



#### CSEN 604: Databases II

#### Lecture 1

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Acknowledgment: these slides are based on Prof. Garcia-Molina & Prof. Ullman slides accompanying the book: *Database Systems; the Complete Book* 



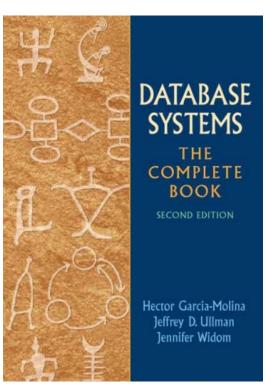
#### **CSEN604**

- Architecture, Data Structures & Algorithms in an RDBMS
- Teaching Assistant:
  - Nader Alexan
  - Mohamed Karam Gabr
- Textbook:

Database Systems: The Complete Book

(2nd Edition)

ISBN-13: 978-0131873254





#### CSEN604 Marking

- 3 Assignments
- 2 Quizzes
- Midterm
- Exam



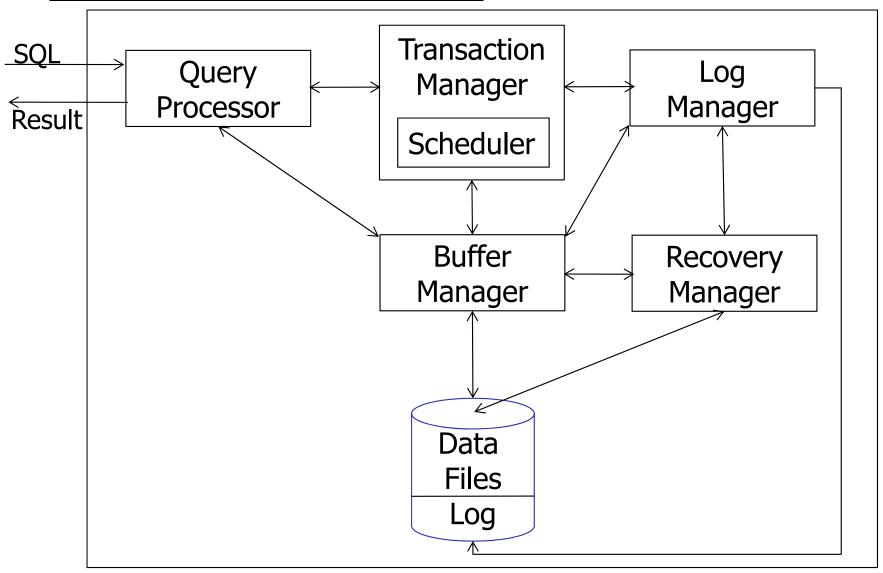


select \*
from table
where
value=x;





#### **DBMS Architecture**





#### **Topics**

- File Organization
- Conventional Indices
- B-trees



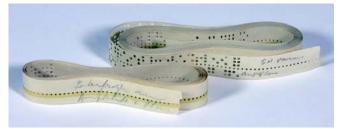
## File Organization

- Goals:
  - Allow insertion/deletions of tuples/records
  - Fetch a particular record (specified by record id)
  - Find all tuples that match a condition (say SSN = 123)?
- Simplest case
  - A relation is mapped to a file
  - A file contains a sequence of records
  - Each record corresponds to a logical tuple
- More Sophisticated approach:
  - A relation is mapped to several files (blocks).
  - A block contains a sequence of records.
  - How are tuples/records stored within a block ?



