

Review

Links between early Paleozoic oxygenation and the Great Ordovician Biodiversification Event (GOBE): A review

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Abstract

The Great Ordovician Biodiversification Event (GOBE) represents a four-fold increase of genus-level diversity that post-dates the diversification of the ‘Cambrian explosion’ by 40–50 Myr. A major increase in atmospheric oxygen (O_2) levels is thought to be a leading cause of the ‘Cambrian explosion’ that lowered metabolic costs associated with skeletal and collagen biomineralization. The cause(s) of the GOBE, however, is (are) less well understood, and may include a cooling climate, increased nutrient availability, higher sea levels and increased ecospace, and further oxygenation of shallow marine environments. Atmospheric O_2 levels are difficult to quantitatively estimate, particularly whether oxygenation was a plausible driver of the GOBE, because O_2 estimates are hampered in part by the coarse time resolution of redox proxy records (e.g., iron-based geochemical data) and isotope mass balance models. Newly published high-resolution geochemical trends are used to better constrain the timing and degree of oxygenation across the GOBE, which include traditional methods such as stable carbon ($\delta^{13}C$) and sulfur ($\delta^{34}S$) isotope trends to estimate O_2 levels on a global scale, and local-scale redox-sensitive proxies such as iodine (I/Ca) and trace metal concentrations and isotopes (e.g., Mo and U). Taken together, geochemical evidence suggests that Ordovician environments became progressively more oxygenated following the end of recurrent anoxic events and extinctions of late Cambrian and Early Ordovician marine faunas. A sluggishly circulating Cambrian global ocean could have maintained an oxycline in the water column that impinged upon the continental shelf, which extended into wide expanses of shallow shelf settings during relative sea level rises that caused positive $\delta^{13}C$ and $\delta^{34}S$ excursions and mass extinctions. Ordovician oxygenation roughly corresponds to cessation of positive $\delta^{13}C$ excursions (i.e., shallow water anoxia) and the major pulses of biodiversification that comprise the GOBE, suggesting that oxygenation was an important driver of Ordovician biodiversity. Anoxic conditions below an oxycline that impinged upon the continental shelf likely persisted until the Devonian when a cooler climate invigorated circulation and ventilated the global ocean, with the possible exception of some isolated basins that were persistently anoxic.

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Contents

1. Introduction	38
2. Estimating oxygen levels: redox proxies and modeling	39
2.1. Geochemical redox proxies used to study the Ordovician – I/Ca, Mo and U concentrations and isotopes	39
2.1.1. I/Ca ratios	39
2.1.2. Mo and U concentrations and isotopic ratios	40
2.2. Atmospheric O_2 modeling	42
3. Diminishing isotope excursions and marine anoxia: a case study from the ibex area, Utah, USA	42
4. Discussion	44
4.1. Oxygenation of the Paleozoic ocean	44

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4.2. Oxygenation and Ordovician biodiversification	45
5. Future directions	45
Acknowledgements	47
References	47

1. Introduction

The origin and diversification of metazoan life is widely thought to be linked to the oxygenation of the ocean-atmosphere system, particularly with respect to the major radiation of metazoan life associated with Ediacaran–Cambrian transition (Butterfield, 2011; Mills et al., 2014; Chen et al., 2015) and the ‘Cambrian explosion’ (Nursall, 1959; Cloud, 1968; Knoll and Carroll, 1999; Berner et al., 2007; Sperling et al., 2015a). Oxygenation of the atmosphere and shallow ocean could expand habitable ecospace for animal life in an otherwise poorly oxygenated ocean, allow for larger body sizes as respiration efficiency increased (Raff and Raff, 1970), and eased energetic costs associated with biosynthesis of collagen and calcareous skeletal material (Towe, 1970; Pruss et al., 2010). Following a major increase of O₂ levels in shallow environments near the beginning of the Cambrian, based on iron-speciation data (Sperling et al., 2015b) — a local proxy for water column and pore water redox conditions — and possible predation pressure (Sperling et al., 2013, 2015a), oceans appear to have become progressively oxygenated throughout the early Paleozoic where near-modern atmospheric O₂ levels may have been reached several times, including the late Cambrian (Saltzman et al., 2011) and Middle–Late Ordovician (Berner et al., 2007; Edwards et al., 2017). High atmospheric O₂ levels and an oxygenated ocean was finally maintained by the Devonian (Dahl et al., 2010; Lenton et al., 2016, 2018), likely due to the successful establishment of land plants (Wallace et al., 2017). Quantitative estimates of atmospheric O₂ levels, however, are fraught with assumptions that require well-preserved and appropriate geochemical proxy records to inform atmospheric O₂ models.

Agreement between geochemical-based models with independent redox-sensitive proxies increases confidence in O₂ estimates, but these estimates require that the applied geochemical signature faithfully captures a global signal, which may not be possible for some proxies (e.g., iron speciation and at times trace metal concentrations). Paleozoic carbon isotopic trends ($\delta^{13}\text{C}$) are widely used to estimate organic carbon burial rates that affect ancient O₂ levels and are measured primarily from shelf settings thought to capture a global signal (Bachan et al., 2017). This may also be true for other geochemical proxy data measured from carbonates that formed in shallow shelf settings (e.g., carbonate-associated sulfate: CAS). This notion that platform carbonates can preserve a global signal has been questioned (e.g., Swart and Eberli, 2005; Swart, 2008) based on discordant $\delta^{13}\text{C}$ trends from shelf-to-basin transects of carbonate sediment along the modern-day Bahamian platform. However, positive $\delta^{13}\text{C}$ excursions measured from Cretaceous black shale lithologies recovered from the deep-ocean are in good agreement to age-equivalent shallow-water carbonate and black shale facies

(Erbacher et al., 2005; Lu et al., 2010; Owens et al., 2013, 2016, 2017; Dickson et al., 2016), indicating that it is possible to use $\delta^{13}\text{C}$ trends measured from shallow shelf carbonates to infer changes to the global carbon cycle and periods of elevated organic carbon burial under reducing conditions.

The early Paleozoic has been the focus of numerous studies over the past two decades where workgroups have documented several globally preserved geochemical perturbations, namely with respect to the carbon ($\delta^{13}\text{C}$) and sulfur ($\delta^{34}\text{S}$) records, as well as drawing inferences about their paleoenvironmental importance and impact to the biosphere (e.g., Saltzman et al., 1998, 2000, 2011, 2015; Buggisch et al., 2003; Cramer and Saltzman, 2005, 2007; Maloof et al., 2005, 2010; Saltzman and Young, 2005; Gill et al., 2007, 2011; Young et al., 2008, 2010, 2016; Bergström et al., 2010; Jones et al., 2011; Hammarlund et al., 2012; Thompson and Kah, 2012; Jones and Fike, 2013; Dahl et al., 2014; Edwards and Saltzman, 2014, 2016; Kah et al., 2016; Quinton et al., 2016; Schiffbauer et al., 2017; Edwards et al., 2018). Some of these studies also use isotope-mass balance modeling to explore how the expansion and duration of reducing waters (anoxic to euxinic) could produce the observed positive $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ excursions (Gill et al., 2011; Saltzman et al., 2011; Jones and Fike, 2013; Young et al., 2016). These recurrent anoxic/euxinic events are sometimes linked to Cambrian–Ordovician extinctions of local faunas (Fig. 1; Saltzman et al., 2000, 2015; Edwards et al., 2018), but they are short-lived (<1–2 Myr), possibly due in part to the negative feedback associated with oxygen release from increased organic burial rates or a contraction of anoxic waters due to a relative sea level fall. These bio-geochemical events diminish and appear to end by the end of the Early Ordovician, prior to when atmospheric O₂ levels are thought to increase. Edwards et al. (2017) estimate that a near doubling of atmospheric O₂ levels occurred throughout the Ordovician (from ~13% to 25% by the Late Ordovician), which have been interpreted to be an important driver of the three-fold increase of marine families known as the Great Ordovician Biodiversification Event (GOBE). The GOBE has been hypothesized to be driven by other causes that facilitated biodiversification, including cooling oceans (Trotter et al., 2008), an increase and diversification of phytoplankton (Servais et al., 2008, 2010), and changes in substrates and the expansion of carbonate hardgrounds (Wilson et al., 1992; Miller and Connolly, 2001). The diversification that is the GOBE reached a maximum by the Late Ordovician and was maintained for much of the Paleozoic, despite several mass extinctions (e.g., Sepkoski, 1998). For most of the early Paleozoic, however, the global ocean may not have been fully oxygenated until the Devonian (Dahl et al., 2010; Lenton et al., 2016, 2018), particularly if an oxycline persisted in the water column due to sluggish global circulation. Though the link between oxygenation and diversifi-

