# **Example-based Plastic Deformation of Rigid Bodies**

Seminar: Current Topics in Physically-Based Animation

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#### 1 Introduction

In modern day computer graphics gains a lot of importance. David Kriesel once stated in one of his talks that the eye is the only broad band connection to the brain [Kri]. So the eye is the way to go to provide much detailed information to humans. Despite the large computational effort the results need to be computed nearly in real time.

The field of physically based animation tightly belongs to computer graphics. A method to efficiently simulate the physical movement of objects is rigid body simulation. It assumes the objects to be unchangable. This results in very fast computations. Unfortunately deformation of objects is not initially part of the rigid body simulators. In this seminar work I will describe and explain a method to solve this problem, described by Ben Jones, Niles Thuerey, Tamar Shinar and Adam W. Bargteil in their article "Example-based Plastic Deformation of Rigid Bodies." [BJB16] They developed a method, that uses deformation examples to calculate deformations on impact. A set of example deformations are provided by an artist or a soft body simulation. Additionally to the speed of this method it gives the user the ability to control exactly, how the deformed bodies look.

#### 2 Method

#### 2.1 Rigid bodies

[Bar97] To simulate the translational motion of a rigid body it is sufficient to simulate the motion of the center of gravity. As the vertices stay motionless relative to the center the position of every vertex can be calculated easily from the position of the center. If the motion is arbitrary it can still be represented by just a translational and a rotational movement. The simulation is done by a rigid body simulator, which needs the following parameters:

- densitiy  $\rho$  and mass m
- the moment of inertia, which is constant to each body and behaves to rotational movement, as mass behaves to translational movement.
- linear position x and momentum p
- orientation  $\Omega$  and angular momentum L
- coefficient of restitution  $C_r$ , which stores the ratio between elastic and non elastic collision

#### 2.2 Skinning

It is very costyto provide new positions for every single vertex. So a method named skinning is used. So called bones are added to the mesh (rigging) and every vertex is assigned to a bone. And similarly to real bones the vertices follow the movement of the bone they are attached to. To prevent artifacts a vertex can not only be assigned to one bone, but to multiple bones with weighting.

The bones behave similar to real bones. For example: In a model of an arm, the bones would be placed like to the bones in a real arm and if the bones move, the vertices follow. A vertex at the elbow would be associated with the bone of the upper and of the lower arm, so that it follows both movements. This gives an artist the opportunity to modify a mesh very easily

For a mesh with N vertices and B bones some more values have to be stored.

• undeformed mesh vertex positions:  $\boldsymbol{u} \in \mathbb{R}^{N \times 3}$ 

• skinning weights:  $\mathbf{W} \in \mathbb{R}^{N \times B}$ 

• the desired transformation, which consist of:

rotation:  $\mathbf{R} \in \mathbb{R}^{B \times 3 \times 3}$ translation:  $\mathbf{T} \in \mathbb{R}^{B \times 3}$ 

The skinning weight matrix W contains a row for each vertex and a column for each bone. The value  $W_{ib}$  represents how much percent of the movement of bone b affects vertex x.

So to get the transformed position of a single vertex we apply the weighted transformation of each bone on the vertex.

$$x_i = \sum_{b \in B} W_{ib} \left( T_b + R_b u_i \right) \tag{1}$$

As we want to use multiple example poses on every vertex we don't have one desired Transformation, but one for every pose, generated by the artist. So we extend R and T by one dimension for the bones and add a matrix that contains, how much every example pose acts on every vertex:

- $\mathbf{R} \in \mathbb{R}^{B \times E \times 3 \times 3}$
- $T \in \mathbb{R}^{B \times E \times 3}$
- $\boldsymbol{E} \in \mathbb{R}^{N \times E}$

We have to somehow consider multiple example poses. The intuuitive approach is to use linear interpolation and weight the individual transformations with the weighting matrix E. We now can determine the new position of each vertex by:

$$x_i = \sum_{b \in B} \mathbf{W}_{ib} \left( \sum_{e=1}^{E} \mathbf{E}_{ie} \left( \mathbf{T}_b + \mathbf{R}_{be} \mathbf{u}_i \right) \right)$$
(2)

With the translational part this works fairly well, however linear interpolation does not work well on rotation matrices. This is due to the fact, that a 3 dimensional rotation has 3 degrees of freedom, while a rotation matrix has 9 entries. So 6 Entries are somehow

redundant and have to be bound via constraints (orhogonality and determinant is 1). So if we linearly interpolate each single entry of a roation matrix it is not guaranteed, that the resulting matrix is itself a rotation matrix. Quaternion rotation provides a solution to this.

