



A **Directed Spanning Tree** based framework for **Distributed Adaptive Control** (DST-DAC)

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Outline



Background information

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Adaptive Multiagent Systems

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Distributed Optimization (DO)

Consensus with Disturbance Rejection (CDR)

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Multiagent Systems



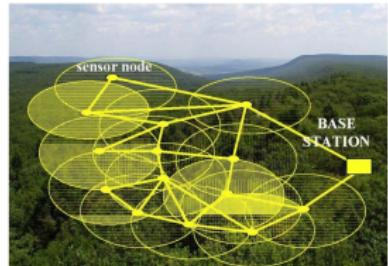
Local interactions lead to **Global** behaviors!



(a) Synchronised swim (b) Formation fighters (c) Sensor network



(b) Formation fighters



(c) Sensor network

(The pictures were downloaded from un-copyrighted websites with thanks)

Adaptive Multiagent Systems



- **Dynamics** uncertainties (unmodeled dynamics, \dots)
 - **Networked** uncertainties (unknown graph Laplacian, \dots)
 - Co-existence of both

[1]. Das, Abhijit, and Frank L. Lewis. "Distributed adaptive control for synchronization of unknown nonlinear networked systems." *Automatica* 2010.

[2]. Yu, Wenwu, et al. "Distributed adaptive control of synchronization in complex networks." *IEEE TAC*, 2012.

[3]. Li, Zhongkui, et al. "Distributed consensus of linear multi-agent systems with adaptive dynamic protocols." *Automatica*, 2013.

[4]. Ghapani, Sheida, et al. "Fully distributed flocking with a moving leader for Lagrange networks with parametric uncertainties." *Automatica*, 2016.



Adaptive Multiagent Systems

Adaptive Multiagent Systems (Yue et al., 2019-)

I. Dynamics uncertainties mainly: Adaptive feedback + Static Coupling

NN against time delay (IET CTA, 2019)	NN-MRACOn (CCDC 2022, EI)	MRASC (submitted, IEEE TAC)	Decentralized MRACO (submitted, IFAC 2023, EI)
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II. Networked uncertainties mainly: Static feedback + Adaptive Coupling

DST-based formation (IEEE TCNS, 2021)	Distributed optimization (IEEE TAC, 2022)	Anti-disturbance (CCC 2022, EI)	Distributed Resource Allocation (revised, IEEE/CAA JAS)
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III. Co-existence of both: Adaptive feedback + Adaptive Coupling

NN for formation (IEEE TNSE, 2020)	NN for consensus (IEEE TNNLS, 2021)	NN for average tracking (AJC, 2021)	Edge-based NN (IJCNN 2019, EI)
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Graph Notations

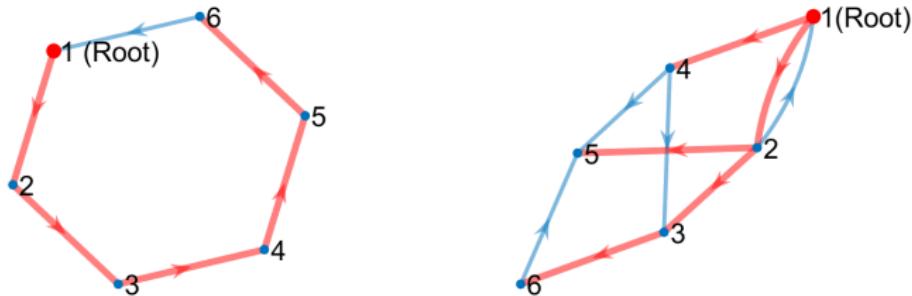


Figure 1: Examples of a **digraph** $\mathcal{G}(\mathcal{V}, \mathcal{E}, \mathcal{A})$ with a **DST** $\bar{\mathcal{G}}(\mathcal{V}, \bar{\mathcal{E}}, \bar{\mathcal{A}})$.

