

# Compiler Construction

## Week 2: Parsing I

Sjaak Smetsers   Mart Lubbers   Jordy Aldering

2024/2025 KW3

**Radboud University**



Recap

Context-free grammars

Parsing strategies

Top-down parsing

Conclusion

Recap

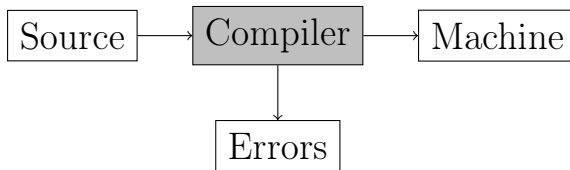
# What was a compiler again?

Abstract view on a compiler

Compiler

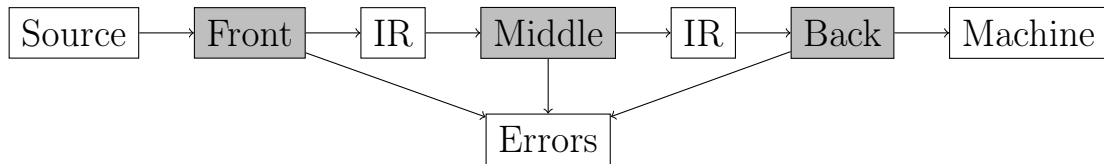
# What was a compiler again?

Abstract view on a compiler

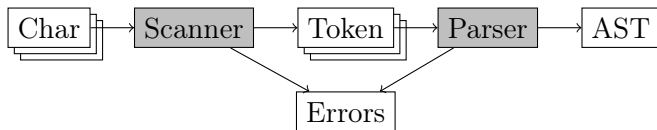


# What was a compiler again?

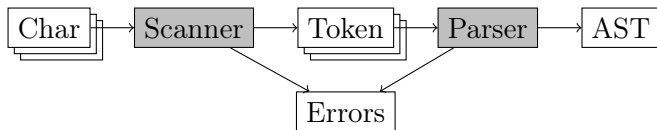
Three pass compiler



# Frontend



# Frontend

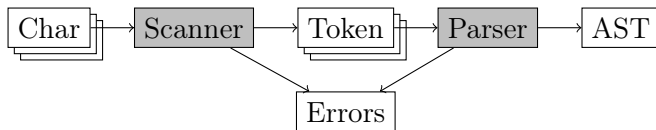


## Duty of the frontend

- Recognise the (context-free) syntax
- Produce IR
- Report errors



# Frontend



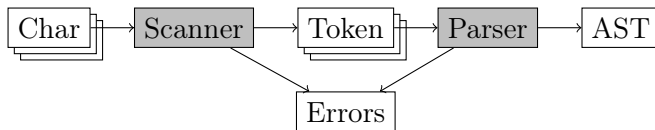
## Duty of the frontend

- ▶ Recognise the (context-free) syntax
- ▶ Produce IR
- ▶ Report errors

## Duty of the scanner

- ▶ Translate source code:  
`x = val + 42;`
- ▶ to regular tokens  
`<id,x> <sym,=> ...`

# Frontend



## Duty of the frontend

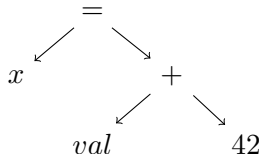
- Recognise the (context-free) syntax
- Produce IR
- Report errors

## Duty of the scanner

- Translate source code:  
`x = val + 42;`
- to regular tokens  
`<id,x> <sym,=> ...`

## Duty of the parser

- Translate tokens:  
`<id,x> <sym,=> ...`
- To an abstract syntax tree:



# Scanning or parsing?

Where to draw the line

Scanning

Parsing

# Scanning or parsing?

Where to draw the line

## Scanning

- Words

## Parsing

- Sentences (structure)

# Scanning or parsing?

Where to draw the line

## Scanning

- ▶ Words
- ▶ Regular grammar

## Parsing

- ▶ Sentences (structure)
- ▶ Context free grammar

# Scanning or parsing?

Where to draw the line

## Scanning

- ▶ Words
- ▶ Regular grammar
- ▶ No recursion in the rules

## Parsing

- ▶ Sentences (structure)
- ▶ Context free grammar
- ▶ Recursion allowed



# Scanning or parsing?

Where to draw the line

## Scanning

- ▶ Words
- ▶ Regular grammar
- ▶ No recursion in the rules
- ▶ Efficient

## Parsing

- ▶ Sentences (structure)
- ▶ Context free grammar
- ▶ Recursion allowed
- ▶ Inefficient



# Scanning or parsing?

## Problems

- ▶ The lexer solves the easy problems



# Scanning or parsing?

## Problems

- ▶ The lexer solves the easy problems
- ▶ The parser solves the hard problems

# Scanning or parsing?

## Problems

- ▶ The lexer solves the easy problems
- ▶ The parser solves the hard problems
- ▶ Ambiguity



# Scanning or parsing?

## Problems

- ▶ The lexer solves the easy problems
- ▶ The parser solves the hard problems
- ▶ Ambiguity
  - ▶ Precedence



# Scanning or parsing?

## Problems

- ▶ The lexer solves the easy problems
- ▶ The parser solves the hard problems
- ▶ Ambiguity
  - ▶ Precendence
  - ▶ Associativity



# Scanning or parsing?

## Problems

- ▶ The lexer solves the easy problems
- ▶ The parser solves the hard problems
- ▶ Ambiguity
  - ▶ Precendence
  - ▶ Associativity
  - ▶ Dangling else

# Context-free grammars

# Context-free grammar (CFG)

## and Backus-Naur form (BNF)

# Context-free grammar (CFG)

and Backus-Naur form (BNF)

A CFG  $G$  is a 4-tuple

$$(V_t, V_n, S, P)$$



# Context-free grammar (CFG)

and Backus-Naur form (BNF)

A CFG  $G$  is a 4-tuple

$$(V_t, V_n, S, P)$$

where

$V_t$  terminals

$V_n$  non-terminals

$S$  start ( $S \in V_n$ )

$P$  productions

# Context-free grammar (CFG)

and Backus-Naur form (BNF)

A CFG  $G$  is a 4-tuple

$$(V_t, V_n, S, P)$$

where

$V_t$  terminals

$V_n$  non-terminals

$S$  start ( $S \in V_n$ )

$P$  productions

Notation for grammars

$goal ::= expr$

$expr ::= expr \quad op \quad expr$

| (  $expr$  )

|  $int$  

|  $id$

$op ::= +$

| \*

# Context-free grammar (CFG)

and Backus-Naur form (BNF)

A CFG  $G$  is a 4-tuple

$$(V_t, V_n, S, P)$$

where

$V_t$  terminals

$V_n$  non-terminals

$S$  start ( $S \in V_n$ )

$P$  productions

Notation for grammars

$goal ::= expr$

$expr ::= expr \quad op \quad expr$

$| \quad ( \quad expr \quad )$

$| \quad int$  terminal ( $\in V_t$ )

$| \quad id$

$op ::= +$

$| *$

non-terminal ( $\in V_n$ )



## Context-free grammar (CFG)

A CFG  $G$  is a 4-tuple

$$(V_t, V_n, S, P)$$

where

$V_t$  terminals

$V_n$  non-terminals

$S$  start ( $S \in V_n$ )

$P$  productions

## Notation for grammars

$$goal ::= expr$$
$$\textit{expr} ::= \textit{expr} \quad \textit{op} \quad \textit{expr}$$
$$\text{start } (S) \mid ( \quad \textit{expr} \quad )$$
$$| \quad int \quad \rangle \text{terminal } (\in V_t)$$
$$| \quad id$$
$$op \quad ::= \quad +$$

\*

non-terminal ( $\in V_n$ )

# Context-free grammar (CFG)

and Backus-Naur form (BNF)

