

Automated Reasoning

Week 9. Term Rewriting

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Fall 2024

Motivation
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Term rewriting
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Termination
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LPO
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Exercises
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Beyond predicate logic

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Recall: predicate logic

$$\begin{aligned} & (\exists x[S(x) \wedge \forall y[L(y) \rightarrow A(x, y)]] \wedge \\ & (\forall x[(L(x) \wedge B(x)) \rightarrow \neg \exists y[S(y) \wedge A(y, x)]) \rightarrow \\ & \neg \exists x[L(x) \wedge B(x)] \end{aligned}$$

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 \end{aligned}$$

In practice: equality is important!

$$\begin{aligned}
 & \forall x[\forall y[suc(x) = suc(y) \rightarrow x = y]] \\
 & \exists x[\exists y[x \neq y \wedge Favourite(x) = AR \wedge Favourite(y) = AR]]
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Prerequisite: **term rewriting**.

Program analysis

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$a = m * k$ follows by unsatisfiability of:

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Challenge: analysing functional programs

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Challenge: analysing functional programs

let rec conc xs ys =

 match xs with

 | [] \Rightarrow ys

 | h :: t \Rightarrow h :: (conc t ys)

;;

let rec rev xs =

 match xs with

 | [] \Rightarrow []

 | h :: t \Rightarrow conc (rev t) [h]

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Given: a set *Var* of variables: $\{x, y, z, \dots\}$

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- every variable is a term
- if $\mathbf{f} \in \Sigma$ and $\text{arity}(\mathbf{f}) = n$ and s_1, \dots, s_n are terms, then $\mathbf{f}(s_1, \dots, s_n)$ is a term

Defining terms

Example:

$$\Sigma = \{0, s, \text{add}, \text{mul}\}$$

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- $\text{add}(s(0), \text{add}(y, s(\text{add}(0, x))))$
- $\text{mul}(\text{add}(0, 0), s(y))$

Class exercise

Design a **signature** (Σ, arity) that contains lists of natural numbers.

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Design a **signature** (Σ, arity) that contains lists of natural numbers.

Alter the signature to handle lists of **integers**.

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- $\text{min}(\text{min}(x)) \Rightarrow x$

Non-examples

- $x \Rightarrow \text{min}(\text{min}(x))$
- $\text{mul}(x, 0) \Rightarrow \text{mul}(y, 0)$

Reducing terms

Intuition:

$\text{min}(\text{add}(s(0), s(s(0))))$ **rewrites** to $\text{min}(s(\text{add}(s(0), s(0))))$
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$$\begin{aligned}
 x\gamma &= \gamma(x) \\
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Define:

- $\ell\gamma \Rightarrow_{\mathcal{R}} r\gamma$ for all $\ell \Rightarrow r \in \mathcal{R}$, all γ
- $\text{f}(s_1, \dots, s_i, \dots, s_n) \Rightarrow_{\mathcal{R}} \text{f}(s_1, \dots, t_i, \dots, s_n)$ if $s_i \Rightarrow_{\mathcal{R}} t_i$

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Example:

$$\begin{aligned} \min(\underbrace{\text{add}(s(0), s(s(0)))}_{\text{add}(x, s(y))}) &\Rightarrow_{\mathcal{R}} \min(s(\text{add}(s(0), s(0)))) \\ \text{add}(x, s(y)) &\Rightarrow s(\text{add}(x, y)) \end{aligned}$$

Class exercise

Let

$$\mathcal{R} = \left\{ \begin{array}{ll} \text{add}(x, s(y)) & \Rightarrow s(\text{add}(x, y)) \\ \text{add}(x, p(y)) & \Rightarrow p(\text{add}(x, y)) \\ \text{add}(x, 0) & \Rightarrow x \\ s(p(x)) & \Rightarrow x \\ p(s(x)) & \Rightarrow x \end{array} \right\}$$

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Question: what can we reduce the following term to?

$$s(\text{add}(0, p(s(0))))$$

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Question: what can we reduce the following term to?

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Answer: two options!

