

# **COMP 3721**

# **Introduction to Data Communications**

**11a - Week 11 - Part 1**

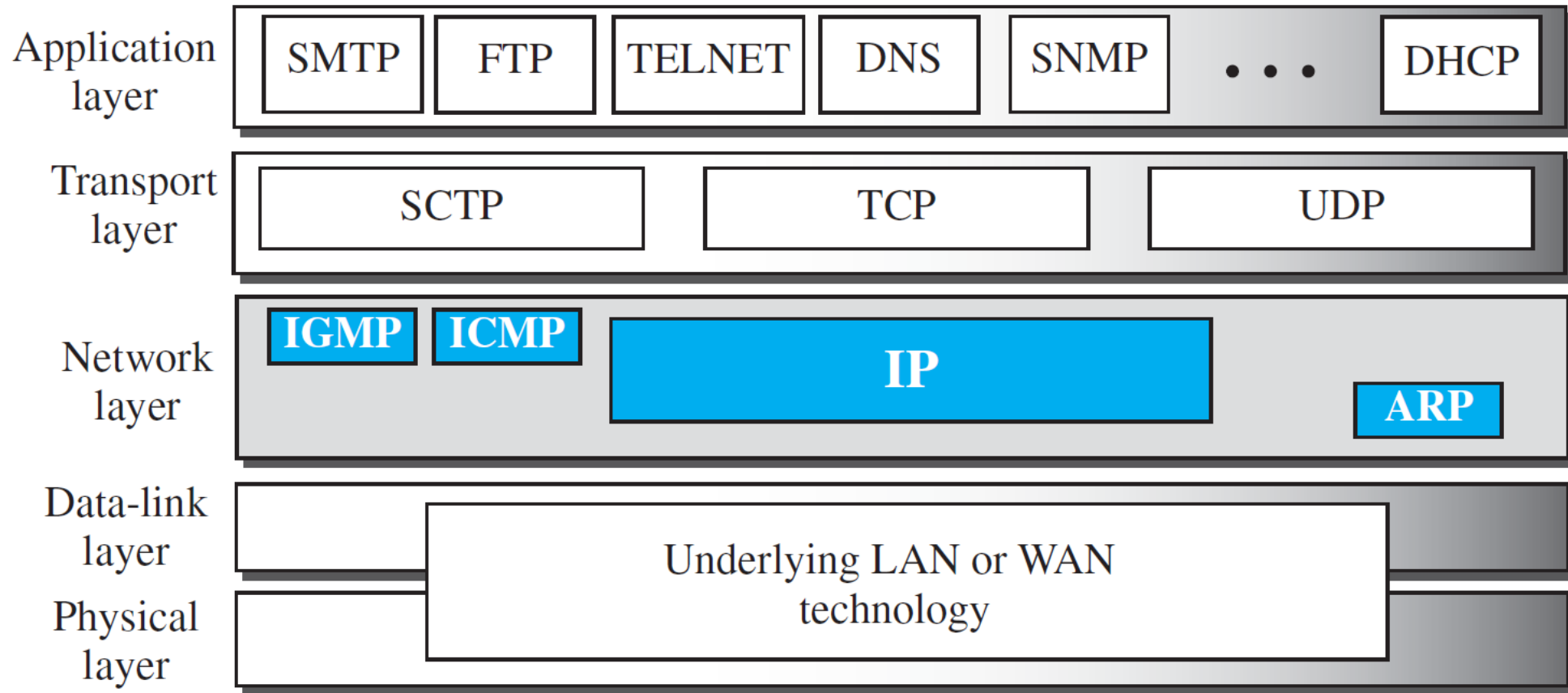
# Learning Outcomes

- By the end of this lecture, you will be able to
  - Explain how the IPv4 protocol works.
  - Explain the behavior and purpose of ICMP protocol.

# Introduction – Network-Layer Protocols

- **IPv4** (main protocol)
  - The main network-layer protocol — IPv4 — is responsible for **packetizing**, **forwarding**, and **delivery of a packet** at the network layer.
- Three auxiliary protocols:
  1. **Address Resolution Protocol (ARP)**
    - Mapping network-layer addresses (IP addresses) to link-layer addresses
  2. **Internet Control Message Protocol version 4 (ICMPv4)**
    - Helps IPv4 to handle some errors that may occur in the network-layer delivery
  3. **Internet Group Management Protocol (IGMP)**
    - Helps IPv4 in multicasting

# Introduction – Network-Layer Protocols



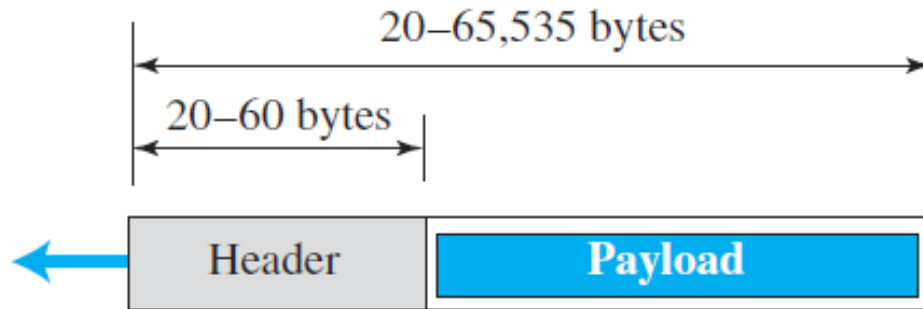
# IPv4

- An **unreliable** datagram protocol which provides **best-effort delivery service**.
  - IPv4 packets can be corrupted, be lost, arrive out of order, or be delayed, and may create congestion for the network
- If reliability is important, IPv4 must be paired with a reliable transport-layer protocol such as **TCP**.
- A **connectionless** protocol that uses the **datagram approach**.
- Packets used by the IP are called **datagrams**.
- **Datagram**
  - A **variable-length packet** consisting of two parts: **header** and **payload (data)**
    - Header includes information essential for routing and delivery.
    - Payload is the packet coming from other protocols that use the service of IP.

# What do best-effort and connection-less mean?

- Imagine a post office service:
  - The post office does its **best** to deliver the regular mail but does not always succeed.
    - If an unregistered letter is lost or damaged, it is up to the sender or would-be recipient to discover this.
    - The post office itself does not keep track of every letter and cannot notify a sender of loss or damage of one.
- Connection-less protocol:
  - Each datagram is handled **independently**.
  - Each datagram can follow a **different route** to the **destination** → datagrams could arrive out of order.
- To take care of these problems, it relies on **higher-level protocol** (like TCP).