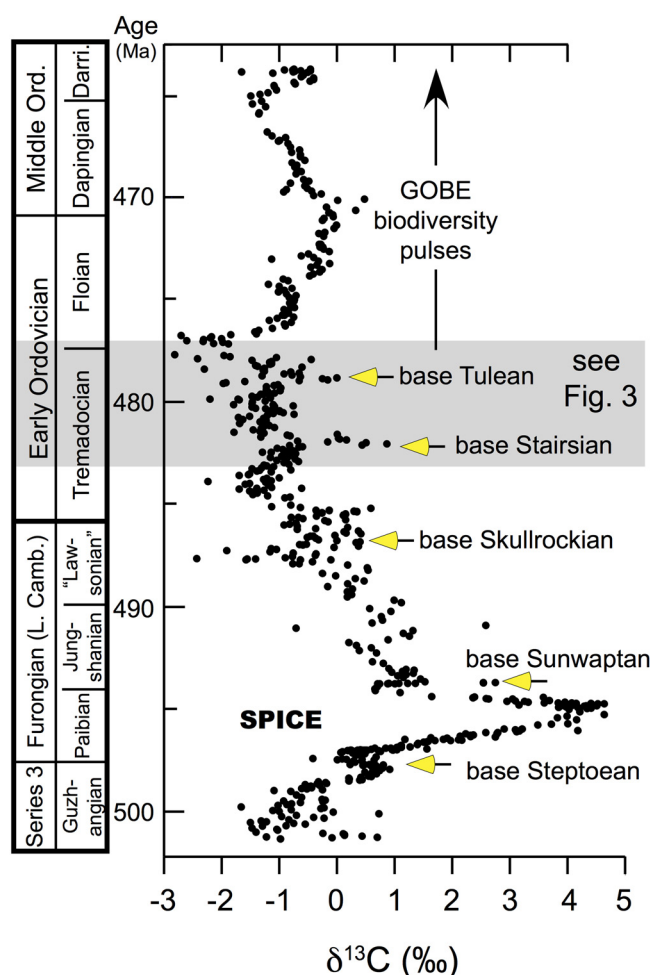


Fig. 1. $\delta^{13}\text{C}$ trend from the late Cambrian to Middle Ordovician with trilobite extinction events (yellow arrows). The late Cambrian SPICE event is the largest positive $\delta^{13}\text{C}$ excursion, followed by recurrent excursions that become less frequent with less positive maximum values, which end before the main biodiversity pulses that comprise the GOBE. Modified from Saltzman et al. (2015). Ord. = Ordovician, Darri. = Darriwilian, L. Camb. = Late Cambrian. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

cation is supported by the co-occurrence of the GOBE and rising O_2 levels, it remains unclear whether global O_2 levels directly regulate biodiversity over long periods of geologic time without better temporally resolved quantitative estimates.

2. Estimating oxygen levels: redox proxies and modeling

Quantitatively estimating O_2 levels in deep time is difficult, in part because reliable estimates must be made using well-preserved geochemical data that have been prevented from reacting with oxygen or other oxidizing agents since burial. Some studies use proxy data thought to track local redox conditions at the location of mineral precipitation in the water column and sediment profile during early diagenesis. Some of these proxies include I/Ca ratios of carbonate minerals (Lu et al., 2010; Zhou et al., 2015; Hardisty et al., 2017; Lu et al., 2018), iron speciation studies (Canfield et al., 1996; Poulton et al., 2004; Lyons and Severmann, 2006; Sperling et al., 2015b; Raiswell

et al., 2018), molybdenum isotopes ($\delta^{97}\text{Mo}$ and $\delta^{98}\text{Mo}$; Arnold et al., 2004; Dahl et al., 2010; Dickson, 2017; Dickson et al., 2017) and concentrations (Anbar and Knoll, 2002; Algeo, 2004; Algeo and Lyons, 2006), and uranium isotopes ($\delta^{238}\text{U}$) and concentrations (Schovsbo, 2002; Weyer et al., 2008; Tissot and Dauphas, 2015; Elrick et al., 2017; Bartlett et al., 2018). Additional redox-sensitive trace metal concentrations of Zn, Mn, Pb, and V (Algeo, 2004), Cr isotopes and abundances (Frei et al., 2009; Reinhard et al., 2013), rare earth element patterns (Zhang et al., 2018), thallium (Tl) isotopes (Ostrander et al., 2017; Them et al., 2018), and Ce anomalies (Ling et al., 2013), but these proxies have mainly been applied to study the oxygen-poor Proterozoic ocean and Mesozoic Oceanic Anoxic Events and have yet to be as routinely applied to study early Paleozoic successions. [Though some of these proxies can differentiate between anoxic conditions that are sulfidic (e.g., iron speciation analysis) and non-sulfidic (e.g., I/Ca and Tl isotopes), for the purposes of this review the use of ‘anoxic’ or ‘anoxia’ herein refers to conditions with essentially no dissolved O_2 present, which can also include euxinic conditions where free H_2S is present in the water column]. Other approaches use long-term $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ trends as a function of global organic carbon and pyrite burial rates, respectively, to indirectly estimate changes in atmospheric O_2 levels beyond whether oceans were either oxic or anoxic (Fig. 2; Berner et al., 2007; Royer et al., 2014; Lenton et al., 2016, 2018; Edwards et al., 2017). Though both approaches estimate O_2 levels at different scales (local vs. global), ultimately they both rely on using geochemical data that are preserved as a primary signal.

2.1. Geochemical redox proxies used to study the Ordovician – I/Ca, Mo and U concentrations and isotopes

2.1.1. I/Ca ratios

Iodine is thermodynamically stable in two forms in most marine conditions either as iodide (I^-) under anoxic conditions, or iodate (IO_3^-) under oxic conditions (Lu et al., 2010). Iodide is incompatible in the carbonate crystal lattice, but iodate can substitute for the carbonate ion in carbonate minerals in trace amounts (Lu et al., 2010). Thus, relatively low I/Ca values can be used as evidence for periods of anoxia, which is supported if measured in parallel with other proxy data for anoxia, such as positive excursions in $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ (Lu et al., 2010; Zhou et al., 2015; Owens et al., 2017; Edwards et al., 2018). The I/Ca proxy is by nature a local redox proxy as iodine concentrations are measured from carbonate rocks (e.g., micrite), which likely precipitate directly from seawater, and thus capture a water column signal above the site of deposition. A recent study by Edwards et al. (2018) used the I/Ca proxy to show that both global and local evidence for anoxia occurs at approximately the same interval as a local extinction in Tremadocian carbonates in the Great Basin region, USA (Fig. 3). Presently this is the only study that has applied the I/Ca proxy to study anoxia in Ordovician carbonates and shows promise to be useful in other Paleozoic successions. Long-term trends in I/Ca from Precambrian rocks to the recent have also been interpreted to reflect the progressive oxygenation of the global ocean based on the relative

