

# BEIT : BERT Pre-Training of Image Transformers (ICLR 2022)

- Young Jo Choi
- Department of Digital Analytics

*Severance*

# Abstract

- Self-supervised vision representation model
- **B**idirectional **E**ncoder representation from **I**mage **T**ransformers
- Following BERT, the paper propose *masked image modeling* task to pretrain vision Transformer.
- Tokenizing the original image into visual token → masking some image patches → backbone Transformer.
- The pre-training objective is to recover the original visual tokens based on the corrupted image patches.
- Experimental results in image classification and semantic segmentation show that BEIT achieves competitive results with previous pre-training methods.

# Introduction

- In computer vision fields, empirical studies show that vision Transformers require more training data than convolutional neural networks. To cope with the lack of data, self-supervised pre-training is a promising solution, such as contrastive learning and self-distillation.
- BERT has achieved great success in natural language processing (NLP) by masking certain parts of the text and recovering the masked parts. (=MLM, masked language model)

# Introduction

- It is challenging to directly apply BERT style pre-training for image data. There is no pre-exist vocabulary for vision Transformer's input unit (image patches). So, we cannot simply employ a softmax classifier to predict over all possible candidates for masked patches.
- In NLP the language vocabulary, such as words and BPE, is well-defined and eases auto-encoding prediction.
- A straightforward application of BERT is regarding the task as a regression problem, which predicts the raw pixels of masked patches. However, such pixel-level recovery task tends to waste modeling capability on pre-training shortrange dependencies and high-frequency details.
- The goal is to overcome the above issues for pre-training of vision Transformers.

# Related works (BERT)

- **Bidirectional Encoder Representation from Transformer**
- Masked language model (MLM)
- EX)  
Input: My dog is [A]. He likes playing.  
Target : 'cute'
- Words are tokenized according to predefined criteria, which BERT uses WordPiece tokenizer. (BPE for GPT)

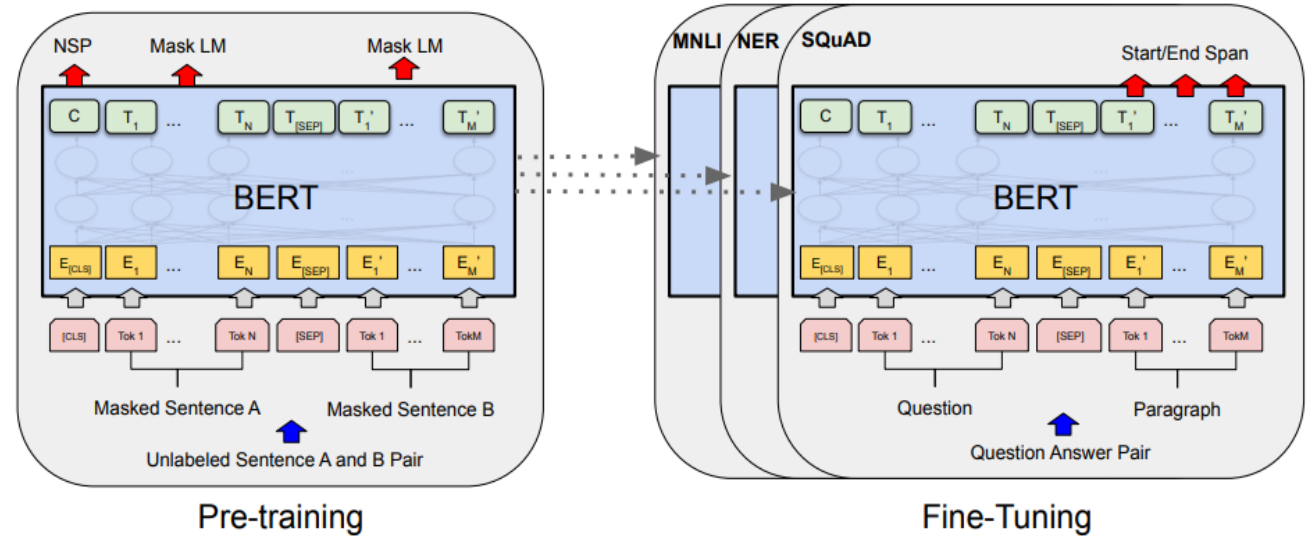
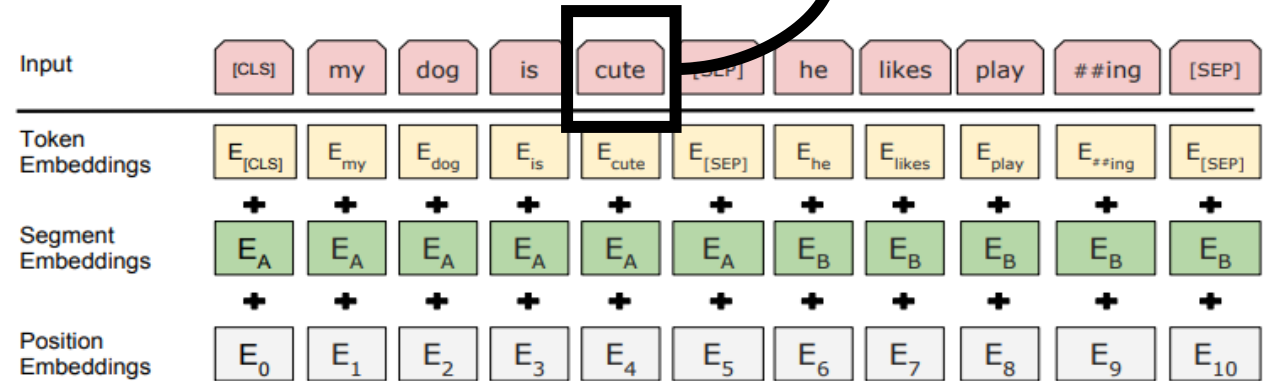