# IPv4 – Datagram Format



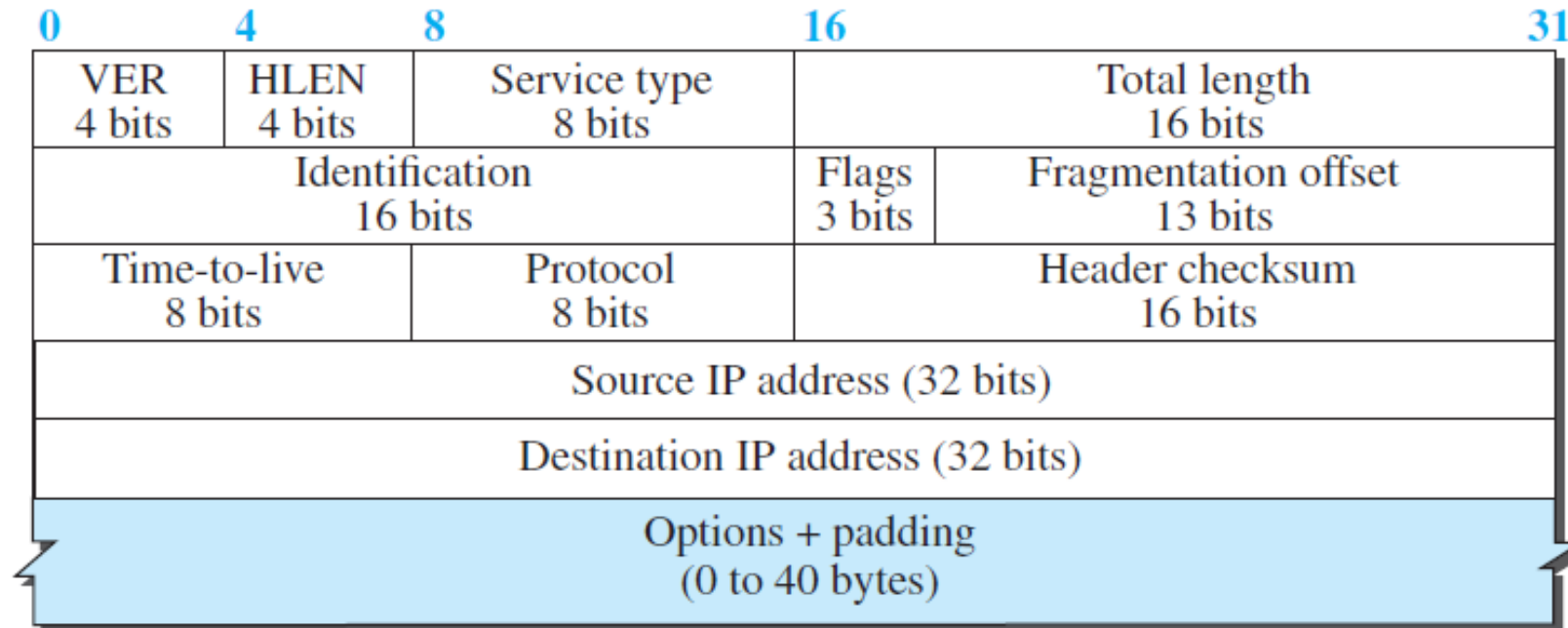
a. IP datagram

## Legend

VER: version number  
HLEN: header length  
byte: 8 bits

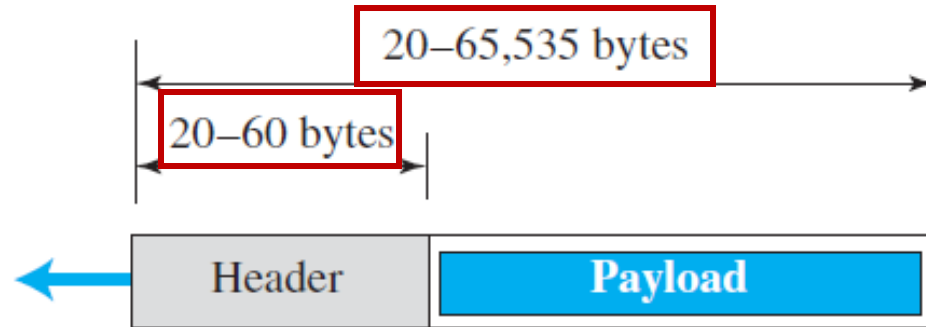
Flags 

	D	M
--	---	---



b. Header

# IPv4 – Datagram Format



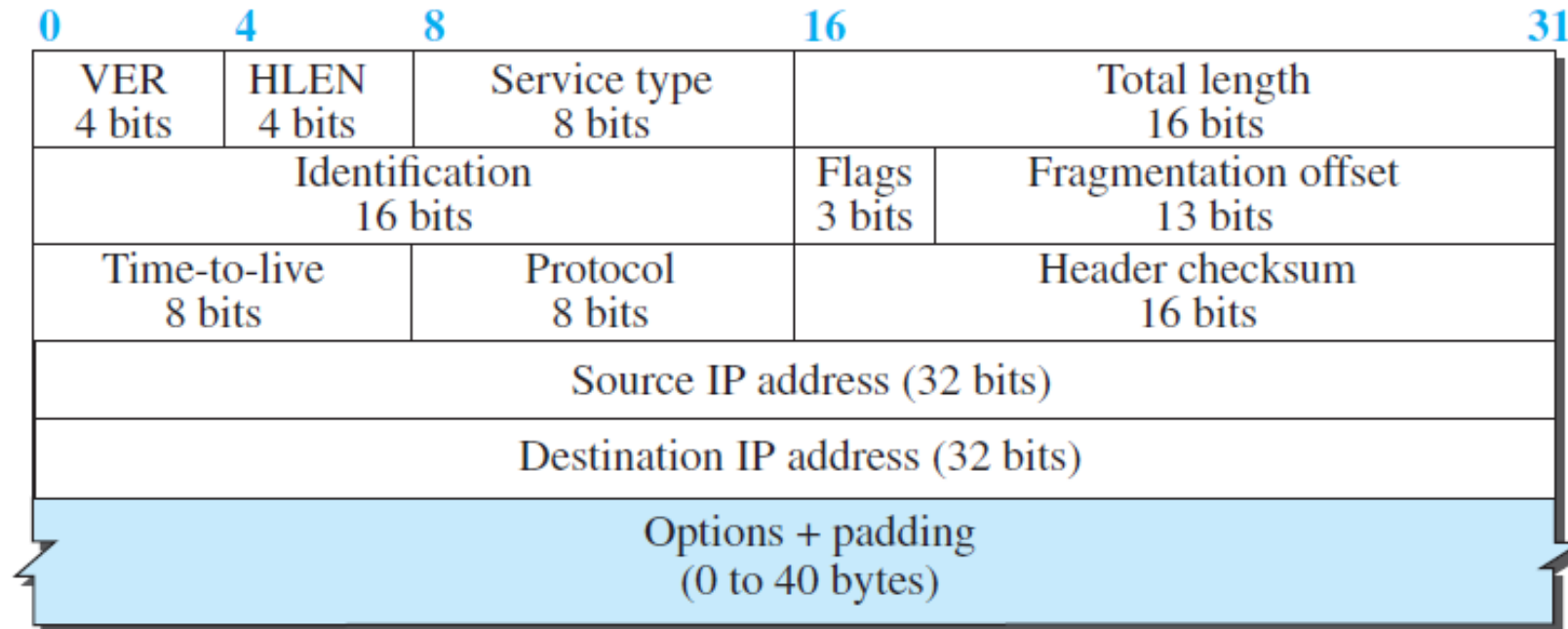
a. IP datagram

## Legend

VER: version number  
HLEN: header length  
byte: 8 bits

Flags 

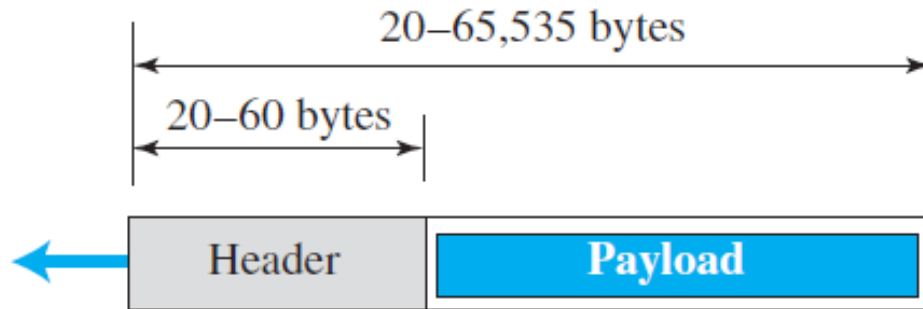
	D	M
--	---	---



b. Header



# IPv4 – Datagram Format



a. IP datagram

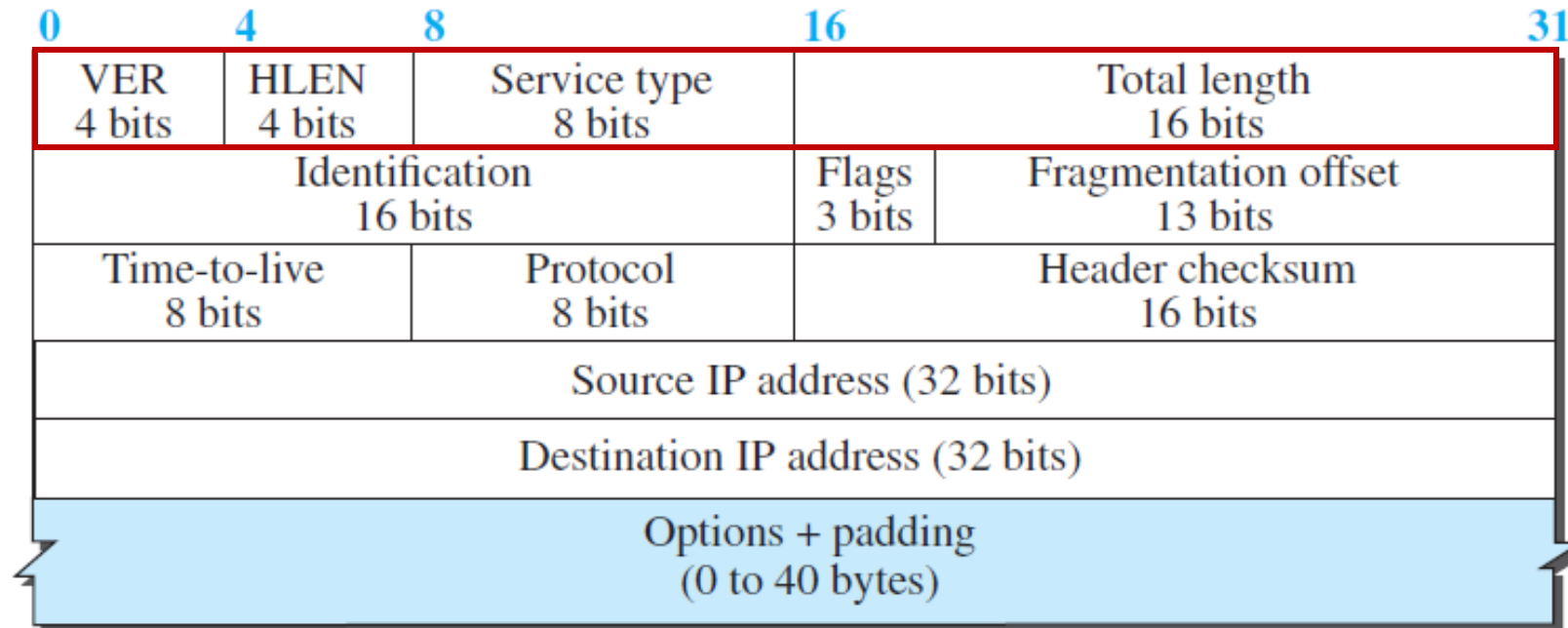
## Legend

VER: version number  
HLEN: header length  
byte: 8 bits

Flags 

	D	M
--	---	---

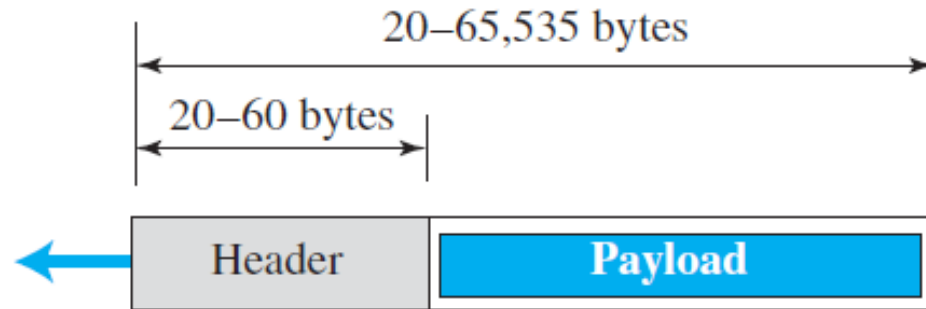
**HLEN:**  
Total length  
of header in  
4-byte words



