

# Quantum Field Theory

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## Conventions

1. Greek index (e.g.  $\alpha, \beta, \mu, \nu$ ) run over time and space.
2. Latin index (e.g.  $i, j, k$ ) run over space.
3. Natural units ( $c = \hbar = 1$ ) (so that  $p = \hbar k = k$ ).
4. Einstein summation convention.

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \sum_{\mu=0}^{n-1} \sum_{\nu=0}^{n-1} g_{\mu\nu} dx^\mu dx^\nu$$

5. Metric signature  $(+, -, -, -)$ .

# 1 Field Theory

## 1.1 Necessity of Field

Quantum field theory is the combination of quantum mechanics and relativistic field theory, instead of studying the dynamics of particles, we study the dynamics of the field. To see why we can't simply stick with relativistic quantum mechanics, consider the relativistic wave equation, for example, **Klein-Gordon equation**<sup>1</sup>:

$$(\square + m^2)\phi = 0 \quad (1.1)$$

The solution of the Klein-Gordon equation carries negative energy states and other inconsistencies. Another reason relativistic quantum mechanics does not work is related to causality. In quantum mechanics, the amplitude to propagate from a point  $\vec{x}_0$  to a point  $\vec{x}$  in time  $t$  is governed by the unitary operator  $e^{-iHt}$ , where  $H$  is the Hamiltonian. In relativistic case, we have  $E = \sqrt{\vec{p}^2 + m^2}$ , so

$$\langle \vec{x} | e^{-itH} | \vec{x}_0 \rangle = \langle \vec{x} | e^{-it\sqrt{\vec{p}^2 + m^2}} | \vec{x}_0 \rangle \quad (1.2)$$

$$= \int \frac{d^3p}{(2\pi)^3} e^{-it\sqrt{\vec{p}^2 + m^2}} e^{i\vec{p} \cdot (\vec{x} - \vec{x}_0)} \quad (1.3)$$

$$= \frac{1}{2\pi^2 |\vec{x} - \vec{x}_0|} \int_0^\infty dp \, p \sin(p|\vec{x} - \vec{x}_0|) e^{-it\sqrt{p^2 + m^2}} \quad (1.4)$$

We can evaluate integral explicitly in terms of Bessel functions, or we can look for asymptotic behavior using the method of steepest descent. The amplitude are asymptotically exponential,  $\langle \vec{x} | e^{-itH} | \vec{x}_0 \rangle \sim e^{m\sqrt{x^2 - t^2}}$ , however, dose not vanish for spacelike separation. All the above issues could be solved from the field viewpoint, for example, the causality problem is solved by introducing the antiparticle. Quantum field theory not only provides a natural way to handle the multiparticle state but also transitions between the states with different particle numbers. It provides the tools to calculate innumerable experimental observables like scattering cross-section, with incredible precision<sup>2</sup>.

## 1.2 Canonical Quantization

Consider a massive scalar field  $\phi(t, x^i)$  defined in spacetime point  $(t, x^i)$  satisfying the Klein-Gordon equation 1.1, obtained from the Lagrangian density

$$\mathcal{L} = \frac{1}{2}(\eta^{\mu\nu} \phi_{,\mu} \phi_{,\nu} - m^2 \phi^2)$$

by demanding the variations of the action

$$S = \int \mathcal{L}(x) d^n x$$

vanish.

<sup>1</sup>Here we use the metric sign convention  $(+, -, -, -)$ ; if we used another sign convention, the Klein-Gordon equation would read  $(\square - m^2)\phi = 0$ . The d'Alembertian  $\square$  is defined as  $\square = g^{\mu\nu} \partial_\mu \partial_\nu$ . In this note, we assume the spacetime is flat, so  $g^{\mu\nu} = \eta^{\mu\nu}$ .

<sup>2</sup>See [https://en.wikipedia.org/wiki/Precision\\_tests\\_of\\_QED](https://en.wikipedia.org/wiki/Precision_tests_of_QED)

The classical solution of the Klein-Gordon equation is the plane wave:

$$f_{\mathbf{k}}(t, \mathbf{x}) = A(k)e^{i(\mathbf{k}\cdot\mathbf{x}-\omega t)}$$

The dispersion relation is

$$\omega = \sqrt{(|\mathbf{k}|^2 + m^2)}.$$

The above solution is very similar to the solution of harmonic oscillators. However, there is a significant difference: A harmonic oscillator only has one independent solution because it has a fixed, unique frequency. This feature no longer holds for fields theory because we have an infinite number solution for each value of  $k$ . Therefore, we should construct a general solution by constructing a complete, orthonormal set of modes that any solution can express as a linear combination of modes.