Note: we always assume that node 1 is the root of $\bar{\mathcal{G}}$, WLOG.



Suppose \mathcal{G} contains a DST $\bar{\mathcal{G}}$. Let $\tilde{\mathcal{L}} = \mathcal{L} - \bar{\mathcal{L}}$.

- Define $\Xi \in \mathbb{R}^{(N-1) \times N}$ as

$$\Xi_{kj} = \begin{cases} -1, & \text{if } j = k + 1, \\ 1, & \text{if } j = i_k, \\ 0, & \text{otherwise.} \end{cases} \quad (1)$$

- Define $Q \in \mathbb{R}^{(N-1) \times (N-1)} := \tilde{Q} + \bar{Q}$ with

$$Q_{kj} = \underbrace{\sum_{c \in \bar{\mathcal{V}}_{j+1}} (\tilde{\mathcal{L}}_{k+1,c} - \tilde{\mathcal{L}}_{i_k,c})}_{\tilde{Q}_{kj}} + \underbrace{\sum_{c \in \bar{\mathcal{V}}_{j+1}} (\bar{\mathcal{L}}_{k+1,c} - \bar{\mathcal{L}}_{i_k,c})}_{\bar{Q}_{kj}}. \quad (2)$$

$\bar{\mathcal{V}}_{j+1}$: the vertex set of the subtree of $\bar{\mathcal{G}}$ rooting at node $j + 1$;

i_k : the in-neighbor of node $k + 1$ in $\bar{\mathcal{G}}$, $k \in \mathcal{I}_{N-1} \triangleq \{1, \dots, N - 1\}$.



Lemma 0

The following statements hold for \mathcal{L} of \mathcal{G} , Ξ and Q defined as above:

- \mathcal{L} has a simple zero eigenvalue corresponding to the right eigenvector $\mathbf{1}_N$, and the other eigenvalues have positive real parts.
 - $\Xi \mathcal{L} = Q \Xi$.
 - \bar{Q} can be explicitly written as

$$\bar{Q}_{kj} = \begin{cases} \bar{a}_{j+1,i_j}, & \text{if } j = k, \\ -\bar{a}_{j+1,i_j}, & \text{if } j = i_k - 1, \\ 0, & \text{otherwise.} \end{cases}$$

4. The eigenvalues of Q are exactly the nonzero eigenvalues of \mathcal{L} .



Proof of Lemma 0.

- ▶ Statement 1 is sufficient and necessary for the existence of a DST, the proof can be found in [1, Lemma 2.4];
 - ▶ Statements 2-3 can be proved by showing that (see [3] for details): $\mathcal{L} = \mathcal{L}\mathcal{J}\mathcal{E}$ and $\mathcal{Q} = \mathcal{E}\mathcal{L}\mathcal{J}$, where $J \in \mathbb{R}^{N \times (N-1)}$ is defined with $J_{ik} = \begin{cases} 0, & \text{if } i \in \mathcal{V}_{k+1}, \\ 1, & \text{otherwise.} \end{cases}$
 - ▶ Statement 4 is a direct application of [2, Lemma 10].

- [1]. Ren, Wei, and Randal W. Beard. *Distributed consensus in multi-vehicle cooperative control*. Springer London, 2008.
 - [2]. Wu, Chai Wah, and Leon O. Chua. "Synchronization in an array of linearly coupled dynamical systems." *IEEE TCS-I*, 1995.
 - [3]. Yue, Dongdong, et al. "A directed spanning tree adaptive control solution to time-varying formations." *IEEE TCNS*, 2021.



DST-DAC for TVF



$$\dot{x}_i = Ax_i + Bu_i \quad i \in \mathcal{I}_N \triangleq \{1, 2, \dots, N\} \quad (3)$$

Assumption 1 (DST)

The digraph \mathcal{G} has at least one DST $\bar{\mathcal{G}}(\mathcal{V}, \bar{\mathcal{E}}, \bar{A})$.

