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DEPARTMENT OF COMPUTER SCIENCE AND TECHNOLOGY

算法基础

Foundation of Algorithms

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23 Minimum Spanning Trees

- Definition and Example
- History and Some Algorithms
- Growing an MST
- Two Greedy Algorithms
- Kruskal versus Prim

Thank prof. meng@binghamton for his slides.

Minimum Spanning Trees (MST)

- *Input*

A connected, undirected graph $G = (V, E)$ with weight function $w: E \rightarrow R$.

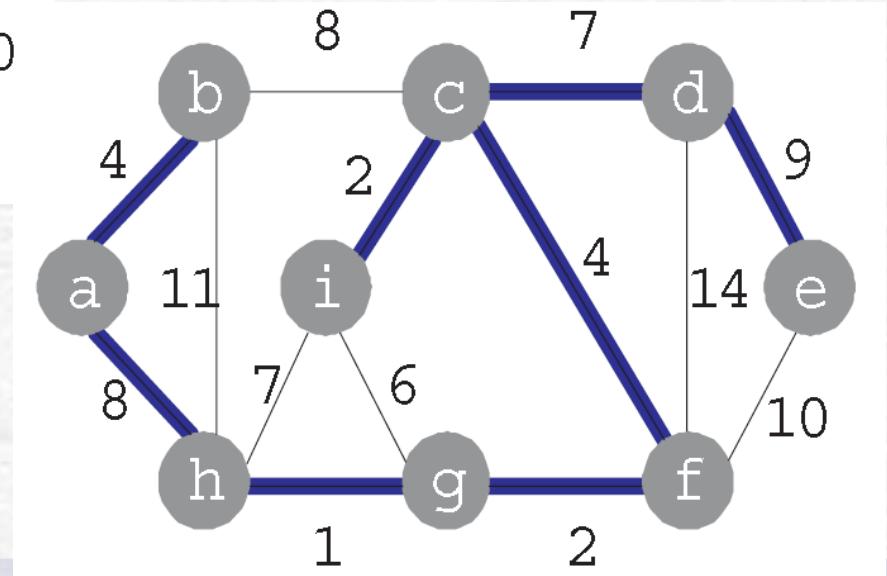
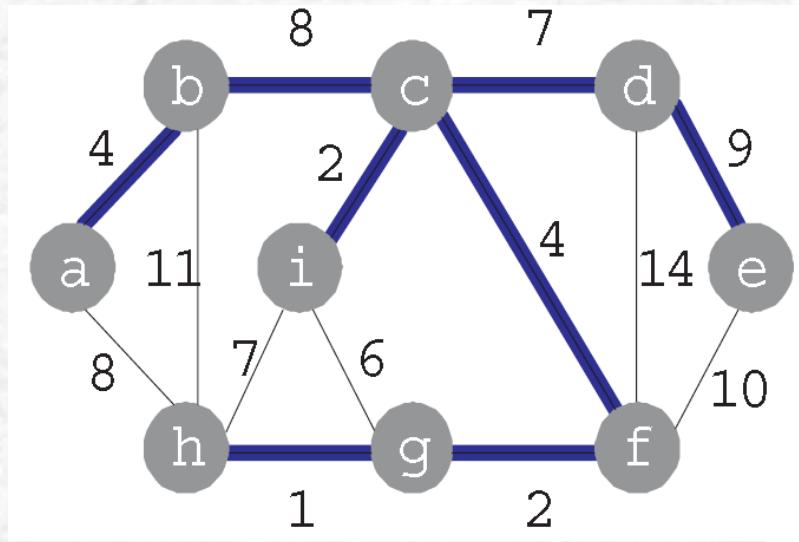
- *Output*

A spanning tree T – a tree that connects all vertices – of minimum weight:

$$w(T) = \sum_{(u,v) \in T} w(u,v)$$

MST: an Example

- There is an MST, but it's *not unique*.



History and Some Algorithms

● History

- In 1926, O. Boruvka firstly proposed an MST alg..
- In 1956, J. B. Kruskal reported his method.
- In 1957, R. C. Prim invented another alg., but it was also invented by V. Jarnik in 1930.
- The problem of *spanning tree verification* can be solved in linear time (V. King, 1997).

● Greedy methods

- Kruskal's and Prim's greedy methods for MST, whose time cost is $O(|E| \log |V|)$ or $\mathcal{O}(|E| \log |E|)$.
- By using Fibonacci heaps, Prim's alg. runs in time $\mathcal{O}(|E| + |\mathcal{N}| \log |\mathcal{N}|)$, which improves over the binary-heap implementation if $|\mathcal{N}|$ is much smaller than $|E|$.

Growing an MST and Idea

- *Growing an MST*

- Grow an MST by adding one edge at a time.

- *Idea*

- The algorithm *manages a set of edges A*, maintaining the following *loop invariant* : for each iteration, *A is a subset of some MST*.

- *Safe edge*

- At each step we determine *an edge (u, v) such that $A \cup \{(u, v)\}$ is still a subset of an MST* and (u, v) is called a safe edge for A .

Generic MST Algorithm

- Generic algorithm:

```
GENERIC-MST( $G, w$ )
```

```
1    $A = \emptyset$ 
2   while  $A$  does not form a spanning tree
3       find an edge  $(u, v)$  that is safe for  $A$ 
4        $A = A \cup \{(u, v)\}$ 
5   return  $A$ 
```

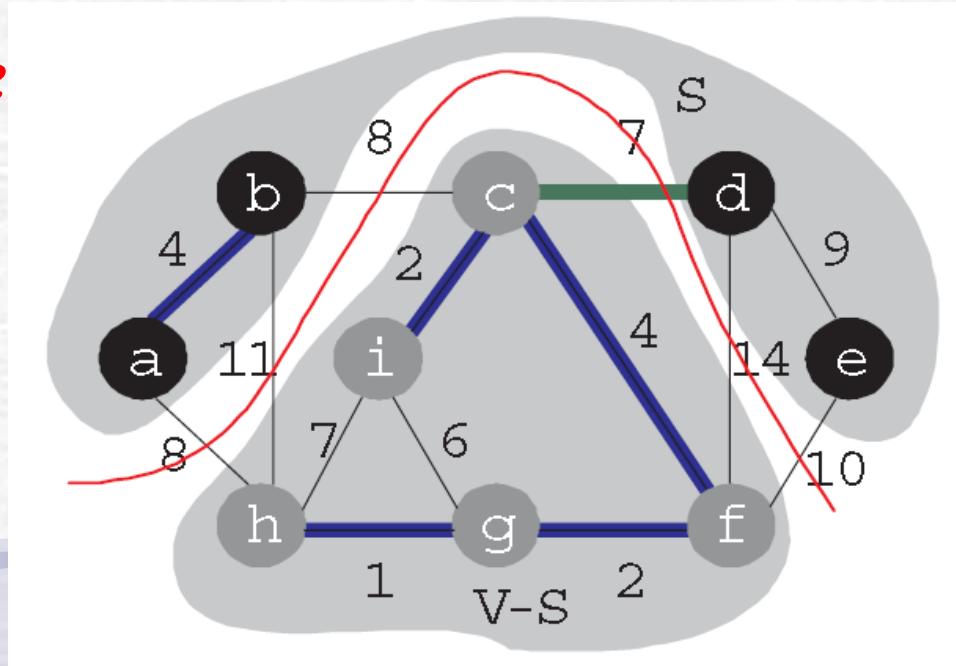
- In the followings, we will provide a rule for *recognizing safe edges*, and the two algorithms use the rule *efficiently*.

Cut and Light Edge

- **Definitions**

- A **cut** $(S, V - S)$ of an undirected graph $G = (V, E)$ is a partition of V . An edge $(u, v) \in E$ crosses the cut iff one of its endpoints is in S and the other is in $V - S$.
- An edge is a **light edge** crossing a cut if its weight is the minimum of any edge crossing the cut.

- **Example**



Recognizing Safe Edge

- *Theorem 23.1*

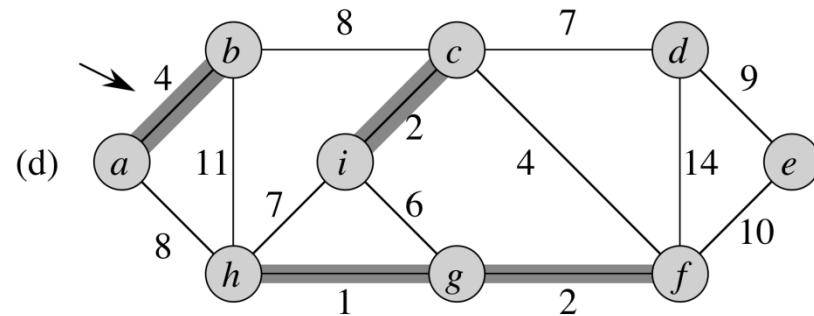
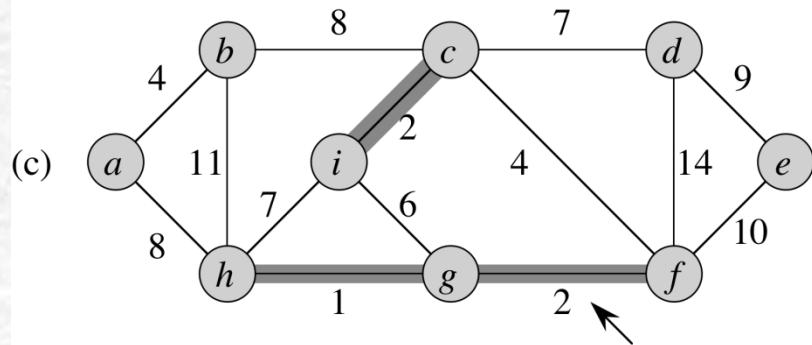
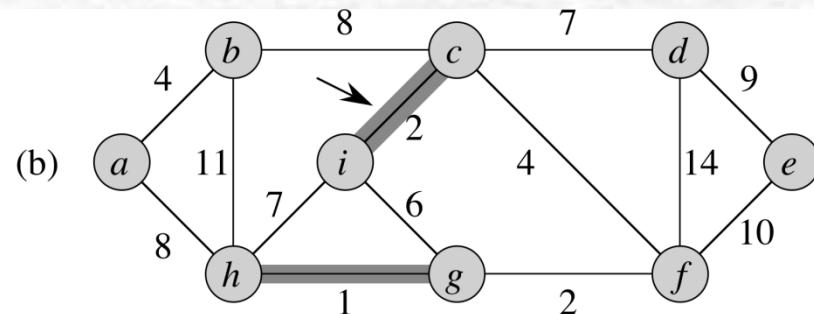
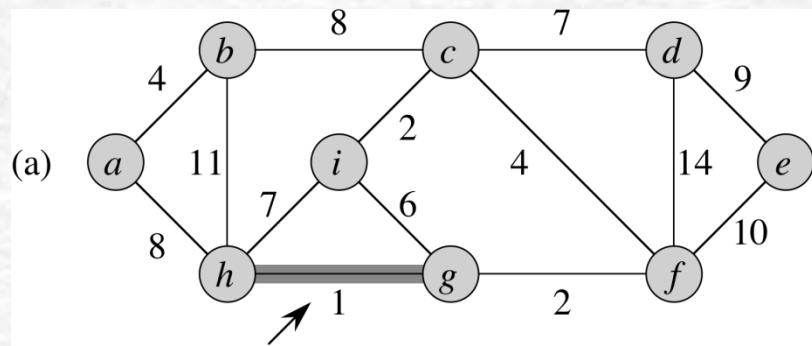
- $G = (V, E)$ be a connected, undirected, and weighted graph,
- $A \subseteq E$ be included in some MST,
- $(S, V-S)$ be any cut of G that respects (针对) A , and
- (u, v) be a *light edge* crossing $(S, V-S)$.
- Then (u, v) is safe for A .

