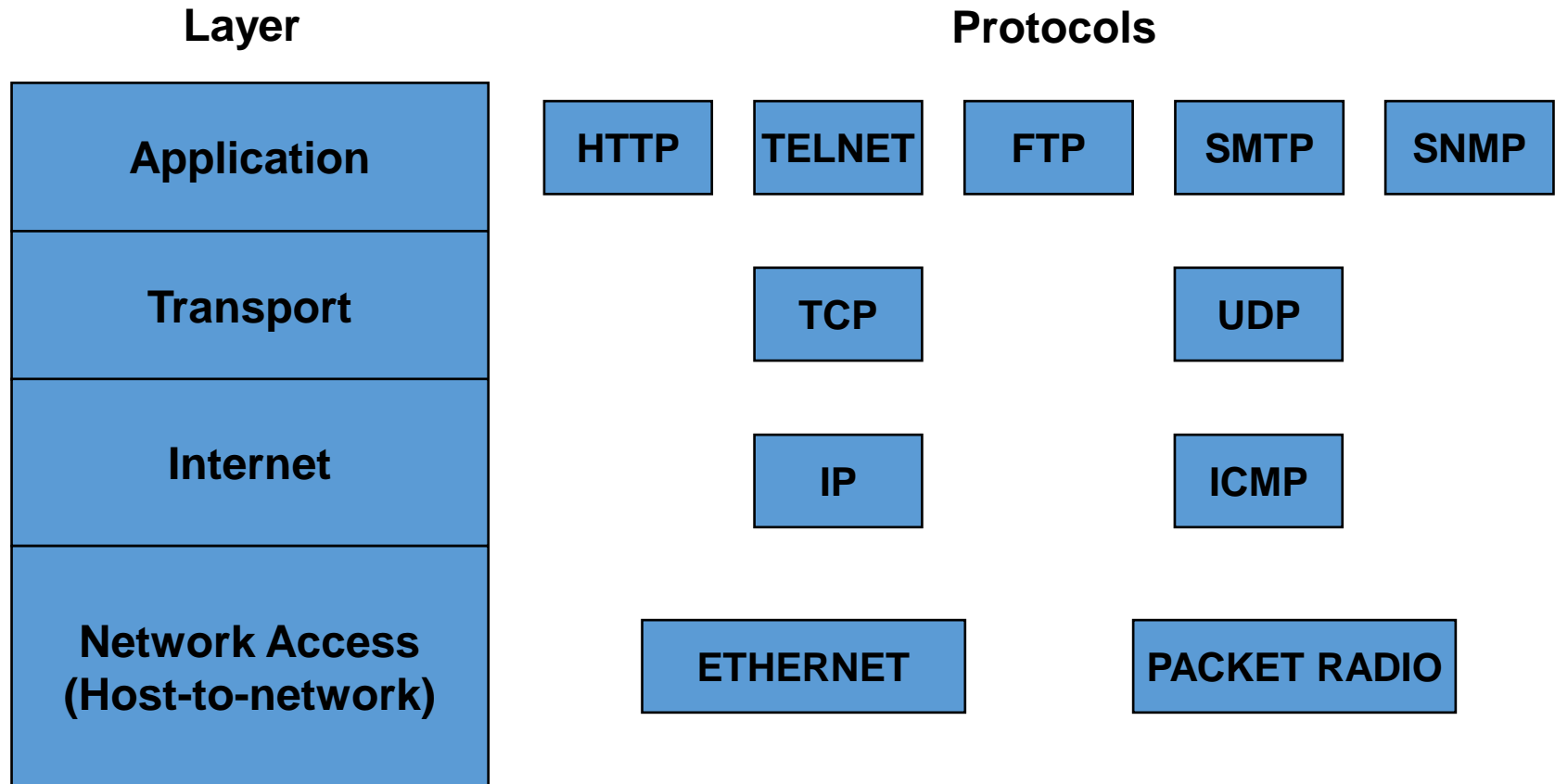


# TCP/IP Internal

# Learning outcome

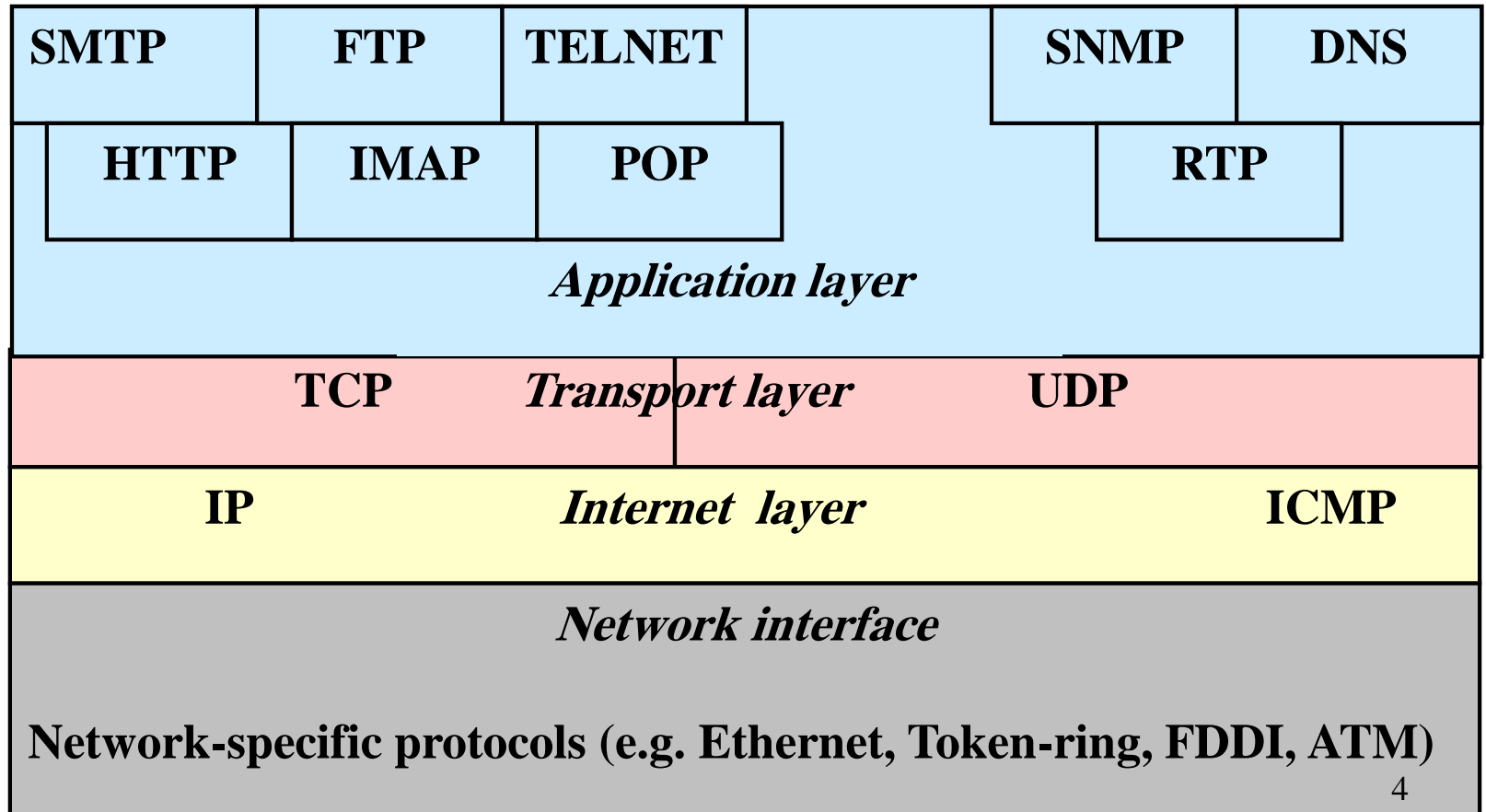
- Application layer
  - HTTP, FTP, TELNET, POP3, SMTP, IMAP, DNS protocols
- Transport layer
  - TCP and UDP
  - TCP and UDP segment
  - Opening and closing connections
  - Flow control
  - Reliable data transmission
- Internet layer
  - IP , ICMP, ARP and RARP
  - IP datagram
  - Routing

