

DMP title

Project Name My plan (KU Leuven DMP)

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1. Data Description

What data will you collect or create? Fill out the table below and/or describe.

Type of data	Format	Volume	How created?
Transaction level data on derivatives contract between EU counterparties	textual and numerical	500GB	Regulatory disclosure

Do you intend to reuse existing data?

Yes. This data is collected for financial supervision and research purposes under the European Market Infrastructure Regulation and hosted by the European Securities and Market Authority.

Do you use personal data (i.e. all data possibly identifying an individual)?

- No

2. Documentation and Metadata

Describe the documentation that will be created for the data. This section deals with the way in which you will document how the dataset was created and subsequently processed.

The data used under this project is confidential and proprietary. Access is granted by the European Securities and Market Authority (ESMA). Throughout the project we will document the data processing as well as produce related data processing scripts under a git framework to allow other researchers and policymaker to replicate and extend our analysis. However, most of data processing and cleaning is done inhouse by the ESMA facility.

Describe the metadata for the data. This section deals with metadata: information contained in your dataset about the research data.

No metadata envisioned at this stage.

3. Ethical, Legal and Privacy Issues

Are there any ethical issues concerning the creation and/or use of the data?

There are confidentiality issues that follow the legal EU framework followed under EMIR and ESMA

Did you consider all issues about copyrights and IPR?

Yes.

Are the collected data considered to be "data containing personal information" and are all the requirements about the collection of these data met?

No personal information data is collected

4. Data storage and Backup during Research

How and where will the data be stored during research?

- Other, please specify:

Data is stored by the European Market and Securities Authority.

Which back-up procedures are in place?

Back up procedures are delegated to and implemented by the European Securities and Market Authority.

Describe the data security procedures and who has access to the data.

Security procedures are delegated to and implemented by the European Securities and Market Authority.

Access to the data is exclusively granted by the European Securities and Market Authority for

policy and research activity only.

5. Data selection and Preservation after Research

What is the long-term preservation plan for these dataset(s)?

Data is and will be stored by the European Securities and Market Authority with no termination date.

Data Selection: Which data will have long time value for the research and will be preserved?

All data handled in this project will have value for research and will be preserved with no foreseen termination date.

6. Data Sharing

Are there any restrictions for sharing the data?

Data is extremely confidential. Its collection falls under the European Market and Infrastructure Regulation.

Sharing access to replicate and extend the work done through the project will be granted at the discretion of the institution hosting the data, that is, the European Securities and Market Authority.

If there are no restrictions, which mechanisms will be in place to assure that the data are discoverable, accessible and intelligible?

Question not answered.

How will you share the data?

Sharing access can only be done at the premises of the European Securities and Market Authority in Paris with the institution's own infrastructure and guidelines.

With whom will the data be shared?

- On request

Sharing access to replicate and extend the work done through the project will be granted at the discretion of the institution hosting the data, that is, the European Securities and Market Authority.

7. Responsibilities and Resources

Who is responsible for Data Management during the project? This will be the person who might receive questions on the data management aspects of the research project.

The PI bears the end responsibility of updating & implementing this DMP.

Which additional resources are needed for the execution of the Data Management Plan?

Authorisation from the European Securities and Market Authority

Did you read the KU Leuven Data Management Policy? (find the link to the policy in the guidance).

- Yes