- *The proof is omitted.*

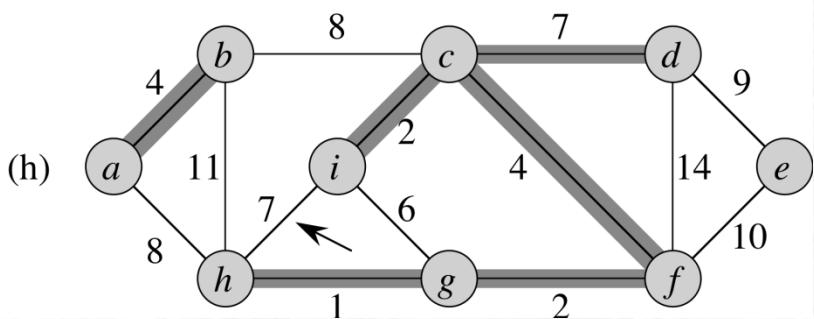
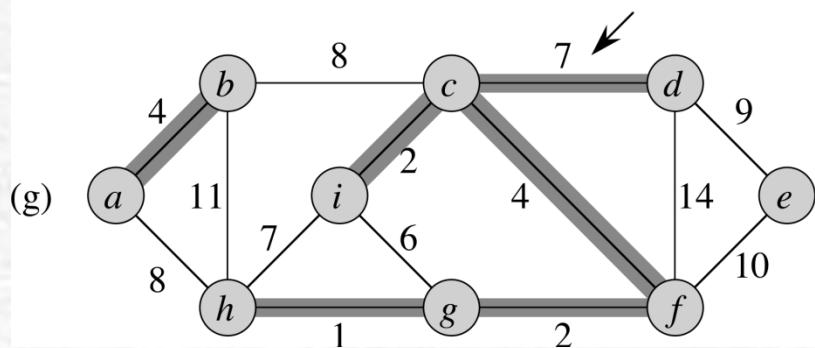
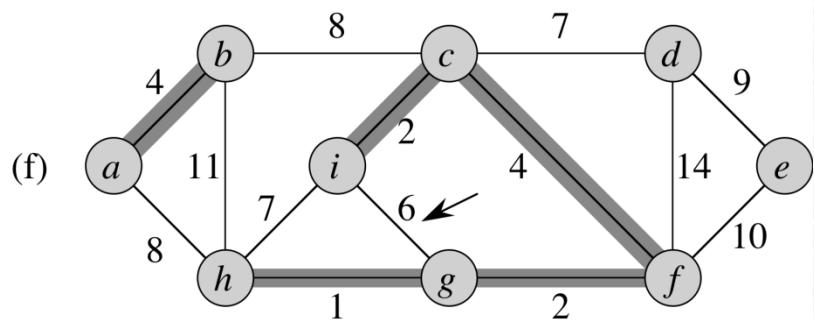
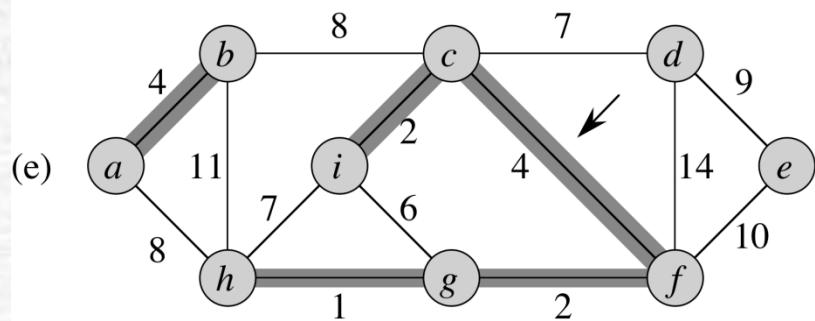
Two Greedy Algorithms

- *Kruskal's algorithm*: Grow an MST from a forest of spanning trees (connected components) by adding the edge that has the minimum weight and connects two spanning trees each time.
- *Prim's algorithm*: Grow an MST from a partial MST by adding the edge that has the minimum weight and has one end in the partial MST and one end not in each time.

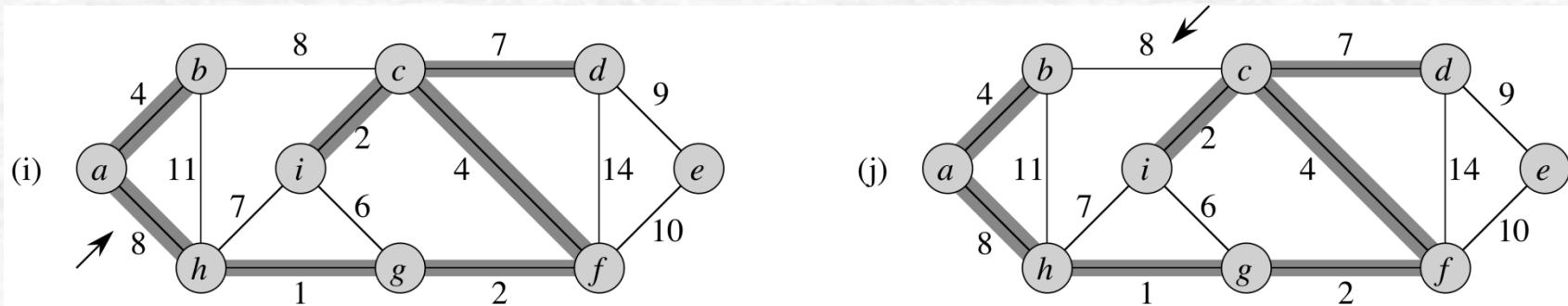
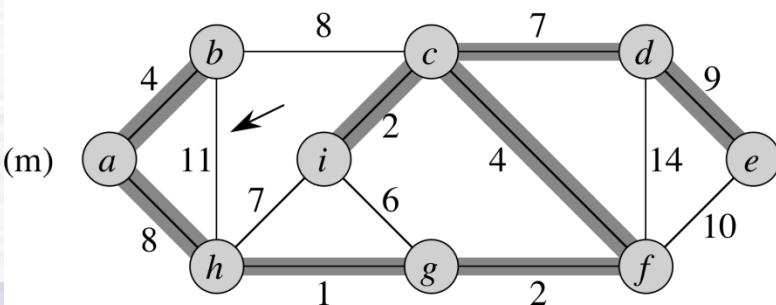
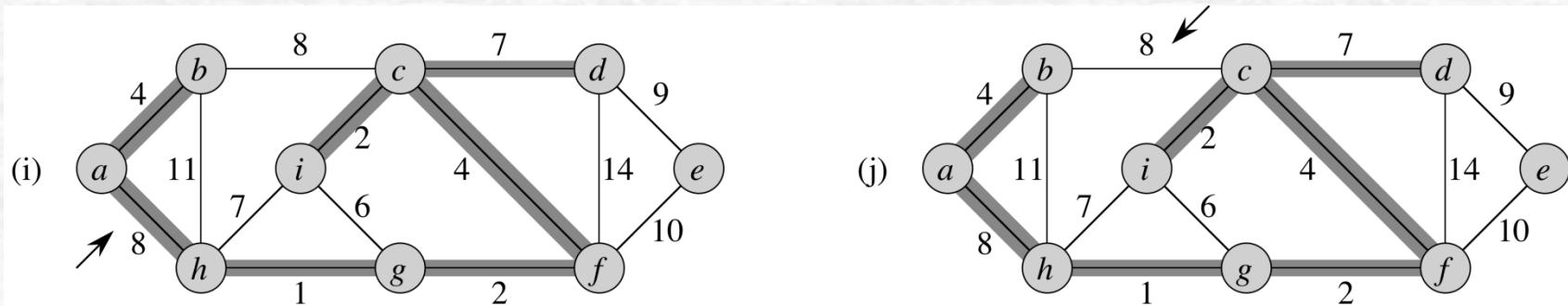
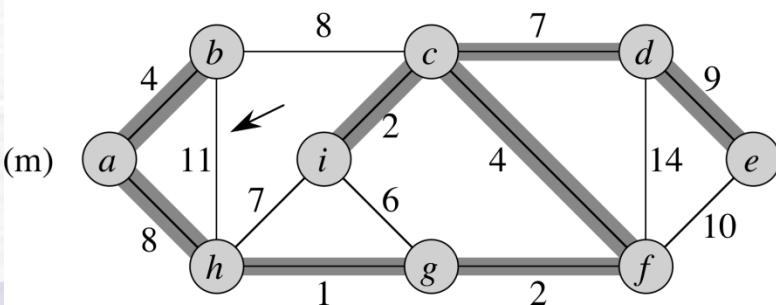
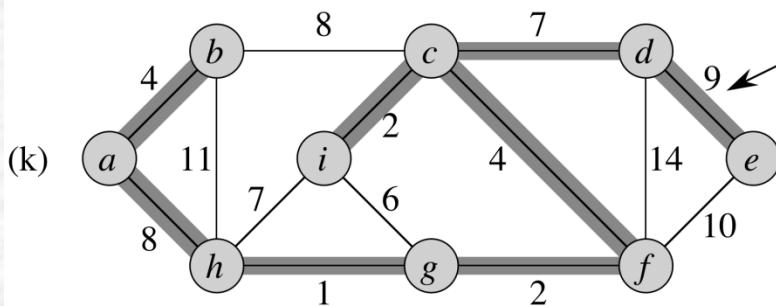
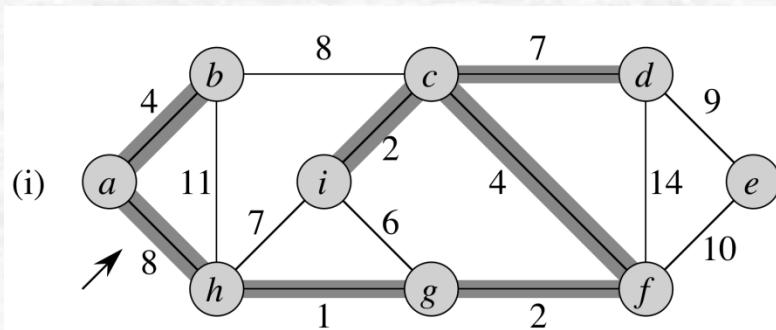
Kruskal Algorithm: Example (1)