# TCP/IP Reference Model

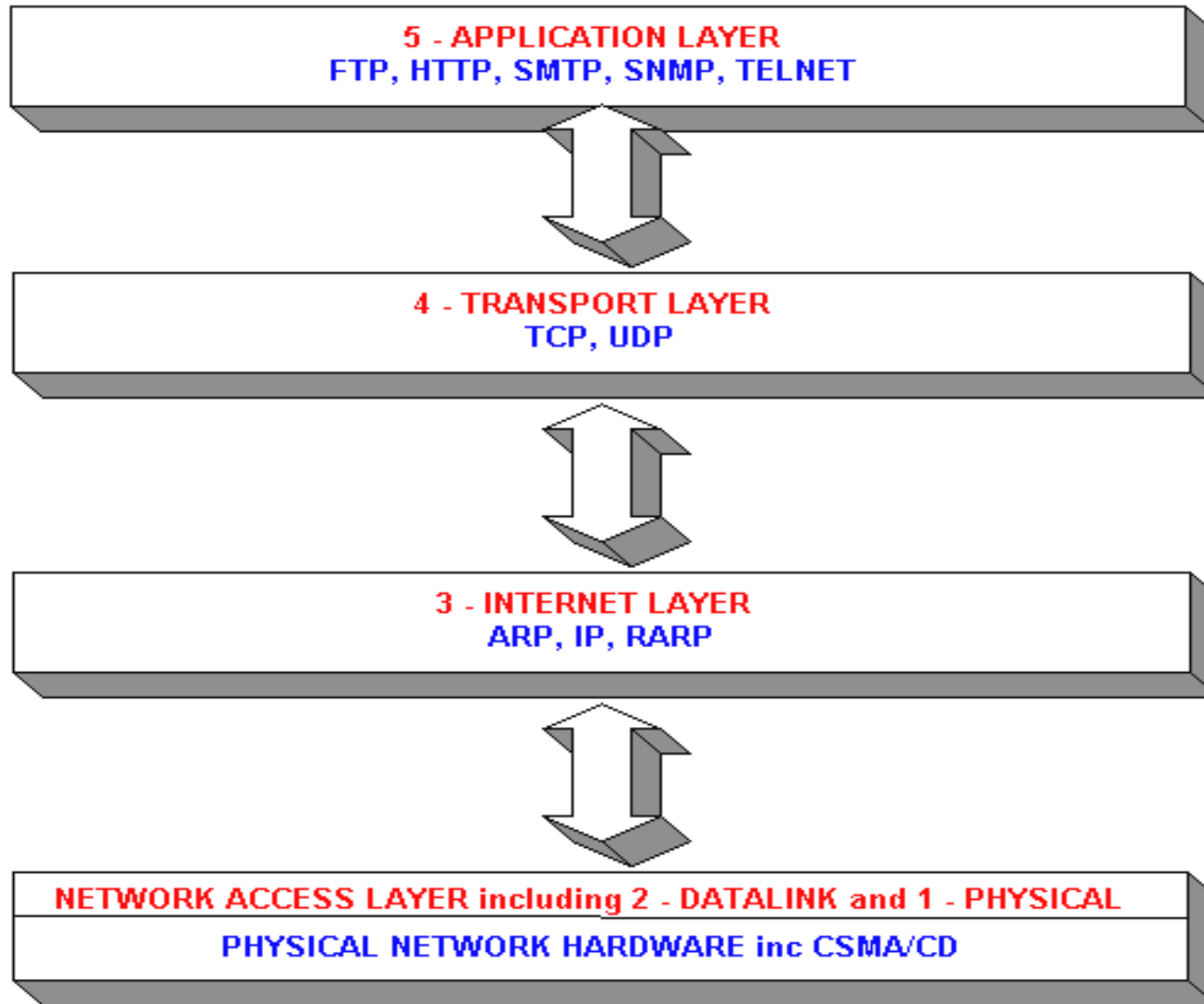


**TCP/IP Protocol Suite** is a four-layered protocol suite. The location of the important protocols within the TCP/IP layers is showed below

**OSI layers**



# The suite of Protocols for TCP/IP



# Application Protocols

Protocols	Role	Ports
HTTP	<p>Hyper Text Transfer Protocol</p> <ul style="list-style-type: none"><li>• browser and web server communication<ol style="list-style-type: none"><li>1. client browser connects to HTTP server</li><li>2. client browser send a request to the HTTP server</li><li>3. HTTP server reacts by sending a response</li><li>4. HTTP server disconnects</li></ol></li></ul>	80
FTP	<p>File transfer protocol</p> <ol style="list-style-type: none"><li>1. allow people anywhere on the Internet to log in and download whatever files they have placed on the FTP server, or upload other files.</li><li>2. Port 20 for data channel and 21 for control channel</li></ol>	20, 21

# Application Protocols

Protocols	Role	Ports
DNS	<b>Domain Name System</b> <ul style="list-style-type: none"><li>1.provides translation between host name and IP address</li><li>2.DNS messages are carried using UDP on port 53</li></ul>	53
TELNET	Remote login	23

# Application Protocols (cont'd)

TCP/IP suite

Protocols	Role	Ports
POP3	<p>Post Office Protocol 3</p> <ol style="list-style-type: none"><li>1. The point of POP3 is to fetch email from the remote mailbox and store it on the user's local machine to read later.</li><li>2. Downloaded emails are then deleted from the server.</li></ol>	110
IMAP	<p>Internet Message Access Control</p> <ol style="list-style-type: none"><li>1. Retrieve emails</li><li>2. retaining e-mail on the server and for organizing it in folders on the serve</li></ol>	143
SMTP	<p>Sending email</p> <ol style="list-style-type: none"><li>1. Sending emails</li><li>2. Establish TCP connection to port 25 of the destination machine / server</li><li>3. Start sending email message</li></ol>	25



# HTTP (Hypertext Transfer Protocol)

## Purpose:

Used for transferring web pages and other resources on the World Wide Web.

## Key Features:

- **Client → Server** (usually web browser → web server).
- The client (**browser**) sends a request (e.g., GET, POST) to the **server**, and the **server** responds with data such as an **HTML page**, **image**, or **video**.
- **Stateless** – each request is independent.

## Example:

You type <https://www.example.com> → browser sends HTTP request → server responds with the website content.

## Port Numbers:

- HTTP: **80** (default)
- HTTPS (secure): **443**

# FTP (File Transfer Protocol)

## Purpose:

Used to transfer files between computers over a network.

## Key Features:

- Client-server architecture, two-part connection (**one for commands and one for data**).
- Authentication for access.
- Support for various file operations like **uploading** and **downloading**.

## Example:

Uploading a file from your computer to a website's hosting server using FileZilla or command-line FTP.

## Port Numbers:

- FTP (**control connection**): **21**
- FTP (**data connection**): **20**

# Telnet (TELecommunication NETwork)

## Purpose:

Provides remote login to another computer or network device (like a server or router).

## Key Features:

- Allowing for remote command-line access and control of devices.
- It is a lightweight and platform-independent protocol.
- It is insecure as it transmits all data, including passwords, in unencrypted plaintext.
- It is now mainly used for local network tasks.
- SSH (**Secure Shell**) — same purpose but encrypted and secure.

## Example:

A network administrator connects to a remote router to configure it using command-line interface commands.

## Port Numbers:

- Telnet: **23**

# IMAP (Internet Message Access Protocol)

## Purpose:

Used by an email client (like Outlook, Gmail app, Thunderbird) to **access emails stored on the mail server.**

## Key Features:

- Emails **stay on the server.**
- You can **view and manage** your mailbox from **multiple devices** (phone, laptop, etc.).
- Folder structure (Inbox, Sent, etc.) is **synchronized** across all devices.
- Requires an internet connection to read new emails (**unless cached**).

## Example:

You read an email on your phone → it's marked "read" on your laptop too.

## Port Numbers:

- IMAP: **143** (default)
- IMAPS (secure): **993**

# Pop3 (Post Office Protocol v3)

## Purpose:

Used by an email client to **download emails** from the mail server to the device.

## Key Features:

- Emails are **downloaded and usually deleted from the server** (unless you change settings).
- Once downloaded, they exist **only on that device**.
- NOT good for **multiple-device access**.

## Example:

You check mail using POP3 on your laptop → the emails disappear from the server → you can't see them on your phone.

## Port Numbers:

- POP3: **110**
- POP3S (secure): **995**

# SMTP (Simple Mail Transfer Protocol)

## Purpose:

Used to **send emails** from a client to the mail server or between servers.

## Key Features:

- Handles **outgoing mail** only.
- Works with **IMAP** or **POP3** (which handle incoming mail).
- Can **send messages to another email server for delivery**.