Figure 1: Overall pre-training and fine-tuning procedures for BERT. Apart from output layers, the same architectures are used in both pre-training and fine-tuning. The same pre-trained model parameters are used to initialize models for different down-stream tasks. During fine-tuning, all parameters are fine-tuned. [CLS] is a special symbol added in front of every input example, and [SEP] is a special separator token (e.g. separating questions/answers).



# Related works (variational auto encoder)

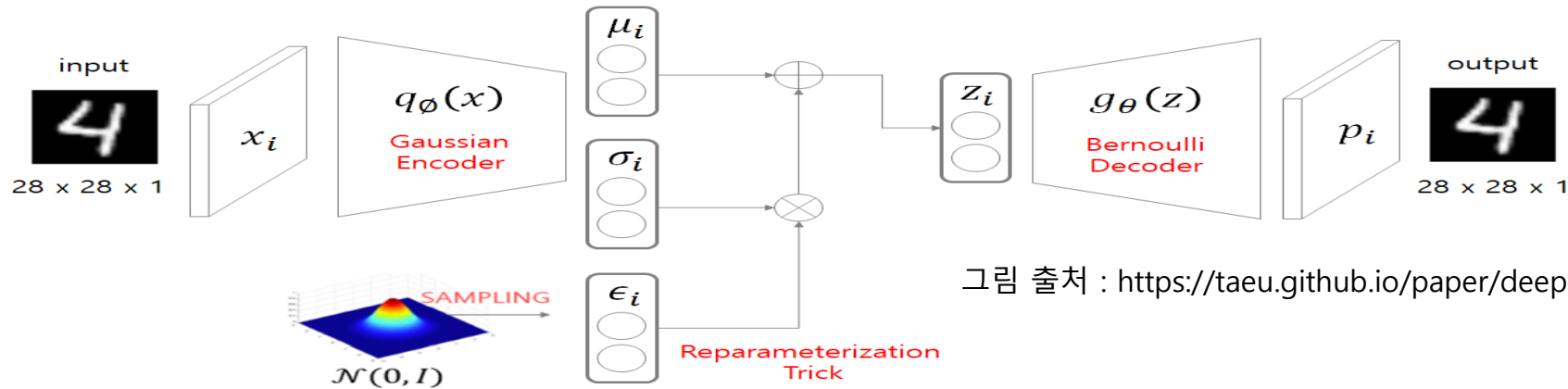


그림 출처 : <https://taeu.github.io/paper/deeplearning-paper-vae/>

- $z_{i,l} \sim N(\mu_i, \sigma_i^2 I) \rightarrow z_i = \mu_i + \sigma_i \cdot \epsilon, \epsilon \sim N(0, I)$  (for backpropagation)
- Objective : maximize  $p_\theta(x_i)$