Kruskal Algorithm: Example (2)



Kruskal Algorithm: Example (3)



Kruskal Algorithm and Analysis

$\text{KRUSKAL}(G, w)$

$A = \emptyset$

for each vertex $v \in G.V$

 MAKE-SET(v)

sort the edges of $G.E$ into nondecreasing order by weight w

for each (u, v) taken from the sorted list

if FIND-SET(u) \neq FIND-SET(v)

$A = A \cup \{(u, v)\}$

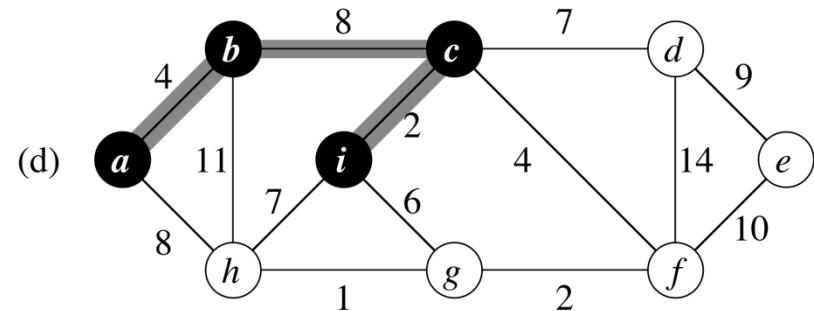
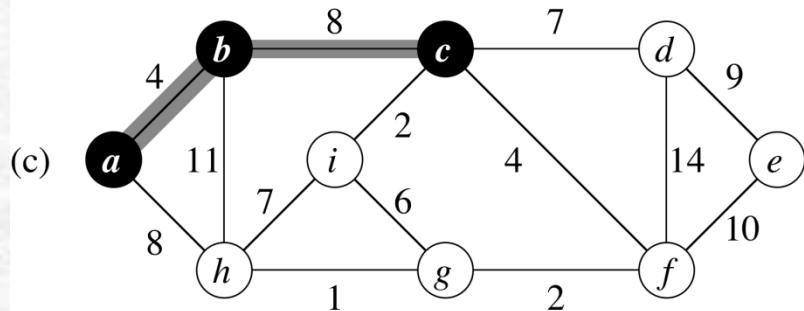
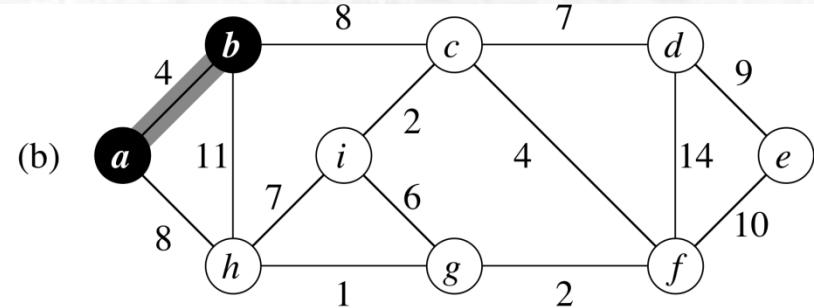
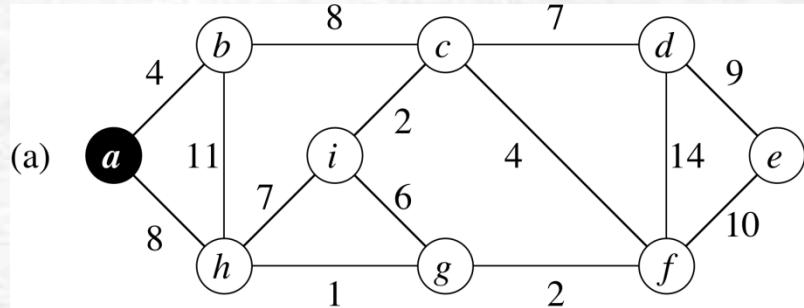
 UNION(u, v)

return A

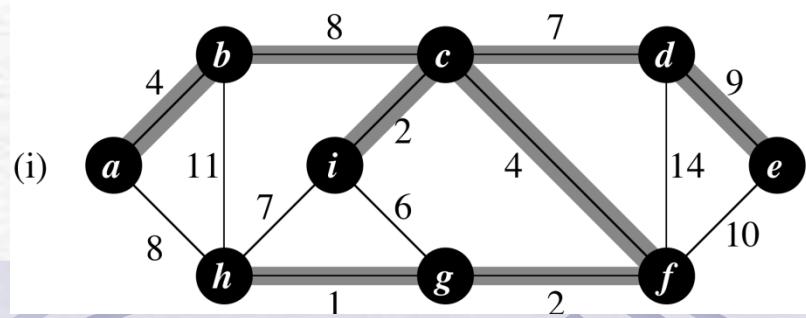
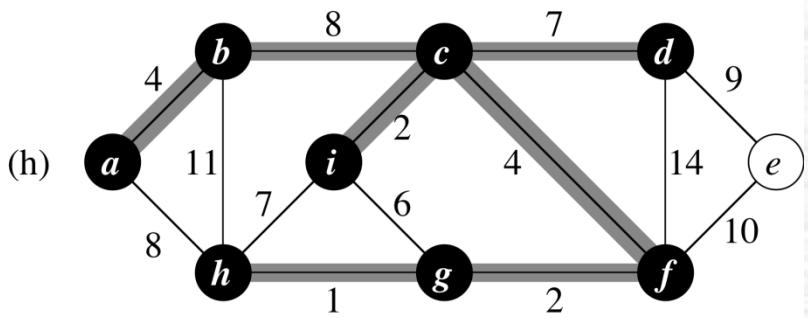
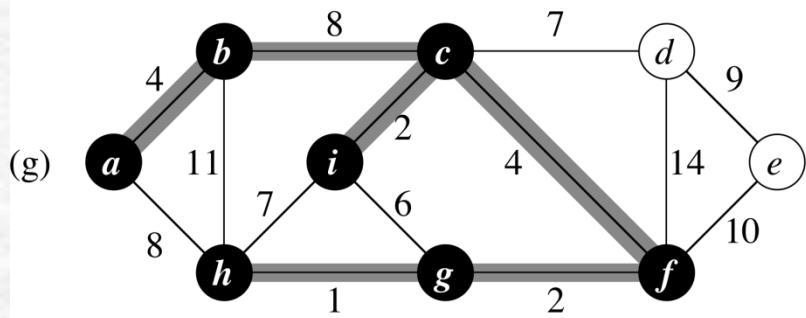
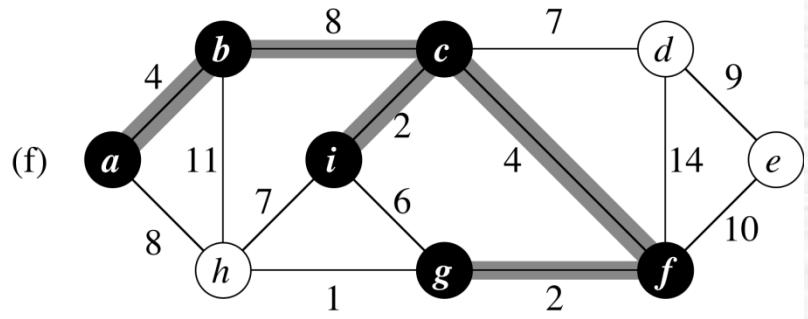
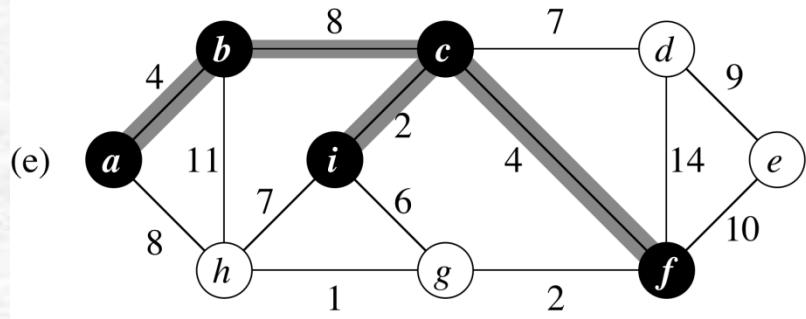
- **Analysis:**

- First for loop: $|V|$ Make-Sets, $\mathcal{O}(1)$ each, total $\mathcal{O}(|V|)$
- Sort E : $\mathcal{O}(|E|\log|E|)$
- Second for loop: $\mathcal{O}(|E|)$ Find-Sets, $O(1)$ each
 $\mathcal{O}(|E|)$ Unions: $\mathcal{O}(\log|V|)$ each (*with a special method in ch21*)
- **Total time** : $\mathcal{O}(|E|\log|E|)$ or $\mathcal{O}(|E|\log|V|)$

Prim Algorithm: Example (1)



Prim Algorithm: Example (2)



Prim Algorithm and Analysis

PRIM(G, w, r)

$Q = \emptyset$

for each $u \in G.V$

$u.key = \infty$

$u.\pi = \text{NIL}$

INSERT(Q, u)

DECREASE-KEY($Q, r, 0$) // $r.key = 0$

while $Q \neq \emptyset$

$u = \text{EXTRACT-MIN}(Q)$

for each $v \in G.Adj[u]$

if $v \in Q$ and $w(u, v) < v.key$

$v.\pi = u$

DECREASE-KEY($Q, v, w(u, v)$)

The tree starts from an arbitrary root vertex r .

- Suppose Q is a *binary min-heap*.
- Initialize Q and first for loop: $\mathcal{O}(|V|)$.
- while loop:** $|V|$ iterations.
 - Extract-Min: $\mathcal{O}(\log |V|)$ each.
 - for loop: $\mathcal{O}(|E|)$ times altogether
 - $v \in Q$ in $\mathcal{O}(1)$ (*extended heap*).
 - Last line: $\mathcal{O}(\log |V|)$.
 - Others operations in $\mathcal{O}(1)$.
- Total while loop: $\mathcal{O}(|V| \log |V|) + \mathcal{O}(|E| \log |V|) = \mathcal{O}(|E| \log |V|)$.
- Overall total:** $\mathcal{O}(|E| \log |V|)$.