## Example:

When you hit “Send,” your email client uses SMTP to push the message to the mail server.

## Port Numbers:

- SMTP: **25** (default, often blocked)
- SMTPS (secure): **465**

# The transport layer

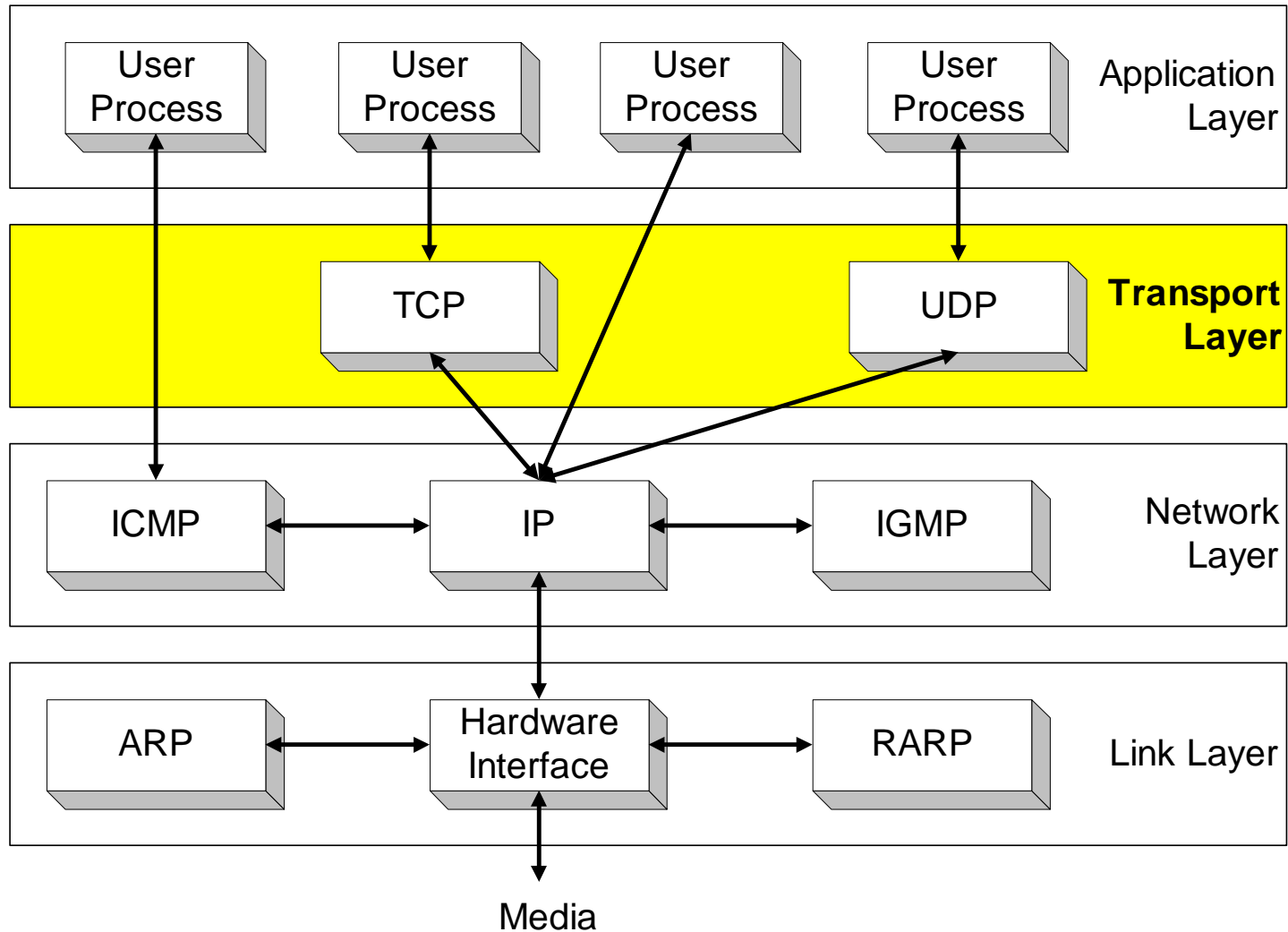
TCP/IP suite

## Transport layer

- Transport protocols
  - UDP
  - TCP
- TCP AND UDP segments

# Transport Protocols

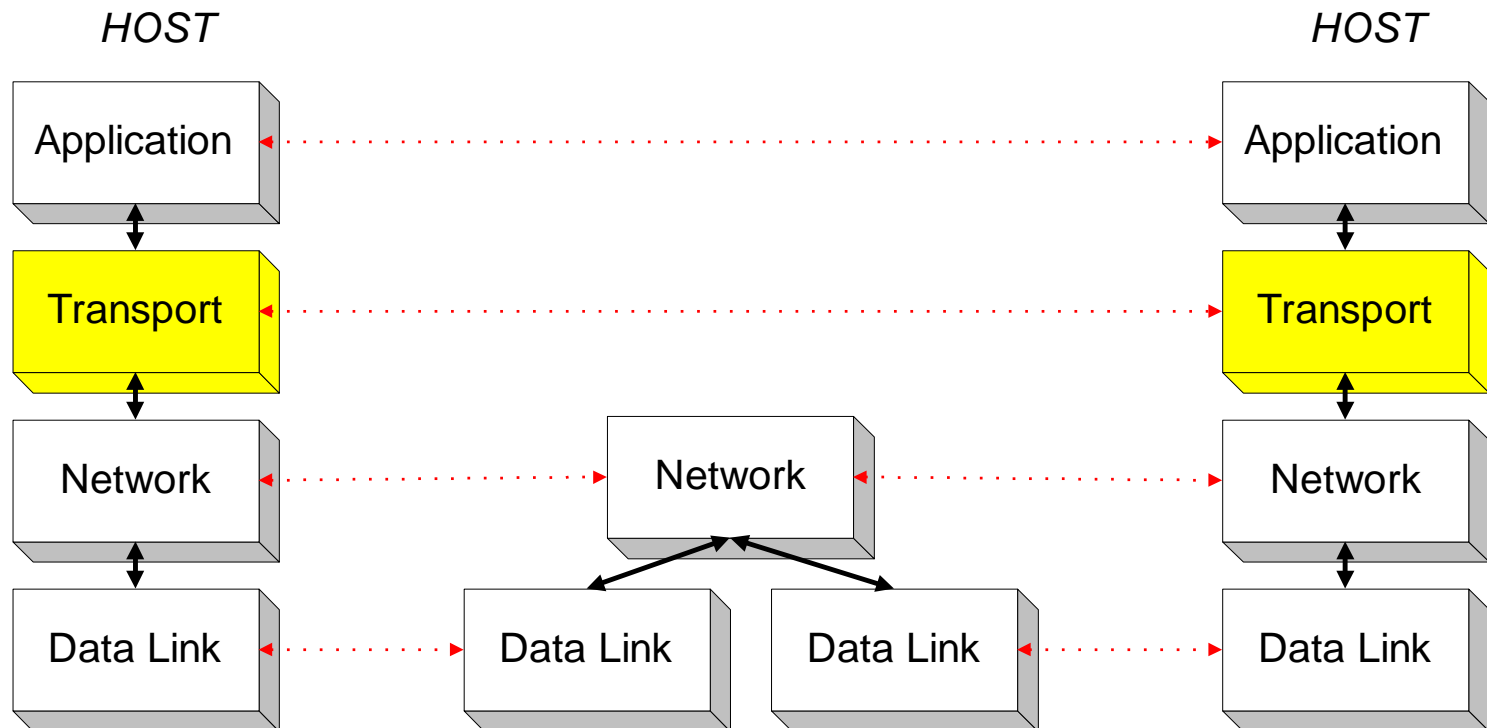
TCP/IP suite





# Orientation

- Transport layer protocols are end-to-end protocols
- They are only implemented at the hosts



