## Database II

# Lecture 1 Recap of database background

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## Recap of database background

- Basic Definitions
- DB System Architecture
- DBDLC
- Data Modeling
- Relational Data Model
  - Schema, relation, attribute, key ...
  - Relational Model Constraints & Operations
  - Relational Algebra & SQL
  - ERD & ERD Mapping
  - Normalization

### **Basic Definitions**

#### Data

Known facts that can be recorded and have an implicit meaning.

#### Database

A collection of related data.

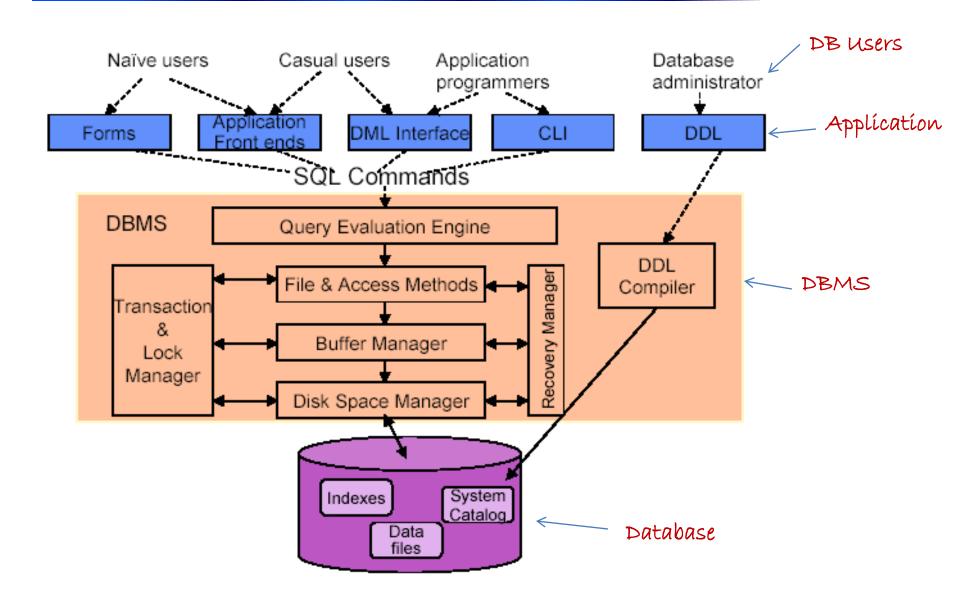
#### Database Management System (DBMS)

A software package/ system to facilitate the *creation*, *manipulation*, and *maintenance* of a computerized database.

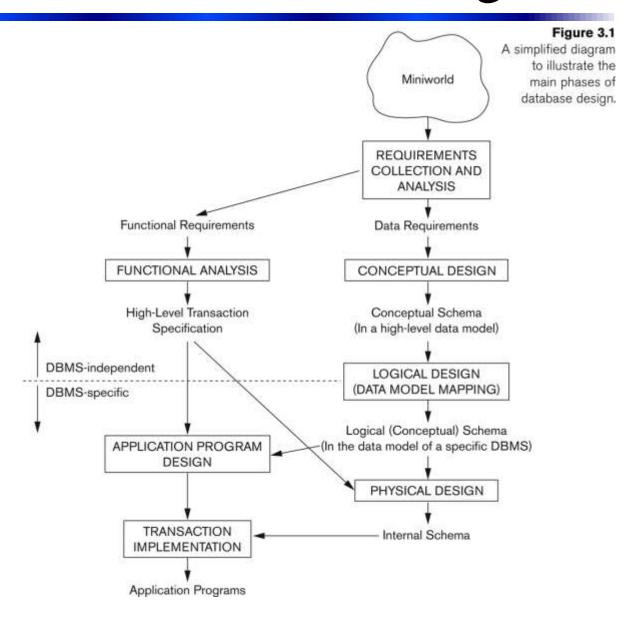
#### Database System

The *DBMS* software together with the *data itself*. Sometimes, the applications are also included.

## Database System Environment



## Main Phases of DB Design



#### Data Models

#### Data Model

A set of concepts to describe the *structure* of a database, and certain *constraints* that the database should obey.

#### Data Model Operations

Operations for specifying database retrievals and updates by referring to the concepts of the data model. Operations on the data model may include *basic operations* and *user-defined operations*.

## Categories of data models

#### Conceptual (high-level, semantic)

Provide concepts that are close to the way many users *perceive* data. (Also called **entity-based** or **object-based** data models.)