Question: if use Fibonacci heap, how much can the run time be improved?

Kruskal versus Prim

- **Question 1:** Which has smaller running time?
- They have the same asymptotic running time:
 $\mathcal{O}(|E| \log |V|)$.
 - Kruskal: $\mathcal{O}(|E| \log |E|)$.
 - Prim: $\mathcal{O}(|V| \log |V|) + \mathcal{O}(|E| \log |V|)$.
 - Kruskal is better when $|E|$ is small (sparse graph).
 - Prim is better for dense graph ($|V| \ll |E|$).
- **Question 2:** Which is easier to implement?
 - Kruskal algorithm is easier.



Part 6 Graph Algorithms

22 Elementary Graph Algorithms

23 Minimum Spanning Trees

24 Single-Source Shortest Paths

25 All-Pairs Shortest Paths

24 Single-Source Shortest Paths

- Definitions and History
- Bellman-Ford Algorithm
- Directed Acyclic Graph Scenario
- Dijkstra Algorithm

Thank prof. meng@binghamton and yu@pku for their slides partial.

Definitions of Shortest Path

- **Shortest path:** A shortest path from u to v is a path of minimum weight from u to v . The **shortest-path weight** from u to v is defined as

$$\delta(u, v) = \min\{w(p) : p \text{ is a path from } u \text{ to } v\}$$

Note: $\delta(u, v) = \infty$ if no path from u to v exists.

- The **weight of path** $p = v_1 \rightarrow v_2 \rightarrow \dots \rightarrow v_k$ is defined to be

$$w(p) = \sum_{i=1}^k w(v_i, v_{i+1})$$

- **Single-source shortest paths:** From a given source vertex $s \in V$, find the shortest-path weights $\delta(s, v)$ for all $v \in V$.

- If all edge weights $w(u, v)$ are nonnegative, all shortest-path weights must exist.
- If a graph G contains a negative-weight cycle, then some shortest paths may not exist.

History

- Dijkstra algorithm was proposed in 1959.
- R. Bellman and L. R. Ford found BELLMAN-FORD alg. in 1958 and 1959, respectively.
- In 2000, M. Thorup gave an algorithm with $\mathcal{O}(|E| \log \log |V|)$. For undirected graph with integer weights, Thorup gave an $\mathcal{O}(|N| + |E|)$ time algorithm in 1999.

Shortest Path Representation

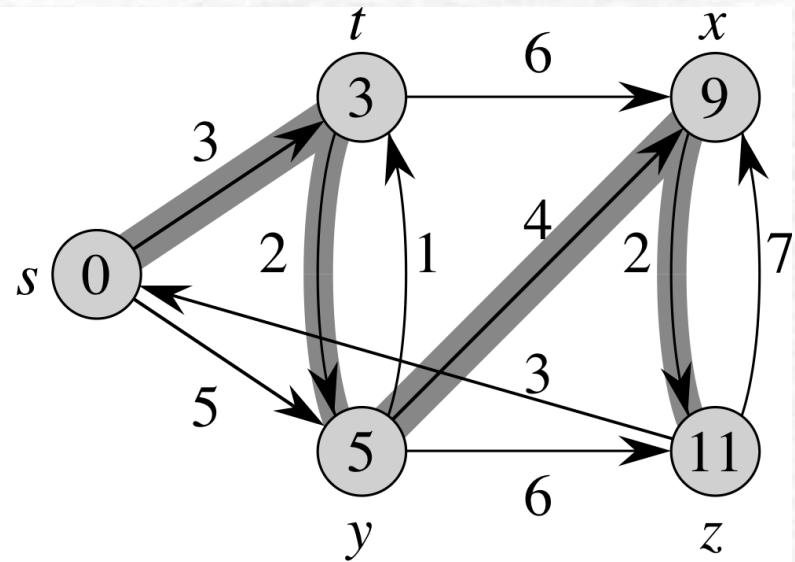
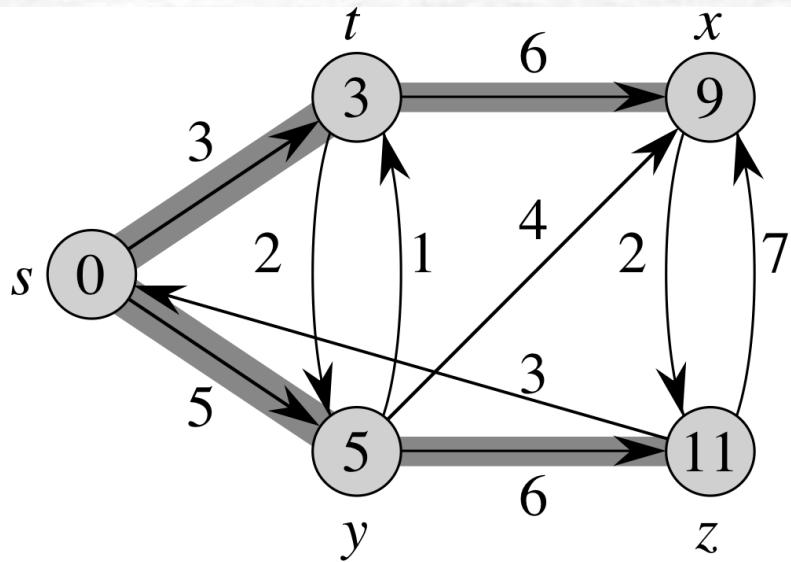
- Given a graph $G = (V, E)$, maintain for each vertex $v \in V$ a **predecessor** $\pi[v]$ that is either another vertex or Nil.
- Let $G_\pi = (V_\pi, E_\pi)$ be the subgraph (the **predecessor subgraph**) induced by the π values, where
$$V_\pi = \{u \in V \mid \pi[u] \neq \text{Nil}\} \cup \{s\}, \text{ and}$$
$$E_\pi = \{(\pi[u], u) \in E \mid u \in V_\pi - \{s\}\}.$$
- The algorithms will produce G_π as a **shortest-paths tree**, containing a shortest path from s to every node reachable from s .

Shortest-Paths Tree

- A *shortest-paths tree* (SPT) is similar to a *breadth-first tree* (BFT) in Chapter 22.
 - SPT contains shortest paths from a source *in terms of edge weights*.
 - BFT contains shortest paths from a source *in terms of the number of edges*.
- An SPT rooted at s is a directed subgraph $G' = (V', E')$, $V' \subseteq V$ and $E' \subseteq E$, such that
 - V' is the set of vertices reachable from s ,
 - G' forms a rooted tree with root s , and
 - for each $v \in V'$, the unique simple path from s to v in G' is a shortest path from s to v in G .

Shortest-Paths Tree: Example

- For a weighted, directed graph, there may be more than one *shortest-paths tree*.



Two SPTs of a weighted, directed graph.

Technique of Edge Relaxation

- For each vertex $v \in V$, $d[v]$ is an upper bound on the weight of a shortest path from s to v , called *shortest-path estimate*.

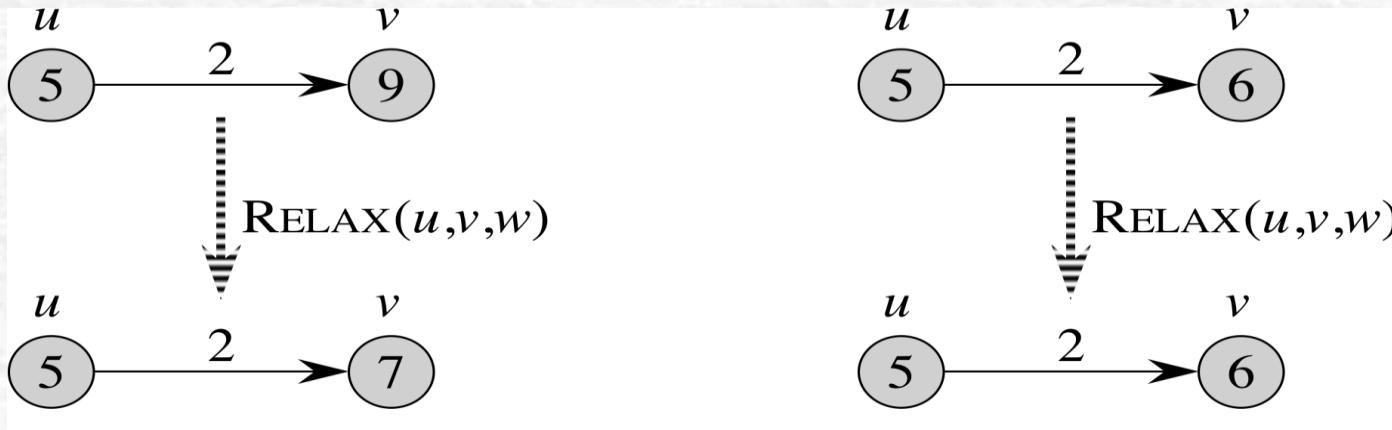
INITIALIZE-SINGLE-SOURCE(G, s)

- 1 **for** each vertex $v \in V$
- 2 **do** $d[v] \leftarrow \infty$
- 3 $\pi[v] \leftarrow \text{NIL}$
- 4 $d[s] \leftarrow 0$

RELAX(u, v, w)

- 1 **if** $d[v] > d[u] + w(u, v)$
- 2 **then** $d[v] \leftarrow d[u] + w(u, v)$
- 3 $\pi[v] \leftarrow u$

Examples of Relaxation



- Each algorithm calls `INITIALIZE-SINGLE-SOURCE(G, s)` and then *repeatedly relaxes the edges*, differing in the order and time of relaxation of each edge.
 - In *Dijkstra algorithm* and the shortest-paths algorithm for directed acyclic graphs, each edge is *relaxed exactly once*.
 - In *Bellman-Ford algorithm*, each edge is *relaxed many times*.

Some Properties (1)

- **Triangle Inequality** (Lemma 24.10)
 - For any edge $(u, v) \in E$, we have $\delta(s, v) \leq \delta(s, u) + w(u, v)$.
- **Upper-bound Property** (Lemma 24.11)
 - We always have $d[v] \geq \delta(s, v)$ for all vertices $v \in V$ and once $d[v]$ achieves the value $\delta(s, v)$, it never changes.
 - Corollary: If there is no path from s to v , then we always have $d[v] = \delta(s, v) = \infty$.
- **Convergence Property** (Lemma 24.14)
 - If $s \rightsquigarrow u \rightarrow v$ is a shortest path in G for some $u, v \in V$ and if $d[u] = \delta(s, u)$ at any time prior to relaxing edge (u, v) , then $d[v] = \delta(s, v)$ at all times afterward.