Definition 1 ([1],TVF)

The linear multiagent system (3) is said to achieve the time-varying formation defined by the time-varying vector $h(t) = \text{col}(h_1(t), \dots, h_N(t))$ if, for any initial states, there holds

$$\lim_{t \rightarrow \infty} ((x_i - h_i) - (x_j - h_j)) = 0, \forall i, j \in \mathcal{I}_N$$



- [1]. Dong, Xiwang, et al. "Formation control for high-order linear time-invariant multiagent systems with time delays." IEEE TCNS, 2014.

DST-DAC for TVF



Lemma 1 (N-S condition for TVF)

Under Assumption 1, and for any DST $\bar{\mathcal{G}}$, define Ξ as in (1). Then, the TVF for multi-agent system (3) can be achieved if and only if $\lim_{t \rightarrow \infty} \|(\Xi \otimes \mathbf{I}_n)d(t)\| = 0$ ($d = \text{col}(x_i - h_i)$).

DST-DAC for TVF



Lemma 1 (N-S condition for TVF)

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The control law is proposed for agent $i \in \mathcal{V}$ as:

$$u_i = K_0 x_i + K_1 d_i + K_2 \sum_{j \in \mathcal{N}_{\text{in}}(i)} \alpha_{ij}(t)(d_i - d_j) \quad (4)$$

$$\alpha_{ij}(t) = \begin{cases} a_{ij}, & \text{if } e_{ji} \in \mathcal{E} \setminus \bar{\mathcal{E}}, \\ \bar{a}_{k+1,i_k}(t), & \text{if } e_{ji} \in \bar{\mathcal{E}} \end{cases}$$

$$\dot{\bar{a}}_{k+1,i_k} = \rho_{k+1,i_k} \left((d_{i_k} - d_{k+1}) - \sum_{j \in \bar{\mathcal{N}}_{\text{out}}(k+1)} (d_{k+1} - d_j) \right)^T \Gamma (d_{i_k} - d_{k+1})$$

DST-DAC for TVF



Algorithm 1 Feasibility check and control parameters design

1: Find a matrix K_0 s.t. the formation feasibility condition

$$(A + BK_0)(h_{i_k}(t) - h_{k+1}(t)) - (\dot{h}_{i_k}(t) - \dot{h}_{k+1}(t)) = 0 \quad (5)$$

holds $\forall k \in \mathcal{I}_{N-1}$ for any DST $\bar{\mathcal{G}}$. If such K_0 exists, continue; else, the algorithm terminates without solutions;

2: Choose K_1 s.t. $(A + BK_0 + BK_1, B)$ is stabilizable. For some $\eta, \theta \in \mathbb{R}^+$, solve the following LMI:

$$(A + BK_0 + BK_1)P + P(A + BK_0 + BK_1)^T - \eta BB^T + \theta P \leq 0 \quad (6)$$

to get a $P > 0$;

3: Set $K_2 = -B^T P^{-1}$, $\Gamma = P^{-1} B B^T P^{-1}$ and choose $\rho_{k+1,i_k} \in \mathbb{R}^+$.

DST-DAC for TVF



Theorem 1 (Main result for TVF)

Under Assumption 1, and feasibility condition (5), the TVF problem in Definition 1 is solved by DST-DAC (4), along with the parameters designed in Algorithm 1.

DST-DAC for TVF



Theorem 1 (Main result for TVF)

Under Assumption 1, and feasibility condition (5), the TVF problem in Definition 1 is solved by DST-DAC (4), along with the parameters designed in Algorithm 1.

Proof of Theorem 1.

