

# Automated Reasoning

Week 2. Beyond the basics of SAT:  
integers and program verification

Cynthia Kop

Fall 2024

Binary Arithmetic  
●○○○○○○○○○

Unary arithmetic  
○○○

Program verification  
○○○○○○○○○

Tseitin transformation  
○○○○○○○

Pigeonhole formulas  
○○○

Other  
○○

# Arithmetic in proposition logic

# Arithmetic in proposition logic

Many practical problems use **integers**. But SAT only has booleans. . .

# Arithmetic in proposition logic

Many practical problems use **integers**. But SAT only has booleans. . .

**Solution:** use the binary representation!

# Arithmetic in proposition logic

Many practical problems use **integers**. But SAT only has booleans. . .

**Solution:** use the binary representation!

$$a_n a_{n-1} \cdots a_1$$

Binary Arithmetic  
●○○○○○○○○

Unary arithmetic  
○○○

Program verification  
○○○○○○○○

Tseitin transformation  
○○○○○○○

Pigeonhole formulas  
○○○

Other  
○○

# Addition in primary school

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:



# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

$$\begin{array}{r} 1 \quad 3 \quad 7 \quad \leftarrow \quad a \\ \quad 7 \quad 9 \quad \leftarrow \quad b \\ \hline \quad \quad \quad + \end{array}$$

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

$$\begin{array}{r} 1 \quad 3 \quad 7 \quad \leftarrow \quad a \\ \quad 7 \quad 9 \quad \leftarrow \quad b \\ \hline \quad \quad \quad + \end{array}$$

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

$$\begin{array}{r} 1 \quad 3 \quad 7 \quad \leftarrow \quad a \\ \quad 7 \quad 9 \quad \leftarrow \quad b \\ \hline \quad \quad 6 \quad \quad + \end{array}$$

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

				←	carry
1	3	7	←		$a$
	7	9	←		$b$
<hr/>					
		6			+

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

	1		←	carry
1	3	7	←	$a$
	7	9	←	$b$
<hr/>				
		6		+

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

$$\begin{array}{r} \textcolor{red}{1} \quad 1 \quad \quad \leftarrow \quad \text{carry} \\ 1 \quad 3 \quad 7 \quad \leftarrow \quad a \\ \quad 7 \quad 9 \quad \leftarrow \quad b \\ \hline \textcolor{red}{1} \quad 6 \quad \quad + \end{array}$$

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

1	1	←	carry
1	3	7	← $a$
	7	9	← $b$
<hr/>			
	1	6	+

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

0	1	1	←	carry	
	1	3	7	←	$a$
		7	9	←	$b$
<hr/>					
2	1	6		+	



# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

$$\begin{array}{rcccccl} 0 & 1 & 1 & & \leftarrow & \text{carry} \\ & 1 & 3 & 7 & \leftarrow & a \\ & & 7 & 9 & \leftarrow & b \\ \hline & 2 & 1 & 6 & & + \end{array}$$

In binary: just the same!

# Addition in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a + b = d$ .

In decimal:

$$\begin{array}{rcccccl} 0 & 1 & 1 & & \leftarrow & \text{carry} \\ & 1 & 3 & 7 & \leftarrow & a \\ & & 7 & 9 & \leftarrow & b \\ \hline & 2 & 1 & 6 & & + \end{array}$$

In binary: just the same!

$$\begin{array}{rcccccccl} 0 & 0 & 1 & 1 & 1 & & \leftarrow & \text{carry} \\ & 0 & 0 & 1 & 1 & 1 & \leftarrow & a = 7 \\ & 1 & 0 & 1 & 0 & 1 & \leftarrow & b = 21 \\ \hline 0 & 1 & 1 & 1 & 0 & 0 & \leftarrow & d = 7 + 21 = 28 \end{array}$$

## Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

# Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} & a_5 & a_4 & a_3 & a_2 & a_1 & \\ & b_5 & b_4 & b_3 & b_2 & b_1 & \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

# Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} c_5 & c_4 & c_3 & c_2 & c_1 & & \\ & a_5 & a_4 & a_3 & a_2 & a_1 & \\ & b_5 & b_4 & b_3 & b_2 & b_1 & \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

# Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} c_5 & c_4 & c_3 & c_2 & c_1 & & \\ & a_5 & a_4 & a_3 & a_2 & a_1 & \\ & b_5 & b_4 & b_3 & b_2 & b_1 & \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

Requirements:

# Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} c_5 & c_4 & c_3 & c_2 & c_1 & & \\ & a_5 & a_4 & a_3 & a_2 & a_1 & \\ & b_5 & b_4 & b_3 & b_2 & b_1 & \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

## Requirements:

- $d_1 = a_1 \text{ XOR } b_1$
- for larger  $i$ :  $d_i = (c_{i-1} + a_i + b_i) \% 2$ .

# Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} c_5 & c_4 & c_3 & c_2 & c_1 & c_0 & \\ & a_5 & a_4 & a_3 & a_2 & a_1 & \\ & b_5 & b_4 & b_3 & b_2 & b_1 & \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

## Requirements:

- for **all**  $i$ :  $d_i = (c_{i-1} + a_i + b_i) \% 2$ .



# Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} c_5 & c_4 & c_3 & c_2 & c_1 & c_0 \\ & a_5 & a_4 & a_3 & a_2 & a_1 \\ & b_5 & b_4 & b_3 & b_2 & b_1 \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

## Requirements:

- for all  $i$ :  $d_i = (c_{i-1} + a_i + b_i) \% 2$ .

$$\bigwedge_{i=1}^{n-1} a_i \leftrightarrow b_i \leftrightarrow c_{i-1} \leftrightarrow d_i$$

## Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} c_5 & c_4 & c_3 & c_2 & c_1 & c_0 \\ & a_5 & a_4 & a_3 & a_2 & a_1 \\ & b_5 & b_4 & b_3 & b_2 & b_1 \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

### Requirements:

- for all  $i$ :  $d_i = (c_{i-1} + a_i + b_i) \% 2$ .
- for all  $i < n$ :  $c_i = 1$  if and only if  $a_i + b_i + c_{i-1} > 1$ .

# Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc}
 c_5 & c_4 & c_3 & c_2 & c_1 & c_0 \\
 a_5 & a_4 & a_3 & a_2 & a_1 & a_0 \\
 b_5 & b_4 & b_3 & b_2 & b_1 & b_0 \\
 \hline
 d_5 & d_4 & d_3 & d_2 & d_1 & d_0
 \end{array}$$

## Requirements:

- for all  $i$ :  $d_i = (c_{i-1} + a_i + b_i) \% 2$ .
- for all  $i < n$ :  $c_i = 1$  if and only if  $a_i + b_i + c_{i-1} > 1$ .

$$\bigwedge_{i=1}^{n-1} c_i \leftrightarrow ((a_i \wedge b_i) \vee (a_i \wedge c_{i-1}) \vee (b_i \wedge c_{i-1}))$$

## Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} c_5 & c_4 & c_3 & c_2 & c_1 & c_0 \\ & a_5 & a_4 & a_3 & a_2 & a_1 \\ & b_5 & b_4 & b_3 & b_2 & b_1 \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

### Requirements:

- for all  $i$ :  $d_i = (c_{i-1} + a_i + b_i) \% 2$ .
- for all  $i < n$ :  $c_i = 1$  if and only if  $a_i + b_i + c_{i-1} > 1$ .
- $c_0 = 0$  (no initial carry) and  $c_n = 0$  (no overflow).

## Adding two $n$ -bit numbers

Goal:  $a + b = d$ , where  $a, b, d$  are all **5-bit numbers**.

$$\begin{array}{rcccccc} c_5 & c_4 & c_3 & c_2 & c_1 & c_0 \\ & a_5 & a_4 & a_3 & a_2 & a_1 \\ & b_5 & b_4 & b_3 & b_2 & b_1 \\ \hline & d_5 & d_4 & d_3 & d_2 & d_1 & + \end{array}$$

### Requirements:

- for all  $i$ :  $d_i = (c_{i-1} + a_i + b_i) \% 2$ .
- for all  $i < n$ :  $c_i = 1$  if and only if  $a_i + b_i + c_{i-1} > 1$ .
- $c_0 = 0$  (no initial carry) and  $c_n = 0$  (no overflow).

$$\neg c_0 \wedge \neg c_n$$

# Making a SAT-solver add

**Challenge:** make a SAT-solver compute  $17 + 11$

# Making a SAT-solver add

**Challenge:** make a SAT-solver compute  $17 + 11$

$$\begin{array}{rcll} 17 & = & 1 & 0 & 0 & 0 & 1 \\ 11 & = & 0 & 1 & 0 & 1 & 1 \end{array}$$

# Making a SAT-solver add

**Challenge:** make a SAT-solver compute  $17 + 11$

$$\begin{array}{rcl} 17 & = & 1 \ 0 \ 0 \ 0 \ 1 \\ 11 & = & 0 \ 1 \ 0 \ 1 \ 1 \end{array}$$