The natural generalizations of the commutation relation of the quantum harmonic oscillator are equal-time commutation relations. We could quantize the field by canonical quantization scheme, which treats the field  $\phi$  as an operator  $\hat{\phi}$ , then impose the canonical commutation relations on equal-time hypersurface:

**Definition 1.5** (Canonical commutation relation).

$$\begin{aligned} [\hat{\phi}(t, \mathbf{x}), \hat{\phi}(t, \mathbf{x}')] &= 0 \\ [\hat{\pi}(t, \mathbf{x}), \hat{\pi}(t, \mathbf{x}')] &= 0 \\ [\hat{\phi}(t, \mathbf{x}), \hat{\pi}(t, \mathbf{x}')] &= i\delta^{(3)}(\mathbf{x} - \mathbf{x}') \end{aligned}$$

The first two commutation relations come from the causality requirement, as those operators have spacelike separation. The delta function implies that field and momentum operators commute everywhere except the spacetime point they intersect.

Just like the classical solution of the Klein-Gordon equation can be expanded in terms of mode, the field operator  $\hat{\phi}$  also can be expanded in term mode function and have coefficients  $\hat{a}_{\mathbf{k}}$  and  $\hat{a}_{\mathbf{k}}^\dagger$  respectively as shown below:

**1.6** (Mode expansion).

$$\phi(t, \mathbf{x}) = \int \frac{d^3k}{(2\pi)^3 \sqrt{2\omega_k}} [\hat{a}_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{x}} + \hat{a}_{\mathbf{k}}^\dagger e^{-i\mathbf{k}\cdot\mathbf{x}}]$$

By using the commutation relation defined in 1.5, we can obtain the commutation relation of operator  $\hat{a}_{\mathbf{k}}$  and  $\hat{a}_{\mathbf{k}}^\dagger$ <sup>3</sup>:

$$[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{k}'}] = 0 \tag{1.7}$$

$$[\hat{a}_{\mathbf{k}}^\dagger, \hat{a}_{\mathbf{k}'}^\dagger] = 0 \tag{1.8}$$

$$[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{k}'}^\dagger] = (2\pi)^3 \delta^{(3)}(\mathbf{k} - \mathbf{k}') \tag{1.9}$$

Analog to harmonic oscillators, the operator  $\hat{a}_{\mathbf{k}}$  and  $\hat{a}_{\mathbf{k}}^\dagger$  are annihilation and creation operator respectively. The only difference is that we now have an infinite set of annihilation and creation operators

<sup>3</sup>The factor of  $2\pi$  come from the convention of Fourier transformation.

corresponding to each spatial wave vector  $\mathbf{k}$ .

**Remark.**

The quantization process described above is sometimes referred to as **second quantization**. Historically, this name comes from the fact that we first treat the mode as discrete and then have an integer number of excitation of each mode. However, the name “second quantization” can be misleading because the discrete mode is a classical phenomenon. We quantized the field exactly once.

There is a single state  $|0\rangle$  that would be annihilated by all  $\hat{a}_{\mathbf{k}}$ , called **vacuum**.

**Definition 1.10** (Vacuum).

$$\forall \mathbf{k}, \hat{a}_{\mathbf{k}} |0\rangle = 0.$$

## 1.3 Vacuum

The energy-momentum tensor of scalar field theory can be constructed in a standard manner:

$$T_{\mu\nu} = \frac{2}{\sqrt{-g}} \frac{\delta S}{\delta(g^{\mu\nu})} \quad (1.11)$$

$$= \phi_{,\mu} \phi_{,\nu} - \frac{1}{2} \eta_{\mu\nu} \eta^{\lambda\sigma} \phi_{,\lambda} \phi_{,\sigma} + \frac{1}{2} m^2 \phi^2 \eta_{\mu\nu} \quad (1.12)$$

The Hamiltonian operator can be obtained from the classical theory of field in the same manner. Recall the Hamiltonian of Klein-Gordon field is:

$$H = \int d^3x \mathcal{H} = \int d^3x T_{tt} = \int d^3x \left[ \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla\phi)^2 + \frac{1}{2} m^2 \phi^2 \right] \quad (1.13)$$

