

Automated Reasoning

Week 1. Course introduction and **SAT** basics

Cynthia Kop

Fall 2024

Course overview



Motivation



Proposition logic



Using SAT solvers



Practical examples



Other



Automated Reasoning, IMC009

Automated Reasoning, IMC009



dr Cynthia Kop
c.kop@cs.ru.nl



dr Sebastian Junges
sebastian.junges@ru.nl

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dr Cynthia Kop
c.kop@cs.ru.nl



dr Sebastian Junges
sebastian.junges@ru.nl

RU, Maria Montessori Building, MM02.610 (Wednesday mornings)

Course overview



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Other



Organization

Organization

- Course + examination

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- Practical assignment

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- Each 50%; each has to be at least 5.

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- Deadline for the first part of the practical assignment:
27 October, 2024

Organization

- Course + examination
- Practical assignment
- Each 50%; each has to be at least 5.
- Practical assignment to be done in groups of at most 2.
- Deadline for the first part of the practical assignment:
27 October, 2024
- Deadline for the second part of the practical assignment:
20 December, 2024

An open question

$$P = NP?$$

An open question

$$P = NP?$$

- $P \approx$ problems whose solution you can **find** easily

An open question

$$P = NP?$$

- $P \approx$ problems whose solution you can **find** easily
- $NP \approx$ problems whose solution you can **verify** easily

A well-known game: Sudoku

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 2 | | | | 8 | 3 | 4 | 7 | 6 |
| 1 | | | 9 | | | | | |
| 6 | | | | | 4 | | | |
| 5 | | 6 | | | | | 9 | |
| 8 | | | | | | | | 4 |
| | 7 | | | | | 5 | | 1 |
| | | | 4 | | | | | 9 |
| | | | | | 8 | | | 2 |
| 9 | 1 | 4 | 5 | 2 | | | | 3 |

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 2 | 5 | 9 | 1 | 8 | 3 | 4 | 7 | 6 |
| 1 | 4 | 7 | 9 | 6 | 5 | 3 | 2 | 8 |
| 6 | 8 | 3 | 2 | 7 | 4 | 9 | 1 | 5 |
| 5 | 3 | 6 | 8 | 4 | 1 | 2 | 9 | 7 |
| 8 | 9 | 1 | 7 | 5 | 2 | 6 | 3 | 4 |
| 4 | 7 | 2 | 6 | 3 | 9 | 5 | 8 | 1 |
| 3 | 2 | 8 | 4 | 1 | 6 | 7 | 5 | 9 |
| 7 | 6 | 5 | 3 | 9 | 8 | 1 | 4 | 2 |
| 9 | 1 | 4 | 5 | 2 | 7 | 8 | 6 | 3 |

A thought experiment

What if: **finding** a solution is easy when you can **check** it?

A thought experiment

What if: **finding** a solution is easy when you can **check** it?

Consequences for:

- Encryption
- Mathematics
- Debugging
- Scheduling
- Any kind of (automatic) problem solving

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Proposition logic
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Using SAT solvers
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Practical examples
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Other
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New programming languages!

New programming languages!

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 2 | | | | 8 | 3 | 4 | 7 | 6 |
| 1 | | | 9 | | | | | |
| 6 | | | | | 4 | | | |
| 5 | | 6 | | | | | 9 | |
| 8 | | | | | | | | 4 |
| | 7 | | | | | 5 | | 1 |
| | | | 4 | | | | | 9 |
| | | | | | 8 | | | 2 |
| 9 | 1 | 4 | 5 | 2 | | | | 3 |

New programming languages!

```

1 function clues {
2   1,1 ⇒ 2 ; 1,2 ⇒ 1 ; 1,3 ⇒ 6 ;
3   5,1 ⇒ 8 ; 6,1 ⇒ 3 ; 4,2 ⇒ 9 ; 6,3 ⇒ 4 ;
4   7,1 ⇒ 4 ; 8,1 ⇒ 7 ; 9,1 ⇒ 6 ;
5   1,4 ⇒ 5 ; 3,4 ⇒ 6 ; 1,5 ⇒ 8 ; 2,6 ⇒ 7 ;
6   8,4 ⇒ 9 ; 9,5 ⇒ 4 ; 7,6 ⇒ 5 ; 9,6 ⇒ 1 ;
7   1,9 ⇒ 9 ; 2,9 ⇒ 1 ; 3,9 ⇒ 4 ;
8   4,7 ⇒ 4 ; 6,8 ⇒ 8 ; 4,9 ⇒ 5 ; 5,9 ⇒ 2 ;
9   9,7 ⇒ 9 ; 9,8 ⇒ 2 ; 9,9 ⇒ 3 ;
10  - = 0
11 }
12
13 =====
14
15 declare field[x,y] :: {1..9} for x ∈ {1..9}, y ∈ {1..9}
16
17 # every number occurs once in each row
18 ∀ i ∈ {1..9}. ∀ y ∈ {1..9}. ∃ x ∈ {1..9}. field[x,y] = i
19 # every number occurs once in each column
20 ∀ i ∈ {1..9}. ∀ x ∈ {1..9}. ∃ y ∈ {1..9}. field[x,y] = i
21 # every number occurs once in each block
22 ∀ i ∈ {1..9}. ∀ x1 ∈ {0..2}. ∀ y1 ∈ {0..2}. ∃ x2 ∈ {1..3}. ∃ y2 ∈ {1..3}.
23   field[3*x1+x2,3*y1+y2] = i
24
25 # the clues are satisfied
26 ∀ x ∈ {1..9}. ∀ y ∈ {1..9} with clues[x,y] != 0. field[x,y] = clues[x,y]
27
28 =====
29
30 for y := 1 to 9 do {
31   for x := 1 to 9 do {
32     print(field[x,y], ' ')
33     if x % 3 = 0 ∧ x != 9 then print('| ')
34   }
35   println()
36   if y % 3 = 0 ∧ y != 9 then println('-----+-----+-----')
37 }

