The Java Virtual Machine

(part of Code Generation)

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Lecture 4: The Java Virtual Machine (JVM)

- 1. JVM Primer
- MiniC code generationJasmin assembly code is our target language
- 3. JVM Specification
 - Data types
 - Operand Stack
 - Local variables
 - local variable array & indices
 - Instructions
 - Jasmin instructions
 - Parameter passing
 - Jasmin method invocations

A Brief JVM & Java Bytecode Primer...

- The JVM is a stack-based virtual machine
 - uses a stack instead of registers as intermediate storage for values of a computation
 - Arguments are pushed onto the stack
 - Operations take their operands from the stack and push the result back on the stack.



specifies the meaning of JVM bytecode instructions:

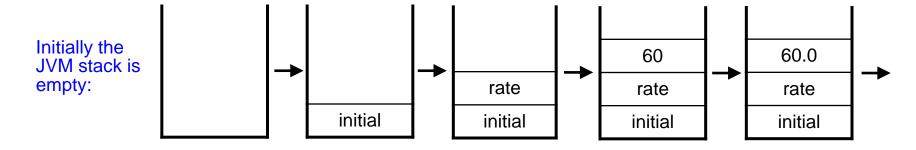
- fload_<n>: push float value of local variable number <n> onto the stack
- bipush : push byte onto the stack
- i2f: convert the topmost stack-element from int to float
- fmul: perform floating-point multiplication of the topmost stack elements, push the result onto the stack
- fadd: compute the sum of the two topmost stack elements, push the result onto the stack.
- fstore_<n>: pop the topmost stack element and store it in local variable number <n>.

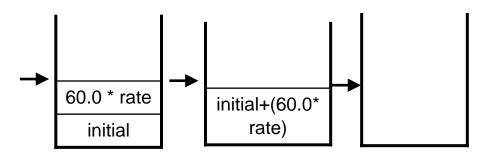
A Brief JVM & Java Bytecode Primer... (cont.)

fload_2 fload_3 bipush 60 i2f fmul fadd fstore_1

```
float position; // local var index 1 float initial; // local var index 2 float rate; // local var index 3

position = initial + (rate * 60);
```





After the last instruction, the stack is again empty, and variable "position" in local variable index slot 1 has been assigned the value "initial+(rate*60).

JVM Bytecode Example

MiniC source code is compiled to Jasmin assembly code:

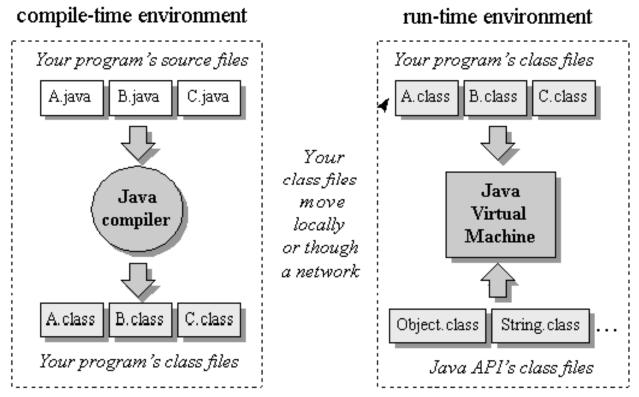
```
//MiniC source code:

void whileInt() {
   int i = 0;
   while (i < 100) {
      i = i + 1;
   }
}</pre>
```



```
;; Jasmin assembly code:
.method whileInt()V
    iconst 0
    istore 1 ;; i's index is 1
Label1:
    iload 1
    bipush 100
                       loop condition
    if icmpge Label0
    iload 1
    iconst 1
                 i=i+1
    iadd
    istore 1
    goto Label1
Label0:
    return
.end method
```

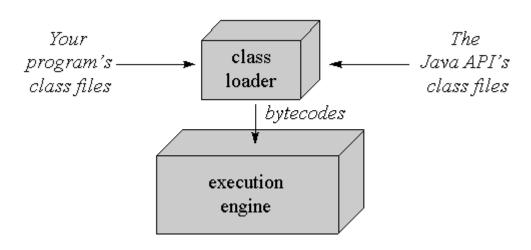
Java Compile-time and Run-time Environment



From ``Inside the Java Virtual Machine" by Bill Venners

- An application's Java code is compiled to bytecode in classfiles.
 - It may call methods in the Java API (provided in classfiles)
 - At runtime, the application classfiles and required API class files are loaded.