$$\begin{aligned} \log p_\theta(x_i) &= \mathbb{E}_{z \sim q_\phi(z|x_i)} [\log p_\theta(x_i)] = \mathbb{E}_z [\log p_\theta(x_i|z)] - \mathbb{E}_z \left[ \log \frac{q_\phi(z|x_i)}{p_\theta(z)} \right] + \mathbb{E}_z \left[ \log \frac{q_\phi(z|x_i)}{p_\theta(z|x_i)} \right] \\ &= \underbrace{\mathbb{E}_z [\log p_\theta(x_i|z)]}_{\text{ELBO loss}} - \underbrace{D_{KL}(q_\phi(z|x_i) \parallel p_\theta(z))}_{\geq 0 \text{ (non-computable)}} + D_{KL}(q_\phi(z|x_i) \parallel p_\theta(z|x_i)) \end{aligned}$$

$$\rightarrow \underset{\theta, \phi}{\operatorname{argmax}} \sum_i \underbrace{-\mathbb{E}_z [\log p_\theta(x_i|z)]}_{\text{Reconstruction error}} + \underbrace{D_{KL}(q_\phi(z|x_i) \parallel p_\theta(z))}_{\text{Regularization error}}$$

# Related works (discrete VAE, VQ-VAE)

Van Den Oord, Aaron, and Oriol Vinyals. "Neural discrete representation learning." *Advances in neural information processing systems* 30 (2017).

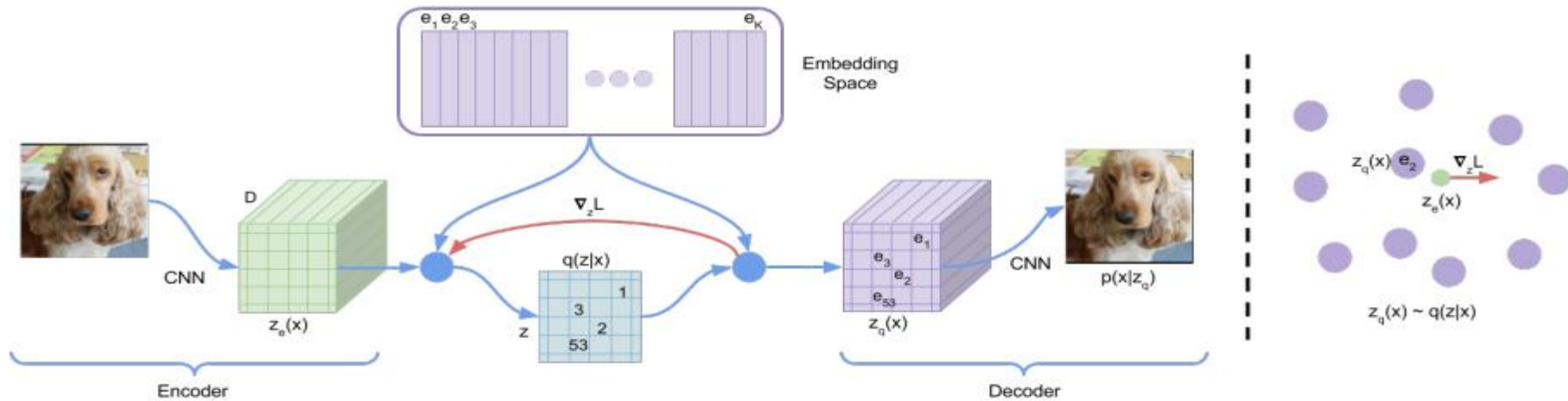


Figure 1: Left: A figure describing the VQ-VAE. Right: Visualisation of the embedding space. The output of the encoder  $z_e(x)$  is mapped to the nearest point  $e_2$ . The gradient  $\nabla_z L$  (in red) will push the encoder to change its output, which could alter the configuration in the next forward pass.

- Input image :  $x$
- Output of encoder  $z_e(x)$  is mapped to the nearest point in "Codebook"
- Decoder input  $z_q(x) = e_k$ , where  $k = \underset{j}{\operatorname{argmin}} \|z_e(x) - e_j\|_2$
- During forward computation the nearest embedding  $z_q(x)$  is passed to the decoder, and during the backwards pass the gradient  $\nabla_z L$  is passed unaltered to the encoder.