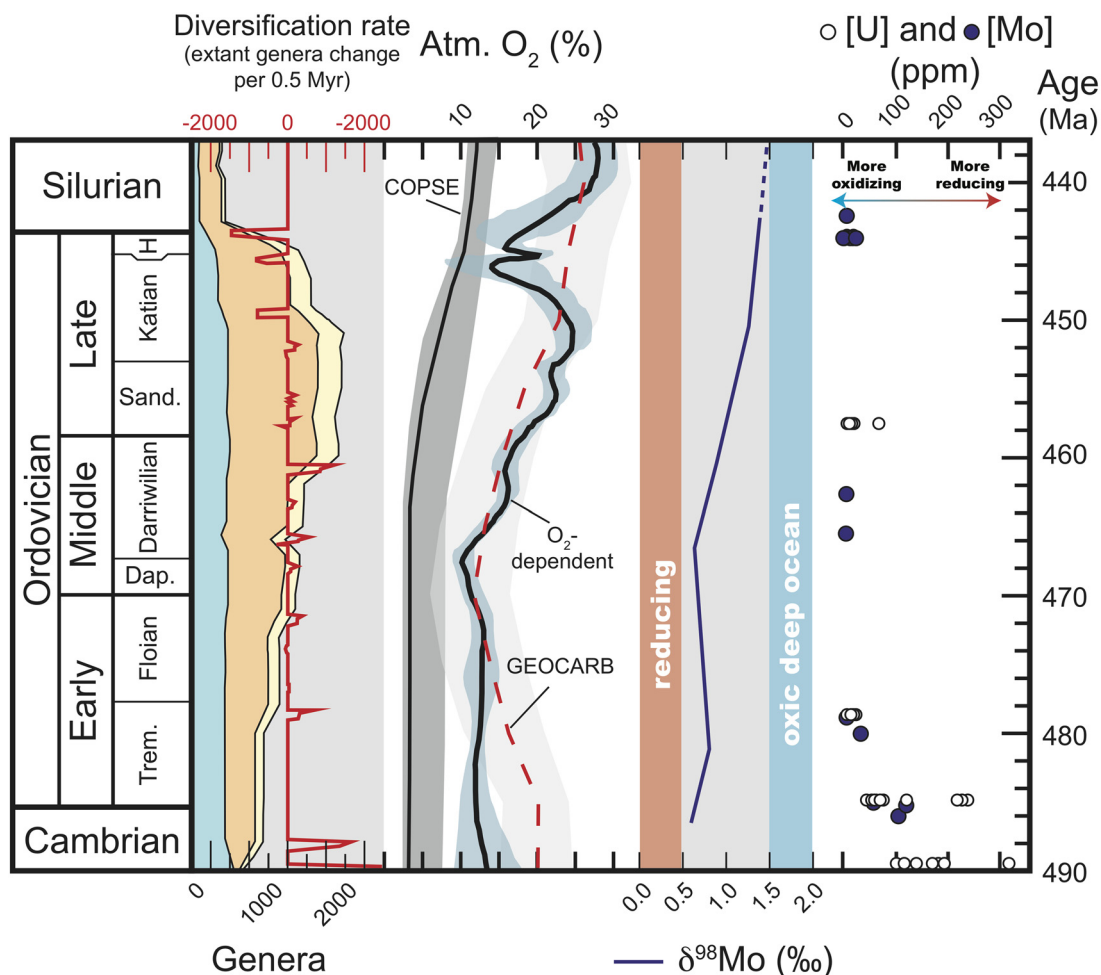


Fig. 2. Compilation of biodiversity trends and diversification rates, atmospheric O_2 model estimates (with respective error envelopes), and published redox-sensitive trace metal data. Biodiversity trends show total genera for the Cambrian evolutionary fauna (blue), Paleozoic evolutionary fauna (orange), and Modern evolutionary fauna (yellow) plotted with the diversification rate of all extant taxa (change in taxa per 0.5 Myr intervals). Periods of net diversification have positive diversification rates, which characterize the Early–Middle Ordovician, and periods of net extinction have negative diversification rates (latest Late Ordovician). Atmospheric O_2 estimates from three models (COPSE, GEOCARB, and O_2 -dependent) all show oxygenation occurred during the Middle–Late Ordovician. Under oxic conditions, seawater $\delta^{98}Mo$ values are more positive ($\sim +2\text{‰}$) and coincident with lower Mo and U concentrations (see Section 2.1.2. in the main text for further description). Biodiversity data, and oxygen modeling results (O_2 -dependent and GEOCARB) are from Edwards et al. (2017), COPSE model results from Lenton et al. (2018), Mo isotope and concentration data from Dahl et al. (2010), and U concentration data from Hennessy and Mossman (1996), Schovsbo (2002), and Abanda and Hannigan (2006). H = Hirnantian, Sand. = Sandbian, Dap. = Dapingian, Trem. = Tremadocian, Atm. = Atmospheric. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

amount of iodine preserved in carbonate rocks, where I/Ca values are low in Archean and Proterozoic strata, increase slightly in Paleozoic strata, and finally contain near-modern values by the Mesozoic until the recent (Lu et al., 2018).

2.1.2. Mo and U concentrations and isotopic ratios

Concentrations of trace metals such as Mo and U, as well as their isotopic values, are also used to estimate periods of oxic or anoxic water column conditions. Mo and U are redox-sensitive trace metals because these metals are stable in an aqueous phase under oxic conditions (as MoO_4^{2-} and UO_2^{2+} , respectively), but under reducing conditions these metals will adsorb or complex with dissolved sulfide or organic matter in their reduced species and deposit as sediment (Algeo, 2004; Algeo and Lyons, 2006; Weyer et al., 2008; Tissot and Dauphas, 2015; Dickson, 2017). Thus, elevated Mo and U concentrations in sedimen-

tary successions, typically measured from fine-grained clastics like black shale facies, are interpreted to record local periods of anoxia/euxinia. However, as globally reducing conditions expand, Mo and U reservoirs are drawn down and can mute the enrichments observed for each location even under persistent euxinic conditions (Gill et al., 2011; Reinhard et al., 2013; Owens et al., 2016; Sahoo et al., 2016). Though these proxies can be useful in determining first-order changes in redox conditions in deep time (Dickson, 2017), they are highly complex where local basin effects can affect trace metal concentrations in the sediment. For example, under euxinic conditions in the restricted modern Black Sea, aqueous Mo drawdown occurs due to the formation of Mo-sulfide precipitates, but Mo concentrations in the sediment are relatively low due to restricted exchange and replenishment from the global Mo reservoir (Dickson, 2017). However, in euxinic basins with more frequent exchange and