A CFG  $G$  is a 4-tuple

$$(V_t, V_n, S, P)$$

where

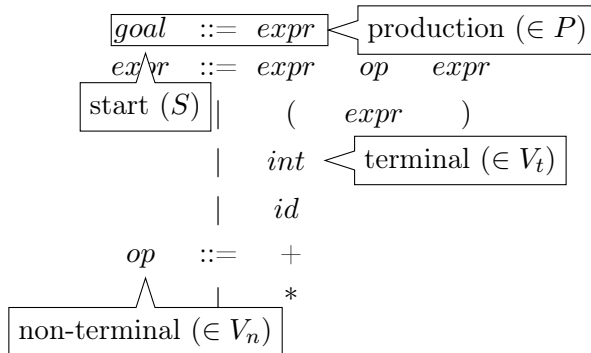
$V_t$  terminals

$V_n$  non-terminals

$S$  start ( $S \in V_n$ )

$P$  productions

Notation for grammars



# Derivations

## Example

`x + 42 * 2`

`<id,x> <op,+> <int,42> <op,*> <int,2>`

## Rewriting

*goal*

# Derivations

## Example

$x + 42 * 2$

$\langle \text{id}, x \rangle \langle \text{op}, + \rangle \langle \text{int}, 42 \rangle \langle \text{op}, * \rangle \langle \text{int}, 2 \rangle$

## Rewriting

$goal \Rightarrow \mathbf{expr}$

# Derivations

## Example

`x + 42 * 2`

`<id,x> <op,+> <int,42> <op,*> <int,2>`

## Rewriting

$goal \Rightarrow expr$



# Derivations

## Example

`x + 42 * 2`

`<id,x> <op,+> <int,42> <op,*> <int,2>`

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow \mathbf{expr\ op\ expr}$

# Derivations

## Example

`x + 42 * 2`

`<id,x> <op,+> <int,42> <op,*> <int,2>`

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr\ op\ expr$



# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr \ op \ expr$

$\Rightarrow id, x \ \mathbf{op} \ \mathbf{expr}$

# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr \ op \ expr$

$\Rightarrow id, x \ op \ expr$



# Derivations

## Example

`x + 42 * 2`

`<id,x> <op,+> <int,42> <op,*> <int,2>`

## Rewriting

$goal \Rightarrow expr$   
 $\Rightarrow expr\ op\ expr$   
 $\Rightarrow id, x\ op\ expr$   
 $\Rightarrow id, x\ op, +\ \mathbf{expr}$



# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr \ op \ expr$

$\Rightarrow id, x \ op \ expr$

$\Rightarrow id, x \ op, + \ expr$



# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr \ op \ expr$

$\Rightarrow id, x \ op \ expr$

$\Rightarrow id, x \ op, + \ expr$

$\Rightarrow id, x \ op, + \ \mathbf{expr \ op \ expr}$



# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr \ op \ expr$

$\Rightarrow id, x \ op \ expr$

$\Rightarrow id, x \ op, + \ expr$

$\Rightarrow id, x \ op, + \ expr \ op \ expr$





# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr \ op \ expr$

$\Rightarrow id, x \ op \ expr$

$\Rightarrow id, x \ op, + \ expr$

$\Rightarrow id, x \ op, + \ expr \ op \ expr$

$\Rightarrow id, x \ op, + \ int, 42 \ \mathbf{op} \ \mathbf{expr}$



# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr \ op \ expr$

$\Rightarrow id, x \ op \ expr$

$\Rightarrow id, x \ op, + \ expr$

$\Rightarrow id, x \ op, + \ expr \ op \ expr$

$\Rightarrow id, x \ op, + \ int, 42 \ op \ expr$



# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$   
 $\Rightarrow expr \ op \ expr$   
 $\Rightarrow id, x \ op \ expr$   
 $\Rightarrow id, x \ op, + \ expr$   
 $\Rightarrow id, x \ op, + \ expr \ op \ expr$   
 $\Rightarrow id, x \ op, + \ int, 42 \ op \ expr$   
 $\Rightarrow id, x \ op, + \ int, 42 \ op, * \ \mathbf{expr}$



# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$

$\Rightarrow expr \ op \ expr$

$\Rightarrow id, x \ op \ expr$

$\Rightarrow id, x \ op, + \ expr$

$\Rightarrow id, x \ op, + \ expr \ op \ expr$

$\Rightarrow id, x \ op, + \ int, 42 \ op \ expr$

$\Rightarrow id, x \ op, + \ int, 42 \ op, * \ expr$



# Derivations

## Example

$x + 42 * 2$

$\langle id, x \rangle \langle op, + \rangle \langle int, 42 \rangle \langle op, * \rangle \langle int, 2 \rangle$

## Rewriting

$goal \Rightarrow expr$   
 $\Rightarrow expr\ op\ expr$   
 $\Rightarrow id, x\ op\ expr$   
 $\Rightarrow id, x\ op, +\ expr$   
 $\Rightarrow id, x\ op, +\ expr\ op\ expr$   
 $\Rightarrow id, x\ op, +\ int, 42\ op\ expr$   
 $\Rightarrow id, x\ op, +\ int, 42\ op, *\ expr$   
 $\Rightarrow id, x\ op, +\ int, 42\ op, *\ int, 2$



# Rewriting grammars

► Confluent?

# Rewriting grammars

- ▶ Confluent?
- ▶ Referentially transparent?

# Rewriting grammars

- ▶ Confluent?
- ▶ Referentially transparent?
- ▶ One grammar specifies all valid sentences



Using CFG's is problematic

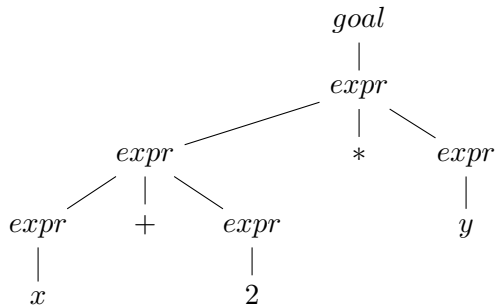
Using CFG's is problematic, but not  
necessarily



# Solving problems

## Operator precedence

$x + 2 * y$   
 $\langle \text{id}, x \rangle \langle \text{op}, + \rangle \langle \text{int}, 2 \rangle \langle \text{op}, * \rangle \langle \text{id}, y \rangle$

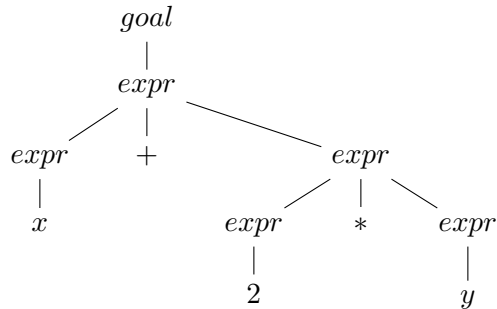
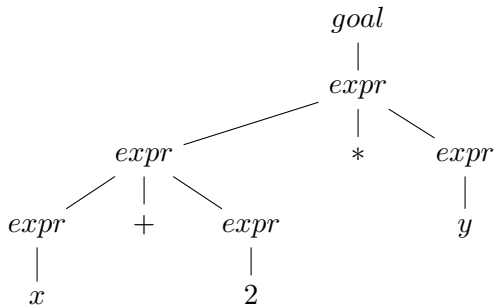


# Solving problems

## Operator precedence

$x + 2 * y$

$\langle \text{id}, x \rangle \langle \text{op}, + \rangle \langle \text{int}, 2 \rangle \langle \text{op}, * \rangle \langle \text{id}, y \rangle$



# Solving problems

## Operator precedence: Solutions

- Preprocess and insert brackets<sup>2</sup>

---

<sup>2</sup>[https://en.wikipedia.org/wiki/Operator-precedence\\_parser#Alternative\\_methods](https://en.wikipedia.org/wiki/Operator-precedence_parser#Alternative_methods)



# Solving problems

## Operator precedence: Solutions

- Preprocess and insert brackets<sup>2</sup>

---

<sup>2</sup>[https://en.wikipedia.org/wiki/Operator-precedence\\_parser#Alternative\\_methods](https://en.wikipedia.org/wiki/Operator-precedence_parser#Alternative_methods)



# Solving problems

## Operator precedence: Solutions

- ▶ Preprocess and insert brackets<sup>2</sup>  
*“The resulting formula is properly parenthesized, believe it or not.”*  
— Donald E. Knuth
- ▶ Postprocess and fix precedence

---

<sup>2</sup>[https://en.wikipedia.org/wiki/Operator-precedence\\_parser#Alternative\\_methods](https://en.wikipedia.org/wiki/Operator-precedence_parser#Alternative_methods)