**Total length:**  
Total length of IP  
datagram in bytes  
(including both  
header and payload).

b. Header

# IPv4 – Datagram Format



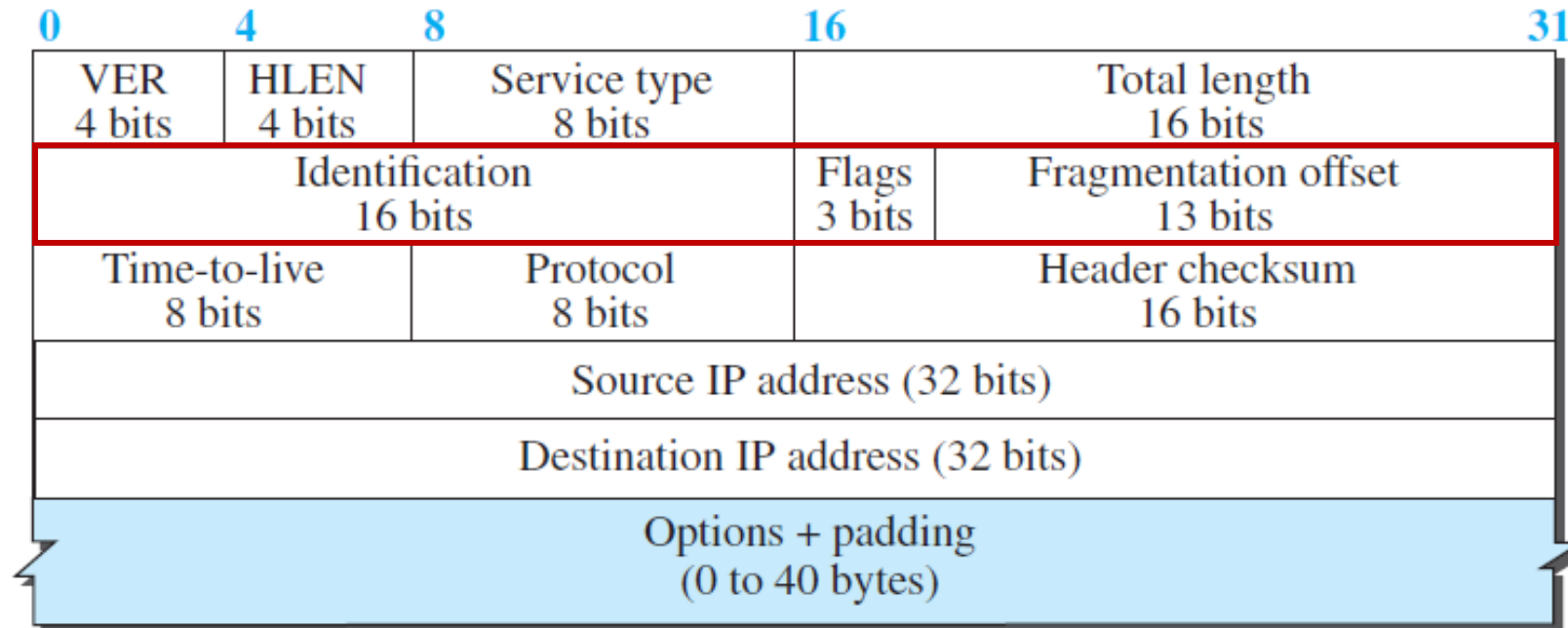
a. IP datagram

## Legend

VER: version number  
HLEN: header length  
byte: 8 bits

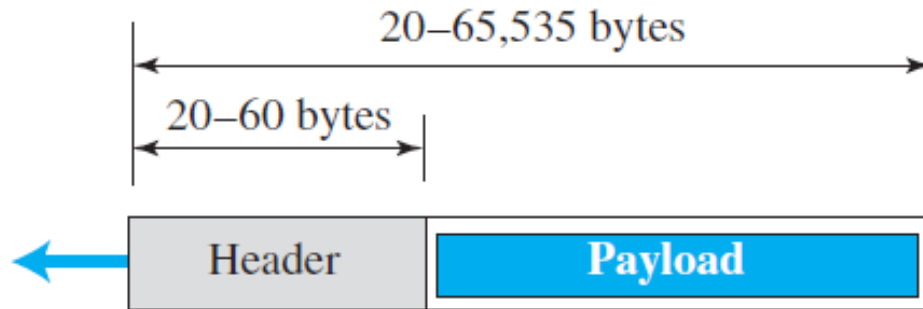
Flags 

	D	M
--	---	---



b. Header

# IPv4 – Datagram Format



a. IP datagram

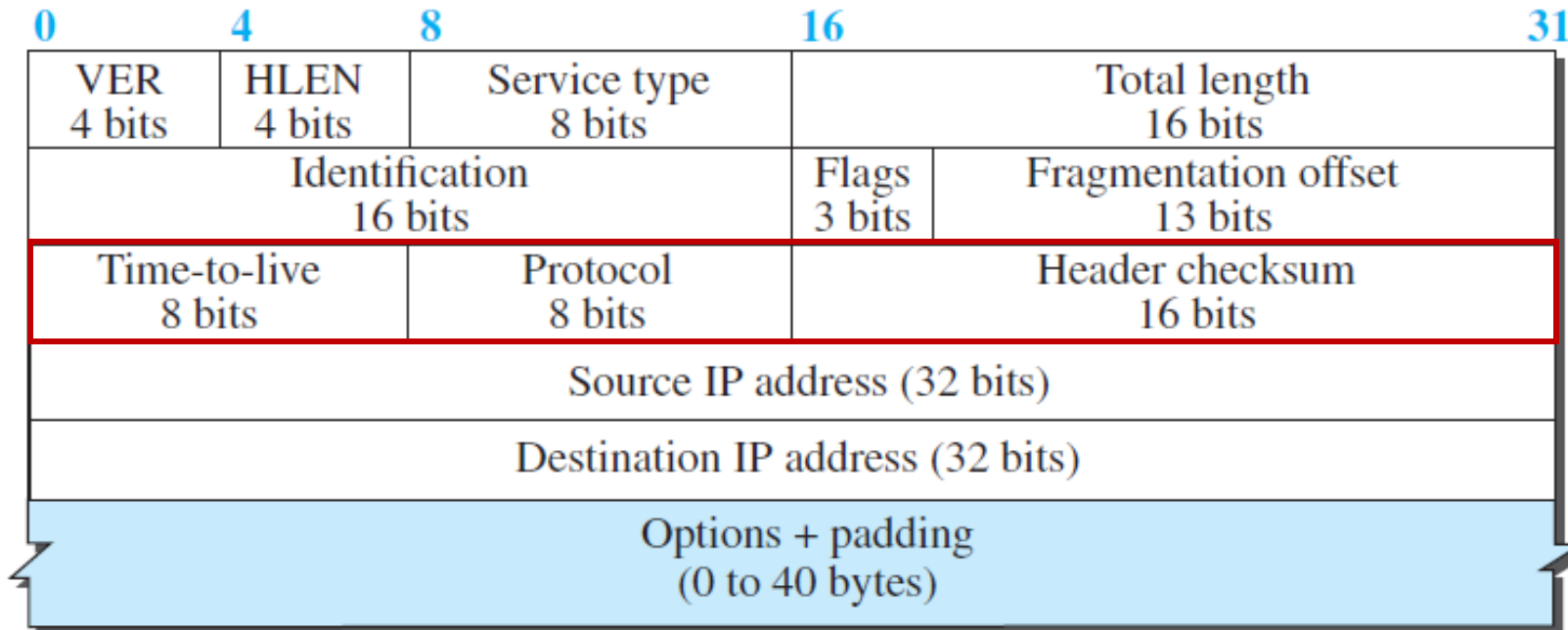
## Legend

VER: version number  
HLEN: header length  
byte: 8 bits

Flags 

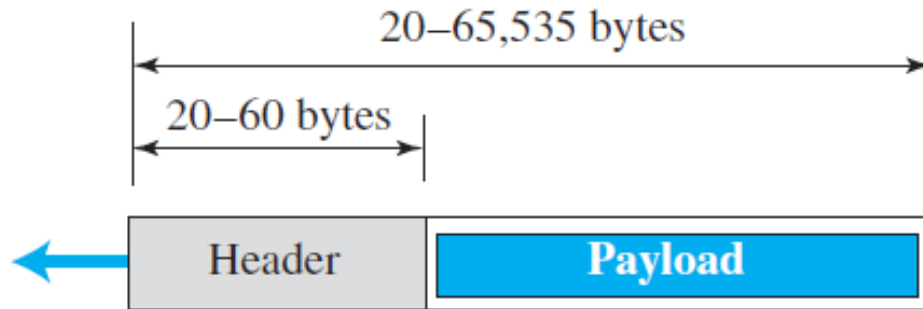
	D	M
--	---	---

**Time-to-live:**  
Controls the maximum number of hops (routers) visited by the datagram.



b. Header

# IPv4 – Datagram Format



a. IP datagram

