## FWO DMP Template - Flemish Standard Data Management Plan

## Version KU Leuven

Project supervisors (from application round 2018 onwards) and fellows (from application round 2020 onwards) will, upon being awarded their project or fellowship, be invited to develop their answers to the data management related questions into a DMP. The FWO expects a **completed DMP no later than 6 months after the official start date** of the project or fellowship. The DMP should not be submitted to FWO but to the research co-ordination office of the host institute; FWO may request the DMP in a random check.

At the end of the project, the **final version of the DMP** has to be added to the final report of the project; this should be submitted to FWO by the supervisor-spokesperson through FWO's e-portal. This DMP may of course have been updated since its first version. The DMP is an element in the final evaluation of the project by the relevant expert panel. Both the DMP submitted within the first 6 months after the start date and the final DMP may use this template.

The DMP template used by the Research Foundation Flanders (FWO) corresponds with the Flemish Standard Data Management Plan. This Flemish Standard DMP was developed by the Flemish Research Data Network (FRDN) Task Force DMP which comprises representatives of all Flemish funders and research institutions. This is a standardized DMP template based on the previous FWO template that contains the core requirements for data management planning. To increase understanding and facilitate completion of the DMP, a standardized **glossary** of definitions and abbreviations is available via the following link.

1. General Project Information		
Name Grant Holder & ORCID	Sébastien Lamproye (0000-0002-6865-4575)	
Contributor name(s) (+ ORCID) & roles	Sébastien Lamproye (Researcher)	
Project number <sup>1</sup> & title	11PD024N – Market Power in Value Chains	
Funder(s) GrantID <sup>2</sup>	FWO (11PD024N)	
Affiliation(s)	□ KU Leuven	
	□ Universiteit Antwerpen	
	□ Universiteit Gent	
	□ Universiteit Hasselt	
	□ Vrije Universiteit Brussel	
	□ Other:	
	ROR identifier KU Leuven: 05f950310	
Please provide a short project description	Competition between firms is central to a well-functioning economy. Competitive pressures from incumbents and (potential) new entrants lead firms to set prices that truly reflect costs. As a result, this benefits consumers. In the absence of competition, firms gain market power and charge high markups, which has negative consequences for welfare and resource allocation. Therefore, our goal is to analyze the total markup faced by the consumer and the welfare costs of markups for society. Crucially, market power might not only occur when the final good is sold to the consumer. There could be market power in the intermediate inputs, along the whole value chain. Thus, I study the total markup faced by consumers in Belgium, taking into account multiple marginalizations along the value chain. Then, I compute the total welfare costs of markups in the Belgian economy. Finally, I identify and characterize which firms and transactions may cause the most harm to society.	

<sup>&</sup>lt;sup>1</sup> "Project number" refers to the institutional project number. This question is optional. Applicants can only provide one project number.

<sup>&</sup>lt;sup>2</sup> Funder(s) GrantID refers to the number of the DMP at the funder(s), here one can specify multiple GrantIDs if multiple funding sources were used.

## 2. Research Data Summary

List and describe all datasets or research materials that you plan to generate/collect or reuse during your research project. For each dataset or data type (observational, experimental etc.), provide a short name & description (sufficient for yourself to know what data it is about), indicate whether the data are newly generated/collected or reused, digital or physical, also indicate the type of the data (the kind of content), its technical format (file extension), and an estimate of the upper limit of the volume of the data <sup>3</sup>.

				ONLY FOR DIGITAL DATA	ONLY FOR DIGITAL DATA	ONLY FOR DIGITAL DATA	ONLY FOR PHYSICAL DATA
Dataset	Description	New or Reused	Digital or	Digital Data Type	Digital Data	Digital Data	Physical Volume
Name			Physical		Format	Volume (MB, GB,	
						TB)	
BNB data	The BNB	☐ Generate new	□ Digital		.dta (stata)	<del>□&lt;1GB</del>	
	(Banque	data	□ Physical	<del>□ Images</del>		<del>□ &lt; 100 GB</del>	
	Nationale de	□ Reuse existing		<del>□-Sound</del>		<del>□&lt;1TB</del>	
	Belgique)	data				<del>□&lt;5TB</del>	
	dataset consists					⊠ > 5 TB	
	of firm data and			<del>□ Model</del>		□ NA	
	firm-to-firm			□ Software			
	transaction data			□-Other:			

## GUIDANCE:

The data description forms the basis of your entire DMP, so make sure it is detailed and complete. It includes digital and physical data and encompasses the whole spectrum ranging from raw data to processed and analysed data including analysis scripts and code. Physical data are all materials that need proper management because they are valuable, difficult to replace and/or ethical issues are associated. Materials that are not considered data in an RDM context include your own manuscripts, theses and presentations; documentation is an integral part of your datasets and should described under documentation/metadata.