# Introduction

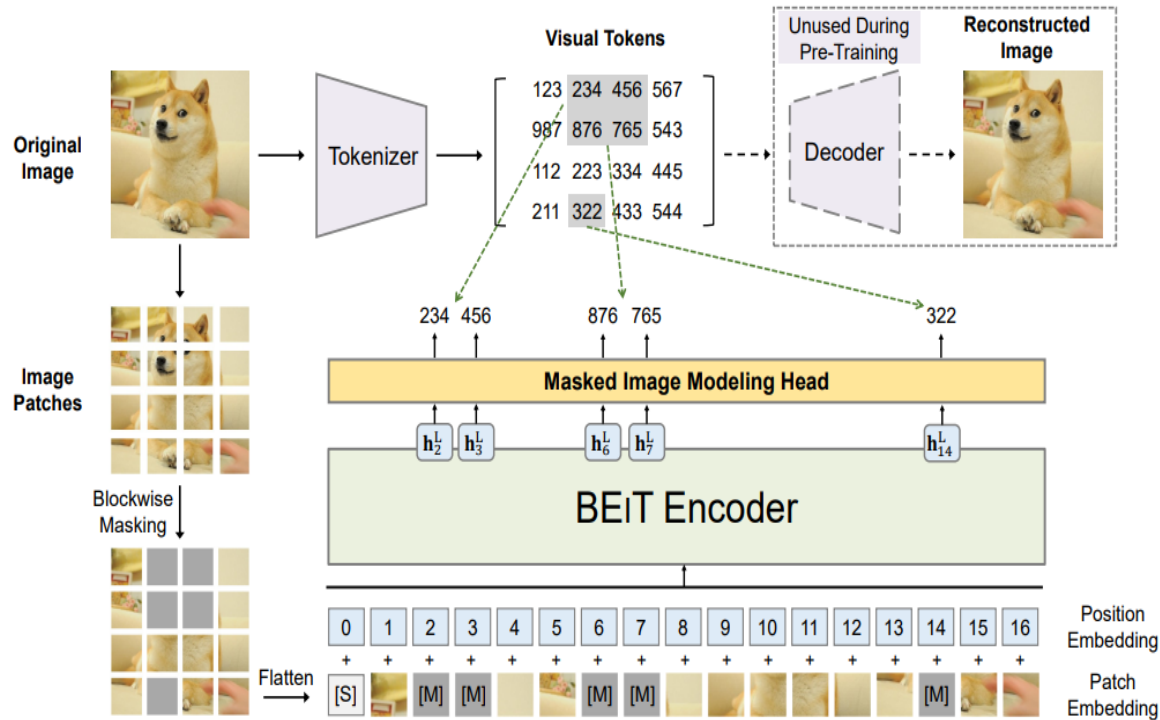


Figure 1: Overview of BEiT pre-training. Before pre-training, we learn an “image tokenizer” via autoencoding-style reconstruction, where an image is tokenized into discrete visual tokens according to the learned vocabulary. During pre-training, each image has two views, i.e., image patches, and visual tokens. We randomly mask some proportion of image patches (gray patches in the figure) and replace them with a special mask embedding [M]. Then the patches are fed to a backbone vision Transformer. The pre-training task aims at predicting the visual tokens of the *original* image based on the encoding vectors of the *corrupted* image.

- Inspired by BERT, masked image model (MIM) is proposed.
- MIM uses two views for each images, i.e., image patches, and visual tokens.
- We split the image into a grid of patches that are the input representation of backbone Transformer.  
(some proportions are randomly masked)
- Moreover, we ‘tokenize’ the image to discrete visual tokens, which is obtained by latent codes of discrete VAE.



# Introduction

(contributions)

- We propose a masked image modeling task to pretrain vision Transformers in a self-supervised manner. We also provide a theoretical explanation from the perspective of variational autoencoder.
- We pretrain BEIT and conduct extensive fine-tuning experiments on downstream tasks, such as image classification, and semantic segmentation. Experimental results indicate that BEIT outperforms both from-scratch training and previous strong self-supervised models.
- We present that the self-attention mechanism of self-supervised BEIT learns to distinguish semantic regions and object boundaries, although without using any human annotation.

# Methods (Image Representation)

## ● Image Patch

- Input image  $x \in \mathbb{R}^{H \times W \times C} \rightarrow x^p \in \mathbb{R}^{N \times (P^2 C)}$ ,  $N = HW/P^2$  is the number of patches,  
( $P, P$ ) : resolution of each patch
- The image patches  $\{x_i^p\}_{i=1}^N$  are flattened into vectors and linearly projected, which is similar to word embeddings BERT
- In this experiments,  $224 \times 224$  images  $\rightarrow 14 \times 14$  grid of patches (each patch is  $16 \times 16$ )

## ● Visual Token

- $z = [z_1, \dots, z_N] \in \mathcal{V}^{h \times w}$ , where the vocabulary  $\mathcal{V} = \{1, \dots, |\mathcal{V}|\}$  contains discrete token indices  
( $h, w$  : grid of patches,  $h \times w = N$ )
- $q_\phi(z|x)$  maps image pixels  $x$  into discrete tokens  $z$  according to a visual codebook (i.e., vocabulary)
- $p_\psi(x|z)$  (=decoder) learns to reconstruct the input image  $x$  based on the visual tokens  $z$ .
- Reconstruction object can be written as  $\mathbb{E}_{z \sim q_\phi(z|x)} [\log p_\psi(x|z)]$
- In this experiments, each image is tokenized to a  $14 \times 14$  grid of visual tokens. Set  $|\mathcal{V}|=8192$ .