(main idea) Define $\bar{d} = (\Xi \otimes \mathbf{I}_n)d$. The feasibility condition (5) guarantees that

$$\dot{\bar{d}} = (\mathbf{I}_{N-1} \otimes (A + BK_0 + BK_1) + Q(t) \otimes BK_2)\bar{d}$$

where $Q(t) = \tilde{Q} + \bar{Q}(t)$ with fixed \tilde{Q} defined as in (2), and

$$\bar{Q}_{kj}(t) = \begin{cases} \bar{a}_{j+1,i_j}(t), & \text{if } j = k, \\ -\bar{a}_{j+1,i_j}(t), & \text{if } j = i_k - 1, \\ 0, & \text{otherwise.} \end{cases}$$

DST-DAC for TVF



Proof of Theorem 1 Cont.

Consider the Lyapunov candidate

$$V(t) = \frac{1}{2} \bar{d}^T (\mathbf{I}_{N-1} \otimes P^{-1}) \bar{d} + \sum_{k=1}^{N-1} \frac{1}{2\rho_{k+1,i_k}} (\bar{a}_{k+1,i_k}(t) - \phi_{k+1,i_k})^2$$

where P is a solution to (6) and $\phi_{k+1,i_k} \in \mathbb{R}^+, k \in \mathcal{I}_{N-1}$.

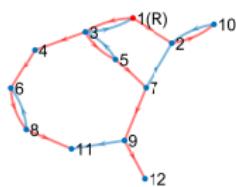
Note the adaptive gains cancel out the time-varying part in \dot{d} :

$$\sum_{k=1}^{N-1} \bar{a}_{k+1,i_k} (\bar{d}_k - \sum_{j+1 \in \bar{\mathcal{N}}_{\text{out}}(k+1)} \bar{d}_j)^T \Gamma \bar{d}_k = \sum_{k=1}^{N-1} \sum_{j=1}^{N-1} \bar{Q}_{jk}(t) \bar{d}_j^T \Gamma \bar{d}_k$$

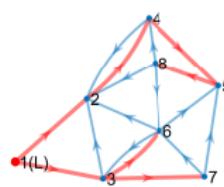
Then, with appropriate selection of ϕ_{k+1,i_k} , it can be guaranteed that $\dot{V} \leq 0$ and $\dot{V} = 0$ iff $\bar{d} = 0$. $\Rightarrow \bar{d} \rightarrow 0$. \Rightarrow TVF.



DST-DAC for TVF



(a) Leaderless



(b) Single leader

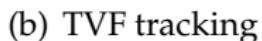


(a) e



(b) G

(c) Multiple leaders





DST-DAC for DO

$$\min_{z \in \mathbb{R}^n} F(z) \triangleq \sum_{i=1}^N f_i(z). \quad (7)$$

Assumption 1

The global cost function $F(\cdot)$ is differentiable and strictly convex over \mathbb{R}^n . Each local cost function $f_i(\cdot)$ is differentiable; and $\nabla f_i(x) = \Upsilon x + \psi_i(x)$, where $\Upsilon \in \mathbb{R}^{n \times n}$ with $\Upsilon \geq 0$, and $\|\psi_i(x)\| \leq K$ for some $K \in \mathbb{R}^+$ (could be unknown), for all $x \in \mathbb{R}^n$ and all $i \in \mathcal{V}$.

Assumption 2

The digraph $\mathcal{G}(\mathcal{V}, \mathcal{E}, \mathcal{W})$ is *strongly connected*.



DST-DAC for DO

weight-balanced case:

$$\dot{x}_i = -\gamma_1 \nabla f_i(x_i) - \sum_{j \in \mathcal{N}_{\text{in}}(i)} \alpha_{ij}(t)(x_i - x_j) - \sum_{j \in \mathcal{N}_{\text{in}}(i)} w_{ij}(y_i - y_j) \quad (8)$$

$$\dot{y}_i = \sum_{j \in \mathcal{N}_{\text{in}}(i)} \alpha_{ij}(t)(x_i - x_j)$$

$$\alpha_{ij}(t) = \begin{cases} w_{ij}, & \text{if } e_{ji} \in \mathcal{E} \setminus \bar{\mathcal{E}}, \\ \bar{a}_{k+1,i_k}(t), & \text{if } e_{ji} \in \bar{\mathcal{E}} \end{cases}$$

$$\dot{\bar{a}}_{k+1,i_k} = \gamma_2 \left((x_{i_k} - x_{k+1}) - \sum_{j \in \bar{\mathcal{N}}_{\text{out}}(k+1)} (x_{k+1} - x_j) \right)^T (x_{i_k} - x_{k+1})$$