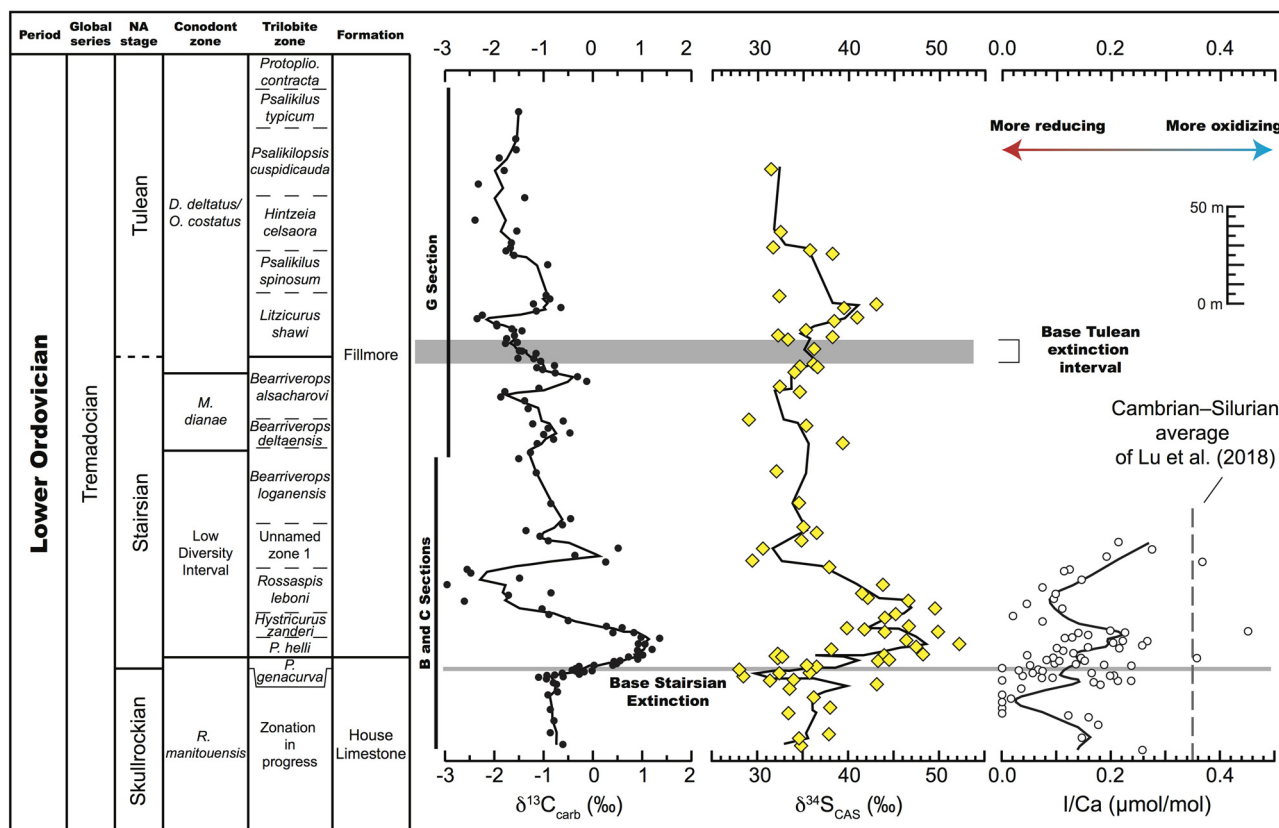


Fig. 3. New and published $\delta^{13}\text{C}$, $\delta^{34}\text{S}$, and I/Ca data from the Ibex area with most recent trilobite zonation (see Table 1 for new isotope data). The base-Stairsian trilobite extinction occurs just above the increase in $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$, interpreted to record a period of global anoxia/euxinia in shallow nearshore settings (Edwards et al., 2018). The base-Tulean extinction interval can only be constrained to 12.9 m of section, which precedes a positive $\delta^{34}\text{S}$ excursion (but not $\delta^{13}\text{C}$). New $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ data are from the G-section of Hintze (1973). Published isotope and I/Ca data from the B- and C-sections (see Hintze, 1973) from Edwards et al. (2018) with the average Cambrian–Silurian I/Ca value of 0.35 $\mu\text{mol/mol}$ for reference (Lu et al., 2018), trilobite zonation from Adrain et al. (2009, 2014), and conodont zonation from Ethington and Clark (1981). NA = North American.

Mo replenishment (e.g., the more open ocean Cariaco Basin), Mo concentrations in the sediment are relatively high (Lyons et al., 2009). Thus, the use of Mo and U enrichments for Ordovician black shale successions must be used with other indicators for local redox conditions (i.e., iron speciation analysis, but the isotopic composition of Mo or U, total organic carbon (TOC) concentrations, or other trace metals concentrations may also be useful) to confirm whether trace metal enrichments were due to local or global anoxic conditions and not unique to individual basin dynamics by evaluating multiple sections.

Isotopic ratios of Mo ($\delta^{97}\text{Mo}$ and $\delta^{98}\text{Mo}$) and U ($\delta^{238}\text{U}$) are used in addition to elemental concentrations to infer periods of reducing conditions. Fractionation processes are highly complex and can be imparted by both physical and biological processes, but a brief overview will be provided here (see Tissot and Dauphas (2015), Dickson (2017), and Kendall et al. (2017) for more detailed reviews). With respect to the Mo isotope system, under oxic conditions seawater is enriched in ^{98}Mo due to the fractionation associated with the adsorption of ^{95}Mo to Mn- and Fe-(oxyhydr)oxides and removal from the seawater reservoir as sediment (Arnold et al., 2004). Under highly reducing conditions (e.g., euxinia), aqueous Mo is scavenged by sulfide and organic matter, thus causing the $\delta^{98}\text{Mo}$ value of the sediment to be lower than seawater. If a basin is restricted without replenishment,

such as in the modern day Black Sea, or Mo export to the sediment is highly efficient, then the $\delta^{98}\text{Mo}$ of sediment can be approximately the same as seawater (known as quantitative removal; Dickson, 2017), thus not providing strong evidence for global euxinia without other redox indicators. Non-quantitative removal of Mo will generally yield a sedimentary signature with $\delta^{98}\text{Mo}$ values less than seawater $\delta^{98}\text{Mo}$, which can occur under extremely high or low water column H_2S concentrations, high pH, or open exchange with the global ocean (Dickson, 2017). Because several factors can affect $\delta^{98}\text{Mo}$, Mo isotopes are typically used in concert with other proxies to identify oxidizing and reducing conditions (e.g., Mo concentrations and TOC; Algeo and Lyons, 2006; Dickson et al., 2017). Presently the application of Mo isotopes and concentrations on Ordovician strata has yet to be as intensively studied as some Precambrian successions and Mesozoic ocean anoxic events (OAEs), but currently available data broadly and most parsimoniously indicate that Ordovician oceans became more oxygenated based on a decrease of Mo enrichment in sediment and increase of $\delta^{98}\text{Mo}$ values in deep-water shale successions (Fig. 2; Dahl et al. (2010)).

The U isotope system behaves similarly to the Mo system where $\delta^{238}\text{U}$ values of U adsorbed to Mn- and Fe-(oxyhydr)oxides are lower than oxic seawater, but several other processes can affect the fractionation of U isotopes, including

microbial activity, biogenic carbonate formation, retention in coastal margin salt marshes, and hydrothermal interaction with oceanic crust (see Weyer et al. (2008), Tissot and Dauphas (2015), and references therein). Uranium concentrations and isotopes can be measured from both fine-grained clastics, in the form of either oxides or clay minerals, and carbonate facies where U can substitute in carbonate crystal lattices. Most Ordovician studies that have measured U concentrations (but not isotopic values) have targeted black shale facies where long-term trends show that U concentrations in these shale facies decrease throughout the Ordovician (Fig. 2), which are somewhat biased in that these data are not representative of background conditions. This trend is similar to Mo enrichments and could represent local redox conditions of progressive oxygenation (or a diminishing degree of euxinic conditions), increased sedimentation rates (though highly unlikely for the duration of the Ordovician), or smaller Mo and U global inventories. A shrinking global Mo inventory, however, conflicts with long-term geochemical trends of greater sedimentary Mo concentrations with an expanding reservoir size since the Proterozoic (punctuated by short-term Mo drawdowns and euxinic events like the Steptoean positive carbon isotope excursion (SPICE) event or Mesozoic OAEs), which is thought to represent a more oxygenated global ocean (Reinhard et al., 2013). **Therefore, decreasing sedimentary Mo and U concentrations throughout the Ordovician likely reflects more oxygenated oceans as persistent reducing conditions decreased.** The application of U isotopes to carbonates is relatively new for Ordovician successions, but a recent investigation has applied this proxy in combination with other proxies to better understand the U isotope systematics and its application as an additional proxy for anoxic conditions during the Hirnantian Stage (Bartlett et al., 2018).