# Methods (Backbone Network : Image Transformer)

- The input of Transformer is a sequence of image patches  $\{x_i^p\}_{i=1}^N$
- $Ex_i^p$ , where  $E \in \mathbb{R}^{(P^2C) \times D}$  : patches are linearly projected.
- $E_{pos} \in \mathbb{R}^{N \times D}$  : positional encoding
- The input vectors  $H_0 = [e_{[s]}, Ex_1^p, \dots, Ex_N^p] + E_{pos}$  is fed into Transformer.  
 $e_{[s]}$  : special token
- Transformer blocks  $H^l = \text{Transformer}(H^{l-1})$ , where  $l = 1, \dots, L$
- The output vectors of last layer  $H^L = [h_{[s]}^L, h_1^L, \dots, h_N^L]$  : encoded representation for image patches, where  $h_i^L$  is the vector of  $i$ -th image patch.

# Methods (Pre-Training BEIT: Masked Image Modeling)

- $\mathcal{M} = \{1, \dots, N\}^{0.4N}$  : index of masked patches
- $e_{[M]} \in \mathbb{R}^D$  : learnable parameter that functions as replace the masked patches.
- The corrupted image patches  $x^{\mathcal{M}} = \{x_i^p : i \notin \mathcal{M}\}_{i=1}^N \cup \{e_{[M]} : i \in \mathcal{M}\}_{i=1}^N$  are fed into the  $L$ -layer Transformer.
- For each masked position  $\{h_i^L : i \in \mathcal{M}\}_{i=1}^N \rightarrow p_{MIM}(z' | x^{\mathcal{M}}) = \text{softmax}_{z'}(W_c h_i^L + b_c)$ , where  $W_c \in \mathbb{R}^{|\mathcal{V}| \times D}, b_c \in \mathbb{R}^{|\mathcal{V}|}$

$$\max \sum_{x \in D} \mathbb{E}_{\mathcal{M}} \left[ \sum_{i \in \mathcal{M}} \log p_{MIM}(z_i | x^{\mathcal{M}}) \right]$$

# Methods

- Rather than randomly choosing patches for the masked positions  $\mathcal{M}$ , we employ blockwise masking in our work

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## Algorithm 1 Blockwise Masking

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**Input:**  $N (= h \times w)$  image patches

**Output:** Masked positions  $\mathcal{M}$

$\mathcal{M} \leftarrow \{\}$

**repeat**

$s \leftarrow \text{Rand}(16, 0.4N - |\mathcal{M}|)$   $\triangleright$  Block size

$r \leftarrow \text{Rand}(0.3, \frac{1}{0.3})$   $\triangleright$  Aspect ratio of block

$a \leftarrow \sqrt{s \cdot r}; b \leftarrow \sqrt{s/r}$

$t \leftarrow \text{Rand}(0, h - a); l \leftarrow \text{Rand}(0, w - b)$

$\mathcal{M} \leftarrow \mathcal{M} \cup \{(i, j) : i \in [t, t + a), j \in [l, l + b)\}$

**until**  $|\mathcal{M}| > 0.4N$   $\triangleright$  Masking ratio is 40%

**return**  $\mathcal{M}$

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- Select at least 16 patches
- Grouping several patches that are close to each other into a block and masking them all at once
- $r$  : aspect ratio of block
- Repeat these steps until obtaining enough masked patches.

# Methods (from perspective of variational autoencoder)

The BEIT pre-training can be viewed as variational autoencoder training.