Some Properties (2)

- **Path-relaxation Property** (Lemma 24.15)

- If $p = \langle v_0, v_1, \dots, v_k \rangle$ is a shortest path from $s = v_0$ to v_k , and the edges of p are relaxed in the order $(v_0, v_1), (v_1, v_2), \dots, (v_{k-1}, v_k)$ then $d[v_k] = \delta(s, v_k)$
- Once $d[v] = \delta(s, v)$ for all $v \in V$, the predecessor subgraph is a shortest-paths tree rooted at s .

Shortest-Path Algorithms

- Here, introduce *three algorithms* to find single-source shortest paths.
- They all start by calling `Init-Single-Source()` first and then repeatedly relax edges.
- *Bellman-Ford algorithm* relaxes each edge $|V| - 1$ times.
 - Allows negative-weight edges.
 - Allows cycles.
- The *shortest-paths algorithm for DAG* and *Dijkstra algorithm* relax each edge exactly once.
 - The shortest-paths algorithm for DAG *allows negative-weight edges but not cycle*.
 - Dijkstra algorithm *allows cycle but not negative-weight edges*.

Bellman-Ford Algorithm: Basic Idea

- It allows negative-weight edges.
- It computes $d[v]$ and $\pi[v]$ for each $v \in V$.
- It returns a Boolean value indicating whether there is a *negative-weight cycle* that is reachable from the source s .
 - It returns *False if a negative-weight cycle reachable from s is found.*
 - *If there is no such a cycle, it returns True and also produces the shortest path to each vertex and the corresponding weight.*

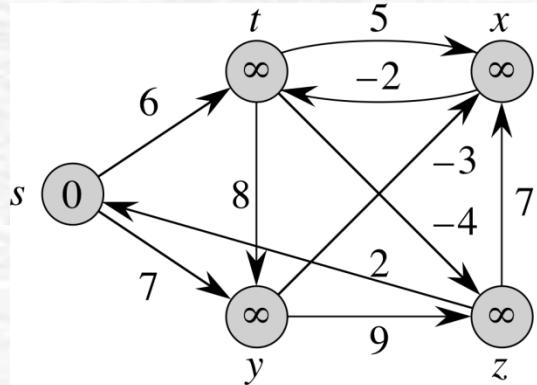
Bellman-Ford Algorithm: Pseudocode

BELLMAN-FORD(G, s)

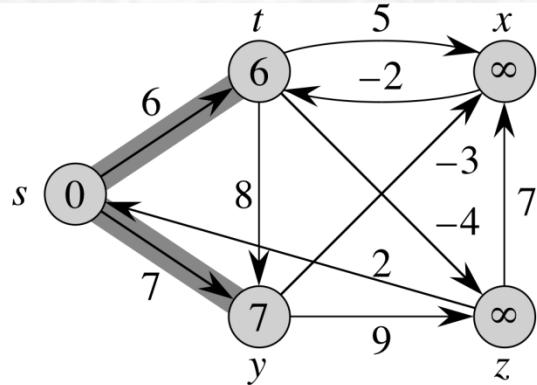
```
1  INITIALIZE-SINGLE-SOURCE( $G, s$ )
2  for  $i \leftarrow 1$  to  $|V| - 1$ 
3    do for each edge  $(u, v) \in E$ 
4      do RELAX( $u, v, w$ )
5  for each edge  $(u, v) \in E$ 
6    do if  $d[v] > d[u] + w(u, v)$ 
7      then return FALSE
8  return TRUE
```

- The time cost of BELLMAN-FORD is $\mathcal{O}(|N \cdot |E|)$.

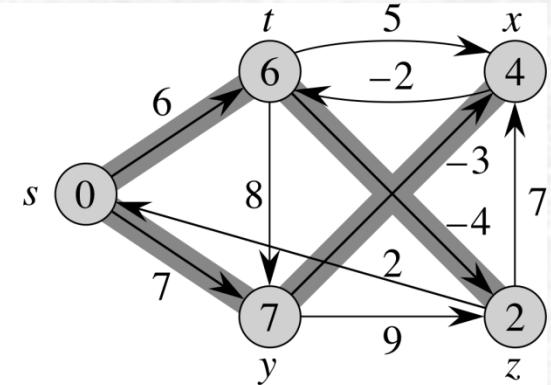
Bellman-Ford Algorithm: Example



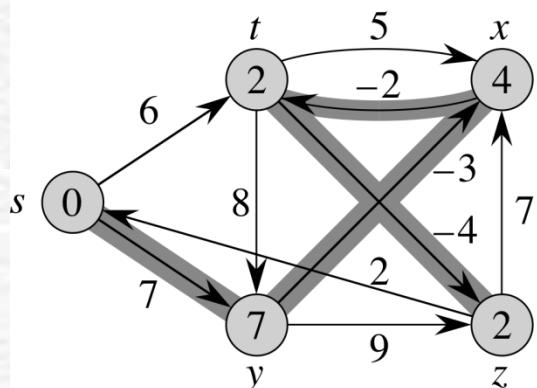
Input graph



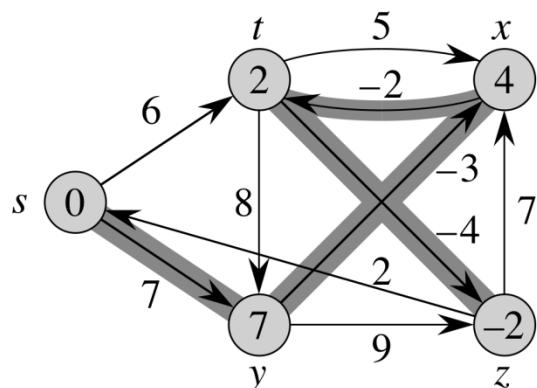
After 1st pass



After 2nd pass



After 3rd pass



After 4th pass

Based on the following order of examining edges: (t, x), (t, y), (t, z), (x, t), (y, x), (y, z), (z, x), (z, s), (s, t), (s, y).

- Different orders affect speed of convergence.
- returns TRUE in this example

Why Only Need $|V|-1$ Iterations?

- Lemma 24.2(没有权重为负值环的Bellma-Ford算法正确性)

Let $G = (V, E)$ be a weighted, directed graph. Assume that G has no negative-weight cycles that are reachable from s . Then, after $|V|-1$ iterations of lines 2-4 in BELLMAN-FORD, we have $d[v] = \delta(s, v)$ for all vertex v that is reachable from s .

- Proof: We prove the lemma by *appealing to the path-relaxation property.*

Consider any vertex v that is reachable from s , and let $p = \langle v_0, v_1, \dots, v_k \rangle$, where $v_0 = s$ and $v_k = v$, be any shortest path from s to v .

Because shortest paths are simple, p has at most $|V|-1$ edges, and so $k \leq |V|-1$.

Each of the $|V|-1$ iterations of the for loop of lines 2-4 relaxes all $|E|$ edges. Among the edges relaxed in the i^{th} iteration, for $i=1, 2, \dots, k$, is (v_{i-1}, v_i) .

By the path-relaxation property, therefore,

$$d[v] = d[v_k] = \delta(s, v_k) = \delta(s, v)$$

Correctness of Bellman-Ford

1. If G has no negative-weight cycles, then

$$\begin{aligned}d[v] &= \delta(s, v) \\&\leq \delta(s, u) + w(u, v) \\&= d[u] + w(u, v)\end{aligned}$$

BELLMAN-FORD returns TRUE.

2. If G has a negative-weight cycle $c = \langle v_0, v_1, \dots, v_k \rangle$, where $v_0 = v_k$. Then

$$\sum_{i=1}^k w(v_{i-1}, v_i) < 0$$

If $d[v_i] \leq d[v_{i-1}] + w(v_{i-1}, v_i)$, then

$$\sum_{i=1}^k d[v_i] \leq \sum_{i=1}^k d[v_{i-1}] + \sum_{i=1}^k w(v_{i-1}, v_i)$$

which implies that $\sum_{i=1}^k w(v_{i-1}, v_i) \geq 0$, contradiction! **BELLMAN-FORD** returns FALSE.

Single-Source Shortest Paths in DAG (1)

- **Problem:** Finding single-source shortest paths in a *directed acyclic graph (DAG)*.
- **Question:** Applying Bellman-Ford alg. directly would take $O(|V| \cdot |E|)$ time. Can we do better for a DAG?
- **Solution:** Yes, use topological sort.

Single-Source Shortest Paths in DAG (2)

- Pseudocode of algorithm :

```
DAG-SHORTEST-PATHS( $G, w, s$ )
```

topologically sort the vertices

```
INIT-SINGLE-SOURCE( $G, s$ )
```

for each vertex u , taken in topologically sorted order

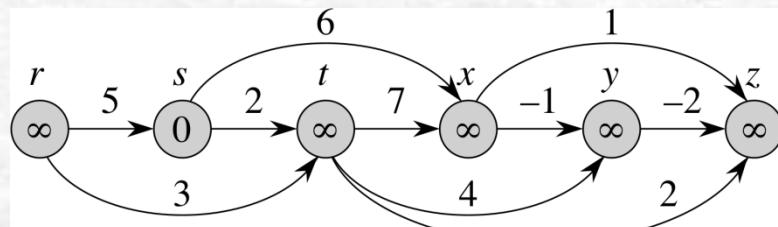
for each vertex $v \in G.Adj[u]$

```
RELAX( $u, v, w$ )
```

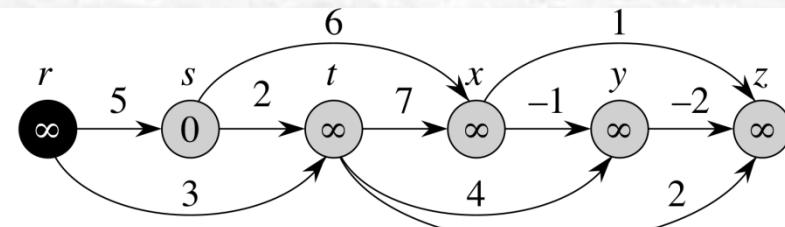
- Running time:

- Topological sort: $\Theta(|V| + |E|)$
- Init-Single-Source(): $\Theta(|V|)$
- For loop: $\Theta(|V| + |E|)$
- Total: $\Theta(|V| + |E|)$

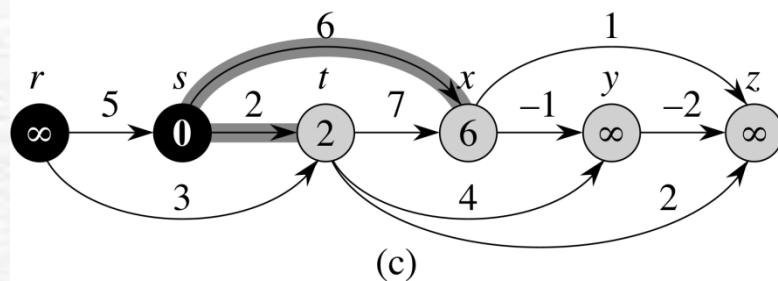
Single-Source Shortest Paths in DAG (3)



