

## FWO DMP Template - Flemish Standard Data Management Plan

Project supervisors (from application round 2018 onwards) and fellows (from application round 2020 onwards) will, upon being awarded their project or fellowship, be invited to develop their answers to the data management related questions into a DMP. The FWO expects a **completed DMP no later than 6 months after the official start date** of the project or fellowship. The DMP should not be submitted to FWO but to the research co-ordination office of the host institute; FWO may request the DMP in a random check.

At the end of the project, the **final version of the DMP** has to be added to the final report of the project; this should be submitted to FWO by the supervisor-spokesperson through FWO's e-portal. This DMP may of course have been updated since its first version. The DMP is an element in the final evaluation of the project by the relevant expert panel. Both the DMP submitted within the first 6 months after the start date and the final DMP may use this template.

The DMP template used by the Research Foundation Flanders (FWO) corresponds with the Flemish Standard Data Management Plan. This Flemish Standard DMP was developed by the Flemish Research Data Network (FRDN) Task Force DMP which comprises representatives of all Flemish funders and research institutions. This is a standardized DMP template based on the previous FWO template that contains the core requirements for data management planning. To increase understanding and facilitate completion of the DMP, a standardized **glossary** of definitions and abbreviations is available via the following [link](#).

## 1. General Project Information

Name Grant Holder & ORCID	<b>Johannes De Smedt (0000-0003-0389-0275)</b>
Contributor name(s) (+ ORCID) & roles	<b>Jochen De Weerd (0000-0001-6151-0504)</b>
Project number <sup>1</sup> & title	ZKE3397 - Process Model Forecasting: From Short and Sweet to Long and Lasting
Funder(s) GrantID <sup>2</sup>	G039923N
Affiliation(s)	<input type="checkbox"/> KU Leuven
Please provide a short project description	<p>This project envisions the transformation of predictive process mining techniques, which focus on obtaining a prediction for the remaining time, outcome, or next step in a process, by introducing long-term process model-wide forecasts. To this purpose, the investigators will build on their recent efforts on a first incarnation of process model forecasting based on univariate statistical time series analysis and use them towards deep learning solutions. In a second instance, the short term-focused predictive monitoring and long-term model-wide forecasting will be combined into a single deep learning model based on recurrent and graph neural networks optimized with a process-specific loss function. The project envisions significant predictive performance improvement and uncovering the interrelations between short- and long term, element-specific and model-wide predictions packaged in a user-friendly software tool. Through a benchmark and user study on the perceived usefulness and ease of use, the model will be enhanced with guidelines in a Predictive Process Mining Framework (PPMF) which will equip practitioners with high-quality process-oriented decision support. The results will help process experts to simplify their predictive process mining efforts as they can rely on a single but multidisciplinary model which is easy to use and goal-oriented without having to deal with technical specificities.</p>

<sup>1</sup> "Project number" refers to the institutional project number. This question is optional since not every institution has an internal project number different from the GrantID. Applicants can only provide one project number.

<sup>2</sup> Funder(s) GrantID refers to the number of the DMP at the funder(s), here one can specify multiple GrantIDs if multiple funding sources were used.



## 2. Research Data Summary

List and describe all datasets or research materials that you plan to generate/collect or reuse during your research project. For each dataset or data type (observational, experimental etc.), provide a short name & description (sufficient for yourself to know what data it is about), indicate whether the data are newly generated/collected or reused, digital or physical, also indicate the type of the data (the kind of content), its technical format (file extension), and an estimate of the upper limit of the volume of the data<sup>3</sup>.