**Solution:**

$$\phi \wedge \underbrace{a_5 \wedge \neg a_4 \wedge \neg a_3 \wedge \neg a_2 \wedge a_1}_{\vec{a}=17} \wedge \underbrace{\neg b_5 \wedge b_4 \wedge \neg b_3 \wedge b_2 \wedge b_1}_{\vec{b}=11}$$



# Making a SAT-solver add

**Challenge:** make a SAT-solver compute  $17 + 11$

$$\begin{array}{rcl} 17 & = & 1 \ 0 \ 0 \ 0 \ 1 \\ 11 & = & 0 \ 1 \ 0 \ 1 \ 1 \end{array}$$

**Solution:**

$$\phi \wedge \underbrace{a_5 \wedge \neg a_4 \wedge \neg a_3 \wedge \neg a_2 \wedge a_1}_{\vec{a}=17} \wedge \underbrace{\neg b_5 \wedge b_4 \wedge \neg b_3 \wedge b_2 \wedge b_1}_{\vec{b}=11}$$

**Challenge:** make a SATs-solver compute  $17 + 18$

# Making a SAT-solver add

**Challenge:** make a SAT-solver compute  $17 + 11$

$$\begin{array}{rcl} 17 & = & 1 \ 0 \ 0 \ 0 \ 1 \\ 11 & = & 0 \ 1 \ 0 \ 1 \ 1 \end{array}$$

**Solution:**

$$\phi \wedge \underbrace{a_5 \wedge \neg a_4 \wedge \neg a_3 \wedge \neg a_2 \wedge a_1}_{\vec{a}=17} \wedge \underbrace{\neg b_5 \wedge b_4 \wedge \neg b_3 \wedge b_2 \wedge b_1}_{\vec{b}=11}$$

**Challenge:** make a SATs-solver compute  $17 + 18$

**Solution:** just add a leading 0 to  $a$  and  $b$  and use 6-bit addition!

# Making a SAT-solver add and subtract

Challenge: make a SAT-solver compute  $17 + 11$

$$\begin{array}{rcl} 17 & = & 1 \ 0 \ 0 \ 0 \ 1 \\ 11 & = & 0 \ 1 \ 0 \ 1 \ 1 \end{array}$$

Solution:

$$\phi \wedge \underbrace{a_5 \wedge \neg a_4 \wedge \neg a_3 \wedge \neg a_2 \wedge a_1}_{\vec{a}=17} \wedge \underbrace{\neg b_5 \wedge b_4 \wedge \neg b_3 \wedge b_2 \wedge b_1}_{\vec{b}=11}$$

Challenge: compute  $17 - 11$ .

# Making a SAT-solver add and subtract

**Challenge:** make a SAT-solver compute  $17 + 11$

$$\begin{array}{rcl} 17 & = & 1 \ 0 \ 0 \ 0 \ 1 \\ 11 & = & 0 \ 1 \ 0 \ 1 \ 1 \end{array}$$

**Solution:**

$$\phi \wedge \underbrace{a_5 \wedge \neg a_4 \wedge \neg a_3 \wedge \neg a_2 \wedge a_1}_{\vec{a}=17} \wedge \underbrace{\neg b_5 \wedge b_4 \wedge \neg b_3 \wedge b_2 \wedge b_1}_{\vec{b}=11}$$

**Challenge:** compute  $17 - 11$ .

**Solution:**

$$\phi \wedge \underbrace{d_5 \wedge \neg d_4 \wedge \neg d_3 \wedge \neg d_2 \wedge d_1}_{\vec{d}=17} \wedge \underbrace{\neg a_5 \wedge a_4 \wedge \neg a_3 \wedge a_2 \wedge a_1}_{\vec{a}=11}$$

## Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r} 1 \ 2 \ 3 \\ 4 \ 5 \ 6 \\ \hline \\ \hline \end{array}$$

# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r} 1 \ 2 \ 3 \\ 4 \ 5 \ 6 \\ \hline 7 \ 3 \ 8 \end{array} = 6 * 123$$

---

# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r} \begin{array}{ccc} 1 & 2 & 3 \\ 4 & 5 & 6 \end{array} \\ \hline \begin{array}{ccc} 7 & 3 & 8 \end{array} = 6 * 123 \\ \begin{array}{ccc} 6 & 1 & 5 \end{array} = 5 * 123 \\ \hline \end{array}$$



# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r} \phantom{00} 1 \phantom{00} 2 \phantom{00} 3 \\ \phantom{00} \color{red}{4} \phantom{00} 5 \phantom{00} 6 \\ \hline 7 \phantom{00} 3 \phantom{00} 8 \phantom{00} = 6 * 123 \\ 6 \phantom{00} 1 \phantom{00} 5 \phantom{00} = 5 * 123 \\ 4 \phantom{00} 9 \phantom{00} 2 \phantom{00} = 4 * 123 \\ \hline \end{array}$$

# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r}
 \begin{array}{ccc} 1 & 2 & 3 \\ 4 & 5 & 6 \end{array} \\
 \hline
 \begin{array}{rcl} & 7 & 3 \ 8 \\ & 6 & 1 \ 5 \\ 4 & 9 & 2 \end{array} & \begin{array}{l} = 6 * 123 \\ = 5 * 123 \\ = 4 * 123 \end{array} \\
 \hline
 5 \ 6 \ 0 \ 8 \ 8 & + & 
 \end{array}$$

# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r} \phantom{00} 1 \phantom{00} 2 \phantom{00} 3 \\ \phantom{00} 4 \phantom{00} 5 \phantom{00} 6 \\ \hline 7 \phantom{00} 3 \phantom{00} 8 \phantom{00} = 6 * 123 \\ 6 \phantom{00} 1 \phantom{00} 5 \phantom{00} = 5 * 123 \\ 4 \phantom{00} 9 \phantom{00} 2 \phantom{00} = 4 * 123 \\ \hline 5 \phantom{00} 6 \phantom{00} 0 \phantom{00} 8 \phantom{00} 8 \phantom{00} + \end{array}$$

Proposed algorithm:

# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r} \phantom{00} 1 \phantom{00} 2 \phantom{00} 3 \\ \phantom{00} 4 \phantom{00} 5 \phantom{00} 6 \\ \hline \phantom{00} 7 \phantom{00} 3 \phantom{00} 8 \phantom{00} = 6 * 123 \\ \phantom{00} 6 \phantom{00} 1 \phantom{00} 5 \phantom{00} = 5 * 123 \\ 4 \phantom{00} 9 \phantom{00} 2 \phantom{00} = 4 * 123 \\ \hline 5 \phantom{00} 6 \phantom{00} 0 \phantom{00} 8 \phantom{00} 8 \phantom{00} + \end{array}$$

Proposed algorithm:

$d := 0$

# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r} \phantom{00} 1 \phantom{00} 2 \phantom{00} 3 \\ \phantom{00} 4 \phantom{00} 5 \phantom{00} 6 \\ \hline \phantom{00} 7 \phantom{00} 3 \phantom{00} 8 \phantom{00} = 6 * 123 \\ \phantom{00} 6 \phantom{00} 1 \phantom{00} 5 \phantom{00} = 5 * 123 \\ 4 \phantom{00} 9 \phantom{00} 2 \phantom{00} = 4 * 123 \\ \hline 5 \phantom{00} 6 \phantom{00} 0 \phantom{00} 8 \phantom{00} 8 \phantom{00} + \end{array}$$

Proposed algorithm:

$d := 0$

for  $i := n$  downto 1 do:

# Multiplication in primary school

Given  $a$  and  $b$ , find  $d$  satisfying  $a * b = d$ .