# Transport Protocols in the Internet

TCP/IP suite

- The Internet supports 2 transport protocols

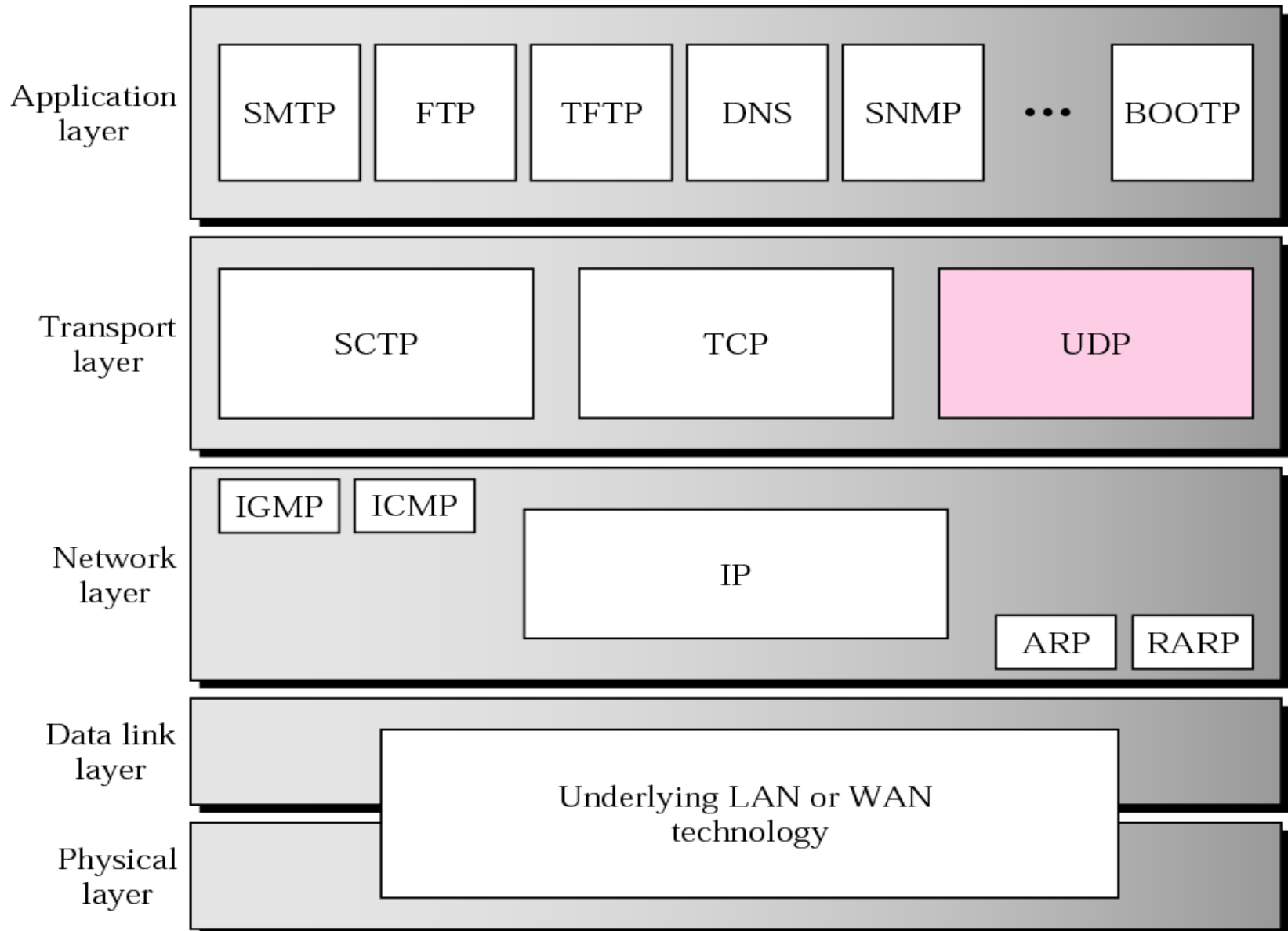
## UDP - User Datagram Protocol

- datagram oriented
- unreliable, connectionless
- No acknowledgment
- simple
- unicast and multicast
- useful only for few applications, e.g., multimedia applications
- used a lot for services
  - network management (SNMP), routing (RIP), naming (DNS), etc.

## TCP - Transmission Control Protocol

- stream oriented
- reliable, connection-oriented
- complex
- only unicast
- used for most Internet applications:
  - web (HTTP), email (SMTP), file transfer (FTP), terminal (TELNET), etc.

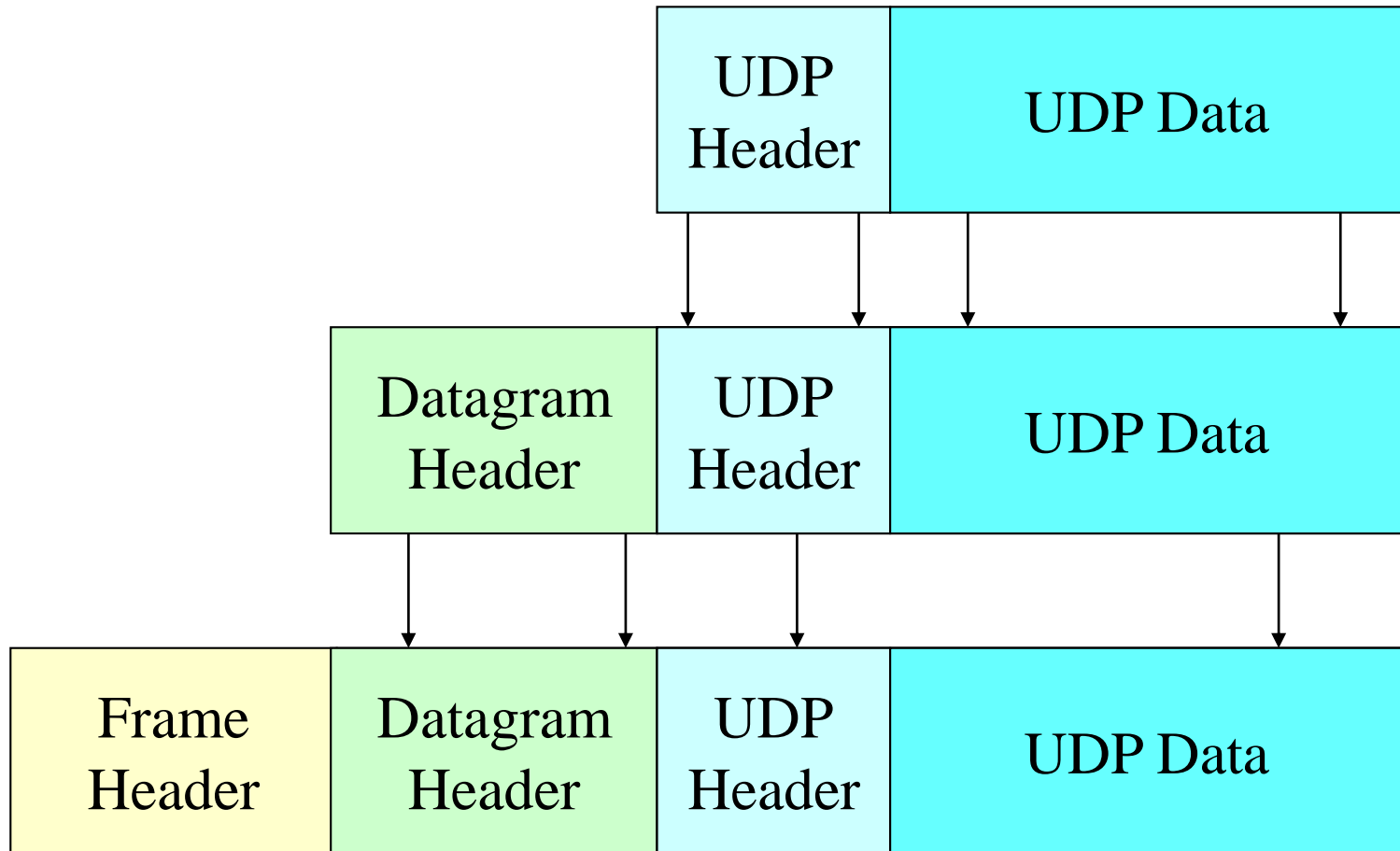
# Position of UDP in the TCP/IP protocol suite



# User Datagram Protocol

- Uses IP to transport message from **source** to **destination**
- Unreliable, connectionless datagram delivery
- No acknowledgements
- Messages can be **lost, duplicated, or arrive out of order.**

# User Datagram Protocol



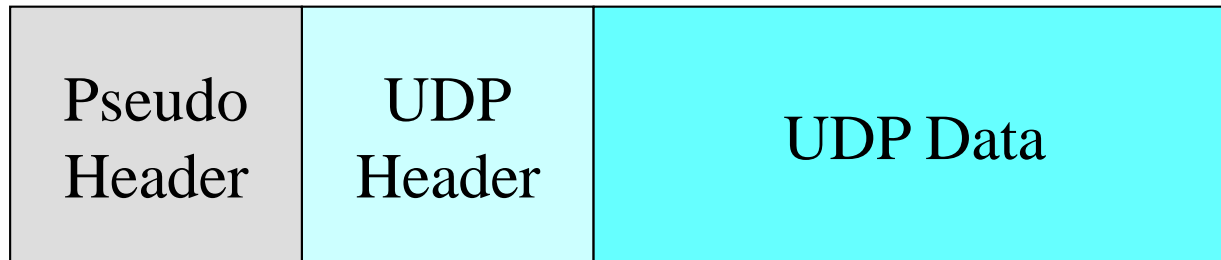
# User Datagram Protocol

- Source port (optional - zero if not used)
- Length - Count of octets including header and data  
(minimum is 8)
- Checksum (optional - zero if not used)

UDP Source Port	UDP Destination Port
UDP Message Length	UDP Checksum
Data . . .	