```

New programming languages! (Or libraries)

```

1 from z3 import *
2
3 # 9x9 matrix of integer variables
4 X = [ [ Int("x_{}_{}".format(i+1, j+1)) for j in range(9) ] for i in range(9) ]
5
6 # each cell contains a value in {1, ..., 9}
7 cells_c = [ And(1 <= X[i][j], X[i][j] <= 9) for i in range(9) for j in range(9) ]
8
9 # each row contains a digit at most once
10 rows_c = [ Distinct(X[i]) for i in range(9) ]
11
12 # each column contains a digit at most once
13 cols_c = [ Distinct([ X[i][j] for i in range(9) ]) for j in range(9) ]
14
15 # each 3x3 square contains a digit at most once
16 sq_c = [ Distinct([ X[3*i0 + i][3*j0 + j] for i in range(3) for j in range(3) ])
17           for i0 in range(3) for j0 in range(3) ]
18
19 sudoku_c = cells_c + rows_c + cols_c + sq_c
20
21 # sudoku instance, we use '0' for empty cells
22 instance = ((0,0,0,0,9,4,0,3,0),
23             (0,0,0,5,1,0,0,0,7),
24             (0,8,9,0,0,0,0,4,0),
25             (0,0,0,0,0,0,2,0,8),
26             (0,6,0,2,0,1,0,5,0),
27             (1,0,2,0,0,0,0,0,0),
28             (0,7,0,0,0,0,5,2,0),
29             (9,0,0,0,6,5,0,0,0),
30             (0,4,0,9,7,0,0,0,0))
31
32 instance_c = [ If(instance[i][j] == 0, True, X[i][j] == instance[i][j])
33               for i in range(9) for j in range(9) ]
34
35 s = Solver()
36 s.add(sudoku_c + instance_c)
37 if s.check() == sat:
38     m = s.model()
39     r = [ [ m.evaluate(X[i][j]) for j in range(9) ] for i in range(9) ]
40     print_matrix(r)
41 else:
42     print ("failed to solve")

```

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Practical examples
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Other
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Topics

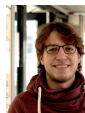
Topics

- How to use automatic solvers



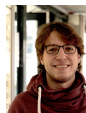
Topics

- How to use automatic solvers
- How do these automatic solvers work



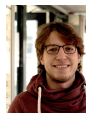
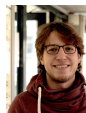
Topics

- How to use automatic solvers
- How do these automatic solvers work
- Automatic reasoning for predicate/equational logic



Topics

- How to use automatic solvers
- How do these automatic solvers work
- Automatic reasoning for predicate/equational logic
- Boolean functions, and having fun with SAT/SMT



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Proposition logic
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Using SAT solvers
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Practical examples
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Other
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Example: (free after Lewis Carroll)

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1. Good-natured tenured professors are dynamic.
2. Grumpy student advisors play slot machines.
3. Smokers wearing a cap are phlegmatic.
4. Comical student advisors are professors.
5. Smoking untenured members are nervous.
6. Phlegmatic tenured members wearing caps are comical.
7. Student advisors who are not stock market players are scholars.
8. Relaxed student advisors are creative.
9. Creative scholars who do not play slot machines wear caps.
10. Nervous smokers play slot machines.
11. Student advisors who play slot machines do not smoke.
12. Creative good-natured stock market players wear caps.

Example: (free after Lewis Carroll)

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9. Creative scholars who do not play slot machines wear caps.
10. Nervous smokers play slot machines.
11. Student advisors who play slot machines do not smoke.
12. Creative good-natured stock market players wear caps.
13. Therefore no student advisor is smoking.

