Perfectly Secret Encryption

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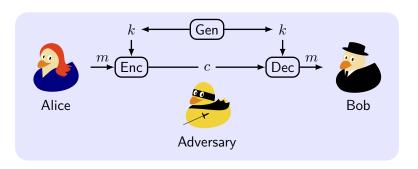
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Outline

- 1 Definitions and Basic Properties
- **2** The One-Time Pad (Vernam's Cipher)
- 3 Limitations of Perfect Secrecy
- 4 Shannon's Theorem
- 5 Eavesdropping Indistinguishability

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Recall The Syntax of Encryption



- $k \in \mathcal{K}, m \in \mathcal{M}, c \in \mathcal{C}.$
- $k \leftarrow \mathsf{Gen}, c := \mathsf{Enc}_k(m), m := \mathsf{Dec}_k(c).$
- **Encryption scheme**: $\Pi = (Gen, Enc, Dec)$.
- **Random Variable**: K, M, C for key, plaintext, ciphertext.
- Probability: Pr[K = k], Pr[M = m], Pr[C = c].
- What's the basic correctness requirement?

Definition of 'Perfect Secrecy'

Intuition: An adversary knows the probability distribution over \mathcal{M} . c should have no effect on the knowledge of the adversary; the a posteriori likelihood that some m was sent should be no different from the a priori probability that m would be sent.

Definition 1

 Π over \mathcal{M} is **perfectly secret** if for every probability distribution over \mathcal{M} , $\forall m \in \mathcal{M}$ and $\forall c \in \mathcal{C}$ for which $\Pr[C = c] > 0$:

$$\Pr[M = m | C = c] = \Pr[M = m].$$

Simplify: non-zero probabilities for $\forall m \in \mathcal{M}$ and $\forall c \in \mathcal{C}$.

Is the below scheme perfectly secret?

For
$$\mathcal{M} = \mathcal{K} = \{0, 1\}$$
, $\operatorname{Enc}_k(m) = m \oplus k$.

Perfect Secrecy On One Bit

XORing one bit is perfectly secret.

Let $\Pr[M=1]=p$ and $\Pr[M=0]=1-p$. Let us consider a case that M=1 and C=1.

$$\Pr[M = 1 | C = 1] = \Pr[C = 1 | M = 1] \cdot \Pr[M = 1] / \Pr[C = 1]$$

$$= \frac{\Pr[K = 1 \oplus 1] \cdot p}{\Pr[C = 1 | M = 1] \cdot \Pr[M = 1] + \Pr[C = 1 | M = 0] \cdot \Pr[M = 0]}$$

$$= \frac{1/2 \cdot p}{1/2 \cdot p + 1/2 \cdot (1 - p)} = p = \Pr[M = 1]$$

We can do the same for other cases.

Note that
$$\Pr[M=1|C=1] \neq \Pr[M=1,C=1] = \Pr[C=1|M=1] \cdot \Pr[M=1] = 1/2 \cdot p$$
.

An Equivalent Formulation

Lemma 2

 Π over \mathcal{M} is perfectly secret \iff for every probability distribution over \mathcal{M} , $\forall m \in \mathcal{M}$ and $\forall c \in \mathcal{C}$:

$$\Pr[C=c|M=m] = \Pr[C=c].$$

Proof.

 $\Leftarrow: \mbox{Multiplying both sides by } \Pr[M=m]/\Pr[C=c] \mbox{, then use Bayes' Theorem.}^1$

 \Rightarrow : Multiplying both sides by $\Pr[C=c]/\Pr[M=m]$, then use Baves' Theorem.

¹If $Pr[B] \neq 0$ then $Pr[A|B] = (Pr[A] \cdot Pr[B|A]) / Pr[B]$

Perfect Indistinguishability

Lemma 3

 Π over \mathcal{M} is perfectly secret \iff for every probability distribution over \mathcal{M} , $\forall m_0, m_1 \in \mathcal{M}$ and $\forall c \in \mathcal{C}$:

$$\Pr[C = c | M = m_0] = \Pr[C = c | M = m_1].$$

Proof.

$$\Rightarrow$$
: By Lemma 2: $\Pr[C = c | M = m] = \Pr[C = c]$.

$$\Leftarrow$$
: $p \stackrel{\mathsf{def}}{=} \Pr[C = c | M = m_0].$

$$\Pr[C = c] = \sum_{m \in \mathcal{M}} \Pr[C = c | M = m] \cdot \Pr[M = m]$$
$$= \sum_{m \in \mathcal{M}} p \cdot \Pr[M = m] = p = \Pr[C = c | M = m_0].$$

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One-Time Pad (Vernam's Cipher)

- $M = \mathcal{K} = \mathcal{C} = \{0, 1\}^{\ell}.$
- Gen chooses a k randomly with probability exactly $2^{-\ell}$.
- $c := \operatorname{Enc}_k(m) = k \oplus m.$
- $m := \mathsf{Dec}_k(c) = k \oplus c.$

Theorem 4

The one-time pad encryption scheme is perfectly-secret.

Proof.

$$\Pr[C = c | M = m] = \Pr[M \oplus K = c | M = m]$$
$$= \Pr[m \oplus K = c] = \Pr[K = m \oplus c] = 2^{-\ell}.$$

Then Lemma 3:
$$\Pr[C = c | M = m_0] = \Pr[C = c | M = m_1].$$

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Limitations of OTP and Perfect Secrecy

Key k is as long as m, difficult to store and share k.

Theorem 5

Let Π be perfectly-secret over \mathcal{M} , and let \mathcal{K} be determined by Gen. Then $|\mathcal{K}| \geq |\mathcal{M}|$.