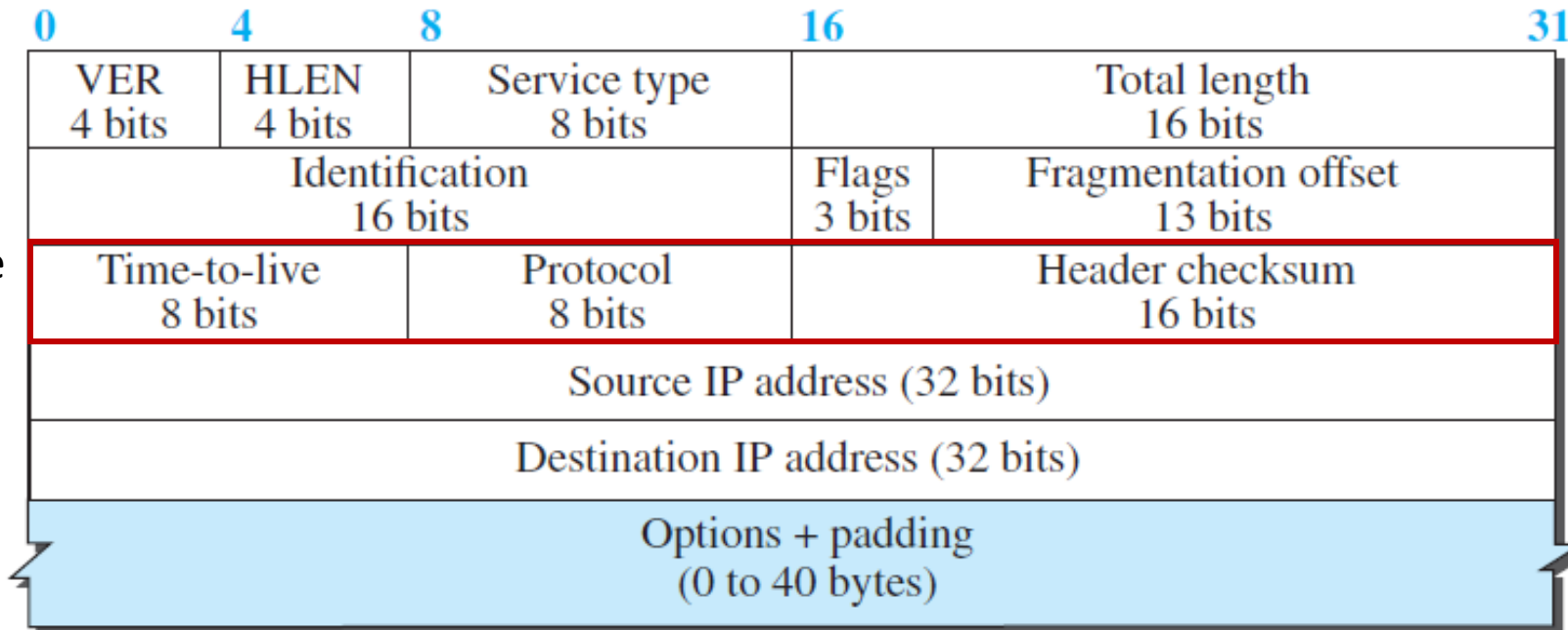
## Legend

VER: version number  
HLEN: header length  
byte: 8 bits

Flags 

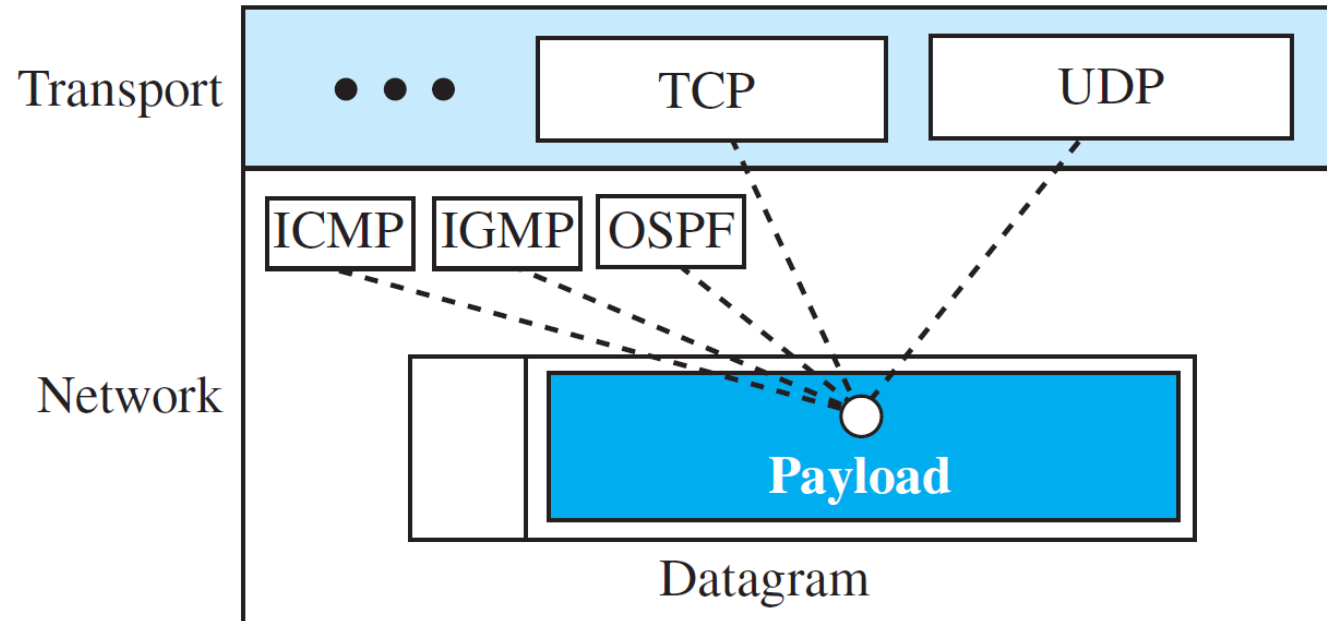
	D	M
--	---	---

**Protocol:**  
The protocol whose  
packet is carried in  
the payload.



b. Header

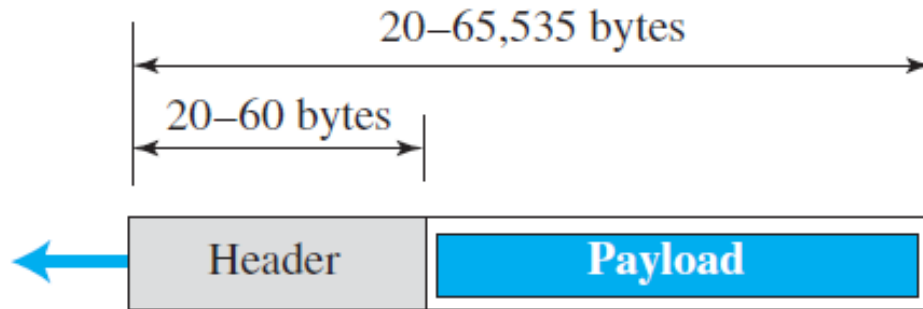
# IPv4 – Datagram Protocol Field



## Some protocol values

ICMP	01
IGMP	02
TCP	06
UDP	17
OSPF	89

# IPv4 – Datagram Format



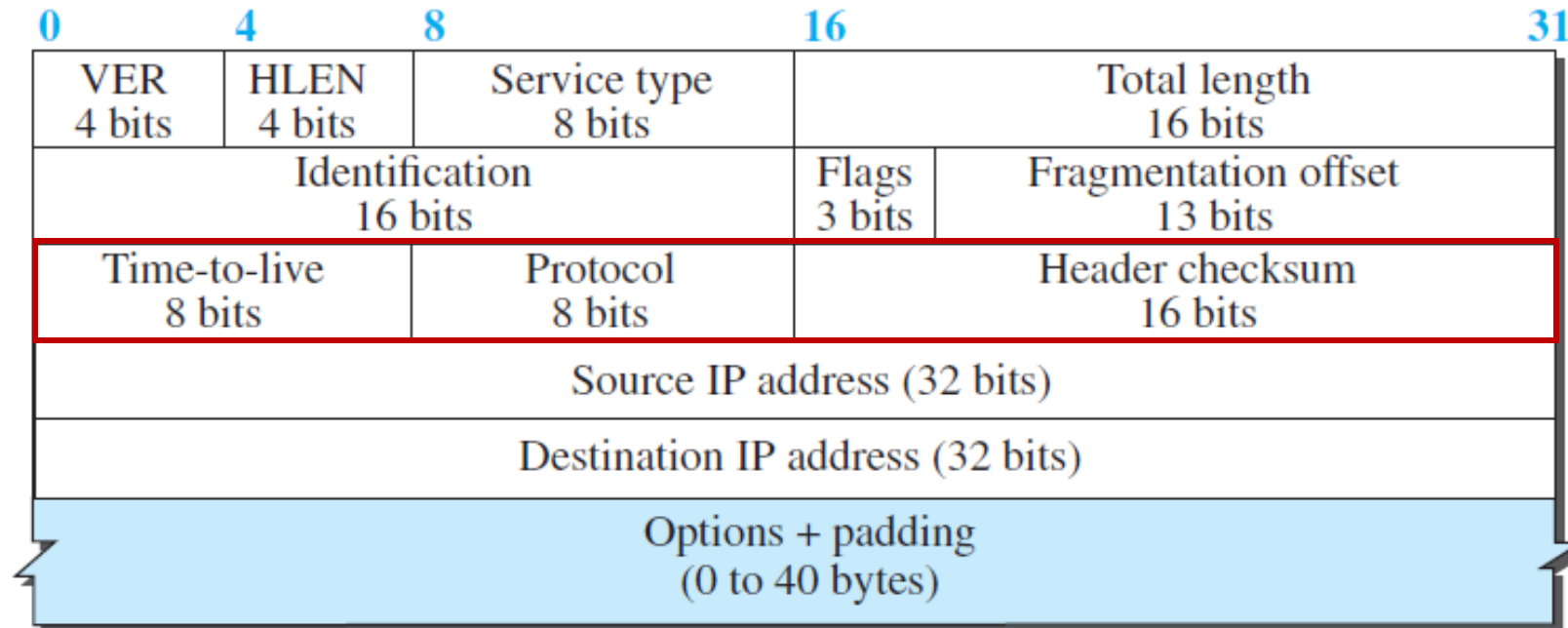
a. IP datagram

## Legend

VER: version number  
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Flags 

	D	M
--	---	---

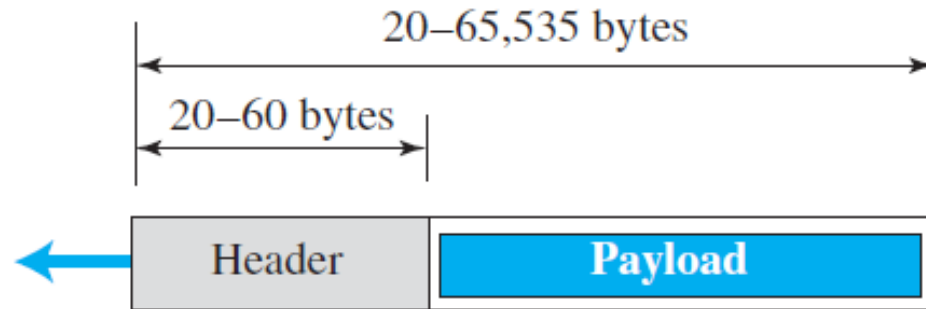


b. Header

Why is error handling needed for IP header?

Why is the checksum recalculated at each router?