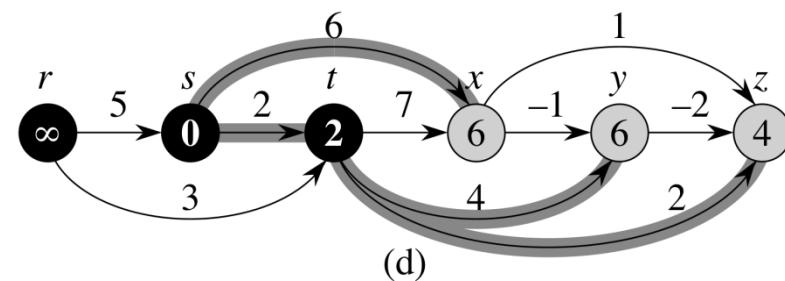
(a)



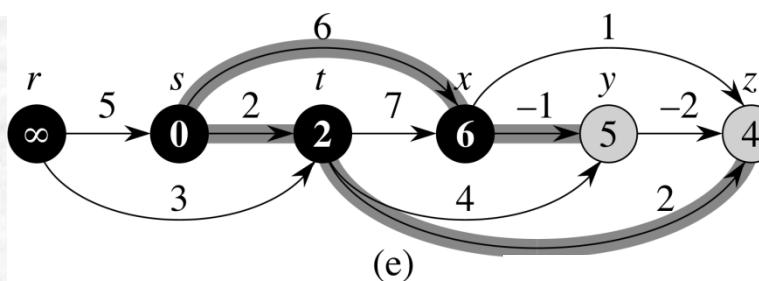
(b)



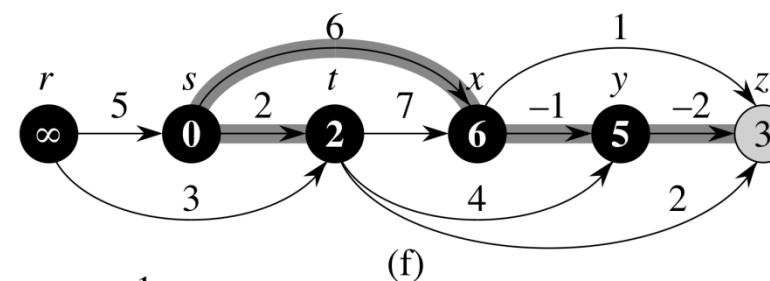
(c)



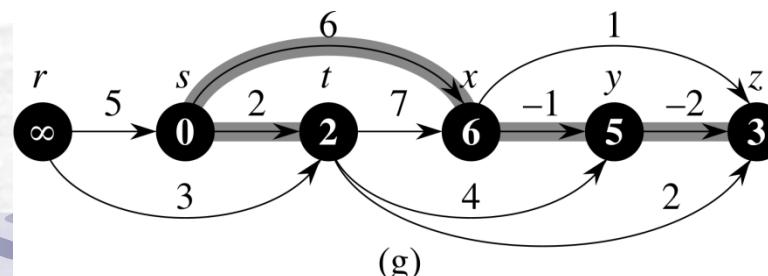
(d)



(e)



(f)



(g)

Single-Source Shortest Paths in DAG (4)

Correctness of DAG-Shortest-Paths.

- Need to show $d[v] = \delta(s, v)$ for every vertex v reachable from s . If v is not reachable from s , $d[v] = \delta(s, v) = \infty$.
- If v is reachable from s , then there is a shortest path $p = \langle v_0, v_1, \dots, v_k \rangle$ with $v_0 = s$ and $v_k = v$.
- Because we process vertices in topologically sorted order, we relax the edges on p in the order of $(v_0, v_1), (v_1, v_2), \dots, (v_{k-1}, v_k)$. By Lemma 3 (path relaxation property), $d[v_i] = \delta(s, v_i)$ for $i = 0, \dots, k$.
- Therefore, DAG-Shortest-Paths is correct.

Dijkstra Algorithm: Basic Idea

- It is for graphs with no negative-weight edges.
- *Similar to breadth-first search - a weighted version of BFS.*
 - Grow a tree gradually, advancing from vertices taken from a queue.
 - Instead of a FIFO queue, it uses a priority queue with keys being the shortest-path weights ($d[v]$).
- In process, two sets of vertices:
 - S = vertices whose final shortest-path weights have been determined
 - Q = priority queue = $V - S$.

Dijkstra Algorithm: Pseudocode

DIJKSTRA(G, w, s)

 INIT-SINGLE-SOURCE(G, s)

$S = \emptyset$

$Q = G.V$ // i.e., insert all vertices into Q

while $Q \neq \emptyset$

$u = \text{EXTRACT-MIN}(Q)$

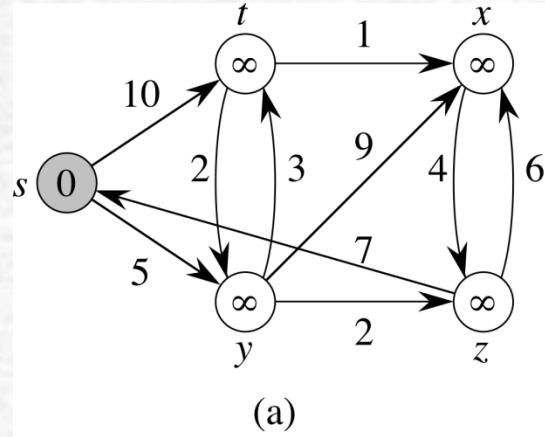
$S = S \cup \{u\}$

for each vertex $v \in G.Adj[u]$

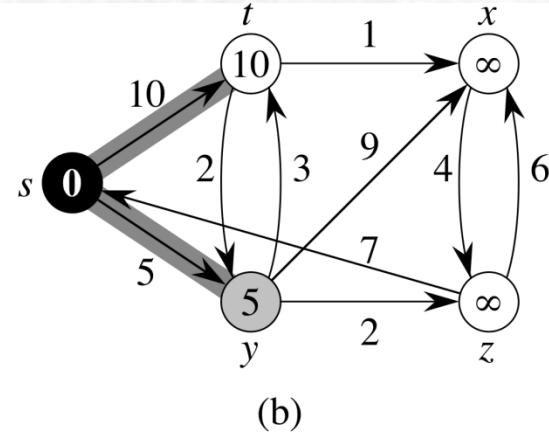
 RELAX(u, v, w)

- Similar to Prim's algorithm, but computing $d[v]$, and using shortest-path weights as keys.
- It is a greedy algorithm: always choose the "closest" vertex in $V - S$ to add to S .

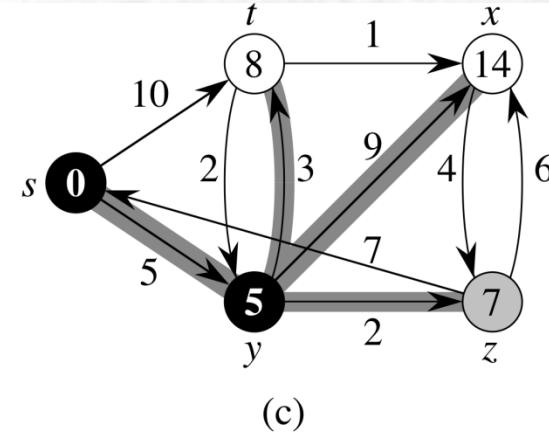
Dijkstra Algorithm: Example



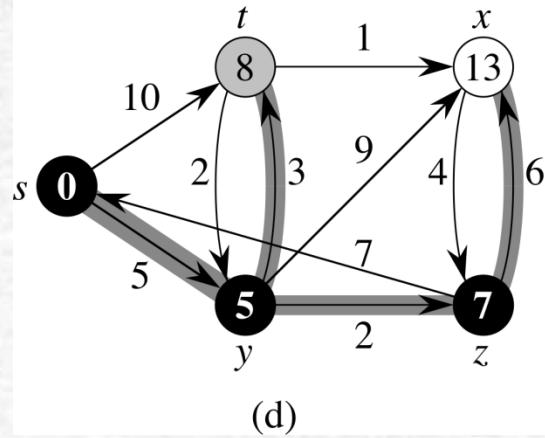
(a)



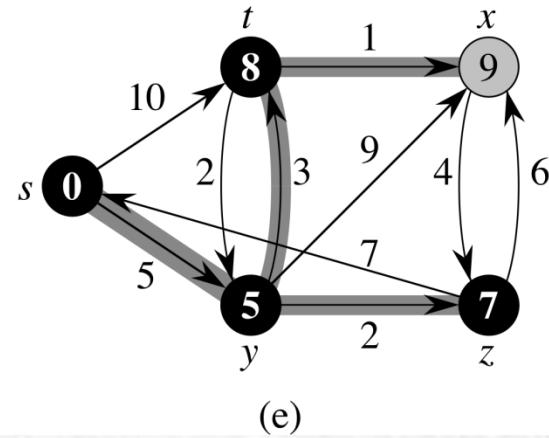
(b)



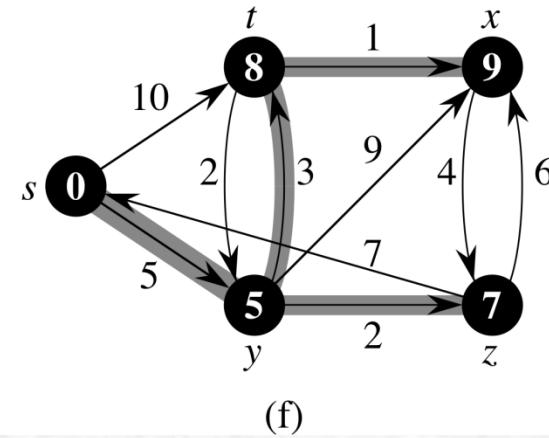
(c)



(d)



(e)



(f)

Dijkstra Algorithm: Running Time

DIJKSTRA(G, w, s)

INIT-SINGLE-SOURCE(G, s)