# Solving problems

## Operator precedence: Solutions

- ▶ Preprocess and insert brackets<sup>2</sup>  
*“The resulting formula is properly parenthesized, believe it or not.”*  
— Donald E. Knuth
- ▶ Postprocess and fix precedence
- ▶ Shunting yard

---

<sup>2</sup>[https://en.wikipedia.org/wiki/Operator-precedence\\_parser#Alternative\\_methods](https://en.wikipedia.org/wiki/Operator-precedence_parser#Alternative_methods)





# Solving problems

## Operator precedence: Solutions

- ▶ Preprocess and insert brackets<sup>2</sup>  
*“The resulting formula is properly parenthesized, believe it or not.”*  
— Donald E. Knuth
- ▶ Postprocess and fix precedence
- ▶ Shunting yard
- ▶ Precedence climbing

---

<sup>2</sup>[https://en.wikipedia.org/wiki/Operator-precedence\\_parser#Alternative\\_methods](https://en.wikipedia.org/wiki/Operator-precedence_parser#Alternative_methods)



# Solving problems

## Operator precedence: Solutions

- ▶ Preprocess and insert brackets<sup>2</sup>

*“The resulting formula is properly parenthesized, believe it or not.”*

— Donald E. Knuth

- ▶ Postprocess and fix precedence
- ▶ Shunting yard
- ▶ Precedence climbing
- ▶ or...

---

<sup>2</sup>[https://en.wikipedia.org/wiki/Operator-precedence\\_parser#Alternative\\_methods](https://en.wikipedia.org/wiki/Operator-precedence_parser#Alternative_methods)



# Solving problems

## Operator precedence: Solutions

- ▶ Preprocess and insert brackets<sup>2</sup>

*“The resulting formula is properly parenthesized, believe it or not.”*

— Donald E. Knuth

- ▶ Postprocess and fix precedence
- ▶ Shunting yard
- ▶ Precedence climbing
- ▶ or...

---

<sup>2</sup>[https://en.wikipedia.org/wiki/Operator-precedence\\_parser#Alternative\\_methods](https://en.wikipedia.org/wiki/Operator-precedence_parser#Alternative_methods)



# Solving problems

## Operator precedence: Solutions

- ▶ Preprocess and insert brackets<sup>2</sup>  
*“The resulting formula is properly parenthesized, believe it or not.”*  
— Donald E. Knuth
- ▶ Postprocess and fix precedence
- ▶ Shunting yard
- ▶ Precedence climbing
- ▶ or...

Encode in the grammar

---

<sup>2</sup>[https://en.wikipedia.org/wiki/Operator-precedence\\_parser#Alternative\\_methods](https://en.wikipedia.org/wiki/Operator-precedence_parser#Alternative_methods)



# Solving problems

Operator precedence: Removing precedence ambiguity

## Intuition

Top down, so parse from weakest to strongest precedence

## In practice

*goal* ::=

*sum* ::=

*fact* ::=

*basic* ::=

# Solving problems

Operator precedence: Removing precedence ambiguity

## Intuition

Top down, so parse from weakest to strongest precedence

## In practice

*goal* ::= *sum*

*sum* ::=

*fact* ::=

*basic* ::=

# Solving problems

Operator precedence: Removing precedence ambiguity

## Intuition

Top down, so parse from weakest to strongest precedence

## In practice

$$\begin{aligned} goal &::= sum \\ sum &::= fact \ [+ -] \ sum \\ fact &::= \\ basic &::= \end{aligned}$$

# Solving problems

Operator precedence: Removing precedence ambiguity

## Intuition

Top down, so parse from weakest to strongest precedence

## In practice

$$\begin{aligned} \textit{goal} &::= \textit{sum} \\ \textit{sum} &::= \textit{fact} \ [+ -] \ \textit{sum} \\ &\quad | \ \textit{fact} \\ \textit{fact} &::= \\ \textit{basic} &::= \end{aligned}$$




# Solving problems

Operator precedence: Removing precedence ambiguity

## Intuition

Top down, so parse from weakest to strongest precedence

## In practice

$$\begin{aligned} \textit{goal} &::= \textit{sum} \\ \textit{sum} &::= \textit{fact} \quad [+ -] \textit{sum} \\ &\quad | \textit{fact} \\ \textit{fact} &::= \textit{basic} * \textit{fact} \\ \textit{basic} &::= \end{aligned}$$

# Solving problems

Operator precedence: Removing precedence ambiguity

## Intuition

Top down, so parse from weakest to strongest precedence

## In practice

$$\begin{aligned} \textit{goal} &::= \textit{sum} \\ \textit{sum} &::= \textit{fact} \quad [+ -] \textit{sum} \\ &\quad | \textit{fact} \\ \textit{fact} &::= \textit{basic} * \textit{fact} \\ &\quad | \textit{basic} \\ \textit{basic} &::= \end{aligned}$$

# Solving problems

Operator precedence: Removing precedence ambiguity

## Intuition

Top down, so parse from weakest to strongest precedence

## In practice

$$\begin{aligned} goal &::= sum \\ sum &::= fact \quad [+ -] \quad sum \\ &\quad | \quad fact \\ fact &::= basic * \quad fact \\ &\quad | \quad basic \\ basic &::= int \\ &\quad | \quad id \end{aligned}$$

# Solving problems

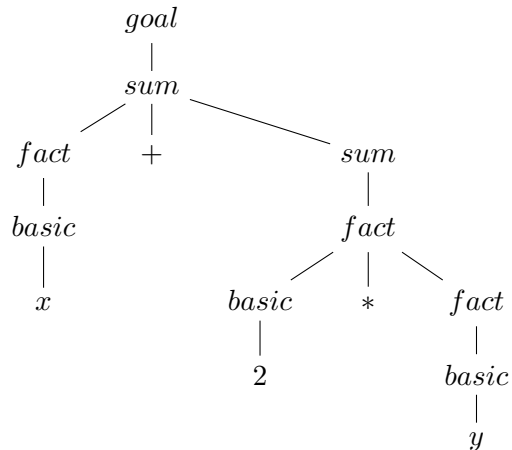
## Operator precedence: Removing precedence ambiguity

### Intuition

Top down, so parse from weakest to strongest precedence

### In practice

```
goal ::= sum
sum  ::= fact [+ -] sum
      | fact
fact  ::= basic * fact
      | basic
basic ::= int
      | id
```



# Solving problems

## Operator associativity

1-2-3  
<int,1> <op,-> <int,2> <op,-> <int,3>

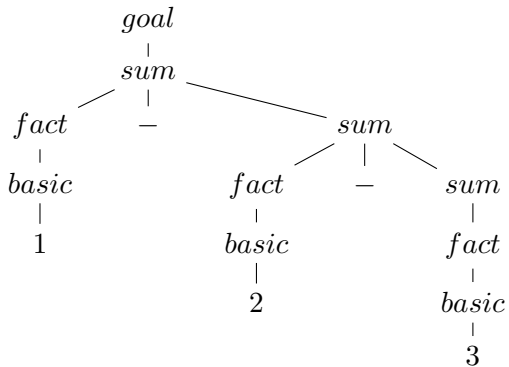


# Solving problems

## Operator associativity

1-2-3

$\langle \text{int}, 1 \rangle \langle \text{op}, - \rangle \langle \text{int}, 2 \rangle \langle \text{op}, - \rangle \langle \text{int}, 3 \rangle$

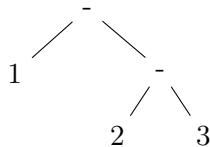
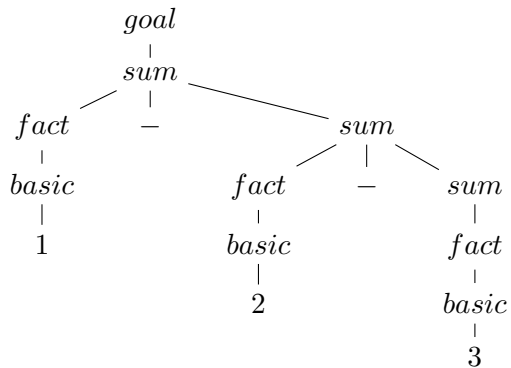


