



Analyzing the Impact of Occlusion on the Quality of Semantic Segmentation Methods for Point Cloud Data

Bachelor Thesis Final Presentation

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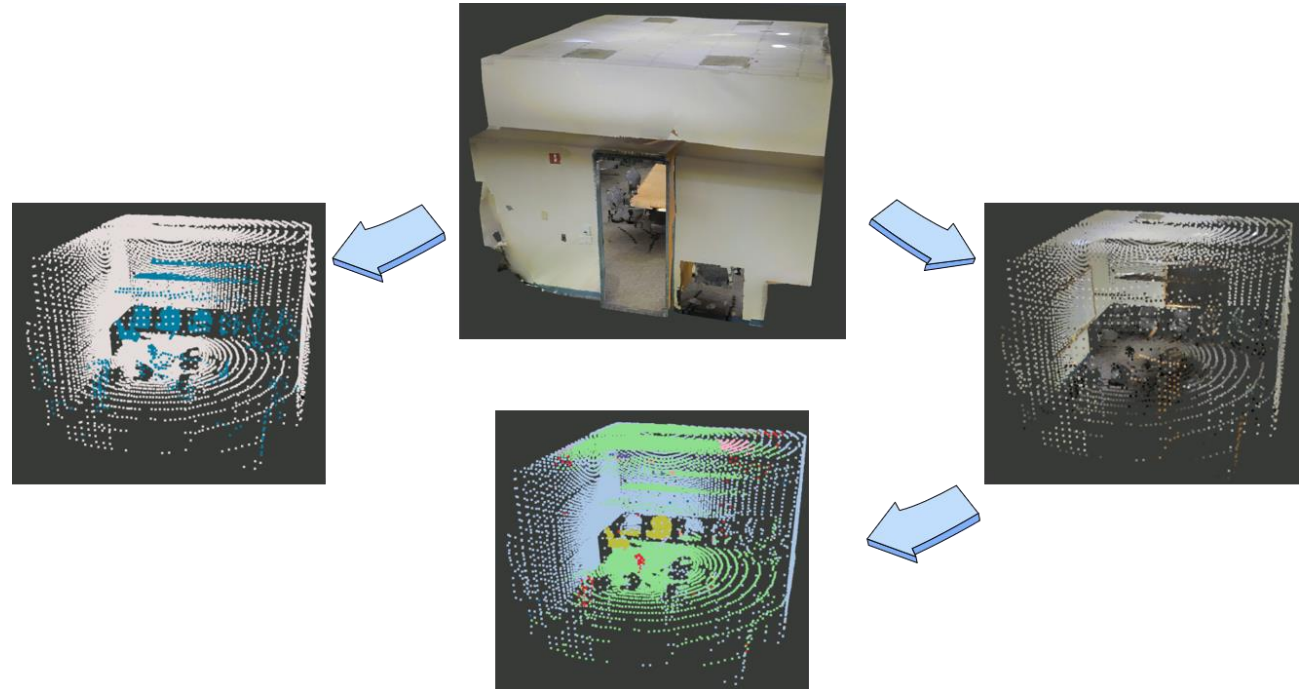


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Project Description - Background

- ***Occlusion*** in point cloud of indoor scene.
 - Missing points in objects or structures.
 - Generated in the process of scanning of the real scene.
 - Cannot be seen by the scanner (viewpoint) because they are obstructed by other objects or structures.



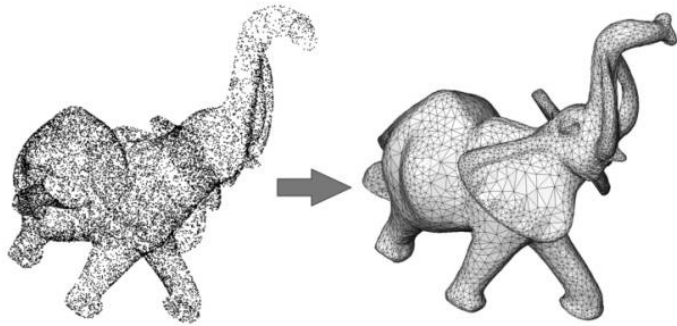
Project Description - Background

- Minkowski Engine
 - Deep Learning.
 - An auto-differentiation library for *sparse tensors*.
 - ***Semantic segmentation.***

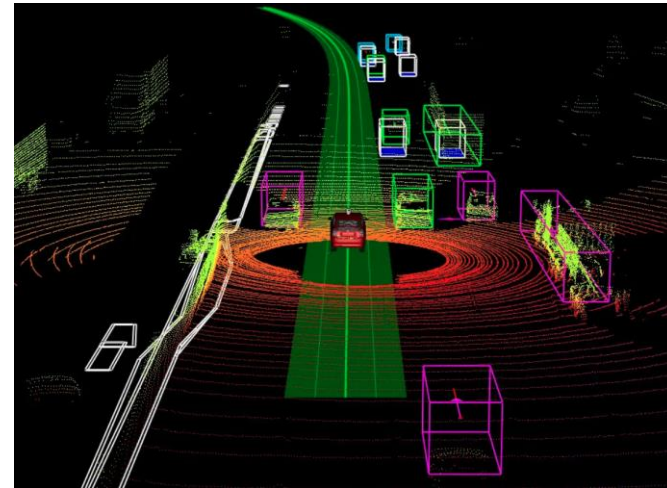


Project Description - Motivation

- Occlusion in point cloud affect different kinds of tasks.
 - Surface reconstruction
 - Object detection
 - 3D model tracking
- **Semantic segmentation** affected by occlusion.
 - It might cause worse **classification**.



Surface reconstruction



Object detection & 3D model tracking

Project Description – Related work

- There is no explicit work on evaluating the ***impact of occlusion*** on performance of semantic segmentation.
- **Occlusion Guided Scene Flow Estimation on 3D Point Clouds**
 - Presented *OGSF-Net*, a novel architecture designed to address the challenges of occlusions in 3D scene flow estimation.
 - Applied ***F1 score*** to evaluate result.
- **OcCo: Unsupervised Point Cloud Pre-training via Occlusion Completion**
 - Proposed *Occlusion Completion*, an unsupervised pre-training method.
 - Use different viewpoints to ***generate occlusion*** in point cloud.

Problem Statement

- In this work we want to find correlation between **occlusion level** of **indoor point cloud (room)** and **performance of semantic segmentation**.
 - The core part is to propose a metric to evaluate **occlusion level** of the indoor scene and validate its reliability.
- **Occlusion level** of **mesh**.
 - Mesh is the best format to represent a **real** scene.
 - **Occluded area** represents its occlusion. Propose the metric **occluded area ratio**.
 - With **more** viewpoints there should be **less** occluded area.