Automating logic

Idea:

Automating logic

Idea:

- Make statements formal

Automating logic

Idea:

- Make statements formal
- Give formal statements to computer

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Steps:

Automating logic

Idea:

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Steps:

- name every “basic” notion

Automating logic

Idea:

- Make statements formal
- Give formal statements to computer

Steps:

- name every “basic” notion
- transform claims to formulas over these names

Naming basic notions

| name | meaning | opposite |
|----------|--------------------|------------|
| <i>A</i> | good-natured | grumpy |
| <i>B</i> | tenured | |
| <i>C</i> | professor | |
| <i>D</i> | dynamic | |
| <i>E</i> | wearing a cap | |
| <i>F</i> | smoke | phlegmatic |
| <i>G</i> | comical | |
| <i>H</i> | relaxed | |
| <i>I</i> | play stock market | |
| <i>J</i> | scholar | |
| <i>K</i> | creative | |
| <i>L</i> | plays slot machine | |
| <i>M</i> | student advisor | |
| | | nervous |

Transforming *claims* to *propositions*

| name | meaning | opposite |
|----------|--------------|------------|
| <i>A</i> | good-natured | grumpy |
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| <i>C</i> | professor | |
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| | ... | |

good-natured tenured professors are dynamic

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| <i>C</i> | professor | |
| <i>D</i> | dynamic | phlegmatic |
| | ... | |

good-natured tenured professors are dynamic

$$(A \wedge B \wedge C) \rightarrow D$$

Transforming *claims* to *propositions*

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|----------|--------------------|----------|
| <i>A</i> | good-natured | grumpy |
| <i>L</i> | plays slot machine | |
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| | ... | |

grumpy student advisors play slot machines.

Transforming *claims* to *propositions*

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|----------|--------------------|----------|
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| <i>L</i> | plays slot machine | |
| <i>M</i> | student advisor | |
| | ... | |

grumpy student advisors play slot machines.

$$(\neg A \wedge M) \rightarrow L$$

Transforming *claims* to *propositions*

| name | meaning | opposite |
|----------|---------|----------|
| <i>B</i> | tenured | nervous |
| <i>F</i> | smoke | |
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| | ... | |

smoking untenured members are nervous.

Transforming *claims* to *propositions*

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| | ... | |

smoking untenured members are nervous.

$$(F \wedge \neg B) \rightarrow \neg H$$

Translating the full puzzle

1. $(A \wedge B \wedge C) \rightarrow D$
2. $(\neg A \wedge M) \rightarrow L$
3. $(F \wedge E) \rightarrow \neg D$
4. $(G \wedge M) \rightarrow C$
5. $(F \wedge \neg B) \rightarrow \neg H$
6. $(\neg D \wedge B \wedge E) \rightarrow G$
7. $(\neg I \wedge M) \rightarrow J$
8. $(H \wedge M) \rightarrow K$
9. $(K \wedge J \wedge \neg L) \rightarrow E$
10. $(\neg H \wedge F) \rightarrow L$
11. $(L \wedge M) \rightarrow \neg F$
12. $(K \wedge A \wedge I) \rightarrow E$

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8. $(H \wedge M) \rightarrow K$
9. $(K \wedge J \wedge \neg L) \rightarrow E$
10. $(\neg H \wedge F) \rightarrow L$
11. $(L \wedge M) \rightarrow \neg F$
12. $(K \wedge A \wedge I) \rightarrow E$
13. Then $\neg(M \wedge F)$

Translating the full puzzle

$$\begin{aligned} &(((A \wedge B \wedge C) \rightarrow D) \wedge \\ &((\neg A \wedge M) \rightarrow L) \wedge \\ &((F \wedge E) \rightarrow \neg D) \wedge \\ &((G \wedge M) \rightarrow C) \wedge \\ &((F \wedge \neg B) \rightarrow \neg H) \wedge \\ &((\neg D \wedge B \wedge E) \rightarrow G) \wedge \\ &((\neg I \wedge M) \rightarrow J) \wedge \\ &((H \wedge M) \rightarrow K) \wedge \\ &((K \wedge J \wedge \neg L) \rightarrow E) \wedge \\ &((\neg H \wedge F) \rightarrow L) \wedge \\ &((L \wedge M) \rightarrow \neg F) \wedge \\ &((K \wedge A \wedge I) \rightarrow E)) \rightarrow \neg(M \wedge F) \end{aligned}$$

Translating the full puzzle

$$\begin{aligned} &(((A \wedge B \wedge C) \rightarrow D) \wedge \\ &((\neg A \wedge M) \rightarrow L) \wedge \\ &((F \wedge E) \rightarrow \neg D) \wedge \\ &((G \wedge M) \rightarrow C) \wedge \\ &((F \wedge \neg B) \rightarrow \neg H) \wedge \\ &((\neg D \wedge B \wedge E) \rightarrow G) \wedge \\ &((\neg I \wedge M) \rightarrow J) \wedge \\ &((H \wedge M) \rightarrow K) \wedge \\ &((K \wedge J \wedge \neg L) \rightarrow E) \wedge \\ &((\neg H \wedge F) \rightarrow L) \wedge \\ &((L \wedge M) \rightarrow \neg F) \wedge \\ &((K \wedge A \wedge I) \rightarrow E)) \rightarrow \neg(M \wedge F) \end{aligned}$$

Goal: is this a tautology?