# Solving problems

## Operator associativity

1-2-3

$\langle \text{int}, 1 \rangle \langle \text{op}, - \rangle \langle \text{int}, 2 \rangle \langle \text{op}, - \rangle \langle \text{int}, 3 \rangle$

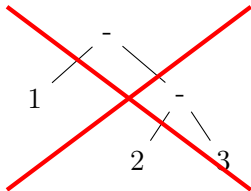
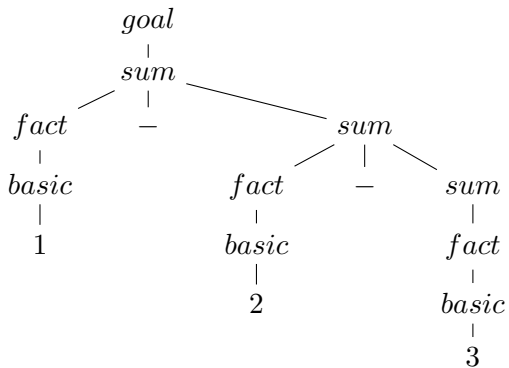


# Solving problems

## Operator associativity

1-2-3

$\langle \text{int}, 1 \rangle \langle \text{op}, - \rangle \langle \text{int}, 2 \rangle \langle \text{op}, - \rangle \langle \text{int}, 3 \rangle$



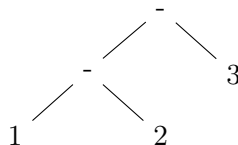
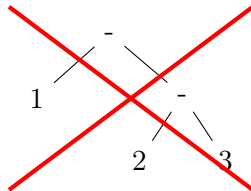
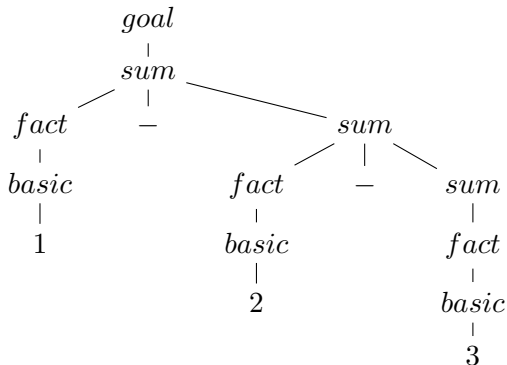


# Solving problems

## Operator associativity

1-2-3

$\langle \text{int}, 1 \rangle \langle \text{op}, - \rangle \langle \text{int}, 2 \rangle \langle \text{op}, - \rangle \langle \text{int}, 3 \rangle$



# Solving problems

## Operator associativity

- ▶ Postprocess and fix precedence
- ▶ Shunting yard
- ▶ Precedence climbing
- ▶ or...

Encode in the grammar

# Solving problems

Operator associativity: Solutions

Change order right?

# Solving problems

## Operator associativity: Solutions

Change order right?

$$\begin{aligned} goal &::= sum \\ sum &::= \textcolor{red}{sum} \ [+ -] \ fact \\ &\quad | \ fact \\ fact &::= fact \ * \ basic \\ &\quad | \ basic \\ basic &::= int \\ &\quad | \ id \end{aligned}$$

# Solving problems

## Operator associativity: Solutions

Change order right?

```
goal ::= sum  
sum  ::= sum  [+ -] fact  
      | fact  
fact ::= fact *   basic  
      | basic  
basic ::= int  
        | id
```

Tail recursion

Parse left associative operators greedy



# Solving problems

## Operator associativity: Solutions

Change order right?

$$\begin{aligned} \textit{goal} &::= \textit{sum} \\ \textit{sum} &::= \textit{sum} \quad [+ -] \textit{fact} \\ &\quad | \textit{fact} \\ \textit{fact} &::= \textit{fact} * \textit{basic} \\ &\quad | \textit{basic} \\ \textit{basic} &::= \textit{int} \\ &\quad | \textit{id} \end{aligned}$$

Tail recursion

Parse left associative operators greedy

$$\begin{aligned} \textit{goal} &::= \textit{sum} \\ \textit{sum} &::= \textit{sum} \quad [+ -] \textit{fact} \\ &\quad | \textit{fact} \\ \textit{fact} &::= \textit{fact} * \textit{basic} \\ &\quad | \textit{basic} \\ \textit{basic} &::= \textit{int} \\ &\quad | \textit{id} \end{aligned}$$


# Solving problems

## Operator associativity: Solutions

### Change order right?

$$\begin{aligned} goal &::= sum \\ sum &::= sum \quad [+ -] \quad fact \\ &\quad | \quad fact \\ fact &::= fact \quad * \quad basic \\ &\quad | \quad basic \\ basic &::= int \\ &\quad | \quad id \end{aligned}$$

### Tail recursion

Parse left associative operators greedy

$$\begin{aligned} goal &::= sum \\ sum &::= fact \quad \{- fact \}^* \\ fact &::= fact \quad * \quad basic \\ &\quad | \quad basic \\ basic &::= int \\ &\quad | \quad id \end{aligned}$$

# Solving problems

## Operator associativity: Solutions

### Change order right?

$$\begin{aligned} goal &::= sum \\ sum &::= sum \quad [+ -] \quad fact \\ &\quad | \quad fact \\ fact &::= fact \quad * \quad basic \\ &\quad | \quad basic \\ basic &::= int \\ &\quad | \quad id \end{aligned}$$

### Tail recursion

Parse left associative operators greedy

$$\begin{aligned} goal &::= sum \\ sum &::= fact \quad \{- fact \}^* \\ fact &::= basic \quad \{ * \ basic \}^* \\ basic &::= int \\ &\quad | \quad id \end{aligned}$$




# Solving problems

## Dangling else

$$\begin{aligned} \textit{stmt} ::= & \textit{if expr then expr} \\ & | \textit{if expr then expr else else} \\ & | \dots \end{aligned}$$

# Solving problems

## Dangling else

$$\begin{array}{l} stmt ::= if\ expr\ then\ expr \\ \quad | \quad if\ expr\ then\ expr\ else\ else \\ \quad | \quad \dots \end{array}$$

if E1 then if E2 then S1 else S2

# Solving problems

## Dangling else

$$\begin{array}{l} stmt ::= if\ expr\ then\ expr \\ \quad | \quad if\ expr\ then\ expr\ else\ else \\ \quad | \quad \dots \end{array}$$

if E1 then if E2 then S1 else S2

if E1 then (if E2 then S1) else S2

# Solving problems

## Dangling else

$$\begin{array}{l} stmt ::= if\ expr\ then\ expr \\ \quad | \quad if\ expr\ then\ expr\ else\ else \\ \quad | \quad \dots \end{array}$$

if E1 then if E2 then S1 else S2

if E1 then (if E2 then S1) else S2

if E1 then (if E2 then S1 else S2)



# Solving problems

## Dangling else

$$\begin{array}{l} stmt ::= if\ expr\ then\ expr \\ \quad | \quad if\ expr\ then\ expr\ else\ else \\ \quad | \quad \dots \end{array}$$

if E1 then if E2 then S1 else S2

if E1 then (if E2 then S1) else S2

if E1 then (if E2 then S1 else S2)

Solution: endif, brackets, innermost else, refactor grammar

## Intermezzo: Lexer hack for ANSI C

```
void fun()  
{  
    T (x);  
}
```

## Intermezzo: Lexer hack for ANSI C

```
void fun()  
{  
    T (x);  
}
```

Function call or cast?

## Intermezzo: Lexer hack for ANSI C

```
void fun()  
{  
    T (x);  
}
```

```
void fun()  
{  
    T * x;  
}
```

Function call or cast?



## Intermezzo: Lexer hack for ANSI C

```
void fun()  
{  
    T (x);  
}
```

Function call or cast?

```
void fun()  
{  
    T * x;  
}
```

Multiplication or pointer declaration?