2.2. Atmospheric O₂ modeling

Much of the foundational work on modeling atmospheric CO₂ levels using $\delta^{13}\text{C}$ trends in deep time was pioneered by Robert Berner with his original GEOCARB model (Berner, 1991) and subsequent versions (Berner, 1994; Berner and Kothavala, 2001). These later versions made improvements to estimates on silicate weathering rates and volcanism as controls on CO₂ levels, and later $\delta^{34}\text{S}$ trends were included to estimate atmospheric O₂ levels (now called GEOCARBSULFvolc; Berner, 2006a, 2006b; Royer et al., 2014). In a general sense this model estimates changes in atmospheric CO₂ and O₂ using variations in long-term $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ records as proxies for organic carbon and pyrite burial rates, respectively, which are thought to be important in regulating atmospheric CO₂ and O₂ levels in their respective long-term cycles. This style of modeling has since been refined to incorporate nutrient cycling (nitrate and phosphate), known as the COPSE model (Bergman et al., 2004), and has been updated with respect to forcing factors such as rock exposure area, paleogeography, and other weathering factors (Lenton et al., 2012, 2016, 2018). An important difference between these two models is that the COPSE model includes a biological feedback system to predict organic carbon and pyrite burial rates (and thus CO₂ and O₂ levels), but the GEOCARB-

SULFvolc model infers these burial rates of organic carbon and pyrite based on isotope mass balance of long-term $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ trends (Lenton et al., 2018). Both models produce similar atmospheric O₂ trends during some portions of the Phanerozoic, namely the rise in O₂ levels during the Devonian followed by a Carboniferous O₂ high. However, some discrepancies between the models exist, namely during the early Paleozoic and Early Ordovician (Fig. 2), as well as the mid-Mesozoic (Lenton et al., 2018). Both models predict that O₂ levels drastically increased during the Ordovician, but the timing of this increase differs by several million years.

Another modeling approach that is independent to the GEOCARB and COPSE models uses the fractionation effect of O₂ on the RuBisCO enzyme during photosynthesis (referred to herein as the **O₂-dependent model**; Berner et al., 2000; Beerling et al., 2002). This modeling approach estimates changes in O₂ levels based on variations between primary biomass (approximated by the $\delta^{13}\text{C}$ value of bulk organic matter: $\delta^{13}\text{C}_{\text{org}}$) and the reservoir ($\delta^{13}\text{C}$ of seawater: $\delta^{13}\text{C}_{\text{carb}}$), where greater isotopic differences between the two (represented as $\delta^{13}\text{C}$, where $\delta^{13}\text{C} = \delta^{13}\text{C}_{\text{carb}} - \delta^{13}\text{C}_{\text{org}}$) represent higher O₂ levels, if temperature and CO₂ levels are considered (Berner et al., 2000; Saltzman et al., 2011; Edwards et al., 2017). This approach also includes several assumptions, namely about the composition and preservation of primary biomass, but a broadly similar trend with the GEOCARB model in the Cambrian (Saltzman et al., 2011) and Ordovician (Edwards et al., 2017) suggests that these model predictions may track a similar signal. These models use global proxies to estimate a long-term increase in O₂ levels, which, if true, should also be recorded in local settings, both in the form of geochemical trends and paleontological data.

3. Diminishing isotope excursions and marine anoxia: a case study from the ibex area, Utah, USA

The Ibex area of west-central Utah, USA has been the focus of several paleontological studies documenting the presence of late Cambrian to Middle Ordovician faunas (Hintze, 1951, 1952; Jensen, 1967; Terrell, 1973; Ethington and Clark, 1981; Holmer et al., 2005; Adrain et al., 2009, 2014), but more recently for its geochemical context to help constrain the local paleoenvironmental conditions and the stratigraphic relationship to the known faunal turnover and diversification (Marenco et al., 2013, 2016; Edwards and Saltzman, 2014, 2016; Saltzman et al., 2015; Edwards et al., 2018). A globally correlative positive $\delta^{13}\text{C}$ excursion and trilobite extinction occurs in the House Limestone and Fillmore Formation (late Tremadocian; Saltzman et al., 2015), but this excursion is significantly less pronounced in magnitude and duration compared to older excursions (Fig. 1). Edwards et al. (2018) explore this early Tremadocian $\delta^{13}\text{C}$ excursion and trilobite extinction interval further by combining I/Ca and $\delta^{34}\text{S}$ trends (measured from carbonate-associated sulfate (CAS): $\delta^{34}\text{S}_{\text{CAS}}$) from two additional sections in the Great Basin region. These authors show that this geochemical event is regionally correlative and records evidence of anoxia (possibly euxinia based on the magnitude of the $\delta^{34}\text{S}$ excursion) on both a global and local scale. Positive $\delta^{13}\text{C}$ excursions appear to end by the latest

Tremadocian (Fig. 1), but until now no high-resolution $\delta^{34}\text{S}_{\text{CAS}}$ records have been reported to document whether positive $\delta^{34}\text{S}$ excursions also end.

Previously collected carbonate rock samples were selected for geochemical analysis from the Ibex area to test whether marine anoxia, in the form of parallel positive $\delta^{13}\text{C}$ and $\delta^{34}\text{S}_{\text{CAS}}$ excursions, continues into the late Tremadocian and is present across the last major trilobite extinction event (basal Tulean Stage (Adrain et al., 2009, 2014)). Following the methods of Edwards et al. (2018), lime mudstone, wackestone, and flat pebble conglomerate lithologies were collected throughout the middle Fillmore Formation (G-section (Hintze, 1951, 1973)) at sub meter-scale resolution and processed for $\delta^{13}\text{C}$ and $\delta^{34}\text{S}_{\text{CAS}}$ (Table 1; Fig. 3). The effects of alteration to primary geochemical trends on these rocks is thought to be minimal based on isotope cross plots of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values, as well as from microscopic analysis using cathodoluminescence of representative facies (Edwards and Saltzman, 2014; Edwards et al., 2018). Across or just above the base Tulean trilobite extinction interval, which is poorly constrained to a shale-dominated 12.9-m-thick interval (Jonathan Adrain, pers. comm.), there is no prominent positive $\delta^{13}\text{C}$ excursion similar to the older base-Stairsian extinction. A negative $\delta^{13}\text{C}$ trend is recorded in the rocks immediately above this extinction interval, decreasing upsection from baseline values of $\sim -1.5\text{‰}$ to -2.36‰ , followed by a rapid increase to -0.66‰ (Fig. 3), which may record a small positive excursion but it is well above the extinction interval. Above and below this extinction interval, however, $\delta^{13}\text{C}$ values reach relative maxima of -0.13‰ and -0.66‰ , respectively, uncharacteristic for the older excursion/extinction events where $\delta^{13}\text{C}$ increased during or immediately after the extinction event (Fig. 1).