Course overview
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Proposition logic
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Using SAT solvers
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Practical examples
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Other
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Truth tables

Truth tables

Idea: compute all possible valuations

Truth tables

Idea: compute all possible valuations

| A | B | C | D | E | F | G | H | I | J | K | L | M | result |
|---|---|---|---|---|---|---|---|---|---|---|---|---|--------|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |

. . .

Truth tables

Idea: compute all possible valuations

| A | B | C | D | E | F | G | H | I | J | K | L | M | result |
|---|---|---|---|---|---|---|---|---|---|---|---|---|--------|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |

. . .

Number of rows:

Truth tables

Idea: compute all possible valuations

| A | B | C | D | E | F | G | H | I | J | K | L | M | result |
|---|---|---|---|---|---|---|---|---|---|---|---|---|--------|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |

. . .

Number of rows: 2^n

Truth tables

Idea: compute all possible valuations

| A | B | C | D | E | F | G | H | I | J | K | L | M | result |
|---|---|---|---|---|---|---|---|---|---|---|---|---|--------|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |

. . .

Number of rows: 2^n

Observation: if φ always evaluates to *true*, then $\neg\varphi$ always evaluates to *false*.

Truth tables

Idea: compute all possible valuations

| A | B | C | D | E | F | G | H | I | J | K | L | M | result |
|---|---|---|---|---|---|---|---|---|---|---|---|---|--------|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |

. . .

Number of rows: 2^n

Observation: if φ always evaluates to *true*, then $\neg\varphi$ always evaluates to *false*.

Definition

A formula is **satisfiable** if it yields *true* for some valuations.

SAT

Propositional formula: a formula made from boolean variables and \neg , \vee , \wedge , \rightarrow , \leftrightarrow .

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SAT(isfiability): the problem whether or not a given propositional formula is satisfiable.

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Practical problems: often thousands of variables.

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SAT(isfiability): the problem whether or not a given propositional formula is satisfiable.

Practical problems: often thousands of variables.

\Rightarrow we need to do better than truth tables.

Complexity of algorithms

- (time) complexity: the number of steps required for executing an algorithm

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- **(time) complexity**: the number of steps required for executing an algorithm
- **space complexity**: the amount of memory required for executing an algorithm

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Basic observation: (time) complexity \geq space complexity

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Big-O notation: $f(n) = O(g(n))$.

Complexity of algorithms

- **(time) complexity**: the number of steps required for executing an algorithm
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Basic observation: (time) complexity \geq space complexity

Big-O notation: $f(n) = O(g(n))$.

Big-Omega notation: $f(n) = \Omega(g(n))$:

Typical use of big-O notation

- $g(n) = n$ (**linear**)
- $g(n) = n^2$ (**quadratic**)
- $g(n) = n^k$ for some k (**polynomial**)
- $g(n) = a^n$ for some $a > 1$ (**exponential**)

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Practical examples
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Other
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No polynomial algorithm is known for SAT.

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That is: there is no algorithm:

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- given: arbitrary φ

No polynomial algorithm is known for SAT.

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- given: arbitrary φ
- returns: whether or not φ is satisfiable

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- in time $\leq c * |\varphi|^k$ for some fixed c

No polynomial algorithm is known for SAT.

That is: there is no algorithm:

- given: arbitrary φ
- returns: whether or not φ is satisfiable
- in time $\leq c * |\varphi|^k$ for some fixed c

This can mean two different things!

P versus NP

P: the class of decision problems admitting a polynomial algorithm.

P versus NP

P: the class of decision problems admitting a polynomial algorithm.

NP: the class of decision problem which can be **verified** through a polynomial algorithm.

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Course overview
○○

Motivation
○○○○○

Proposition logic
○○○○○○○○○○○○●○○

Using SAT solvers
○○○○○○○○○○○○○○○○○○○○

Practical examples
○○○○

Other
○○○○

NP-Completeness

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
- Traveling Salesman
- Hamiltonian Circuit
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SAT solvers

Despite the inherent difficulty, many good **SAT solvers** exist!

SAT solvers

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Overview
Competition Tracks
Solver Submission
• UNSAT Certificates
• StarExec Cluster
• AWS Cloud
Benchmark Submission
Downloads
Results
Organizers

SAT Competition 2022

Affiliated with the 25th International Conference on Theory and Applications of Satisfiability Testing taking place on the 2nd - 5th of August 2022 in Haifa, Israel.


