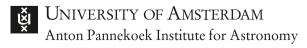
Neural Network Approaches to Conservative-to-Primitive Inversion in Relativistic Hydrodynamics

Kaydo Alders

Supervisors: Philipp Mösta, Swapnil Shankar

June 23, 2023



Acknowledgement

First of all... many thanks to

- Philipp Möstra and Swapnil Shankar for answering all my questions!
- The MMAAMS group for listening to all my troubles!
- Antonia Rowlinson for organizing the bachelor talks!
- My family, relatives, friends and all others for watching online!
- And whoever I may have forgotten to list (sorry)!

- Introducing GRMHD simulations
- 2 Research objective
- Theoretical background
 - Numerical methods and relativistic fluid dynamics
 - Machine Learning and artificial neural networks
- Results and discussions
 - Hyperparameters for the models
 - Training settings and evaluation times
 - Evaluation times compared to root-finders
- 5 Conclusion and Recommendations

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Introduction—The Importance of GRMHD Simulations

- The role of General Relativistic Magneto-Hydrodynamics (GRMHD) simulations is to study astrophysical phenomena like core-collapse supernovae and binary neutron star mergers.
- These simulations enable us to study gravitational waves, ejected materials, and remnants of compact-object mergers.
- Complex and demanding calculations require speed and accuracy for meaningful results.

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GRaM-X—Enhancing Simulations Efficiency

- GRaM-X, a state-of-the-art code built on the Cactus computational framework, incorporates enhancements like GPU utilization to boost simulations' computational efficiency.
- Part of the Einstein Toolkit, GRaM-X facilitates numerical simulations and analysis of astrophysical phenomena in the context of general relativity.

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- Our stellar object is modelled as a fluid.
- The conversion from conserved variables is computationally expensive.
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- Implement a supervised artificial neural network ANN to replace con2prim root-finding algorithms.
- Use Python and the PyTorch framework for initial implementation.
- Split the project into two parts: special relativistic case (SRHD) and general relativistic case (GRMHD).

Research objective 8 / 66

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Research Objective—Part I (Special Relativistic Case)

- Replicate ANN from Dieselhorst et al.'s work with the same hyperparameters.
- Aim for the same order of accuracy as in Dieselhorst et al.'s work.
- Experiment with different hyperparameters, and other settings.

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Research Objective—Part II (General GRMHD Case)

• Implement ANNs for GRMHD, using insights gained from SRHD.

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- Demonstrate that the ANN can reduce computational time by at least an order of magnitude.
- Show the potential to integrate the ANN into the GRaM-X framework by porting to C++.

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- Considering first SRHD.
- Explicitly, conservative variables U are given by

$$\mathbf{U} = (D, S^1, S^2, S^3, \tau) \tag{1}$$

- Here, the conservative variables are: rest-mass density D, three components of momentum S^i , and energy density τ .
- Primitive variables are i.a. used to calculated the fluxes, and are given by

$$P = (\rho, v^i, \epsilon, p)$$
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• These include the proper rest-mass density ρ , 3-velocity v^i , specific internal energy ϵ , and

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SRHD—Evolving a stellar object through time

• This evolution is achieved through the equation

$$\frac{\partial \mathbf{U}}{\partial t} + \frac{\partial \mathbf{F}^i}{\partial x^i} = \mathbf{S} \tag{3}$$

- This equation is a conservation law relating changes in conserved quantities to their fluxes
 Fⁱ and sources S.
- Such a formulation is foundational in physics, much like the Divergence Theorem in Calculus.

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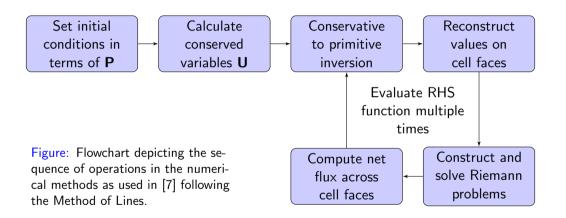
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Numerical methods—(Stellar) Evolution in a simulation



Numerical methods—Cell reconstruction

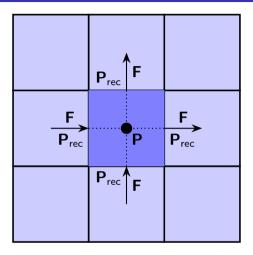


Figure: Illustration of a two-dimensional representation of a grid cell in spacetime.