# IPv4 – Datagram Format



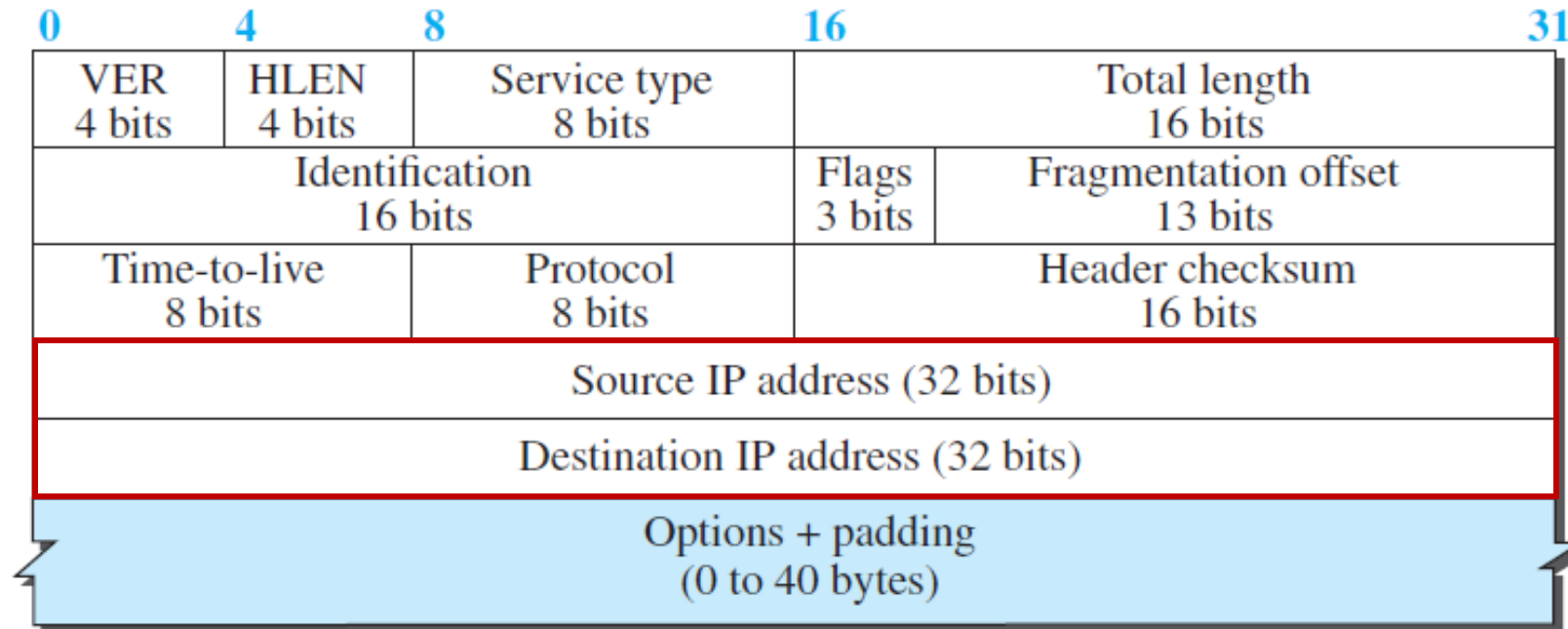
a. IP datagram

## Legend

VER: version number  
HLEN: header length  
byte: 8 bits

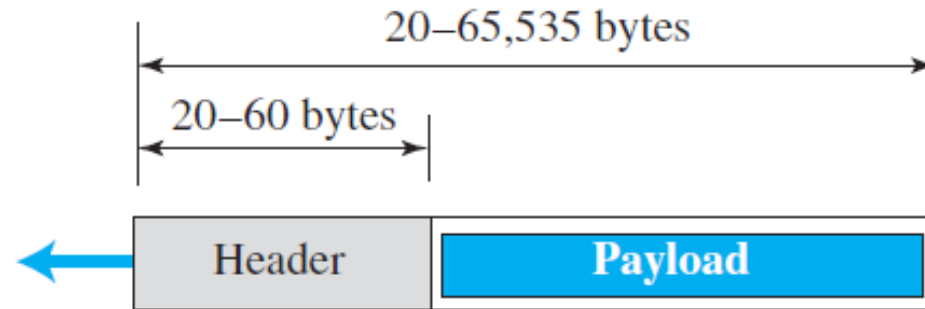
Flags 

	D	M
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b. Header

# IPv4 – Datagram Format



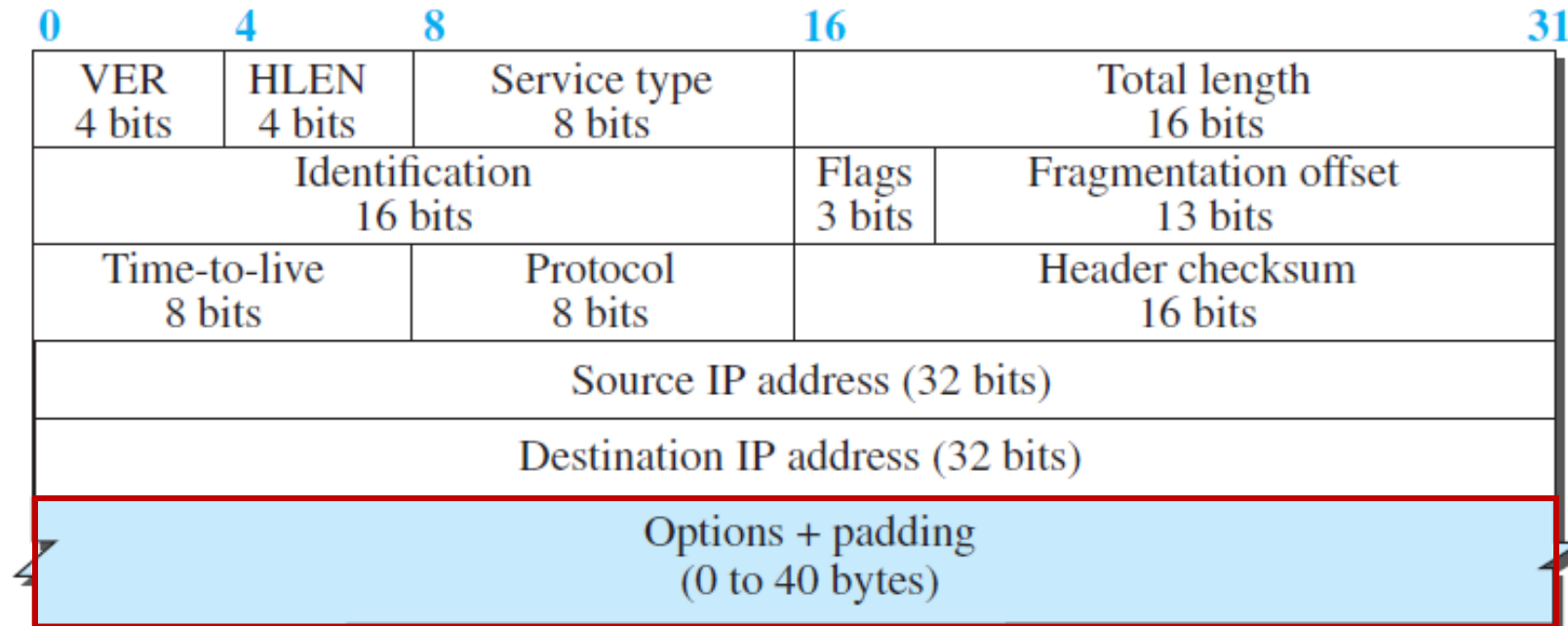
a. IP datagram

## Legend

VER: version number  
HLEN: header length  
byte: 8 bits

Flags 

	D	M
--	---	---



b. Header



# Example 1

- An IPv4 packet has arrived with the first 8 bits as  $(01000010)_2$ . The receiver discards the packet. Why?

# Example 1

- An IPv4 packet has arrived with the first 8 bits as  $(01000010)_2$ . The receiver discards the packet. Why?
- **Answer:**
- There is an error in this packet. The 4 leftmost bits  $(0100)_2$  show the version, which is **correct**.
- The next 4 bits  $(0010)_2$  show an invalid header length ( $2 \times 4 = 8$ ). The minimum number of bytes in the header must be 20. The packet has been **corrupted** in transmission.

# Example 2 – Header Checksum Calculation in IPv4

- We calculate a 16-bit checksum to be included in the checksum field of the IP header. We should consider each 16-bits (2-byte) section of the header. For simplicity of representation, we use hexadecimal format. Each hexadecimal letter/number represents 4 bits. Assume the following represents the IP header fields of an IP packet. Calculate the checksum.

4	5	0	28	
49.153			0	0
4	17	0		
10.12.14.5				
12.6.7.9				

4, 5, and 0	→	4	5	0	0
28	→	0	0	1	C
49.153	→	C	0	0	1
0 and 0	→	0	0	0	0
4 and 17	→	0	4	1	1
0	→	0	0	0	0
10.12	→	0	A	0	C
14.5	→	0	E	0	5
12.6	→	0	C	0	6
7.9	→	0	7	0	9

# Example 2 – Answer

		2	
4	5	0	0
0	0	1	C
C	0	0	1
0	0	0	0
0	4	1	1
0	0	0	0
0	A	0	C
0	E	0	5
0	C	0	6
0	7	0	9
<hr/>			E

$$0 + 12 + 1 + 0 + 1 + 0 + 12 + 5 + 6 + 9 \\ = 46 = 0x2E$$

# Example 2 – Answer

		2	
4	5	0	0
0	0	1	C
C	0	0	1
0	0	0	0
0	4	1	1
0	0	0	0
0	A	0	C
0	E	0	5
0	C	0	6
0	7	0	9
<hr/>		4	E

$$2 + 0 + 1 + 0 + 0 + 1 + 0 + 0 + 0 + 0 + 0 \\ = 4 = 0x4$$

# Example 2 – Answer

	3		
4	5	0	0
0	0	1	C
C	0	0	1
0	0	0	0
0	4	1	1
0	0	0	0
0	A	0	C
0	E	0	5
0	C	0	6
0	7	0	9
<hr/>			
3	4	4	E

$$5 + 0 + 0 + 0 + 4 + 0 + 10 + 14 + 12 + 7 \\ = 52 = 0x34$$

# Example 2 – Answer

	3			
	4	5	0	0
	0	0	1	C
	C	0	0	1
	0	0	0	0
	0	4	1	1
	0	0	0	0
	0	A	0	C
	0	E	0	5
	0	C	0	6
	0	7	0	9
1	3	4	4	E

$$3 + 4 + 0 + 12 + 0 + 0 + 0 + 0 + 0 + 0 + 0 + 0 \\ = 19 = 0x13$$

# Example 2 – Answer

	4	5	0	0	
	0	0	1	C	
	C	0	0	1	
	0	0	0	0	
	0	4	1	1	
	0	0	0	0	
	0	A	0	C	
	0	E	0	5	
	0	C	0	6	
	0	7	0	9	
Sum →	1	3	4	4	E



# Example 2 – Answer

		4	5	0	0	
		0	0	1	C	
		C	0	0	1	
		0	0	0	0	
		0	4	1	1	
		0	0	0	0	
		0	A	0	C	
		0	E	0	5	
		0	C	0	6	
		0	7	0	9	
Sum	→	1	3	4	4	E
Wrapped sum	→		3	4	4	F

**0x1 + 0xE = 0xF**

# Example 2 – Answer

		4	5	0	0	
		0	0	1	C	
		C	0	0	1	
		0	0	0	0	
		0	4	1	1	
		0	0	0	0	
		0	A	0	C	
		0	E	0	5	
		0	C	0	6	
		0	7	0	9	
		<hr/>				
Sum	→	1	3	4	4	E
Wrapped sum	→		3	4	4	F
Checksum	→		C	B	B	0

Wrapped Sum = Sum mod FFFF  
Checksum = FFFF – Wrapped Sum

# Datagram and Frame

- A datagram can travel through different **networks**.
- Each router decapsulates the IP datagram **from the frame** it receives, processes it, and then **encapsulates** it in another frame.
  - The format and size of the received frame depend on the protocol used by the physical network through which the frame has just traveled.
- For example, if a router connects a **LAN** to a **WAN**, it receives a frame in the LAN format and sends a frame in the WAN format.

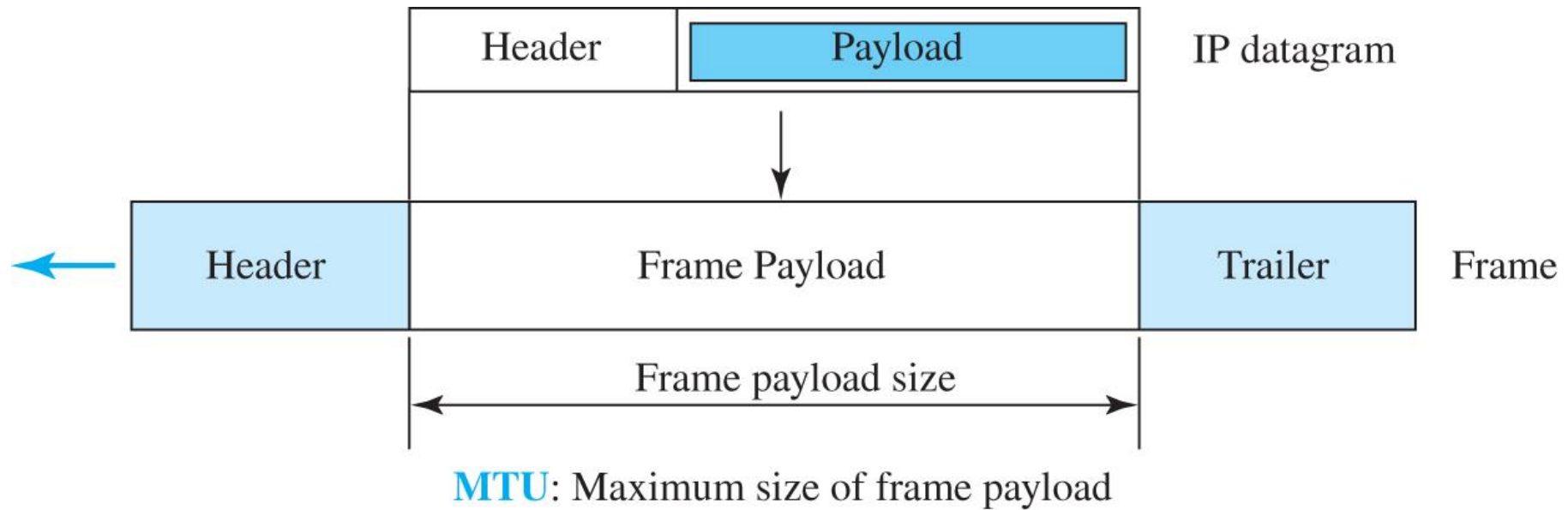
# Frame Formats

- Each **link-layer protocol** has its own **frame format**.
  - One of the features of each format is the **maximum size** of the payload that can be encapsulated.
- When a datagram is encapsulated in a frame, the total size of the datagram must be **less than** this maximum size.

# MTU

- **Maximum Transfer/Transmission Unit (MTU).**
  - The **maximum amount** of data that a **link-layer frame** can carry.
  - Its value for LAN is usually 1500 bytes.
- **Problem:** each of the links along the route between sender and destination can use different link-layer protocols, and each of these protocols can have different MTUs.
- To make the IP protocol **independent** of the physical network, the designers decided to make the maximum length of the **IP datagram** equal to 65,535 bytes.

# MTU



# Fragmentation

- Dividing the datagram to make it possible for it to pass through different networks with different MTUs.
- When a datagram is fragmented, **each fragment has its own header** with most of the fields repeated, but **some have been changed**.
  - A datagram may be fragmented **several times** before it reaches the final destination (if passes through a network with an even smaller MTU).
- A datagram can be fragmented by the source host or any router in the path.
  - The **reassembly of the datagram** is done only by the destination host.
- The **payload** of a datagram is **fragmented**.
  - Most parts of the header, with the exception of some options, must be copied by all fragments.

# Fragmentation

- The host or router that fragments a datagram must change the values of three fields: **flags**, **fragmentation offset**, and **total length**.
  - The value of the checksum must be recalculated regardless of fragmentation.