- $s(\text{add}(0, 0))$
- $s(p(\text{add}(0, s(0))))$

Normal form

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Example:

$$\text{s}(\text{add}(0, \underline{\text{p}(\text{s}(0))})) \Rightarrow_{\mathcal{R}} \text{s}(\text{add}(0, \underline{0}))$$

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Example:

$$\begin{aligned} s(\text{add}(0, p(s(0)))) &\Rightarrow_{\mathcal{R}} \frac{s(\text{add}(0, 0))}{s(0)} \\ &\Rightarrow_{\mathcal{R}} \underline{s(0)} \end{aligned}$$

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Exercise: find a **different** reduction to normal form for
 $\text{s}(\text{add}(0, \text{p}(\text{s}(0))))$

Peek-ahead: equational logic

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The rules

$$\begin{aligned}\text{add}(0, y) &\Rightarrow y \\ \text{add}(s(x), y) &\Rightarrow s(\text{add}(x, y))\end{aligned}$$

define the equations:

$$\begin{aligned}\forall y. \quad \text{add}(0, y) &= y \\ \forall x \forall y. \quad \text{add}(s(x), y) &= s(\text{add}(x, y))\end{aligned}$$

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For any model $(M, \llbracket \cdot \rrbracket_\alpha)$ that makes the given equalities true:

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Therefore, the computation

$$\text{add}(s(s(0)), s(s(0))) \Rightarrow_{\mathcal{R}}^* s(s(s(s(0)))) \text{ **proves** that } 2 + 2 = 4!$$

Term rewriting and Prover9

We can also reason about reduction in Prover9:

```

formulas (assumptions) .
R (a (0, x), x) .
R (a (s (x), y), s (a (x, y))) .
R (x, y) -> R (a (x, z), a (y, z)) .
R (x, y) -> R (a (z, x), a (z, y)) .
R (x, y) -> R (s (x), s (y)) .
RR (x, x) .
(RR (x, y) & R (y, z)) -> RR (x, z) .
end_of_list.
formulas (goals) .
RR (a (s (s (0)), s (s (0))), s (s (s (s (0)))))) .
end_of_list.

```

Functional programming

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```
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```

```
  | [] ⇒ ys
```

```
  | h :: t ⇒ h :: (conc t ys)
```

```
;;
```

```
let rec rev xs =
```

```
  match xs with
```

```
  | [] ⇒ []
```

```
  | h :: t ⇒ conc (rev t) [h]
```

```
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Functional programming

Computation: reduction to normal form

```
rev nil          = nil
rev (a:x)        = conc (rev x (a:nil))
conc nil x       = x
conc (a:x) y     = a:(conc x y)
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Hence, this corresponds to the following term rewriting system:

```

      rev(nil)    ⇒  nil
rev(a : nil)    ⇒  conc(rev(x, a : nil))
      conc(nil,x) ⇒  x
      conc(a : x,y) ⇒  a : conc(x,y)
  
```

Functional programming

$$\begin{aligned}
 \text{rev}(\text{nil}) &\Rightarrow \text{nil} \\
 \text{rev}(a : \text{nil}) &\Rightarrow \text{conc}(\text{rev}(x, a : \text{nil})) \\
 \text{conc}(\text{nil}, x) &\Rightarrow x \\
 \text{conc}(a : x, y) &\Rightarrow a : \text{conc}(x, y)
 \end{aligned}$$

Then we have a reduction to normal form:

$$\begin{aligned}
 &\text{rev}(x : y : \text{nil}) && \Rightarrow \\
 &\text{conc}(\text{rev}(y : \text{nil}), x : \text{nil}) && \Rightarrow \\
 &\text{conc}(\text{conc}(\text{rev}(\text{nil}), y : \text{nil}), x : \text{nil}) && \Rightarrow \\
 &\text{conc}(\text{conc}(\text{nil}, y : \text{nil}), x : \text{nil}) && \Rightarrow \\
 &\text{conc}(y : \text{nil}, x : \text{nil}) && \Rightarrow \\
 &y : \text{conc}(\text{nil}, x : \text{nil}) && \Rightarrow \\
 &y : x : \text{nil}
 \end{aligned}$$

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- \mathcal{R} is **confluent** (= Church-Rosser, CR):
if $s \Rightarrow_{\mathcal{R}}^ t$ and $s \Rightarrow_{\mathcal{R}}^* q$ then a term u exists satisfying $t \Rightarrow_{\mathcal{R}}^* u$ and $q \Rightarrow_{\mathcal{R}}^* u$*

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- \mathcal{R} is **locally confluent** (= weak Church-Rosser, WCR):
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The converse is not true: consider the TRS with rules:

$$\begin{array}{lcl} a & \Rightarrow & a \\ a & \Rightarrow & b \end{array}$$

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Proof: Assume t has two normal forms u, u' .

