FWO DMP Template - Flemish Standard Data Management Plan

Version KU Leuven

Project supervisors (from application round 2018 onwards) and fellows (from application round 2020 onwards) will, upon being awarded their project or fellowship, be invited to develop their answers to the data management related questions into a DMP. The FWO expects a **completed DMP no later than 6 months after the official start date** of the project or fellowship. The DMP should not be submitted to FWO but to the research co-ordination office of the host institute; FWO may request the DMP in a random check.

At the end of the project, the **final version of the DMP** has to be added to the final report of the project; this should be submitted to FWO by the supervisor-spokesperson through FWO's e-portal. This DMP may of course have been updated since its first version. The DMP is an element in the final evaluation of the project by the relevant expert panel. Both the DMP submitted within the first 6 months after the start date and the final DMP may use this template.

The DMP template used by the Research Foundation Flanders (FWO) corresponds with the Flemish Standard Data Management Plan. This Flemish Standard DMP was developed by the Flemish Research Data Network (FRDN) Task Force DMP which comprises representatives of all Flemish funders and research institutions. This is a standardized DMP template based on the previous FWO template that contains the core requirements for data management planning. To increase understanding and facilitate completion of the DMP, a standardized **glossary** of definitions and abbreviations is available via the following link.

1. General Project Information		
Name Grant Holder & ORCID	Pieterjan Heynen (ORCID ID https://orcid.org/0000-0001-5007-0793)	
Contributor name(s) (+ ORCID) & roles		
Project number ¹ & title	11P6J24N, The European Central Bank's Expanding Remit	
Funder(s) GrantID ²	FWO fundamental research fellowship	
Affiliation(s)	x KU Leuven	
	☐ Universiteit Antwerpen	
	☐ Universiteit Gent	
	☐ Universiteit Hasselt	
	□ Vrije Universiteit Brussel	
	□ Other:	
	ROR identifier KU Leuven: 05f950310	

¹ "Project number" refers to the institutional project number. This question is optional. Applicants can only provide one project number.

² Funder(s) GrantID refers to the number of the DMP at the funder(s), here one can specify multiple GrantIDs if multiple funding sources were used.

Please provide a short project description

The Financial Crisis of 2007-2008 and the subsequent Sovereign Debt Crisis provided the European Central Bank (ECB) with an impressive number of new tasks. In less than a decade, the ECB acquired, apart from monetary policy, competences regarding banking supervision and resolution, macro-prudential supervision and financial assistance programs for Member States in budgetary distress. Furthermore, the ECB has consistently chosen for an extensive interpretation of its competences. These developments have given rise to a series of legal problems and challenges, ranging from an increased risk of conflicting policy objectives between its various competences, to frictions with both general principles of EU law and specific central bank features such as independence, accountability and transparency.

This research project will discuss the legal interrelationship between all the ECB's competences and analyse the most striking legal challenges in this regard. It will compare the ECB with other central banks which hold several competences, in order to assess how these banks deal with the challenges

following from a large remit. The project will evaluate to what extent the ECB's current design complies with the higher legal norms to which it is subject to, and make recommendations on how the ECB's current legal framework can be enhanced to foster compliance with these fundamental principles.

2. Research Data Summary

List and describe all datasets or research materials that you plan to generate/collect or reuse during your research project. For each dataset or data type (observational, experimental etc.), provide a short name & description (sufficient for yourself to know what data it is about), indicate whether the data are newly generated/collected or reused, digital or physical, also indicate the type of the data (the kind of content), its technical format (file extension), and an estimate of the upper limit of the volume of the data ³.