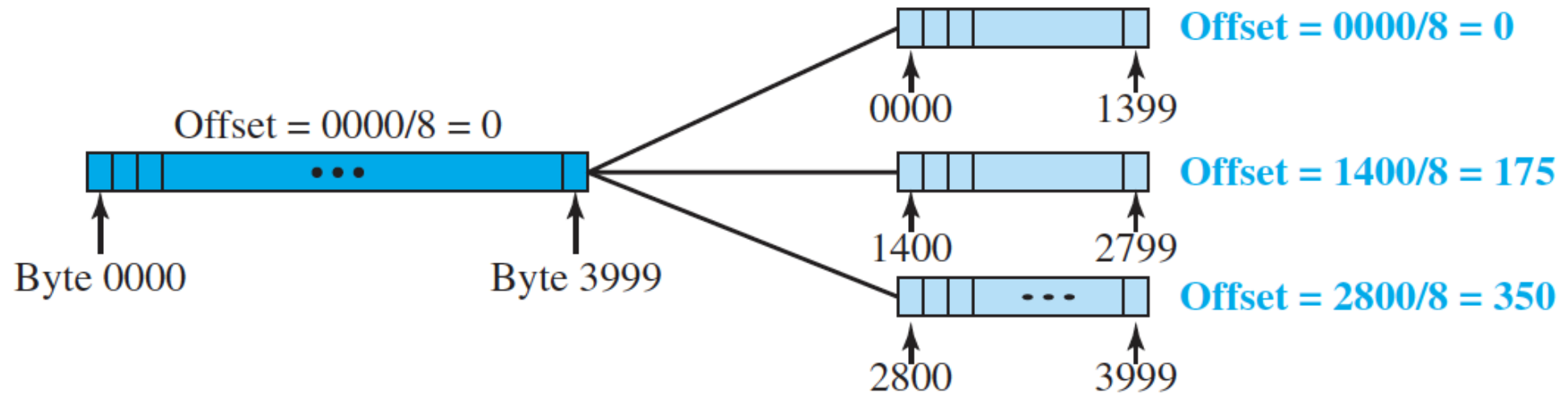


# Fragmentation

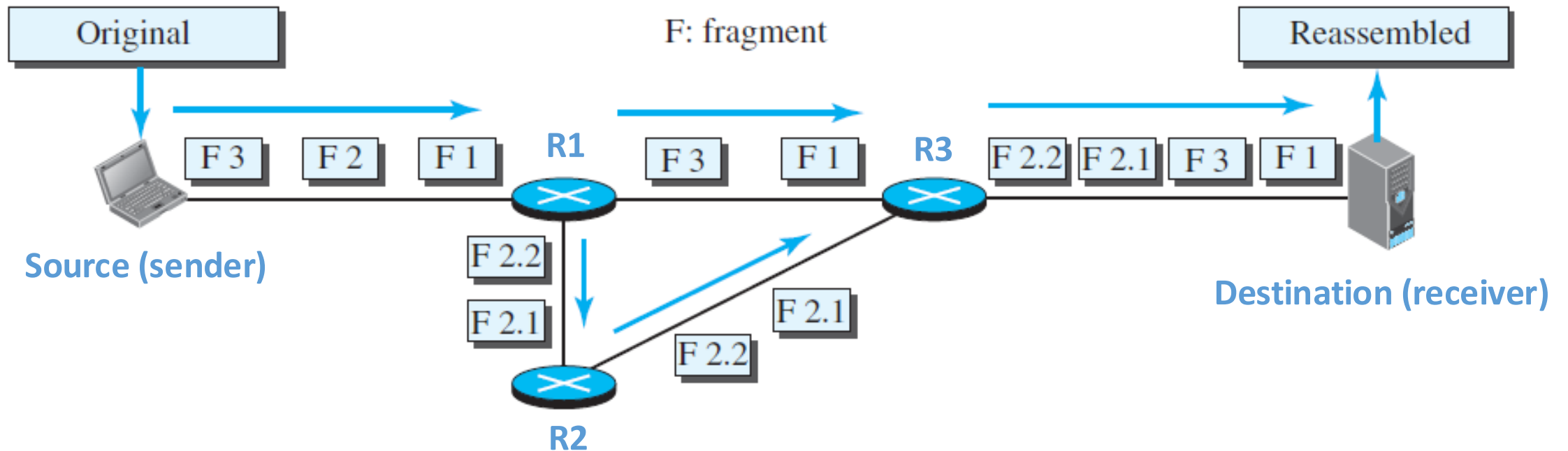
- To help the destination host to **perform the reassembly task**, three fields are included in the IP datagram header.
  - **Identification number** (16-bit field) → Same for the original and all fragments of a datagram
  - **Flags** (3-bit field)
    - Leftmost bit is not used
    - Second bit (**do not fragment** bit, **D**) → if 1, the datagram **must not be fragmented**, even if it cannot pass it (discards, ICMP error to the source).
    - Third bit (**more fragment** bit, **M**) → helps in determining the last fragment (0 for the last (or only) fragment)
  - **Fragmentation offset** → to specify where the fragment fits (position) within the original IP datagram (**offset** of the data in the original datagram measured in **units of 8 bytes**).

# Fragmentation – Example

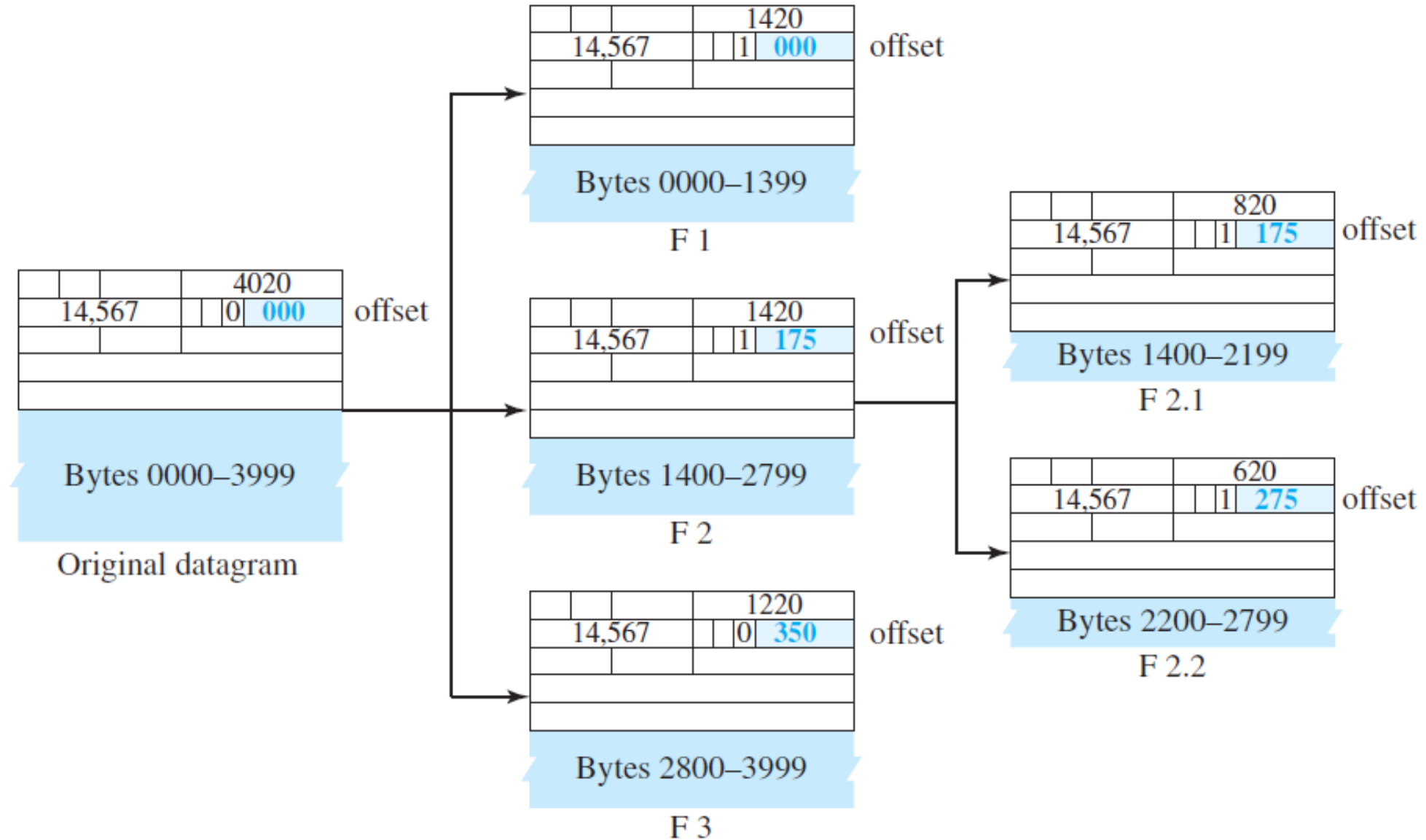
- The value of the offset is measured in **units of 8 bytes** because the length of the offset field is only 13 bits long and cannot represent a sequence of bytes greater than 8191 ( $2^{13}$ ). This forces hosts or routers that fragment datagrams to choose the size of each fragment so that the **first byte number** is **divisible by 8**.



