FWO DMP Template - Flemish Standard Data Management Plan

Project supervisors (from application round 2018 onwards) and fellows (from application round 2020 onwards) will, upon being awarded their project or fellowship, be invited to develop their answers to the data management related questions into a DMP. The FWO expects a **completed DMP no later than 6 months after the official start date** of the project or fellowship. The DMP should not be submitted to FWO but to the research co-ordination office of the host institute; FWO may request the DMP in a random check.

At the end of the project, the **final version of the DMP** has to be added to the final report of the project; this should be submitted to FWO by the supervisor-spokesperson through FWO's e-portal. This DMP may of course have been updated since its first version. The DMP is an element in the final evaluation of the project by the relevant expert panel. Both the DMP submitted within the first 6 months after the start date and the final DMP may use this template.

The DMP template used by the Research Foundation Flanders (FWO) corresponds with the Flemish Standard Data Management Plan. This Flemish Standard DMP was developed by the Flemish Research Data Network (FRDN) Task Force DMP which comprises representatives of all Flemish funders and research institutions. This is a standardized DMP template based on the previous FWO template that contains the core requirements for data management planning. To increase understanding and facilitate completion of the DMP, a standardized **glossary** of definitions and abbreviations is available via the following link.

1. General Project Information	
Name Grant Holder & ORCID	**Joep (Johan) Crompvoets & 0000-0003-1077-597X**
Contributor name(s) (+ ORCID) & roles	**Tina Jukić (0000-0003-3544-5491) – WP1 lead, contribution to WPs 2, 3, 4** **Mihkel Solvak (0000-0003-0179-4036) – lead of WPs 2 and 3**
Project number[1] & title	D-2022-1660 & The SIXTH Project: Studying the impact of cross-border digital public services enabling the EU Digital Single Market
Funder(s) GrantID[2]	
Affiliation(s)	x KU Leuven ☐ Universiteit Antwerpen ☐ Universiteit Gent ☐ Universiteit Hasselt ☐ Vrije Universiteit Brussel ☐ Other: Provide ROR[3] identifier when possible:

[1] "Project number" refers to the institutional project number. This question is optional since not every institution has an internal project number different from the GrantID. Applicants can only provide one project number.

[2] Funder(s) GrantID refers to the number of the DMP at the funder(s), here one can specify multiple GrantIDs if multiple funding sources were used.

[3] Research Organization Registry Community. https://ror.org/

Please provide a short project description	The SIXTH Project: Studying the Impact of Cross-border Digital Public Services Enabling the Digital Single Market The Single Digital Gateway Regulation mandates cross-border access to 21 public services by end of 2023 which will greatly improve citizens' access to information and public services. Ideally every such policy intervention is followed by an impact assessment (IA) and redesign effort. In reality the identification of causal effects of polices tend to come late and stay static. The development of digitalized services, which by design involves exchanging machine-readable data between registries, presents the opportunity to include IA into the design of the services. It also enables quantitative IA with low administrative burden and ease-of-use for service consumers. The advent of cross-border digital services presents the opportunity to extend this logic beyond national borders. However, this also introduces new challenges in data access. This project proposes to conduct a proof-of-concept study on automating IA of digital services to allow for a continuous monitoring of the effects of such a policy change. Working within the digitally advanced countries of Belgium, Estonia and Slovenia we will conduct a proof-of-concept IA pilot using existing cross-border services designed to start, run and close a business. We will do this in two steps: first, we will build a proof-of-concept impact assessment application (data integration, model and causal effect visualization) on selected company demographics which should be affected by cross-border services. Then we shall develop a minimal viable product (MVP) in the form of a dashboard where users can examine the real-time causal effect of cross-border services on Belgian, Estonian and Slovenian company demographics. The MVP will be designed to be automated and free of the need of human intervention and present the updated causal effect estimations in line with the data renewal schedule and the unfolding of the underlining phenomenon – company health demographics.