				ONLY FOR DIGITAL DATA	ONLY FOR DIGITAL DATA	ONLY FOR DIGITAL DATA	ONLY FOR PHYSICAL DATA
Dataset	Description	New or Reused	Digital or	Digital Data Type	Digital Data	Digital Data	Physical Volume
Name			Physical		Format	Volume (MB, GB,	
						TB)	
Case law	Judgments	☐ Generate new	□ Digital	☐ Audiovisual	All EU judgments	⊠ < 1 GB	
	issued by EU	data	☐ Physical	☐ Images	are published	□ < 100 GB	
	and national	□ Reuse existing		☐ Sound	online on EURLEX	□ < 1 TB	
	courts	data		☐ Numerical	and Curia and	□ < 5 TB	
					freely available	□ > 5 TB	
				☐ Model	(URL)	□NA	
				☐ Software			
				☐ Other:	From national		
					judgments, I save		
					copies in PDF		
					format		
Legal	Articles and	☐ Generate new	□ Digital	☐ Audiovisual	I collect legal	□ < 1 GB	Physical prints are
doctrine	books (legal	data	⊠ Physical	☐ Images	doctrine with a	⊠ < 100 GB	stored in my office
	scholarship)	□ Reuse existing		☐ Sound	reference	□ < 1 TB	
		data		☐ Numerical	manager, who	□ < 5 TB	
					automatically	□ > 5 TB	
				☐ Model	saves these	□NA	
				☐ Software	sources (PDF)		
				☐ Other:			
					As back-up, I save		

³ Add rows for each dataset you want to describe.

					important articles also in PDF format From some articles, I have a print-out that I keep in my office	
Legislation	EU and national law provisions	☐ Generate new data ☐ Reuse existing data	☑ Digital☐ Physical	☐ Audiovisual ☐ Images ☐ Sound ☐ Numerical ☑ Textual ☐ Model ☐ Software ☐ Other:	EU legislation is published on EURLEX and online available (URL) National legislation is published on the respective websites of national governments and online available (URL)	
Policy documents and reports	Various documents issued by public or private organizations	☐ Generate new data ☑ Reuse existing data	⊠ Digital □ Physical	☐ Audiovisual ☐ Images ☐ Sound ☐ Numerical ☑ Textual ☐ Model ☐ Software ☐ Other:	These documents are online available I save copies in PDF	

	ranging from raw data to processed and analysed data valuable, difficult to replace and/or ethical issues are a	IP, so make sure it is detailed and complete. It includes digital and physical data and encompasses the whole spectrum a including analysis scripts and code. Physical data are all materials that need proper management because they are associated. Materials that are not considered data in an RDM context include your own manuscripts, theses and ur datasets and should described under documentation/metadata.
	If you reuse existing data, please specify the	All data described above is online available (sometimes it is publicly accessible, sometimes only after a log-
	source, preferably by using a persistent identifier (e.g. DOI, Handle, URL etc.) per dataset or data type.	in with KU Leuven subscriptions). The data are thus available in URL, but of legal doctrine, policy documents and reports and important case law, I save copies in PDF format. I store these copies on my KU Leuven OneDrive account.
ŀ	Are there any ethical issues concerning the	☐ Yes, human subject data; provide SMEC or EC approval number:
	creation and/or use of the data	☐ Yes, animal data; provide ECD reference number:
	(e.g. experiments on humans or animals, dual	☐ Yes, dual use; provide approval number:
	use)? If so, refer to specific datasets or data	⊠ No
	types when appropriate and provide the	Additional information:
	relevant ethical approval number.	
ŀ	Will you process personal data ⁴ ? If so, please	☐ Yes (provide PRET G-number or EC S-number below)
	refer to specific datasets or data types when	⊠ No
	appropriate and provide the KU Leuven or \ensuremath{UZ}	Additional information:
	Leuven privacy register number (G or S number).	
-	Does your work have potential for commercial	□ Yes
	valorization (e.g. tech transfer, for example spin-	⊠ No
	offs, commercial exploitation,)?	If yes, please comment:
	If so inlease comment her dataset or data tyne	

where appropriate.

⁴ See Glossary Flemish Standard Data Management Plan

Do existing 3rd party agreements restrict	☐ Yes
exploitation or dissemination of the data you	⊠ No
(re)use (e.g. Material/Data transfer agreements,	If yes, please explain:
research collaboration agreements)?	
If so, please explain to what data they relate and	
what restrictions are in place.	
Are there any other legal issues, such as	☐ Yes
intellectual property rights and ownership, to be	⊠ No
managed related to the data you (re)use?	If yes, please explain:
If so, please explain to what data they relate and	
which restrictions will be asserted.	