$S = \emptyset$

$Q = G.V$ // i.e., insert all vertices into Q

while $Q \neq \emptyset$

$u = \text{EXTRACT-MIN}(Q)$

$S = S \cup \{u\}$

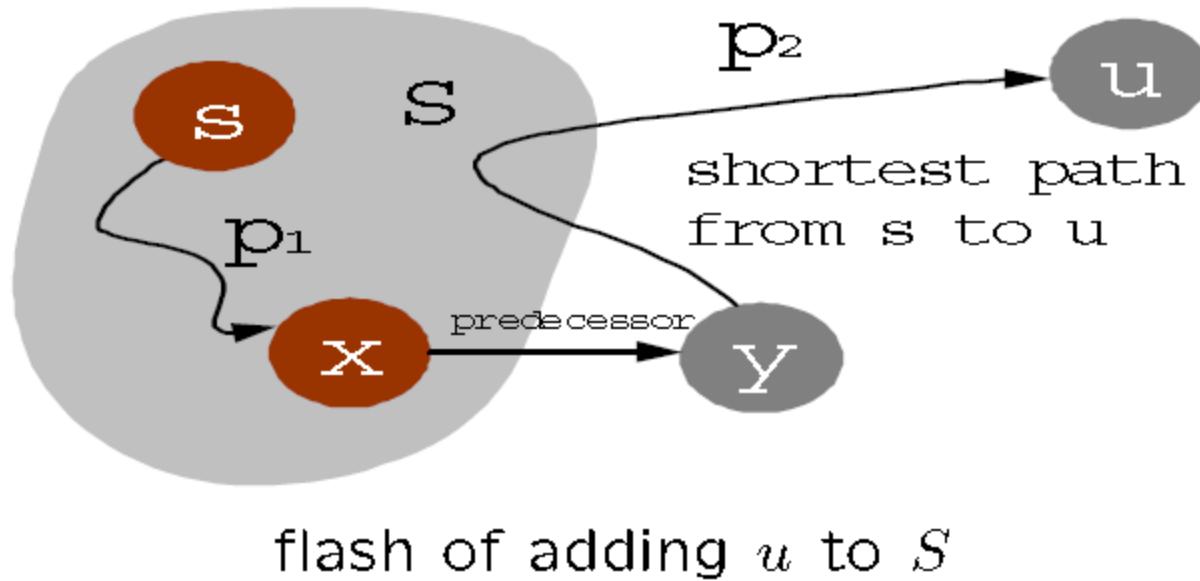
for each vertex $v \in G.\text{Adj}[u]$

 RELAX(u, v, w) // Essentially Decrease-Key()

Running time: $O(|E|\log|V|)$
if binary heap is used for Q

- Initialization part: $O(|V|)$
- While loop: $O(|V|)$
- Each Extract-Min(): $O(\log|V|)$
- For loop (total number of iterations for entire While loop): $O(|E|)$
- Each Relax (Decrease-Key): $\log|V|$
- The total for the For loop: $O(|E|\log|V|)$

Dijkstra Algorithm: Correctness



Let u is the first vertex that $d[u] \neq \delta(s, u)$ when u is added to S . (1) $d[y] = \delta(s, y) \leq \delta(s, u) \leq d[u]$, (2) $d[u] \leq d[y]$ when u is added to S . So, we have $d[u] = \delta(s, u)$, a contradiction!



Part 6 Graph Algorithms

22 Elementary Graph Algorithms

23 Minimum Spanning Trees

24 Single-Source Shortest Paths

25 All-Pairs Shortest Paths

25 All-Pairs Shortest Paths

- Problem and History
- Shortest paths and matrix multiplication
- Floyd-Warshall Algorithm
- Johnson Algorithm for sparse graphs

Thank prof. meng@binghamton and yu@pku for their slides partial.

Problem and History

- **Problem:** Given a weighted, directed graph $G = (V, E)$, for any $u, v \in V$, find a shortest path from u to v .
- **Remark**
 - Algorithms of single-source shortest paths can not be used directly because of the complexity.
 - We will investigate the relation of the all pairs shortest-paths problem to matrix multiplication and study its algebraic structure.
- **History**
 - FLOYD-WARSHALL is due to R. W. Floyd in 1962, based on a theorem of Warshall that describes how to compute the transitive closure of boolean matrices.
 - JOHNSON is due to D. B. Johnson in 1977.

Weight Matrix

- **Definition**

The weighted, directed graph $G = (V, E)$ can be represented by a $|V| \times |V|$ matrix $W = (w_{ij})$, where

$$w_{ij} = \begin{cases} 0 & \text{if } i = j \\ w(i, j) & \text{if } i \neq j \text{ and } (i, j) \in E \\ \infty & \text{if } i \neq j \text{ and } (i, j) \notin E \end{cases}$$

- **Assuming**

- In following, we assume that $|V| = n$.
- *Negative-weight edges are allowed, but we assume for the time being that the input graph contains no negative-weight cycles.*

Output of Problem

- The tabular output of the allpairs shortest-paths algorithms is an $n \times n$ matrix $D = (d_{ij})$, where $d_{ij} = \delta(i, j)$.
- *Predecessor matrix*, say $\Pi = (\pi_{ij})$, is used to record the shortest paths:
 - $\pi_{ij} = \text{NIL}$ if either $i = j$ or there is not path from i to j .
 - π_{ij} is the predecessor of j on some shortest path from i to j .

Predecessor Subgraph

- **Definition:** For each vertex $i \in V$, we define *predecessor subgraph* of G for i as $G_{\pi,i} = (V_{\pi,i}, E_{\pi,i})$, where
 - $V_{\pi,i} = \{j \in V \mid \pi_{ij} \neq \text{NIL}\} \cup \{i\}$
 - $E_{\pi,i} = \{(\pi_{ij}, j) \mid j \in V_{\pi,i} - \{i\}\}$
- If $G_{\pi,i}$ is a shortest-paths tree, then *PRINTALL-PAIRS-SHORTEST-PATH* will print a shortest path from i to j .

Print The Shortest Path

PRINT-ALL-PAIRS-SHORTEST-PATH(Π, i, j)

```
1  if  $i = j$ 
2    then print  $i$ 
3    else if  $\pi_{ij} = \text{NIL}$ 
4      then print "no path from"
            $i$  "to"  $j$  "exists"
5    else PRINT-ALL-PAIRS-SHORTEST
          -PATH( $\Pi, i, \pi_{ij}$ )
6    print  $j$ 
```

A Recursive Solution

- Let $l_{ij}^{(m)}$ denote the minimum weight of any path from i to j that contains at most m edges.

- When $m = 0$, then

$$l_{ij}^{(m)} = \begin{cases} 0 & \text{if } i = j \\ \infty & \text{if } i \neq j \end{cases}$$

- When $m \geq 1$, then

$$\begin{aligned} l_{ij}^{(m)} &= \min \left(l_{ij}^{(m-1)}, \min_{1 \leq k \leq n} \{l_{ik}^{(m-1)} + w_{kj}\} \right) \quad (\heartsuit) \\ &= \min_{1 \leq k \leq n} \{l_{ik}^{(m-1)} + w_{kj}\} \end{aligned}$$

Because $w_{jj} = 0$ for all j , then get the unified later formula.

- If $\delta(i, j) < \infty$, then there is a shortest path from i to j that is simple and thus contains at most $n - 1$ edges. Therefore, $\delta(i, j) = l_{ij}^{(n-1)} = l_{ij}^{(n)} = l_{ij}^{(n+1)} = \dots$.

Computing Shortest-path: Idea

- Now, we have to compute a series of matrices $L^{(1)}, L^{(2)}, \dots, L^{(n-1)}$, where $L^{(m)} = (l^{(m)}_{ij})$.
 - Because $l^{(1)}_{ij} = w_{ij}$ for all $i, j \in V$, so $L^{(1)} = W$.
 - Given matrices $L^{(m-1)}$ and W , how to get $L^{(m)}$?
- The method extends the shortest paths computed so far by one more edge.

Extend Shortest Paths

- Let $L^{(m-1)} = L$, $L^{(m)} = L$. We have

EXTEND-SHORTEST-PATHS(L, W)

```
1   $n \leftarrow \text{rows}[L]$ 
2  let  $L' = (l'_{ij})$  be an  $n \times n$  matrix
3  for  $i \leftarrow 1$  to  $n$ 
4    do for  $j \leftarrow 1$  to  $n$ 
5      do  $l'_{ij} \leftarrow \infty$ 
6      for  $k \leftarrow 1$  to  $n$ 
7        do  $l'_{ij} \leftarrow \min(l'_{ij}, l_{ik} + w_{kj})$ 
8  return  $L'$ 
```

- EXTEND-SHORTEST-PATHS costs $\Theta(n^3)$ time.

Borrowing Matrix Multiplication

- Equation (\divideontimes) is similar with matrix multiplication $C(c_{ij}) = A(a_{ij}) \cdot B(b_{ij}) = \left(\sum_{k=1}^n a_{ik} \cdot b_{kj} \right)$ where the correspondence is:

$$\begin{array}{rcl} l^{(m-1)} & \rightarrow & a \\ w & \rightarrow & b \\ l^{(m)} & \rightarrow & c \\ \min & \rightarrow & \sum \\ + & \rightarrow & \cdot \end{array}$$

- And therefore

$$\begin{aligned} L^{(1)} &= W \\ L^{(2)} &= L^{(1)} \cdot W = W^2 \\ &\vdots \\ L^{(n-1)} &= L^{(n-2)} \cdot W = W^{n-1} \end{aligned}$$

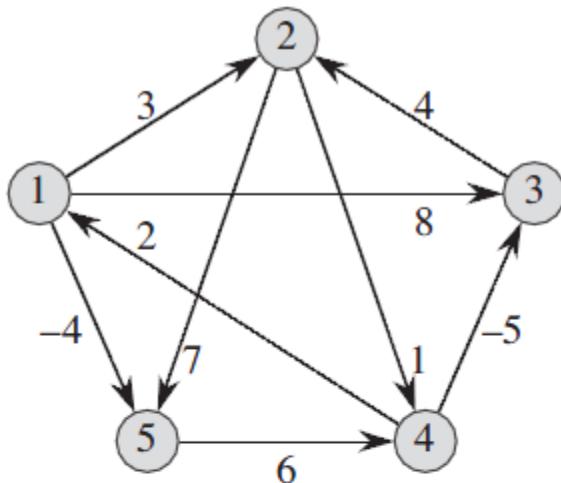
All-pairs Shortest Paths

SLOW-ALL-PAIRS-SHORTEST-PATHS(W)

```
1   $n \leftarrow \text{rows}[W]$ 
2   $L^{(1)} \leftarrow W$ 
3  for  $m \leftarrow 2$  to  $n - 1$ 
4      do  $L^{(m)} \leftarrow \text{EXTEND-SHORTEST}$ 
           -PATHS( $L^{(m-1)}$ ,  $W$ )
5  return  $L^{(n-1)}$ 
```

- **SLOW-ALL-PAIRS-SHORTEST-PATHS** costs $\Theta(n^4)$ time.
- We are just interested in $L^{(n-1)}$, so only $L^{(1)}$, $L^{(2)}$, $L^{(4)}$, \dots , $L(2^{\text{ceiling}(\log(n-1))})$ are needed, because we have $2^{\text{ceiling}(\log(n-1))} \geq n - 1$.