# Left recursion

- ▶ Top down parsers cannot handle left recursion

## Definition

Grammar  $G$  is left recursive if

$$\exists A \in V_n \text{ such that } A \Rightarrow^+ A\alpha \text{ for some } \alpha$$

# Left recursion

- ▶ Top down parsers cannot handle left recursion
- ▶ Infinite recursion

## Definition

Grammar  $G$  is left recursive if

$$\exists A \in V_n \text{ such that } A \Rightarrow^+ A\alpha \text{ for some } \alpha$$

# Remove left recursion

## Grammar

$$\begin{array}{l} foo ::= foo\ a \\ \quad | \quad b \end{array}$$

# Remove left recursion

## Grammar

$$\begin{array}{l} foo ::= foo\ a \\ \quad | \ b \end{array}$$

Language:  $ba^*$

# Remove left recursion

## Grammar

$$\begin{array}{l} foo ::= foo\ a \\ \quad | \ b \end{array}$$

Language:  $ba^*$

## Rewritten grammar

$$\begin{array}{l} foo ::= b\ bar \\ bar ::= a\ bar \\ \quad | \ \epsilon \end{array}$$

## Tricky

# Removing immediate left recursion

## Removing immediate left recursion

$$A ::= A\alpha_1 \mid \cdots \mid A\alpha_m \mid \beta_1 \mid \cdots \mid \beta_n$$



## Removing immediate left recursion

$$A ::= A\alpha_1 \mid \cdots \mid A\alpha_m \mid \beta_1 \mid \cdots \mid \beta_n$$

$$A ::= \beta_1 A' \mid \cdots \mid \beta_n A'$$

$$A' ::= \alpha_1 A' \mid \cdots \mid \alpha_m A' \mid \epsilon$$

# Example I

## Initial grammar

$$\begin{aligned} \text{expr} &::= \text{expr } [+ \ - \ * \ / \ ^] \text{expr} \\ &| \text{'(' expr ')'} \\ &| \text{int} \\ &| \text{id} \end{aligned}$$

## Example II

Fix precedence and associativity

$$\begin{aligned} \text{expr} &::= \text{expr } [+ -] \text{fact} \\ &\quad | \text{fact} \\ \text{fact} &::= \text{fact } [* /] \text{pow} \\ &\quad | \text{pow} \\ \text{pow} &::= \text{basic } [^] \text{pow} \\ &\quad | \text{basic} \\ \text{basic} &::= '(' \text{expr } ')' \\ &\quad | \text{int} \\ &\quad | \text{id} \end{aligned}$$


## Example III

Apply direct left recursion elimination

$$\begin{aligned} \text{expr} &::= \text{fact expr}' \\ \text{expr}' &::= [+ -] \text{fact expr}' \\ &\quad | \epsilon \\ \text{fact} &::= \text{pow fact}' \\ \text{fact}' &::= [* /] \text{pow fact}' \\ &\quad | \epsilon \\ \text{pow} &::= \text{basic} ^ \text{pow} \\ &\quad | \text{basic} \\ \text{basic} &::= '(' \text{expr} ')' \\ &\quad | \text{int} \\ &\quad | \text{id} \end{aligned}$$


## Example IV

Or alternatively

$$\begin{aligned} \textit{expr} &::= \textit{fact} \{ [+ - ] \textit{fact} \}^* \\ \textit{fact} &::= \textit{pow} \{ [ * / ] \textit{pow} \}^* \\ \textit{pow} &::= \textit{basic} \textsuperscript{\wedge} \textit{pow} \\ &\quad | \textit{basic} \\ \textit{basic} &::= ' ( \textit{expr} ' ) ' \\ &\quad | \textit{int} \\ &\quad | \textit{id} \end{aligned}$$

# Parsing strategies

# Parsing in practice

# Parsing in practice

- ▶ Given a grammar and a sentence



# Parsing in practice

- ▶ Given a grammar and a sentence
- ▶ Find a derivation

# Parsing in practice

- ▶ Given a grammar and a sentence
- ▶ Find a derivation

# Parsing in practice

- ▶ Given a grammar and a sentence
- ▶ Find a derivation, or an error
- ▶ Two main strategies

# Parsing in practice

- ▶ Given a grammar and a sentence
- ▶ Find a derivation, or an error
- ▶ Two main strategies

# Parsing in practice

- ▶ Given a grammar and a sentence
  - ▶ Find a derivation, or an error
  - ▶ Two main strategies
1. Top-down

# Parsing in practice

- ▶ Given a grammar and a sentence
- ▶ Find a derivation, or an error
- ▶ Two main strategies
  1. Top-down
  2. Bottom-up

# Parsing in practice

## Top-down parsers

- ▶ Start at root ( $S$ )
- ▶ Pick a production and try to match input
- ▶ Backtracking may be required
- ▶ Some grammars are predictive



# Parsing in practice

## Top-down parsers

- ▶ Start at root ( $S$ )
- ▶ Pick a production and try to match input
- ▶ Backtracking may be required
- ▶ Some grammars are predictive

## Bottom-up parsers

- ▶ Start at the leafs
- ▶ Start in a valid state for the first token
- ▶ Consume, change state, encode possibilities
- ▶ Store state and sentential forms on a stack
- ▶ Topic of next week





# Top-down parsing

# Top-down parsers

## Top-down parsers

- ▶ Start at root ( $S$ )

# Top-down parsers

## Top-down parsers

- ▶ Start at root ( $S$ )
- ▶ Pick an alternative and try to match input

# Top-down parsers

## Top-down parsers

- ▶ Start at root ( $S$ )
- ▶ Pick an alternative and try to match input
- ▶ When the terminal doesn't match: backtrack (lookahead)



# Top-down parsers

## Top-down parsers

- ▶ Start at root ( $S$ )
- ▶ Pick an alternative and try to match input
- ▶ When the terminal doesn't match: backtrack (lookahead)
- ▶ Find the next node



# How to make a top-down parser

- ▶ Recursive descent parser

# How to make a top-down parser

- ▶ Recursive descent parser
  - ▶ Function

# How to make a top-down parser

- ▶ Recursive descent parser
  - ▶ Function
  - ▶ Recursive



# How to make a top-down parser

- ▶ Recursive descent parser
  - ▶ Function
  - ▶ Recursive
  - ▶ Descent

# How to make a top-down parser

- ▶ Recursive descent parser
  - ▶ Function
  - ▶ Recursive
  - ▶ Descent
- ▶ Combinators

# How to make a top-down parser

- ▶ Recursive descent parser
  - ▶ Function
  - ▶ Recursive
  - ▶ Descent
- ▶ Combinators
- ▶ Libraries, bake your own



# Let's jump right in!

## Grammar

$$\begin{aligned} stmt &::= id = expr ; \\ &| \{ stmt^* \} \end{aligned}$$

# Let's jump right in!

## Grammar

$$\begin{aligned} stmt &::= id = expr ; \\ &| \{ stmt * \} \end{aligned}$$

## Haskell

```
data Stmt = Assignment IdToken Expr | Stmts [Stmt]
```

```
pStmt = Assignment <$> satisfy isIdToken <* symbol '=' *> pExpr <*  
  symbol ';' >  
  <|> Stmts <$> symbol '{' *> many pStmt <* symbol '}' >
```



# What's powering this

P. Wadler, *How to replace failure by a list of successes a method for exception handling, backtracking, and pattern matching in lazy functional languages* (1985)

# What's powering this

P. Wadler, *How to replace failure by a list of successes a method for exception handling, backtracking, and pattern matching in lazy functional languages* (1985)

failure	$[]$
deterministic	$[rs]$
nondeterministic	$[rs_1, \dots, rs_n]$



# What's powering this

P. Wadler, *How to replace failure by a list of successes a method for exception handling, backtracking, and pattern matching in lazy functional languages* (1985)

failure	$[]$
deterministic	$[rs]$
nondeterministic	$[rs_1, \dots, rs_n]$

`type Parser s a = [s] → [(a, [s])]`





# What's powering this

P. Wadler, *How to replace failure by a list of successes a method for exception handling, backtracking, and pattern matching in lazy functional languages* (1985)

failure	$[]$
deterministic	$[rs]$
nondeterministic	$[rs_1, \dots, rs_n]$

```
type Parser s a = [s] → [(a, [s])]
```

```
newtype Parser s a = Parser {runParser :: [s] → [(a, [s])]}
```



Satisfy ( $g ::= t$ )

Recognize a symbol given a predicate

Satisfy ( $g ::= t$ )

Recognize a symbol given a predicate

```
satisfy :: (s → Bool) → Parser s s
```

Satisfy ( $g ::= t$ )