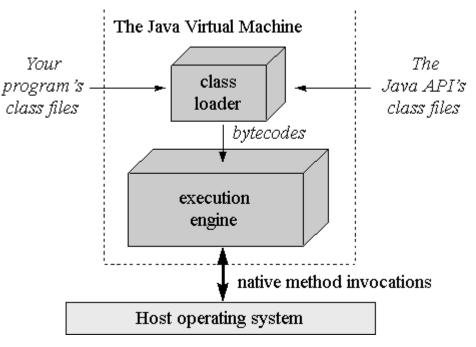
Pure JVM versus Native Calls

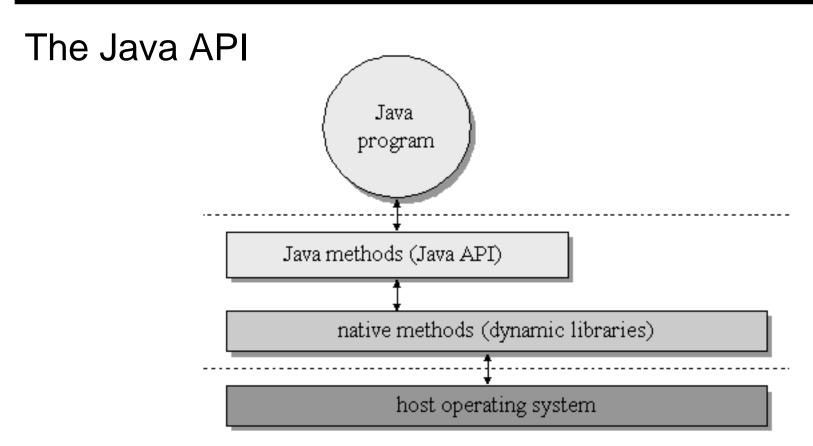


- Pure bytecode interpretation
 - hardware independent



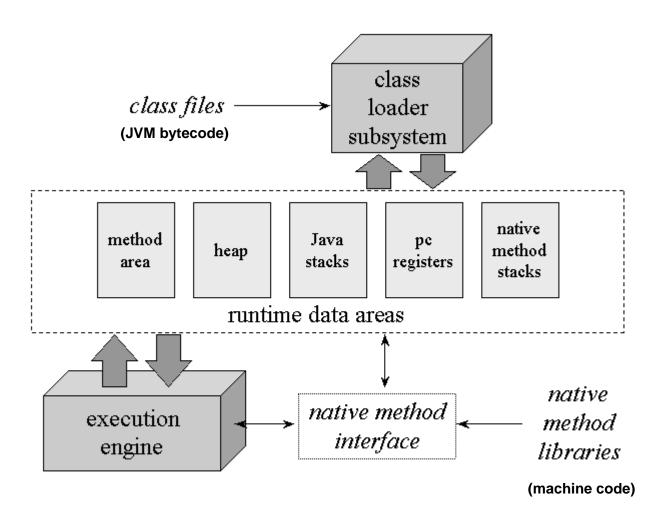
- through the Java native interface (JNI)
- not hardware independent anymore
 - platform-specific





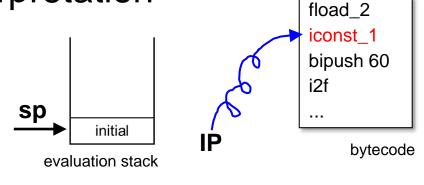
- Java API consists of a set of run-time libraries to access system resources in a standard way (network, files, GUI).
 - Java programmer may assume that those are available on all Java platforms
- Classfiles from the Java API are host-specific and rely on native methods to do their work
 - such that your Java program does not have to...

Internal Architecture of the JVM



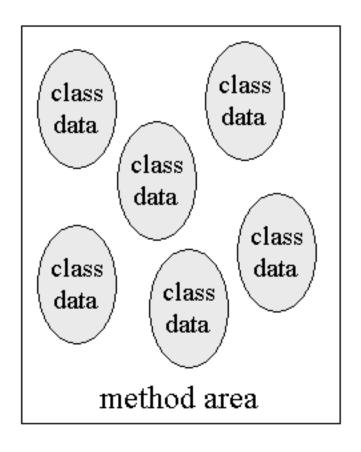
Bytecode Interpretation

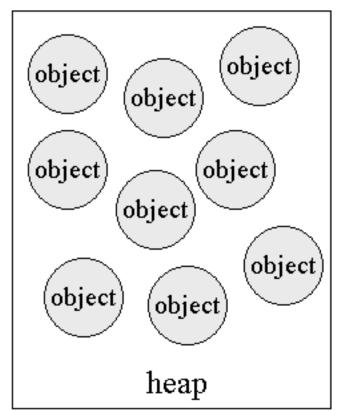
- The interpreter is just a big loop with a switch statement:
 - IP is the instruction pointer
 - sp is the stack pointer
 - bc is the currently interpreted bytecode
 - The switch statement contains one arm (``case:") for each instruction in the instruction-set of the interpreter.
- Advantages:
 - Memory-efficient
 - very simple, easy to implement
 - easy to port to other architectures
- Disadvantage:
 - slow...
- We will discuss more efficient techniques in the Programming Language grad course.



```
while
 bc = *IP++:
 switch (bc) {
   case iconst 1:
     *++sp = ConstOne;
    break;
```