$x$  : original image,  $\tilde{x}$  : masked image,  $z$  : visual tokens

## ● ELBO

$$\sum_{(x_i, \tilde{x}_i) \in D} \log p(x_i | \tilde{x}_i) \geq \sum_{(x_i, \tilde{x}_i) \in D} \left( \underbrace{\mathbb{E}_{z_i \sim q_\phi(z|x_i)} [\log p_\psi(x_i | z_i)]}_{\text{visual token reconstruction}} - D_{KL}[q_\phi(z|x_i), p_\theta(z|\tilde{x}_i)] \right)$$

- $q_\phi(z|x_i)$  : image tokenizer that obtains visual tokens
- $p_\psi(x_i|z_i)$  : decodes the original image given input visual tokens
- $p_\theta(z|\tilde{x}_i)$  : recovers the visual tokens based on the masked image

$$\sum_{(x_i, \tilde{x}_i) \in D} \left( \underbrace{\mathbb{E}_{z_i \sim q_\phi(z|x_i)} [\log p_\psi(x_i | z_i)]}_{\text{stage 1}} + \underbrace{\log p_\theta(\hat{z}_i | \tilde{x}_i)}_{\text{stage 2}} \right)$$

- Stage 1 : visual token reconstruction
- Stage 2 : masked image modeling (BEIT pre-training objective)

Simplifying

# Methods

## ● Pre-training setup

- Network architecture : ViT-Base (12-layer transformer with 768 hidden size, 12 attention heads)
- Training on Imagenet-1K
- $224 \times 224$  images  $\rightarrow 14 \times 14$  grid of patches ( $N = 196$ ) (each patch is  $16 \times 16$ )
- Randomly mask at most 75 patches (i.e, roughly 40% of total image patches)
- (Training steps take about five days using 16 Nvidia Telsa V100 32GB GPU cards.)

## ● Fine-tuning BEIT on downstream tasks (end-to-end fine-tuned)

- Image classification : a simple linear classifier is directly employed.  
$$\text{softmax}(\text{avg}(\{h_i^L\}_{i=1}^N W_c)), W_c \in \mathbb{R}^{D \times C}, C : \text{number of labels}$$
- Semantic segmentation : pretrained BEIT is used as backbone encoder,  
and several deconvolution layers are incorporated as decoder to produce segmentation.

# Experiments (image classification)

Models	Model Size	Resolution	ImageNet
<i>Training from scratch (i.e., random initialization)</i>			
ViT <sub>384</sub> -B [DBK <sup>+</sup> 20]	86M	384 <sup>2</sup>	77.9
ViT <sub>384</sub> -L [DBK <sup>+</sup> 20]	307M	384 <sup>2</sup>	76.5
DeiT-B [TCD <sup>+</sup> 20]	86M	224 <sup>2</sup>	81.8
DeiT <sub>384</sub> -B [TCD <sup>+</sup> 20]	86M	384 <sup>2</sup>	83.1
<i>Supervised Pre-Training on ImageNet-22K (using labeled data)</i>			
ViT <sub>384</sub> -B [DBK <sup>+</sup> 20]	86M	384 <sup>2</sup>	84.0
ViT <sub>384</sub> -L [DBK <sup>+</sup> 20]	307M	384 <sup>2</sup>	85.2
<i>Self-Supervised Pre-Training on ImageNet-1K (without labeled data)</i>			
iGPT-1.36B <sup>†</sup> [CRC <sup>+</sup> 20]	1.36B	224 <sup>2</sup>	66.5
ViT <sub>384</sub> -B-JFT300M <sup>‡</sup> [DBK <sup>+</sup> 20]	86M	384 <sup>2</sup>	79.9
MoCo v3-B [CXH21]	86M	224 <sup>2</sup>	83.2
MoCo v3-L [CXH21]	307M	224 <sup>2</sup>	84.1
DINO-B [CTM <sup>+</sup> 21]	86M	224 <sup>2</sup>	82.8
BEiT-B (ours)	86M	224 <sup>2</sup>	83.2
BEiT <sub>384</sub> -B (ours)	86M	384 <sup>2</sup>	84.6
BEiT-L (ours)	307M	224 <sup>2</sup>	85.2
BEiT <sub>384</sub> -L (ours)	307M	384 <sup>2</sup>	<b>86.3</b>

Table 1: Top-1 accuracy on ImageNet-1K. We evaluate base- (“-B”) and large-size (“-L”) models at resolutions  $224 \times 224$  and  $384 \times 384$ . <sup>†</sup>: iGPT-1.36B contains 1.36 billion parameters, while others are base-size models. <sup>‡</sup>: ViT<sub>384</sub>-B-JFT300M is pretrained with the “masked patch prediction” task on Google’s in-house 300M images, while others use ImageNet.

- Evaluation on ImageNet-1K.
- Comparing among Training from scratch, pre-training on larger dataset (ImageNet-22K) and previous SOTA self-supervised methods for Transformer.
- Input image resolution is fixed at 224 or 384.
- For the same model size, pre-training with the self-supervised method performs better than pre-training with a larger dataset.