Dataset Name	Description	New or Reused	Digital or Physical	ONLY FOR DIGITAL DATA	ONLY FOR DIGITAL DATA	ONLY FOR DIGITAL DATA	ONLY FOR PHYSICAL DATA
				Digital Data Type	Digital Data Format	Digital Data Volume (MB, GB, TB)	Physical Volume
BPI Challenge 2011-2023	Event log data collected from various information systems used through the process mining research community for benchmarking and experimental evaluation.	<input type="checkbox"/> Generate new data <input checked="" type="checkbox"/> Reuse existing data	<input checked="" type="checkbox"/> Digital <input type="checkbox"/> Physical	<input type="checkbox"/> Observational <input type="checkbox"/> Experimental <input type="checkbox"/> Compiled/aggregated data <input type="checkbox"/> Simulation data <input checked="" type="checkbox"/> Software <input type="checkbox"/> Other <input type="checkbox"/> NA	<input type="checkbox"/> .por <input type="checkbox"/> .xml <input type="checkbox"/> .tab <input type="checkbox"/> .csv <input type="checkbox"/> .pdf <input type="checkbox"/> .txt <input type="checkbox"/> .rtf <input type="checkbox"/> .dwg <input type="checkbox"/> .tab <input type="checkbox"/> .gml <input checked="" type="checkbox"/> other: .xes <input type="checkbox"/> NA	<input type="checkbox"/> < 100 MB <input type="checkbox"/> < 1 GB <input checked="" type="checkbox"/> < 100 GB <input type="checkbox"/> < 1 TB <input type="checkbox"/> < 5 TB <input type="checkbox"/> < 10 TB <input type="checkbox"/> < 50 TB <input type="checkbox"/> > 50 TB <input type="checkbox"/> NA	

<sup>3</sup> Add rows for each dataset you want to describe.

<p><b>GUIDANCE:</b></p> <p>DATA CAN BE DIGITAL OR PHYSICAL (FOR EXAMPLE BIOBANK, BIOLOGICAL SAMPLES, ...). DATA TYPE: DATA ARE OFTEN GROUPED BY TYPE (OBSERVATIONAL, EXPERIMENTAL ETC.), FORMAT AND/OR COLLECTION/GENERATION METHOD.</p> <p>EXAMPLES OF DATA TYPES: OBSERVATIONAL (E.G. SURVEY RESULTS, SENSOR READINGS, SENSORY OBSERVATIONS); EXPERIMENTAL (E.G. MICROSCOPY, SPECTROSCOPY, CHROMATOGRAMS, GENE SEQUENCES); COMPILED/AGGREGATED DATA<sup>4</sup> (E.G. TEXT &amp; DATA MINING, DERIVED VARIABLES, 3D MODELLING); SIMULATION DATA (E.G. CLIMATE MODELS); SOFTWARE, ETC.</p> <p>EXAMPLES OF DATA FORMATS: TABULAR DATA (.POR, .SPSS, STRUCTURED TEXT OR MARK-UP FILE XML, .TAB, .CSV), TEXTUAL DATA (.RTF, .XML, .TXT), GEOSPATIAL DATA (.DWG, .GML, ..), IMAGE DATA, AUDIO DATA, VIDEO DATA, DOCUMENTATION &amp; COMPUTATIONAL SCRIPT.</p> <p>DIGITAL DATA VOLUME: PLEASE ESTIMATE THE UPPER LIMIT OF THE VOLUME OF THE DATA PER DATASET OR DATA TYPE.</p> <p>PHYSICAL VOLUME: PLEASE ESTIMATE THE PHYSICAL VOLUME OF THE RESEARCH MATERIALS (FOR EXAMPLE THE NUMBER OF RELEVANT BIOLOGICAL SAMPLES THAT NEED TO BE STORED AND PRESERVED DURING THE PROJECT AND/OR AFTER).</p>	
<p>If you reuse existing data, please specify the source, preferably by using a persistent identifier (e.g. DOI, Handle, URL etc.) per dataset or data type.</p>	<p>All data is hosted at: <a href="https://data.4tu.nl/articles/">https://data.4tu.nl/articles/</a> E.g., BPI 12 has a DOI of <a href="https://doi.org/10.4121/">https://doi.org/10.4121/</a></p>
<p>Are there any ethical issues concerning the creation and/or use of the data (e.g. experiments on humans or animals, dual use)? If so, please describe these issues further and refer to specific datasets or data types when appropriate.</p>	<p><input checked="" type="checkbox"/> No If yes, please describe:</p>

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<sup>4</sup> These data are generated by combining multiple existing datasets.