By substituting the mode expansion of  $\hat{\phi}$ , we obtained the expression of Hamiltonian of quantized K-G field:

$$\hat{H} = \frac{1}{2} \int \frac{d^3k}{(2\pi)^3} \left[ \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{k}}^\dagger \right] \omega_k \quad (1.14)$$

Use the commutation relation of  $\hat{a}_{\mathbf{k}}$  and  $\hat{a}_{\mathbf{k}}^\dagger$ , we can further simplify the Hamiltonian operator:

$$\hat{H} = \int \frac{d^3k}{(2\pi)^3} \left[ \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \frac{1}{2} \delta^{(3)}(0) \right] \omega_k \quad (1.15)$$

$$= \int \frac{d^3k}{(2\pi)^3} \left[ \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} \omega_k \right] + \int d^3k \left[ \frac{1}{2} \delta^{(3)}(0) \omega_k \right] \quad (1.16)$$

The problem has arisen: if we calculate the expectation value of Hamiltonian in the vacuum state, one would expect to get 0, however, we get infinite. The vacuum has infinite energy! The first reason we see infinite vacuum energy is that we are integral over all space. This is reasonable, analog to harmonic oscillator zero point energy, if we sum over infinite many ground state harmonic oscillators, we are ex-

pecting infinite energy. The divergences caused by infinitely large space are often referred to as **infrared divergences**. We can eliminate this kind of infinite by confining our field in a box. Let confine the field in a box with length  $L$  by imposing periodic boundary conditions, and rewrite the second term in 1.15 as:

$$\int d^3k \left[ \frac{1}{2} \delta^{(3)}(0) \omega_k \right] \rightarrow \frac{1}{2} \left[ \frac{L}{2\pi} \right]^3 \sum_{\mathbf{k}} \omega_k \quad (1.17)$$

However, after we restrict the vacuum in a finite region, the expression in 1.17 is still divergent. Since the value of  $\omega = \sqrt{|\mathbf{k}|^2 + m^2}$  can be arbitrarily large. This infinite arises because we assumed quantum field theory is valid for arbitrarily high frequency/energy which corresponds to arbitrarily short distance. We expect to see new physics at that energy scale! The divergences caused by infinitely high frequency are often referred to as **ultraviolet divergences**. We can eliminate this kind of infinite through **renormalization**. The simplified idea is just substrating off infinite from our expression. This is valid because what we can measure in the experiment is the energy difference, we can simply rescale the zero point of energy and not affect the observable<sup>4</sup>. After all, the redefined Hamiltonian read

$$H = \int \frac{d^3k}{(2\pi)^3} \omega_k a_{\vec{p}}^\dagger a_{\vec{p}} \quad (1.18)$$

## 1.4 Particle

A state with  $n$  particles with identical momentum  $\mathbf{k}$  can be constructed by repeat acting  $\hat{a}_{\mathbf{k}}^\dagger$  on the vacuum:

$$|n_{\mathbf{k}}\rangle = \frac{1}{\sqrt{n_{\mathbf{k}}!}} (\hat{a}_{\mathbf{k}}^\dagger)^n |0\rangle \quad (1.19)$$

Similarly, we can construct a state with  $n_i$  particle for momentum  $\mathbf{k}_i$ :

$$|n_1, n_2, \dots, n_j\rangle = \frac{1}{\sqrt{n_1! n_2! \dots n_j!}} (\hat{a}_{\mathbf{k}_1}^\dagger)^{n_1} (\hat{a}_{\mathbf{k}_2}^\dagger)^{n_2} \dots (\hat{a}_{\mathbf{k}_j}^\dagger)^{n_j} |0\rangle \quad (1.20)$$

We can create or annihilate particles with certain momentum:

### Example 1.21.

$$\begin{aligned} \hat{a}_{\mathbf{k}_i} |n_1, n_2, \dots, n_i, \dots, n_j\rangle &= \sqrt{n_i} |n_1, n_2, \dots, n_i - 1, \dots, n_j\rangle \\ \hat{a}_{\mathbf{k}_i}^\dagger |n_1, n_2, \dots, n_i, \dots, n_j\rangle &= \sqrt{n_i + 1} |n_1, n_2, \dots, n_i + 1, \dots, n_j\rangle \end{aligned}$$

Furthermore, we can define **number operator**:

<sup>4</sup>This is not correct when we introduce general relativity, because the cosmological constant will depend on the vacuum energy and it is observable; however, the observations do not agree with physics prediction, this is still an unsolved issue in physics, called cosmological constant problem

**Definition 1.22** (Number operator).

$$\hat{n}_{\mathbf{k}} = \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}$$

Which obeys:

$$\hat{n}_{\mathbf{k}_i} |n_1, n_2, \dots, n_i, \dots, n_j\rangle = n_i |n_1, n_2, \dots, n_i, \dots, n_j\rangle \quad (1.23)$$

The eigenstates of the number operator form a basis span Hilbert space, known as **Fock basis**. The space span by this basis is called **Fock space**.