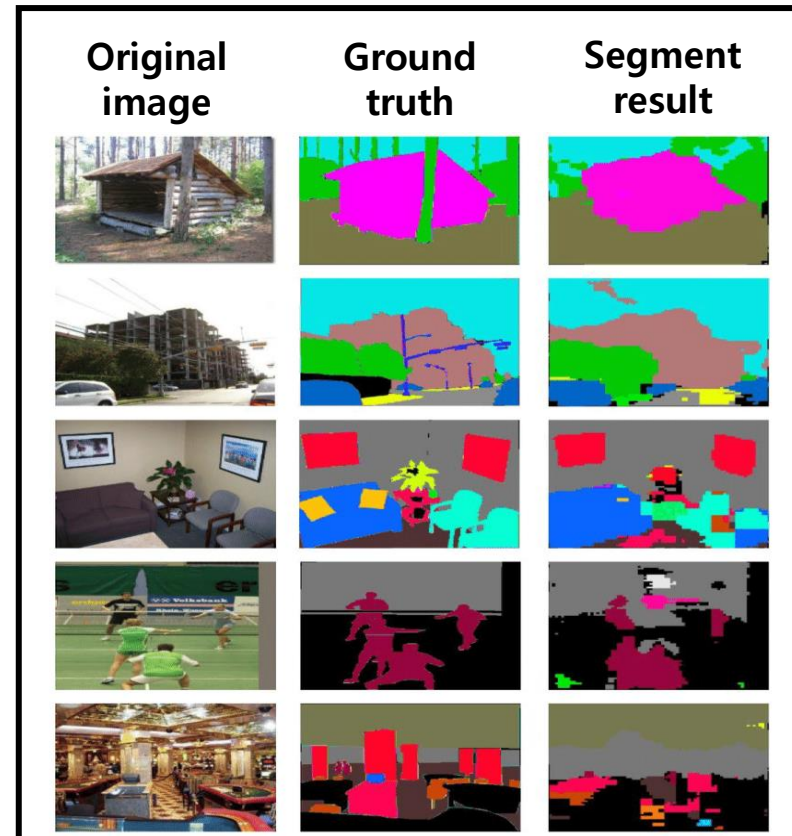


# Experiments (semantic segmentation)

- Evaluation on ADE20K benchmark with 25K images and semantic categories.
- Reported metric is mean Intersection of Union (mIoU) averaged over all semantic categories.
- In this experiments, task layers in SETR-PUP are used to produce segmentation.
- We find that our proposed method achieves better performance than supervised pretraining, although BEiT does not require manual annotations for pre-training.
- BEiT + Intermediate Fine-Tuning : first, pre-trained BEiT is fine-tuned on ImageNet, and then fine-tuned on ADE20K.

Models	ADE20K
Supervised Pre-Training on ImageNet	45.3
DINO [CTM <sup>+</sup> 21]	44.1
BEiT (ours)	45.6
BEiT + Intermediate Fine-Tuning (ours)	<b>47.7</b>

Table 3: Results of semantic segmentation on ADE20K. We use SETR-PUP [ZLZ<sup>+</sup>20] as the task layer and report results of single-scale inference.