Mesh with 1 viewpoint

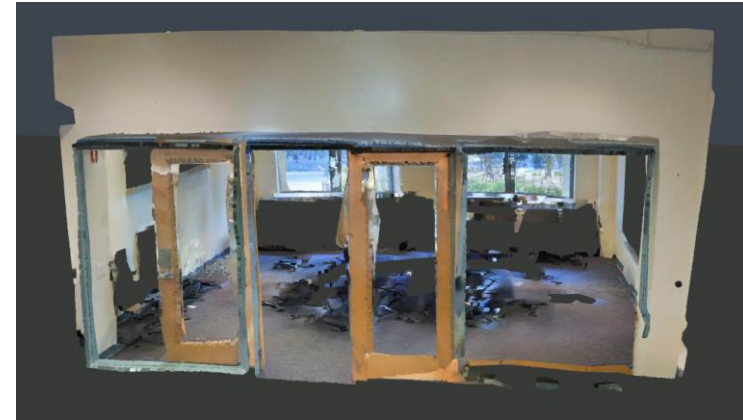


Mesh with 2 viewpoints

Problem Statement

- **Occlusion level** of point cloud.
 - There is **no area** in a point cloud, we can't compute occluded area.
 - Our point cloud data describe **closed indoor scene**. Occlusion happens in **interior items** and also the **exterior structures**, but it is hard to quantify occlusion in interior items because of variations of structures.
 - Thus we consider **exterior/outermost structure** where most occlusion is shown there. We also call it **boundary**.
 - Boundary are usually points which represent wall, ceiling and floor etc.

Interior

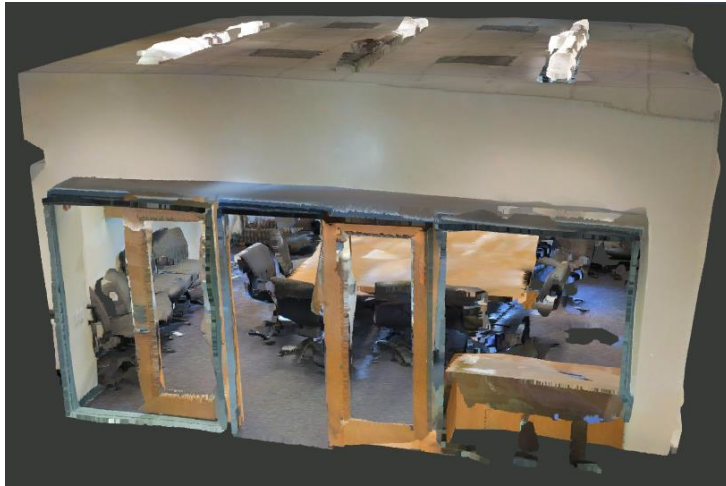


Exterior

- Compute **ray-boundary** intersection to classify rays, intersect with boundary or not.
- Propose the metric **boundary ray ratio** to estimate occlusion level of point cloud.

Problem Statement

- Compare **occluded area ratio** and **boundary ray ratio** to prove that the boundary based ratio is **reliable** enough to directly estimate occlusion level of point cloud.
- Since we don't have **ground truth mesh** of the indoor scene, we have to estimate mesh from point cloud so that we can compute a comparable **occluded area ratio**.
- It is difficult to validate the reliability by comparing these metrics between different scenes since they have **different structures**.
- We would do the comparison within the same scene, therefore, it is necessary to generate a set of **sub-sampled** point clouds with different level of occlusion.



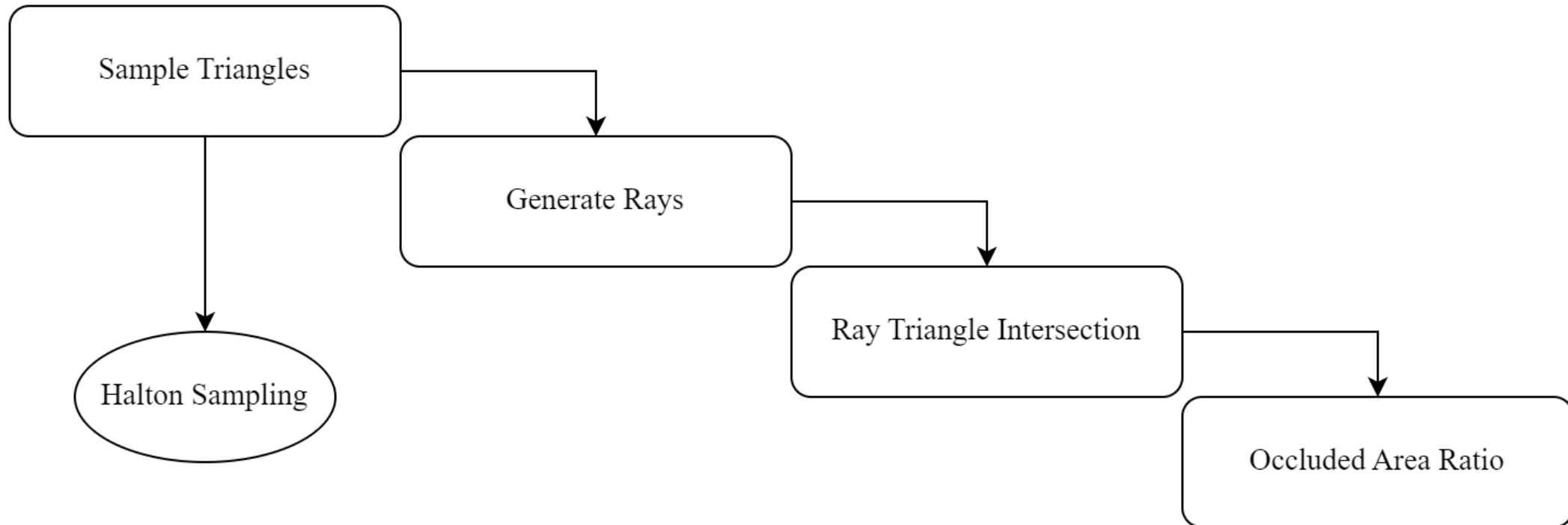
Original



Sub-sampled

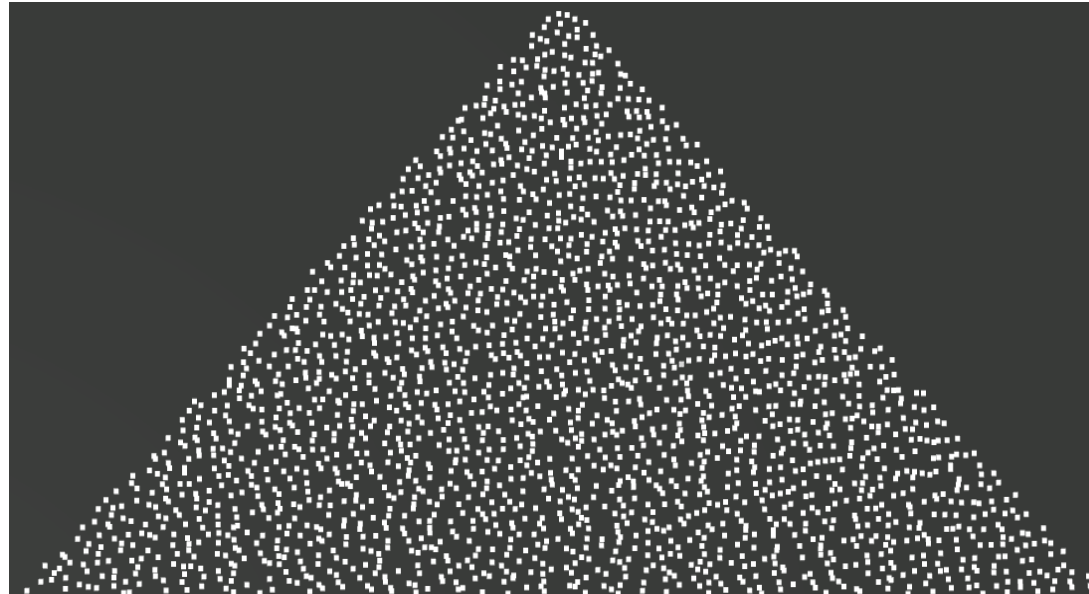
Technical Solution – Occluded Area Ratio

