StartRound(0)$ 
62: Function  $OnTimeoutPropose(round)$  :
63:   if  $round = round_p \wedge step_p = propose$  then
64:     broadcast  $\langle PREVOTE, round_p, nil \rangle$ 
65:      $step_p \leftarrow prevote$ 
66: Function  $OnTimeoutPrecommit(round)$  :
67:   if  $round = round_p$  then
68:      $StartRound(round_p + 1)$ 

```

We assume $6f + 1$ voting power where at most f of it is byzantine.

II. 수정사항

- 1) prevote timeout 없앴
- 2) height 개념 없앴
- 3) precommit timeout 조건 $4f + 1$ 에서 $5f + 1$ 로 변경