2. Research Data Summary

List and describe all datasets or research materials that you plan to generate/collect or reuse during your research project. For each dataset or data type (observational, experimental etc.), provide a short name & description (sufficient for yourself to know what data it is about), indicate whether the data are newly generated/collected or reused, digital or physical, also indicate the type of the data (the kind of content), its technical format (file extension), and an estimate of the upper limit of the volume of the data[4].

				ONLY FOR DIGITAL DATA	*ONLY FOR DIGITAL DATA*	*ONLY FOR DIGITAL DATA*	*ONLY FOR PHYSICAL DATA*
Dataset Name	Description	New or Reused	Digital or Physical	Digital Data Type	Digital Data Format	Digital Data Volume (MB, GB, TB)	Physical Volume
Experts interviews	Interviews with experts responsible for implementation of cross-border digital public services in Belgium, Estonia, Slovenia	☒ Generate new data ☐ Reuse existing data	☒ Digital ☐ Physical	☒ Observational ☐ Experimental ☐ Compiled/ aggregated data ☐ Simulation data ☐ Software ☐ Other ☐ NA	☐ .por ☐ .xml ☐ .tab ☐ .csv ☒ .pdf ☐ .txt ☐ .rtf ☐ .dwg ☐ .tab ☐ .gml ☐ other: ☐ NA	☒ < 100 MB ☐ < 1 GB ☐ < 100 GB ☐ < 1 TB ☐ < 5 TB ☐ < 10 TB ☐ < 50 TB ☐ > 50 TB ☐ NA	

[4] Add rows for each dataset you want to describe.

GUIDANCE: *DATA CAN BE DIGITAL OR PHYSICAL (FOR EXAMPLE BIOBANK, BIOLOGICAL SAMPLES, …). DATA TYPE: DATA ARE OFTEN GROUPED BY TYPE (OBSERVATIONAL, EXPERIMENTAL ETC.), FORMAT AND/OR COLLECTION/GENERATION METHOD.* *EXAMPLES OF DATA TYPES: OBSERVATIONAL (E.G. SURVEY RESULTS, SENSOR READINGS, SENSORY OBSERVATIONS); EXPERIMENTAL (E.G. MICROSCOPY, SPECTROSCOPY, CHROMATOGRAMS, GENE SEQUENCES); COMPILED/AGGREGATED DATA[5] (E.G. TEXT & DATA MINING, DERIVED VARIABLES, 3D MODELLING); SIMULATION DATA (E.G. CLIMATE MODELS); SOFTWARE, ETC.* *EXAMPLES OF DATA FORMATS: TABULAR DATA (.POR,. SPSS, STRUCTURED TEXT OR MARK-UP FILE XML, .TAB, .CSV), TEXTUAL DATA (.RTF, .XML, .TXT), GEOSPATIAL DATA (.DWG,. GML, ..), IMAGE DATA, AUDIO DATA, VIDEO DATA, DOCUMENTATION & COMPUTATIONAL SCRIPT.* *DIGITAL DATA VOLUME: PLEASE ESTIMATE THE UPPER LIMIT OF THE VOLUME OF THE DATA PER DATASET OR DATA TYPE.* *PHYSICAL VOLUME: PLEASE ESTIMATE THE PHYSICAL VOLUME OF THE RESEARCH MATERIALS (FOR EXAMPLE THE NUMBER OF RELEVANT BIOLOGICAL SAMPLES THAT NEED TO BE STORED AND PRESERVED DURING THE PROJECT AND/OR AFTER).*	
If you reuse existing data, please specify the source, preferably by using a persistent identifier (e.g. DOI, Handle, URL etc.) per dataset or data type.	NA
Are there any ethical issues concerning the creation and/or use of the data (e.g. experiments on humans or animals, dual use)? If so, please describe these issues further and refer to specific datasets or data types when appropriate.	☐ Yes, human subject data ☐ Yes, animal data ☐ Yes, dual use ☒ No If yes, please describe:

[5] These data are generated by combining multiple existing datasets.