Results

Main Track, Sequential Solvers

| Solver | Scores <i>vs</i> | Solved <i>vs</i> | Scores SAT <i>vs</i> | Solved SAT <i>vs</i> | Scores UNSAT <i>vs</i> | Solved UNSAT <i>vs</i> |
|---------------------|------------------|------------------|----------------------|----------------------|------------------------|------------------------|
| <i>vs</i> | 2391.677677 | 128 | 991.348979 | 144 | 691.347329 | 136 |
| Kissat_MMS-HyThk | 3334.222964 | 206 | 1779.809623 | 144 | 1995.213919 | 146 |
| Kissat_vs | 3391.623563 | 206 | 1768.321467 | 145 | 1606.754107 | 145 |
| Kissat_gs | 3395.169969 | 206 | 1852.654960 | 143 | 1591.232075 | 145 |
| elissat-mab-db-v1 | 3402.347289 | 207 | 1868.567622 | 142 | 1611.962219 | 145 |
| Kissat_MMS_MQSS | 3404.291146 | 208 | 1967.839812 | 141 | 1532.218986 | 147 |
| Kissat_MMS_LCB | 3410.284609 | 207 | 1895.163807 | 140 | 1524.826626 | 147 |
| Kissat-mab-gp | 3431.166940 | 205 | 2003.843832 | 139 | 1562.846653 | 146 |
| Kissat_MMS_ESS | 3446.109112 | 204 | 3358.191344 | 137 | 1544.232969 | 147 |
| elissat-mab-gb-v1 | 3486.499919 | 203 | 2332.167305 | 139 | 1686.162705 | 144 |
| elissat-mab-gb-v2 | 3525.053222 | 202 | 2216.387129 | 136 | 1980.344863 | 146 |
| SagFROST-ERS-A3 | 3534.530771 | 202 | 1715.502564 | 144 | 2131.188405 | 138 |
| Kissat-ai2023-busy | 3575.646595 | 203 | 3443.736270 | 133 | 1471.825422 | 149 |
| SagFROST-AvEx-kend | 3576.233229 | 206 | 1706.416537 | 144 | 2249.672946 | 136 |
| CaDiCal-extended-1b | 3593.382290 | 279 | 2074.620996 | 136 | 1904.676035 | 141 |
| caDiCal_m_Scored | 3614.610740 | 279 | 2013.756110 | 140 | 2023.907286 | 139 |
| CaDiCal_DvDc_v1 | 3616.064117 | 279 | 2027.829477 | 139 | 2019.650593 | 140 |
| LSItech_CaDiCal | 3631.170719 | 279 | 2148.416276 | 138 | 1922.844164 | 141 |
| Kissat-elo-v3 | 3636.500236 | 279 | 2120.990119 | 137 | 1967.369544 | 142 |

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Results

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| Solver | Scores vs | Solved vs | Scores SAT vs | Solved SAT vs | Scores UNSAT vs | Solved UNSAT vs |
|-----------------------|-------------|-----------|---------------|---------------|-----------------|-----------------|
| vbs | 2391.677677 | 128 | 991.548975 | 144 | 691.347229 | 136 |
| Kissat_MAS-HyTech | 3334.222964 | 296 | 1779.809623 | 144 | 1995.213919 | 146 |
| kissat_vs | 3391.622663 | 296 | 1768.321467 | 145 | 1606.754107 | 145 |
| kissat_gs | 3390.168969 | 296 | 1852.654960 | 143 | 1591.232075 | 145 |
| elissat-mab-ib-v1 | 3402.947289 | 307 | 1898.567622 | 142 | 1611.962219 | 145 |
| Kissat_MAS_MQSS | 3404.379146 | 308 | 1907.839912 | 141 | 1532.218996 | 147 |
| Kissat_MAB_LCB | 3410.284609 | 307 | 1895.163907 | 140 | 1524.826626 | 147 |
| kissat-mab-gp | 3431.166940 | 305 | 2003.943832 | 139 | 1562.946653 | 146 |
| Kissat_MAB_ESA | 3446.199112 | 294 | 3058.191344 | 137 | 1544.232969 | 147 |
| elissat-mab-ib-v2 | 3486.499970 | 303 | 2332.167305 | 139 | 1690.162705 | 144 |
| elissat-mab-ib-v2 | 3525.053222 | 262 | 2216.387129 | 136 | 1980.344863 | 146 |
| SagFROST-ERS-A3 | 3534.520771 | 262 | 1715.502564 | 144 | 2131.189405 | 138 |
| kissat-ai2022-busy | 3575.640505 | 363 | 3443.726270 | 133 | 1471.825422 | 149 |
| SagFROST-AvX-extended | 3576.233229 | 260 | 1709.416537 | 144 | 2249.672946 | 136 |
| CaDiCal-extended-ib | 3593.382390 | 279 | 2074.626966 | 136 | 1904.676035 | 141 |
| causal_ml_Scored | 3614.610740 | 279 | 2013.756110 | 140 | 2023.907286 | 139 |
| CaDiCal_DiDL_v1 | 3616.064117 | 279 | 2027.629477 | 139 | 2019.650593 | 140 |
| LSItech_CaDiCal | 3631.170719 | 279 | 2149.416276 | 138 | 1922.844164 | 141 |
| kissat-elo-v3 | 3636.500236 | 279 | 2120.990118 | 137 | 1967.308044 | 142 |

Typical benchmarks have thousands of variables.

Many industrial problems are **within reach**.

Topics in the first month

- How to use automatic solvers
- How do these automatic solvers work

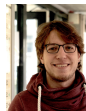


Topics in the first month

- Week 1 and 2: how to use **SAT** solvers



- Week 3 and 4: how do **SAT** solvers actually work



Course overview
○○

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Using SAT solvers
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○○○○

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○○○○

SAT solver input format

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A **conjunctive normal form (CNF)** is a conjunction of clauses.