In decimal:

$$\begin{array}{r} \phantom{00}1 \phantom{00}2 \phantom{00}3 \\ \phantom{00}4 \phantom{00}5 \phantom{00}6 \\ \hline \phantom{00}7 \phantom{00}3 \phantom{00}8 = 6 * 123 \\ \phantom{00}6 \phantom{00}1 \phantom{00}5 = 5 * 123 \\ \phantom{00}4 \phantom{00}9 \phantom{00}2 = 4 * 123 \\ \hline 5 \phantom{00}6 \phantom{00}0 \phantom{00}8 \phantom{00}8 + \end{array}$$

Proposed algorithm:

$d := 0$

for  $i := n$  downto 1 do:

$d := 10 * d + b_i * a$

# Binary multiplication

## Decimal algorithm:

$d := 0$

for  $i := n$  downto 1 do:

$d := 10 * d + b_i * a$

# Binary multiplication

## Decimal algorithm:

$d := 0$

for  $i := n$  downto 1 do:

$d := 10 * d + b_i * a$

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:



# Binary multiplication

## Decimal algorithm:

$d := 0$

for  $i := n$  downto 1 do:

$d := 10 * d + b_i * a$

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:

**if  $b_i$  then  $d := 2 * d + a$**

**else  $d := 2 * d$**

# Binary multiplication

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:

**if**  $b_i$  **then**  $d := 2 * d + a$

**else**  $d := 2 * d$

Example:  $9 * 11$  (01011)

# Binary multiplication

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:

**if**  $b_i$  **then**  $d := 2 * d + a$

**else**  $d := 2 * d$

**Example:**  $9 * 11$  (01011)

- $d = 0$

# Binary multiplication

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:

**if**  $b_i$  **then**  $d := 2 * d + a$

**else**  $d := 2 * d$

Example:  $9 * 11$  (01011)

- $d = 0$
- $i = 5$  and  $d = 0$  ( $0 * 2$ )

# Binary multiplication

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:

**if**  $b_i$  **then**  $d := 2 * d + a$

**else**  $d := 2 * d$

Example:  $9 * 11$  (0**1**011)

- $d = 0$
- $i = 5$  and  $d = 0$  ( $0 * 2$ )
- $i = 4$  and  $d = 9$  ( $0 * 2 + 9$ )

# Binary multiplication

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:

**if**  $b_i$  **then**  $d := 2 * d + a$

**else**  $d := 2 * d$

**Example:**  $9 * 11$  (01**0**11)

- $d = 0$
- $i = 5$  and  $d = 0$  ( $0 * 2$ )
- $i = 4$  and  $d = 9$  ( $0 * 2 + 9$ )
- $i = 3$  and  $d = 18$  ( $9 * 2$ )

# Binary multiplication

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:

**if**  $b_i$  **then**  $d := 2 * d + a$

**else**  $d := 2 * d$

**Example:**  $9 * 11$  (010**1**1)

- $d = 0$
- $i = 5$  and  $d = 0$  ( $0 * 2$ )
- $i = 4$  and  $d = 9$  ( $0 * 2 + 9$ )
- $i = 3$  and  $d = 18$  ( $9 * 2$ )
- $i = 2$  and  $d = 45$  ( $18 * 2 + 9$ )

# Binary multiplication

## Binary algorithm:

$d := 0$

for  $i := n$  downto 1 do:

**if**  $b_i$  **then**  $d := 2 * d + a$

**else**  $d := 2 * d$

Example:  $9 * 11$  (01011)

- $d = 0$
- $i = 5$  and  $d = 0$  ( $0 * 2$ )
- $i = 4$  and  $d = 9$  ( $0 * 2 + 9$ )
- $i = 3$  and  $d = 18$  ( $9 * 2$ )
- $i = 2$  and  $d = 45$  ( $18 * 2 + 9$ )
- $i = 1$  and  $d = 99$  ( $45 * 2 + 9$ )



# Binary multiplication

$d := 0$

for  $i := n$  downto 1 do:

    if  $b_i$  then  $d := 2 * d + a$

    else  $d := 2 * d$

**Invariant:**  $d = [b_n \cdots b_i] * a$ , so at the end  $d = b * a$

# Binary multiplication

$d := 0$

for  $i := n$  downto 1 do:

    if  $b_i$  then  $d := 2 * d + a$

    else  $d := 2 * d$

**Invariant:**  $d = [b_n \cdots b_i] * a$ , so at the end  $d = b * a$

**Goal:**  $\vec{x} = 2\vec{a}$

# Binary multiplication

$d := 0$

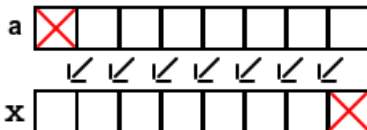
for  $i := n$  downto 1 do:

    if  $b_i$  then  $d := 2 * d + a$

    else  $d := 2 * d$

**Invariant:**  $d = [b_n \cdots b_i] * a$ , so at the end  $d = b * a$

**Goal:**  $\vec{x} = 2\vec{a}$



# Binary multiplication

$d := 0$

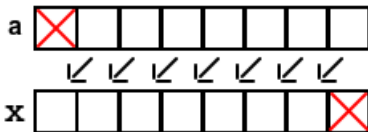
for  $i := n$  downto 1 do:

if  $b_i$  then  $d := 2 * d + a$

else  $d := 2 * d$

**Invariant:**  $d = [b_n \cdots b_i] * a$ , so at the end  $d = b * a$

**Goal:**  $\vec{x} = 2\vec{a}$



**Solution:**

$$\text{dup}(\vec{a}, \vec{x}) = \neg a_n \wedge \neg x_1 \wedge \bigwedge_{i=1}^{n-1} (x_{i+1} \leftrightarrow a_i)$$

# Encoding a variable that changes over time

$d := 0$

for  $i := n$  downto 1 do:

    if  $b_i$  then  $d := 2 * d + a$

    else  $d := 2 * d$

**In every step,  $d$  changes!**

# Encoding a variable that changes over time

$d := 0$

for  $i := n$  downto 1 do:

    if  $b_i$  then  $d := 2 * d + a$

    else  $d := 2 * d$

**In every step,  $d$  changes!**

**Solution:** introduce boolean variables  $\vec{r}_i$  for  $i \in \{0, \dots, n\}$ .

# Encoding a variable that changes over time

$d := 0$

for  $i := n$  downto 1 do:

    if  $b_i$  then  $d := 2 * d + a$

    else  $d := 2 * d$

**In every step,  $d$  changes!**

**Solution:** introduce boolean variables  $\vec{r}_i$  for  $i \in \{0, \dots, n\}$ .

That is: for  $i \in \{0, \dots, n\}, j \in \{1, \dots, n\}$ , we introduce a boolean variable  $r_{i,j}$ .

# Encoding a variable that changes over time

$d := 0$

for  $i := n$  downto 1 do:

    if  $b_i$  then  $d := 2 * d + a$

    else  $d := 2 * d$

**In every step,  $d$  changes!**

**Solution:** introduce boolean variables  $\vec{r}_i$  for  $i \in \{0, \dots, n\}$ .

That is: for  $i \in \{0, \dots, n\}, j \in \{1, \dots, n\}$ , we introduce a boolean variable  $r_{i,j}$ .

Also introduce  $\vec{s}_i$  for  $i \in \{1, \dots, n\}$ .



# Encoding a variable that changes over time

$d := 0$

for  $i := n$  downto 1 do:

    if  $b_i$  then  $d := 2 * d + a$

    else  $d := 2 * d$

**In every step,  $d$  changes!**

**Solution:** introduce boolean variables  $\vec{r}_i$  for  $i \in \{0, \dots, n\}$ .

That is: for  $i \in \{0, \dots, n\}, j \in \{1, \dots, n\}$ , we introduce a boolean variable  $r_{i,j}$ .

Also introduce  $\vec{s}_i$  for  $i \in \{1, \dots, n\}$ .

We will use  $\vec{s}$  to represent  $2 * \vec{r}$ .

# Encoding a variable that changes over time

**Solution:** introduce boolean variables  $\vec{r}_i$  for  $i \in \{0, \dots, n\}$ .

That is: for  $i \in \{0, \dots, n\}, j \in \{1, \dots, n\}$ , we introduce a boolean variable  $r_{i,j}$ .

Also introduce  $\vec{s}_i$  for  $i \in \{1, \dots, n\}$ .

We will use  $\vec{s}$  to represent  $2 * \vec{r}$ .

**Updated algorithm:**

$$\vec{r}_n = 0$$

for  $i := n$  downto 1 do:

$$\vec{s}_i = 2 * \vec{r}_i$$

$$\text{if } b_i \text{ then } \vec{r}_{i-1} = \vec{s}_i + \vec{a}$$

$$\text{else } \vec{r}_{i-1} = \vec{s}_i$$

## Bringing it all together: multiplication

The requirement

$$\vec{a} * \vec{b} = \vec{r}_0$$

is now described by the formula:

# Bringing it all together: multiplication

The requirement

$$\vec{a} * \vec{b} = \vec{r}_0$$

is now described by the formula:

$$\text{mul}(\vec{a}, \vec{b}, \vec{r}_0) =$$

$$\bigwedge_{j=1}^n \neg r_{nj} \wedge \bigwedge_{i=1}^n \left( \begin{array}{c} \text{dup}(\vec{r}_i, \vec{s}_i) \\ \wedge \\ b_i \rightarrow \text{plus}(\vec{a}, \vec{s}_i, \vec{r}_{i-1}) \\ \wedge \\ \neg b_i \rightarrow \bigwedge_{j=1}^n (s_{ij} \leftrightarrow r_{(i-1)j}) \end{array} \right)$$

$$\vec{r}_n := 0$$

for  $i := n$  downto 1:

$$\vec{s}_i = 2 * \vec{r}_i ;$$

if  $b_i$  then

$$\vec{r}_{i-1} = \vec{s}_i + \vec{a} ;$$

$$\text{else } \vec{r}_{i-1} = \vec{s}_i ;$$

# Factorisation

**Challenge:** Is 1234567891 prime? And 1234567897?

# Factorisation

**Challenge:** Is 1234567891 prime? And 1234567897?

Define

$$\text{fac}(r) = \text{mul}(a, b, r) \wedge a > 1 \wedge b > 1$$

# Factorisation

**Challenge:** Is 1234567891 prime? And 1234567897?

Define

$$\text{fac}(r) = \text{mul}(a, b, r) \wedge a > 1 \wedge b > 1$$

**Answers:**

- $\text{fac}(1234567891)$  is unsatisfiable, so 1234567891 is prime.  
Found by `minisat` or `yices` within 1 minute.