Then by confluence there is a v such that $u \Rightarrow_{\mathcal{R}}^* v$ and $u' \Rightarrow_{\mathcal{R}}^* v$.

Since u, u' are normal forms we have $u = v = u'$. \square

Termination + confluence implies existence of unique normal forms

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This is a very useful combination!

Decidability of termination

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Nevertheless: often doable!

Well-founded ordering

Idea: find a **well-founded ordering** \succ and prove that $s \succ t$ whenever $s \Rightarrow_{\mathcal{R}} t$.

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There are many ways to generate such an ordering!

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Such an ordering is called a **reduction ordering**.

Motivation
○○

Term rewriting
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Termination
○○○

LPO
●○○○○○○○○○○○○○○○○

Exercises
○○

LPO

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$\text{add}(0, y) \Rightarrow y$
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Soundness of LPO

Theorem

If $\ell \succ_{\text{LPO}} r$ for all rules in \mathcal{R} , then the TRS with rules \mathcal{R} is terminating.

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- monotonic: if $s \succ_{\text{LPO}} t$ then $\mathbf{f}(\dots, s, \dots) \succ_{\text{LPO}} \mathbf{f}(\dots, t, \dots)$
- well-founded: there is no infinite decreasing sequence

Ackermann example

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Consider the **Ackermann function**:

$$A(m, n) = \begin{cases} n + 1 & \text{if } m = 0 \\ A(m - 1, 1) & \text{if } m > 0 \text{ and } n = 0 \\ A(m - 1, A(m, n - 1)) & \text{if } m > 0 \text{ and } n > 0 \end{cases}$$

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Expressed as a TRS:

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$$A(s(x), s(y)) \succ_{\text{LPO}} A(x, A(s(x), y))$$

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$$\begin{aligned}A(s(x), s(y)) &\succ_{\text{LPO}} A(s(x), y) \\ s(x) &\succ_{\text{LPO}} x\end{aligned}$$

Ackermann example

$$\begin{aligned}
 A(0, x) &\Rightarrow s(x) \\
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We conclude termination.

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- encode the requirements for each inequality $\ell \succ_{\text{LPO}} r$!

Motivation
○○

Term rewriting
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Termination
○○○

LPO
○○○○○●○○○○○○○○

Exercises
○○

Automation as one big formula

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$$f(g(x)) \succ_{\text{LPO}} h(f(x))$$

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$$\begin{aligned} &g(x) \succeq_{\text{LPO}} h(f(x)) \\ &(\langle f \triangleright h \rangle \wedge f(g(x)) \succ_{\text{LPO}} f(x)) \end{aligned} \quad \vee$$

Automation as one big formula

$$\begin{aligned} x \succeq_{\text{LPO}} h(f(x)) & \quad \vee \\ (\langle g \triangleright h \rangle \wedge g(x) \succ_{\text{LPO}} f(x)) & \quad \vee \\ (\langle f \triangleright h \rangle \wedge (g(x) \succeq_{\text{LPO}} f(x) \vee & \\ \quad (f(g(x)) \succ_{\text{LPO}} x \wedge g(x) \succ_{\text{LPO}} x))) & \end{aligned}$$

Automation as one big formula

 \perp

$$\begin{aligned} & (\langle g \triangleright h \rangle \wedge (x \succeq_{\text{LPO}} f(x) \vee (\langle g \triangleright f \rangle \wedge g(x) \succ_{\text{LPO}} x))) \\ & (\langle f \triangleright h \rangle \wedge ((x \succeq_{\text{LPO}} f(x) \vee (\langle g \triangleright f \rangle \wedge g(x) \succ_{\text{LPO}} x)) \vee (\top \wedge \top))) \end{aligned}$$

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Aside from the variables $\langle f \triangleright g \rangle$, we introduce:

For every subterm a of s ;

for every subterm b of t ;

for every relation $\#$ in $\{\succ_{\text{LPO}}, \succ_{\text{LPO}}^{\text{sub}}, \succ_{\text{LPO}}^{\text{copy}}, \succ_{\text{LPO}}^{\text{lex}}\}$:

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Denote $\langle a \preceq_{\text{LPO}} b \rangle$ for either \top (if $a = b$) or $\langle a \succ_{\text{LPO}} b \rangle$.