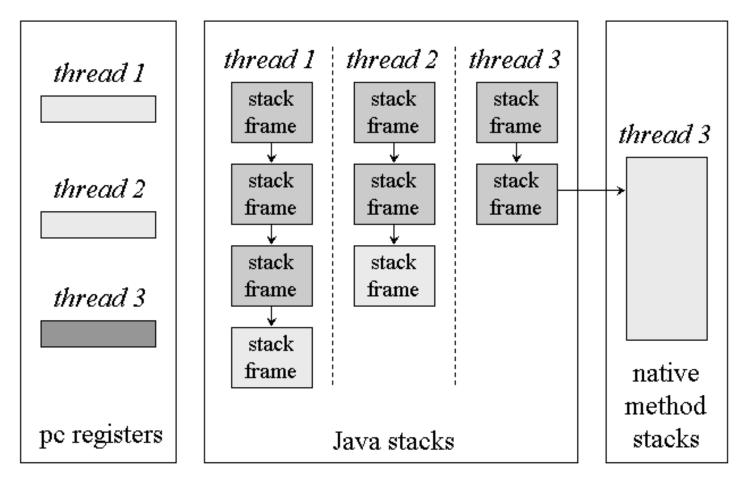
Method Area & Heap





Arrays are objects

Java Stacks

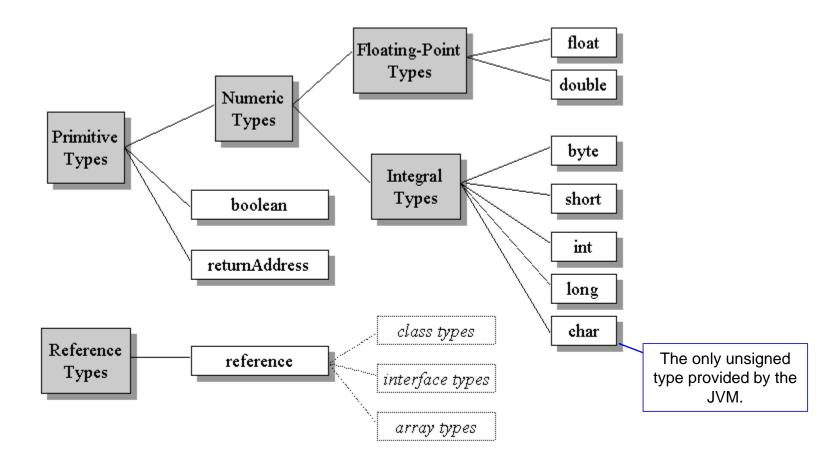


- One stack per thread
- One stack-frame per method invocation

Stack Frames

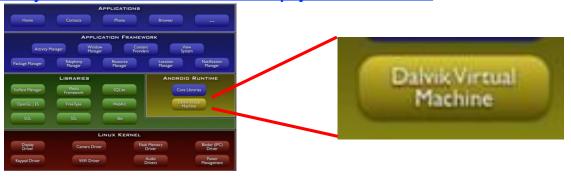
- One stack frame is created for each method invocation
- A stack frame consists of:
 - local variables
 - size of local variable array depends on the invoked method (class-file)
 - operand stack
 - size depends on the invoked method (class file)
 - frame data
 - Ptr to constant pool, information of previous stack frame on stack, exception handling table
 - size depends on the JVM implementation