# Sequential File Organization

- Early databases
- Influenced by tape storage









## Fixed Length Records

- n = number of bytes per record
- Store record *i* at position:

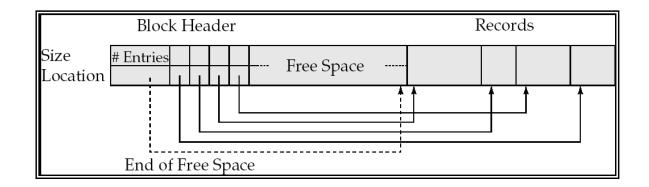
$$- n * (i - 1)$$

- Cons:
  - Wasted space
- Inserting a tuple ?
  - Simply append at the end of the record
- Deleting a tuple ?
  - Rearrange (defrag)

n =		+ 12 +	- <b> </b> 3
record 0	A-102	Perryridge	400
record 1	A-305	Round Hill	350
record 2	A-215	Mianus	700
record 3	A-101	Downtown	500
record 4	A-222	Redwood	700
record 5	A-201	Perryridge	900
record 6	A-217	Brighton	750
record 7	A-110	Downtown	600
record 8	A-218	Perryridge	700



## Variable-length Records



- How?
  - Divide storage into units/pages of equal size (first slotted page structure)
  - Store a record inside a page
  - Headers are used as a indirection mechanism

    E.g. Record ID 1000 is in page number X starting from location Y
  - Pages grow from right to left
- Advantage:
  - The records may move inside the page, but the outside world is oblivious to it



## Big Idea: Sorted Sequential FO

- Ok, we are going to store a file in several pages from now on.
- But, let us Keep the content sorted by some search key

record 0	A-102	Perryridge	400	
record 1	A-305	Round Hill	350	Page 1
record 2	A-215	Mianus	700	
record 3	A-101	Downtown	500	
record 4	A-222	Redwood	700	Page 2
record 5	A-201	Perryridge	900	
record 6	A-217	Brighton	750	
record 7	A-110	Downtown	600	Page 3
record 8	A-218	Perryridge	700	<b>.</b>
				•

350
400
500
600

700 700	600
700	700
	700

700
750
900



## Big Idea: Sorted Sequential FO

- Insertion?
  - Find the page in which the tuple should be
  - If there is free space, insert it
  - Otherwise, must create overflow pages
- Deletions?
  - Delete and keep the free space
  - Databases tend to be insert heavy, so free space gets used fast
- Can become fragmented
  - Must reorganize once in a while
- What if we want to find a particular record by value?



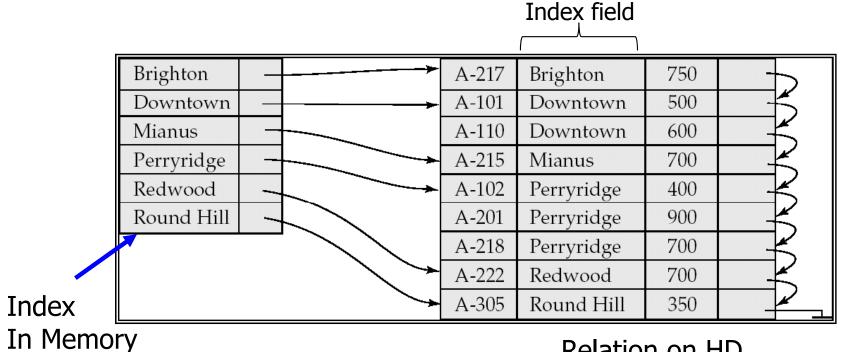
#### Even Better: Indexed FO

- A data structure for efficient search through large databases
- Two key ideas:
  - 1) The pages are mapped to the disk blocks in a specific way
  - 2) Auxiliary data structures are maintained that allow quick search
- Think library index/catalogue
- Search key:
  - Attribute or set of attributes used to look up records



## Primary Index

- Primary (dense) index
  - The relation is sorted on the key of the index
  - Can have only one primary index on a relation
- Note: index is smaller in size
- Additional link tells where is the next record on the HD.