- For each **triangle** of the mesh, we compute a weight as occluded ratio.
 - We determine the ratio by calculating how many samplings on the triangle are occluded in terms of certain viewpoint.
 - With the per triangle occluded ratio, we can calculate occluded area of each triangle. Then sum up them to get total occluded area.



Occluded Area Ratio - Sample Triangles

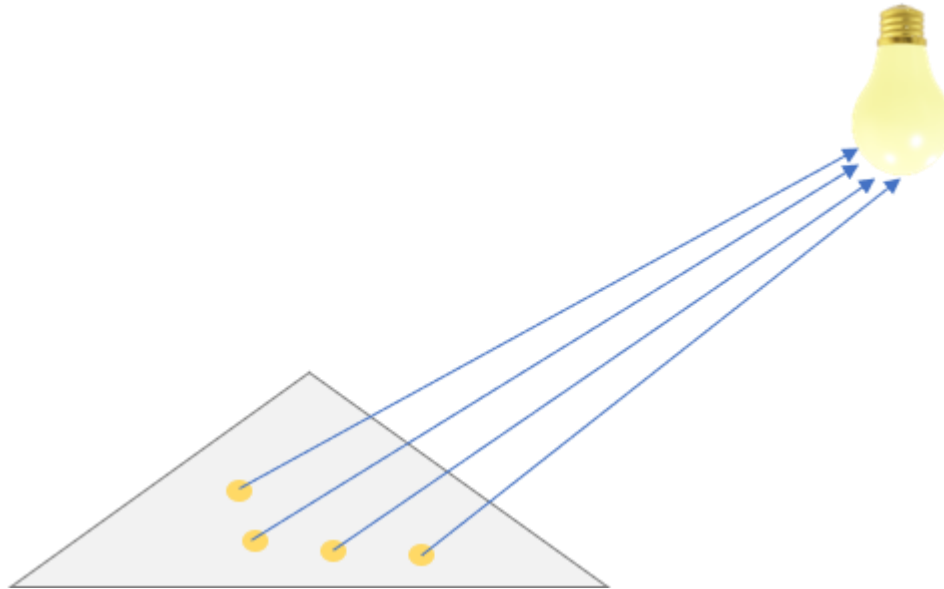
- Halton sampling applied to get a **uniform distribution** on the triangle.
- Compute **random** numbers r_1 and r_2 in the range $[0, 1)$.
- Compute **barycentric** coordinates using r_1 and r_2 .
 - $\alpha = 1 - \sqrt{r_1}$, $\beta = \sqrt{r_1} * r_2$, $\gamma = 1 - \alpha - \beta$.
 - Given vertices of triangle V_1 , V_2 and V_3 , Sampling $P = \alpha * V_1 + \beta * V_2 + \gamma * V_3$.



Sampled triangle

Occluded Area Ratio - Generate Rays

- Generate rays from samplings to viewpoint.
- When there are multiple viewpoints, we generate the **same** amount of rays from each sampling as the number of viewpoints.



Occluded Area Ratio - Ray Triangle Intersection

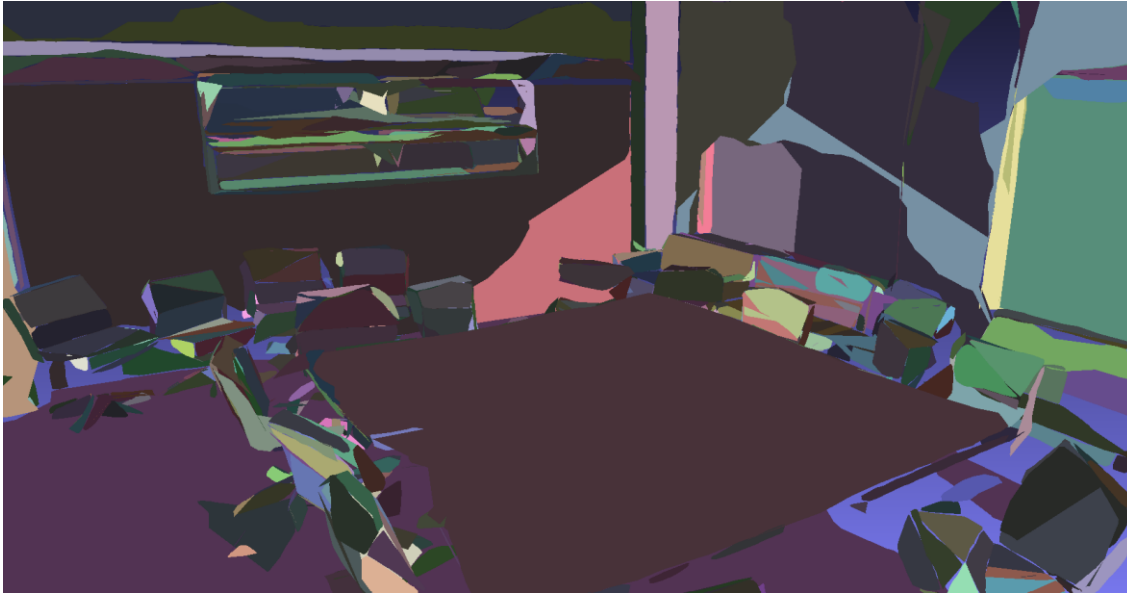
- **Moeller-Trumbore** ray-triangle intersection algorithm applied here.
 - Check if the ray is **parallel** to the triangle.
 - Compute **normal** of triangle, check by using dot product with **direction** vector.
 - Check if the **ray-plane intersection** lies outside of the triangle.
 - Each point on the plane can be represented as:
 - $P = (1 - u - v) * V1 + u * V2 + v * V3 = V1 + u * (V2 - V1) + v * (V3 - V1)$, where $V2 - V1$ and $V3 - V1$ are edge vectors $E1$ and $E2$ respectively.
 - u and v should within the range $[0, 1]$ and $(u + v) \leq 1$.
 - $O - V1 = -t * D + u * E1 + v * E2$, apply **Cramer's rule** to solve this equation to get value of t , u and v .