Recognize a symbol given a predicate

```
satisfy :: (s → Bool) → Parser s s
satisfy f = Parser $ \input → case input of
  (s:rest) | f s → [(s, rest)]
  _             → []
```



# Satisfy (g ::= t)

## Examples

```
runParser (satisfy isDigit) "123"
```



# Satisfy (g ::= t)

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc"
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1' , "23" )]  
runParser (satisfy isDigit) "abc" — []
```





# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1', "" )]
```

# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1', "" )]  
runParser (satisfy isDigit) ""
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1', "" )]  
runParser (satisfy isDigit) ""    — []
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1', "" )]  
runParser (satisfy isDigit) ""    — []
```

## Abbreviations



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1', "" )]  
runParser (satisfy isDigit) ""     — []
```

## Abbreviations

```
symbol s = satisfy (==s)
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1', "" )]  
runParser (satisfy isDigit) ""    — []
```

## Abbreviations

```
symbol s = satisfy (==s)  
top = satisfy (\_ → True)
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1' , "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1' , "" )]  
runParser (satisfy isDigit) ""    — []
```

## Abbreviations

```
symbol s = satisfy (==s)
```

```
top = satisfy (\_ → True)
```

```
runParser (symbol '('.') ) "abc"
```





# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1' , "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1' , "" )]  
runParser (satisfy isDigit) ""    — []
```

## Abbreviations

```
symbol s = satisfy (==s)
```

```
top = satisfy (\_ → True)
```

```
runParser (symbol '('.') ) "abc" — []
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1' , "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1' , "" )]  
runParser (satisfy isDigit) ""    — []
```

## Abbreviations

```
symbol s = satisfy (==s)  
top = satisfy (\_ → True)
```

```
runParser (symbol '.') "abc"           — []  
runParser (symbol '.') ".bc"
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1' , "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1' , "" )]  
runParser (satisfy isDigit) ""    — []
```

## Abbreviations

```
symbol s = satisfy (==s)  
top = satisfy (\_ → True)
```

```
runParser (symbol '('.') ) "abc"      — []  
runParser (symbol '('.') ) ".bc"     — [( '('.') , "bc" )]
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1', "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1', "" )]  
runParser (satisfy isDigit) ""    — []
```

## Abbreviations

```
symbol s = satisfy (==s)  
top = satisfy (\_ → True)
```

```
runParser (symbol '.') "abc"           — []  
runParser (symbol '.') ".bc"          — [( '.', "bc" )]  
runParser (symbol "hello") ["hello", "world"]
```



# Satisfy ( $g ::= t$ )

## Examples

```
runParser (satisfy isDigit) "123" — [( '1' , "23" )]  
runParser (satisfy isDigit) "abc" — []  
runParser (satisfy isDigit) "1"   — [( '1' , "" )]  
runParser (satisfy isDigit) ""    — []
```

## Abbreviations

```
symbol s = satisfy (==s)  
top = satisfy (\_ → True)
```

```
runParser (symbol '('.') ) "abc"           — []  
runParser (symbol '('.') ) ".bc"          — [( '('.') , "bc" )]  
runParser (symbol "hello") ["hello", "world"] — [( "hello " , [ "world " ] )]
```



Choice ( $g ::= p1 \mid p2$ )

Choose one or the other parser

Choice ( $g ::= p1 \mid p2$ )

Choose one or the other parser

`infixl 3 <|>`

`(<|>) :: Parser s a → Parser s a → Parser s a`



Choice ( $g ::= p1 \mid p2$ )

Choose one or the other parser

```
infixl 3 <|>
```

```
(<|>) :: Parser s a → Parser s a → Parser s a
```

```
x <|> y = Parser $ \t → runParser x t ++ runParser y t
```





# Choice ( $g ::= p1 \mid p2$ )

## Examples

```
pLayout :: Parser Char Char
```

```
pLayout = symbol ' ' <|> symbol '\n' <|> symbol '\t'
```



# Choice ( $g ::= p1 \mid p2$ )

## Examples

```
pLayout :: Parser Char Char
pLayout = symbol ' ' <|> symbol '\n' <|> symbol '\t'
runParser pLayout " 123"
```



# Choice ( $g ::= p1 \mid p2$ )

## Examples

```
pLayout :: Parser Char Char
pLayout = symbol ' ' <|> symbol '\n' <|> symbol '\t'
runParser pLayout " 123" — [( ' ', "123")]
```

```
pDigit = satisfy isDigit
pAlpha = satisfy isAlpha
pAlnum = pDigit <|> pAlpha
```



# Choice ( $g ::= p1 \mid p2$ )

## Examples

```
pLayout :: Parser Char Char
pLayout = symbol ' ' <|> symbol '\n' <|> symbol '\t'
runParser pLayout " 123" — [( ' ', "123" )]
```

```
pDigit = satisfy isDigit
pAlpha = satisfy isAlpha
pAlnum = pDigit <|> pAlpha
runParser (pDigit <|> pAlpha <|> pAlnum) "123"
```



# Choice ( $g ::= p1 \mid p2$ )

## Examples

```
pLayout :: Parser Char Char
pLayout = symbol ' ' <|> symbol '\n' <|> symbol '\t'
runParser pLayout " 123" — [( ' ', "123")]
```

```
pDigit = satisfy isDigit
pAlpha = satisfy isAlpha
pAlnum = pDigit <|> pAlpha
runParser (pDigit <|> pAlpha <|> pAlnum) "123" — [( '1', "23"), (
    '1', "23")]
```



Sequence ( $g ::= p \ q$ )

Recognize multiple (non)terminals

Sequence ( $g ::= p \ q$ )

Recognize multiple (non)terminals

```
infixl 4 <*>
```

```
(<*>) :: Parser s (a → b) → Parser s a → Parser b
```



## Sequence ( $g ::= p \ q$ )

Recognize multiple (non)terminals

```
infixl 4 <*>
(<*>) :: Parser s (a → b) → Parser s a → Parser b
l <*> r = Parser $ \t →
  [ (fa a, ts')
  | (fa, ts) ← runParser l t
  , (a, ts') ← runParser r ts
  ]
```





## Sequence ( $g ::= p \ q$ )

Recognize multiple (non)terminals

```
infixl 4 <*>
(<*>) :: Parser s (a → b) → Parser s a → Parser b
l <*> r = Parser $ \t →
  [ (fa a, ts')
  | (fa, ts) ← runParser l t
  , (a, ts') ← runParser r ts
  ]
```

Return constant values

```
pure :: a → Parser s a
pure a = Parser $ \t → [(a, t)]
```



Sequence: bind

Right-hand determined by left-hand result

## Sequence: bind

Right-hand determined by left-hand result

```
infixl 1 >>=
```

```
(>>=) :: Parser s a → (a → Parser s b) → Parser s b
```



## Sequence: bind

Right-hand determined by left-hand result

```
infixl 1 >>=  
(>>=) :: Parser s a → (a → Parser s b) → Parser s b  
ma >>= a2mb = Parser $ \t → concat  
  [ runParser (a2mb a) ts  
    | (a, ts) ← runParser ma t  
  ]
```



# Sequence (g ::= p1 p2)

## Examples

```
pAlphaAndDigit = pure (,) <*> pAlpha <*> pDigit
```

```
runParser pAlphaAndDigit "a1b"
```



# Sequence ( $g ::= p1\ p2$ )

## Examples

```
pAlphaAndDigit = pure (,) <*> pAlpha <*> pDigit
```

```
runParser pAlphaAndDigit "a1b" — [(a,1), "b"]
```



# Sequence ( $g ::= p1\ p2$ )

## Examples

```
pAlphaAndDigit = pure (,) <*> pAlpha <*> pDigit
```

```
runParser pAlphaAndDigit "a1b" — [(a,1), "b"]
```

```
pAlphaAndDigit = pAlpha >>= \x→pDigit >>= \y→pure (x, y)
```



Expressive power  $\langle * \rangle$  vs.  $\gg =$

Which has more expressive power?



## Expressive power $\langle * \rangle$ vs. $\gg =$

Which has more expressive power?

```
pTwice :: Parser Char Char  
pTwice = pAlpha >>= symbol
```

## Expressive power $\langle * \rangle$ vs. $\gg =$

Which has more expressive power?