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Defining formulas: formally

For all subterms a of s and b of t , add the following formulas:

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For all subterms a of s and b of t , add the following formulas:

- if $a = b$, then $\neg \langle a \# b \rangle$ for all $\# \in \{ \succ_{\text{LPO}}, \succ_{\text{LPO}}^{\text{sub}}, \succ_{\text{LPO}}^{\text{copy}}, \succ_{\text{LPO}}^{\text{lex}} \}$
- otherwise, if a is a variable: $\neg \langle a \# b \rangle$ for all $\# \in \{ \succ_{\text{LPO}}, \succ_{\text{LPO}}^{\text{sub}}, \succ_{\text{LPO}}^{\text{copy}}, \succ_{\text{LPO}}^{\text{lex}} \}$
- otherwise, if $a = \mathbf{f}(a_1, \dots, a_n)$:
 - $\langle a \succ_{\text{LPO}} b \rangle \rightarrow \langle a \succ_{\text{LPO}}^{\text{sub}} b \rangle \vee \langle a \succ_{\text{LPO}}^{\text{copy}} b \rangle \vee \langle a \succ_{\text{LPO}}^{\text{lex}} b \rangle$
 - $\langle a \succeq_{\text{LPO}}^{\text{sub}} b \rangle \rightarrow \langle a_1 \succeq_{\text{LPO}} b \rangle \vee \dots \vee \langle a_n \succeq_{\text{LPO}} b \rangle$
 - if $b = \mathbf{f}(b_1, \dots, b_n)$, and i is the lowest index such that $a_i \neq b_i$, then:
 - $\neg \langle a \succ_{\text{LPO}}^{\text{copy}} b \rangle$
 - $\langle a \succ_{\text{LPO}}^{\text{lex}} b \rangle \rightarrow \langle a \succ_{\text{LPO}} b_1 \rangle \wedge \dots \wedge \langle a \succ_{\text{LPO}} b_n \rangle \wedge \langle a_i \succ_{\text{LPO}} b_i \rangle$
 - otherwise, if $b = \mathbf{g}(b_1, \dots, b_m)$ with $\mathbf{f} \neq \mathbf{g}$ then:
 - $\langle a \succ_{\text{LPO}}^{\text{copy}} b \rangle \rightarrow \langle \mathbf{f} \triangleright \mathbf{g} \rangle \wedge \langle a \succ_{\text{LPO}} b_1 \rangle \wedge \dots \wedge \langle a \succ_{\text{LPO}} b_m \rangle$
 - $\neg \langle a \succ_{\text{LPO}}^{\text{lex}} b \rangle$
- otherwise, $\neg \langle a \# b \rangle$ for $\# \in \{ \succ_{\text{LPO}}^{\text{copy}}, \succ_{\text{LPO}}^{\text{lex}} \}$

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 \langle f(g(x)) \succ_{\text{LPO}}^{\text{copy}} h(f(x)) \rangle &\rightarrow \langle f \triangleright h \rangle \wedge f(g(x)) \succ_{\text{LPO}} f(x) \\
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 \langle g(x) \succ_{\text{LPO}}^{\text{sub}} x \rangle &\rightarrow \top
 \end{aligned}$$

...

Finishing up the SAT encoding

We also require:

$$\langle \ell \succ_{\text{LPO}} r \rangle$$

since the topmost inequality should hold.

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A satisfying assignment immediately allows us to read off the proof!