$$D = \rho W , \qquad (4)$$

$$S^{i} = \rho h W^{2} v^{i} \quad i = 1, 2, 3 ,$$
 (5)

$$\tau = \rho h W^2 - p - D , \qquad (6)$$

- h the specific enthalpy $h=1+\epsilon+p/\rho$
- ullet W the Lorentz factor given by: $W=rac{1}{\sqrt{1-v^iv_i}}$.
- EoS assumed to be of the form: $p = p(\rho, \epsilon) \propto \rho \epsilon$.
- Inversion P(U) is non-analytic and requires numerical approximation!
- We use the equations for D, S^i , and τ directly as input to the NNs.



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GRMHD—Conservative variables in GRMHD

• The vector of conserved variables is redefined:

$$\mathbf{U} = \left(D, S_j, \tau, \mathscr{B}^k\right) \tag{7}$$

Now includes the conserved magnetic field:

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GRMHD Conservative-to-primitive Inversion Equations

- Compared to SRHD, modified and additional equations.
- The full set of relations in GRMHD is:

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Used directly as the input to the NNs for GRMHD.



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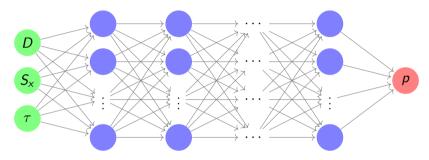
Deep Learning and ANN Structure

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- An ANN architecture consists of interconnected layers of artificial neurons or nodes.

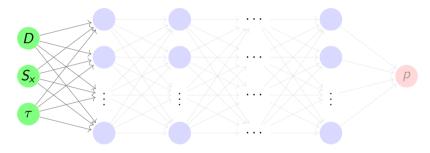
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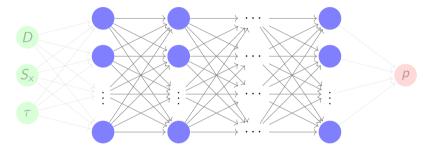
Network architecure SRHD models



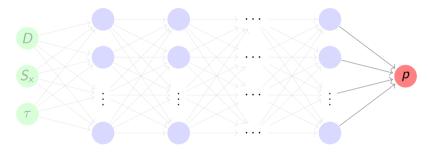
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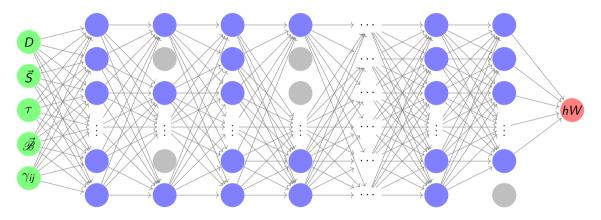


Figure: Illustration of the neural network architecture for the GRMHD models, with input layer (green), hidden layers (blue) and the output layer (red) and layers with dropped out neurons (gray)



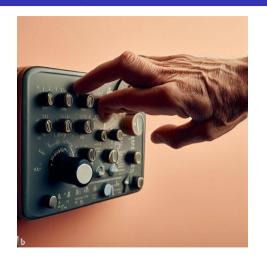
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Knobs analogy



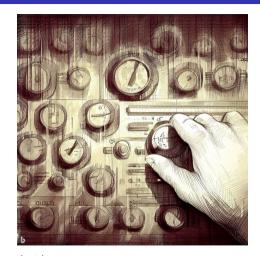


Figure: The hyperparameters are the knobs that we turn (left) while the parameters are the knobs that the machine turns (right). Image prompts credit: Alice Alders.

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Hyperparameter	NNC2PS	NNSR1	NNSR3	NNSR4
Number of hidden layers	2	2	3	5
Number of hidden units	600, 200	600, 200	555, 458, 115	617, 858, 720,
				989, 613
Hidden activation	Sigmoid	Sigmoid	ReLU	ReLU
Output activation	ReLU	ReLU	ReLU	ReLU
Loss function	MSE	MSE	Huber	MSE
Optimizer	Adam	Adam	RMSprop	Adagrad
Learning Rate	6×10^{-3}	$6 imes 10^{-3}$	1.23×10^{-4}	$1.69 imes 10^{-3}$
Batch Size	32	32	49	16
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Number of hidden units	600, 200	600, 200	555, 458, 115	617, 858, 720, 989, 613
Hidden activation	Sigmoid	Sigmoid	ReLU	ReLU
Output activation	ReLU	ReLU	ReLU	ReLU
Loss function	MSE	MSE	Huber	MSE
Optimizer	Adam	Adam	RMSprop	Adagrad
Learning Rate	$6 imes 10^{-3}$	$6 imes 10^{-3}$	1.23×10^{-4}	$1.69 imes 10^{-3}$
Batch Size	32	32	49	16
LR Scheduler	Red. Plat.	Red. Plat.	Red. Plat.	Cos. Ann.