Data Types provided by the JVM

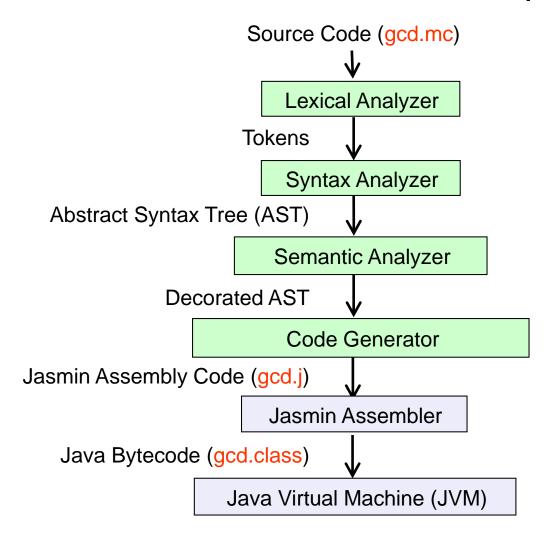


The Dalvik Virtual Machine

- Introduced by Google for the Android mobile phone platform
- Implementation of Java
- Dalvik VM is register-based
 - registers (similar to CPU registers) instead of evaluation stack
- Bytecode format different from the JVM:
 - .dex files instead of .jar class files
 - tool to convert class files to .dex files is provided
 - .dex files are slightly smaller than .jar files
- uses threaded code to interpret bytecode
- Dalvik VM internals:
 - http://www.youtube.com/watch?v=ptjedOZEXPM



Structure of the MiniC Compiler



References

 The Jasmin Homepage <u>http://jasmin.sourceforge.net/</u>



- The Jasmin User Guide <u>http://jasmin.sourceforge.net/guide.html</u>
- Tim Lindholm, Frank Yellin,
 The Java Virtual Machine Specification
 https://docs.oracle.com/javase/specs/jvms/se10/html/
- Bill Venners
 Inside the Java Virtual Machine, McGraw-Hill, 1999.

 Several chapters available online at http://www.artima.com/insidejvm/ed2/

Jasmin Assembly Language

- SUN Microsystems has not defined an assembly format for Java bytecode.
- Jasmin is a Java assembler which has been installed on the elc1 server.
- Jasmin instructions have 1-to-1 correspondence to Java bytecode instructions.
 - Operation codes (op-codes) represented by mnemonics
 - fields written in symbolic form
 - Local variables are encoded by indices (integers)
- Examples (to be discussed with our JVM introduction)

Encoding of Jasmin assembly instructions, in general:

ASCII string "bipush 20" → <opcode> <operand>

- covers less space than ASCII string
- easier to decode (see Slide #7)

Example gcd.java

```
//Java source code, to compute the greatest
//common divisor:
public class gcd {
   static int gcd(int a, int b) {
      while (b != 0) {
        if (a > b)
          a = a - b;
        else
          b = b - a;
      return a;
   public static void main(String argv[]) {
      int i = 2;
      int j = 4;
      System.out.println(gcd(i,j));
```

Example gcd.j (part 1)

```
; gcd.j
; Generated by ClassFileAnalyzer (Can)
; Analyzer and Disassembler for Java class files
 (Jasmin syntax 2, http://jasmin.sourceforge.net)
; ClassFileAnalyzer, version 0.7.0
.bytecode 50.0
.source gcd.java
.class public gcd
.super java/lang/Object
.method public <init>() V
    .limit stack 1
    .limit locals 1
    .var 0 is this Lgcd; from Label 0 to Label 1
    Label0:
    .line 1
       0: aload 0
       1: invokespecial java/lang/Object/<init>()V
    Label1:
       4: return
.end method
```

The jasmin assembler and disassembler are installed on the elc1 server. To disassemble a class file:
javac gcd.java

classfileanalyzer gcd.class > gcd.j

jasmin gcd.j

To assemble:

(will again produce gcd.class)

```
.method static gcd(II)I
    .limit stack 2
    .limit locals 2
    .var 0 is arg0 I from Label2 to Label5
    .var 1 is arg1 I from Label2 to Label5
   Label2:
    .line 3
                       // index of b
      0: iload 1
       1: ifeq Label0
    .line 4
      4: iload 0
      5: iload 1
      6: if icmple Label1
    .line 5
      9: iload 0
                         // index of a
     10: iload 1
     11: isub
     12: istore 0
     13: goto Label2
   Label1:
    .line 7
     16: iload 1
     17: iload 0
     18: isub
     19: istore 1
     20: goto Label2
   Label0:
    .line 9
     23: iload 0
   Label5:
     24: ireturn
.end method
```

Example gcd.j (part 2)

```
1 public class gcd {
2   static int gcd(int a, int b) {
3    while (b != 0) {
4      if (a > b)
5          a = a - b;
6      else
7          b = b - a;
8      }
9      return a;
10 }
```