# Factorisation

**Challenge:** Is 1234567891 prime? And 1234567897?

Define

$$\text{fac}(r) = \text{mul}(a, b, r) \wedge a > 1 \wedge b > 1$$

**Answers:**

- $\text{fac}(1234567891)$  is unsatisfiable, so 1234567891 is prime.  
Found by `minisat` or `yices` within 1 minute.
- $\text{fac}(1234567897)$  is satisfiable, yielding

$$1234567897 = 1241 \times 994817$$

found by `minisat` or `yices` within 1 second.



Binary Arithmetic  
○○○○○○○○○○

**Unary arithmetic**  
●○○

Program verification  
○○○○○○○○

Tseitin transformation  
○○○○○○○

Pigeonhole formulas  
○○○

Other  
○○

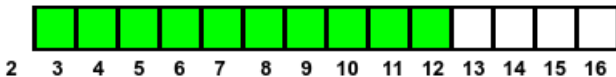
# Unary arithmetic in proposition logic

# Unary arithmetic in proposition logic

**Idea:** implement a number in the range  $i..j$  by  $j - i$  booleans.

# Unary arithmetic in proposition logic

**Idea:** implement a number in the range  $i..j$  by  $j - i$  booleans.



# Unary arithmetic in proposition logic

**Idea:** implement a number in the range  $i..j$  by  $j - i$  booleans.



Boolean variables  $x_{i+1}, \dots, x_j$ .

# Unary arithmetic in proposition logic

**Idea:** implement a number in the range  $i..j$  by  $j - i$  booleans.



Boolean variables  $x_{i+1}, \dots, x_j$ .

Boolean variable  $x_k$  represents:  $\vec{x} \geq k$ .

# Unary arithmetic in proposition logic

Idea: implement a number in the range  $i..j$  by  $j - i$  booleans.



Boolean variables  $x_{i+1}, \dots, x_j$ .

Boolean variable  $x_k$  represents:  $\vec{x} \geq k$ .

Well-definedness condition:

$$\bigwedge_{k=i+2}^j x_k \rightarrow x_{k-1}$$

# Unary addition

Given:  $\vec{a} \in \{a_{\min}..a_{\max}\}$  and  $\vec{b} \in \{b_{\min}..b_{\max}\}$ .

How to express  $\vec{c} = \vec{a} + \vec{b}$ ?

# Unary addition

Given:  $\vec{a} \in \{a_{\min}..a_{\max}\}$  and  $\vec{b} \in \{b_{\min}..b_{\max}\}$ .

How to express  $\vec{c} = \vec{a} + \vec{b}$ ?

Note:  $c_{\min} = a_{\min} + b_{\min}$  and  $c_{\max} = a_{\max} + b_{\max}$ .



# Unary addition

Given:  $\vec{a} \in \{a_{\min}..a_{\max}\}$  and  $\vec{b} \in \{b_{\min}..b_{\max}\}$ .

How to express  $\vec{c} = \vec{a} + \vec{b}$ ?

Note:  $c_{\min} = a_{\min} + b_{\min}$  and  $c_{\max} = a_{\max} + b_{\max}$ .

$$\bigwedge_{i=a_{\min}}^{a_{\max}} \bigwedge_{j=b_{\min}}^{b_{\max}} \vec{a} \geq i \wedge \vec{b} \geq j \rightarrow \vec{c} \geq i + j$$

$$\bigwedge_{i=a_{\min}}^{a_{\max}} \bigwedge_{j=b_{\min}}^{b_{\max}} \vec{a} \leq i \wedge \vec{b} \leq j \rightarrow \vec{c} \leq i + j$$

# Unary addition

Given:  $\vec{a} \in \{a_{\min}..a_{\max}\}$  and  $\vec{b} \in \{b_{\min}..b_{\max}\}$ .

How to express  $\vec{c} = \vec{a} + \vec{b}$ ?

Note:  $c_{\min} = a_{\min} + b_{\min}$  and  $c_{\max} = a_{\max} + b_{\max}$ .

$$\bigwedge_{i=a_{\min}}^{a_{\max}} \bigwedge_{j=b_{\min}}^{b_{\max}} a_i \wedge b_j \rightarrow c_{i+j}$$

$$\bigwedge_{i=a_{\min}}^{a_{\max}} \bigwedge_{j=b_{\min}}^{b_{\max}} \neg a_{i+1} \wedge \neg b_{j+1} \rightarrow \neg c_{i+j+1}$$

(defining  $a_{a_{\min}} = \top$  and  $a_{a_{\max}+1} = \perp$ ; similar for  $b$  and  $c$ )

# Unary addition

Given:  $\vec{a} \in \{a_{\min}..a_{\max}\}$  and  $\vec{b} \in \{b_{\min}..b_{\max}\}$ .

How to express  $\vec{c} = \vec{a} + \vec{b}$ ?

Note:  $c_{\min} = a_{\min} + b_{\min}$  and  $c_{\max} = a_{\max} + b_{\max}$ .

$$\bigwedge_{i=a_{\min}}^{a_{\max}} \bigwedge_{j=b_{\min}}^{b_{\max}} \neg a_i \vee \neg b_j \vee c_{i+j}$$

$$\bigwedge_{i=a_{\min}}^{a_{\max}} \bigwedge_{j=b_{\min}}^{b_{\max}} a_{i+1} \vee b_{j+1} \vee \neg c_{i+j+1}$$

(defining  $a_{a_{\min}} = \top$  and  $a_{a_{\max}+1} = \perp$ ; similar for  $b$  and  $c$ )

Binary Arithmetic  
○○○○○○○○○○

Unary arithmetic  
○○●

Program verification  
○○○○○○○○

Tseitin transformation  
○○○○○○○

Pigeonhole formulas  
○○○

Other  
○○

# Binary versus unary arithmetic

## Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

# Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

	<b>binary</b>	<b>unary</b>
number variables to represent $i$	$\log(n)$	$n$

# Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

	<b>binary</b>	<b>unary</b>
number variables to represent $i$	$\log(n)$	$n$
size of CNF formula for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	$\mathcal{O}(n * m)$

# Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

	<b>binary</b>	<b>unary</b>
number variables to represent $i$	$\log(n)$	$n$
size of CNF formula for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	$\mathcal{O}(n * m)$
size of CNF formula for $i + 1$	$\mathcal{O}(\log(n))$	$\mathcal{O}(n)$



# Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

	<b>binary</b>	<b>unary</b>
number variables to represent $i$	$\log(n)$	$n$
size of CNF formula for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	$\mathcal{O}(n * m)$
size of CNF formula for $i + 1$	$\mathcal{O}(\log(n))$	$\mathcal{O}(n)$
constant in $\mathcal{O}$ is roughly	20–30	2

# Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

	<b>binary</b>	<b>unary</b>
number variables to represent $i$	$\log(n)$	$n$
size of CNF formula for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	$\mathcal{O}(n * m)$
size of CNF formula for $i + 1$	$\mathcal{O}(\log(n))$	$\mathcal{O}(n)$
constant in $\mathcal{O}$ is roughly	20–30	2
number extra variables for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	0

# Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

	binary	unary
number variables to represent $i$	$\log(n)$	$n$
size of CNF formula for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	$\mathcal{O}(n * m)$
size of CNF formula for $i + 1$	$\mathcal{O}(\log(n))$	$\mathcal{O}(n)$
constant in $\mathcal{O}$ is roughly	20–30	2
number extra variables for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	0
easy for SAT solvers	NO	YES

# Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

	binary	unary
number variables to represent $i$	$\log(n)$	$n$
size of CNF formula for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	$\mathcal{O}(n * m)$
size of CNF formula for $i + 1$	$\mathcal{O}(\log(n))$	$\mathcal{O}(n)$
constant in $\mathcal{O}$ is roughly	20–30	2
number extra variables for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	0
easy for SAT solvers	NO	YES

**Experience:** unary is useful for **small** numbers! ( $\leq 50 - 100$ )

## Binary versus unary arithmetic

Let  $i \in \{0..n\}, j \in \{0..m\}$ .

	binary	unary
number variables to represent $i$	$\log(n)$	$n$
size of CNF formula for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	$\mathcal{O}(n * m)$
size of CNF formula for $i + 1$	$\mathcal{O}(\log(n))$	$\mathcal{O}(n)$
constant in $\mathcal{O}$ is roughly	20–30	2
number extra variables for $i + j$	$\mathcal{O}(\log(\max(n, m)))$	0
easy for SAT solvers	NO	YES

**Experience:** unary is useful for **small** numbers! ( $\leq 50 - 100$ )

Numbers like this occur in many practical problems.