RDM Guidance on data

<sup>&</sup>lt;sup>3</sup> Add rows for each dataset you want to describe.

If you reuse existing data, please specify the source, preferably by using a persistent identifier (e.g. DOI, Handle, URL etc.) per dataset or data type.	BNB data
Are there any ethical issues concerning the creation and/or use of the data (e.g. experiments on humans or animals, dual use)? If so, refer to specific datasets or data types when appropriate and provide the relevant ethical approval number.	☐ Yes, human subject data; provide SMEC or EC approval number: ☐ Yes, animal data; provide ECD reference number: ☐ Yes, dual use; provide approval number: ☐ No Additional information:
Will you process personal data <sup>4</sup> ? If so, please refer to specific datasets or data types when	<ul> <li>☐ Yes (provide PRET G-number or EC S-number below)</li> <li>☒ No</li> </ul>
appropriate and provide the KU Leuven or UZ	Additional information:
Leuven privacy register number (G or S number).	
Does your work have potential for commercial	□-Yes
valorization (e.g. tech transfer, for example spin-	⊠ No
offs, commercial exploitation,)?	If yes, please comment:
If so, please comment per dataset or data type	
where appropriate.	
Do existing 3rd party agreements restrict	⊠ Yes
exploitation or dissemination of the data you	□ No
(re)use (e.g. Material/Data transfer agreements,	If yes, please explain:
research collaboration agreements)?	This data belongs to the BNB. Only they decide who they grant permission to.
If so, please explain to what data they relate and	
what restrictions are in place	

<sup>&</sup>lt;sup>4</sup> See Glossary Flemish Standard Data Management Plan

Are there any other legal issues, such as	⊠ Yes
intellectual property rights and ownership, to be	□ No
managed related to the data you (re)use?	If yes, please explain:
If so, please explain to what data they relate and	This data belongs to the BNB.
which restrictions will be asserted.	

	3. Documentation and Metadata
Clearly describe what approach will be followed to capture the accompanying information necessary to keep data understandable and usable, for yourself and others, now and in the future (e.g. in terms of documentation levels and types required, procedures used, Electronic Lab Notebooks, README.txt files, Codebook.tsv etc. where this information is recorded).  RDM guidance on documentation and metadata.	of course document my work with Readme files.
Will a metadata standard be used to make it easier to <b>find and reuse the data</b> ?  If so, please specify which metadata standard will be used. If not, please specify which metadata will be created to make the data easier to find and reuse.	<ul> <li>Yes</li> <li>☐ No</li> <li>If yes, please specify (where appropriate per dataset or data type) which metadata standard will be used:</li> <li>The data belongs to the BNB. They will use the metadata standards of the bank to document their data.</li> <li>If no, please specify (where appropriate per dataset or data type) which metadata will be created:</li> </ul>
REPOSITORIES COULD ASK TO DELIVER METADATA IN A CERTAIN FORMAT, WITH SPECIFIED ONTOLOGIES AND VOCABULARIES, I.E. STANDARD LISTS WITH UNIQUE IDENTIFIERS.	

4. Data Storage & Back-up during the Research Project		
Where will the data be stored?	☐ Shared network drive (J-drive)	
	☐ Personal network drive (I-drive)	
Consult the interactive KU Leuven storage guide to	☐ OneDrive (KU Leuven)	
find the most suitable storage solution for your data.	☐ Sharepoint online	
	☐ Sharepoint on-premis	
	☐ Large Volume Storage	
	☐ Digital Vault	
	☑ Other: The BNB will back up the data on their server.	
How will the data be backed up?	☐ Standard back-up provided by KU Leuven ICTS for my storage solution	
	☐ Personal back-ups I make (specify)	
What storage and backup procedures will be in place to	☑ Other: The BNB will back up the data on their server.	
PREVENT DATA LOSS?		
Is there currently sufficient storage & backup	⊠ Yes	
capacity during the project? If yes, specify		
concisely. If no or insufficient storage or backup		
capacities are available, then explain how this	If no, please specify:	
will be taken care of.		

How will you ensure that the data are securely stored and not accessed or modified by unauthorized persons?	This data belongs to the BNB. Only they decide who they grant permission to. When someone receives permission to use it, they only receive a copy of the data.
CLEARLY DESCRIBE THE MEASURES (IN TERMS OF PHYSICAL SECURITY, NETWORK SECURITY, AND SECURITY OF COMPUTER SYSTEMS AND FILES) THAT WILL BE TAKEN TO ENSURE THAT STORED AND TRANSFERRED DATA ARE SAFE. Guidance on security for research data	
What are the expected costs for data storage and backup during the research project? How will these costs be covered?	This data belongs to the BNB. Only they decide who they grant permission to.