#### Physical (low-level, internal)

Provide concepts that describe details of how data is stored in the computer and their access paths.

#### Implementation (representational)

Provide concepts that fall between the above two, balancing user views with some computer storage details.

#### Schemas versus Instances

#### Database Schema

The *description* of a database. Includes descriptions of the database *structure* and the *constraints* that should hold on the database.

#### Database Instance

The *actual data* stored in a database at a *particular moment in time*. Also called **database state** (or **occurrence**).

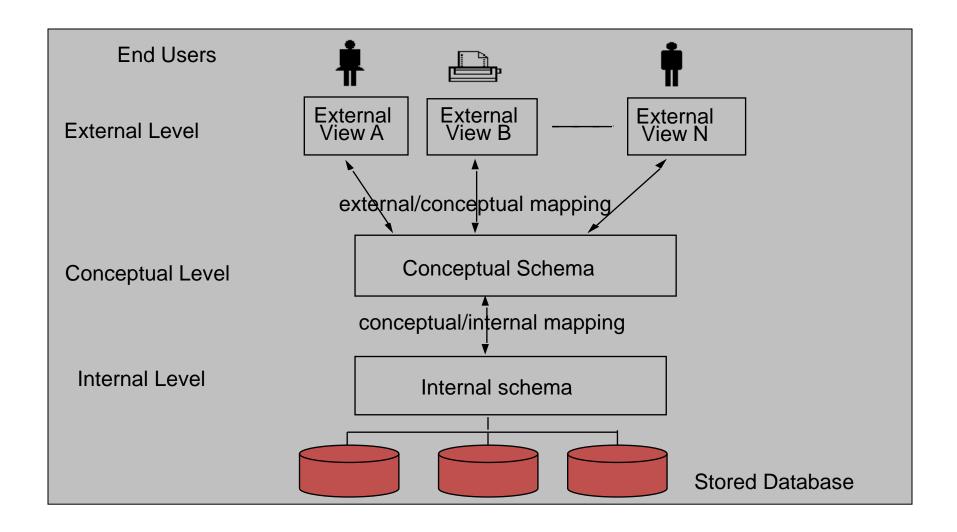
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Schema

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#### Three-Schema Architecture



## Brief History of Databases

#### Early Database Applications

The *Hierarchical* and *Network* Models were introduced in mid 1960's and dominated during the seventies. A bulk of the worldwide database processing still occurs using these models.

#### Relational Model based Systems

The model that was originally introduced in 1970 was heavily researched and experimented with in IBM and the universities. Relational DBMS Products emerged in the 1980's.

## Brief History of Databases (Cont.)

#### Object-Oriented and Object-Relational Applications

OODBMSs were introduced in late 1980's and early 1990's to cater to the need of complex data processing in CAD and other applications. Their use has not taken off much.

#### Data on the Web and E-commerce Applications:

Web contains data in HTML (Hypertext markup language) with links among pages. This has given rise to a new set of applications and E-commerce is using new standards like XML (eXtended Markup Language).

#### The Relational Data Model

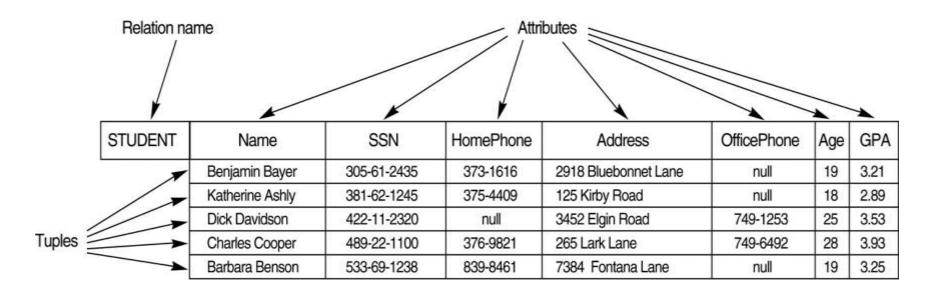
- The model behind most implementations of databases.
- Data is always represented as relations (2-dim. tables).
- SQL is a query language for developing and manipulating relational databases and is based on relational algebra.
- Some basic concepts:
  - Relation / Relationship
  - Attribute / Attribute Domain
  - Schema / Instance
  - Tuple
  - Key (Candidate, Primary, and Foreign)

How many of these do you recognize?

How many of these are you able to define now?