<p>Will you process personal data<sup>5</sup>? If so, briefly describe the kind of personal data you will use. Please refer to specific datasets or data types when appropriate. If available, add the reference to your file in your host institution's privacy register.</p>	<p><input type="checkbox"/> Yes  <input checked="" type="checkbox"/> No          If yes:</p> <ul style="list-style-type: none"> <li>- Short description of the kind of personal data that will be used:</li> <li>- Privacy Registry Reference:</li> </ul>
<p>Does your work have potential for commercial valorization (e.g. tech transfer, for example spin-offs, commercial exploitation, ...)? If so, please comment per dataset or data type where appropriate.</p>	<p><input type="checkbox"/> Yes  <input checked="" type="checkbox"/> No          If yes, please comment:</p>
<p>Do existing 3rd party agreements restrict exploitation or dissemination of the data you (re)use (e.g. Material/Data transfer agreements, research collaboration agreements)? If so, please explain to what data they relate and what restrictions are in place.</p>	<p><input type="checkbox"/> Yes  <input checked="" type="checkbox"/> No          If yes, please explain:</p>
<p>Are there any other legal issues, such as intellectual property rights and ownership, to be managed related to the data you (re)use? If so, please explain to what data they relate and which restrictions will be asserted.</p>	<p><input type="checkbox"/> Yes  <input checked="" type="checkbox"/> No          If yes, please explain:</p>

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<sup>5</sup> See Glossary Flemish Standard Data Management Plan

### 3. Documentation and Metadata

Clearly describe what approach will be followed to capture the accompanying information necessary to keep **data understandable and usable**, for yourself and others, now and in the future (e.g. in terms of documentation levels and types required, procedures used, Electronic Lab Notebooks, README.txt files, Codebook.tsv etc. where this information is recorded).

Will a metadata standard be used to make it easier to **find and reuse the data**?

If so, please specify which metadata standard will be used. If not, please specify which metadata will be created to make the data easier to find and reuse.

*REPOSITORIES COULD ASK TO DELIVER METADATA IN A CERTAIN FORMAT, WITH SPECIFIED ONTOLOGIES AND VOCABULARIES, I.E. STANDARD LISTS WITH UNIQUE IDENTIFIERS.*

☐ Yes

☒ No

If yes, please specify (where appropriate per dataset or data type) which metadata standard will be used:

If no, please specify (where appropriate per dataset or data type) which metadata will be created:

There is no need as the data is stored in permanent way with another data provider already (TU/e 4TU ResearchData)

### 4. Data Storage & Back-up during the Research Project

Where will the data be stored?	For online processing, the data will be stored on the local desktop computers of the academics involved in the research
<p>How will the data be backed up?</p> <p><i>WHAT STORAGE AND BACKUP PROCEDURES WILL BE IN PLACE TO PREVENT DATA LOSS? DESCRIBE THE LOCATIONS, STORAGE MEDIA AND PROCEDURES THAT WILL BE USED FOR STORING AND BACKING UP DIGITAL AND NON-DIGITAL DATA DURING RESEARCH.<sup>6</sup></i></p> <p><i>REFER TO INSTITUTION-SPECIFIC POLICIES REGARDING BACKUP PROCEDURES WHEN APPROPRIATE.</i></p>	NA
Is there currently sufficient storage & backup capacity during the project? If yes, specify concisely. If no or insufficient storage or backup capacities are available, then explain how this will be taken care of.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, please specify concisely:  If no, please specify: No needed, all data is freely available.
<p>How will you ensure that the data are securely stored and not accessed or modified by unauthorized persons?</p> <p><i>CLEARLY DESCRIBE THE MEASURES (IN TERMS OF PHYSICAL SECURITY, NETWORK SECURITY, AND SECURITY OF COMPUTER SYSTEMS AND FILES) THAT WILL BE TAKEN TO ENSURE THAT STORED AND TRANSFERRED DATA ARE SAFE.<sup>7</sup></i></p>	NA

<sup>6</sup> Source: Ghent University Generic DMP Evaluation Rubric: <https://osf.io/2z5g3/>



What are the expected costs for data storage and backup during the research project? How will these costs be covered?	NA
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#### 5. Data Preservation after the end of the Research Project

Which data will be retained for at least five years (or longer, in agreement with other retention policies that are applicable) after the end of the project? In case some data cannot be preserved, clearly state the reasons for this (e.g. legal or contractual restrictions, storage/budget issues, institutional policies...).	NA
Where will these data be archived (stored and curated for the long-term)?	NA
What are the expected costs for data preservation during the expected retention period? How will these costs be covered?	NA