In a compact form:

$$\begin{aligned} \dot{x} &= -\gamma_1 \nabla f(x) - (\mathcal{L}^{\mathcal{A}}(t) \otimes \mathbf{I}_n)x - (\mathcal{L} \otimes \mathbf{I}_n)y \\ \dot{y} &= (\mathcal{L}^{\mathcal{A}}(t) \otimes \mathbf{I}_n)x \end{aligned} \quad (9)$$

DST-DAC for DO



Let us transfer any equilibrium (\tilde{x}, \tilde{y}) of (9) to the origin and apply a change of coordinates:

$$\mu = x - \tilde{x}, \quad \nu = y - \tilde{y} \quad (10)$$

$$\bar{\mu} = (\Xi \otimes \mathbf{I}_n) \mu, \quad \bar{\nu} = (\Xi \otimes \mathbf{I}_n) \nu$$

where Ξ is defined as in (1). In these new coordinates, the algorithm (8) read

$$\dot{\bar{\mu}} = -\gamma_1(\Xi \otimes \mathbf{I}_n)h - (Q^{\mathcal{A}}(t) \otimes \mathbf{I}_n)\bar{\mu} - (Q \otimes \mathbf{I}_n)\bar{\nu} \quad (11)$$

$$\dot{\bar{\nu}} = (Q^{\mathcal{A}}(t) \otimes \mathbf{I}_n) \bar{\mu}$$

$$\dot{\bar{a}}_{k+1,i_k} = \gamma_2 \left(\bar{\mu}_k - \sum_{j \in \bar{\mathcal{N}}_{\text{out}}(k+1)} \bar{\mu}_{j-1} \right)^T \bar{\mu}_k$$

where $h = \nabla f(\mu + \tilde{x}) - \nabla f(\tilde{x})$, and Q as well as $Q^A(t)$, $\forall t$, are defined as in (2) based on the DST $\bar{\mathcal{G}}$.



DST-DAC for DO

Lemma 1

Suppose that \mathcal{G} is weight-balanced and Assumptions 1-2 hold. If (\tilde{x}, \tilde{y}) is an equilibrium point of (9), then $\tilde{x} = \mathbf{1}_N \otimes z^*$, i.e., the global minimizer of (7).

Lemma 2

For system (11) with arbitrary initial conditions, $(\bar{\mu}, \bar{\nu})$ asymptotically converges to the origin, and the weights \bar{a}_{k+1,i_k} , $k \in \mathcal{I}_{N-1}$, converge to some finite constant values.

Theorem 1

Suppose that \mathcal{G} is weight-balanced and Assumptions 1-2 hold. Then, algorithm (8) drives x_i to z^* asymptotically, for all $i \in \mathcal{V}$, and for any $x_i(0), y_i(0) \in \mathbb{R}^n$. Moreover, the weights \bar{a}_{k+1,i_k} , $k \in \mathcal{I}_{N-1}$, in $\bar{\mathcal{G}}^A$ converge to some finite constant values.



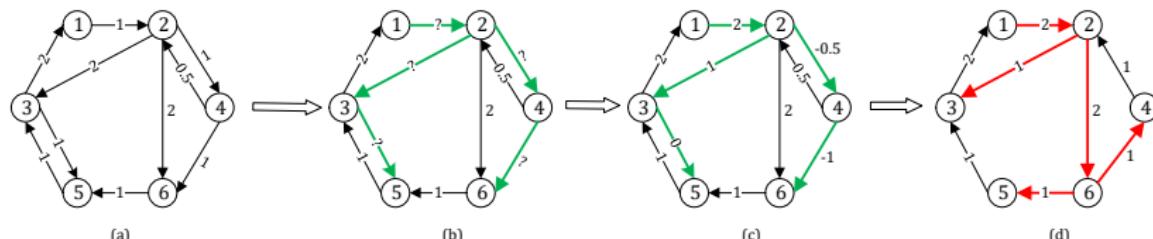
DST-DAC for DO

weight-unbalanced case: weight-balancing based on a DST $\bar{\mathcal{G}}$!

