### FWO DMP Template - Flemish Standard Data Management Plan

### **Version KU Leuven**

Project supervisors (from application round 2018 onwards) and fellows (from application round 2020 onwards) will, upon being awarded their project or fellowship, be invited to develop their answers to the data management related questions into a DMP. The FWO expects a **completed DMP no later than 6 months after the official start date** of the project or fellowship. The DMP should not be submitted to FWO but to the research co-ordination office of the host institute; FWO may request the DMP in a random check.

At the end of the project, the **final version of the DMP** has to be added to the final report of the project; this should be submitted to FWO by the supervisor-spokesperson through FWO's e-portal. This DMP may of course have been updated since its first version. The DMP is an element in the final evaluation of the project by the relevant expert panel. Both the DMP submitted within the first 6 months after the start date and the final DMP may use this template.

The DMP template used by the Research Foundation Flanders (FWO) corresponds with the Flemish Standard Data Management Plan. This Flemish Standard DMP was developed by the Flemish Research Data Network (FRDN) Task Force DMP which comprises representatives of all Flemish funders and research institutions. This is a standardized DMP template based on the previous FWO template that contains the core requirements for data management planning. To increase understanding and facilitate completion of the DMP, a standardized **glossary** of definitions and abbreviations is available via the following link.

1. General Project Information		
Name Grant Holder & ORCID	Karolien Wellekens 0000-0001-7977-4807	
Contributor name(s) (+ ORCID) & roles	Maarten Naesens (promotor)	
	0000-0002-5625-0792  Candice Roufosse (co-promotor)	
	0000-0002-6490-4290	
	Maarten Coemans (co-promotor) 0000-0001-8442-3673	
Project number 1 & title	Mixed kidney transplant rejection.	
Funder(s) GrantID <sup>2</sup>	11P1524N	
Affiliation(s)	□ KU Leuven	
	☐ Universiteit Antwerpen	
	☐ Universiteit Gent	
	☐ Universiteit Hasselt	
	☐ Vrije Universiteit Brussel	
	□ Other:	
	ROR identifier KU Leuven: 05f950310	

<sup>&</sup>lt;sup>1</sup> "Project number" refers to the institutional project number. This question is optional. Applicants can only provide one project number.

<sup>&</sup>lt;sup>2</sup> Funder(s) GrantID refers to the number of the DMP at the funder(s), here one can specify multiple GrantIDs if multiple funding sources were used.

#### Please provide a short project description

Kidney transplantation is the preferred treatment for end-stage kidney disease. Allorecognition and rejection however remain important barriers for allograft outcome. According to the 'Banff classification of Allograft Pathology', two major phenotypes of rejection can be distinguished; antibody-mediated rejection (AMR) and T cell-mediated rejection (TCMR). This dichotomization was initially designed to facilitate clinical decision making, however graft failure appears to be more heterogeneous than captured by this classification; it notably overlooks the existence of mixed rejection patterns (AMR + TCMR). In addition, the use of non-specific lesion scores, which support AMR as well as TCMR diagnosis, clashes with the current classification which is primarily focused on defining 'one final diagnosis'. The classification is also not consistent with the underlying immunological process; B cells are frequently observed in TCMR and T cells in AMR. Lastly, kidney transplant rejection is a condition that is neither present nor absent and so the current classification does not fully correspond to the biological/clinical reality nor the underlying cause of the phenotype that triggers these dynamics. This PhD project proposal builds on the growing idea that the current dichotomous classification of kidney allograft pathology does not reflect the full spectrum of allograft rejection in terms of histological features, immunological pathways, risk factors, disease stage nor severity.

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List and describe all datasets or research materials that you plan to generate/collect or reuse during your research project. For each dataset or data type (observational, experimental etc.), provide a short name & description (sufficient for yourself to know what data it is about), indicate whether the data are newly generated/collected or reused, digital or physical, also indicate the type of the data (the kind of content), its technical format (file extension), and an estimate of the upper limit of the volume of the data <sup>3</sup>.