Though $\delta^{13}\text{C}$ values do not record a positive excursion immediately following the extinction interval across the Stairsian-Tulean interval as they do at the base of the Stairsian (Fig. 3), new $\delta^{34}\text{S}_{\text{CAS}}$ data preserve a similar trend. The occurrence of a positive $\delta^{34}\text{S}_{\text{CAS}}$ excursion is similar to that of the older Stairsian excursion where the onset of the base-Tulean $\delta^{34}\text{S}_{\text{CAS}}$ excursion follows the extinction interval. Because both $\delta^{13}\text{C}_{\text{carb}}$ and $\delta^{34}\text{S}_{\text{CAS}}$ data were measured from the same section (G-section of Hintze, 1973), incorrect correlation cannot explain the decoupling of isotope trends. Above the extinction interval $\delta^{34}\text{S}_{\text{CAS}}$ values record a positive 7.7‰ excursion, about half the magnitude as the base-Stairsian $\delta^{34}\text{S}_{\text{CAS}}$ excursion. $\delta^{34}\text{S}_{\text{CAS}}$ values return to a baseline value of $\sim +30\text{‰}$ until the end of the sampled section. Above this Tulean extinction, positive $\delta^{34}\text{S}_{\text{CAS}}$ excursions appear to end where $\delta^{34}\text{S}_{\text{CAS}}$ values vary around $+30\text{‰}$ in the Middle Ordovician Juab Formation, decreasing slightly to $+20\text{‰}$ in the overlying Kanosh Shale, a possibly restricted and anoxic lagoon environment, and back to $\sim +30\text{‰}$ in the upper Kanosh (Marenco et al., 2013). Regional correlations are not yet possible using paired $\delta^{13}\text{C}_{\text{carb}}$ – $\delta^{34}\text{S}_{\text{CAS}}$ datasets to confirm whether this base Tulean decoupling phenomenon exists elsewhere because other published data are too coarsely resolved to make meaningful correlations (e.g., Edwards et al., 2017). I/Ca ratios have not yet been measured throughout this

Table 1

Carbon and sulfur isotopic data from the Ibex area, Utah, USA.

Sample ID	Height (m)	$\delta^{13}\text{C}_{\text{carb}}$ (‰ – VPDB)	$\delta^{34}\text{S}_{\text{CAS}}$ (‰ – VCDT)
LDN7365	111.28	–0.60	34.77
LDN7367	114.33		34.60
LDN7369	117.38	–0.85	37.77
LDN7373	123.47	–0.80	
LDN7376	128.05		33.55
LDN7377	129.57	–0.85	38.11
LDN7381	135.67	–0.88	36.49
LDN7382	137.19	–0.90	
LDN7383	138.72	–0.71	33.61
LDN7385	141.77	–0.72	42.95
LDN7385.1	141.77		43.15
LDN7386	143.29	–0.80	
LDN7387	144.82	–0.94	34.15
B-TOP7396	145.26	–0.78	31.52
B-TOP7397	146.01	–1.10	28.51
LDN7388	146.34	–0.59	
B-TOP7398	146.76	–0.93	
B-TOP7399	147.51	–0.77	
LDN7389	147.86	–0.27	
B-TOP7400	148.26	–0.63	32.45
B-TOP7401	149.01	–0.17	28.20
LDN7390	149.39	–0.34	
C65-7541	149.41	–0.03	
B-TOP7402	149.76	–0.27	35.95
B-TOP7403	150.51	–0.42	
LDN7391	150.91	0.02	36.72
B-TOP7391.1	150.91		35.80
B-TOP7404	151.26	–0.33	
B-TOP7405	152.01	–0.27	
C65-7543	152.46	0.42	
B-TOP7406	152.76	0.02	
B-TOP7407	153.51	0.51	
LDN7393	153.96	0.55	
B-TOP7408	154.26	0.43	
B-TOP7409	155.01		43.28
C65-7545	155.51	0.94	
B-TOP7410	155.76	0.91	44.50
B-TOP7411	156.51	0.77	
LDN7395	157.01	1.03	32.39
LDN7395.1	157.00		32.65
C65-7530	157.01	0.72	
B-TOP7412	157.26	0.92	
B-TOP7413	158.01		44.09
B-TOP7414	158.76		48.29
B-TOP7415	159.51		38.28
C65-7533	160.06	0.91	47.62
B-TOP7416	160.26	1.23	
C65-7534	163.11	0.94	
B-TOP7420	163.26	1.06	52.15
C65-7536	166.16	1.00	
B-TOP7424	166.26	1.36	46.36
C65-7538	169.21	0.85	
B-TOP7427	168.51		43.96
B-TOP7428	169.26	0.40	49.92
B-TOP7430	170.76		46.86
B-TOP7431	171.51	0.60	39.99
B-TOP7431.1	171.51		41.81
C65-7540	172.26	0.28	
C65-5253	175.30	–0.50	43.95
C65-5254	178.35	–0.88	45.28
C65-5255	181.71	–1.05	49.56
C65-5256	185.06	–2.60	46.54

Table 1 (Continued)

Sample ID	Height (m)	$\delta^{13}\text{C}_{\text{carb}}$ (‰ – VPDB)	$\delta^{34}\text{S}_{\text{CAS}}$ (‰ – VCDT)
C65-5257	187.50	–1.72	42.17
C65-5258	189.94	–0.86	41.61
C65-5259	193.60	–2.97	43.83
C65-5260	196.95	–1.49	
C65-5261	201.22	–2.47	
C65-5262	202.74	–2.54	37.98
C65-5263	205.79	0.25	29.51
C65-5264	208.84	–0.35	
C65-5265	212.50	0.51	30.64
C65-5266	215.85	–0.92	
C65-5267	217.99	–1.04	34.82
C65-5268	221.04	–1.36	36.50
C65-5269	224.09	–0.61	35.06
C65-5270	227.13	–0.43	
C65-5273	236.28	–0.86	34.65
C65-5278	252.13	–1.16	32.11
C65-5281	260.67	–1.50	
C65-5282	263.72	–1.25	
C65-5283	266.77	–1.14	39.41
C65-5284	269.82	–0.79	
G-7432	271.60	–1.01	
C65-5285	272.87	–0.47	
C65-5286	275.91	–0.86	
G-7436	277.60	–1.23	35.34
C65-5287	278.96	–0.61	28.93
G-7441	285.10	–1.33	
G-7444	289.60	–1.40	
G-7445	291.10	–1.86	
G-7446	292.60	–1.76	
G-7447	294.10	–1.77	34.68
G-7448	295.60	–1.11	32.46
G-7450	298.60	–0.13	
G-7452	301.60	–0.33	
G-7453	303.10	–0.76	
G-7454	304.60	–1.01	34.23
G-7455	306.10	–1.12	34.70
G-7456	307.60	–0.79	36.59
G-7457	309.10	–1.06	36.23
G-7458	310.60	–1.22	
G-7459	312.10	–1.50	
G-7460	313.60	–1.18	
G-7461	315.10	–1.43	36.03
G-7462	316.60	–1.51	
G-7463	318.10	–1.76	
G-7464	319.60	–1.55	
G-7465	321.10	–1.74	33.35
G-7466	322.60		38.34
G-7467	324.10	–1.57	32.37
G-7468	325.60	–1.46	35.49
G-7469	327.10	–1.66	
G-7470	328.60	–1.93	
G-7471	330.10	–1.96	38.48
G-7472	331.60	–2.36	40.90
G-7473	333.10	–2.23	
G-7474	334.60	–1.13	
G-7475	336.10	–1.13	
G-7476	337.60	–0.66	39.60
G-7477	339.10	–1.22	43.14
G-7479	342.10	–0.89	
G-7480	343.60	–0.95	32.49
G-7480.1	359.35	–0.93	
G-7480.2	363.10	–1.56	
G-7480.3	364.60	–1.61	
G-7480.4	365.60	–1.76	38.32

Table 1 (Continued)

Sample ID	Height (m)	$\delta^{13}\text{C}_{\text{carb}}$ (‰ – VPDB)	$\delta^{34}\text{S}_{\text{CAS}}$ (‰ – VCDT)
G-7480.5	367.60	–1.68	35.77
G-7480.6	369.10	–1.58	31.59
G-7480.7	370.60	–1.67	
G-5288	376.60	–1.57	32.54
G-5289	382.60	–2.40	
G-5291	394.60	–1.38	
G-5292	400.60	–2.32	
G-5293	408.60	–1.81	31.67

base Tulean interval, which could confirm whether the local water column was anoxic at Ibex.