## 6. Data Sharing and Reuse

<p>Will the data (or part of the data) be made available for reuse after/during the project? Please explain per dataset or data type which data will be made available.</p> <p><i>NOTE THAT 'AVAILABLE' DOES NOT NECESSARILY MEAN THAT THE DATA SET BECOMES OPENLY AVAILABLE, CONDITIONS FOR ACCESS AND USE MAY APPLY. AVAILABILITY IN THIS QUESTION THUS ENTAILS BOTH OPEN &amp; RESTRICTED ACCESS. FOR MORE INFORMATION: <a href="https://wiki.surfnet.nl/display/standards/info-eu-repo/#INFOEU-REPO-ACCESSRIGHTS">HTTPS://WIKI.SURFNET.NL/DISPLAY/STANDARDS/INFO-EU-REPO/#INFOEU-REPO-ACCESSRIGHTS</a></i></p>	<p> <input type="checkbox"/> Yes, in an Open Access repository  <input type="checkbox"/> Yes, in a restricted access repository (after approval, institutional access only, ...)  <input type="checkbox"/> No (closed access)  <input checked="" type="checkbox"/> Other, please specify:            Already available open access         </p>
<p>If access is restricted, please specify who will be able to access the data and under what conditions.</p>	
<p>Are there any factors that restrict or prevent the sharing of (some of) the data (e.g. as defined in an agreement with a 3rd party, legal restrictions)? Please explain per dataset or data type where appropriate.</p>	<p> <input type="checkbox"/> Yes, privacy aspects  <input type="checkbox"/> Yes, intellectual property rights  <input type="checkbox"/> Yes, ethical aspects  <input type="checkbox"/> Yes, aspects of dual use  <input type="checkbox"/> Yes, other  <input checked="" type="checkbox"/> No         </p> <p>If yes, please specify:</p>
<p>Where will the data be made available? If already known, please provide a repository per dataset or data type.</p>	<p><b>4TU.ResearchData</b></p>

<p><b>When will the data be made available?</b></p> <p><i>THIS COULD BE A SPECIFIC DATE (DD/MM/YYYY) OR AN INDICATION SUCH AS 'UPON PUBLICATION OF RESEARCH RESULTS'.</i></p>	<p><b>NA</b></p>
<p><b>Which data usage licenses are you going to provide? If none, please explain why.</b></p> <p><i>A DATA USAGE LICENSE INDICATES WHETHER THE DATA CAN BE REUSED OR NOT AND UNDER WHAT CONDITIONS. IF NO LICENCE IS GRANTED, THE DATA ARE IN A GREY ZONE AND CANNOT BE LEGALLY REUSED. DO NOTE THAT YOU MAY ONLY RELEASE DATA UNDER A LICENCE CHOSEN BY YOURSELF IF IT DOES NOT ALREADY FALL UNDER ANOTHER LICENCE THAT MIGHT PROHIBIT THAT.</i></p> <p><i>EXAMPLE ANSWER: E.G. "DATA FROM THE PROJECT THAT CAN BE SHARED WILL BE MADE AVAILABLE UNDER A CREATIVE COMMONS ATTRIBUTION LICENSE (CC-BY 4.0), SO THAT USERS HAVE TO GIVE CREDIT TO THE ORIGINAL DATA CREATORS." <sup>7</sup></i></p>	<p><b>NA</b></p>
<p><b>Do you intend to add a PID/DOI/accession number to your dataset(s)? If already available, please provide it here.</b></p> <p><i>INDICATE WHETHER YOU INTEND TO ADD A PERSISTENT AND UNIQUE IDENTIFIER IN ORDER TO IDENTIFY AND RETRIEVE THE DATA.</i></p>	<p><input checked="" type="checkbox"/> Yes  <input type="checkbox"/> No  If yes: already available</p>
<p><b>What are the expected costs for data sharing? How will these costs be covered?</b></p>	<p><b>NA</b></p>

<sup>7</sup> Source: Ghent University Generic DMP Evaluation Rubric: <https://osf.io/2z5g3/>

## 7. Responsibilities

Who will manage data documentation and metadata during the research project?	Main PI
Who will manage data storage and backup during the research project?	Main PI
Who will manage data preservation and sharing?	Main PI
Who will update and implement this DMP?	Main PI