Occluded Area Ratio – Ratio Computation

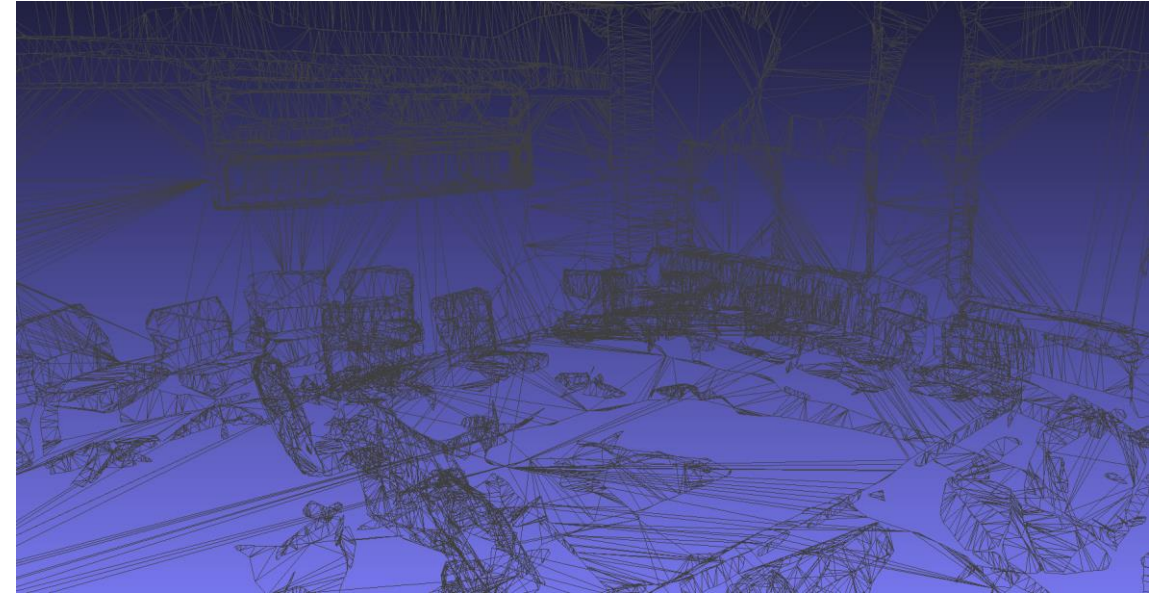
- A sampling is visible if it can be seen by **at least** one viewpoint, otherwise, it's occluded.
 - Check the **visibility** of each sampling by comparing distance d_1 from **sampling to viewpoint** and distance d_2 from **sampling to first hit intersection**.
 - If $d_1 < d_2$, sampling is visible to one of the viewpoints.
- For each triangle we compute occluded ratio based on the amount of occluded samplings and the total number of samplings.
- Compute occluded area for each triangle, then sum up to get total occluded area.
 - **Occluded area ratio = total occluded area / total area.**
- We use this ratio to represent the occlusion level of a mesh.

Technical Solution – Estimate Mesh from Point Cloud

- Based on the paper “ *Finding good configurations of planar primitives in unorganized point cloud* ”.
- We can directly acquire a mesh from point cloud where the mesh has already been triangulated.
- Compute occluded area ratio for this mesh.



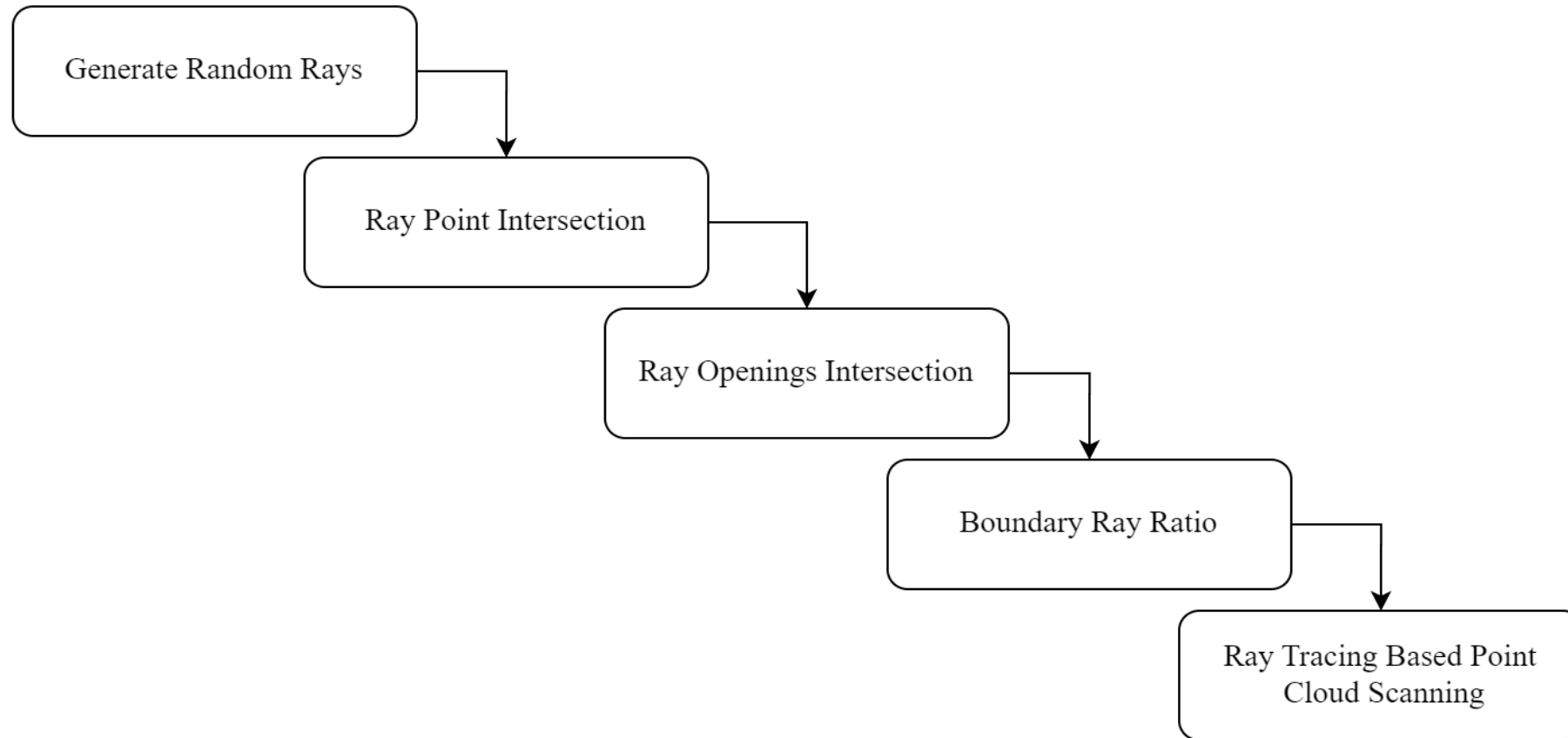
Estimated mesh



Wireframe

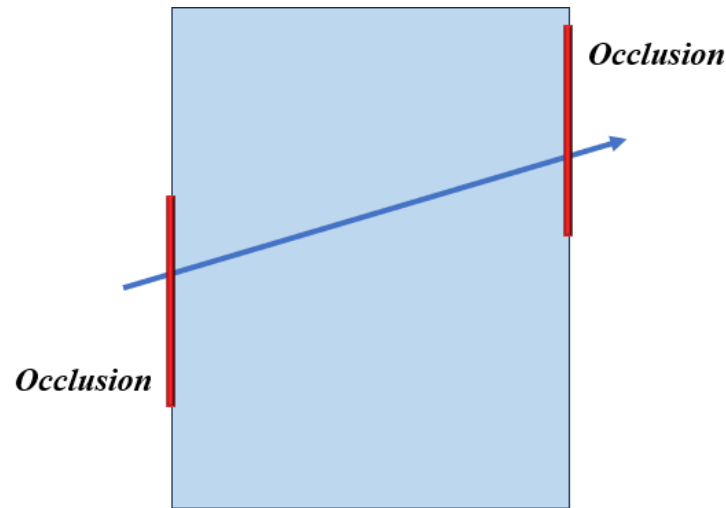
Technical Solution – Boundary Ray Ratio

- We focus on rays intersect with boundary point.