Computing Example



$$L^{(1)} = \begin{pmatrix} 0 & 3 & 8 & \infty & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & \infty & -5 & 0 & \infty \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix} \quad L^{(2)} = \begin{pmatrix} 0 & 3 & 8 & 2 & -4 \\ 3 & 0 & -4 & 1 & 7 \\ \infty & 4 & 0 & 5 & 11 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & \infty & 1 & 6 & 0 \end{pmatrix}$$

$$L^{(3)} = \begin{pmatrix} 0 & 3 & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 11 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix} \quad L^{(4)} = \begin{pmatrix} 0 & 1 & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix}$$

Repeated Squaring

FASTER-ALL-PAIRS-SHORTEST-PATHS(W)

```
1   $n \leftarrow \text{rows}[W]$ 
2   $L^{(1)} \leftarrow W$ 
3   $m \leftarrow 1$ 
4  while  $m < n - 1$ 
5      do  $L^{(2m)} \leftarrow \text{EXTEND-SHORTEST}$ 
           -PATHS( $L^{(m)}$ ,  $L^{(m)}$ )
6       $m \leftarrow 2m$ 
7  return  $L^{(m)}$ 
```

- FASTER-ALL-PAIRS-SHORTEST-PATHS costs $\Theta(n^3 \log n)$ time.

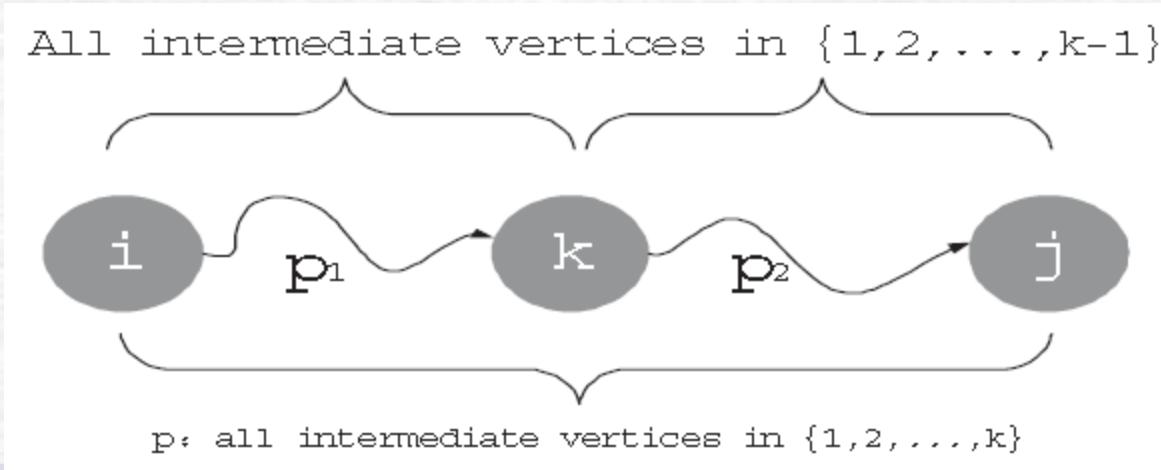
Intermediate Vertex

- **Definition:** An *intermediate vertex* of a simple path $p = \langle v_1, v_2, \dots, v_l \rangle$ is any vertex of p other than v_1 and v_l .
- **Observation:** Floyd-Warshall algorithm is based on the observation of the intermediate vertices, which costs $\Theta(|V|^3)$ time.
- Let $V = \{1, 2, \dots, n\}$. For any pair of vertices $i, j \in V$, consider all paths from i to j whose intermediate vertices are all drawn from $\{1, 2, \dots, k\}$, and let p be a minimum-weight path from among them.

Floyd-Warshall Algorithm: Idea

Divide into two cases:

- If k is not an intermediate vertex of path p , then all intermediate vertices of p are in $\{1, 2, \dots, k-1\}$.
- If k is an intermediate vertex of path p , then we break p down into $i \xrightarrow{p_1} k \xrightarrow{p_2} j$.



Recurrence

- **Definition:** Let $d^{(k)}_{ij}$ be the weight of a shortest path from vertex i to vertex j for which all intermediate vertices are in the set $\{1, 2, \dots, k\}$.
- We have the following recurrence:

$$d^{(k)}_{ij} = \begin{cases} w_{ij} & \text{if } k = 0 \\ \min(d^{(k-1)}_{ij}, d^{(k-1)}_{ik} + d^{(k-1)}_{kj}) & \text{if } k \geq 1 \end{cases}$$

- Because for any path, all intermediate vertices are in the set $\{1, 2, \dots, n\}$, the matrix $D^{(n)} = (d^{(n)}_{ij})$ gives the final answer: $d^{(n)}_{ij} = \delta(i, j)$ for all $i, j \in V$.

Floyd-Warshall Algorithm

FLOYD-WARSHALL(W)

```
1   $n \leftarrow \text{rows}[W]$ 
2   $D^{(0)} \leftarrow W$ 
3  for  $k \leftarrow 1$  to  $n$ 
4      do for  $i \leftarrow 1$  to  $n$ 
5          do for  $j \leftarrow 1$  to  $n$ 
6              do  $d_{ij}^{(k)} \leftarrow \min(d_{ij}^{(k-1)},$ 
7                   $d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$ 
7  return  $D^{(n)}$ 
```

- FLOYD-WARSHALL costs $\Theta(n^3)$ time.

Floyd-Warshall Alg.: Example (1)

$$D^{(0)} = \begin{pmatrix} 0 & 3 & 8 & \infty & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & \infty & -5 & 0 & \infty \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix} \quad \Pi^{(0)} = \begin{pmatrix} \text{NIL} & 1 & 1 & \text{NIL} & 1 \\ \text{NIL} & \text{NIL} & \text{NIL} & 2 & 2 \\ \text{NIL} & 3 & \text{NIL} & \text{NIL} & \text{NIL} \\ 4 & \text{NIL} & 4 & \text{NIL} & \text{NIL} \\ \text{NIL} & \text{NIL} & \text{NIL} & 5 & \text{NIL} \end{pmatrix}$$

$$D^{(1)} = \begin{pmatrix} 0 & 3 & 8 & \infty & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & 5 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix} \quad \Pi^{(1)} = \begin{pmatrix} \text{NIL} & 1 & 1 & \text{NIL} & 1 \\ \text{NIL} & \text{NIL} & \text{NIL} & 2 & 2 \\ \text{NIL} & 3 & \text{NIL} & \text{NIL} & \text{NIL} \\ 4 & 1 & 4 & \text{NIL} & 1 \\ \text{NIL} & \text{NIL} & \text{NIL} & 5 & \text{NIL} \end{pmatrix}$$

$$D^{(2)} = \begin{pmatrix} 0 & 3 & 8 & 4 & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & 5 & 11 \\ 2 & 5 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix} \quad \Pi^{(2)} = \begin{pmatrix} \text{NIL} & 1 & 1 & 2 & 1 \\ \text{NIL} & \text{NIL} & \text{NIL} & 2 & 2 \\ \text{NIL} & 3 & \text{NIL} & 2 & 2 \\ 4 & 1 & 4 & \text{NIL} & 1 \\ \text{NIL} & \text{NIL} & \text{NIL} & 5 & \text{NIL} \end{pmatrix}$$

Floyd-Warshall Alg.: Example (2)

$$D^{(3)} = \begin{pmatrix} 0 & 3 & 8 & 4 & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & 5 & 11 \\ 2 & -1 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix} \quad \Pi^{(3)} = \begin{pmatrix} \text{NIL} & 1 & 1 & 2 & 1 \\ \text{NIL} & \text{NIL} & \text{NIL} & 2 & 2 \\ \text{NIL} & 3 & \text{NIL} & 2 & 2 \\ 4 & 3 & 4 & \text{NIL} & 1 \\ \text{NIL} & \text{NIL} & \text{NIL} & 5 & \text{NIL} \end{pmatrix}$$

$$D^{(4)} = \begin{pmatrix} 0 & 3 & -1 & 4 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix} \quad \Pi^{(4)} = \begin{pmatrix} \text{NIL} & 1 & 4 & 2 & 1 \\ 4 & \text{NIL} & 4 & 2 & 1 \\ 4 & 3 & \text{NIL} & 2 & 1 \\ 4 & 3 & 4 & \text{NIL} & 1 \\ 4 & 3 & 4 & 5 & \text{NIL} \end{pmatrix}$$

$$D^{(5)} = \begin{pmatrix} 0 & 1 & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix} \quad \Pi^{(5)} = \begin{pmatrix} \text{NIL} & 3 & 4 & 5 & 1 \\ 4 & \text{NIL} & 4 & 2 & 1 \\ 4 & 3 & \text{NIL} & 2 & 1 \\ 4 & 3 & 4 & \text{NIL} & 1 \\ 4 & 3 & 4 & 5 & \text{NIL} \end{pmatrix}$$

Johnson Algorithm: Idea

- It uses BELLMAN-FORD and DIJKSTRA as subroutines. If some edges are negative, we will redefine weight function $w': E \rightarrow R$, satisfying
 - If p is a shortest path from i to j by w , then it is also a shortest path by nonnegative w' .
 - Preserving shortest paths by reweighting.
- Johnson algorithm finds shortest paths between all pairs in $O(|V|^2 \log |V| + |V||E|)$ time for sparse graph.
- In this course, the detail of weight transformation are not given here.

Johnson Algorithm

JOHNSON(G)

```
1  compute  $G'$ , where  $V[G'] = V[G] \cup \{s\}$ ,  
    $E[G'] = E[G] \cup \{(s, v) | v \in V[G]\}$ , and  
    $w(s, v) = 0$  for all  $v \in V[G]$   
2  if BELLMAN-FORD( $G'$ ,  $w$ ,  $s$ )=FALSE  
3    then print "negative-weight cycle"  
4    else for each vertex  $v \in V[G']$   
5      do set  $h(v)$  to  $\delta(s, v)$  computed  
         by BELLMAN-FORD  
6      for each edge  $(u, v) \in E[G']$   
7        do  $\hat{w}(u, v) \leftarrow w(u, v) + h(u) - h(v)$   
8      for each vertex  $u \in V[G]$   
9        do run DIJKSTRA( $G$ ,  $\hat{w}$ ,  $u$ ) to  
           compute  $\hat{\delta}(u, v)$  for all  $v \in V[G]$   
10     for each vertex  $v \in V[G]$   
11       do  $\delta(u, v) \leftarrow \hat{\delta}(u, v) + h(v) - h(u)$   
12   return D
```

- Applying the min-priority queue in Dijkstra algorithm by a Fibonacci heap, Johnson algorithm runs in $\mathcal{O}(|V|^2 \log |V| + |V||E|)$ time.



End of Ch22-25-part2