#### 2.2.1 Quaternion rotation and QLERP

Ken Shoemake found out that a 3 dimensional rotation can be executed with help of a quaternion instead of a matrix. Quaternions are an extension of the complex numbers. They have 3 imaginary units and are based on the identity

$$i^2 = j^2 = k^2 = ijk = -1 (3)$$

Each quaternion has the form: a + bi + cj + dk. Standard algebraic operations on quaternions can be determined by using the standard form. For example addition:

$$\mathbf{p} = a + bi + cj + dk \tag{4}$$

$$\mathbf{q} = x + yi + zj + wk \tag{5}$$

$$\mathbf{p} + \mathbf{q} = (a+x) + (b+y)i + (c+z)j + (d+w)k \tag{6}$$

The length is:

$$||\mathbf{p}|| = \sqrt{a^2 + b^2 + c^2 + d^2} \tag{7}$$

How exactly a quaternion can be used to rotate a vector can be read in [Sho85]. Quaternion rotation has a main advantage over matrix rotation. Having 3 degrees of freedom in a 3D rotation and 4 entries in a quaternion there is only one additional entry, instead of 6. So only one constraint is needed to provide a valid rotation. It turns out that a rotation quaternion has to be of length one, a constraint that is easy to fulfill. So to interpolate between two rotations it is sufficient to linearly interpolate between the two rotation quaternions and normalize them afterwards. [Kr05] The formula for interpolation of the rotation quaternions  $\boldsymbol{q}$  and  $\boldsymbol{p}$  with the parameter  $t \in [0,1]$  the following formula is used:

$$l(t; \boldsymbol{p}, \boldsymbol{q}) = \frac{(1-t)\boldsymbol{p} + t\boldsymbol{q}}{||(1-t)\boldsymbol{p} + t\boldsymbol{q}||}$$
(8)

However it should be noted that the interpolation provides no constant speed, considering the distance on the rotation circlee. As seen in Figure 1 a change at the beginning or end moves the point E on the circle further than at the middle. This effect however is small and therefore disregarded, as the exact solution, provided in [Sho85] would be far more computational complex [Kr05]. To blend multiple rotations we now use a matrix, containing the quaternion values for each example pose  $\mathbf{R}^{4\times E}$  and the weightings  $\mathbf{E}^{N\times E}$ . This works, because the columns of  $\mathbf{E}$  sum up to 1.

$$\mathbf{R}'_{i} = \text{QLERP}(\mathbf{E}_{i}, \mathbf{R}) = \text{normalize}\left(\sum_{e \in E} \mathbf{E}_{ie} \mathbf{R}_{e}\right)$$
 (9)

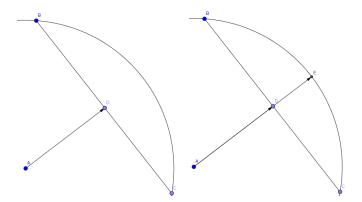


Figure 1: On the left: Idea, how linear interpolation between rotation matrices looks. If linear interpolation between the two points B and C on a circle is used, the resulting point does not lie on the circle. On the right: Interpolating with quaternions. The result can be easily mapped onto the sphere by normalizing the quaternion.

#### 2.3 Recap Skinning

Now we have a method to deform meshes with the help of bones and to blend in given example poses. The input consists of the constant data:

- $\bullet$  The Vertex positions in undeformed state:  $\boldsymbol{u} \in \mathbb{R}^{N \times 3}$
- The weighting of each bone for the vertices:  $\mathbf{W} \in \mathbb{R}^{N \times B}$
- The transformation for each example pose, consisting of

The rotational part  $\mathbf{R} \in \mathbb{R}^{B \times 4 \times E}$ 

The translational part  $T \in \mathbb{R}^{B \times 3 \times E}$ 

and the changing data:

• example Weights  $\boldsymbol{E} \in \mathbb{R}^{N \times E}$ 

Let  $\operatorname{rotate}(q, x)$  be the function that rotates the position x by the quaternion q. The translational part is interpolated linearly, the rotational by the QLERP-algorithm. The skinned mesh vertex positions  $x \in \mathbb{R}^{N \times 3}$  can now be calculated by:

$$x_i = \sum_{b \in B} \mathbf{W}_{ib} \left( \sum_{e=1}^{E} \mathbf{E}_{ie} \mathbf{T}_{be} + \text{rotate}(\text{QLERP}(\mathbf{E}_i, \mathbf{R}_b), \mathbf{u}_i) \right)$$
(10)