# Experiments (Ablation studies)

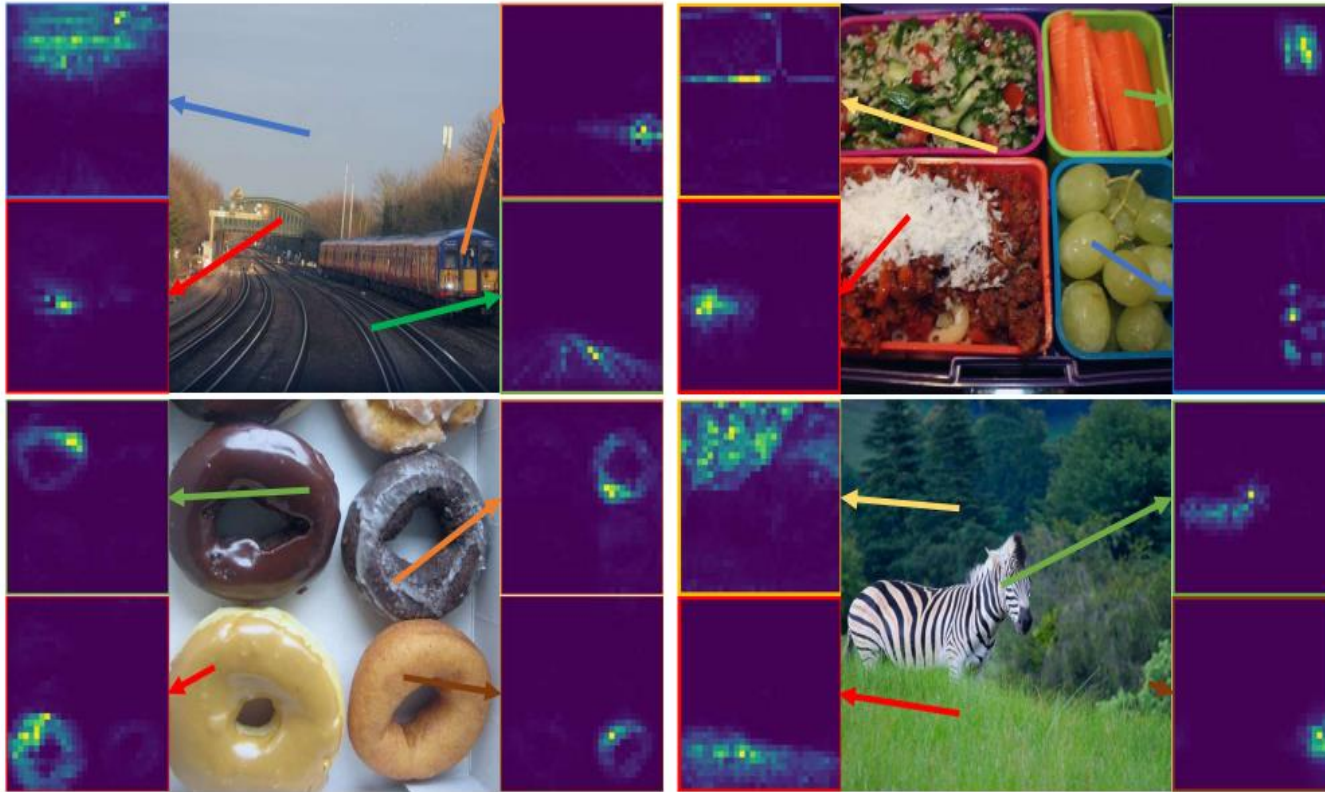
Models	ImageNet	ADE20K
BEiT (300 Epochs)	82.86	44.65
– Blockwise masking	82.77	42.93
– Visual tokens (i.e., recover masked pixels)	81.04	41.38
– Visual tokens – Blockwise masking	80.50	37.09
+ Recover 100% visual tokens	82.59	40.93
– Masking + Recover 100% visual tokens	81.67	36.73
Pretrain longer (800 epochs)	83.19	45.58

Image Tokenizer	Reconstruction Error	ImageNet
DALL-E Tokenizer [RPG <sup>+</sup> 21]	<b>0.0856</b>	<b>82.86</b>
Our reimplementation	0.0880	82.70

Table 4: Ablation studies for BEiT pre-training on image classification and semantic segmentation.

- Blockwise masking is better than random masking.
- Usage of visual tokens is helpful than predicting the raw pixels of masked patches
- Recovering all the visual tokens harms performance on downstream tasks
- Pre-training the model longer can further improve performance on downstream tasks.
- our reimplemented tokenizer obtains comparable reconstruction loss and ImageNet fine-tuning performance compared with the off-the-shelf DALL-E tokenizer.

# Experiments (Analysis of Self-Attention Map)



- In Fig 2, the visualizations are produced by attention scores computed via query-key product in the last layer.
- For each reference point, we use the corresponding patch as query and show which patch is attends to.
- We show that the self-attention mechanism in BEiT can separate objects, even though our pre-training does not rely on any manual annotation at all.

Figure 2: Self-attention map for different reference points. The self-attention mechanism in BEiT is able to separate objects, although self-supervised pre-training does not use manual annotations.

# Conclusion

- We introduce a self-supervised pre-training framework for vision Transformers, achieving strong fine-tuning results on downstream tasks, such as image classification, and semantic segmentation.
- We show that the proposed method is critical to make BERT-like pre-training (i.e., auto-encoding with masked input) work well for image Transformers.
- We also present the intriguing property of automatically acquired knowledge about semantic regions, without using any human-annotated data.
- In the future, we would like to scale up BEIT pre-training in terms of data size and model size. Moreover, we will conduct multimodal pre-training in a more unified way, using the similar objectives and the shared architecture for texts and images.



YONSEI UNIVERSITY  
COLLEGE OF MEDICINE