Example gcd.j (part 3)

```
.method public static main([Ljava/lang/String;)V
    .limit stack 3
    .limit locals 3
    .var 0 is arg0 [Ljava/lang/String; from Label0 to Label1
   Label0:
    .line 13
                                    12 public static void main(String argv[]) {
       0: iconst 2
                                    13
                                           int i = 2;
       1: istore 1 // index of i
                                    14
                                           int j = 4;
    .line 14
                                    15
                                           System.out.println(gcd(i,j));
                                    16 }
       2: iconst 4
       3: istore 2 // index of j
    .line 15
       4: getstatic java.lang.System.out Ljava/io/PrintStream;
       7: iload 1
       8: iload 2
       9: invokestatic gcd/gcd(II)I
      12: invokevirtual java/io/PrintStream/println(I)V
   Label1:
.line 16
      15: return
.end method
```

Example gcd.class

```
ca fe ba be 00 00 00 32 00 1f 0a 00 06 00 12 09
00 13 00 14 0a 00 05 00 15 0a 00 16 00 17 07 00
0b 07 00 18 01 00 06 3c 69 6e 69 74 3e 01 00 03
28 29 56 01 00 04 43 6f 64 65 01 00 0f 4c 69 6e
65 4e 75 6d 62 65 72 54 61 62 6c 65 01 00 03 67
63 64 01 00 05 28 49 49 29 49 01 00 0d 53 74 61
63 6b 4d 61 70 54 61 62 6c 65 01 00 04 6d 61 69
6e 01 00 16 28 5b 4c 6a 61 76 61 2f 6c 61 6e 67
2f 53 74 72 69 6e 67 3b 29 56 01 00 0a 53 6f 75
72 63 65 46 69 6c 65 01 00 08 67 63 64 2e 6a 61
76 61 0c 00 07 00 08 07 00 19 0c 00 1a 00 1b 0c
     00 0c 07 00 1c 0c 00 1d 00 1e
                                    01 00
61 76 61 2f 6c 61 6e 67 2f 4f 62 6a 65 63 74 01
00 10 6a 61 76 61 2f 6c 61 6e 67 2f 53 79 73 74
65 6d 01 00 03 6f 75 74 01 00 15 4c 6a 61
2f 69 6f 2f 50 72 69 6e 74 53 74 72 65 61 6d 3b
01 00 13 6a 61 76 61 2f 69 6f 2f 50 72 69
53 74 72 65 61 6d 01 00 07 70 72 69
01 00 04 28 49 29 56 00 21 00 05 00 06 00 00 00
00 00 03 00 01 00 07 00 08 00 01 00 09 00 00 00
1d 00 01 00 01 00 00 00 05 2a b7 00 01 b1 00 00
00 01 00 0a 00 00 00 06 00 01 00 00 00 01 00 08
00 0b 00 0c 00 01 00 09 00 00 00 4c 00 02 00 02
00 00 00 19
           1b 99 00 16 1a 1b a4 00 0a 1a 1b 64
3b a7 ff f3 1b 1a 64 3c a7 ff ec 1a ac 00 00 00
02 00 0a 00 00 00 16 00 05 00 00 00 03 00 04 00
04 00 09 00 05 00 10 00 07 00 17 00 09 00 0d 00
00 00 05 00 03 00 0f 06 00 09 00 0e 00 0f 00 01
00 09 00 00 00 34 00 03 00 03 00 00 00 10 05 3c
07 3d b2 00 02 1b 1c b8 00 03 b6 00 04 b1 00 00
00 01 00 0a 00 00 00 12 00 04 00 00 00 0d 00 02
00 0e 00 04 00 0f 00 0f 00 10 00 01 00 10 00 00
00 02 00 11
```

Output of od –An –tx1 gcd.class

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JVM Data Types

Data type	Value Range	Descriptor
boolean	{0, 1}	Z
byte	8 bit signed 2's complement, (-2 ⁷ to 2 ⁷ -1)	В
short	16 bit signed 2's complement, (-2 ¹⁵ to 2 ¹⁵ -1)	S
int	32 bit signed 2's complement, (-2 ³¹ to 2 ³¹ -1)	1
long	64 bit signed 2's complement, (-2 ⁶³ to 2 ⁶³ -1)	L
char	16 bit unsigned Unicode (0 to 2 ¹⁶ -1)	С
float	32-bit IEEE 754-single precision	F
double	64-bit IEEE 754-double precision	D
reference	32 bit unsigned reference (0 to 2 ³² -1)	see next slide

- MiniC types are mapped 1-to-1 to Java's primitive types.
 - int→int, bool→boolean, float→float, string→Java.Lang.String
- Java's boolean, byte, char and short are all implemented as int, but arrays of these types may be stored in arrays of less than 32 bits.