Will you process personal data[6]? If so, briefly describe the kind of personal data you will use. Please refer to specific datasets or data types when appropriate. If available, add the reference to your file in your host institution's privacy register.	☐ Yes ☒ No If yes: - Short description of the kind of personal data that will be used: - Privacy Registry Reference:
Does your work have potential for commercial valorization (e.g. tech transfer, for example spin-offs, commercial exploitation, …)? If so, please comment per dataset or data type where appropriate.	☐ Yes ☒ No If yes, please comment:
Do existing 3rd party agreements restrict exploitation or dissemination of the data you (re)use (e.g. Material/Data transfer agreements, research collaboration agreements)? If so, please explain to what data they relate and what restrictions are in place.	☐ Yes ☒ No If yes, please explain:
Are there any other legal issues, such as intellectual property rights and ownership, to be managed related to the data you (re)use? If so, please explain to what data they relate and which restrictions will be asserted.	☐ Yes ☒ No If yes, please explain:

[6] See Glossary Flemish Standard Data Management Plan

3. Documentation and Metadata	
Clearly describe what approach will be followed to capture the accompanying information necessary to keep **data understandable and usable**, for yourself and others, now and in the future (e.g. in terms of documentation levels and types required, procedures used, Electronic Lab Notebooks, README.txt files, Codebook.tsv etc. where this information is recorded).	Not applicable – transcripts of experts' interviews will be uploaded to KU Leuven's data repository; no specific accompanying information required.
Will a metadata standard be used to make it easier to **find and reuse the data**? If so, please specify which metadata standard will be used. If not, please specify which metadata will be created to make the data easier to find and reuse. *REPOSITORIES COULD ASK TO DELIVER METADATA IN A CERTAIN FORMAT, WITH SPECIFIED ONTOLOGIES AND VOCABULARIES, I.E. STANDARD LISTS WITH UNIQUE IDENTIFIERS.*	☐ Yes ☒ No If yes, please specify (where appropriate per dataset or data type) which metadata standard will be used: If no, please specify (where appropriate per dataset or data type) which metadata will be created: Metadata related to experts' interviews.

4. Data Storage & Back-up during the Research Project

Where will the data be stored?	Data will be stored on the researchers' (KUL-managed) pcs, encrypted and protected by a personal password; on a 256-bits encrypted and protected removable harddrive (for backups) Documents that could help to identify participants/ interviewees (such as consent forms) will be digitized and the original paper version destroyed after which the electronic copies will be on the password-protected and encrypted KUL-managed PC, under a non-identifiable filename and directory protected by an additional password (backed up on a password-protected and encrypted external hdd).
How will the data be backed up? *WHAT STORAGE AND BACKUP PROCEDURES WILL BE IN PLACE TO PREVENT DATA LOSS? DESCRIBE THE LOCATIONS, STORAGE MEDIA AND PROCEDURES THAT WILL BE USED FOR STORING AND BACKING UP DIGITAL AND NON-DIGITAL DATA DURING RESEARCH.*[7] *REFER TO INSTITUTION-SPECIFIC POLICIES REGARDING BACKUP PROCEDURES WHEN APPROPRIATE.*	On a password-protected and encrypted external hdd.
Is there currently sufficient storage & backup capacity during the project? If yes, specify concisely. If no or insufficient storage or backup capacities are available, then explain how this will be taken care of.	☒ Yes ☐ No If yes, please specify concisely: The available backup solutions (a password-protected an encrypted hdd) offer sufficient storage space. The low costs will be taken care off by the research budget If no, please specify:

[7] Source: Ghent University Generic DMP Evaluation Rubric: https://osf.io/2z5g3/

How will you ensure that the data are securely stored and not accessed or modified by unauthorized persons? *CLEARLY DESCRIBE THE MEASURES (IN TERMS OF PHYSICAL SECURITY, NETWORK SECURITY, AND SECURITY OF COMPUTER SYSTEMS AND FILES) THAT WILL BE TAKEN TO ENSURE THAT STORED AND TRANSFERRED DATA ARE SAFE.* [7]	Electronic primary materials are stored on an encrypted and password protected computer managed by the KU Leuven, and on an encrypted, password protected backup drive. Physical materials are stored in a locked cupboard in the office of each researcher.
What are the expected costs for data storage and backup during the research project? How will these costs be covered?	3 supervisors, 3 researchers *150,00 EUR per hdd covered by internal funds.