				ONLY FOR DIGITAL	ONLY FOR DIGITAL	ONLY FOR	ONLY FOR PHYSICAL
				DATA	DATA	DIGITAL	DATA
Dataset	Description	New or Reused	Digital or	Digital Data	Digital Data	DATA Digital	Physical Volume
Name			Physical	Туре	Format	Data	,
			,	/ /		Volume	
						(MB, GB,	
						TB)	
		☐ Generate new data	☐ Digital	☐ Audiovisual			
		☐ Reuse existing data	☐ Physical	☐ Images		GB	
			,	☐ Sound		□ < 100	
				☐ Numerical		GB	
				☐ Textual		□ < 1 TB	
				☐ Model		□ < 5 TB	
				☐ Software		□ > 5 TB	
				☐ Other:		□NA	
UZ Leuven	Kidney transplant database UZ	Reuse existing data	Digital	Numerical and	SAS format	<1 GB	/
kidney	Leuven (data of adult kidney	Troube extremily district	2.8.00.	textual	(demographic		
transplantati	transplant recipients,			(Demographical,	and clinical		
on biobank	transplanted between 2004			clinical and	data) or in		
cohort	and 2021 at University			histology data)	.xls/.xlsx		
(TEMPLATE+	Hospitals Leuven)				format		
cohort)					(histology data)		

<sup>&</sup>lt;sup>3</sup> Add rows for each dataset you want to describe.

HISTOMAP	Biopsy-based transcript	Reuse existing	Physical	Numerical and	SAS format	<1 GB	Biological
	analyses (B-HOT panel on	data	(collection	textual (Output	(demographic		samples
	NanoString nCounter platform)	(retrospective	of	of biopsy-based	and clinical		routinely stored
	of N=1000 kidney transplant	samples) and	prospective	transcript	data) or in		in
	biopsies (N=500	generate new	kidney	analyses &	.xls/.xlsx		the UZ Leuven
	retrospectively selected	data	biopsy	clinical,	format		Kidney
	samples from TEMPLATE+	(prospectively	samples) &	demographical	(histology data)		Transplantation
	cohort and N=500	collected	Digital	and histology	.csv format for		BIOBANK
	prospectively collected	samples)		data)	gene expression		S53364
	samples at University Hospitals				analyses data		(according
	Leuven)						to all guidelines
							and regulations
							of
							the UZ Leuven
							Biobank)

#### GUIDANCE:

The data description forms the basis of your entire DMP, so make sure it is detailed and complete. It includes digital and physical data and encompasses the whole spectrum ranging from raw data to processed and analysed data including analysis scripts and code. Physical data are all materials that need proper management because they are valuable, difficult to replace and/or ethical issues are associated. Materials that are not considered data in an RDM context include your own manuscripts, theses and presentations; documentation is an integral part of your datasets and should described under documentation/metadata.

RDM Guidance on data

If you reuse existing data, please specify the	clinicaltrials.gov NCT01331668	
source, preferably by using a persistent		
identifier (e.g. DOI, Handle, URL etc.) per		
dataset or data type.		

Are there any ethical issues concerning the creation and/or use of the data (e.g. experiments on humans or animals, dual use)? If so, refer to specific datasets or data types when appropriate and provide the relevant ethical approval number.	<ul> <li>✓ Yes, human subject data; provide SMEC or EC approval number:         TEMPLATE+ cohort: approved by Ethics Committee of the University Hospitals Leuven (S53364)         HISTOMAP: approved by Ethics Committee of the University Hospitals Leuven (S63996)         ✓ Yes, animal data; provide ECD reference number:         ✓ Yes, dual use; provide approval number:         ✓ No         Additional information:/     </li> </ul>
Will you process personal data <sup>4</sup> ? If so, please refer to specific datasets or data types when appropriate and provide the KU Leuven or UZ Leuven privacy register number (G or S number).	·· ·
Does your work have potential for commercial valorization (e.g. tech transfer, for example spinoffs, commercial exploitation,)? If so, please comment per dataset or data type where appropriate.	☐ Yes ☑ No If yes, please comment:

<sup>&</sup>lt;sup>4</sup> See Glossary Flemish Standard Data Management Plan

Do existing 3rd party agreements restrict	☐ Yes
exploitation or dissemination of the data you	⊠ No
(re)use (e.g. Material/Data transfer agreements,	If yes, please explain:
research collaboration agreements)?	
If so, please explain to what data they relate and	
what restrictions are in place.	
Are there any other legal issues, such as	☐ Yes
intellectual property rights and ownership, to be	⊠ No
managed related to the data you (re)use?	If yes, please explain:
If so, please explain to what data they relate and	
which restrictions will be asserted.	

#### 3. Documentation and Metadata

Clearly describe what approach will be followed to capture the accompanying information necessary to keep **data understandable and usable**, for yourself and others, now and in the future (e.g. in terms of documentation levels and types required, procedures used, Electronic Lab Notebooks, README.txt files, Codebook.tsv etc. where this information is recorded).

RDM guidance on documentation and metadata.