JVM Data Types (cont.)

Data type	Descriptor
class name	class-name
interface name	interface-name
array reference	[[[component-type
void	V

A semi-colon ";" marks the end of a class or interface descriptor.

- Class and interface names are qualified names with "." replaced by "/"
- The number of brackets "[" is equal to the number of dimensions of an array.

Data type	Descriptor
class java.lang.Object	java/lang/Object
class java.lang.String	java/lang/String
reference to instance of class java.lang.Object	Ljava/lang/Object;
String[]	[Ljava/lang/String;
int[]	[1
float [] []	[[F

See \$4.3.2 of the JVM Spec for a formal definition.

Boolean, Byte, Short and Char represented as Int

```
.method public static main([Ljava/lang/String;)V
    .limit stack 1
    .limit locals 5
    .var 0 is arg0 [Ljava/lang/String; from Label0 to Label1
   Label0:
    .line 3
      0: iconst 1
                                      1 public class IntTypes {
      1: istore 1
                                          public static void main(String argv[]) {
                                            boolean z = true;
    .line 4
                                           byte b = 1;
      2: iconst 1
                                            short s = 2;
      3: istore 2
                                           char c = 't';
                                      7
    .line 5
      4: iconst 2
                                      8 }
      5: istore 3
    .line 6
      6: bipush 116
      8: istore 4
```

Java's boolean, byte, short and char are all implemented as int.

10: return

.end method

.line 7

Label1:

Printing Data Type Descriptors

```
public class Desc {
 public static void main(String argv[]) {
    Object o = new Object();
    int [] i = new int[10];
    float [] [] f = new float[10][10];
    String s1 = "Hello Compiler!";
    String [] s2 = { "Hello", "Compilerwriter!" };
    System.out.println("The class name of Object is: " + o.getClass());
    System.out.println("The class name of int[] is: " + i.getClass());
    System.out.println("The class name of float[][] is: " + f.getClass());
    System.out.println("The class name of String is: " + s1.getClass());
    System.out.println("The class name of String[]: " + s2.getClass());
```

Generated output:

```
The class name of Object is: class java.lang.Object
The class name of int[] is: class [I
The class name of float[][] is: class [[F
The class name of String is: class java.lang.String
The class name of String[]: class [Ljava.lang.String;
```

Method Descriptors

A method descriptor specifies a method's return type and the number and types of its arguments.

Format: (ParameterType*) ReturnType

Examples:

Method Declaration	Method Descriptor	
int gcd(int i, int j)	(II)I	
void main(String argv[])	([Ljava/lang/String;)V	
char foo (float f, String)	(FLjava/lang/String;)C	

See \$4.3.3 of the JVM Spec for more information.

Method + Local Variable Array + Operand Stack

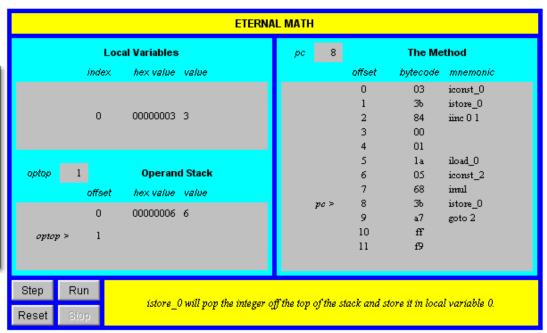
Whenever a Java method is called, the JVM creates

- 1) an operand stack
- 2) a local variable array for the method to execute.

Run the simulation at http://www.artima.com/insidejvm/applets/EternalMath.html

```
class Act {

public static void doMathForever() {
    int i = 0;
    for (;;) {
        i += 1;
        i *= 2;
    }
}
```



The Operand Stack

- Accessed by pushing and popping values
 - storing operands and receiving the operand's results
 - passing arguments to a method
 - receiving the result returned by a called method
- A new operand stack is created every time a method is called.
- This unified view is one of the main reasons why code generation for stack-based machines is easier than for registerbased machines.

Java Class Methods and Instance Methods

The Java programming language provides two kinds of methods:

Class or static methods

- declared using the keyword "static".
- do not require an object instance to be called
 → cannot access instance variables of an object.
- invoked via the class name: SomeClass.foo();
- like a procedure call, bind at compile-time

Instance Methods

- declared without keyword "static"
- require an object instance to be called
 → can access instance variables of an object.
- invoked via the object:

```
SomeClass x = new SomeClass(); x.foo();
```

bind (dispatch) at run-time
 → need the "this" pointer as the method's implicit first argument to point to the object's instance (to figure out the target method of the dispatching call, see also slides on the visitor design pattern).