Proof.

Assume $|\mathcal{K}| < |\mathcal{M}|$. $\mathcal{M}(c) \stackrel{\mathsf{def}}{=} \{\hat{m} | \hat{m} = \mathsf{Dec}_k(c) \text{ for some } \hat{k} \in \mathcal{K}\}$. Since for one k, there is at most one m such that $m = \mathsf{Dec}_k(c)$, $|\mathcal{M}(c)| \leq |\mathcal{K}| < |\mathcal{M}|$. So $\exists m' \notin \mathcal{M}(c)$. Then

$$\Pr[M = m' | C = c] = 0 \neq \Pr[M = m']$$

and so not perfectly secret.

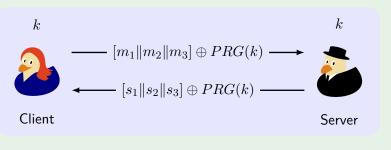
Two Time Pad: Real World Cases

Only used once for the same key, otherwise

$$c \oplus c' = (m \oplus k) \oplus (m' \oplus k) = m \oplus m'.$$

Learn m from $m \oplus m'$ due to the redundancy of language.

MS-PPTP (Win NT)



Improvement: use two keys for C-to-S and S-to-C separately.

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Shannon's Theorem

Theorem 6

For
$$|\mathcal{M}| = |\mathcal{K}| = |\mathcal{C}|$$
, Π is perfectly secret \iff

- **1** Every $k \in \mathcal{K}$ is chosen with probability $1/|\mathcal{K}|$ by Gen.
- 2 $\forall m \in \mathcal{M}$ and $\forall c \in \mathcal{C}$, \exists unique $k \in \mathcal{K}$: $c := \mathsf{Enc}_k(m)$.

Proof.

 $\Leftarrow: \Pr[C = c | M = m] = 1/|\mathcal{K}|, \text{ use Lemma 3.} \\ \Rightarrow (2): \text{ At least one } k, \text{ otherwise } \Pr[C = c | M = m] = 0; \\ \text{at most one } k, \text{ because } \{ \operatorname{Enc}_k(m) \}_{k \in \mathcal{K}} = \mathcal{C} \text{ and } |\mathcal{K}| = |\mathcal{C}|. \\ \Rightarrow (1): k_i \text{ is such that } \operatorname{Enc}_{k_i}(m_i) = c.$

$$\begin{aligned} \Pr[M = m_i] &= \Pr[M = m_i | C = c] \\ &= \left(\Pr[C = c | M = m_i] \cdot \Pr[M = m_i]\right) / \Pr[C = c] \\ &= \left(\Pr[K = k_i] \cdot \Pr[M = m_i]\right) / \Pr[C = c], \end{aligned}$$

so
$$\Pr[K = k_i] = \Pr[C = c] = 1/|\mathcal{K}|$$
.

Application of Shannon's Theorem

Is the below scheme perfectly secret?

Let
$$\mathcal{M} = \mathcal{C} = \mathcal{K} = \{0, 1, 2, \dots, 255\}$$

 $\operatorname{Enc}_k(m) = m + k \mod 256$

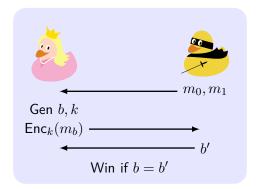
 $\operatorname{Dec}_k(c) = c - k \mod 256$

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Eavesdropping Indistinguishability Experiment

Priv $\mathsf{K}^{\mathsf{eav}}_{\mathcal{A},\Pi}$ denote a **priv**ate-**k**ey encryption experiment for a given Π over \mathcal{M} and an **eav**esdropping adversary \mathcal{A} .

- **1** \mathcal{A} outputs a pair of messages $m_0, m_1 \in \mathcal{M}$.
- 2 $k \leftarrow \text{Gen}$, a random bit $b \leftarrow \{0,1\}$ is chosen. Then $c \leftarrow \text{Enc}_k(m_b)$ is given to \mathcal{A} .
- $oldsymbol{3}$ \mathcal{A} outputs a bit b'
- If b' = b, \mathcal{A} succeeded $\mathsf{PrivK}_{\mathcal{A},\Pi}^{\mathsf{eav}} = 1$, otherwise 0.



Adversarial Indistinguishability

Definition 7

 Π over $\mathcal M$ is **perfectly secret** if for every $\mathcal A$ it holds that

$$\Pr[\mathsf{PrivK}^{\mathsf{eav}}_{\mathcal{A},\Pi} = 1] = \frac{1}{2}.$$

Which in the below schemes are perfectly secret?

- $\blacksquare \ \mathsf{Enc}_{k,k'}(m) = \mathsf{OTP}_k(m) \| \mathsf{OTP}_{k'}(m)$
- $\blacksquare \ \operatorname{Enc}_k(m) = reverse(\operatorname{OTP}_k(m))$
- $\blacksquare \operatorname{Enc}_k(m) = \operatorname{OTP}_k(m) \| k$
- $\blacksquare \ \operatorname{Enc}_k(m) = \operatorname{OTP}_k(m) \| \operatorname{OTP}_k(m)$
- $\blacksquare \ \operatorname{Enc}_k(m) = \operatorname{OTP}_{0^n}(m)$
- $\blacksquare \ \operatorname{Enc}_k(m) = \operatorname{OTP}_k(m) \| LSB(m)$

Summary

- Perfect secrecy = Perfect indistinguishability = Adversarial indistinguishability
- Perfect secrecy is attainable. The One-Time Pad (Vernam's cipher)
- Shannon's theorem