# Expressing programs in SAT

**Goal:** express *simple* integer programs in Boolean logic.

# Expressing programs in SAT

**Goal:** express *simple* integer programs in Boolean logic.

**Limitation:** programs with a *fixed* (or *bounded*) number of assignments.

# Expressing programs in SAT

**Goal:** express *simple* integer programs in Boolean logic.

**Limitation:** programs with a *fixed* (or *bounded*) number of assignments.

**Basic idea:**

- Integer variables: use binary notation



# Expressing programs in SAT

**Goal:** express *simple* integer programs in Boolean logic.

**Limitation:** programs with a *fixed* (or *bounded*) number of assignments.

**Basic idea:**

- Integer variables: use binary notation
- For every step  $i$  in the program, introduce a copy  $x_i$  of every boolean variable  $x$ .

# Expressing programs in SAT

**Goal:** express *simple* integer programs in Boolean logic.

**Limitation:** programs with a *fixed* (or *bounded*) number of assignments.

**Basic idea:**

- Integer variables: use binary notation
- For every step  $i$  in the program, introduce a copy  $x_i$  of every boolean variable  $x$ .
- $a := b$  in step  $i$  can be expressed as:

$$(a_{i+1} \leftrightarrow b_i) \wedge \bigwedge_c (c_{i+1} \leftrightarrow c_i)$$

where  $c$  ranges over all variables  $\neq a$ .

# Expressing programs in SAT

**Goal:** express *simple* integer programs in Boolean logic.

**Limitation:** programs with a *fixed* (or *bounded*) number of assignments.

**Basic idea:**

- Integer variables: use binary notation
- For every step  $i$  in the program, introduce a copy  $x_i$  of every boolean variable  $x$ .
- $a := b$  in step  $i$  can be expressed as:

$$(a_{i+1} \leftrightarrow b_i) \wedge \bigwedge_c (c_{i+1} \leftrightarrow c_i)$$

where  $c$  ranges over all variables  $\neq a$ .

- For-loops `for i := 1 to m do X` are treated as  $m$  copies of  $X$ .

# Program correctness by SAT

**Goal:** proving a property about a program.

# Program correctness by SAT

**Goal:** proving a property about a program.

Typically given by a **Hoare triple**:

$$\{P\}S\{Q\}$$

# Program correctness by SAT

**Goal:** proving a property about a program.

Typically given by a **Hoare triple**:

$$\{P\}S\{Q\}$$

Here

- $S$  is the program;
- $P$  is the **precondition**;
- $Q$  is the **postcondition**.

# Program correctness by SAT

**Goal:** proving a property about a program.

Typically given by a **Hoare triple**:

$$\{P\}S\{Q\}$$

Here

- $S$  is the program;
- $P$  is the **precondition**;
- $Q$  is the **postcondition**.

For proving  $\{P\}S\{Q\}$ , add the formula

$$P_0 \wedge \neg Q_m$$

and prove that the resulting formula is unsatisfiable.

# Program correctness by SAT – example

Given: a boolean array  $a[1..m]$ .



# Program correctness by SAT – example

Given: a boolean array  $a[1..m]$ .

**CLAIM:** After doing

for  $j := 1$  to  $m - 1$  do  $a[j + 1] := a[j]$

# Program correctness by SAT – example

Given: a boolean array  $a[1..m]$ .

**CLAIM:** After doing

for  $j := 1$  to  $m - 1$  do  $a[j + 1] := a[j]$

we have

$$\underbrace{a[1] = a[m]}_{\text{postcondition}}$$

# Program correctness by SAT – example

Given: a boolean array  $a[1..m]$ .

**CLAIM:** After doing

for  $j := 1$  to  $m - 1$  do  $a[j + 1] := a[j]$

we have

$$\underbrace{a[1] = a[m]}_{\text{postcondition}}$$

**PROOF:** let  $a_{ij}$  represent the value  $a[i]$  after  $j$  iterations.

## Program correctness by SAT – example

Given: a boolean array  $a[1..m]$ .

**CLAIM:** After doing

for  $j := 1$  to  $m - 1$  do  $a[j + 1] := a[j]$

we have

$$\underbrace{a[1] = a[m]}_{\text{postcondition}}$$

**PROOF:** let  $a_{ij}$  represent the value  $a[i]$  after  $j$  iterations.

Semantics of the  $j$ th iteration:

$$(a_{j+1,j} \leftrightarrow a_{j,j-1}) \wedge \bigwedge_{i \in \{1, \dots, m\}, i \neq j+1} (a_{ij} \leftrightarrow a_{i,j-1})$$

# Program correctness by SAT – example

Given: a boolean array  $a[1..m]$ .

**CLAIM:** After doing

for  $j := 1$  to  $m - 1$  do  $a[j + 1] := a[j]$

we have

$$\underbrace{a[1] = a[m]}_{\text{postcondition}}$$

**PROOF:** let  $a_{ij}$  represent the value  $a[i]$  after  $j$  iterations.

Semantics of the  $j$ th iteration:

$$(a_{j+1,j} \leftrightarrow a_{j,j-1}) \wedge \bigwedge_{i \in \{1, \dots, m\}, i \neq j+1} (a_{ij} \leftrightarrow a_{i,j-1})$$

Negation of the postcondition:  $\neg(a_{1,m-1} \leftrightarrow a_{m,m-1})$

# Expressing more elaborate programs in SAT

Slightly less basic idea:

# Expressing more elaborate programs in SAT

## Slightly less basic idea:

- *computations*: for a variable update  $x := e$  in step  $i$ :
  - if  $e$  is another variable:  $\bigwedge_{j=1}^n x_{ij} \leftrightarrow e_{(i-1)j}$
  - if  $e = y + z$ :  $\text{plus}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = y * z$ :  $\text{mul}(y_{i-1}, z_{i-1}, x_i)$

# Expressing more elaborate programs in SAT

## Slightly less basic idea:

- *computations*: for a variable update  $x := e$  in step  $i$ :
  - if  $e$  is another variable:  $\bigwedge_{j=1}^n x_{ij} \leftrightarrow e_{(i-1)j}$
  - if  $e = y + z$ :  $\text{plus}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = y * z$ :  $\text{mul}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = a + b * c$ :  $\text{mul}(b_{i-1}, c_{i-1}, \text{tmp}) \wedge \text{plus}(a_{i-1}, \text{tmp}, x_i)$
  - ...



# Expressing more elaborate programs in SAT

## Slightly less basic idea:

- *computations*: for a variable update  $x := e$  in step  $i$ :
  - if  $e$  is another variable:  $\bigwedge_{j=1}^n x_{ij} \leftrightarrow e_{(i-1)j}$
  - if  $e = y + z$ :  $\text{plus}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = y * z$ :  $\text{mul}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = a + b * c$ :  $\text{mul}(b_{i-1}, c_{i-1}, \text{tmp}) \wedge \text{plus}(a_{i-1}, \text{tmp}, x_i)$
  - ...
- *conditions*: introduce other formulas like “equal”, “smaller”

# Expressing more elaborate programs in SAT

## Slightly less basic idea:

- *computations*: for a variable update  $x := e$  in step  $i$ :
  - if  $e$  is another variable:  $\bigwedge_{j=1}^n x_{ij} \leftrightarrow e_{(i-1)j}$
  - if  $e = y + z$ :  $\text{plus}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = y * z$ :  $\text{mul}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = a + b * c$ :  $\text{mul}(b_{i-1}, c_{i-1}, \text{tmp}) \wedge \text{plus}(a_{i-1}, \text{tmp}, x_i)$
  - ...
- *conditions*: introduce other formulas like “equal”, “smaller”
- *branching*: for `if cond then P else Q`:

# Expressing more elaborate programs in SAT

## Slightly less basic idea:

- *computations*: for a variable update  $x := e$  in step  $i$ :
  - if  $e$  is another variable:  $\bigwedge_{j=1}^n x_{ij} \leftrightarrow e_{(i-1)j}$
  - if  $e = y + z$ :  $\text{plus}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = y * z$ :  $\text{mul}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = a + b * c$ :  $\text{mul}(b_{i-1}, c_{i-1}, \text{tmp}) \wedge \text{plus}(a_{i-1}, \text{tmp}, x_i)$
  - ...
- *conditions*: introduce other formulas like “equal”, “smaller”
- *branching*: for `if cond then P else Q`:
  - add `skip` statements to  $P$  or  $Q$  to make them equally long

# Expressing more elaborate programs in SAT

## Slightly less basic idea:

- *computations*: for a variable update  $x := e$  in step  $i$ :
  - if  $e$  is another variable:  $\bigwedge_{j=1}^n x_{ij} \leftrightarrow e_{(i-1)j}$
  - if  $e = y + z$ :  $\text{plus}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = y * z$ :  $\text{mul}(y_{i-1}, z_{i-1}, x_i)$
  - if  $e = a + b * c$ :  $\text{mul}(b_{i-1}, c_{i-1}, \text{tmp}) \wedge \text{plus}(a_{i-1}, \text{tmp}, x_i)$
  - ...
- *conditions*: introduce other formulas like “equal”, “smaller”
- *branching*: for `if cond then P else Q`:
  - add `skip` statements to  $P$  or  $Q$  to make them equally long
  - let  $P$  encode to  $\varphi$  and  $Q$  to  $\psi$ ;  
add requirements  $(\text{cond} \rightarrow \varphi) \wedge (\neg \text{cond} \rightarrow \psi)$

## Program correctness by SAT – example

**CLAIM:** After doing

# Program correctness by SAT – example

**CLAIM:** After doing

$a := 0;$

for  $i := 1$  to  $m$  do  $a := a + k$

# Program correctness by SAT – example

**CLAIM:** After doing

$a := 0;$

for  $i := 1$  to  $m$  do  $a := a + k$

we have  $a = m * k$ .