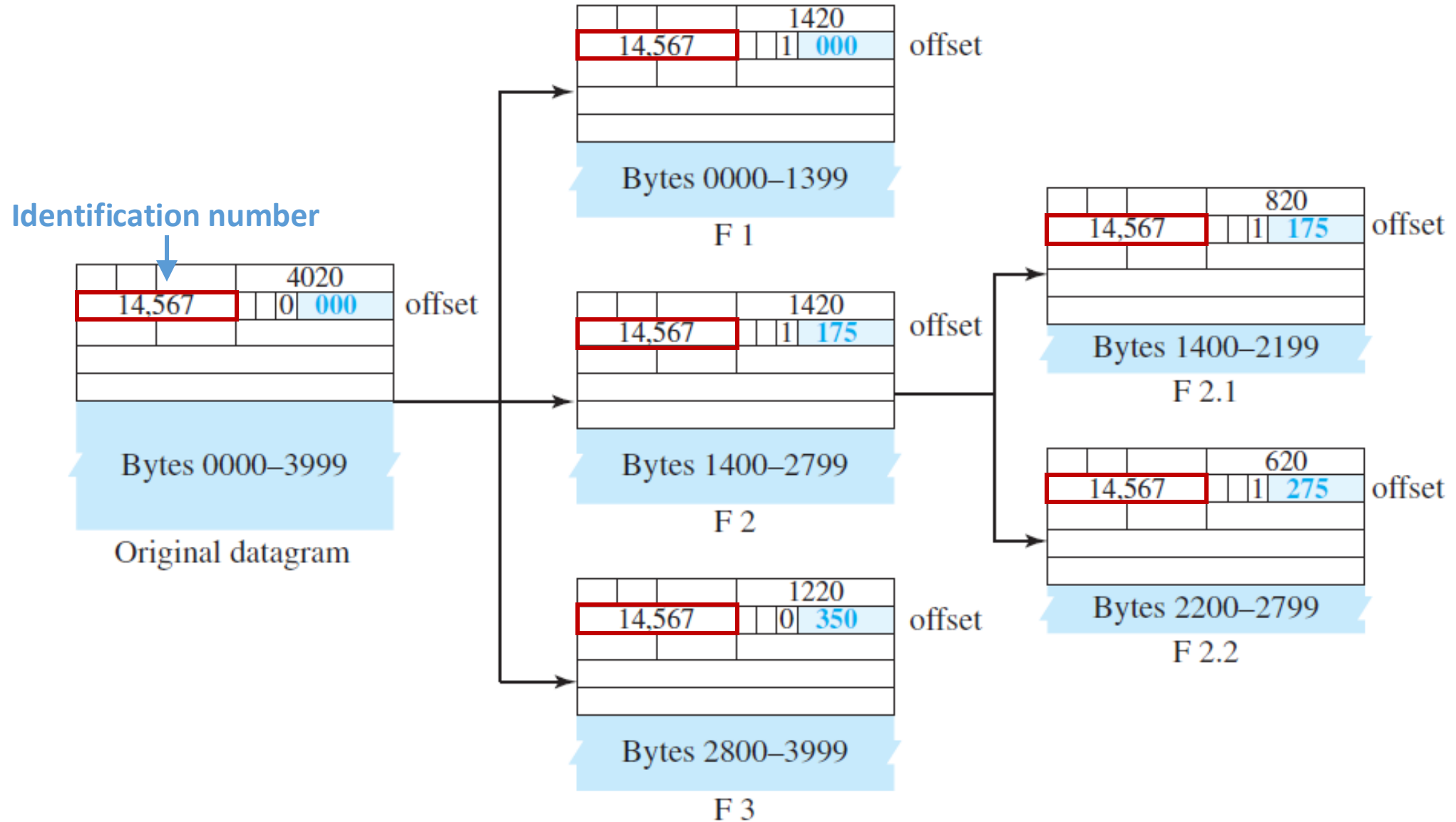
# Fragmentation – Example



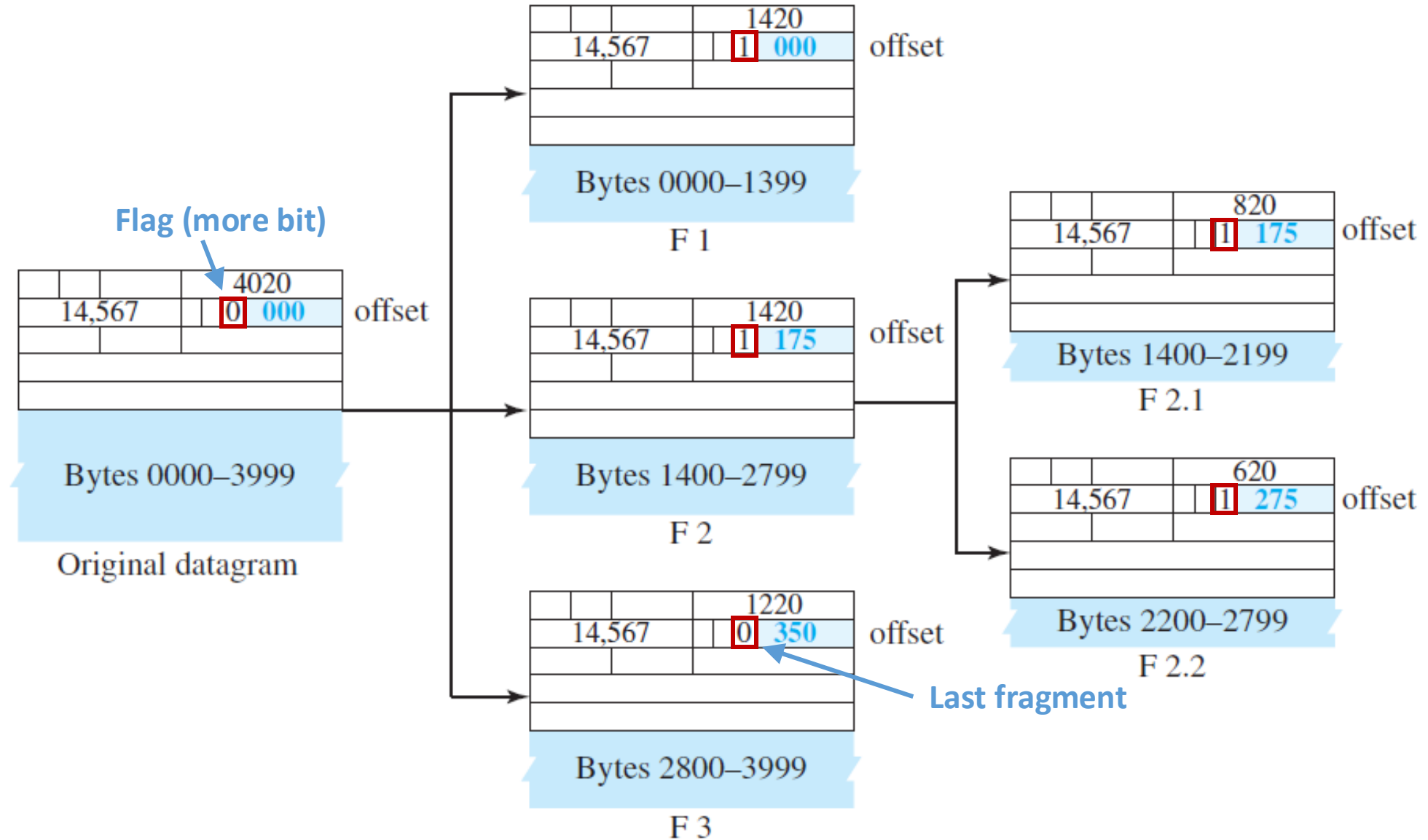
# Fragmentation – Example



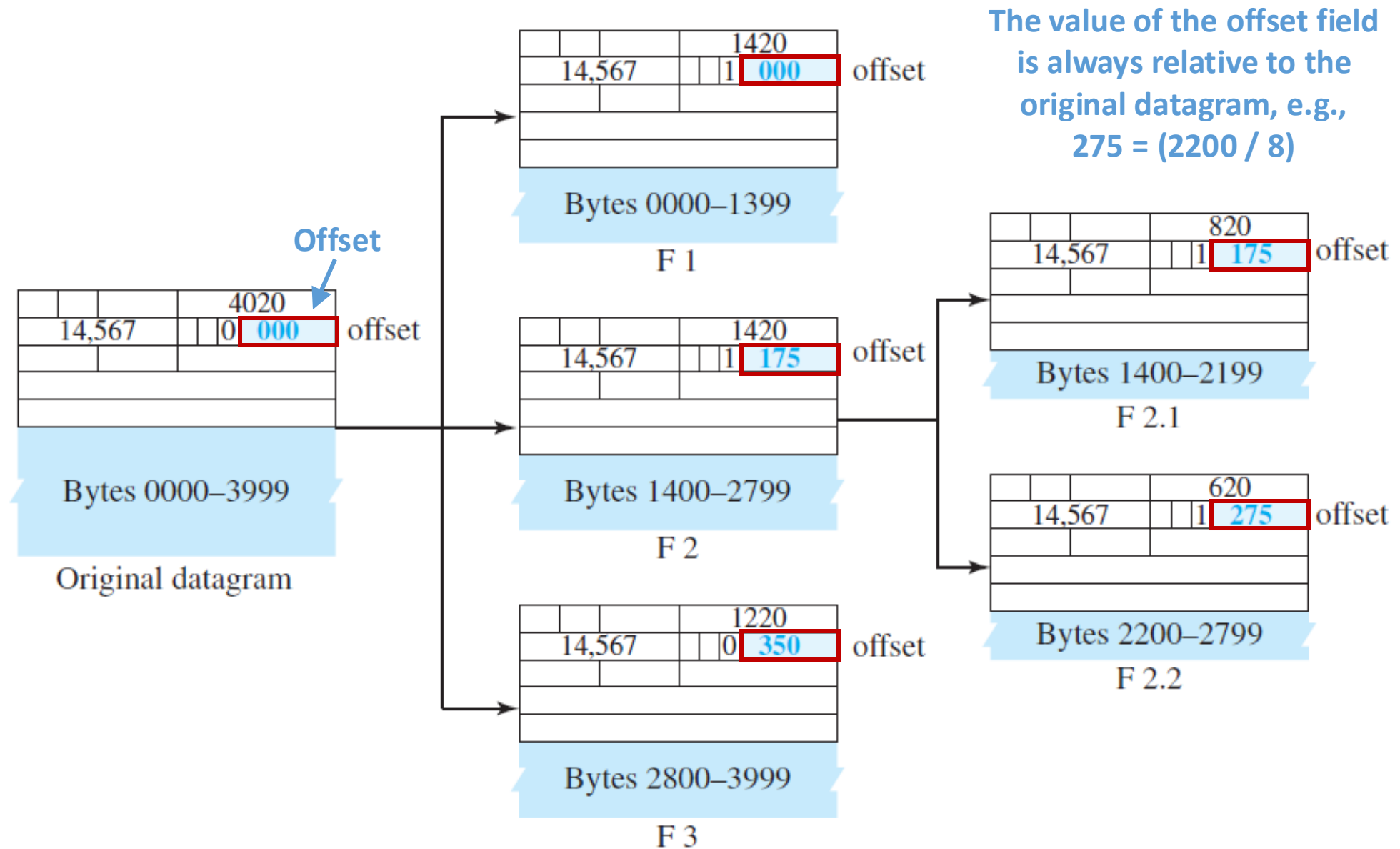
# Fragmentation – Example



# Fragmentation – Example



# Fragmentation – Example



# ICMPv4

- The IPv4 has **no error-reporting** or **error-correcting** mechanism.
- Some errors that might happen during transmission?
  - Router discards a datagram because it cannot find a route.
  - Time-to-live field has a zero value.
  - Final destination discards the received fragments of a datagram because it has not received all fragments.
- These are examples of situations where an error has occurred, and the IP protocol has **no built-in mechanism** to **notify the original host**.



# ICMPv4

- The IP protocol also lacks a mechanism for host and management queries.
  - A host sometimes needs to determine if a router or another host is alive.
  - Sometimes a network manager needs information from another host or router.
- **Internet Control Message Protocol version 4 (ICMPv4)** has been designed to compensate for the mentioned deficiencies.

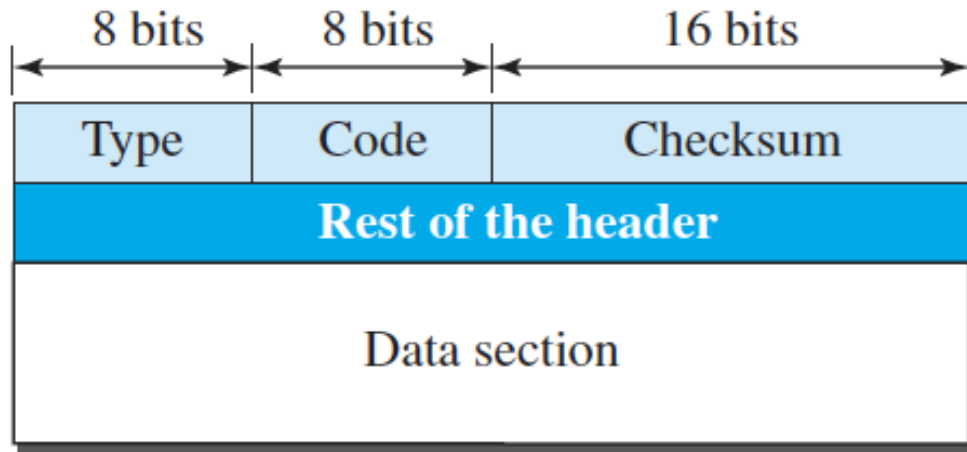
# ICMPv4

- A **network-layer protocol** (RFC792).
- ICMP is used by **hosts** and **routers** to communicate network-layer information to each other.
- ICMP messages are first encapsulated inside IP datagrams before going to the lower layer.
  - The value of the **protocol** field in the IP datagram is **set to 1** to indicate that the **IP payload** is an **ICMP message**.