5. Data Preservation after the end of the Research Project		
years (or longer, in agreement with other	10 years according to KU Leuven RDM policy 25 years according to CTC recommendations for clinical trials withuse and for clinical experiments on humans for 10 years (explain)	

Where will these data be archived (stored and	☐ KU Leuven RDR
curated for the long-term)?	☐ Large Volume Storage (longterm for large volumes)
	☐ Shared network drive (J-drive)
<u>Dedicated data repositories</u> are often the best place	☑ Other:
to preserve your data. Data not suitable for	
preservation in a repository can be stored using a KU	
Leuven storage solution, consult the <u>interactive KU</u>	
<u>Leuven storage guide</u> .	
What are the expected costs for data	This data belongs to the BNB. Only they decide who they grant permission to.
preservation during the expected retention	
period? How will these costs be covered?	

6. Data Sharing and Reuse		
Will the data (or part of the data) be made available for reuse after/during the project? Please explain per dataset or data type which data will be made available.	<ul> <li>☐ Yes, as open data</li> <li>☐ Yes, as embargoed data (temporary restriction)</li> <li>☒ Yes, as restricted data (upon approval, or institutional access only)</li> <li>☐ No (closed access)</li> </ul>	
NOTE THAT 'AVAILABLE' DOES NOT NECESSARILY MEAN THAT THE DATA SET BECOMES OPENLY AVAILABLE, CONDITIONS FOR ACCESS AND USE MAY APPLY. AVAILABILITY IN THIS QUESTION THUS ENTAILS BOTH OPEN & RESTRICTED ACCESS. FOR MORE INFORMATION:  https://wiki.surfnet.nl/display/standards/info-eu-repo/#infoeurepo-AccessRights	☐ Other, please specify:	
If access is restricted, please specify who will be able to access the data and under what conditions.	Any researcher who receives permission from the BNB to use the data.	

Are there any factors that restrict or prevent the sharing of (some of) the data (e.g. as defined in an agreement with a 3rd party, legal restrictions)? Please explain per dataset or data type where appropriate.	<ul> <li>☐ Yes, privacy aspects</li> <li>☐ Yes, intellectual property rights</li> <li>☐ Yes, ethical aspects</li> <li>☐ Yes, aspects of dual use</li> <li>☑ Yes, other</li> <li>☐ No</li> </ul>
	If yes, please specify: This data belongs to the BNB. Only they decide who they grant permission to.
Where will the data be made available?	□ KU Leuven RDR
If already known, please provide a repository	□ Other data repository: BNB server
per dataset or data type.	□ Other (specify)
When will the data be made available?	☐ Upon publication of research results
	☐ Specific date (specify)
	☑ Other: the BNB decides whom and when they make the data available to.
Which data usage licenses are you going to	☐ CC-BY 4.0 (data)
provide? If none, please explain why.	Data Transfer Agreement (restricted data)
	☐ MIT licence (code)
A DATA USAGE LICENSE INDICATES WHETHER THE DATA CAN BE	GNU GPL-3.0 (code)
REUSED OR NOT AND UNDER WHAT CONDITIONS. IF NO LICENCE IS	☐ Other: This data belongs to the BNB. Only they decide who they grant permission to.
GRANTED, THE DATA ARE IN A GREY ZONE AND CANNOT BE LEGALLY REUSED. DO NOTE THAT YOU MAY ONLY RELEASE DATA UNDER A	
LICENCE CHOSEN BY YOURSELF IF IT DOES NOT ALREADY FALL UNDER	
ANOTHER LICENCE THAT MIGHT PROHIBIT THAT.	
Check the <u>RDR guidance on licences</u> for data and	
software sources code or consult the <u>License selector</u>	
<u>tool</u> to help you choose.	

Do you intend to add a PID/DOI/accession	☐ Yes, a PID will be added upon deposit in a data repository
number to your dataset(s)? If already available,	☐ My dataset already has a PID
please provide it here.	⊠ No
INDICATE WHETHER YOU INTEND TO ADD A PERSISTENT AND UNIQUE	
IDENTIFIER IN ORDER TO IDENTIFY AND RETRIEVE THE DATA.	
What are the expected costs for data sharing?	This data belongs to the BNB. Only they decide who they grant permission to.
How will these costs be covered?	

7. Responsibilities	
Who will manage data documentation and	Sébastien Lamproye
metadata during the research project?  Who will manage data storage and backup	BNB
during the research project?  Who will manage data preservation and	BNB
sharing?	
Who will update and implement this DMP?	BNB