4. Discussion

4.1. Oxygenation of the Paleozoic ocean

The strongly coupled nature between the SPICE and base-Stairsian positive $\delta^{13}\text{C}$ and $\delta^{34}\text{S}_{\text{CAS}}$ excursions appears to end by the end of the Tremadocian during the Tulean Stage. Major positive $\delta^{13}\text{C}$ excursions also appear to end after these Tremadocian excursions until the Late Ordovician (Bergström et al., 2010; Young et al., 2010). Long-term $\delta^{13}\text{C}$ stability following the late Cambrian SPICE event and throughout the Ordovician has been interpreted to represent a period of nitrogen limitation and diminished productivity globally that ended major increases in organic carbon burial (Saltzman, 2005). This model presumes that the decrease in frequency and magnitude of $\delta^{13}\text{C}$ excursions is caused by ocean stagnation under a warm greenhouse climate that limited upwelling of nutrients and promoted marine anoxia in the deep ocean. With decreased primary production under anoxic conditions globally, burial rates of organic carbon (and pyrite) would be more muted, thus not producing major positive $\delta^{13}\text{C}$ (and $\delta^{34}\text{S}$) excursions.

Sulfur isotopic trends of CAS measured from Lower–Middle Ordovician carbonates also support the notion that a stratified ocean with reducing (anoxic to euxinic) deep waters was dynamically maintained and decoupled from the surface ocean during the Ordovician (Kah et al., 2016). Kah et al. (2016) use a two-reservoir box model to explore how a stratified ocean with regional euxinic conditions was maintained to produce observed $\delta^{34}\text{S}_{\text{CAS}}$ trends in their study. Quantitative estimates for atmospheric O_2 levels in the ocean-atmosphere system, however, suggest that the Early Ordovician had atmospheric O_2 levels about 13% of the atmospheric composition (or 60% of the modern), but near-modern O_2 levels were achieved by the Middle–Late Ordovician (~25%; Fig. 2). These estimates utilize a modified version of the GEOCARBSULFvolc base model (Royer et al., 2014) that uses isotope mass balance of $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ trends to estimate O_2 levels, as well as an O_2 -dependence approach (Bernier et al., 2000) that estimates atmospheric O_2 using differences in the fractionation between seawater dissolved inorganic carbon (DIC) and primary biomass (phytoplankton) when corrected for temperature, CO_2 levels, and secondary effects to organic matter. The COPSE model,

a model that estimates O_2 and CO_2 levels based on assumed weathering rates and phosphate delivery to the ocean to fuel primary productivity in phosphate-limited oceans (among several other factors), also predicts that atmospheric O_2 levels were low during the Early Ordovician (3–5%) but later increased to ~13% by the end of the Ordovician (Lenton et al., 2018).

These three atmospheric O_2 modeling studies are seemingly in some conflict with each other as they predict a different rate and degree of oxygenation of the early Paleozoic ocean-atmosphere system. These models are either based on geochemical data that are assumed to represent an averaged global value (the GEOCARB and O_2 -dependent models) or globally averaged weathering/burial rates (COPSE model). If an oxycline was maintained in the global ocean due to sluggish circulation that created water masses in disequilibrium with each other, then these models may be tracking signals that do not represent the true global average. As the global ocean became progressively oxygenated under this scenario, this would have depressed the oxycline deeper within the water column and ultimately prevented oxygen minimum zones (OMZs) from expanding into shallow shelf settings during relative sea-level rises to cause extinctions of benthic fauna (Fig. 4). Reducing conditions in some isolated basins analogous to the modern day Cariaco Basin likely persisted throughout the Silurian and into the Devonian as evidenced by positive $\delta^{13}C$ and $\delta^{34}S$ excursions thought to record intermittent periods of increased rates of organic and pyrite burial in Silurian strata (Kleinberg et al., 2016; Bowman et al., 2017).

Sluggish ocean circulation likely continued throughout the early Paleozoic (with the possible exception of the Hirnantian glaciation), due to a diminished equator-to-pole thermal gradient under greenhouse conditions (Saltzman, 2005) that could sustain an oxycline within the water column without vigorous circulation and deep water formation. The oceans appear to have ultimately been ventilated by the Devonian (Dahl et al., 2010; Lenton et al., 2018), perhaps due to the proliferation of land plants that elevated atmospheric O_2 levels, as the oxycline was finally depressed into the sediment profile in the deep ocean. Global cooling may have also contributed to ventilating the oceans as land plants removed CO_2 from the atmosphere to weaken the greenhouse effect, which may have invigorated global circulation from a stronger equator-to-pole thermal gradient such that deep-water formation at the surface further oxygenated the deep ocean.

4.2. Oxygenation and Ordovician biodiversification

Several biologic and environmental events throughout the Ordovician are thought to be causes of the biodiversification that represents the GOBE (see Harper et al., 2015 for an in-depth review). Based on an increase in the amount of skeletal material that comprises Cambro-Ordovician carbonates, Pruss et al. (2010) speculate that energetic costs associated with biomineralization of carbonate were lowered during the Ordovician due to a stronger carbonate saturation gradient. A stronger gradient could form in more oxygenated surface waters as primary production invigorated the biologic pump and removed more CO_2

(a weak acid) from surface waters. This notion is supported by models that indicate that O_2 levels approximately doubled by the end Ordovician (Lenton et al., 2016, 2018; Edwards et al., 2017). A rapid O_2 increase coincides with a major increase in global biodiversity (Fig. 2), which Edwards et al. (2017) interpret as an important driver of the GOBE. The highest biodiversity levels coincide with the highest O_2 levels during the mid Late Ordovician, both of which decrease prior to the Hirnantian and may reflect a previously unrecognized driver of the Hirnantian mass extinction.

New geochemical analyses and modeling studies continue to provide evidence supporting the link between O_2 levels and biodiversity. The late Cambrian represents a time when the persistent incursion of anoxic-euxinic waters into shallow shelf settings caused the extinction of trilobites (e.g., Gill et al., 2011), likely in addition to other taxa like conodonts (e.g., Ethington and Clark, 1981), and hindered global biodiversification. As the Cambrian–Ordovician ocean-atmosphere system became progressively oxygenated, the area along the shelf experiencing anoxic conditions decreased (Fig. 4). Following these extinctions and isotope excursions, biodiversity levels begin to gradually increase throughout the Floian Stage (Fig. 2). By the Middle Ordovician, biodiversity levels increased at higher rates that also coincide with the first major pulse of atmospheric O_2 . Major perturbations to the carbon cycle are not as frequent at this time, suggesting that organic burial rates were relatively constant. This could be explained by either the diminished extent of OMZs or because upwelling of nutrient-rich deep water above areas of the seafloor under an OMZ was limited under a sluggishly circulating global ocean (Saltzman, 2005). Atmospheric O_2 levels remained high throughout the Late Ordovician, although there is some disagreement between models across the end Ordovician mass extinction (Fig. 2). The COPSE and GEOCARB models predict that O_2 levels increased or remained constant across the Hirnantian extinction, but these models bin geochemical data in 5–10 Myr time steps that may smooth out rapid (<1 Myr) perturbations. The O_2 -dependent model, however, uses a <0.1 Myr time step for estimated O_2 levels and predicts a major O_2 drop that coincides with a decline in global biodiversity levels, suggesting that an O_2 decrease contributed to this extinction.