Hyperparameter	NNC2PS	NNSR1	NNSR3	NNSR4
Number of hidden layers Number of hidden units	2 600, 200	2 600, 200	3 555, 458, 115	5 617, 858, 720, 989, 613
Hidden activation	Sigmoid	Sigmoid	ReLU	ReLU
Output activation	ReLU	ReLU	ReLU	ReLU
Loss function	MSE	MSE	Huber	MSE
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Learning Rate	$6 imes 10^{-3}$	$6 imes 10^{-3}$	1.23×10^{-4}	1.69×10^{-3}
Batch Size	32	32	49	16
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Hyperparameter	NNC2PS	NNSR1	NNSR3	NNSR4
Number of hidden layers	2	2	3	5
Number of hidden units	600, 200	600, 200	555, 458, 115	617, 858, 720,
				989, 613
Hidden activation	Sigmoid	Sigmoid	ReLU	ReLU
Output activation	ReLU	ReLU	ReLU	ReLU
Loss function	MSE	MSE	Huber	MSE
Optimizer	Adam	Adam	RMSprop	Adagrad
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Hyperparameter	NNC2PS	NNSR1	NNSR3	NNSR4
Number of hidden layers	2	2	3	5
Number of hidden units	600, 200	600, 200	555, 458, 115	617, 858, 720,
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Hidden activation	Sigmoid	Sigmoid	ReLU	ReLU
Output activation	ReLU	ReLU	ReLU	ReLU
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Optimizer	Adam	Adam	RMSprop	Adagrad
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Number of hidden layers Number of hidden units	2 600, 200	2 600, 200	3 555, 458, 115	5 617, 858, 720,
Hidden activation Output activation Loss function	Sigmoid ReLU MSE	Sigmoid ReLU MSE	ReLU ReLU Huber	989, 613 ReLU ReLU MSE
Optimizer Learning Rate Batch Size LR Scheduler	Adam 6×10^{-3} 32 Red. Plat.	$\begin{array}{c} \text{Adam} \\ 6\times10^{-3} \\ 32 \\ \text{Red. Plat.} \end{array}$	RMSprop 1.23×10^{-4} 49 Red. Plat.	Adagrad 1.69×10^{-3} 16 Cos. Ann.

Hyperparameter	NNC2PS	NNSR1	NNSR3	NNSR4
Number of hidden layers	2	2	3	5
Number of hidden units	600, 200	600, 200	555, 458, 115	617, 858, 720,
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Hidden activation	Sigmoid	Sigmoid	ReLU	ReLU
Output activation	ReLU	ReLU	ReLU	ReLU
Loss function	MSE	MSE	Huber	MSE
Optimizer	Adam	Adam	RMSprop	Adagrad
Learning Rate	$6 imes 10^{-3}$	$6 imes 10^{-3}$	1.23×10^{-4}	$1.69 imes 10^{-3}$
Batch Size	32	32	49	16
LR Scheduler	Red. Plat.	Red. Plat.	Red. Plat.	Cos. Ann.

Table: Hyperparameters for SRHD neural network models: NNC2PS, NNSR1, NNSR3, and NNSR4.

Hyperparameter	NNC2PS	NNSR1	NNSR3	NNSR4
Number of hidden layers	2	2	3	5
Number of hidden units	600, 200	600, 200	555, 458, 115	617, 858, 720,
				989, 613
Hidden activation	Sigmoid	Sigmoid	ReLU	ReLU
Output activation	ReLU	ReLU	ReLU	ReLU
Loss function	MSE	MSE	Huber	MSE
Optimizer	Adam	Adam	RMSprop	Adagrad
Learning Rate	6×10^{-3}	$6 imes 10^{-3}$	1.23×10^{-4}	$1.69 imes 10^{-3}$
Batch Size	32	32	49	16
LR Scheduler	Red. Plat.	Red. Plat.	Red. Plat.	Cos. Ann.