3. Documentation and Metadata

Clearly describe what approach will be followed to capture the accompanying information necessary to keep **data understandable and usable**, for yourself and others, now and in the future (e.g. in terms of documentation levels and types required, procedures used, Electronic Lab Notebooks, README.txt files, Codebook.tsv etc. where this information is recorded).

 $\underline{\textit{RDM guidance on documentation and metadata}}.$

- I have separate folders on my KU Leuven OneDrive account for the different data types
- I have a Word document in which I discuss my most important findings and remarks about legal doctrine (articles and books) and case law (CJEU judgments)
- I use a reference manager (Zotero), which gives a clear and fast overview of all the data I have gathered

Will a metadata standard be used to make it	☐ Yes
easier to find and reuse the data?	⊠ No
	If yes, please specify (where appropriate per dataset or data type) which metadata standard will be used:
If so, please specify which metadata standard	
will be used. If not, please specify which	
metadata will be created to make the data	If no, please specify (where appropriate per dataset or data type) which metadata will be created:
easier to find and reuse.	
REPOSITORIES COULD ASK TO DELIVER METADATA IN A CERTAIN FORMAT, WITH SPECIFIED ONTOLOGIES AND VOCABULARIES, I.E. STANDARD LISTS WITH UNIQUE IDENTIFIERS.	For my type of research and scientific discipline (legal research), the use of a metadata standard is less appropriate and not common.

4. Data Storage & Back-up during the Research Project		
Where will the data be stored?	☑ Shared network drive (J-drive)	
	□ Personal network drive (I-drive)	
Consult the interactive KU Leuven storage guide to	☐ OneDrive (KU Leuven)	
find the most suitable storage solution for your data.	☐ Sharepoint online	
	☐ Sharepoint on-premis	
	☐ Large Volume Storage	
	☐ Digital Vault	
	☐ Other:	
How will the data be backed up?	☑ Standard back-up provided by KU Leuven ICTS for my storage solution	
	□ Personal back-ups I make (specify)	
WHAT STORAGE AND BACKUP PROCEDURES WILL BE IN PLACE TO	Apart from the standard back-up provided by KU Leuven, I also frequently make a back-up of my own	
PREVENT DATA LOSS?	work (PhD document)	
	☐ Other (specify)	

Is there currently sufficient storage & backup capacity during the project? If yes, specify concisely. If no or insufficient storage or backup capacities are available, then explain how this will be taken care of. How will you ensure that the data are securely stored and not accessed or modified by unauthorized persons? CLEARLY DESCRIBE THE MEASURES (IN TERMS OF PHYSICAL SECURITY, NETWORK SECURITY, AND SECURITY OF COMPUTER SYSTEMS AND FILES) THAT WILL BE TAKEN TO ENSURE THAT STORED AND TRANSFERRED DATA ARE SAFE. Guidance on security for research data	 Yes □ No If no, please specify: Physical storage: the articles I have printed out are kept in my office, for which only I and a colleague hold a key For the security and integrity of the data I store online, I rely on the KU Leuven online security systems. The data will be stored on my KU Leuven personal network drive (both I:drive and Onedrive). The Onedrive-support is automatically backed up. No personal data is used
What are the expected costs for data storage and backup during the research project? How will these costs be covered?	 I rely on the KU Leuven OneDrive system and the back-ups I make personally do not have an additional cost, so there are no extra costs for data storage

5. Data Preservation after the end of the Research Project

Which data will be retained for at least five	☑ All data will be preserved for 10 years according to KU Leuven RDM policy
years (or longer, in agreement with other	\square All data will be preserved for 25 years according to CTC recommendations for clinical trials with
retention policies that are applicable) after the	medicinal products for human use and for clinical experiments on humans
end of the project? In case some data cannot be	\square Certain data cannot be kept for 10 years (explain)
preserved, clearly state the reasons for this	
(e.g. legal or contractual restrictions,	
storage/budget issues, institutional policies).	
Guidance on data preservation	
Where will these data be archived (stored and	⊠ KU Leuven RDR
curated for the long-term)?	☐ Large Volume Storage (longterm for large volumes)
	Shared network drive (J-drive)
<u>Dedicated data repositories</u> are often the best place	☐ Other (specifiy):
to preserve your data. Data not suitable for	
preservation in a repository can be stored using a KU	
Leuven storage solution, consult the interactive KU Leuven storage guide.	
What are the expected costs for data	As I will rely on the data preservation systems provided by KU Leuven, there are no extra expected costs.
preservation during the expected retention	
period? How will these costs be covered?	