A **clause** is a disjunction of literals.

A **literal** is either a variable or the negation of a variable.

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Proposition formulas can be transformed into CNF format with **linear** overhead.

Example input file

```
p cnf 42437 150699
-4902 4903 0
-4904 4905 0
-4908 4909 0
-11 4911 0
-11 -12 4910 0
...
```


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- all lines end with 0

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- first clause: $\neg x_{4902} \vee x_{4903}$

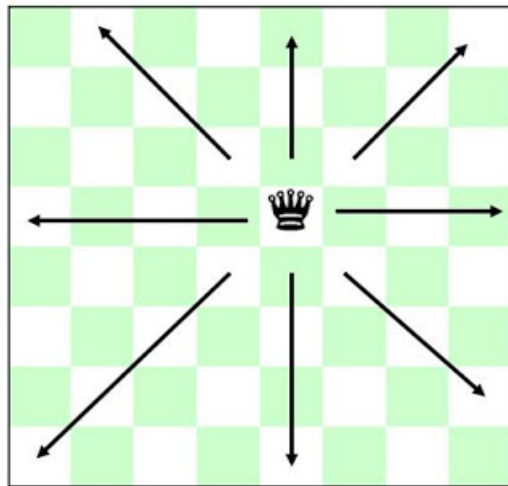
Example input file

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- all lines end with 0
- minus is used for negated literals
- first clause: $\neg x_{4902} \vee x_{4903}$
- fifth clause: $\neg x_{11} \vee \neg x_{12} \vee x_{4910}$

Example: Eight Queens Problem

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Solving the Eight Queens Problem

Don't think about how to solve it, but only **specify** the problem.

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For every position (y, x) on the board: boolean variable p_{yx} expresses whether there is a queen or not.

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| p_{71} | p_{72} | p_{73} | p_{74} | p_{75} | p_{76} | p_{77} | p_{78} |
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Eight queens requirements

| | | | | | | | |
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Note: If p_{yx} and $p_{y'x'}$ are in the same row: $y = y'$

Eight queens requirements

Row y :

$p_{y1}, p_{y2}, p_{y3}, p_{y4}, p_{y5}, p_{y6}, p_{y7}, p_{y8}$

Eight queens requirements

Row y :

$$p_{y1}, p_{y2}, p_{y3}, p_{y4}, p_{y5}, p_{y6}, p_{y7}, p_{y8}$$

At **least** one queen on row y :

$$p_{y1} \vee p_{y2} \vee p_{y3} \vee p_{y4} \vee p_{y5} \vee p_{y6} \vee p_{y7} \vee p_{y8}$$

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Shorthand:

$$\bigvee_{j=1}^8 p_{ij}$$

Eight queens requirements

Row y :

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At **most** one queen on row y ?

Eight queens requirements

Row y :

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At **most** one queen on row y ?

That is: for every $i < j$ not both p_{yi} and p_{yj} are true.

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So $\neg p_{yi} \vee \neg p_{yj}$ for all $i < j$.

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$$\bigwedge_{0 < i < j \leq 8} (\neg p_{yi} \vee \neg p_{yj})$$

Eight queens requirements

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Column requirements for p_{yx} : the same as for rows with y, x swapped.

Eight queens requirements

Requirements until now:

Eight queens requirements

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At least one queen on every row:

$$\bigwedge_{y=1}^8 \bigvee_{x=1}^8 p_{yx}$$

Eight queens requirements

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At most one queen on every row:

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Eight queens requirements

And similar for the columns:

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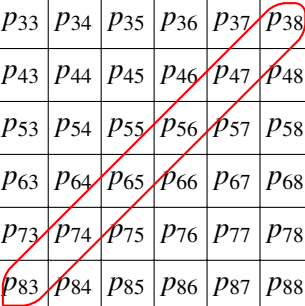
Eight queens requirements

There is at most one queen on every diagonal:

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| p_{51} | p_{52} | p_{53} | p_{54} | p_{55} | p_{56} | p_{57} | p_{58} |
| p_{61} | p_{62} | p_{63} | p_{64} | p_{65} | p_{66} | p_{67} | p_{68} |
| p_{71} | p_{72} | p_{73} | p_{74} | p_{75} | p_{76} | p_{77} | p_{78} |
| p_{81} | p_{82} | p_{83} | p_{84} | p_{85} | p_{86} | p_{87} | p_{88} |

p_{yx} and $p_{y'x'}$ on such
a diagonal

Eight queens requirements

There is at most one queen on every diagonal:

| | | | | | | | |
|----------|----------|----------|----------|----------|----------|----------|----------|
| p_{11} | p_{12} | p_{13} | p_{14} | p_{15} | p_{16} | p_{17} | p_{18} |
| p_{21} | p_{22} | p_{23} | p_{24} | p_{25} | p_{26} | p_{27} | p_{28} |
| p_{31} | p_{32} | p_{33} | p_{34} | p_{35} | p_{36} | p_{37} | p_{38} |
| p_{41} | p_{42} | p_{43} | p_{44} | p_{45} | p_{46} | p_{47} | p_{48} |
| p_{51} | p_{52} | p_{53} | p_{54} | p_{55} | p_{56} | p_{57} | p_{58} |
| p_{61} | p_{62} | p_{63} | p_{64} | p_{65} | p_{66} | p_{67} | p_{68} |
| p_{71} | p_{72} | p_{73} | p_{74} | p_{75} | p_{76} | p_{77} | p_{78} |
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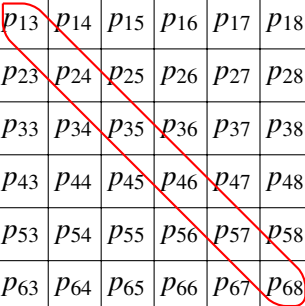


$$y + x = y' + x'$$

Eight queens requirements

The diagonal in the other direction:

| | | | | | | | |
|----------|----------|----------|----------|----------|----------|----------|----------|
| p_{11} | p_{12} | p_{13} | p_{14} | p_{15} | p_{16} | p_{17} | p_{18} |
| p_{21} | p_{22} | p_{23} | p_{24} | p_{25} | p_{26} | p_{27} | p_{28} |
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| p_{81} | p_{82} | p_{83} | p_{84} | p_{85} | p_{86} | p_{87} | p_{88} |

A red line is drawn across the grid, starting from the cell containing p_{13} and ending at the cell containing p_{68} . The line follows the anti-diagonal, passing through the following cells: p_{13} , p_{24} , p_{35} , p_{46} , p_{57} , and p_{68} . The line is slightly curved at the start and end.

Eight queens requirements

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| | | | | | | | |
|----------|----------|----------|----------|----------|----------|----------|----------|
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p_{yx} and $p_{y'x'}$ on such
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$$y - x = y' - x'$$

Eight queens requirements

So for all y, x, y', x' with $(y, x) \neq (y', x')$ satisfying $y + x = y' + x'$ or $y - x = y' - x'$:

$$\neg p_{yx} \vee \neg p_{y'x'}$$

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We may restrict to $y < y'$, yielding

$$\bigwedge_{0 < y < y' \leq 8} \left(\bigwedge_{x, x': y+x=y'+x' \vee y-x=y'-x'} \neg p_{yx} \vee \neg p_{y'x'} \right)$$

Complete formula

$$\bigwedge_{y=1}^8 \bigvee_{x=1}^8 p_{yx} \wedge$$

$$\bigwedge_{y=1}^8 \bigwedge_{0 < i < j \leq 8} (\neg p_{yi} \vee \neg p_{yj}) \wedge$$

$$\bigwedge_{x=1}^8 \bigvee_{y=1}^8 p_{yx} \wedge$$

$$\bigwedge_{x=1}^8 \bigwedge_{0 < i < j \leq 8} (\neg p_{ix} \vee \neg p_{jx}) \wedge$$

$$\bigwedge_{0 < y < y' \leq 8} \left(\bigwedge_{x, x' \text{ with } y+x=y'+x' \vee y-x=y'-x'} \neg p_{yx} \vee \neg p_{y'x'} \right)$$

Conclusion

The resulting formula

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- is easy to generate

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- consists of 740 clauses

Conclusion

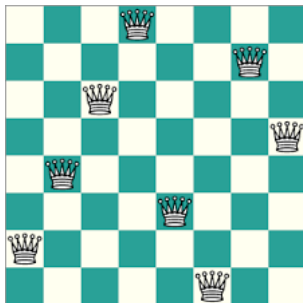
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Generalising the Eight Queens problem

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The same approach is applicable to extending a partially filled board, which is an NP-complete problem.

Optimising our encoding?

Note that optimisations are possible!

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In practice: this often makes no difference, or even makes things worse.

(But: experimenting can be worthwhile.)

Eight Queens: conclusion

To conclude: we expressed a chess board problem in a pure SAT problem.

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To conclude: we expressed a chess board problem in a pure SAT problem.

It is easy to generate this formula in the appropriate syntax by a small program.

Then a SAT solver immediately finds a solution.

Class exercise: Sudoku

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 2 | | | | 8 | 3 | 4 | 7 | 6 |
| 1 | | | 9 | | | | | |
| 6 | | | | | 4 | | | |
| 5 | | 6 | | | | | 9 | |
| 8 | | | | | | | | 4 |
| | 7 | | | | | 5 | | 1 |
| | | | 4 | | | | | 9 |
| | | | | | 8 | | | 2 |
| 9 | 1 | 4 | 5 | 2 | | | | 3 |

Class exercise: Sudoku

| | | | | | | | | |
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Despite apparent numbers, this can be done in pure SAT: only boolean variables!

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| | 7 | | | | | 5 | | 1 |
| | | | 4 | | | | | 9 |
| | | | | | 8 | | | 2 |
| 9 | 1 | 4 | 5 | 2 | | | | 3 |

Despite apparent numbers, this can be done in pure SAT: only boolean variables!