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Hyperparameter	NNC2PS	NNSR1	NNSR3	NNSR4
Number of hidden layers	2	2	3	5
Number of hidden units	600, 200	600, 200	555, 458, 115	617, 858, 720,
				989, 613
Hidden activation	Sigmoid	Sigmoid	ReLU	ReLU
Output activation	ReLU	ReLU	ReLU	ReLU
Loss function	MSE	MSE	Huber	MSE
Optimizer	Adam	Adam	RMSprop	Adagrad
Learning Rate	$6 imes 10^{-3}$	$6 imes 10^{-3}$	1.23×10^{-4}	$1.69 imes 10^{-3}$
Batch Size	32	32	49	16
LR Scheduler	Red. Plat.	Red. Plat.	Red. Plat.	Cos. Ann.

NNSR1 Train and Test Norms per Epoch

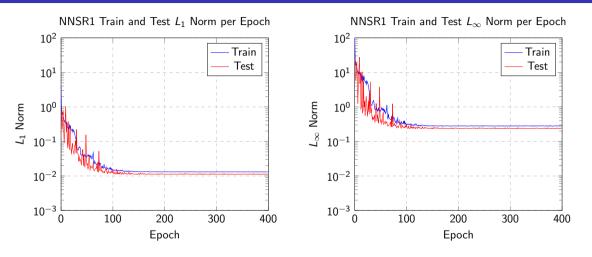


Figure: L_1 and L_{∞} norm error plots per epoch for the NNSR1 model during training (blue) and testing (red).

NNSR2 Train and Test Norms per Epoch

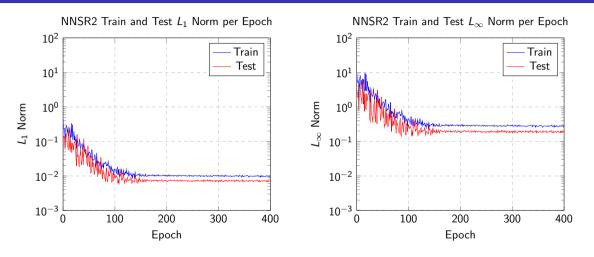


Figure: L_1 and L_{∞} norm error plots per epoch for the NNSR2 model during training (blue) and testing (red).

NNSR3 Train and Test Norms per Epoch

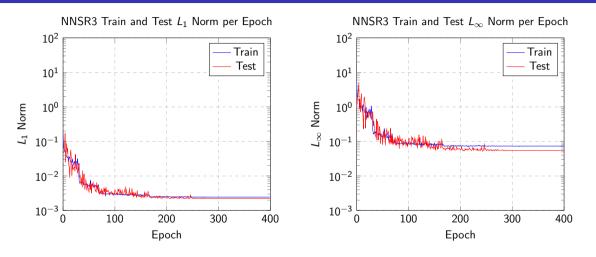


Figure: L_1 and L_{∞} norm error plots per epoch for the NNSR3 model during training (blue) and testing (red).

NNSR3 Train and Test Norms per Epoch

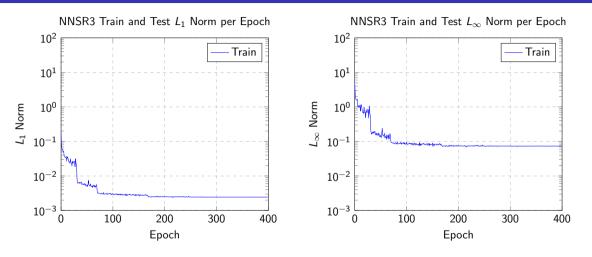


Figure: L_1 and L_{∞} norm error plots per epoch for the NNSR3 model during training (blue) and testing (red).

NNSR4 Train and Test Norms per Epoch

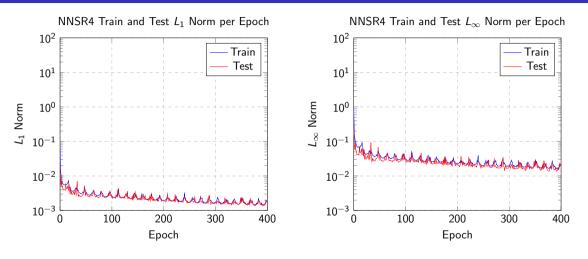


Figure: L_1 and L_{∞} norm error plots per epoch for the NNSR4 model during training (blue) and testing (red).