Clinical, demographical and histology data: within the storage file (.xls/.xlsx), an extra tab is included, describing all variables (definition, units of measurement, values). This data is stored on the KU Leuven Onedrive servers. As our data are sensitive patient data, these will not be shared in a repository. Data can be made available to third parties via a Data Transfer Agreement. All contracts with third parties are managed by the KU Leuven legal department (LRD) or the clinical trial center of UZ Leuven.

Will a metadata standard be used to make it	☐ Yes
easier to find and reuse the data?	⊠ No
	If yes, please specify (where appropriate per dataset or data type) which metadata standard will be used: /
If so, please specify which metadata standard	
will be used. If not, please specify which	If no, please specify (where appropriate per dataset or data type) which metadata will be created:
metadata will be created to make the data	TEMPLATE+ and HISTOMAP: additional excel tab with description of all variables is in place.
easier to find and reuse.	
REPOSITORIES COULD ASK TO DELIVER METADATA IN A CERTAIN	
FORMAT, WITH SPECIFIED ONTOLOGIES AND VOCABULARIES, I.E.	
STANDARD LISTS WITH UNIQUE IDENTIFIERS.	

4. Data Storage & Back-up during the Research Project		
Where will the data be stored?	☐ Shared network drive (J-drive)	
	☐ Personal network drive (I-drive)	
Consult the <u>interactive KU Leuven storage guide</u> to	☐ ☑ OneDrive (KU Leuven)	
find the most suitable storage solution for your data.	☐ Sharepoint online	
	☐ Sharepoint on-premis	
	☐ Large Volume Storage	
	☐ Digital Vault	
	☐ Other:	

How will the data be backed up?  WHAT STORAGE AND BACKUP PROCEDURES WILL BE IN PLACE TO PREVENT DATA LOSS?	<ul> <li>Standard back-up provided by KU Leuven ICTS for my storage solution</li> <li>□ Personal back-ups I make (specify)</li> <li>□ Other (specify)</li> <li>Automatic daily back-up procedures and version tracking is guaranteed by KU Leuven IT infrastructure.</li> </ul>
Is there currently sufficient storage & backup capacity during the project? If yes, specify concisely. If no or insufficient storage or backup capacities are available, then explain how this will be taken care of.	<ul> <li>✓ Yes</li> <li>☐ No</li> <li>KUL Onedrive offers a standard of 2TB for each user, which is sufficient for this project.</li> <li>If no, please specify:/</li> </ul>
How will you ensure that the data are securely stored and not accessed or modified by unauthorized persons?  CLEARLY DESCRIBE THE MEASURES (IN TERMS OF PHYSICAL SECURITY, NETWORK SECURITY, AND SECURITY OF COMPUTER SYSTEMS AND FILES) THAT WILL BE TAKEN TO ENSURE THAT STORED AND TRANSFERRED DATA ARE SAFE.	The data will be stored on password protected KU Leuven IT infrastructure, in accordance with the KU Leuven SOPs, the principles of GDPR 2016/679, and the Belgian privacy law. All biological samples are stored in the UZ Leuven Kidney Transplantation BIOBANK (S53364), according to the guidelines of the UZ Leuven Biobank.  Only the researchers working on the project can access the data.
What are the expected costs for data storage and backup during the research project? How will these costs be covered?	No extra costs are expected on top of the provided standard storage space by the KU Leuven.

## 5. Data Preservation after the end of the Research Project

Which data will be retained for at least five years (or longer, in agreement with other retention policies that are applicable) after the end of the project? In case some data cannot be preserved, clearly state the reasons for this (e.g. legal or contractual restrictions, storage/budget issues, institutional policies).  Guidance on data preservation	<ul> <li>☑ All data will be preserved for 10 years according to KU Leuven RDM policy</li> <li>☐ All data will be preserved for 25 years according to CTC recommendations for clinical trials with medicinal products for human use and for clinical experiments on humans</li> <li>☐ Certain data cannot be kept for 10 years (explain)</li> <li>Relevant generated pseudonymized data, will be stored for a minimum of 10 years after the end of the project for reproducibility, verification and potential reuse. Leftover biological samples will be stored in the BIOBANK Renal Transplantation (S53364), in accordance with the guidelines of Biobank UZ Leuven.</li> </ul>
Where will these data be archived (stored and	☐ KU Leuven RDR
curated for the long-term)?	☐ Large Volume Storage (longterm for large volumes)
curated for the long-termy:	☐ Shared network drive (J-drive)
Dedicated data repositories are often the best place	, , ,
to preserve your data. Data not suitable for	☑ Other (specifiy): KU Leuven Onedrive
preserve your data. But a not suitable for	
Leuven storage solution, consult the interactive KU	
Leuven storage guide.	
What are the expected costs for data	Additional on the standard data storage infrastructure (Onedrive) provided by the KU Leuven, no extra
preservation during the expected retention	costs for data preservation are expected.
period? How will these costs be covered?	