# Program correctness by SAT – example

**CLAIM:** After doing

$a := 0;$

for  $i := 1$  to  $m$  do  $a := a + k$

we have  $a = m * k$ .

**PROOF:** we need **unsatisfiability** of:



# Program correctness by SAT – example

**CLAIM:** After doing

$a := 0;$   
for  $i := 1$  to  $m$  do  $a := a + k$

we have  $a = m * k$ .

**PROOF:** we need **unsatisfiability** of:

$$\bigwedge_{j=1}^n \neg a_{0,j} \wedge \bigwedge_{i=0}^{m-1} \text{plus}(\vec{a}_i, \vec{k}, \vec{a}_{i+1}) \wedge \\ \neg \text{mul}(\vec{[m]}, \vec{k}, \vec{a}_m)$$

where  $\vec{[m]}$  is the binary encoding of number  $m$ .

# A more complicated program correctness example

```
ret := 0
for i := 20 to 30 do
  if i < x then
    ret := ret + i
  x := x + 1
```

# A more complicated program correctness example

**Claim:** if  $\underbrace{x < 20}_{\text{pre-condition}}$  at the start of the program,  
then  $\underbrace{\text{ret} = 0}_{\text{post-condition}}$  at the end.

```
ret := 0
for i := 20 to 30 do
  if i < x then
    ret := ret + i
x := x + 1
```

# A more complicated program correctness example

**Claim:** if  $\underbrace{x < 20}_{\text{pre-condition}}$  at the start of the program,  
then  $\underbrace{\text{ret} = 0}_{\text{post-condition}}$  at the end.

```
ret := 0
for i := 20 to 30 do
  if i < x then
    ret := ret + i
  else
    skip
  x := x + 1
```

# A more complicated program correctness example

**Claim:** if  $\underbrace{x < 20}_{\text{pre-condition}}$  at the start of the program,  
then  $\underbrace{\text{ret} = 0}_{\text{post-condition}}$  at the end.

```
(1)          ret := 0
              for i := 20 to 30 do
                  if i < x then
(2 (i-19))    ret := ret + i
              else
(2 (i-19))    skip
(2 (i-19)+1)  x := x + 1
```

# A more complicated program correctness example

**Claim:** if  $\underbrace{x < 20}_{\text{pre-condition}}$  at the start of the program,  
then  $\underbrace{\text{ret} = 0}_{\text{post-condition}}$  at the end.

```
(1)          ret := 0
              for i := 20 to 30 do
                  if i < x then
(2 (i-19))    ret := ret + i
              else
(2 (i-19))    skip
(2 (i-19)+1)  x := x + 1
```

**Total steps:** 23

# A more complicated program correctness example

$$\begin{array}{ll}
 \text{smaller}(\vec{x}_0, [\vec{20}]) & \wedge \text{ pre-condition} \\
 \neg \text{equal}(\vec{r}_{23}, [\vec{0}]) & \wedge \text{ post-condition} \\
 \text{equal}(\vec{r}_1, [\vec{0}]) & \wedge (1) \text{ ret} := 0 \\
 \text{equal}(\vec{x}_1, \vec{x}_0) & \wedge \\
 \bigwedge_{i=20}^{30} \text{smaller}([\vec{i}], \vec{x}_{2(i-20)+1}) \rightarrow & (2(i-19)) \text{ ret} := \\
 \text{plus}(r_{2(i-20)+1}, [\vec{i}], r_{2(i-19)}) & \wedge \text{ ret} + i \\
 \bigwedge_{i=20}^{30} \text{smaller}([\vec{i}], \vec{x}_{2(i-20)+1}) \rightarrow & \\
 \text{equal}(x_{2(i-20)+1}, x_{2(i-19)}) & \wedge \\
 \bigwedge_{i=20}^{30} \neg \text{smaller}([\vec{i}], \vec{x}_{2(i-20)+1}) \rightarrow & (2(i-19)) \text{ skip} \\
 \text{equal}(r_{2(i-20)+1}, r_{2(i-19)}) & \wedge \\
 \bigwedge_{i=20}^{30} \neg \text{smaller}([\vec{i}], \vec{x}_{2(i-20)+1}) \rightarrow & \\
 \text{equal}(x_{2(i-20)+1}, x_{2(i-19)}) & \wedge \\
 \bigwedge_{i=20}^{30} \text{plus}(\vec{x}_{2(i-19)}, [\vec{1}], \vec{x}_{2(i-19)+1}) & (2(i-19)+1) \text{ x} := \text{x} + 1
 \end{array}$$

## Program correctness summary

**Overall:** a rich class of imperative programs is covered.



## Program correctness summary

**Overall:** a rich class of imperative programs is covered.

SAT versus SMT: bounded or unbounded integers.

# The need for CNF

So far:

# The need for CNF

So far:

- SAT solvers can handle **CNF** as input format.

# The need for CNF

So far:

- SAT solvers can handle **CNF** as input format.
- However: a lot of  $\leftrightarrow$  in this lecture...

# The need for CNF

So far:

- SAT solvers can handle **CNF** as input format.
- However: a lot of  $\leftrightarrow$  in this lecture...

**Need:** transform arbitrary boolean formulas to CNF.

# The need for CNF

So far:

- SAT solvers can handle **CNF** as input format.
- However: a lot of  $\leftrightarrow$  in this lecture...

**Need:** transform arbitrary boolean formulas to CNF.

**Straightforward approach:** transform the proposition to a logically equivalent CNF.

# The need for CNF

So far:

- SAT solvers can handle **CNF** as input format.
- However: a lot of  $\leftrightarrow$  in this lecture...

**Need:** transform arbitrary boolean formulas to CNF.

**Straightforward approach:** transform the proposition to a logically equivalent CNF.

*every 0 in the truth table yields a clause*  
*proposition  $\equiv$  conjunction of these clauses*

# The need for CNF

So far:

- SAT solvers can handle **CNF** as input format.
- However: a lot of  $\leftrightarrow$  in this lecture...

**Need:** transform arbitrary boolean formulas to CNF.

**Straightforward approach:** transform the proposition to a logically equivalent CNF.

*every 0 in the truth table yields a clause*  
*proposition  $\equiv$  conjunction of these clauses*

**However:** this is worst-case exponential.



Binary Arithmetic  
○○○○○○○○○○

Unary arithmetic  
○○○

Program verification  
○○○○○○○○

Tseitin transformation  
○●○○○○○

Pigeonhole formulas  
○○○

Other  
○○

# Formulas whose CNF is always large

Consider:

# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

**Claim:** for every CNF  $B$  satisfying  $A \equiv B$ :  
every clause  $C$  in  $B$  contains exactly  $n$  literals.

# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

**Claim:** for every CNF  $B$  satisfying  $A \equiv B$ :  
every clause  $C$  in  $B$  contains exactly  $n$  literals.

**Proof:** if not, there is some clause  $C$  in  $B$  missing  $p_i$ .

# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

**Claim:** for every CNF  $B$  satisfying  $A \equiv B$ :  
every clause  $C$  in  $B$  contains exactly  $n$  literals.

**Proof:** if not, there is some clause  $C$  in  $B$  missing  $p_i$ .

Give values to the remaining variables to make  $C$  false. Then,  $B$  is false.

# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

**Claim:** for every CNF  $B$  satisfying  $A \equiv B$ :  
every clause  $C$  in  $B$  contains exactly  $n$  literals.

**Proof:** if not, there is some clause  $C$  in  $B$  missing  $p_i$ .

Give values to the remaining variables to make  $C$  false. Then,  $B$  is false.

Now give a value to  $p_i$  such that  $A$  yields *true*.

# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

**Claim:** for every CNF  $B$  satisfying  $A \equiv B$ :  
every clause  $C$  in  $B$  contains exactly  $n$  literals.

**Proof:** if not, there is some clause  $C$  in  $B$  missing  $p_i$ .

Give values to the remaining variables to make  $C$  false. Then,  $B$  is false.

Now give a value to  $p_i$  such that  $A$  yields *true*.

Contradiction with  $A \equiv B$ .  $\square$

# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

**Claim:** for every CNF  $B$  satisfying  $A \equiv B$ :  
every clause  $C$  in  $B$  contains exactly  $n$  literals.

**Proof:** if not, there is some clause  $C$  in  $B$  missing  $p_i$ .

Give values to the remaining variables to make  $C$  false. Then,  $B$  is false.

Now give a value to  $p_i$  such that  $A$  yields *true*.

Contradiction with  $A \equiv B$ .  $\square$

**#Clauses in  $B$ :** number of 0 entries in the truth table.



# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

**Claim:** for every CNF  $B$  satisfying  $A \equiv B$ :  
every clause  $C$  in  $B$  contains exactly  $n$  literals.

**Proof:** if not, there is some clause  $C$  in  $B$  missing  $p_i$ .

Give values to the remaining variables to make  $C$  false. Then,  $B$  is false.

Now give a value to  $p_i$  such that  $A$  yields *true*.

Contradiction with  $A \equiv B$ .  $\square$

**#Clauses in  $B$ :** number of 0 entries in the truth table. ( $2^{n-1}$ )

# Formulas whose CNF is always large

Consider:

$$A : (\cdots ((p_1 \leftrightarrow p_2) \leftrightarrow p_3) \cdots \leftrightarrow p_n)$$

True  $\leftrightarrow$  an even number of  $p_i$ 's has the value *false*.

**Claim:** for every CNF  $B$  satisfying  $A \equiv B$ :  
every clause  $C$  in  $B$  contains exactly  $n$  literals.

**Proof:** if not, there is some clause  $C$  in  $B$  missing  $p_i$ .

Give values to the remaining variables to make  $C$  false. Then,  $B$  is false.

Now give a value to  $p_i$  such that  $A$  yields *true*.

Contradiction with  $A \equiv B$ .  $\square$

**#Clauses in  $B$ :** number of 0 entries in the truth table.  $(2^{n-1})$

$\Rightarrow$  Any CNF  $B$  equivalent to  $A$  has size exponential in  $|A|$ .

# Alternatives to an equivalent CNF

**Goal:** given proposition  $A$ , find CNF  $B$  such that:

# Alternatives to an equivalent CNF

**Goal:** given proposition  $A$ , find CNF  $B$  such that:

- $A$  and  $B$  are **equi-satisfiable**;

# Alternatives to an equivalent CNF

**Goal:** given proposition  $A$ , find CNF  $B$  such that:

- $A$  and  $B$  are **equi-satisfiable**;
- the size of  $B$  is **linear** in the size of  $A$ .

# Alternatives to an equivalent CNF

**Goal:** given proposition  $A$ , find CNF  $B$  such that:

- $A$  and  $B$  are **equi-satisfiable**;
- the size of  $B$  is **linear** in the size of  $A$ .

**Difference:** we allow  $B$  to contain **extra variables**.

# Alternatives to an equivalent CNF

**Goal:** given proposition  $A$ , find CNF  $B$  such that:

- $A$  and  $B$  are **equi-satisfiable**;
- the size of  $B$  is **linear** in the size of  $A$ .

**Difference:** we allow  $B$  to contain **extra variables**.

This will result in the **Tseitin transformation**.

# Tseitin Transformation: basics

**Define:** for specific (small)  $D$ , let  $cnf(D) \equiv D$ :



# Tseitin Transformation: basics

**Define:** for specific (small)  $D$ , let  $cnf(D) \equiv D$ :

$$\begin{aligned} cnf(p \leftrightarrow \neg q) &= (p \vee q) \\ &\quad \wedge (\neg p \vee \neg q) \end{aligned}$$

# Tseitin Transformation: basics

**Define:** for specific (small)  $D$ , let  $cnf(D) \equiv D$ :

$$cnf(p \leftrightarrow \neg q) = (p \vee q) \\ \wedge (\neg p \vee \neg q)$$

$$cnf(p \leftrightarrow (q \wedge r)) = (p \vee \neg q \vee \neg r) \\ \wedge (\neg p \vee q) \\ \wedge (\neg p \vee r)$$

# Tseitin Transformation: basics

**Define:** for specific (small)  $D$ , let  $cnf(D) \equiv D$ :

$$\begin{aligned} cnf(p \leftrightarrow \neg q) &= (p \vee q) \\ &\quad \wedge (\neg p \vee \neg q) \end{aligned}$$

$$\begin{aligned} cnf(p \leftrightarrow (q \wedge r)) &= (p \vee \neg q \vee \neg r) \\ &\quad \wedge (\neg p \vee q) \\ &\quad \wedge (\neg p \vee r) \end{aligned}$$

$$\begin{aligned} cnf(p \leftrightarrow (q \vee r)) &= (\neg p \vee q \vee r) \\ &\quad \wedge (p \vee \neg q) \\ &\quad \wedge (p \vee \neg r) \end{aligned}$$

# Tseitin Transformation: basics

**Define:** for specific (small)  $D$ , let  $cnf(D) \equiv D$ :

$$\begin{aligned} cnf(p \leftrightarrow \neg q) &= (p \vee q) \\ &\quad \wedge (\neg p \vee \neg q) \end{aligned}$$

$$\begin{aligned} cnf(p \leftrightarrow (q \wedge r)) &= (p \vee \neg q \vee \neg r) \\ &\quad \wedge (\neg p \vee q) \\ &\quad \wedge (\neg p \vee r) \end{aligned}$$

$$\begin{aligned} cnf(p \leftrightarrow (q \vee r)) &= (\neg p \vee q \vee r) \\ &\quad \wedge (p \vee \neg q) \\ &\quad \wedge (p \vee \neg r) \end{aligned}$$

$$\begin{aligned} cnf(p \leftrightarrow (q \leftrightarrow r)) &= (p \vee q \vee r) \\ &\quad \wedge (p \vee \neg q \vee \neg r) \\ &\quad \wedge (\neg p \vee q \vee \neg r) \\ &\quad \wedge (\neg p \vee \neg q \vee r) \end{aligned}$$

# Tseitin Transformation

Example:  $\neg s \wedge p \leftrightarrow ((q \rightarrow r) \vee p)$

# Tseitin Transformation

**Example:**  $\neg s \wedge p \leftrightarrow ((q \rightarrow r) \vee p)$

**Step 1:** name every non-literal subformula of  $A$  (including  $A$ ).

# Tseitin Transformation

**Example:**  $\neg s \wedge p \leftrightarrow ((q \rightarrow r) \vee p)$

**Step 1:** name every non-literal subformula of  $A$  (including  $A$ ).

For a subformula  $D$  of  $A$  we define:

- $n_D = D$  if  $D$  is a literal
- $n_D = \textit{the name of } D$ , otherwise

# Tseitin Transformation

**Example:**  $\neg s \wedge p \leftrightarrow ((q \rightarrow r) \vee p)$

**Step 1:** name every non-literal subformula of  $A$  (including  $A$ ).

For a subformula  $D$  of  $A$  we define:

- $n_D = D$  if  $D$  is a literal
- $n_D = \textit{the name of } D$ , otherwise

**Step 2:** take the conjunction of:



# Tseitin Transformation

**Example:**  $\neg s \wedge p \leftrightarrow ((q \rightarrow r) \vee p)$

**Step 1:** name every non-literal subformula of  $A$  (including  $A$ ).

For a subformula  $D$  of  $A$  we define:

- $n_D = D$  if  $D$  is a literal
- $n_D = \text{the name of } D$ , otherwise

**Step 2:** take the conjunction of:

- $n_A$

# Tseitin Transformation

**Example:**  $\neg s \wedge p \leftrightarrow ((q \rightarrow r) \vee p)$

**Step 1:** name every non-literal subformula of  $A$  (including  $A$ ).

For a subformula  $D$  of  $A$  we define:

- $n_D = D$  if  $D$  is a literal
- $n_D = \text{the name of } D$ , otherwise

**Step 2:** take the conjunction of:

- $n_A$
- $\text{cnf}(n_D \leftrightarrow \neg n_E)$  for every non-literal subformula  $D$  of the shape  $\neg E$

# Tseitin Transformation

**Example:**  $\neg s \wedge p \leftrightarrow ((q \rightarrow r) \vee p)$

**Step 1:** name every non-literal subformula of  $A$  (including  $A$ ).

For a subformula  $D$  of  $A$  we define:

- $n_D = D$  if  $D$  is a literal
- $n_D = \text{the name of } D$ , otherwise

**Step 2:** take the conjunction of:

- $n_A$
- $\text{cnf}(n_D \leftrightarrow \neg n_E)$  for every non-literal subformula  $D$  of the shape  $\neg E$
- $\text{cnf}(n_D \leftrightarrow (n_E \diamond n_F))$  for every subformula  $D$  of the shape  $E \diamond F$

# Tseitin Transformation

## Theorem

For every propositional formula  $A$  we have:

# Tseitin Transformation

## Theorem

For every propositional formula  $A$  we have:

$A$  is satisfiable if and only if  $T(A)$  is satisfiable.