$$\beta_{ij}(t) = \begin{cases} w_{ij}, & \text{if } e_{ji} \in \mathcal{E} \setminus \bar{\mathcal{E}}, \\ \bar{b}_{k+1,i_k}(t), & \text{if } e_{ji} \in \bar{\mathcal{E}} \end{cases} \quad (12)$$

$$\dot{\bar{b}}_{k+1,i_k} = -\gamma_3 \text{sig}^q \left(\sum_{p \in \mathcal{N}_{\text{in}}(k+1)} \beta_{k+1,p} - \sum_{c \in \mathcal{N}_{\text{out}}(k+1)} \beta_{c,k+1} \right)$$

for $k \in \mathcal{I}_{N-1}$, where $q \in (0, 1)$, $\gamma_3 \in \mathbb{R}^+$; $\beta_{ij}(0) = w_{ij}$, $\forall i, j \in \mathcal{V}$.





DST-DAC for DO

Lemma 3

The digraph \mathcal{G} is weight-balanced iff $\mathbf{1}_N^T \mathcal{L}_{2:N} = 0$, where $\mathcal{L}_{2:N}$ is the block submatrix of \mathcal{L} containing the second to the last columns.

Lemma 4

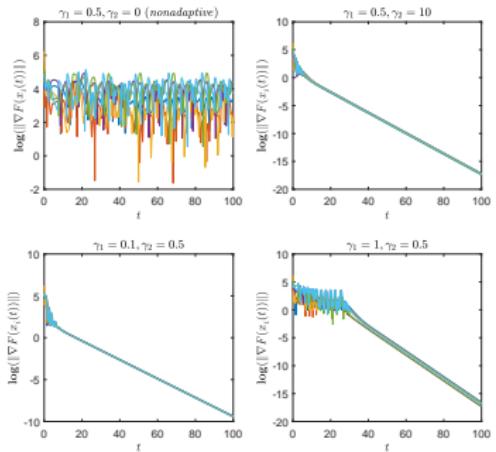
Suppose $\bar{\mathcal{G}}$ is a DST of \mathcal{G} . Let us take the weights in $\bar{\mathcal{G}}$ as independent variables \bar{b}_{k+1,i_k} , $k \in \mathcal{I}_{N-1}$, and denote the according Laplacian matrix with independent variables as $\mathcal{L}^{\mathcal{B}}$. Then, there exists a unique solution $\bar{b}^* := (\bar{b}_{2,i_1}^*, \bar{b}_{3,i_2}^*, \dots, \bar{b}_{N,i_{N-1}}^*)^T \in \mathbb{R}^{N-1}$ to the system of implicit linear equations $\mathbf{1}_N^T \mathcal{L}_{2:N}^{\mathcal{B}} = 0$.

Theorem 2

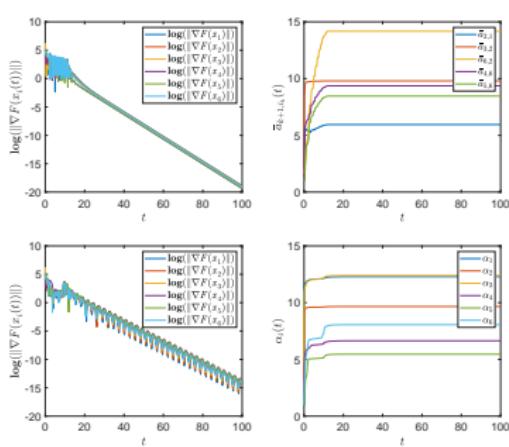
Let Assumption 2 hold. Then, the balancing law (12) drives \bar{b} to \bar{b}^* in finite time.