#### Relation

- A relation is a two-dimensional table:
  - Relation  $\approx$  table.
  - Attribute  $\approx$  column name.
  - Tuple ≈ row (not the header row).
- Database  $\approx$  collection of relations.



#### Relation Characteristics

- Each relation in the same relational database schema has a distinct name
- Each attribute in a relation has a distinct name.
- Values of an attribute are all from the same domain.
- Each tuple is distinct.
- Entity Degree is the number of fields/attributes in schema
- Entity Cardinality is the number of tuples in relation

## Relational Model Integrity Constraints

- Constraints are *conditions* that must hold on *all* valid relation instances. There are three main types of constraints:
  - Key constraints
  - Entity integrity constraints
  - Referential integrity constraints
  - Semantic Integrity constraints
- ICs are specified when schema is defined.
- ICs are checked when relations are modified.

## Relational Data Model Operations

- There are two categories of relational data model operations:
  - Retrieval operations extract information from the relational database.
  - Update operations causes the relation (and the relational database) state changes. They Include:
    - Insert a tuple
    - Delete a tuple
    - Modify a value

## Entity Relationship Diagram

Symbol Meaning Summary of **ER-Diagram ENTITY TYPE Notations WEAK ENTITY TYPE** RELATIONSHIP TYPE **IDENTIFYING RELATIONSHIP TYPE ATTRIBUTE KEY ATTRIBUTE** MULTIVALUED ATTRIBUTE **COMPOSITE ATTRIBUTE DERIVED ATTRIBUTE** TOTAL PARTICIPATION OF E2 IN R CARDINALITY RATIO 1:N FOR E<sub>1</sub>:E<sub>2</sub> IN R (min, max) STRUCTURAL CONSTRAINT (min, max) ON PARTICIPATION OF E IN R

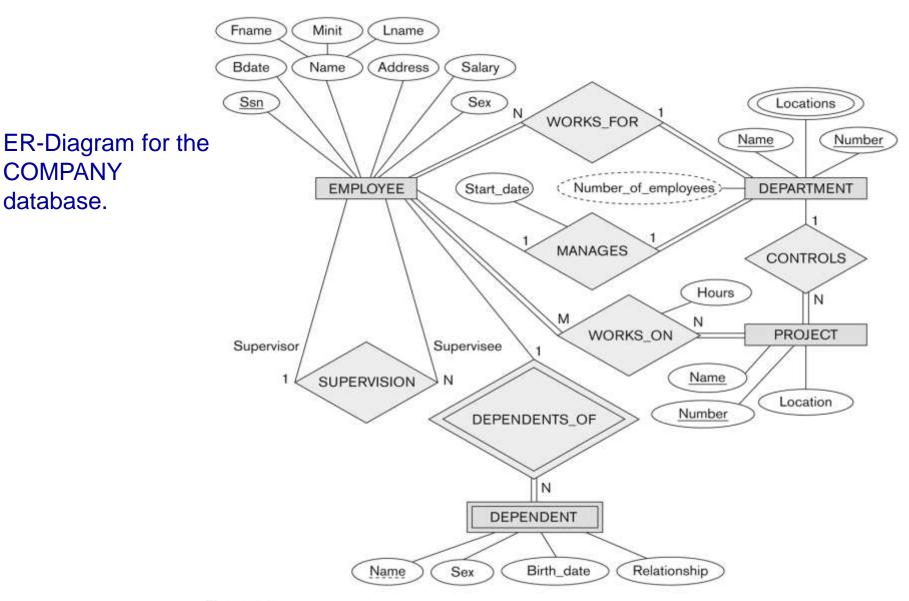


Figure 3.2 An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter.

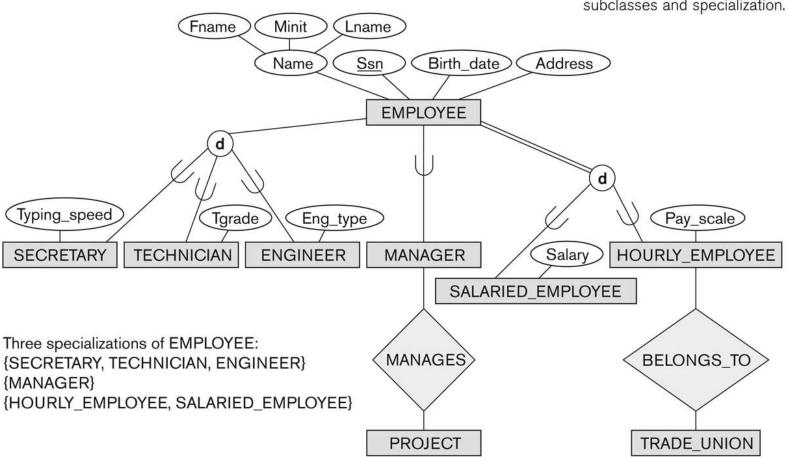
**COMPANY** 

database.