Reading off the proof

$$\begin{array}{lcl}
 \frac{\langle f(g(x)) \succ_{\text{LPO}} h(f(x)) \rangle}{\langle f(g(x)) \succ_{\text{LPO}} h(f(x)) \rangle} & \rightarrow & \frac{\langle f(g(x)) \succ_{\text{LPO}}^{\text{sub}} h(f(x)) \rangle \vee \langle f(g(x)) \succ_{\text{LPO}}^{\text{copy}} h(f(x)) \rangle \vee \langle f(g(x)) \succ_{\text{LPO}}^{\text{lex}} h(f(x)) \rangle}{\langle f(g(x)) \succ_{\text{LPO}} h(f(x)) \rangle} \\
 \frac{\langle f(g(x)) \succ_{\text{LPO}}^{\text{sub}} h(f(x)) \rangle}{\langle f(g(x)) \succ_{\text{LPO}}^{\text{copy}} h(f(x)) \rangle} & \rightarrow & \frac{\langle g(x) \succ_{\text{LPO}} h(f(x)) \rangle}{\langle f \triangleright h \rangle \wedge f(g(x)) \succ_{\text{LPO}} f(x)} \\
 \neg \langle f(g(x)) \succ_{\text{LPO}}^{\text{lex}} h(f(x)) \rangle & \rightarrow & \langle f \triangleright h \rangle \wedge f(g(x)) \succ_{\text{LPO}} f(x) \\
 \frac{\langle g(x) \succ_{\text{LPO}} h(f(x)) \rangle}{\langle g(x) \succ_{\text{LPO}} h(f(x)) \rangle} & \rightarrow & \frac{\langle g(x) \succ_{\text{LPO}}^{\text{sub}} h(f(x)) \rangle \vee \langle g(x) \succ_{\text{LPO}}^{\text{copy}} h(f(x)) \rangle \vee \langle g(x) \succ_{\text{LPO}}^{\text{lex}} h(f(x)) \rangle}{\langle g(x) \succ_{\text{LPO}} h(f(x)) \rangle} \\
 \frac{\langle g(x) \succ_{\text{LPO}}^{\text{copy}} h(f(x)) \rangle}{\langle g(x) \succ_{\text{LPO}} h(f(x)) \rangle} & \rightarrow & \frac{\langle g \triangleright h \rangle \wedge \langle g(x) \succ_{\text{LPO}} f(x) \rangle}{\langle g(x) \succ_{\text{LPO}} f(x) \rangle} \\
 \frac{\langle g(x) \succ_{\text{LPO}} f(x) \rangle}{\langle g(x) \succ_{\text{LPO}}^{\text{copy}} f(x) \rangle} & \rightarrow & \frac{\langle g(x) \succ_{\text{LPO}}^{\text{sub}} f(x) \rangle \vee \langle g(x) \succ_{\text{LPO}}^{\text{copy}} f(x) \rangle}{\langle g(x) \succ_{\text{LPO}} f(x) \rangle} \\
 \frac{\langle g(x) \succ_{\text{LPO}}^{\text{copy}} f(x) \rangle}{\langle g(x) \succ_{\text{LPO}} f(x) \rangle} & \rightarrow & \frac{\langle g \triangleright f \rangle \wedge g(x) \succ_{\text{LPO}} x}{\langle g(x) \succ_{\text{LPO}} x \rangle} \\
 \frac{\langle g(x) \succ_{\text{LPO}} x \rangle}{\langle g(x) \succ_{\text{LPO}} x \rangle} & \rightarrow & \frac{\langle g(x) \succ_{\text{LPO}}^{\text{sub}} x \rangle \vee \langle g(x) \succ_{\text{LPO}}^{\text{copy}} x \rangle \vee \langle g(x) \succ_{\text{LPO}}^{\text{lex}} x \rangle}{\langle g(x) \succ_{\text{LPO}} x \rangle} \\
 \frac{\langle g(x) \succ_{\text{LPO}}^{\text{sub}} x \rangle}{\langle g(x) \succ_{\text{LPO}} x \rangle} & \rightarrow & \top
 \end{array}$$

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- using a **quasi-ordering** for the symbol comparison \triangleright
- if c is the smallest symbol in \triangleright and has arity 0, letting $x \succ_{\text{LPO}} c$ also for variables

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If s is a proper subterm of t , then $t \succ_{\text{LPO}} s$.

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Proof. This easily follows from rule **(sub)**. \square

Quiz

1. What is the difference between weak and strong normalisation?
2. What is the difference between local confluence and general confluence?
3. Use the lexicographic path ordering (by hand) to prove termination of:

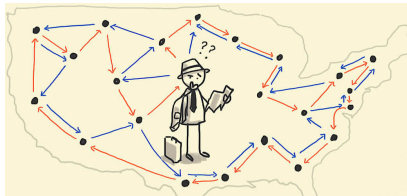
$$\begin{aligned}
 f(g(x), g(b)) &\Rightarrow f(x, x) \\
 g(a) &\Rightarrow b \\
 b &\Rightarrow a
 \end{aligned}$$

4. What properties should a relation \succ satisfy to be a reduction order?

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Solution:

- encode, for given N , the problem “find a route $\leq N$ ” into SMT
- use binary search to find the smallest N for which this is satisfiable