NNSR4 Train and Test Norms per Epoch

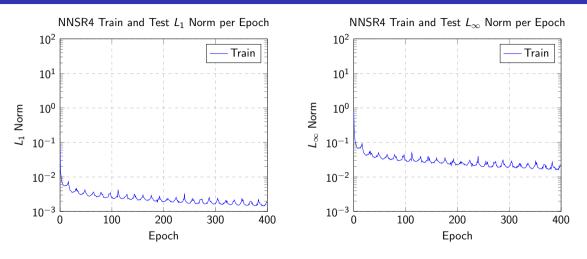


Figure: L_1 and L_{∞} norm error plots per epoch for the NNSR4 model during training (blue) and testing (red).

Table: Comparison of hyperparameters for NNGR1 and NNGR2 models

	NNGR1	NNGR2
Hidden layers	5	5
Hidden units	216, 2666, 1459, 485, 103	900, 113, 1440, 478, 3328
Hidden activation	PReLU	PReLU
Output activation	Linear	Linear
Loss function	MSE	MSE
Optimizer	Adagrad	Adagrad
Learn. rate	1.37×10^{-4}	1.11×10^{-4}
Batch size	512	512
LR scheduler	StepLR	ReduceLROnPlateau
Dropout rate	$\sim 29\%$	\sim 47%

Table: Comparison of hyperparameters for NNGR1 and NNGR2 models

	NNGR1	NNGR2
Hidden layers	5	5
Hidden units	216, 2666, 1459, 485, 103	900, 113, 1440, 478, 3328
Hidden activation	PReLU	PReLU
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Loss function	MSE	MSE	
Optimizer	Adagrad Adagrad		
Learn. rate	1.37×10^{-4}	$1.11 imes 10^{-4}$	
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Output activation	Linear	Linear
Loss function	MSE	MSE
Optimizer	Adagrad	Adagrad
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Batch size	512	512
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Optimizer	Adagrad	Adagrad	
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Dropout rate	$\sim 29\%$	\sim 47%

NNGR1 Train and Test Norms per Epoch

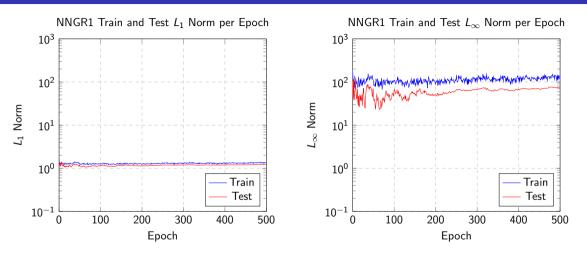


Figure: L_1 and L_{∞} norm error plots per epoch for the NNGR1 model during training (blue) and testing (red).

NNGR2 Train and Test Norms per Epoch

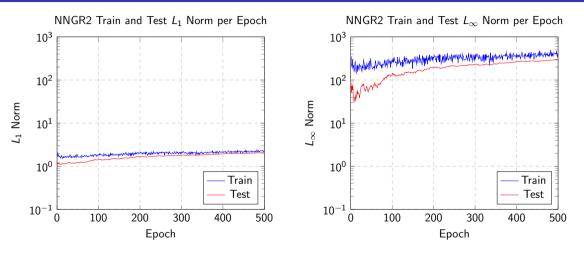


Figure: L_1 and L_{∞} norm error plots per epoch for the NNGR2 model during training (blue) and testing (red).

	NNSR1	NNSR2	NNSR3	NNSR4	NNGR1	NNGR2
Total						
samples	90k	90k	90k	90k	100k	100k
Train	80k	80k	80k	80k	80k	80k
Validation	_	_	_	_	15k	15k
Test	10k	10k	10k	10k	15k	15k
Trials	_	_	250	250	500	672
Epochs	400	400	400	400	500	500
Parameters	100k	300k	300k	2500k	5000k	2500k
Average	88.3	72.7	361	245	550	581
time (μs)						
Best time	18.4	20.5	24.6	64.5	404	368
(μs)						