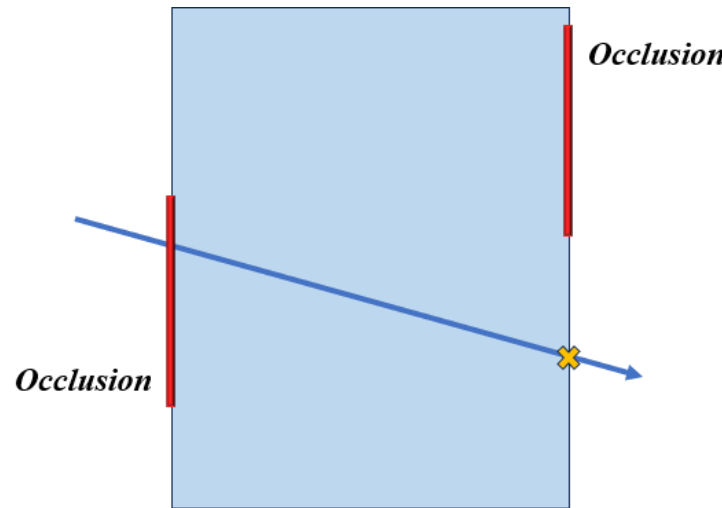


Boundary Ray Ratio – Generate Random Rays

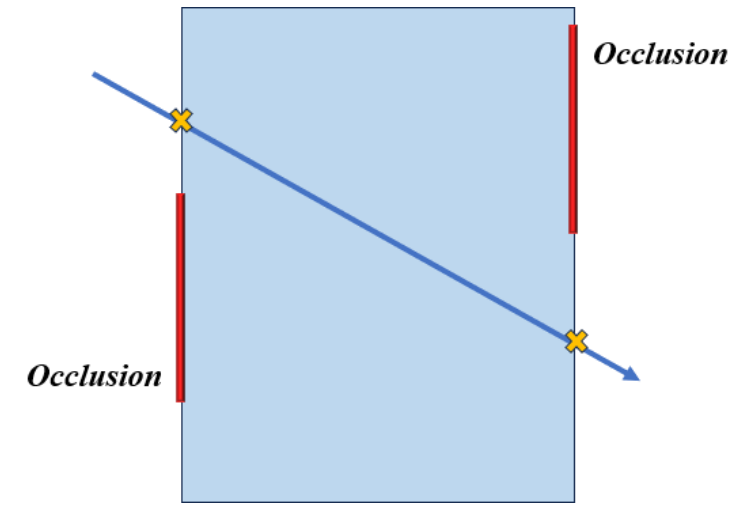
- Cast a ray from outside of the scene(bounding box), in most cases the ray intersects **2 faces**(except intersect with vertex) of the scene.
- Ray Classification: Non-boundary ray, 1-boundary ray and 2-boundary ray.
 - If a ray intersects occlusion on 2 faces, it's a non-boundary ray.
 - We are actually intersecting with points, when at least 1 boundary point is hit by ray, it's a boundary ray.