```
pTwice :: Parser Char Char  
pTwice = pAlpha >>= symbol
```

Context sensitive!

## Expressive power $\langle * \rangle$ vs. $\gg=$

Which has more expressive power?

```
pTwice :: Parser Char Char  
pTwice = pAlpha >>= symbol
```

Context sensitive!

```
runParser pTwiceA "aabc"
```



## Expressive power $\langle * \rangle$ vs. $\gg=$

Which has more expressive power?

```
pTwice :: Parser Char Char  
pTwice = pAlpha >>= symbol
```

Context sensitive!

```
runParser pTwiceA "aabc" — [( 'a', "bc" )]
```

## Expressive power $\langle * \rangle$ vs. $\gg =$

Which has more expressive power?

```
pTwice :: Parser Char Char  
pTwice = pAlpha >>= symbol
```

Context sensitive!

```
runParser pTwiceA "aabc" — [( 'a', "bc" )]  
runParser pTwiceA "abc"
```



## Expressive power $\langle * \rangle$ vs. $\gg=$

Which has more expressive power?

```
pTwice :: Parser Char Char  
pTwice = pAlpha >>= symbol
```

Context sensitive!

```
runParser pTwiceA "aabc" — [( 'a', "bc" )]  
runParser pTwiceA "abc"  — []
```



Transform the result of a parser

Transform the result of a parser

```
infixr 7 <$>
```

```
(<$>) :: (a → b) → Parser s a → Parser s b
```



Transform the result of a parser

```
infixr 7 <$>
(<$>) :: (a → b) → Parser s a → Parser s b
f <$> p = Parser $ \t → [(f a, ts) | (a, ts) ← runParser p t]
```



Transform the result of a parser

```
infixr 7 <$>
(<$>) :: (a → b) → Parser s a → Parser s b
f <$> p = Parser $ \t → [(f a, ts) | (a, ts) ← runParser p t]

runParser (digitToInt <$> pDigit) "1ab"
```



Transform the result of a parser

```
infixr 7 <$>
(<$>) :: (a → b) → Parser s a → Parser s b
f <$> p = Parser $ \t → [(f a, ts) | (a, ts) ← runParser p t]

runParser (digitToInt <$> pDigit) "1ab" — [(1, "ab")]
```



Transform the result of a parser

```
infixr 7 <$>
(<$>) :: (a → b) → Parser s a → Parser s b
f <$> p = Parser $ \t → [(f a, ts) | (a, ts) ← runParser p t]

runParser (digitToInt <$> pDigit) "1ab" — [(1, "ab")]

pAlphaAndDigit =
```



Transform the result of a parser

```
infixr 7 <$>
(<$>) :: (a → b) → Parser s a → Parser s b
f <$> p = Parser $ \t → [(f a, ts) | (a, ts) ← runParser p t]

runParser (digitToInt <$> pDigit) "1ab" — [(1, "ab")]

pAlphaAndDigit = (,) <$> pAlpha <*> pDigit
```



Forgetful sequence ( $g ::= ' (' \text{ t } ' ) '$ )

Parse but do not save

Forgetful sequence ( $g ::= ' ( ' \text{ t } ' ) '$ )

Parse but do not save

`infixl 6 <*, *>`

`(<*) :: Parser s a → Parser s b → Parser s a`

`(>*) :: Parser s a → Parser s b → Parser s b`



## Forgetful sequence ( $g ::= ' ( ' \text{ t } ' ) '$ )

Parse but do not save

`infixl 6 <*, *>`

`(<*) :: Parser s a → Parser s b → Parser s a`  
`x <* y = (\x y→x) <$> x <*> y`

`(>*) :: Parser s a → Parser s b → Parser s b`  
`x *> y = (\x y→y) <$> x <*> y`





## Forgetful sequence ( $g ::= '(t)'$ )

Parse but do not save

```
infixl 6 <*, *>
```

```
(<*) :: Parser s a → Parser s b → Parser s a  
x <* y = (\x y→x) <$> x <*> y
```

```
(>*) :: Parser s a → Parser s b → Parser s b  
x *> y = (\x y→y) <$> x <*> y
```

```
parens p = symbol '(>*> p <*> symbol ')'
```



## Forgetful sequence ( $g ::= ' ( ' \text{ t } ' ) '$ )

Parse but do not save

```
infixl 6 <*, *>
```

```
(<*) :: Parser s a → Parser s b → Parser s a  
x <* y = (\x y → x) <$> x <*> y
```

```
(<*>) :: Parser s a → Parser s b → Parser s b  
x <*> y = (\x y → y) <$> x <*> y
```

```
parens p = symbol ' ( ' <*> p <*> symbol ' ) '
```

```
runParser (parens pDigit) "(1)ab"
```



## Forgetful sequence ( $g ::= ' ( ' \text{ t } ' ) '$ )

Parse but do not save

```
infixl 6 <*, *>
```

```
(<*) :: Parser s a → Parser s b → Parser s a  
x <* y = (\x y → x) <$> x <*> y
```

```
(>*) :: Parser s a → Parser s b → Parser s b  
x *> y = (\x y → y) <$> x <*> y
```

```
parens p = symbol ' ( ' *> p <* symbol ' ) '
```

```
runParser (parens pDigit) "(1)ab" — [( '1' , "ab" )]
```



## Counting parenthesis depth

## Counting parenthesis depth

```
parens = (+1) <$> (symbol '(' *> parens <* symbol ')')  
      <|> pure 0
```

```
runParser parens "((()))"
```

## Counting parenthesis depth

```
parens = (+1) <$> (symbol '(' *> parens <* symbol ')')  
      <|> pure 0
```

```
runParser parens "((()))" — [(3,""),(0,"((()))")]
```

# Collecting results

Save results in a list

## Collecting results

Save results in a list

```
infixr 6 <:>
```

```
(<:>) :: Parser s r → Parser s [r] → Parser s [r]
```





## Collecting results

Save results in a list

```
infixr 6 <:>
```

```
(<:>) :: Parser s r → Parser s [r] → Parser s [r]
```

```
x <:> y = (:) <$> x <*> y
```

## Collecting results

Save results in a list

```
infixr 6 <:>
```

```
(<:>) :: Parser s r → Parser s [r] → Parser s [r]
```

```
x <:> y = (:) <$> x <*> y
```

Abbreviations, Kleene star

```
many :: Parser s r → Parser s [r]
```

```
many p = p <:> many p <|> pure []
```

```
some :: Parser s r → Parser s [r]
```

```
some p = p <:> many p
```



# Collecting results

Handle with care

## Collecting results

Handle with care

```
runParser ((read :: String → Int) <$> many pDigit) "123."
```



## Collecting results

Handle with care

```
runParser ((read :: String → Int) <$> many pDigit) "123."  
  — [(123, "."),
```



## Collecting results

Handle with care

```
runParser ((read :: String → Int) <$> many pDigit) "123."  
  — [(123, "."), (12, "3."),
```



## Collecting results

Handle with care

```
runParser ((read :: String → Int) <$> many pDigit) "123."  
  — [(123, "."), (12, "3."), (1, "23."),
```



## Collecting results

Handle with care

```
runParser ((read :: String → Int) <$> many pDigit) "123."  
  — [(123, "."), (12, "3."), (1, "23."), (** Exception: Prelude.read:  
    no parse
```





## The better choice

Only parse the second if the first fails

## The better choice

Only parse the second if the first fails

```
infixr 4 <<|>
```

```
(<<|>) :: (Parser s r) → (Parser s r) → Parser s r
```



## The better choice

Only parse the second if the first fails

```
infixr 4 <<|>
(<<|>) :: (Parser s r) → (Parser s r) → Parser s r
x <<|> y = Parser $ \input → case runParser x input of
    [] → runParser y input
    res → res
```



## The better choice

Only parse the second if the first fails

```
infixr 4 <<|>
(<<|>) :: (Parser s r) → (Parser s r) → Parser s r
x <<|> y = Parser $ \input → case runParser x input of
    [] → runParser y input
    res → res

many p = p <:> many p <<|> pure []

runParser ((read :: String → Int) <$> many pDigit) "123."
```



## The better choice

Only parse the second if the first fails