# User Datagram Protocol

- IP checksum does not include data
- UDP checksum is only way to guarantee that data is correct
- UDP checksum includes pseudo-header



# UDP Pseudo-Header

Source IP Address		
Destination Address		
Zero	Protocol	UDP Length
UDP Source Port		UDP Destination Port
UDP Message Length		UDP Checksum
Data . . .		

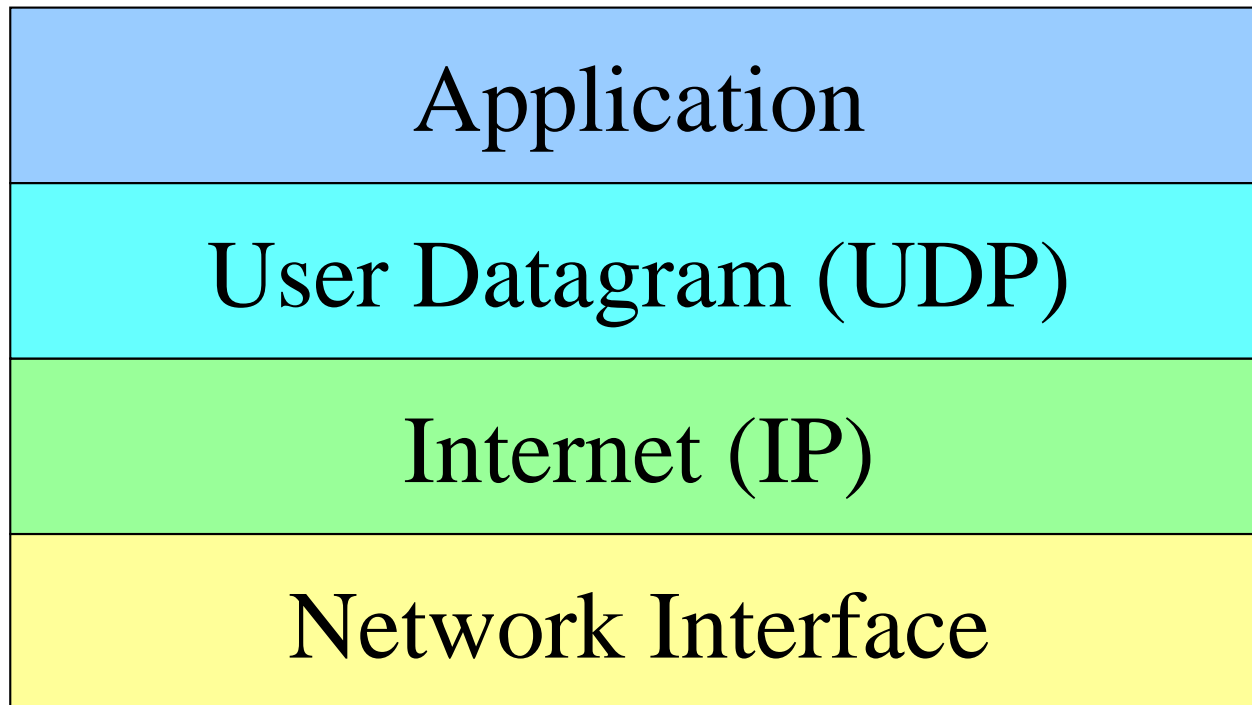


# UDP Pseudo-Header

- Prefixed to the front of datagram
- Verifies that datagram reached correct destination
- UDP header only includes port numbers
- Pseudo-header includes IP addresses

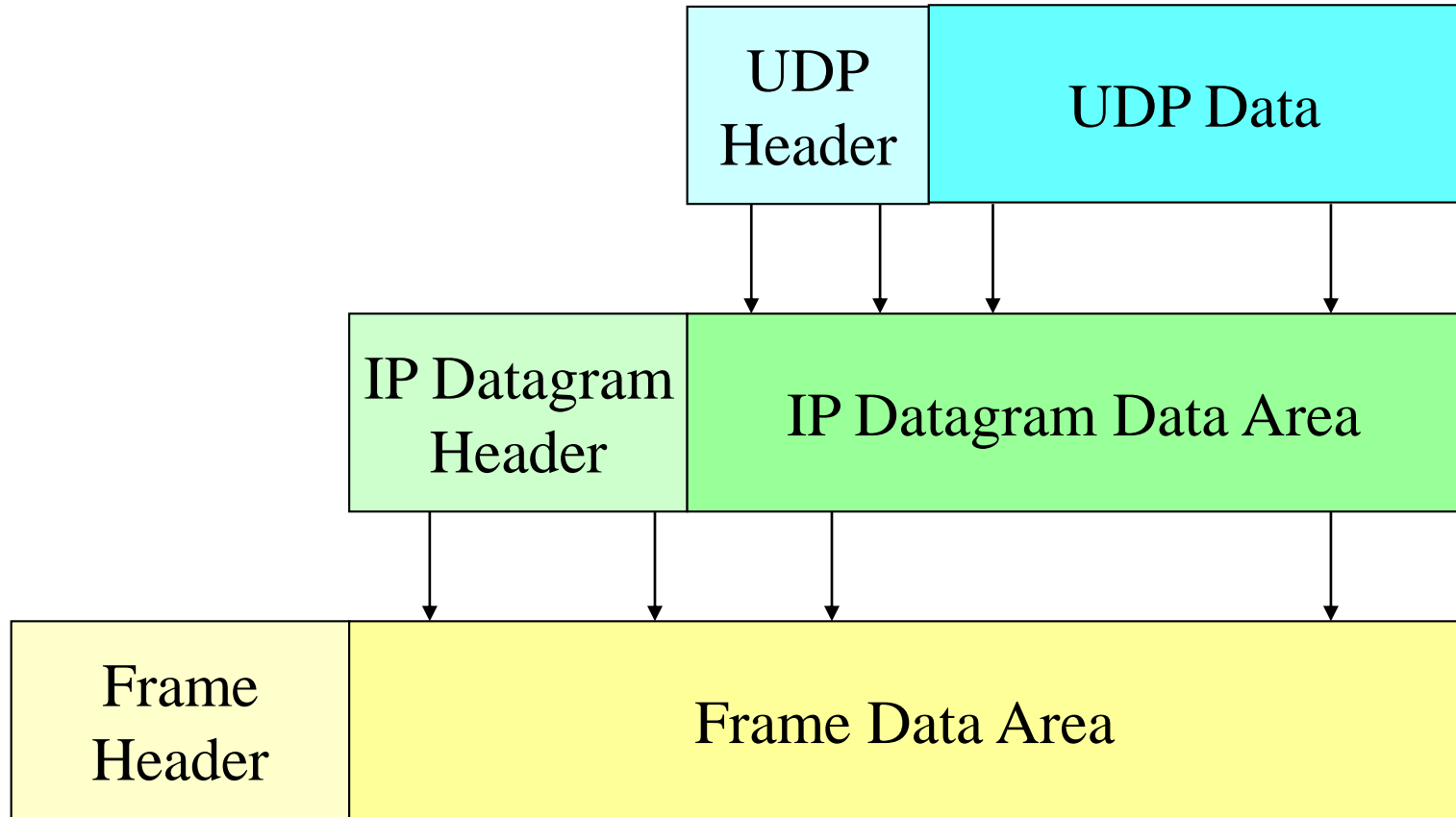
# TCP/IP Layers

Conceptual Layers are independent



# TCP/IP Layers

TCP/IP



# TCP/IP Layers

- UDP checksum includes pseudo-header which includes source and destination IP address
- Source IP address depends on route chosen (multiple interfaces)
- UDP layer builds IP datagram

# User Datagram Protocol

## Summary

- Uses ports on source and target
- Does not add significantly to IP
- Unreliable connectionless packet delivery
- Interacts strongly with IP layer
- Low overhead

# TCP Lingo

- When a client requests a connection, it sends a “**SYN**” segment (a special TCP segment) to the **server port**.
- **SYN** stands for *synchronize*. The **SYN** message includes the **client's ISN**.
- **ISN** is Initial Sequence Number.