6. Data Sharing and Reuse

Will the data (or part of the data) be made available for reuse after/during the project? Please explain per dataset or data type which data will be made available. Note that 'Available' does not necessarily mean that the data set becomes openly available, conditions for access and use may apply. Availability in this question thus entails both open & restricted access. For more information: https://wiki.surfnet.nl/display/standards/info-eu-repo/#infoeurepo-AccessRights	 Yes, as open data Yes, as embargoed data (temporary restriction) Yes, as restricted data (upon approval, or institutional access only) No (closed access) Other, please specify: The data I use is already available online, so those who are interested can consult the data used themselves, by consulting the extensive bibliography attached to my PhD.
If access is restricted, please specify who will be able to access the data and under what conditions.	If interested persons are not able to find the data I have relied upon in my research project online, they can be granted access to my own datasets.
Are there any factors that restrict or prevent the sharing of (some of) the data (e.g. as defined in an agreement with a 3rd party, legal restrictions)? Please explain per dataset or data type where appropriate.	 Yes, privacy aspects Yes, intellectual property rights Yes, ethical aspects Yes, aspects of dual use Yes, other ⋈ No If yes, please specify:
Where will the data be made available? If already known, please provide a repository per dataset or data type.	 ⊠ KU Leuven RDR □ Other data repository (specify) ⊠ Other (specify) Special requests can be made to me via e-mail
When will the data be made available?	 □ Upon publication of research results □ Specific date (specify) ☑ Other (specify) Not applicable

Which data usage licenses are you going to provide? If none, please explain why. A DATA USAGE LICENSE INDICATES WHETHER THE DATA CAN BE REUSED OR NOT AND UNDER WHAT CONDITIONS. IF NO LICENCE IS GRANTED, THE DATA ARE IN A GREY ZONE AND CANNOT BE LEGALLY REUSED. DO NOTE THAT YOU MAY ONLY RELEASE DATA UNDER A LICENCE CHOSEN BY YOURSELF IF IT DOES NOT ALREADY FALL UNDER ANOTHER LICENCE THAT MIGHT PROHIBIT THAT. Check the RDR quidance on licences for data and software sources code or consult the License selector tool to help you choose.	 □ CC-BY 4.0 (data) □ Data Transfer Agreement (restricted data) □ MIT licence (code) □ GNU GPL-3.0 (code) ☑ Other (specify) Not applicable
Do you intend to add a PID/DOI/accession number to your dataset(s)? If already available, please provide it here. INDICATE WHETHER YOU INTEND TO ADD A PERSISTENT AND UNIQUE IDENTIFIER IN ORDER TO IDENTIFY AND RETRIEVE THE DATA. What are the expected costs for data sharing? How will these costs be covered?	 Yes, a PID will be added upon deposit in a data repository My dataset already has a PID No No expected costs, as I will not engage in a specific data sharing regime

	7. Responsibilities
Who will manage data documentation and metadata during the research project?	As Ph.D. researcher I am responsible for data documentation. Professor Veerle Colaert (supervisor)will supervise this.
Who will manage data storage and backup during the research project?	This is primarily done by the KU Leuven ICT service.
Who will manage data preservation and sharing?	As Ph.D. researcher I am responsible for data preservation and data sharing, jointly with the KU Leuven ICT Service.

Who will update and implement this DMP?	As Ph.D. researcher I have the day-to-day responsibility for updating & implementing this DMP. My
	supervisor (prof. Veerle Colaert) bears the end responsibility.