# Tseitin Transformation

## Theorem

For every propositional formula  $A$  we have:

$A$  is satisfiable if and only if  $T(A)$  is satisfiable.

Proof sketch:

# Tseitin Transformation

## Theorem

For every propositional formula  $A$  we have:

$A$  is satisfiable if and only if  $T(A)$  is satisfiable.

## Proof sketch:

- $\Leftarrow$  A satisfying assignment for  $T(A)$  restricting to the variables from  $A$  yields a satisfying assignment for  $A$ .
- $\Rightarrow$  A satisfying assignment for  $A$  is extended to a satisfying assignment for  $T(A)$  by giving  $n_D$  the value of  $D$  obtained from the satisfying assignment for  $A$ .  $\square$

# Tseitin Transformation summary

For every propositional formula  $A$  we have:



# Tseitin Transformation summary

For every propositional formula  $A$  we have:

- $A$  is satisfiable if and only if  $T(A)$  is satisfiable.

# Tseitin Transformation summary

For every propositional formula  $A$  we have:

- $A$  is satisfiable if and only if  $T(A)$  is satisfiable.
- $T(A)$  contains two types of variables.

# Tseitin Transformation summary

For every propositional formula  $A$  we have:

- $A$  is satisfiable if and only if  $T(A)$  is satisfiable.
- $T(A)$  contains two types of variables.
- The size of  $T(A)$  is linear in the size of  $A$ .

# Tseitin Transformation summary

For every propositional formula  $A$  we have:

- $A$  is satisfiable if and only if  $T(A)$  is satisfiable.
- $T(A)$  contains two types of variables.
- The size of  $T(A)$  is linear in the size of  $A$ .
- $T(A)$  is a **3-CNF**.

# Tseitin Transformation summary

For every propositional formula  $A$  we have:

- $A$  is satisfiable if and only if  $T(A)$  is satisfiable.
- $T(A)$  contains two types of variables.
- The size of  $T(A)$  is linear in the size of  $A$ .
- $T(A)$  is a **3-CNF**.
- To determine satisfiability of  $A$ : run a SAT-solver on  $T(A)$ .

Binary Arithmetic  
○○○○○○○○○○

Unary arithmetic  
○○○

Program verification  
○○○○○○○○

Tseitin transformation  
○○○○○○○

Pigeonhole formulas  
●○○

Other  
○○

## Some particularly difficult formulas

## Some particularly difficult formulas

**Variables:**  $P_{yx}$  for  $y \in \{1, \dots, n\}$  and  $x \in \{1, \dots, n + 1\}$

## Some particularly difficult formulas

**Variables:**  $P_{yx}$  for  $y \in \{1, \dots, n\}$  and  $x \in \{1, \dots, n+1\}$

**Define:**

$$C_n = \bigwedge_{x=1}^{n+1} \left( \bigvee_{y=1}^n P_{yx} \right)$$



## Some particularly difficult formulas

**Variables:**  $P_{yx}$  for  $y \in \{1, \dots, n\}$  and  $x \in \{1, \dots, n+1\}$

**Define:**

$$C_n = \bigwedge_{x=1}^{n+1} \left( \bigvee_{y=1}^n P_{yx} \right)$$

$$R_n = \bigwedge_{y=1}^n \bigwedge_{1 \leq j < k \leq n+1} (\neg P_{yj} \vee \neg P_{yk})$$

## Some particularly difficult formulas

**Variables:**  $P_{yx}$  for  $y \in \{1, \dots, n\}$  and  $x \in \{1, \dots, n+1\}$

**Define:**

$$C_n = \bigwedge_{x=1}^{n+1} \left( \bigvee_{y=1}^n P_{yx} \right)$$

$$R_n = \bigwedge_{y=1}^n \bigwedge_{1 \leq j < k \leq n+1} (\neg P_{yj} \vee \neg P_{yk})$$

$$PF_n = C_n \wedge R_n$$

# Intuition for the pigeonhole formulas

$$\begin{array}{cccc} P_{11} & P_{12} & \cdots & P_{1,n+1} \\ P_{21} & P_{22} & \cdots & P_{2,n+1} \\ \vdots & \vdots & & \vdots \\ P_{n1} & P_{n2} & \cdots & P_{n,n+1} \end{array}$$

# Intuition for the pigeonhole formulas

$$\begin{array}{cccc} P_{11} & P_{12} & \cdots & P_{1,n+1} \\ P_{21} & P_{22} & \cdots & P_{2,n+1} \\ \vdots & \vdots & & \vdots \\ P_{n1} & P_{n2} & \cdots & P_{n,n+1} \end{array}$$

$$C_n = \bigwedge_{x=1}^{n+1} \left( \bigvee_{y=1}^n P_{yx} \right)$$

Validity of  $C_n$ : in every column at least one variable is true.

# Intuition for the pigeonhole formulas

$$\begin{array}{cccc}
 P_{11} & P_{12} & \cdots & P_{1,n+1} \\
 P_{21} & P_{22} & \cdots & P_{2,n+1} \\
 \vdots & \vdots & & \vdots \\
 P_{n1} & P_{n2} & \cdots & P_{n,n+1}
 \end{array}$$

$$C_n = \bigwedge_{x=1}^{n+1} \left( \bigvee_{y=1}^n P_{yx} \right)$$

Validity of  $C_n$ : in every column at least one variable is true.

$$R_n = \bigwedge_{y=1}^n \bigwedge_{1 \leq j < k \leq n+1} (\neg P_{yj} \vee \neg P_{yk})$$

Validity of  $R_n$ : in every row at most one variable is true.

# Pigeonhole formulas

The pigeon hole principle:

*If  $n + 1$  pigeons fly out of a cage having  $n$  holes, then there is at least one hole through which at least two pigeons fly.*

## Pigeonhole formulas

The pigeon hole principle:

*If  $n + 1$  pigeons fly out of a cage having  $n$  holes, then there is at least one hole through which at least two pigeons fly.*

If one arbitrary disjunction is removed from the big conjunction, then the resulting formula is always satisfiable.

## Pigeonhole formulas

The pigeon hole principle:

*If  $n + 1$  pigeons fly out of a cage having  $n$  holes, then there is at least one hole through which at least two pigeons fly.*

If one arbitrary disjunction is removed from the big conjunction, then the resulting formula is always satisfiable.

Proving unsatisfiability of  $PF_n$  automatically is **hard**: typically exponential in  $n$ .



## Pigeonhole formulas

The pigeon hole principle:

*If  $n + 1$  pigeons fly out of a cage having  $n$  holes, then there is at least one hole through which at least two pigeons fly.*

If one arbitrary disjunction is removed from the big conjunction, then the resulting formula is always satisfiable.

Proving unsatisfiability of  $PF_n$  automatically is **hard**: typically exponential in  $n$ .

$PF_n$  and modifications are a good test case for implementations of methods for SAT.

# The practical assignment: SAT or SMT

Remember that you are not limited to DIMACS format!

# The practical assignment: SAT or SMT

Remember that you are not limited to DIMACS format!  
No need to bitblast or implement the Tseitin Transformation.

# The practical assignment: SAT or SMT

Remember that you are not limited to DIMACS format!

No need to bitblast or implement the Tseitin Transformation.

Z3 (and other SAT/SMT-solvers) typically also accept SMT format.

# The practical assignment: SAT or SMT

Remember that you are not limited to DIMACS format!

No need to bitblast or implement the Tseitin Transformation.

Z3 (and other SAT/SMT-solvers) typically also accept SMT format.

**You only need to use the basics.**

# The practical assignment: SAT or SMT

Remember that you are not limited to DIMACS format!

No need to bitblast or implement the Tseitin Transformation.

Z3 (and other SAT/SMT-solvers) typically also accept SMT format.

**You only need to use the basics.**

(Internally, Tseitin Transformation and perhaps bitblasting are done.)

# Quiz

1. Provide a SAT encoding that expresses that  $a + b = c$ , where  $a, b, c$  are all two-bit binary numbers.
2. Provide a SAT encoding that expresses  $a > b$  when  $a, b \in \{0, \dots, 3\}$  are encoded as unary numbers.
3. Why is it sometimes useful to use the unary encoding instead of binary?
4. Use Tseitin's Transformation to give a CNF whose satisfiability is equivalent to:

$$x \leftrightarrow ((y \wedge \neg x) \wedge (z \rightarrow w))$$

5. Why are pigeonhole formulas a good testcase for SAT solvers?