DST-DAC for DO



(a) Need for adaptation



(b) DST (top) vs Node (down)



DST-DAC for CDR

$$\dot{x}_i(t) = Ax_i(t) + Bu_i(t) + D\omega_i(t), \quad (13)$$

$$\dot{\omega}_i(t) = E\omega_i(t),$$

$$x_i \in \mathbb{R}^n, \quad u_i \in \mathbb{R}^m, \quad \omega_i \in \mathbb{R}^s, \quad i \in \mathcal{I}_N.$$

Assumption 1

There exist a matrix F such that D = BF.

Assumption 2

The eigenvalues of the matrix E are simple with zero real parts.

Assumption 3

The pair (A, B) is stabilizable; the pair (E, D) is observable.

Assumption 4

The communication digraph G contains a directed spanning tree \bar{G} .



DST-DAC for CDR

Lemma 1

Under the observability of (E, D) of Assumption 3, the pair (A_H, H) is also observable, where $A_H = \begin{pmatrix} A & D \\ 0_{s \times n} & E \end{pmatrix}$ and $H = (I_n, 0_{n \times s})$.



DST-DAC for CDR

Lemma 1

Under the observability of (E, D) of Assumption 3, the pair (A_H, H) is also observable, where $A_H = \begin{pmatrix} A & D \\ 0_{s \times n} & E \end{pmatrix}$ and $H = (I_n, 0_{n \times s})$.

Since (A, B) is stabilizable, there exists a $P > 0$ such that

$$AP + PA^T - 2BB^T < 0. \quad (14)$$

Moreover, since (A_H, H) is observable by Lemma 1, there exists a $Q > 0$ such that

$$QA_H + A_H^T Q - 2H^T H < 0. \quad (15)$$



DST-DAC for CDR

Let $\rho_i = x_i - \chi_i$. Consider the control law for agent $i \in \mathcal{V}$ as:

$$u_i = -K\chi_i - Fz_i \quad (16)$$

$$\dot{\chi}_i = (A - BK)\chi_i + \textcolor{blue}{c}\Gamma_x \sum_{j=1}^N a_{ij}(\rho_i - \rho_j)$$

$$\dot{z}_i = Ez_i + \textcolor{blue}{c}\Gamma_\omega \sum_{j=1}^N a_{ij}(\rho_i - \rho_j)$$

Lemma 2 ([1])

Under Assumptions 1-4, the consensus disturbance rejection problem of the multiagent system (13) can be solved by (16) with parameters $K = B^T P^{-1}$, $\Gamma := (\Gamma_x^T, \Gamma_\omega^T)^T = Q^{-1} H^T$, and $\textcolor{blue}{c} \geq \frac{1}{\Re(\lambda_2(\mathcal{L}))}$.



- [1]. Ding, Zhengtao. "Consensus disturbance rejection with disturbance observers." IEEE TIE, 2015.



DST-DAC for CDR

The control law is proposed for agent $i \in \mathcal{V}$ as:

$$u_i = -K\chi_i - Fz_i \quad (17)$$

$$\dot{\chi}_i = (A - BK)\chi_i + \Gamma_x \sum_{j=1}^N \textcolor{red}{c}_{ij} a_{ij} (\rho_i - \rho_j)$$

$$\dot{z}_i = Ez_i + \Gamma_\omega \sum_{j=1}^N \textcolor{red}{c}_{ij} a_{ij} (\rho_i - \rho_j)$$

$$\dot{c}_{ij} = \begin{cases} \gamma \left((\rho_{i_k} - \rho_{k+1}) - \sum_{j \in \bar{\mathcal{N}}_{\text{out}}(k+1)} (\rho_{k+1} - \rho_j) \right)^T (\rho_{i_k} - \rho_{k+1}) \triangleq \dot{c}_{k+1, i_k}, & \text{if } e_{ji} \in \bar{\mathcal{E}} \\ 0, & \text{if } e_{ji} \in \mathcal{E} \setminus \bar{\mathcal{E}} \end{cases}$$