Non-boundary ray



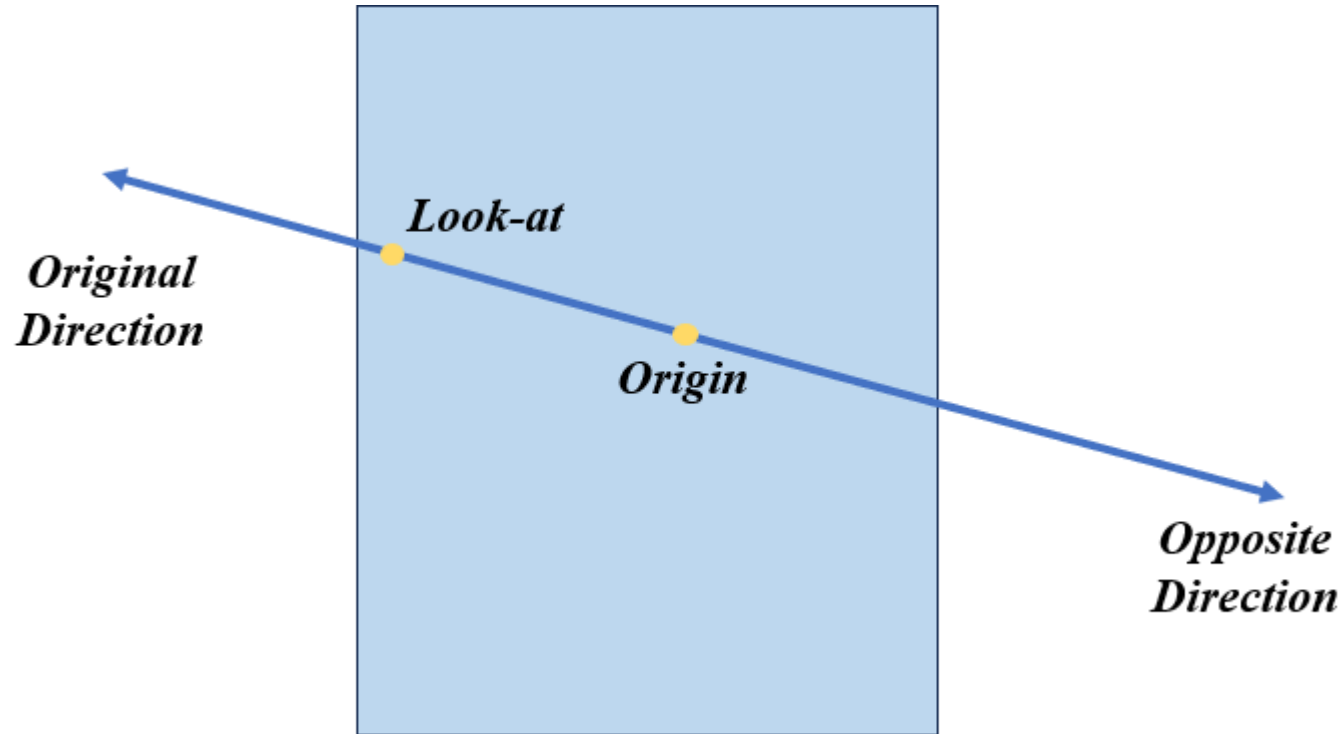
1-boundary ray



2-boundary ray

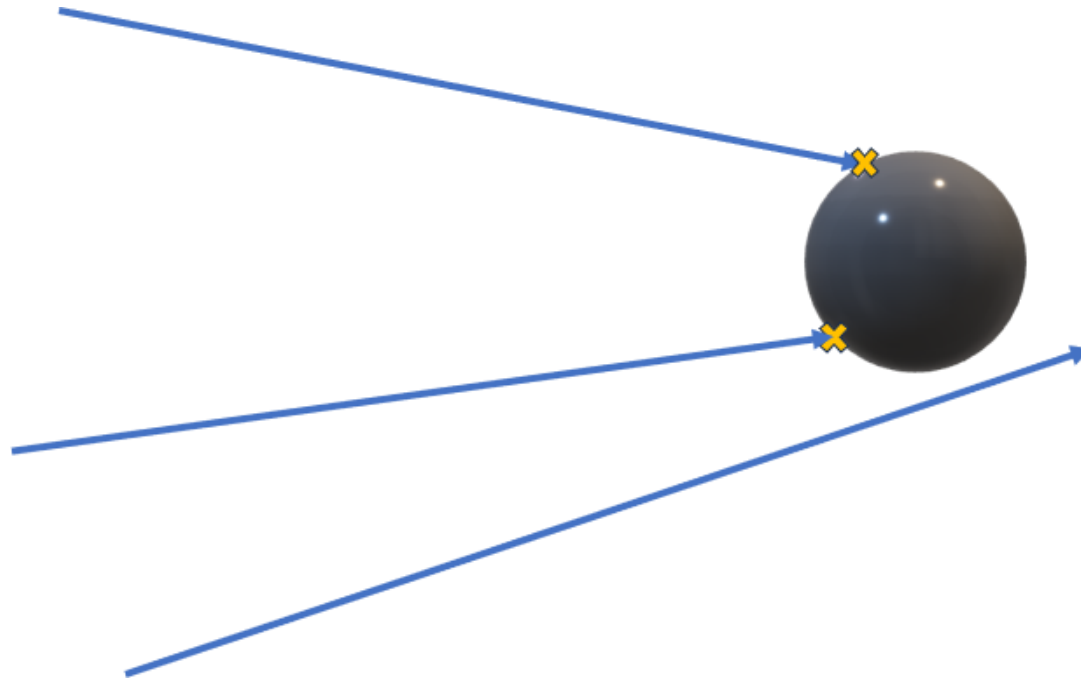
Boundary Ray Ratio – Generate Random Rays

- It is more convenient for us to generate rays from inside of the bounding box.
- We check boundary in 2 directions, the original and opposite.



Boundary Ray Ratio – Ray Point Intersection

- Every point has a radius, thus we are using ray intersecting with sphere.
 - Check if origin if ray is inside the sphere.
 - Compute **closest distance** from ray to sphere.
 - Compare distance with radius.



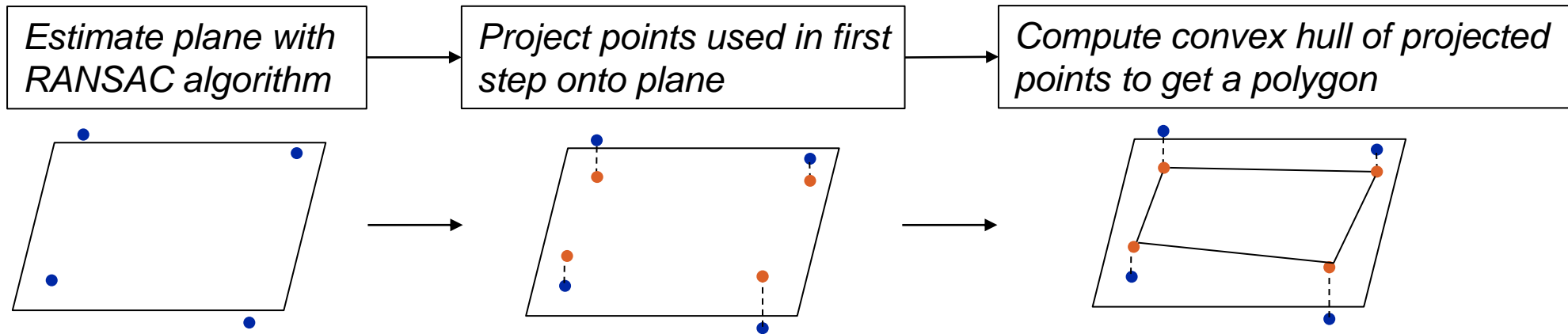
Boundary Ray Ratio – Ray Openings Intersection

- Detect openings by **picking** points in the interactive visualization tool.

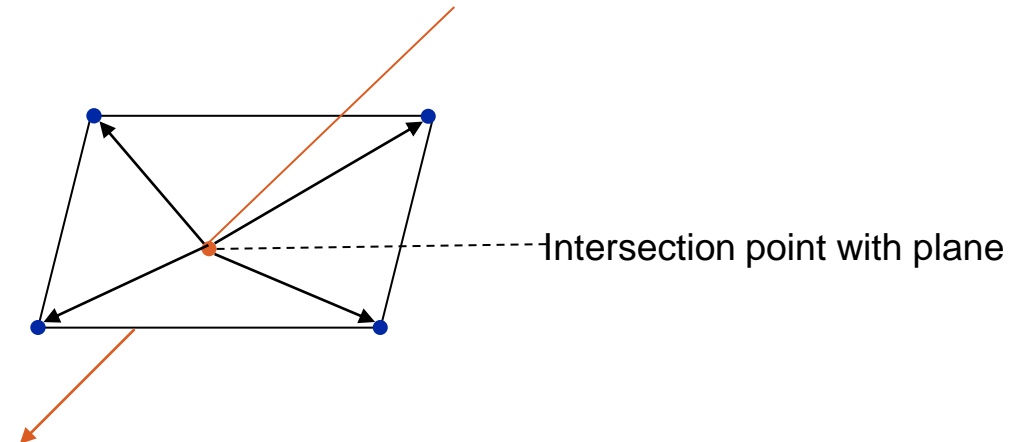


Boundary Ray Ratio – Ray Openings Intersection

- Selected points can be estimated as a **polygon**



- If ray **intersects** polygon, it's **not** an occlusion ray
 - Compute **intersection** of ray and plane
 - Compute **vectors** based on corners and intersection
 - Compute **cross product clockwise**, if its sign always the same, intersection is **inside** polygon