5. Data Preservation after the end of the Research Project	
Which data will be retained for at least five years (or longer, in agreement with other retention policies that are applicable) after the end of the project? In case some data cannot be preserved, clearly state the reasons for this (e.g. legal or contractual restrictions, storage/budget issues, institutional policies...).	All data will be preserved. Following GDPR regulation, a researcher is allowed to keep the raw research data for future academic purposes as long as the participants consented to this, and as long the storage is safe.

Where will these data be archived (stored and curated for the long-term)?	Data will be archived on a password-protected and 256-encrypted external hard drive that after the end of the project will be stored in a locked cupboard in the office of the supervisor.
What are the expected costs for data preservation during the expected retention period? How will these costs be covered?	The costs are the costs for the external hdd, already covered by the research budget.

6. Data Sharing and Reuse	
Will the data (or part of the data) be made available for reuse after/during the project? Please explain per dataset or data type which data will be made available. *NOTE THAT 'AVAILABLE' DOES NOT NECESSARILY MEAN THAT THE DATA SET BECOMES OPENLY AVAILABLE, CONDITIONS FOR ACCESS AND USE MAY APPLY. AVAILABILITY IN THIS QUESTION THUS ENTAILS BOTH OPEN & RESTRICTED ACCESS. FOR MORE INFORMATION: HTTPS://WIKI.SURFNET.NL/DISPLAY/STANDARDS/INFO-EU-REPO/#INFOEUREPO-ACCESSRIGHTS*	☒ Yes, in an Open Access repository ☐ Yes, in a restricted access repository (after approval, institutional access only, …) ☐ No (closed access) ☐ Other, please specify: Transcripts of experts' interviews will be uploaded.
If access is restricted, please specify who will be able to access the data and under what conditions.	
Are there any factors that restrict or prevent the sharing of (some of) the data (e.g. as defined in an agreement with a 3rd party, legal restrictions)? Please explain per dataset or data type where appropriate.	☐ Yes, privacy aspects ☐ Yes, intellectual property rights ☒ Yes, ethical aspects ☐ Yes, aspects of dual use ☐ Yes, other ☐ No If yes, please specify: Restrictions related to ethics. The consent forms stipulate that all primary data will be treated confidentially and will only be available to the researcher and his/her supervisors.
Where will the data be made available? If already known, please provide a repository per dataset or data type.	KU Leuven's research data repository.

When will the data be made available? *This could be a specific date (dd/mm/yyyy) or an indication such as 'upon publication of research results'.*	Upon publication of the research results.
Which data usage licenses are you going to provide? If none, please explain why. *A data usage license indicates whether the data can be reused or not and under what conditions. If no licence is granted, the data are in a grey zone and cannot be legally reused. Do note that you may only release data under a licence chosen by yourself if it does not already fall under another licence that might prohibit that.* *Example Answer: E.g. "Data from the project that can be shared will be made available under a Creative Commons Attribution license (CC-BY 4.0), so that users have to give credit to the original data creators."* [8]	Creative Commons Attribution License (CC-BY4.0)
Do you intend to add a PID/DOI/accession number to your dataset(s)? If already available, please provide it here. *Indicate whether you intend to add a persistent and unique identifier in order to identify and retrieve the data.*	☐ Yes ☒ No If yes:
What are the expected costs for data sharing? How will these costs be covered?	No additional costs.

[8] Source: Ghent University Generic DMP Evaluation Rubric: https://osf.io/2z5g3/

7. Responsibilities	
Who will manage data documentation and metadata during the research project?	Each individual researcher.
Who will manage data storage and backup during the research project?	Joint responsibility of the supervisors; coordinator: prof. Joep Crompvoets.
Who will manage data preservation and sharing?	Joint responsibility of the supervisors; coordinator: prof. Joep Crompvoets.
Who will update and implement this DMP?	The end responsibility for updating and implementing the DMP is with the three supervisors.