## 6. Data Sharing and Reuse

Will the data (or part of the data) be made available for reuse after/during the project? Please explain per dataset or data type which data will be made available.  Note that 'Available' does not necessarily mean that the data set becomes openly available, conditions for access and use may apply. Availability in this question thus entails both open & restricted access. For more information: https://wiki.surfnet.nl/display/standards/info-eu-repo/#infoeurepo-AccessRights	<ul> <li>☐ Yes, as open data</li> <li>☐ Yes, as embargoed data (temporary restriction)</li> <li>☐ Yes, as restricted data (upon approval, or institutional access only)</li> <li>☒ No (closed access)</li> <li>☐ Other, please specify:</li> <li>Raw data are only accessible by researchers involved in the project. Data can only be made available to third parties via a Data Transfer Agreement. All contracts with third parties are managed by the KU Leuven legal department (LRD) or the clinical trial center of UZ Leuven. Data will be available in the form of publications or other dissemination of scientific work.</li> </ul>
If access is restricted, please specify who will be able to access the data and under what	Only researchers working on the project have access to the raw data.
conditions.	
Are there any factors that restrict or prevent the	
sharing of (some of) the data (e.g. as defined in	☐ Yes, intellectual property rights
an agreement with a 3rd party, legal	☐ Yes, ethical aspects
restrictions)? Please explain per dataset or data	☐ Yes, aspects of dual use
type where appropriate.	☐ Yes, other
	□ No
	If yes, please specify:
	Sensitive patient data. Raw data can only be shared with a DTA, according to GDPR regulations.
Where will the data be made available?	☐ KU Leuven RDR
If already known, please provide a repository	☐ Other data repository (specify)
per dataset or data type.	□ Other (specify)
	Raw data cannot be shared.

When will the data be made available?	<ul> <li>☑ Upon publication of research results</li> <li>☐ Specific date (specify)</li> <li>☐ Other (specify)</li> <li>Upon publication of research results. Data will only be available in the form of publications or other dissemination of scientific work.</li> </ul>
Which data usage licenses are you going to	□ CC-BY 4.0 (data)
provide? If none, please explain why.	☐ Data Transfer Agreement (restricted data)
provide: if floric, piedse explain wity.	, ,
A DATA USAGE LICENSE INDICATES WHETHER THE DATA CAN BE REUSED OR NOT AND UNDER WHAT CONDITIONS. IF NO LICENCE IS GRANTED, THE DATA ARE IN A GREY ZONE AND CANNOT BE LEGALLY REUSED. DO NOTE THAT YOU MAY ONLY RELEASE DATA UNDER A LICENCE CHOSEN BY YOURSELF IF IT DOES NOT ALREADY FALL UNDER ANOTHER LICENCE THAT MIGHT PROHIBIT THAT.  Check the RDR quidance on licences for data and software sources code or consult the License selector tool to help you choose.	<ul> <li>□ MIT licence (code)</li> <li>□ GNU GPL-3.0 (code)</li> <li>☒ Other (specify)</li> <li>No data usage license will be provided. Raw data can be shared with third parties only via a Data Transfer Agreement. All contracts with third parties are managed by the KU Leuven legal department (LRD) or the clinical trial center of UZ Leuven.</li> </ul>
Do you intend to add a PID/DOI/accession	☐ Yes, a PID will be added upon deposit in a data repository
•	
number to your dataset(s)? If already available,	☐ My dataset already has a PID ⊠ No
please provide it here.	
INDICATE WHETHER YOU INTEND TO ADD A PERSISTENT AND UNIQUE IDENTIFIER IN ORDER TO IDENTIFY AND RETRIEVE THE DATA.	
What are the expected costs for data sharing? How will these costs be covered?	Costs of data sharing will be negotiated in the DTA.

# 7. Responsibilities

Who will manage data documentation and	The PI of the study (Maarten Naesens) and the head of the Nephrology and Renal Transplantation
metadata during the research project?	Research Group will be responsible for data documentation and metadata.
Who will manage data storage and backup	The research and technical staff will ensure data storage and back up, with support from KU Leuven
during the research project?	ICTS. Final responsibility for data storage and back-up lies with the PI of this project.
Who will manage data preservation and	The PI of the project will ensure data preservation and reuse. All requests for data sharing and
sharing?	reuse should be directed to the PI of the study.
Who will update and implement this DMP?	The PI bears the end responsibility of updating & implementing this DMP.