# More...

- Every TCP segment includes a *Sequence Number* that refers to the *first byte of data* included in the segment.
- Every TCP segment includes a *Request Number* (*Acknowledgement Number*) that indicates the byte number of the next data that is expected to be received.
- All bytes up through this number have already been received.

# And more...

**There are a bunch of control flags:**

- **URG**: urgent data included.
- **ACK**: this segment is (among other things) an acknowledgement.
- **RST**: error - abort the session.
- **SYN**: synchronize Sequence Numbers (setup)
- **FIN**: polite connection termination.



# And more...

- **MSS**: Maximum segment size (A TCP option)
- **Window**: Every ACK includes a Window field that tells the sender how many bytes it can send before the receiver will have to throw it away (due to fixed buffer size).

# TCP Connection Creation

- Programming details later - for now we are concerned with the actual communication.
- A *server* accepts a connection.
  - Must be looking for new connections!
- A *client* requests a connection.
  - Must *know* where the server is!

# Client Starts

A client starts by sending a **SYN** segment with the following information:

- Client's ISN (generated pseudo-randomly)
- Maximum Receive Window for client.
- Optionally (but usually) MSS (largest datagram accepted).

# Server's Response

When a waiting server sees a new connection request, the server sends back a SYN segment with:

- Server's ISN (generated pseudo-randomly)
- Request Number is Client ISN+1
- Maximum Receive Window for server.
- Optionally (but usually) MSS

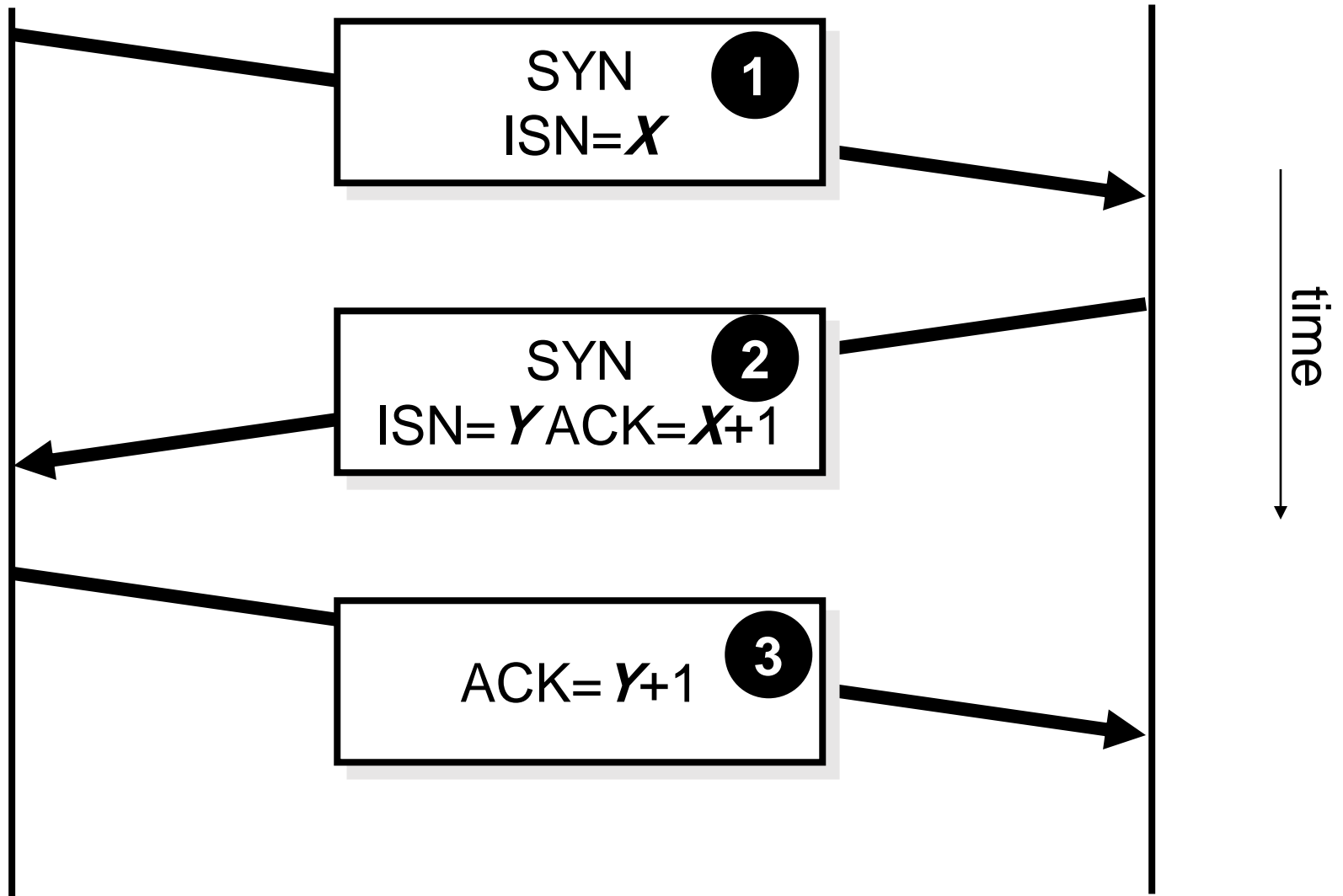
# Finally

When the Server's SYN is received, the client sends back an ACK with:

- Request Number is Server's **ISN+1**

# Client

# Server



TCP 3-way handshake

# TCP 3-way handshake

- 1 Client: “I want to talk, and I’m starting with byte number  $X$ ”.
- 2 Server: “OK, I’m here and I’ll talk. My first byte will be called number  $Y$ , and I know your first byte will be number  $X+1$ ”.
- 3 Client: “Got it - you start at byte number  $Y+1$ ”.

# Why 3-Way?

- Why is the third message necessary?
- HINTS:
  - TCP is a reliable service.
  - IP delivers each TCP segment.
  - IP is not reliable.



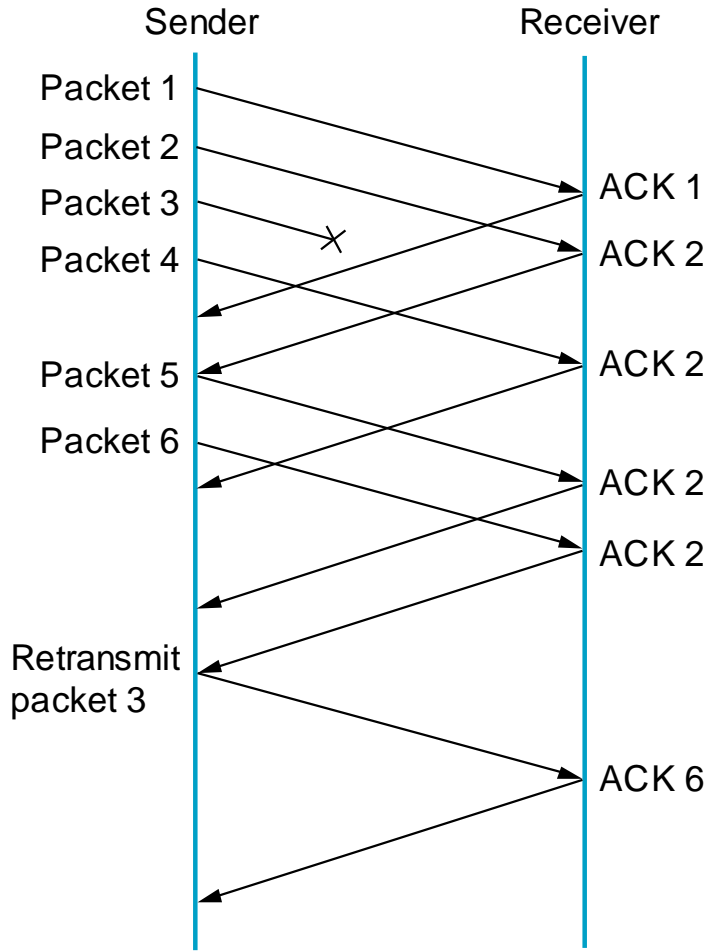
# TCP Data and ACK

- Once the connection is established, data can be sent.
- Each data segment includes a sequence number identifying the first byte in the segment.
- Each segment (data or empty) includes a request number indicating what data has been received.