From the classical expression of angular momentum, we can obtain the angular momentum operator:

$$J^i = \epsilon^{ijk} \int d^3x (\mathcal{J}^0)^{jk}. \quad (1.24)$$

By acting it into the one particle state, we can see that the angular momentum of a scalar particle is 0. We should also notice that the creation operators commute among themselves, this means we have created **boson**.

## 2 Quantum Electrodynamics



# A Classical Field Theory

Classical field theory is something students are supposed to know for QFT but is never formally taught, so we give a brief overview here. Field theory is very similar to Lagrangian mechanics; instead of the usual system, we now have a spacetime-dependent **fields**  $\Phi^i(x^\mu)$  (the  $i$  here is not tensor index), and the action becomes a **functional** of these fields. A functional is a “function of function”, which map a function to a number, note that the functional is not simply a composition function, which maps number to number.

In field theory, the Lagrangian is usually expressed as an integral over **Lagrange density**, which are a function of the fields and their derivative.

$$L = \int d^3x \mathcal{L}(\Phi^i, \partial_\mu \Phi^i) \quad (\text{A.1})$$

Then the action

$$S = \int dt L = \int d^4x \mathcal{L}(\Phi^i, \partial_\mu \Phi^i) \quad (\text{A.2})$$

By varying the action, just like what we did in classical mechanics, we can obtain the equation of motion of field:

$$\delta S = \int d^4x \left[ \frac{\partial \mathcal{L}}{\partial \Phi^i} \delta \Phi^i + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \Phi^i)} \partial_\mu (\delta \Phi^i) \right] \quad (\text{A.3})$$

$$= \int d^4x \left[ \frac{\partial \mathcal{L}}{\partial \Phi^i} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \Phi^i)} \right] \delta \Phi^i \quad (\text{A.4})$$

We have obtained the field version of **Euler-Lagrange equation**

**Definition A.5** (Euler-Lagrange equation).

$$\frac{\partial \mathcal{L}}{\partial \Phi^i} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \Phi^i)} = 0$$

Let's consider a simple example, the real scalar field

$$\phi : x^\mu \rightarrow \mathbb{R}.$$

The contribution of action are

1. Kinetic term:  $\frac{1}{2} \dot{\phi}^2$
2. Gradient term:  $\frac{1}{2} (\nabla \phi)^2$
3. potential term:  $V(\phi)$

We could combine them into a Lorentz-invariant Lagrange density:

$$\mathcal{L} = \frac{1}{2} \eta^{\mu\nu} (\partial_\mu \phi) (\partial_\nu \phi) - V(\phi) \quad (\text{A.6})$$

Apply the Euler-Lagrange equation; we then get the equation of motion:

$$\eta^{\mu\nu}\partial_\mu\partial_\nu\phi + \frac{dV(\phi)}{d\phi} \quad (\text{A.7})$$

$$= \square\phi + \frac{dV(\phi)}{d\phi} \quad (\text{A.8})$$

$$= 0 \quad (\text{A.9})$$

Where  $\square$  is **d'Alembertian**.

## A.1 Klein-Gordon Field

If the scalar field is massive, the potential  $V(\phi) = \frac{1}{2}m^2\phi^2$ , we obtained the **Klein-Gordon equation**:

**Definition A.10** (Klein-Gordon equation).

$$(\square + m^2)\phi = 0$$

You will see this equation again and again in quantum field theory.

**Remark.**

The Klein-Gordon field could be analog to infinite many coupled infinitesimal harmonic oscillators; each “mass” is affected by neighboring springs and has its kinetic energy. It is an idealized model used to study the massive scalar particle.