```
infixr 4 <<|>
(<<|>) :: (Parser s r) → (Parser s r) → Parser s r
x <<|> y = Parser $ \input → case runParser x input of
    [] → runParser y input
    res → res

many p = p <:> many p <<|> pure []

runParser ((read :: String → Int) <$> many pDigit) "123."
— [(123, ".")]
```



# Lookahead

- ▶ Arbitrary lookahead

# Lookahead

- ▶ Arbitrary lookahead
- ▶ Combinators manage

# Lookahead

- ▶ Arbitrary lookahead
- ▶ Combinators manage
- ▶ Reduced lookahead



# Lookahead

- ▶ Arbitrary lookahead
- ▶ Combinators manage
- ▶ Reduced lookahead
- ▶  $LL(1)$ : Left to right scan, left-most derivation, 1-token look ahead

# Lookahead

- ▶ Arbitrary lookahead
- ▶ Combinators manage
- ▶ Reduced lookahead
- ▶  $LL(1)$ : Left to right scan, left-most derivation, 1-token look ahead
- ▶  $LR(1)$ : Left to right scan, right-most derivation, 1-token look ahead

# Predictive parsing

## Intuition

Which production to expand

# Predictive parsing

## Intuition

Which production to expand

## Definition

For RHS  $\alpha \in G$ , define  $FIRST(\alpha)$ .

$$w \in V_t, w \in FIRST(\alpha) \text{ iff } \alpha \Rightarrow^+ w\gamma.$$



# Predictive parsing

## Intuition

Which production to expand

## Definition

For RHS  $\alpha \in G$ , define  $FIRST(\alpha)$ .

$$w \in V_t, w \in FIRST(\alpha) \text{ iff } \alpha \Rightarrow^+ w\gamma.$$

## Property

For any two productions  $A \rightarrow \alpha$  and  $A \rightarrow \beta$

$$FIRST(\alpha) \cap FIRST(\beta) = \emptyset$$



# Predictive parsing

## Intuition

Which production to expand

## Definition

For RHS  $\alpha \in G$ , define  $FIRST(\alpha)$ .

$$w \in V_t, w \in FIRST(\alpha) \text{ iff } \alpha \Rightarrow^+ w\gamma.$$

## Property

For any two productions  $A \rightarrow \alpha$  and  $A \rightarrow \beta$

$$FIRST(\alpha) \cap FIRST(\beta) = \emptyset$$

Lookahead of 1!



## Left factoring

Can we transform grammars to have this property?

## Left factoring

Can we transform grammars to have this property?

Sometimes



## Left factoring

Can we transform grammars to have this property?

Sometimes

- For each non-terminal  $A$

## Left factoring

Can we transform grammars to have this property?

Sometimes

- ▶ For each non-terminal  $A$
- ▶ Find the longest prefix  $\alpha$  common to two or more alternatives



## Left factoring

Can we transform grammars to have this property?

Sometimes

- ▶ For each non-terminal  $A$
- ▶ Find the longest prefix  $\alpha$  common to two or more alternatives
- ▶ Replace all of the  $A$  productions

$$A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \cdots \mid \alpha\beta_n$$

with

$$\begin{aligned} A &\rightarrow \alpha A' \\ A' &\rightarrow \beta_1 \mid \beta_2 \mid \cdots \mid \beta_n \end{aligned}$$

## Left factoring

Can we transform grammars to have this property?

Sometimes

- ▶ For each non-terminal  $A$
- ▶ Find the longest prefix  $\alpha$  common to two or more alternatives
- ▶ Replace all of the  $A$  productions

$$A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \cdots \mid \alpha\beta_n$$

with

$$\begin{aligned} A &\rightarrow \alpha A' \\ A' &\rightarrow \beta_1 \mid \beta_2 \mid \cdots \mid \beta_n \end{aligned}$$

- ▶ Repeat



# Left factoring in general

## Question

By left factoring and eliminating left-recursion, can we transform an arbitrary context-free grammar to a form where it can be predictively parsed with a single token look ahead?



# Left factoring in general

## Question

By left factoring and eliminating left-recursion, can we transform an arbitrary context-free grammar to a form where it can be predictively parsed with a single token look ahead?

## Answer



# Left factoring in general

## Question

By left factoring and eliminating left-recursion, can we transform an arbitrary context-free grammar to a form where it can be predictively parsed with a single token look ahead?

## Answer

Undecidably hard

# Left factoring in general

## Question

By left factoring and eliminating left-recursion, can we transform an arbitrary context-free grammar to a form where it can be predictively parsed with a single token look ahead?

## Answer

Undecidably hard

## Example

$$\{a^n 0 b^n | n \geq 1\} \cup \{a^n 1 b^{2n} | n \geq 1\}$$





# Why left factoring?

- Efficiency

# Why left factoring?

- Efficiency

Parser combinators can handle arbitrary backtracking

# Why left factoring?

- ▶ Efficiency

Parser combinators can handle arbitrary backtracking

- ▶ Requirement

# Why left factoring?

- ▶ Efficiency

Parser combinators can handle arbitrary backtracking

- ▶ Requirement

Recursive descent parsers require a store of arbitrary size



# Conclusion

# Conclusion

- ▶ Not all grammars are easy to parse

# Conclusion

- ▶ Not all grammars are easy to parse
- ▶ Problem? Change the grammar

# Conclusion

- ▶ Not all grammars are easy to parse
- ▶ Problem? Change the grammar
  - ▶ Left recursion



# Conclusion

- ▶ Not all grammars are easy to parse
- ▶ Problem? Change the grammar
  - ▶ Left recursion
  - ▶ Precedence



# Conclusion

- ▶ Not all grammars are easy to parse
- ▶ Problem? Change the grammar
  - ▶ Left recursion
  - ▶ Precedence
  - ▶ Associativity

# Conclusion

- ▶ Not all grammars are easy to parse
- ▶ Problem? Change the grammar
  - ▶ Left recursion
  - ▶ Precedence
  - ▶ Associativity
  - ▶ Left factoring

# Conclusion

- ▶ Not all grammars are easy to parse
- ▶ Problem? Change the grammar
  - ▶ Left recursion
  - ▶ Precedence
  - ▶ Associativity
  - ▶ Left factoring
- ▶ Parser combinators



# Conclusion

- ▶ Not all grammars are easy to parse
- ▶ Problem? Change the grammar
  - ▶ Left recursion
  - ▶ Precedence
  - ▶ Associativity
  - ▶ Left factoring
- ▶ Parser combinators
  - ▶ Easy translations



# Conclusion

- ▶ Not all grammars are easy to parse
- ▶ Problem? Change the grammar
  - ▶ Left recursion
  - ▶ Precedence
  - ▶ Associativity
  - ▶ Left factoring
- ▶ Parser combinators
  - ▶ Easy translations
  - ▶ Hides input passing



# Next week

## Homework

- ▶ Start implementing your parser

# Next week

## Homework

- ▶ Start implementing your parser
- ▶ Assignment is on Brightspace





# Next week

## Homework

- ▶ Start implementing your parser
- ▶ Assignment is on Brightspace
- ▶ You may use parser combinator libraries or parser generators



# Next week

## Homework

- ▶ Start implementing your parser
- ▶ Assignment is on Brightspace
- ▶ You may use parser combinator libraries or parser generators



## Next week

### Homework

- ▶ Start implementing your parser
- ▶ Assignment is on Brightspace
- ▶ You may use parser combinator libraries or parser generators

### Next week

- ▶ Chain rule



## Next week

### Homework

- ▶ Start implementing your parser
- ▶ Assignment is on Brightspace
- ▶ You may use parser combinator libraries or parser generators

### Next week

- ▶ Chain rule
- ▶ Bottom-up parsers



## Next week

### Homework

- ▶ Start implementing your parser
- ▶ Assignment is on Brightspace
- ▶ You may use parser combinator libraries or parser generators

### Next week

- ▶ Chain rule
- ▶ Bottom-up parsers
- ▶ Parser generators



## Next week

### Homework

- ▶ Start implementing your parser
- ▶ Assignment is on Brightspace
- ▶ You may use parser combinator libraries or parser generators

### Next week

- ▶ Chain rule
- ▶ Bottom-up parsers
- ▶ Parser generators
- ▶ Scannerless parsers