	NNSR1	NNSR2	NNSR3	NNSR4	NNGR1	NNGR2
Total						
samples	90k	90k	90k	90k	100k	100k
Train	80k	80k	80k	80k	80k	80k
Validation	_	_	_	_	15k	15k
Test	10k	10k	10k	10k	15k	15k
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	NNSR1	NNSR2	NNSR3	NNSR4	NNGR1	NNGR2
Total						
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Train	80k	80k	80k	80k	80k	80k
Validation	_	_	_	_	15k	15k
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(μs)						

Evaluation times compared to root-finders

	Average	Best	Average	Best	Average	Best
	fraction	fraction	fraction	fraction	fraction	fraction
	NNSR1	NNSR1	NNGR1	NNGR1	NNGR2	NNGR2
$n_{\text{cells}} = 32$	5.63	27.0	0.904	1.23	0.856	1.35
$n_{cells} = 64$	23.3	112	3.73	5.08	3.53	5.58
$n_{cells} = 128$	99.7	478	16.0	21.8	15.2	23.9
$n_{cells} = 256$	410	1960	65.9	89.7	62.3	98.4

Table: The evaluation times are represented as **fractions** of root-finder times over respective model times. Higher values denote faster model performance. Root-finder values by de Graaf [4].

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	Average	Best	Average	Best	Average	Best
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Evaluation times compared to root-finders

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- 2 Research objective
- Theoretical background
 - Numerical methods and relativistic fluid dynamics
 - Machine Learning and artificial neural networks
- Results and discussions
 - Hyperparameters for the models
 - Training settings and evaluation times
 - Evaluation times compared to root-finders
- 5 Conclusion and Recommendations



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Conclusion - Accomplishments Part I

- Explored con2prim inversion in SRHD using a neural network.
- Extended this investigation to the more complex GRMHD case.
- Achieved computational time reduction of con2prim inversion in GRMHD by at least an order of magnitude (given successful parallelization on the GPU), benchmarked on the Nvidia RTX A6000 GPU.

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Conclusion - Accomplishments Part II

- Despite initial challenges with the SRHD models, improved network tuning led to achieving acceptable error metrics.
- For GRMHD models, despite higher errors, demonstrated a reduction in computation time, achieving up to 100 times faster evaluation time ¹ than the current GRaM-X implementation.
- Provided potential for complete integration of the GRMHD models into the GRaM-X framework.



¹Again, given NNs work well with GPU parallelization on GRaM-X

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Conclusion - Future Work and Final Thoughts

- Future work: Integrate GRMHD NNs into GRaM-X by providing tabulated EoS and multiple outputs, quantify relationship between hyperparameters and NN performance.
- Despite challenges, the project underlines the potential of machine learning in relativistic hydrodynamics.
- Optimistic about future advancements and applications of these techniques in GRMHD.

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Appendix

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SRHD—Flux vectors

ullet The flux vectors $oldsymbol{F}^i$ describe how the conserved quantities change across a fluid surface

$$\mathbf{F}^{i} = (Dv^{i}, S^{1}v^{i} + p\delta^{1i}, S^{2}v^{i} + p\delta^{2i}, S^{3}v^{i} + p\delta^{3i}, S^{i} - Dv^{i}) , \qquad (12)$$



Appendix Flux vectors 50 / 66

Evolution step	$n_{cells} = 32 \; (us)$	$n_{cells} = 64 \; (us)$	$n_{cells} = 128 (us)$	$n_{cells} = 256 \; (us)$
Con2prim Interior	498	2052	8802	36225
Fluxes	1560	6975	54303	434775
Source	171	741	5115	40164
Update	81	300	2133	16956
Tmunu	237	1137	8232	65409
GraM-X Iteration	36699	157401	1008399	10702599

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Obtaining primitive variables from pressure

In the special relativistic case, all the other primitive variables can be constructed once we know the pressure [2]:

$$\rho(p) = \frac{D}{W(p)} ,$$

$$\epsilon(p) = \frac{\tau + D [1 - W(p)] + p [1 - W^{2}(p)]}{DW(p)} ,$$

$$W(p) = \frac{1}{\sqrt{1 - v^{2}(p)}} ,$$

$$v^{i}(p) = \frac{S^{i}}{\tau + D + p} .$$
(13)