#### 2.4 Calculate example weighting

When two objects collide, the rigid body simulator passes the vertex  $x_i$ , where the collision occurred and the impulse  $j_i$ . The goal is now to calculate a useful change  $\Delta E$ 

of the example weights, that matches the impact. As example poses are used, not every deformation is possible. So we first calculate an ideal deformation for the vertex being hit. Then we solve a minimization problem, trying to get good example weightings, so that the distance between the ideal deformation and the skinned position of the vertex is minimal. Afterwards we propagate this change to the vertices around.

#### 2.4.1 Projection

We want to compute the desired position based on the impulse, given to us by the rigid body simulator. Impulse is a change in momentum. So we can write:

$$j = m\mathbf{v} \tag{11}$$

To get the change in position of the object in the next timestep, we divide by the weight and multiply by the duration of the timeslice:

$$\Delta x = \frac{\Delta t}{m} j \tag{12}$$

To prevent deformation on contact and on soft impacts we add a threshold  $\beta$ , which prevents the object from deforming to easily and takes out some of the energy. We end up with the following formula for the impacted vertex:

$$\Delta x_i = \frac{\Delta t}{m} \max(||\mathbf{j}_i|| - \beta, 0) \frac{\mathbf{j}_i}{||\mathbf{j}_i||}$$
(13)

We now construct the Jacobian matrix J. It contains all partial derivatives of

$$\boldsymbol{J}_{i} = \frac{\partial \boldsymbol{x}_{i}}{\partial \boldsymbol{E}_{ie}} \in \mathbb{R}^{3 \times E} \tag{14}$$

$$= \begin{pmatrix} \frac{\partial x_{i1}}{\partial E_{i1}} & \cdots & \frac{\partial x_{i1}}{\partial E_{in}} & \cdots & \frac{\partial x_{i1}}{\partial E_{iE}} \\ \frac{\partial x_{i2}}{\partial E_{i1}} & \frac{\partial x_{i2}}{\partial E_{in}} & \frac{\partial x_{i2}}{\partial E_{iE}} \\ \frac{\partial x_{i3}}{\partial E_{i1}} & \cdots & \frac{\partial x_{i3}}{\partial E_{in}} & \cdots & \frac{\partial x_{i3}}{\partial E_{iE}} \end{pmatrix}$$

$$(15)$$

It contains the change in vertex position depending on a change in the example weighting. Note that So given a change of position  $\Delta x$ , in the example space we can compute the change of example weightings by:

$$\Delta e_i = J_i^T \Delta x_i \tag{16}$$

$$\begin{pmatrix}
\Delta e_{i1} \\
... \\
\Delta e_{in} \\
... \\
\Delta e_{iE}
\end{pmatrix} = \begin{pmatrix}
\frac{\partial x_{i1}}{\partial E_{i1}} & \frac{\partial x_{i2}}{\partial E_{i1}} & \frac{\partial x_{i3}}{\partial E_{i1}} \\
... & ... \\
\frac{\partial x_{i1}}{\partial E_{in}} & \frac{\partial x_{i2}}{\partial E_{in}} & \frac{\partial x_{i3}}{\partial E_{in}} \\
... & ... \\
\frac{\partial x_{i1}}{\partial E_{iE}} & \frac{\partial x_{i2}}{\partial E_{iE}} & \frac{\partial x_{i3}}{\partial E_{iE}}
\end{pmatrix} \begin{pmatrix}
\Delta x_{i1} \\
\Delta x_{i2} \\
\Delta x_{i3}
\end{pmatrix}$$
(17)

This formula however requires the change to be in the space of possible changes, that can be achieved by the examples. Our goal is now to get a good value for the change in example weights  $\Delta e$ . To achieve that we have to get the change, that happens, if we apply  $\Delta e$  as close as possible to the desired change  $\Delta x_i$ . Let  $x_i(e)$  be the function that moves the vertex according to the example weighting e. Then we have to solve:

$$\Delta e = \min_{\Delta \hat{e}} ||(x_i(e + \Delta \hat{e}) - x_i(e)) - \Delta x_i||^2$$
(18)