For every (y, x) and number k : boolean variable $s_{y,x,k}$.

Requirements

- each position has exactly one of the numbers 1–9
- in each row: every number in 1–9 occurs exactly once
- in each column: every number in 1–9 occurs exactly once
- in each block: every number in 1–9 occurs exactly once

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Requirements

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$$\bigwedge_{y=1}^9 \bigwedge_{x=1}^9 \bigwedge_{1 \leq i < j \leq 9} \neg s_{y,x,i} \vee \neg s_{y,x,j}$$

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$$\bigwedge_{y_1=0}^2 \bigwedge_{x_1=0}^2 \bigwedge_{i=1}^9 \bigvee_{y_2=1}^3 \bigvee_{x_2=1}^3 s_{y_1*3+y_2, x_1*3+x_2, i}$$

Course overview
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Motivation
○○○○○

Proposition logic
○○○○○○○○○○○○○○○○○○

Using SAT solvers
○○○○○○○○○○○○○○○○○○○○

Practical examples
●○○○

Other
○○○○

An amazing example from academia

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Prove termination of the system of three rules

$$aa \rightarrow bc, \quad bb \rightarrow ac, \quad cc \rightarrow ab$$

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$$\begin{pmatrix} b_{11} & b_{12} & b_{13} & b_{14} \\ b_{21} & b_{22} & b_{23} & b_{24} \\ b_{31} & b_{32} & b_{33} & b_{34} \\ b_{41} & b_{42} & b_{43} & b_{44} \end{pmatrix} * \begin{pmatrix} c_{11} & c_{12} & c_{13} & c_{14} \\ c_{21} & c_{22} & c_{23} & c_{24} \\ c_{31} & c_{32} & c_{33} & c_{34} \\ c_{41} & c_{42} & c_{43} & c_{44} \end{pmatrix}$$

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Approach: encode matrix components as binary numbers, and send to a SAT solver.

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Solution: matrix interpretations.

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No human intuition is available.

Course overview
○○

Motivation
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Proposition logic
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Using SAT solvers
○○○○○○○○○○○○○○○○○○○○

Practical examples
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Other
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An example from education

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- Individual wishes like gender balance.

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○○

Motivation
○○○○○

Proposition logic
○○○○○○○○○○○○○○○○○○

Using SAT solvers
○○○○○○○○○○○○○○○○○○○○

Practical examples
○○●○

Other
○○○○

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- every opponent at most once
- at least two matches rest between fights
- Everyone has similar total difficulty.
- if there are no surprises, the top 4 should be the best 4.

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Motivation
○○○○○

Proposition logic
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Using SAT solvers
○○○○○○○○○○○○○○○○○○○○

Practical examples
○○○●

Other
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Example: verification of a microprocessor

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Goal:

$\neg(\text{specified behavior} \leftrightarrow \text{actual behavior})$

is not satisfiable.

Extensions

Note: propositional logic is not enough for everything.
Other topics in this course:

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all men are mortal

Socrates is a man

hence Socrates is mortal

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hence Socrates is mortal

- **Equational logic:** reasoning with equalities

given: $0 + x = x$ *and*

$$(x + 1) + y = (x + y) + 1$$

conclude: $(0 + 1 + 1) + (0 + 1 + 1) = 0 + 1 + 1 + 1 + 1.$

Course overview
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Motivation
○○○○○

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Using SAT solvers
○○○○○○○○○○○○○○○○○○○○

Practical examples
○○○○

Other
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Practical assignment

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Practical assignment

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- Advice: do **not** try to find solutions in esoteric Z3 abilities.
- Do not write SAT input files by hand (other than as a **small** test). Generate them, or use a library.
- In the document you hand in, use clear notation, such as

$$\bigvee_{i=1}^n A_i \quad \text{for } A_1 \vee \dots \vee A_n$$

and

$$\bigwedge_{P(i)} A_i \quad \text{for the conjunction of } A_i \text{ for all } i \text{ that satisfy } P(i)$$

Class exercise

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | 1 | 0 | | 0 | |
| 3 | | 6 | 5 | 6 | | 3 | 3 | 2 | |
| | | | 6 | 6 | 5 | 3 | 3 | 3 | |
| | | | 4 | | | 5 | 4 | 4 | 2 |
| 5 | | | | 4 | | 4 | 4 | | 2 |
| | | | | | | | 6 | 6 | |
| | 1 | 1 | | | 7 | | 6 | 6 | |
| | | | | 5 | | 6 | | 4 | |
| | 4 | 2 | | 4 | | 6 | | | 2 |
| | | | 3 | | 4 | | 4 | | |

Quiz

1. Indicate for each of the following statements whether it is **true**, **untrue** or **unknown**.
 - 1.1 SAT is in P.
 - 1.2 SAT is in NP.
 - 1.3 SAT is NP-complete.
 - 1.4 $P \neq NP$.
2. How can you use a SAT solver to prove that the statement
$$\text{if } A \rightarrow B \text{ and } B \rightarrow C \text{ then } A \rightarrow C$$
is a tautology?