Further details: http://java.sun.com/docs/books/tutorial/java/javaOO/classvars.html

```
class SomeClass {
   public static void foo() {}
}
```

```
class SomeClass {
   public void foo() {}
}
```

The Local Variable Array

- A new local variable array is created each time a method is called.
- Local variables are addressed using indices
 - smallest index: 0
- Instance methods
 - slot 0 allocated to this-pointer
 - actual parameters (if any) given consecutive indices, starting from 1
 - Indices allocated to the other local variables in any order
- Class methods
 - actual parameters (if any) given consecutive indices, starting from 0
 - Indices allocated to the other local variables in any order
- One slot can hold a value of type boolean, byte, char, short, int, float, or reference.
- One pair of slots can hold a long or a double.

Local Variable Indices: Class Methods

```
.method public static foo()V
    .limit stack 2
    .limit locals 4
    .line 2
      0: iconst 1
      1: istore 0
    .line 3
      2: iconst 2
      3: istore 1
    .line 4
      4: iconst 3
      5: istore 2
    .line 5
      6: iload 0
      7: iload 1
      8: iadd
      9: iload 2
     10: iadd
     11: istore 3
    .line 6
     12: return
.end method
```

```
1 public static void foo() {
2   int i1 = 1;  // index 0
3   int i2 = 2;  // index 1
4   int i3 = 3;  // index 2
5   int i = i1 + i2 + i3;  // index 3
6 }
```

Local Variable Indices: Instance Methods

```
.method public foo1()V
   .limit stack 2
   .limit locals 5
   .var 0 is this LDesc; from Label0 to Label1
   Label0:
   .line 24
      0: iconst 1
      1: istore 1 // i1 has index 1 now!
   .line 25
      2: iconst 2
      3: istore 2
   .line 26
      4: iconst 3
      5: istore 3
   .line 27
                                    1 public void fool() { // "this" given index 0
      6: iload 1
                                         int i1 = 1; // index 1
      7: iload 2
                                         int i2 = 2; // index 2
      8: iadd
                                         int i3 = 3; // index 3
      9: iload 3
                                         int i = i1 + i2 + i3; // index 4
     10: iadd
                                      }
     11: istore 4
   Label1:
.line 28
     13: return
```

.end method

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Jasmin (or JVM) instructions

- 1. Arithmetic instructions
- 2. Load/Store instructions
- 3. Transfer of control instructions
- 4. Type conversion instructions
- 5. Operand stack management instructions
- 6. Object creation and manipulation
- 7. Method invocation instructions
- 8. Throwing exceptions
- 9. Implementing finally (exceptions)
- 10. Synchronization

We won't cover the greyed-out topics, because we don't need them for our MiniC implementation on top of the JVM.

The interested reader is referred to the "Reference" slide at the beginning of this presentation.

Arithmetic Instructions (\$3.11.3, JVM Spec)

- add: iadd, fadd
- subtract: isub, fsub
- multiply: imul, fmul
- divide: idiv, fdiv
- negative: ineg, fneg
- comparison: fcmpg, fcmpl
- ...

Load/Store Instructions (\$3.11.2, JVM Spec)

Loading a local variable onto the operand stack:

```
iload <index #nr>, iload_0, ..., iload_3
fload <index #nr>, fload_0, ..., fload_3
```

Storing a value from the operand stack into a local variable:

```
istore <index #nr>, istore_0, ..., istore_3
fstore <index #nr>, fstore_0, ..., fstore_3
...
```

Load a constant onto the operand stack:

```
bipush, sipush, ldc, iconst_0, iconst_1, ...,
iconst_5, fconst_0, ...
```

Transfer of control instructions (\$3.11.7, JVM Spec)

Unconditional: goto

Conditional jumps on int:

comparing the topmost stack element against 0:
ifeq (==0), ifne (!=0), ifle (<=0), iflt, ifge, ifgt</pre>

comparing the two topmost stack elements:

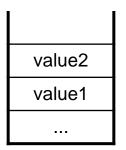
```
if_icmpeq, if_icmpne, if_icmple, if_icmplt,
if_icmpge, if_icmpgt
...
```

Conditional jumps on float:

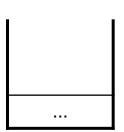
```
fcmpg,fcmpl
and then
ifeq, ifne, ifle, iflt, ifge, ifgt
```

if_cmpge label

Operand stack before compare:



Operand stack after compare:

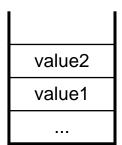


;; Jasmin code:
...
if_cmpge label
...;; false
...;; "fall through"
label:
...;; true
...;; "branch taken"

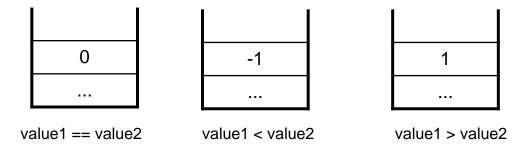
- 1. Pop the two int values and compare them
- 2. If value1 >= value2, jump to label, otherwise continue execution at the instruction following if_cmpge.

fcmpg and fcmpl

Operand stack before comparison:



Operand stack after comparison:



- If value1 or value2 is NaN (not-a-number), fcmp* comparison is false
 - In the NaN case, fcmpg pushes 1 and fcmpl pushes -1
 - javac compiler selects fcmpg or fcmpl, depending on the required value for a ``false" comparison.



Type Conversion Instructions

```
int i = 1;  // index 1
float f = i; // index 2
```

```
;; Jasmin code:
iconst_1
istore_1
iload_1
i2f
fstore 2
```

- Only i2f is used in the MiniC compiler.
- i2c, i2b, f2i, aso not used.

Method Invocation Instructions

- Method calls:
 - invokestatic
 - invokevirtual
 - invokespecial (also known as invokenonvirtual)
 - the instance initialization method <init>
 - a private method of <this>
 - a method in a super-class of this
 - invokeinterface
 - possibly more run-time overhead, because of multiple inheritance
- Method returns:
 - return
 - ireturn
 - freturn

The Syntax for Method Invocation Instructions

Invokestatic/virtual/special:

invoke* method-spec

where method-spec consists of a classname, a field name and a descriptor.

Invokeinterface not used in our MiniC compiler implementation.

Method Invocation (cont.)

• Invokestatic:

operand stack before call:

argn

...

arg2

arg1

operand stack after call:

result (if nonvoid)

• Invokevirtual and invokespecial:

operand stack before call:

argn
arg2
arg1
objref ("this")

operand stack after call:

result (if non- void)

Static Method Invocation

```
public class Met2 {
   int add(int i1, int i2) {
     return i1 + i2;
   }

  public static void main(String argv[]) {
     Met2 m = new Met2();
     m.add(1,2);
   }
}
```

Instance Method Invocation

```
.method public static main([Ljava/lang/String;)V
   .limit stack 3
   .limit locals 2
   .var 0 is arg0 [Ljava/lang/String; from Label0 to Label1
   Label0:
   .line 7
      0: new Met2 ;; allocate Met2 object on heap, returns "this" on stack
                        ;; duplicate "this" on stack
      3: dup
      4: invokespecial Met2/<init>()V
                                          ;; call the Met2 constructor
      7: astore 1
                                               ;; store "this" in var 1 slot
   .line 8
      8: aload 1
                                               ;; push "this" on stack
      9: iconst 1
                                               ;; push value 1 on stack
     10: iconst 2
                                               ;; push value 2 on stack
     11: invokevirtual Met2/add(II)I
                                              ;; call add() method
     14: pop
                                               :: discard unused return value
   Label1:
   .line 9
     15: return
.end method
```

```
Accessing Static Fields
public class Field {
   static int i; // class variable
                // (static field)
  public static void main(String argv[]) {
      i = i + 1;
                           .class public Field
}
                           .super java/lang/Object
                           .field static i I ;; static field
                           .method public static main([Ljava/lang/String;)V
                                 0: getstatic Field.i I
                                 3: iconst 1
                                 4: iadd
                                 5: putstatic Field.i I
                                 8: return
                           .end method
   Syntax:
```

getstatic field-spec type-descriptor

where **field-spec** consists of a **classname** followed by a **field-name**.

Reading Materials

- Try out the tools mentioned in this lecture!
 - Available on the server.
 - You can install them on your PC as well.
- The JVM Spec
 - Chapter 3 (on instructions)
 - Chapter 7 (more examples on compiling for the JVM)
- "Inside the JVM" book, Chapter 5

Lecture 4: The Java Virtual Machine (JVM)

- JVM Primer ✓
- MiniC code generation ✓
 Jasmin assembly code is our target language ✓
- 3. JVM
 - Data types ✓
 - Operand Stack ✓
 - Local variables ✓
 - local variable array & indices ✓
 - Instructions ✓
 - Jasmin instructions ✓
 - Parameter passing ✓
 - Jasmin method invocations ✓