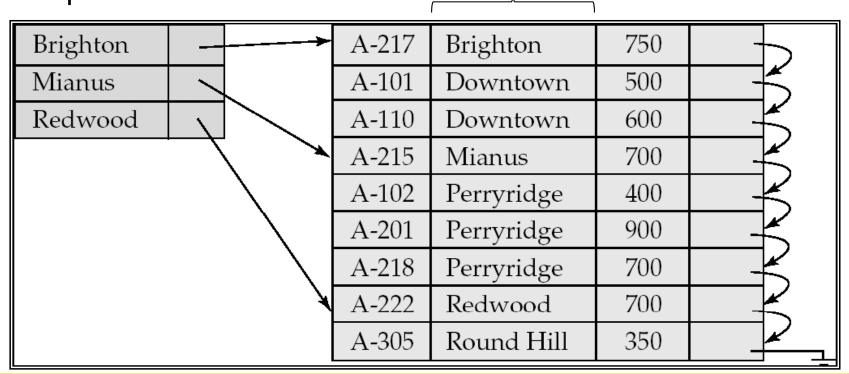


Relation on HD



## Primary Sparse Index

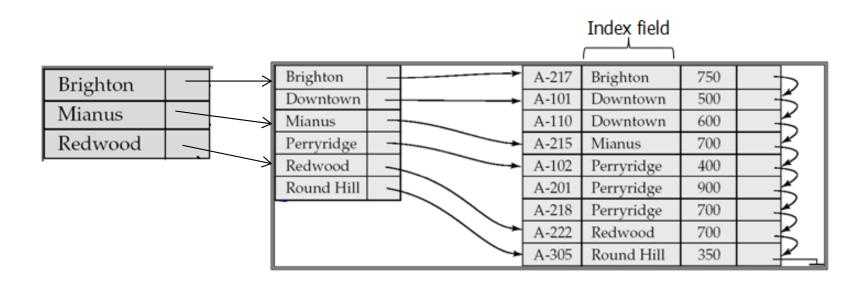
- Every key doesn't have to appear in the index
- Allows for very small indexes
  - Better chance of fitting in memory
  - Tradeoff: Must access the relation file even if the record is not present





# Second-Level *Sparse* Index on Primary field

- When you do not have enough memory to load all of the primary index.
- Points to primary dense index





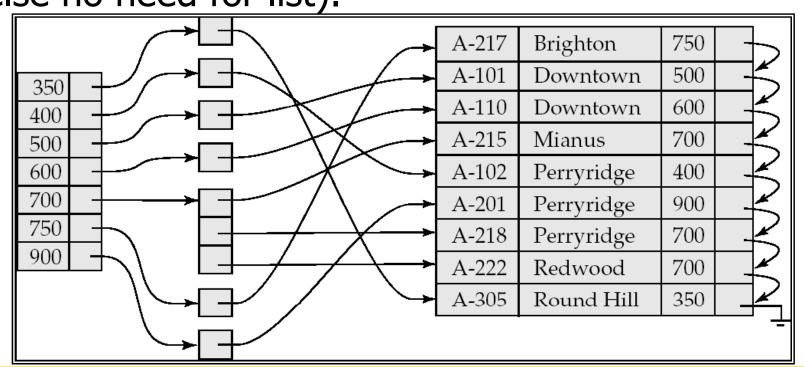
# Secondary Index (always on non-Primary field)

- E.g. Relation sorted on *branch* but want an index on *balance*
- Secondary index must be dense. Link end of tuple won't help to go to next value in that secondary index.



# Secondary Index (always on non-Primary field)

 If column used in secondary index is not unique, point to a list which points to the relevant tuples (else no need for list).





# Second-level Secondary Index (always on non-Primary field)

 A second-level sparse secondary index must point to a dense secondary index of the same column



## How to create an index in SQL?

Syntax

CREATE INDEX Index-Name on Table-Name(Columns...);

#### Example:



## How to delete an index in SQL?

Syntax

**DROP INDEX** Index-Name;

• Example:

DROP INDEX IDX\_CUSTOMER\_LAST\_NAME;

DROP INDEX IDX\_CUSTOMER\_LOCATION;

PostgreSQL tables

select \* from pg\_index;