5. Future directions

Though significant advances have been made in the past few decades to better constrain the co-evolution of early Paleozoic environmental conditions and bioevents, several uncertainties remain that should be the focus of future studies to continue efforts to better understand this critical period of Earth's history:

1. Model estimates of atmospheric O_2 are not in good agreement with each other throughout the Tremadocian–Floian (Early Ordovician) interval (Fig. 2). The GEOCARB model, for example, estimates that atmospheric O_2 levels were near modern concentrations and significantly more than the COPSE and O_2 -dependent models. GEOCARB O_2 estimates are sensitive to changes in the $\delta^{34}S$ value of the global sul-

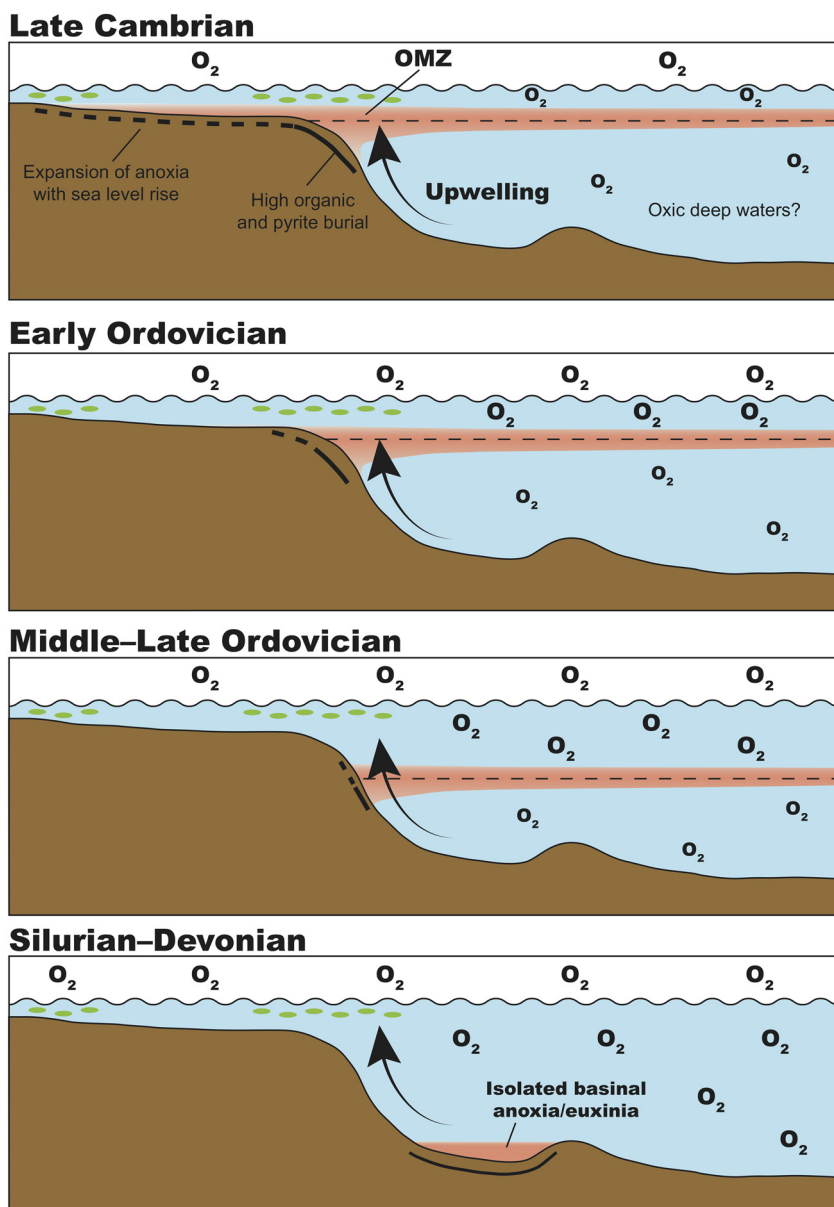


Fig. 4. Schematic progression of the oxygenation of atmosphere and surface ocean from the late Cambrian to Devonian. During the Cambrian and Early Ordovician, a subsurface oxygen minimum zone (OMZ) is thought to surround large paleocontinent landmasses (e.g., Laurentia), which expanded into shallow shelf environments during sea level rises. The incursion of OMZs into nearshore settings during a relative sea level rise would increase extinction rates of benthic faunas (i.e., trilobites) and create wider anoxic regions on the seafloor (dashed lines) that increased organic carbon and pyrite burial rates (i.e., large $\delta^{13}\text{C}$ excursions). Upwelling and nutrient delivery from rivers would promote primary productivity in shallow waters (green ovals), which would likely be buried if regions of high primary productivity rates were located over portions of the seafloor under an OMZ. As O_2 levels increased and reduced the anoxic fraction of the water column during the Ordovician, OMZs were driven deeper in the water column and diminished the areal seafloor extent that experienced anoxia, thus decreasing the frequency and magnitude of isotopic excursions. Periodic $\delta^{13}\text{C}$ and $\delta^{34}\text{S}$ excursions continue until the Devonian where anoxic/euxinic bottom waters in isolated basins persisted and increased organic carbon and pyrite burial with pulses of high primary productivity in the overlying surface waters. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

fate reservoir, which is poorly constrained throughout this interval. If the marine sulfate reservoir was isotopically heterogeneous (cf. Thompson and Kah, 2012), then GEOCARB O_2 estimates could be inaccurate if $\delta^{34}\text{S}$ values used in previous studies were not representative of the global $\delta^{34}\text{S}$ reservoir. Better constraints on the global sulfate $\delta^{34}\text{S}$ record may help to reconcile differences between model estimates.

2. The Darriwilian–Sandbian interval represents an important period with respect to both the biosphere and geochemi-

cal cycles. Brachiopod diversity, for example, experienced a major increase during the Darriwilian, and at different times on separate paleocontinents (Harper et al., 2015; Trubovitz and Stigall, 2016). Coincident with this diversification O_2 levels appear to sharply increase (Fig. 2), sea surface temperatures may have cooled enough to be tolerable for temperature-sensitive fauna (Trotter et al., 2008), as well as a major drop in strontium isotopes (Saltzman et al., 2014) that reflect changes in silicate weathering rates and

rock type (Young et al., 2009), which may have delivered more nutrients to shallow shelf environments. Greater focus on this interval aimed at producing more highly resolved geochemical trends and environmental conditions should help to resolve which environmental drivers, if any at all, were critical for the major pulse of biodiversity during this interval.

3. Was there an “oxygen crisis” leading up to the end Ordovician (Fig. 2), that contributed to the Late Ordovician mass extinction, or were O₂ levels sufficiently high and had no effect on the biodiversity decline? Oceans are generally thought to become more oxygenated during glacial episodes because cooler oceans can hold more dissolved O₂ and invigorated global circulation and deep-water formation would deliver more oxygenated surface waters to the seafloor, which ultimately upwell onto continental shelves. Though this “oxygen crisis” is in good temporal agreement with a decrease in biodiversity, these O₂ estimates come from geochemical data measured from carbonate successions with poorly constrained biostratigraphic age estimates. Future work aimed at acquiring temporally resolved paired $\delta^{13}\text{C}$ values from carbonate and bulk organic matter (including the $\delta^{13}\text{C}$ of individual biomarkers) from late Katian to Hirnantian strata could clarify whether this “oxygen crisis” is real or merely an artifact of using this particular approach during this interval.

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