# TCP Fast Retransmit

- Another enhancement to TCP congestion control
- Idea: When sender sees 3 duplicate ACKs, it assumes something went wrong
- The packet is immediately retransmitted instead of waiting for it to timeout

# TCP Fast Retransmit

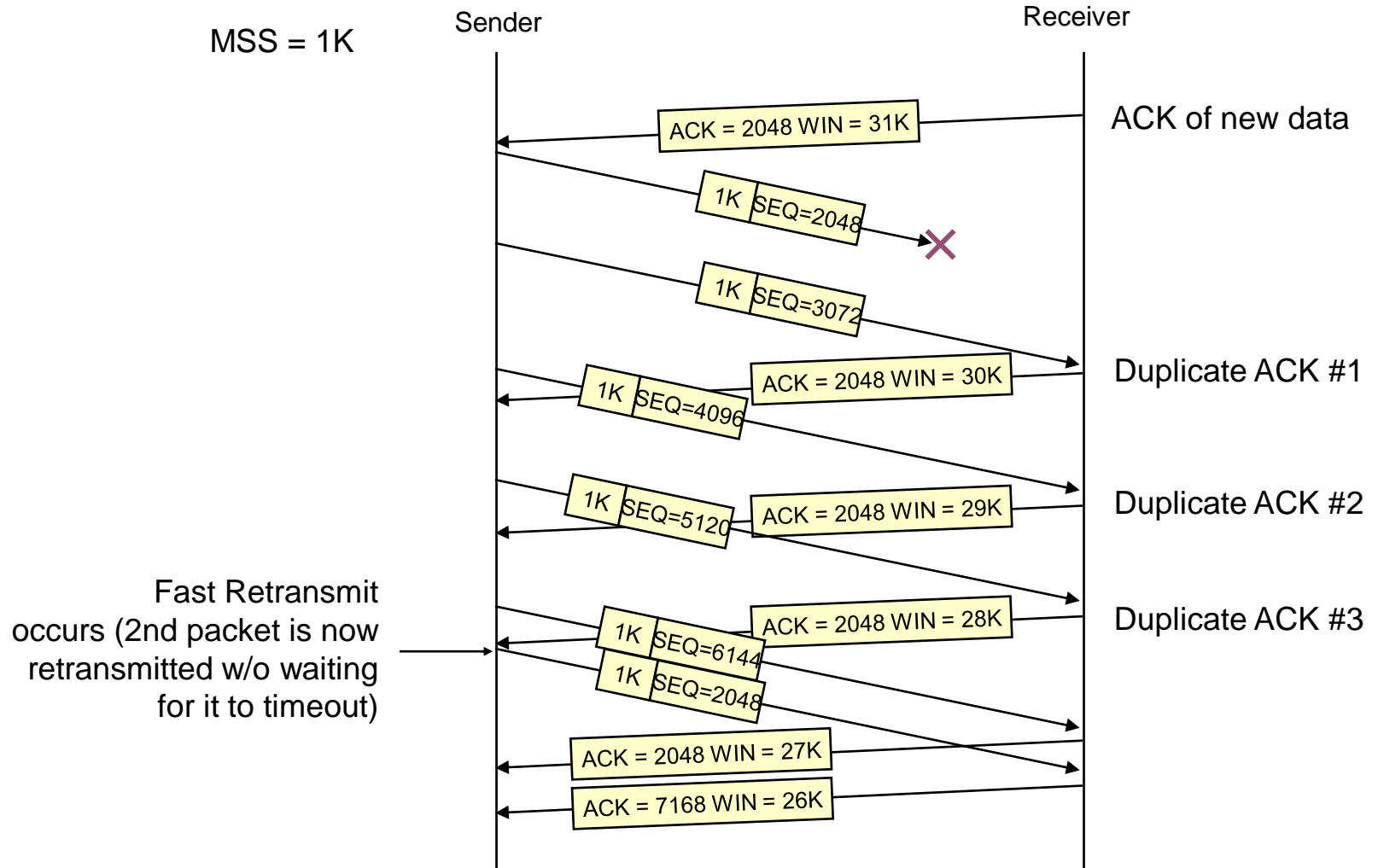


*Fast Retransmit*

Based on three  
duplicate ACKs

# TCP Fast Retransmit

## Example



# Buffering

- Keep in mind that TCP is (usually) part of the Operating System. It takes care of all these details *asynchronously*.
- The TCP layer doesn't know when the application will ask for any received data.
- TCP buffers incoming data so it's ready when we ask for it.

# TCP Buffers

- Both the client and server allocate buffers to hold incoming and outgoing data
  - The TCP layer takes care of this.
- Both the client and server announce with every ACK how much buffer space remains (the Window field in a TCP segment).

# Send Buffers

- The application gives the TCP layer some data to send.
- The data is put in a send buffer, where it stays until the data is ACK'd.
  - it has to stay, as it might need to be sent again!
- The TCP layer won't accept data from the application unless (or until) there is buffer space.

# ACKs

- A receiver doesn't have to ACK every segment (it can ACK many segments with a single ACK segment).
- Each ACK can also contain outgoing data (piggybacking).
- If a sender doesn't get an ACK after some time limit it resends the data.



# TCP Segment Order

- Most TCP implementations will accept out-of-order segments (if there is room in the buffer).
- Once the missing segments arrive, a single ACK can be sent for the whole thing.
- Remember: IP delivers TCP segments, and IP is not reliable - IP datagrams can be lost or arrive out of order.

# Termination

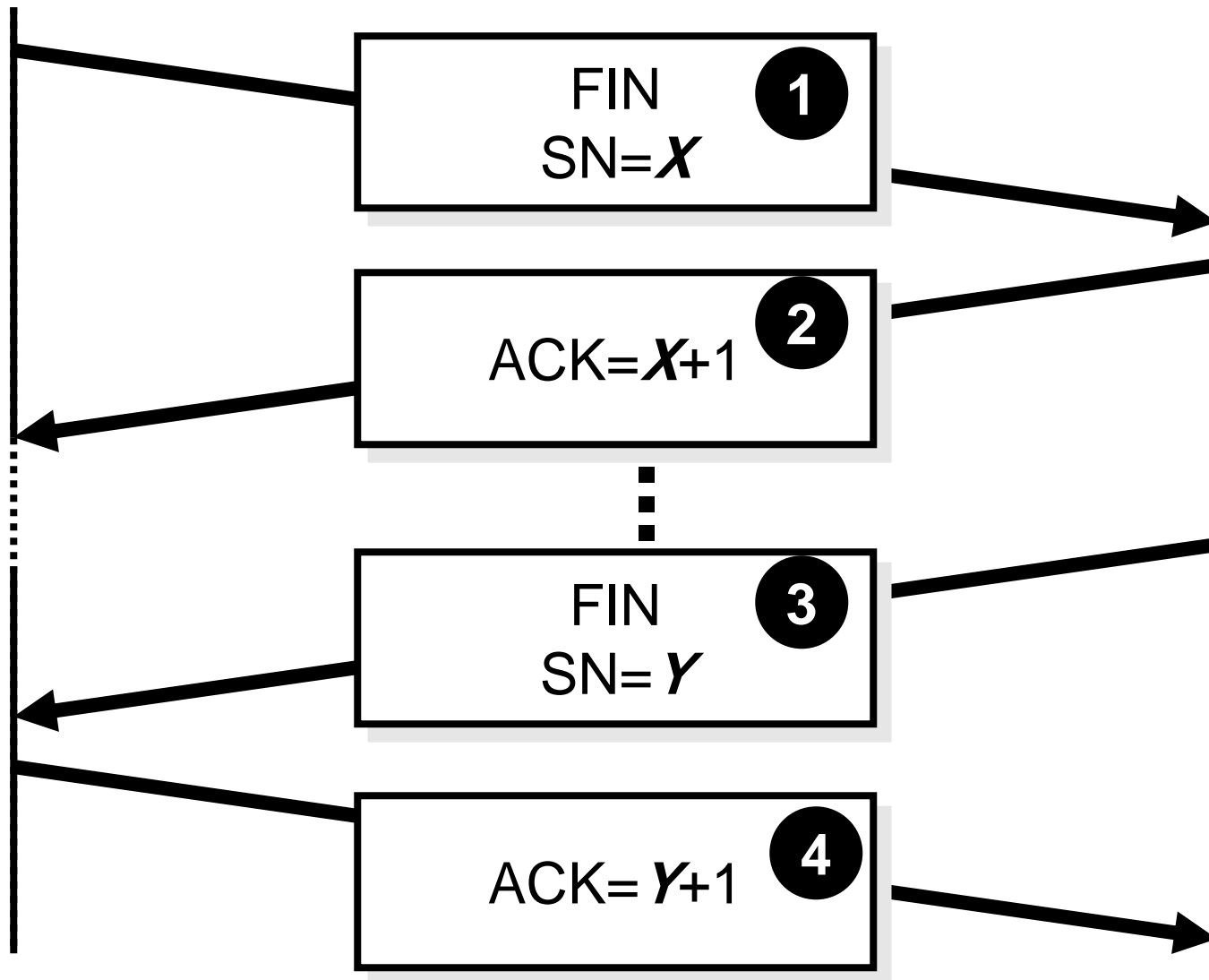
- The TCP layer can send a RST segment that terminates a connection if something is wrong.
- Usually the application tells TCP to terminate the connection politely with a **FIN** segment.