A method to solve minimization problems is the method of steepest descent. It uses the fact that a gradient always points in the opposite direction of the steepest descent. So if we start at some point and follow the direction of the gradient in infinite many, infinitesimal small steps we reach a local minimum, as far as it exists. Similar to a marble rolling around on a surface. It will sometime reach a lokal minimum, as it always follows the direction of steepest descent. Of course the marble has to be somewhat sticky, otherwise its momentum would prevent it from following the gradient directions. Instead of going infinite small steps we take finite many not so small steps. As we do not opt for physical accuracy it is sufficient to go one step. As initial value  $\Delta e = 0$  is used. This makes the first term of the minimization cancel out. The gradients can by definition be calculated by transposing the Jacobi matrix:

$$\boldsymbol{J}_i^T \boldsymbol{\Delta} \boldsymbol{x}_i \tag{19}$$

is the gradient on  $\Delta x$ 

The stepwidth of the step is chosen, so that it is dependent on the impact and user controllable:  $\alpha ||\Delta x||$  The approximated change in Example weightings is calculated by:

$$\Delta e_i = \alpha ||\Delta x|| \frac{J_i^T \Delta x_i}{||J_i^T \Delta x_i||}$$
(20)

#### 2.5 Propagation

By now we only calculate a change of example weights for one vertex. In order for the result to look acceptable, the change has to be propagated nearby vertices. To calculate the distance between two vertices, Dijksta's algorithm is used. The change of Example weights for every vertex is saved in the Matrix  $\Delta E$ . To propagate the change we modify each column by:

$$\Delta E_j += \phi \left( \frac{\operatorname{dist}(\boldsymbol{x}_j, \boldsymbol{x}_i)}{\gamma} \right) \Delta e_i$$
 (21)

$$\phi(x) = \begin{cases} 2x^3 - 3x^2 + 1 & : x < 1\\ 0 & : otherwise \end{cases}$$
 (22)

The function  $\phi$  is called kernel smoother. It assures that the change is applicated fully on the impacted vertex. With increasing distance the extend of application decreases. How far the changes should be propagated is determined by the value  $\gamma$ .

#### 2.6 Application

To let the impact look more natural, the deforming does not happen instantaneously, but over time. The Matrix  $\Delta E$  contains the change in example weighting that still has to be applicated. Every timestep a bit of the deformation accumulator  $\Delta E$  is applicated and decreased by the same amount. This is achieved through the user given parameter  $\lambda$ .

$$E += (1 - \lambda)\Delta E \tag{23}$$

$$\Delta E := \lambda \tag{24}$$

Note that the amount of application is high directly after the impact and decreases over time. For  $t \to \infty$  the whole change is applicated.

#### 2.7 Restitution Modifiaction

As a deformation consumes energy it is necessary to show this in the movement of the object. With the deformation the nonelastic part of the collision increases. The ration between the elastic and non-elastic part, the coefficient of restitution is modified by:

$$C_r := \min(C_r^*, C_r + \mu \Delta t, \exp(-\nu || \Delta \mathbf{E}||_f) C_r^*)$$
(25)

The first term  $C_r^*$  is the coefficient that is initially used by the rigid body simulator. The second term increases over time to avoid jittering and the third parameter decreases over time.  $\mu$  and  $\mu$  are user given parameters.

#### 2.8 Userparameters

The following parameters are adjustable by the user and determine:

- $\alpha$ : how much the impact deforms the bodies
- $\beta$ : how strong the impact has to be to be noticed
- $\gamma$ : how far the deformation is propagated
- $\lambda$ : how long the deformation should take
- $\mu$  and  $\nu$ : how elastic the collision is modified

#### 2.9 Fracture

Fracturing of the mesh is supported. It is achieved by splitting the mesh in individual parts. The parts are hold together by constraints. If a force exceeds a threshold the contraints are removed and the model is fractured.

#### 3 Discussion

#### 3.1 Performance

Using the method to simulate deformation adds a avarage of 42% to 59% every timestep in the tests. It is significantly slower than regular rigid body simulation, because the meshes are not convex and collision detection is faster on convex meshes.

#### 3.2 Future Work

A possible improvement would be automated rigging. Then example poses can be provided by soft body simulators and integrated into the system.

Implementing an application for modeling the objects or integrating a plugin for a given application would give the possitility to modify parameters with brushes individually for the vertices.

#### References

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