Additional GRMHD definitions

• New variables for GRMHD:

$$b^{\mu} = u_{\nu}^{*} F^{\mu\nu} , \qquad (14)$$

$$p^* := p + \frac{b^2}{2} \;, \tag{15}$$

$$h^* := 1 + \epsilon + \frac{\left(\rho + b^2\right)}{\rho} \ . \tag{16}$$

Field tensor and dual tensor:

$$F^{\mu\nu} = \begin{pmatrix} 0 & -E_x & -E_y & -E_z \\ E_x & 0 & -B_z & B_y \\ E_y & B_z & 0 & -B_x \\ E_z & -B_y & B_x & 0 \end{pmatrix}$$

$$^*F^{\mu\nu} = \frac{1}{2}\varepsilon^{\mu\nu\rho\sigma}F_{\rho\sigma} \tag{18}$$

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(17)

Weights, Biases, and Activation Functions

- Each node in an ANN performs a weighted sum of their inputs, followed by the application of an activation function.
- Weights and biases form the adjustable parameters of the network, tuned during the learning process.
- Activation functions, such as the sigmoid or Rectified Linear Unit (ReLU) functions, introduce non-linearity into the model, enabling it to learn and represent more complex relationships in the data.

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Appendix More on ANNs 54 / 66

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Activation functions

ReLU



max(0, x)

Tanh



tanh(x)

LeakyReLU / PReLU



 $\max(ax, x)$ $\max(0, x) + a \cdot \min(0, x)$ Sigmoid



$$1/(1+e^{-x})$$

ELU



 $\max(e^x-1,x)$

 $\mathsf{SoftPlus}$



$$ln(1+e^{x})$$

GELU



$$bx(1 + \tanh(cx))$$

Swish



$$x/(1+e^{-x})$$

Artificial Neural Networks—Forward and Backward Propagation

- Training ANNs involves forward propagation and backpropagation [6].
- During forward propagation, input data is passed through the network, and an output
- Backpropagation involves propagating the network's error backwards and updating the

Appendix More on ANNs 56 / 66

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Artificial Neural Networks—Forward and Backward Propagation

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- During forward propagation, input data is passed through the network, and an output prediction is generated.
- Backpropagation involves propagating the network's error backwards and updating the weights according to the calculated gradients.

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Artificial Neural Networks—Summary

- ANNs, as part of ML and AI, are powerful tools for modelling complex patterns within data.
- Deep Learning allows for abstraction of features through layered networks.
- Understanding ANNs as functions and the role of weights, biases, and activation functions are key to comprehend their learning capabilities.
- Forward and backward propagation are key processes in training ANNs.

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Flowchart Part 1

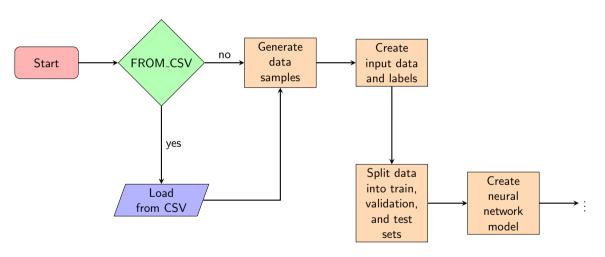


Figure: Part 1 of the flowchart illustrating program flow for con2prim.

Appendix Program structure 58 / 66

Flowchart Part 2

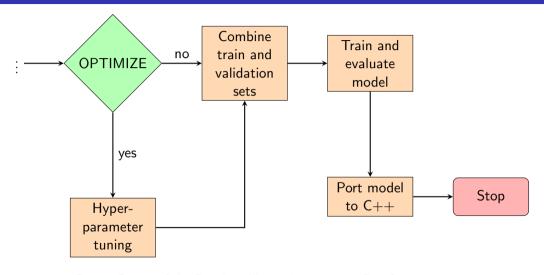


Figure: Part 2 of the flowchart illustrating program flow for con2prim.