## A.2 Hamiltonian Field Theory

The Lagrangian field theory is naturally Lorentz invariant. However, we also need Hamiltonian formalism for the field theory because it is easier to transition from quantum mechanics. Let's first consider the classical definition of Hamiltonian,

$$H = \sum p\dot{q} - L.$$

From the definition of Lagrangian, we can derive the conjugate momentum as follow:

$$p(x^i) \equiv \frac{\partial L}{\partial \dot{\phi}(x^i)} = \frac{\partial}{\partial \dot{\phi}(x^i)} \int d^3x' \mathcal{L} \quad (\text{A.11})$$

$$\propto \pi(x^i) d^3x \quad (\text{A.12})$$

Where

$$\pi \equiv \frac{\partial \mathcal{L}}{\partial \dot{\phi}}$$

is called **momentum density**

Therefore, the Hamiltonian for field theory is:

**Definition A.13** (Hamiltonian).

$$\begin{aligned}
H &= \sum p(x^i) \dot{\phi}(x^i) - L \\
&= \int d^3x [\pi \dot{\phi} - \mathcal{L}] \\
&= \int d^3x \mathcal{H}
\end{aligned}$$

Where  $\mathcal{H}$  is called **Hamiltonian density**.

**Example A.14.**

As a simple example, the Hamiltonian for the K-G field is:

$$H = \int d^3x \mathcal{H} = \int d^3x \left[ \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{1}{2} m^2 \phi^2 \right]$$

### A.3 Noether's Theorem

A Lagrangian may be invariant under some special type of transformation. For example, a Lagrangian for a complex scalar field

$$\mathcal{L} = |\partial_\mu \phi|^2 - m^2 |\phi|^2$$

is invariant under transformation  $\phi \rightarrow e^{i\alpha} \phi$ . We call this transformation a **symmetry** of the Lagrangian. When the parameter of transformation ( $\alpha$  for this case) can be taken infinitesimal, we say this symmetry is **continuous**. We can explicit see that  $\frac{\delta \mathcal{L}}{\delta \alpha} = 0$ .

By applying the Euler-Lagrange equation, we can deduce that  $\partial_\mu J_\mu = 0$ , where  $J_\mu$  is defined as follow:

**Definition A.15.**

$$J_\mu = \sum_n \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_n)} \frac{\delta \phi_n}{\delta \alpha}$$

$J_\mu$  is known as **Noether current** or **conserved current**

**Example A.16.**

For the above complex scalar field, we can calculate the conserved current:

$$\begin{aligned}
J_\mu &= \sum_n \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_n)} \frac{\delta \phi_n}{\delta \alpha} \\
&= \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \frac{\delta \phi}{\delta \alpha} + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi^*)} \frac{\delta \phi^*}{\delta \alpha} \\
&= -i [\phi \partial_\mu \phi^* - \phi^* \partial_\mu \phi]
\end{aligned}$$

We can check that  $\partial_\mu J_\mu = 0$  explicitly:

$$\begin{aligned}
\partial_\mu J_\mu &= -i [\phi \square \phi^* - \phi^* \square \phi] \\
&= 0
\end{aligned}$$

Where we applied the equation of motion in the last step.

We call  $J_\mu$  conserved current because we can find conserved quantity by integral over its 0-component:

**Definition A.17** (Conserved charge).

$$Q = \int d^3x J_0$$

Where the  $Q$  is called **conserved charge** or **Noether's charge**

We say  $Q$  is conserved because

$$\partial_t Q = \int d^3x \partial_t J_0 = \int d^3x \vec{\nabla} \cdot \vec{J} = 0. \quad (\text{A.18})$$

The above argument is called **Noether's theorem**.

**Theorem A.19** (Noether's theorem).

If a Lagrangian has a continuous symmetry, then exists a current associated with that symmetry that is conserved when the equations of motion are satisfied.

## A.4 Energy-momentum Tensor

The physics at spacetime point  $x$  should have same form at spacetime point  $y$ , this argument arises a symmetry called **spacetime translational symmetry**. By Noether's theorem, we can find the four conserved currents for this symmetry for an infinitesimal spacetime translation  $\xi^\mu$ :

**Definition A.20** (Energy-momentum tensor).

$$T_{\mu\nu} = \sum_n \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi_n)} \partial_\nu \phi_n - g_{\mu\nu} \mathcal{L}$$

$T_{\mu\nu}$  is called **energy-momentum tensor**.

Four conserved currents correspond to values of  $\nu$ , and four conserved charges are energy and momentum. We can see that the energy density is the 00-component of  $T_{\mu\nu}$ .

**Remark.**

In fact, the conservation of energy is a direct consequence of **homogeneity of time**, or in the language of general relativity, the existence of the timelike Killing vector. We can see that in Robertson-Walker spacetime, in which the time is not homogeneous, the energy is no longer conserved.

The conservation of linear momentum and angular momentum are consequences of homogeneity and isotropic of space respectively.