Boundary Ray Ratio – Ratio Computation

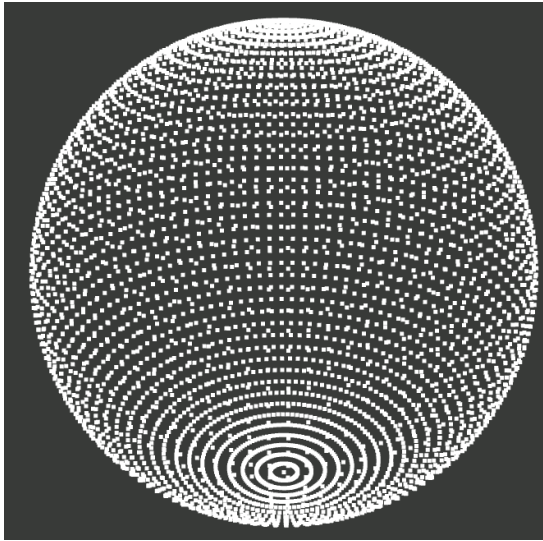
- **Non-boundary** ray and **1-boundary** ray have intersection with occlusion, where non-boundary ray has no boundary intersection on both directions.
- Boundary ray ratio(occlusion level) is computed as follows:

$$Occlusion\ Level = \sqrt{\frac{\left(\frac{2}{3}\right) \cdot non\text{-}boundary\ ray\ count + \left(\frac{1}{3}\right) \cdot 1\text{-}boundary\ ray\ count}{total\ rays}}$$

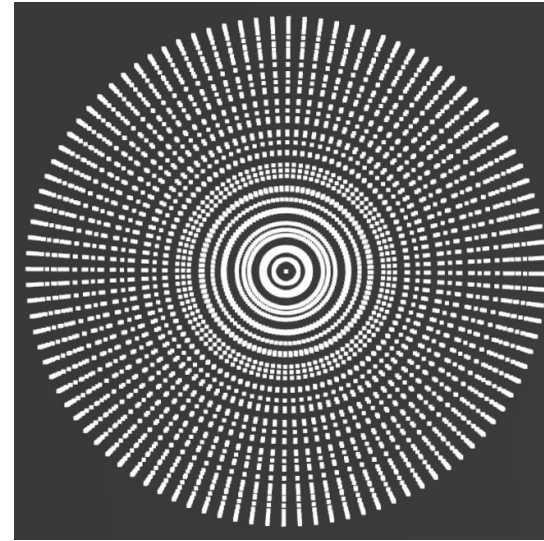
- **Higher** weight assigned for non-boundary rays since they contribute more to occlusion level.
- To make this ratio comparable with **occluded area ratio**, we apply square root here since the ray fills the **volume** of the space and the measurement grows at **cubic** rate while the area ratio grows at **quadratic** rate.

Boundary Ray Ratio – Ray Tracing Based Point Cloud Scanning

- To validate the **boundary ray ratio** is a reliable metric to represent occlusion level we would compare it with **occluded area ratio**.
- Sufficient data is needed from the same scene to ensure the robustness of comparison.
- Apply spherical light source to scan point cloud to get sub-sampled data.
 - Sample points on surface to specify ray direction.
 - The scanning pattern is inspired by **longitude** and **latitude** of the earth.



Frontal view



View from above

Technical Solution – Evaluate Performance of Segmentation

- Classify each point in terms of ground truth semantic and predicted semantic into 4 categories:
 - True Positives(TP), False Positives (FP), True Negatives (TN), and False Negatives (FN) .
 - This step will be conducted for all semantic classes.
- Compute precision and recall based on TP, FP, TN and FN.
- Compute ***F1 score*** from precision and recall.

Implementation - Software

- **PCL** and **Eigen** based C++ backend serves for **computation**.
- **Three.js** based frontend serves as user interface.
- Communication via **WebSocket**.



User interface

Implementation – Octree



















- Computation of ray-triangle, ray-point intersection is fast, but when there are 20k rays and 20k triangles/points in the scene, tedious **iterations** are time-consuming.
- **Octree** is applied to accelerate computation.
 - Ray-triangle intersection in computation of **occluded area ratio**.
 - Ray-point intersection in computation of **boundary ray ratio** and **point cloud scanning**.
 - Build based on PCL's octree class.
- Time complexity reduced from $O(m*k)$ to $O(m*\log N)$ where m is the number of **rays**, k is the amount of **triangles/points** and N represents total number of **nodes** in octree.
 - For a scene with 20k points there could be only 500 nodes in its corresponding octree.

Experimental Result

- Input data are mesh and point cloud with ground truth label.
- Validation
 - Validate that **occluded area ratio** of **mesh** will decrease if number of viewpoints increase.
 - Compare **boundary ray ratio** with **occluded area ratio** to validate that **boundary ray ratio** is a reliable metric to reflect the occlusion level of point cloud.
- Correlation
 - Compute **F1 score** for point cloud.
 - Compare scores of point clouds with different occlusion level to find correlation.

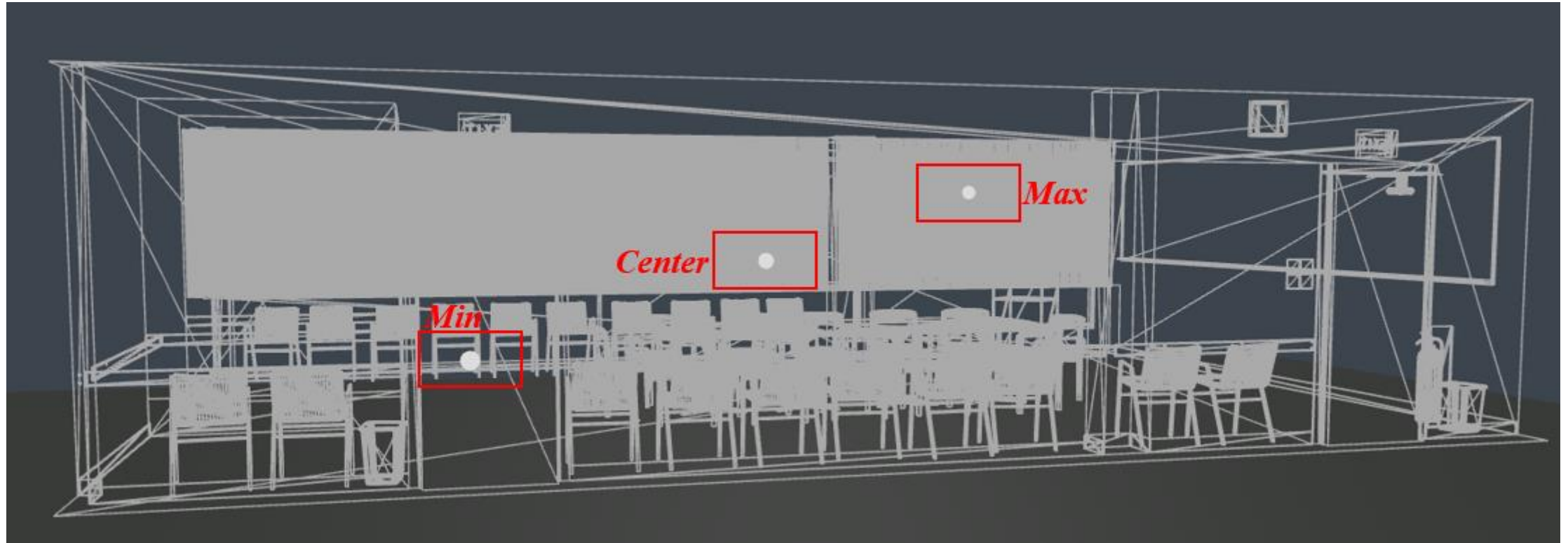
Validation – Viewpoint Pattern

- Three viewpoints used here:
 - Center of the scene’s bounding box.
 - Midpoint of center and minimal point.
 - Midpoint of center and maximal point.
- **6 patterns** applied to place viewpoints(light sources) in the scene for all experiments.
- In 1st pattern there is only one viewpoint in the center.
- In 6th pattern all viewpoints are considered.