# FIN

- Either end of the connection can initiate termination.
- A FIN is sent, which means the application is done sending data.
- The FIN is ACK'd.
- The other end must now send a FIN.
- That FIN must be ACK'd.

# App1

# App2



# TCP Termination

- 1 App1: “I have no more data for you”.
- 2 App2: “OK, I understand you are done sending.”  
*dramatic pause...*
- 3 App2: “OK - Now I’m also done sending data”.
- 4 App1: “Goodbye, It’s been real pleasure talking to you  
”

# TCP TIME\_WAIT

- Once a TCP connection has been terminated (the last ACK sent) there is some unfinished business:
  - What if the ACK is lost? The last FIN will be resent and it must be ACK'd.
  - What if there are lost or duplicated segments that finally reach the destination after a long delay?
- TCP hangs out for a while to handle these situations.

# 3-Way Handshake Issue (SYN Flooding Attack)

A **SYN flood** is a **Denial of Service (DoS)** attack that targets TCP's **three-way handshake**.

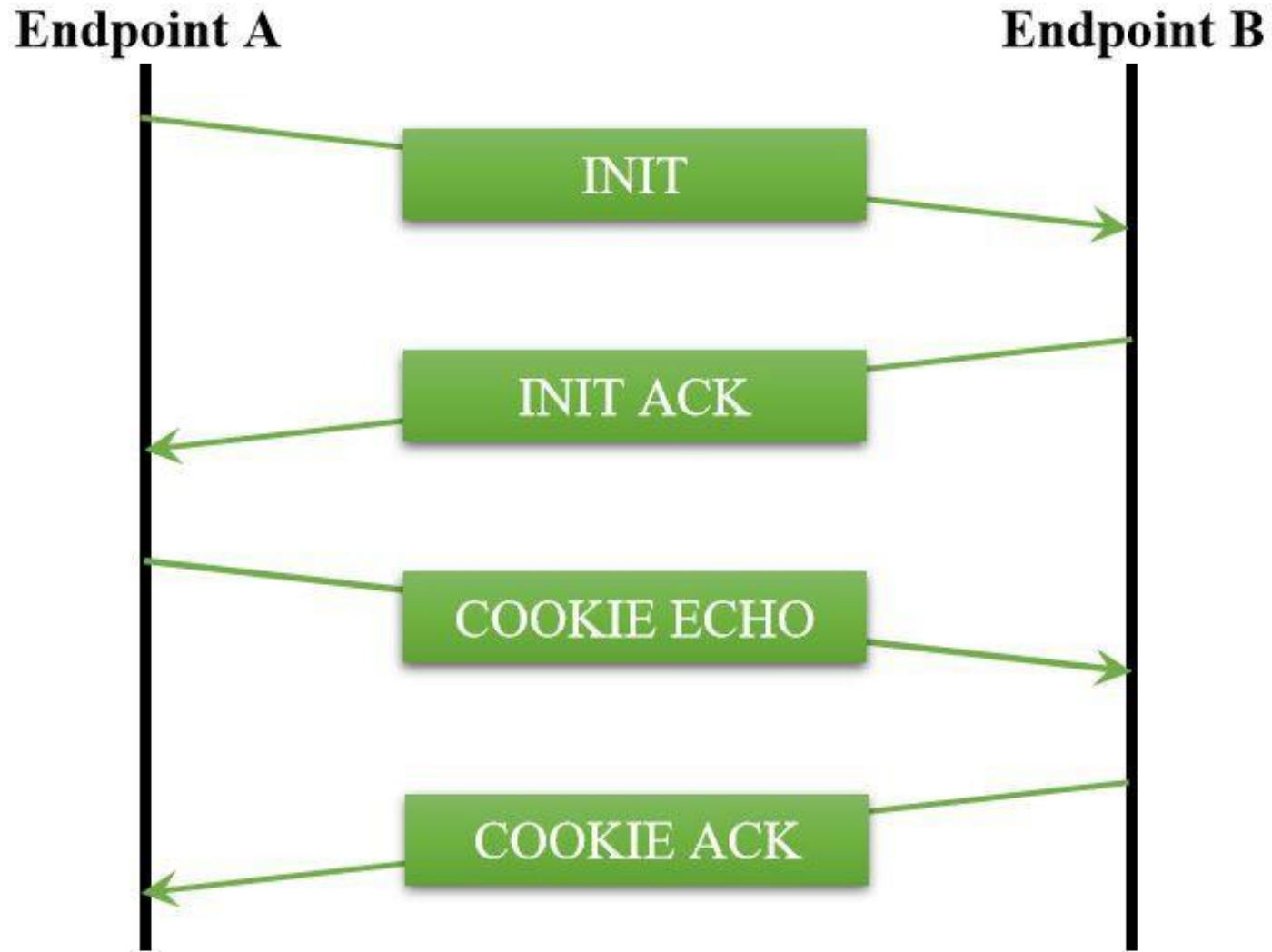
- When the server receives a **SYN**, it immediately allocates resources (like memory for a connection control block) before verifying if the client really exists.
- Attackers can send thousands of fake **SYNs** with **spoofed (Fake) IPs** → server fills up its connection queue, Hence, real clients can't connect.
- **That's the SYN flood attack.**

# SCTP (Stream Control Transmission Protocol)

- It is used for **reliable message-based communication** between internet applications.
- Data is sent in **streams**. Each stream has its own sequence numbering (**S-SN**), so blocking in one stream does not delay others.
- Safer connection setup than TCP (**prevents SYN flood attacks**).
- Mainly used in **Telecom, VoIP, WebRTC, reliable signaling**.
- It uses 4-way handshake.



# 4-way Handshake



# 4-way Handshake (Cont.)

## 1. **INIT** (client → server)

- Client requests to start an association (connection).

## 2. **INIT-ACK** (server → client)

- Server replies with an INIT-ACK that includes a cookie (special token).
- Server does not allocate any resources yet, it just sends back the cookie.

# 4-way Handshake (Cont.)

## 3. **COOKIE-ECHO** (client → server)

- Client returns the cookie back to the server, proving it received the INIT-ACK.

## 4. **COOKIE-ACK** (server → client)

- Server verifies the cookie (using a secret key).
- If valid → connection (association) established.
- Only now does the server allocate memory and resources.

# TCP vs SCTP

Feature	TCP	SCTP
Handshake type	3-way (SYN, SYN-ACK, ACK)	4-way (INIT, INIT-ACK, COOKIE-ECHO, COOKIE-ACK)
When server allocates resources	After receiving SYN	After validating COOKIE-ECHO
SYN flood resistance	Vulnerable	Resistant (stateless handshake)
Authentication of client before resource allocation	None	Uses cookie to confirm client validity

# Test Questions

- Why is a 3-way handshake necessary?
- Who sends the first FIN - the server or the client?
- Once the connection is established, what is the difference between the operation of the server's TCP layer and the client's TCP layer?