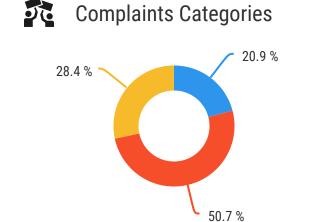


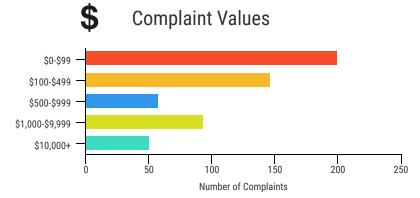
## 2Q 2023 Complaints Summary

2Q 2023 vs. 1Q 2023

Complaints	Amount Sought	Amount Awarded	Avg complaint value
550	\$13,248,973	\$212,983	\$252,104
-3%	3.5x	171%	40x



Financial (279)





Trading (115)

Average Resolution time 8 days



43% slower

Non-trading (156)