DST-DAC for CDR

Theorem 1

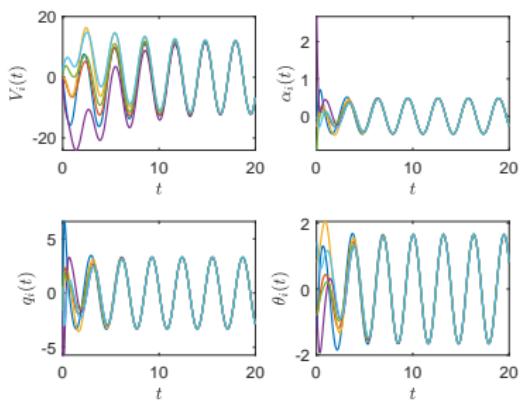
Under Assumptions 1-4, the consensus disturbance rejection problem of the multiagent system (13) can be solved by the adaptive scheme (17) with parameters $K = B^T P^{-1}$, $\Gamma = Q^{-1} H^T$, and $\gamma \in \mathbb{R}^+$. Moreover, the gains \bar{c}_{k+1,i_k} , $k \in \mathcal{I}_{N-1}$, in $\bar{\mathcal{G}}$ converge to some finite values.



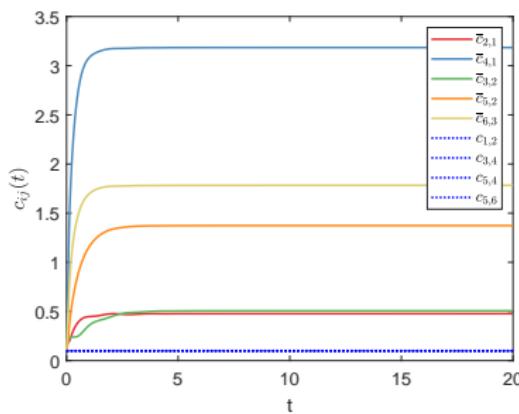
DST-DAC for CDR

Theorem 1

Under Assumptions 1-4, the consensus disturbance rejection problem of the multiagent system (13) can be solved by the adaptive scheme (17) with parameters $K = B^T P^{-1}$, $\Gamma = Q^{-1} H^T$, and $\gamma \in \mathbb{R}^+$. Moreover, the gains \bar{c}_{k+1,i_k} , $k \in \mathcal{I}_{N-1}$, in $\bar{\mathcal{G}}$ converge to some finite values.



(e) longitudinal control of UAVs



(f) The adaptive coupling gains c_{ij}

Conclusions



- We have presented a framework of distributed adaptive control based on a directed spanning tree (DST-DAC);
 - We have explained how DST-DAC can accommodate to solve TVF, DO, and CDR problems;
 - We have discussed the superiority (**eliminates $\lambda_2(\mathcal{L})$, faster convergence**) and also the deficiency (**not fully distributed**) of DST-DAC;
 - Future works?

Acknowledgements



(a) Simone Baldi, SEU & TUD



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(c) Qi Li, SEU

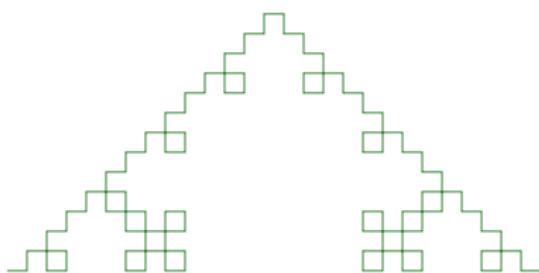


(d) Jinde Cao, IEEE Fellow, SEU



Thank you for listening!

Question?



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