Appendix Program structure 59 / 66

Selected hyperparameters	Search space for NNSR3	Search space for NNGR
n_{layers}	$1 \le n_{layers} \le 3$	$1 \le n_{layers} \le 5$
n _{units}	$16 \le n_{units} \le 256$	$16 \leq n_{units} \leq 4096$
Hidden activation function	ReLU, LeakyReLU, ELU,	ReLU, LeakyReLU, ELU,
	Tanh, Sigmoid	PReLU, Swish, GELU, Soft-
		Plus
Output activation function	Linear, ReLU	Linear
Loss function	MSE, MAE, Huber, LogCosh	MSE, MAE, Huber
Optimizer	Adam, SGD, RMSprop, Ada-	Adam, SGD, RMSprop, Ada-
	grad	grad
Learning rate (η)	$1 \times 10^{-4} \le \eta \le 1 \times 10^{-2}$	$1 \times 10^{-4} \le \eta \le 1 \times 10^{-2}$
- (,,	(log-uniform)	(log-uniform)
Scheduler	None, Cos. Ann., Red. Plat.,	Cos. Ann., Red. Plat., S. LR
	S. LR, Exp. LR	
Dropout rate $(p_{dropout})$	_	$0.0 \le p_{\text{dropout}} \le 0.5$

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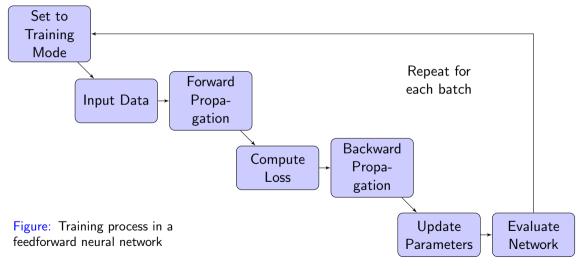
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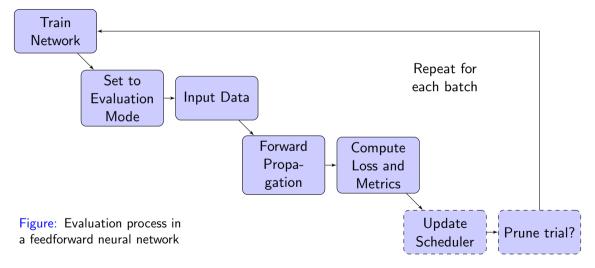
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Training Process



Evaluation Process



Optimization histories for GRMHD models

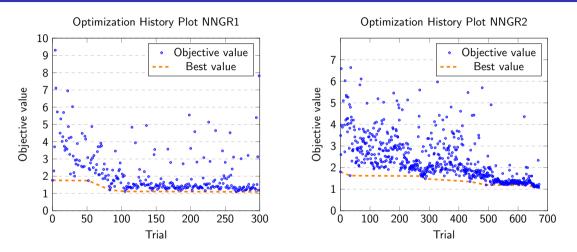


Figure: Optimization history plots for the NNGR1 (left) and NNGR2 (right) models.

Optimization histories for GRMHD models

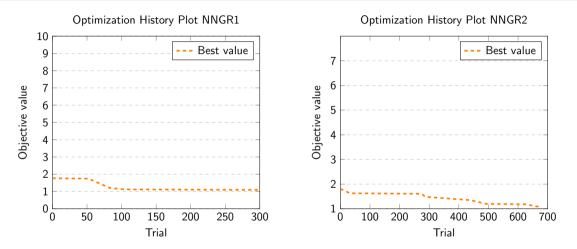


Figure: Optimization history plots for the NNGR1 (left) and NNGR2 (right) models.

Parameter importances NNGR1

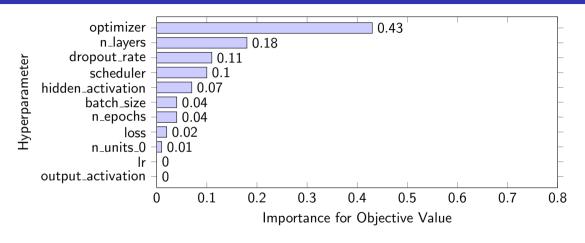


Figure: Relative importance of different hyperparameters for the NNGR1 model, represented by their objective value fractions.

Parameter importances NNGR2

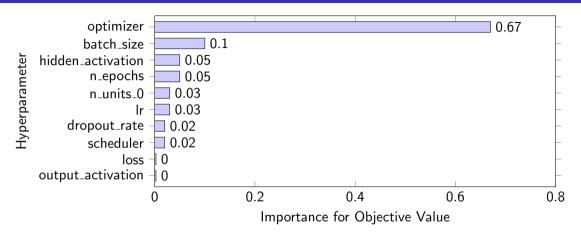


Figure: Relative importance of different hyperparameters for the NNGR2 model, represented by their objective value fractions.