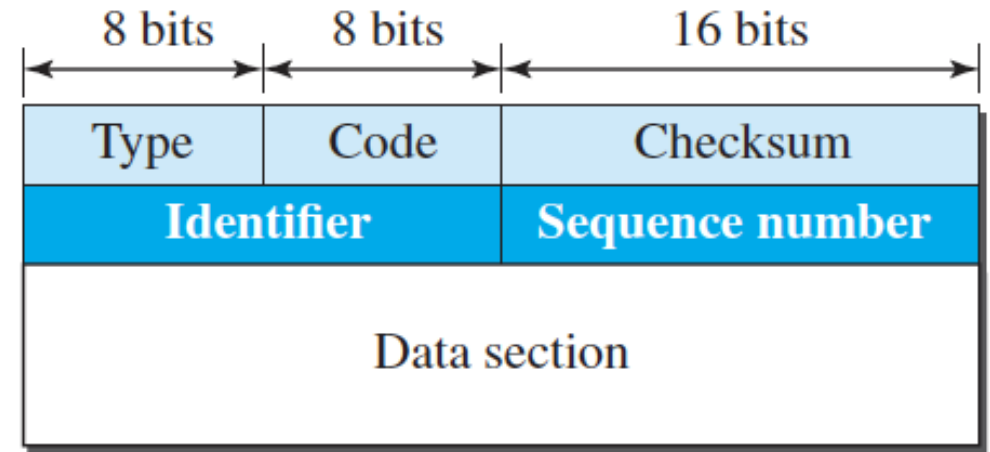
# ICMPv4 – Messages

- Two categories of messages
  1. **Error-reporting messages**
    - Report problems that a **router** or a **host** (destination) may encounter when it processes an IP packet.
    - ICMP uses the source IP address to send the error message to the source (originator) of the datagram.
  2. **Query messages**
    - These messages occur in pairs (request and reply) and they help a host or a network manager get **specific information** from a **router** or **another host**.
    - Used to probe or test the **liveliness** of hosts or routers on the Internet, find the **one-way** or the **round-trip time** for an IP datagram between two devices, or even find out whether the clocks in two devices are synchronized.
- An ICMP message has an 8-byte header and a variable-size data section
  - Two important fields in the header: **Type** and **Code**

# ICMPv4 – Messages



Error-reporting messages



Query messages

## Type and code values

### Error-reporting messages

- 03: Destination unreachable (codes 0 to 15)
- 04: Source quench (only code 0)
- 05: Redirection (codes 0 to 3)
- 11: Time exceeded (codes 0 and 1)
- 12: Parameter problem (codes 0 and 1)

### Query messages

- 08 and 00: Echo request and reply (only code 0)
- 13 and 14: Timestamp request and reply (only code 0)

# ICMPv4 – Destination Unreachable

- The most widely used **error message** is the destination unreachable (Type 3).
  - Example: **Type 3, Code 0**: when we use the HTTP protocol to access a web page, but the server is down, the message “**destination host is not reachable**” is created and sent back to the source.

# ICMPv4 – Time Exceeded Message with Code 0

- An error message.
- The **purpose** of the **time-to-live (TTL)** field in the IP datagram is to prevent a datagram from being aimlessly circulated on the Internet.
- When the TTL value becomes 0, the datagram is dropped by the visiting router and a **time exceeded message, Type 11** with **Code 0**, is sent to the source to inform it about the situation.

# ICMPv4 – Echo Request and Reply

- A query message.
- The echo request (**Type 8**) and the echo reply (**Type 0**) pair of messages are used by a host or a router to test the **liveliness** of another host or router.
- A host or router sends an echo request message to another host or router; if the latter is alive, it responds with an echo reply message.
- Application → debugging tools like **ping** and **traceroute**.

# ICMP and Debugging Tools on the Internet

- **ping**
  - To find if a host is alive and responding.
  - Based on two **query messages** (echo request/reply).

```
C:\Users>ping bcit.ca

Pinging bcit.ca [142.232.230.10] with 32 bytes of data:
Reply from 142.232.230.10: bytes=32 time=24ms TTL=245
Reply from 142.232.230.10: bytes=32 time=22ms TTL=245
Reply from 142.232.230.10: bytes=32 time=22ms TTL=245
Reply from 142.232.230.10: bytes=32 time=21ms TTL=245

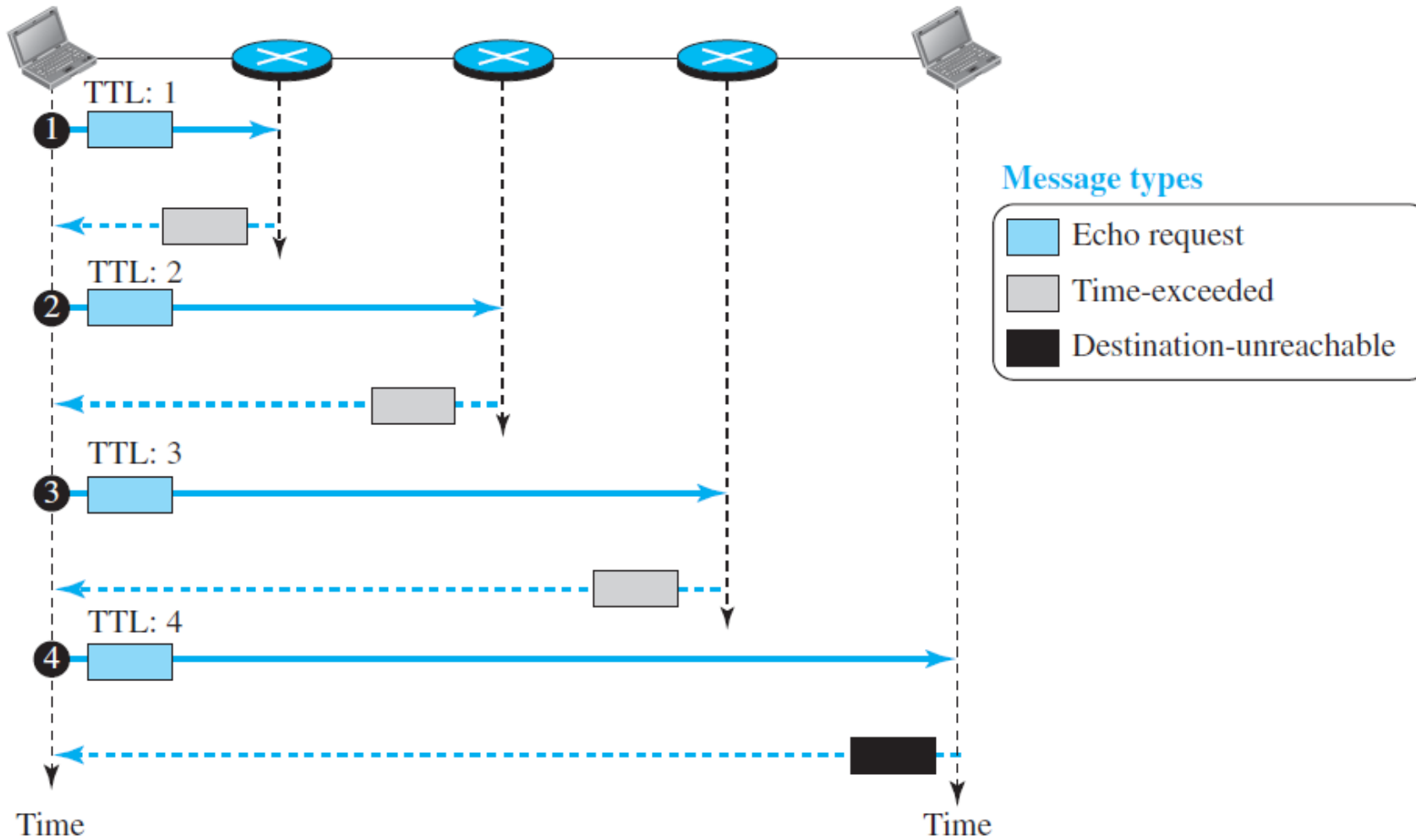
Ping statistics for 142.232.230.10:
    Packets: Sent = 4, Received = 4, Lost = 0 (0% loss),
    Approximate round trip times in milli-seconds:
        Minimum = 21ms, Maximum = 24ms, Average = 22ms
```



# ICMP and Debugging Tools on the Internet

- **traceroute/tracert**
  - The **traceroute** program in UNIX/Linux or **tracert** in Windows.
  - Based on two **error-reporting messages**: **time-exceeded** and **destination-unreachable** as well as **echo-request query message**.
  - To **trace** the **path** of a packet from a source to the destination.
  - It can find the IP addresses of all the routers that are visited along the path.
  - Usually set to check for the maximum of 30 hops (routers) to be visited.

# Traceroute



# Traceroute

```
C:\Users>tracert bcit.ca
```

```
Tracing route to bcit.ca [142.232.230.10]  
over a maximum of 30 hops:
```

1	2 ms	1 ms	1 ms	192.168.1.254
2	5 ms	6 ms	5 ms	10.31.234.1
3	6 ms	5 ms	5 ms	154.11.10.159
4	6 ms	8 ms	5 ms	as6939.vanix.ca [206.41.104.27]
5	7 ms	6 ms	6 ms	bcnet.10gigabitethernet1-4.core1.yvr1.he.net [184.105.148.150]
6	21 ms	21 ms	21 ms	cr1-100g-bb3927ae8.srry1.bc.net [207.23.253.121]
7	21 ms	21 ms	21 ms	427-bcit-tx-cr1.vncv1.bc.net [207.23.240.25]
8	22 ms	22 ms	21 ms	ip-142-232-230-10.ptr.bcit.ca [142.232.230.10]

```
Trace complete.
```

# Summary

- **IPv4** is an **unreliable connectionless protocol** responsible for source-to-destination delivery.
- Packets in IP layer (network layer) are called **datagrams** (each datagram includes a header and a payload).
- The IPv4 datagrams can be **fragmented** in the path to the destination.
- **Checksum** is calculated only for the **header of datagram**.
- **ICMP** messages are encapsulated in IP datagrams.
- Two types of ICMP messages: **error-reporting** and **query**.

# References

- [1] Behrouz A. Forouzan, Data Communications & Networking with TCP/IP Protocol Suite, 6th Ed, 2022, McGraw-Hill companies.
- [2] J.F. Kurose, K.W. Ross, Computer Networking: A Top-Down Approach, 7th Ed, 2017, Pearson Education, Inc.

# Reading

- Chapter 7 of the textbook, sections 7.4.2 – 7.4.4.
- Chapter 7 of the textbook, section 7.8 (Practice Test)