## EERD Enhanced ER or Extended ER

- EER diagrams extend ER diagrams to represent additional subgroupings, called *subclasses* or *subtypes*
- These are called superclass/subclass relationships
- An entity that is member of a subclass inherits
  - All attributes of the entity as a member of the superclass
  - All relationships of the entity as a member of the superclass
- Specialization is the process of defining a set of subclasses of a superclass
- Generalization is the reverse of the specialization process

Figure 4.1 EER diagram notation to represent subclasses and specialization.



- SECRETARY (as well as TECHNICIAN and ENGINEER) inherit the attributes Name, SSN, ..., from EMPLOYEE
- Every SECRETARY entity will have values for the inherited attributes

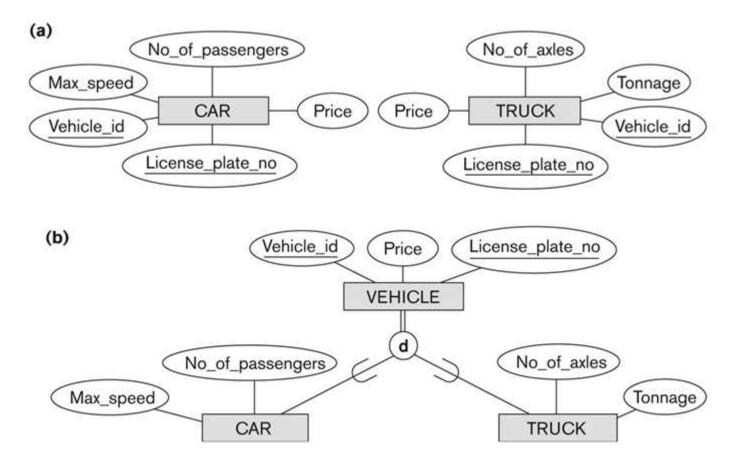


Figure 4.3

Generalization. (a) Two entity types, CAR and TRUCK.

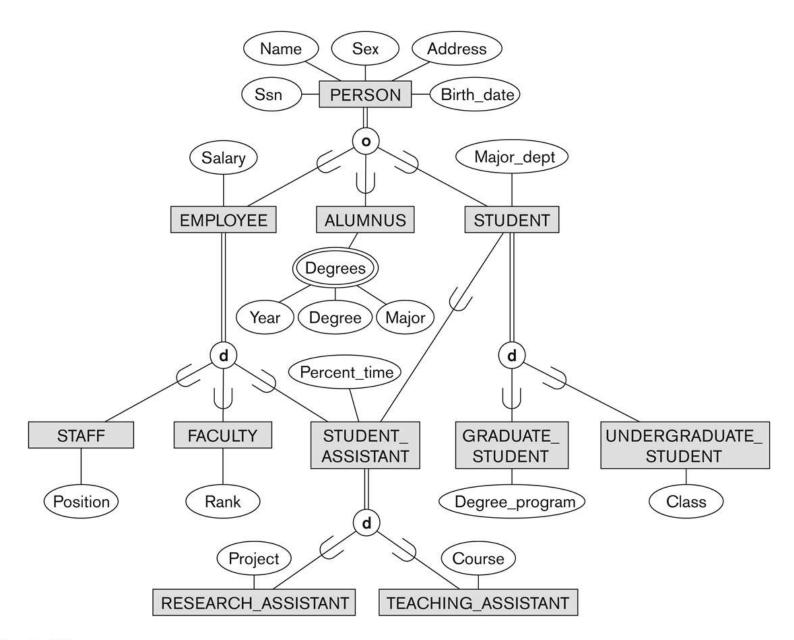
(b) Generalizing CAR and TRUCK into the superclass VEHICLE.

## Constraints on Specialization and Generalization

- Three basic constraints can apply to a specialization/generalization:
  - Condition-Defined Constraint
    - Attribute Defined Condition
    - User Defined Condition
  - Disjointness Constraint
    - Disjoint
    - Overlapping
  - Completeness Constraint
    - Total (mandatory)
    - Partial (optional)

#### **Lattices & Shared Subclasses**

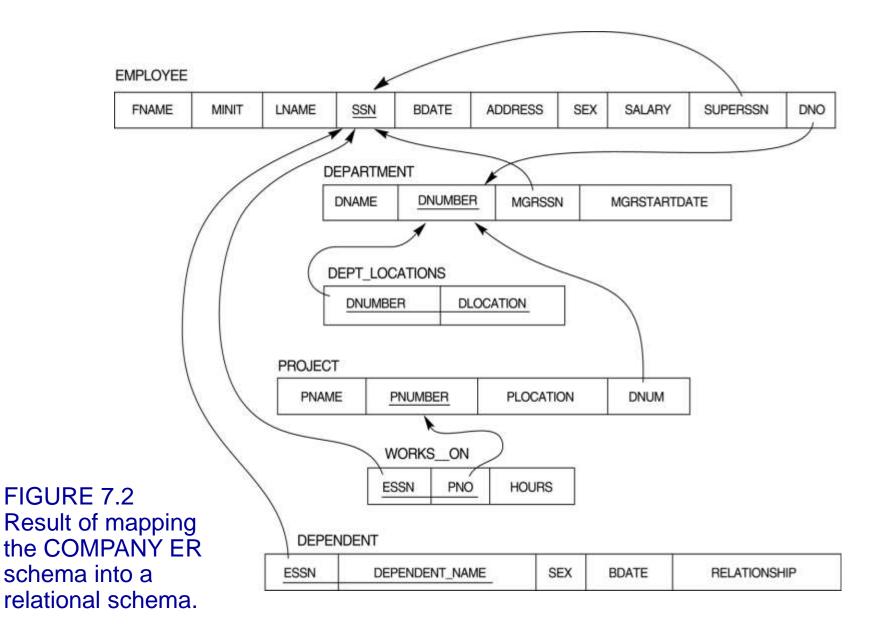
- A subclass may itself have further subclasses specified on it
  - forms a hierarchy or a lattice
- Hierarchy has a constraint that every subclass has only one superclass (called single inheritance); this is basically a tree structure
- In a lattice, a subclass can be subclass of more than one superclass (called multiple inheritance)



**Figure 4.7** A specialization lattice with multiple inheritance for a UNIVERSITY database.

## ER-to-Relational Mapping

- Step 1: Mapping of Regular Entity Types
- Step 2: Mapping of Weak Entity Types
- Step 3: Mapping of Binary 1:1 Relation Types
- Step 4: Mapping of Binary 1:N Relationship Types.
- Step 5: Mapping of Binary M:N Relationship Types.
- Step 6: Mapping of Multi-valued attributes.
- Step 7: Mapping of N-ary Relationship Types.
- Step 8: Mapping Super class/ Sub class relationship



## Normalization

Do any attributes have multiple values for a single instance of an entity?	Yes: Remove the repeating attributes and repeating groups. Create an entity that describes the attributes. Usually you will need to add a relationship to connect the old and new entities.  No: The data model is in 1NF.
Is the identifier comprised of more than one attribute? If so, are any attribute values dependent on just part of the identifier?	Yes: Remove the partial dependency. Move the attributes to an entity in which their values are dependent on the entire identifier.  Usually you will need to create a new entitiy and add a relationship to connect the old and new entities.  No: The data model is in 2NF.
Do any attribute values depend on an attribute that is not the entity's identifier?	Yes: Remove the transitive dependency or derived attribute. Move the attributes to an entity in which their values are dependent on the identifier Usually you will need to create a new entity and add a relationship to connect the old and new entities.  No: The data model is in 3NF.

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## Relational Algebra

- Operations that enable a user to specify basic retrieval requests.
- The result of a retrieval is a new relation, which may have been formed from one or more relations. The **algebra operations** thus produce new relations, which can be further manipulated using operations of the same algebra.
- Fundamental

_	union	
_	set difference	-
_	selection	σ
_	projection	$\pi$
_	Cartesian product	X

#### Additional

- Type compatibility
  - same degree
  - corresponding attributes defined over the same domain

## SQL

- SQL is a language that can be used for expressing queries on relations. It is based on a mixture of relational algebra for sets and bags.
- SQL also supports the creation and modification of relations.
- Some SQL examples:
  - CREATE TABLE *R* (<schema description>)
  - INSERT INTO R VALUES  $(v_1; ...; v_n)$ .
  - DELETE FROM *R* WHERE *C*.
  - UPDATE R SET A = v WHERE C.
  - SELECT .. FROM ... WHERE...