	<i>Center</i>	<i>Min-Mid</i>	<i>Max-Mid</i>
<i>1st</i>			
<i>2nd</i>			
<i>3rd</i>			
<i>4th</i>			
<i>5th</i>			
<i>6th</i>			

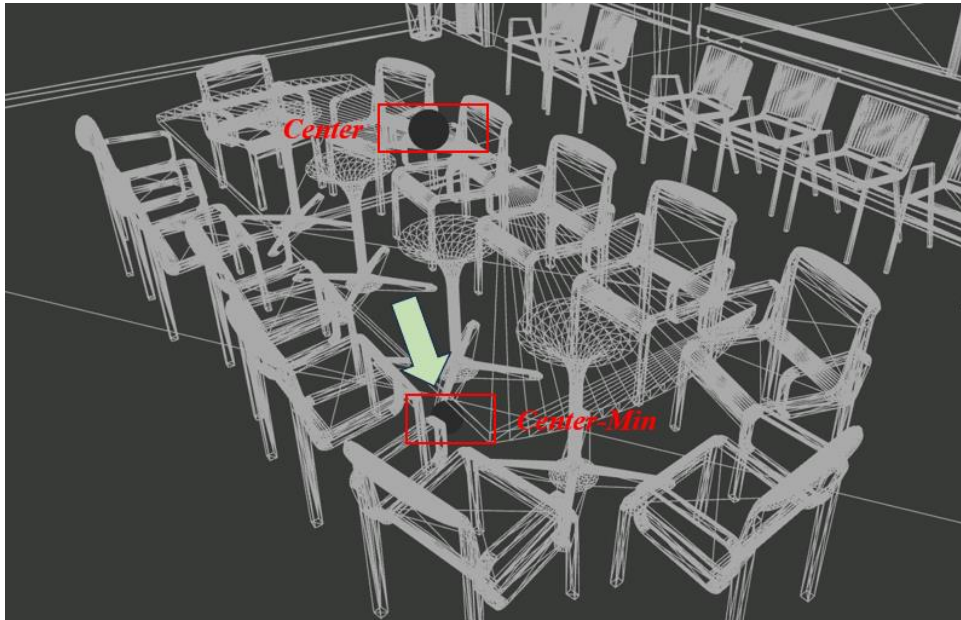
Validation – Viewpoint Pattern

- Input mesh is a conference room.

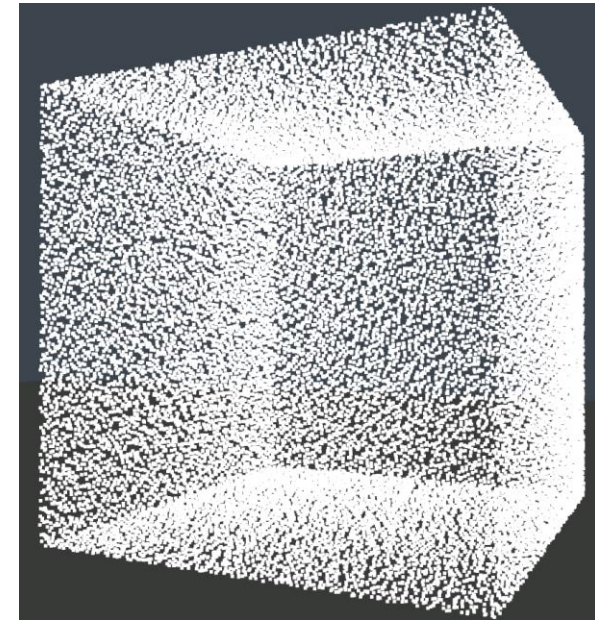


Validation – Occluded Area Ratio of Ground Truth Mesh

- Apply viewpoint patterns to compute ***occluded area ratio***.
- 2 corner cases are also considered:
 - Viewpoint ***under the table*** of the room where most samplings should ***not*** be visible.
 - Viewpoint in a mesh which represents a ***cube*** where all samplings should be visible.



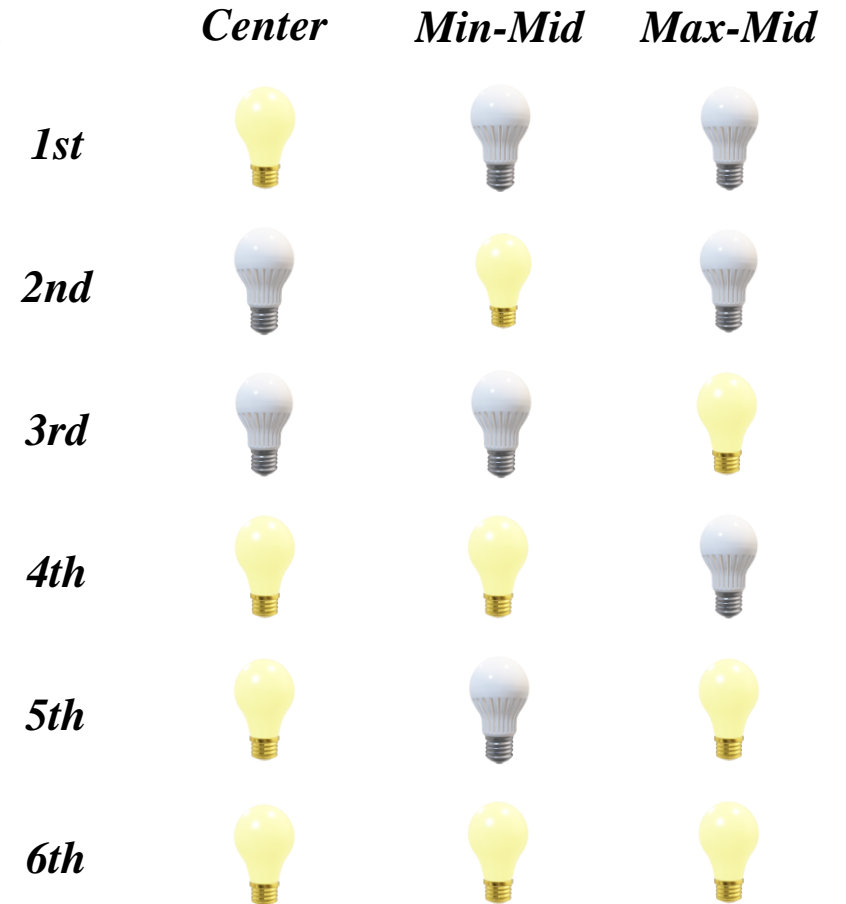
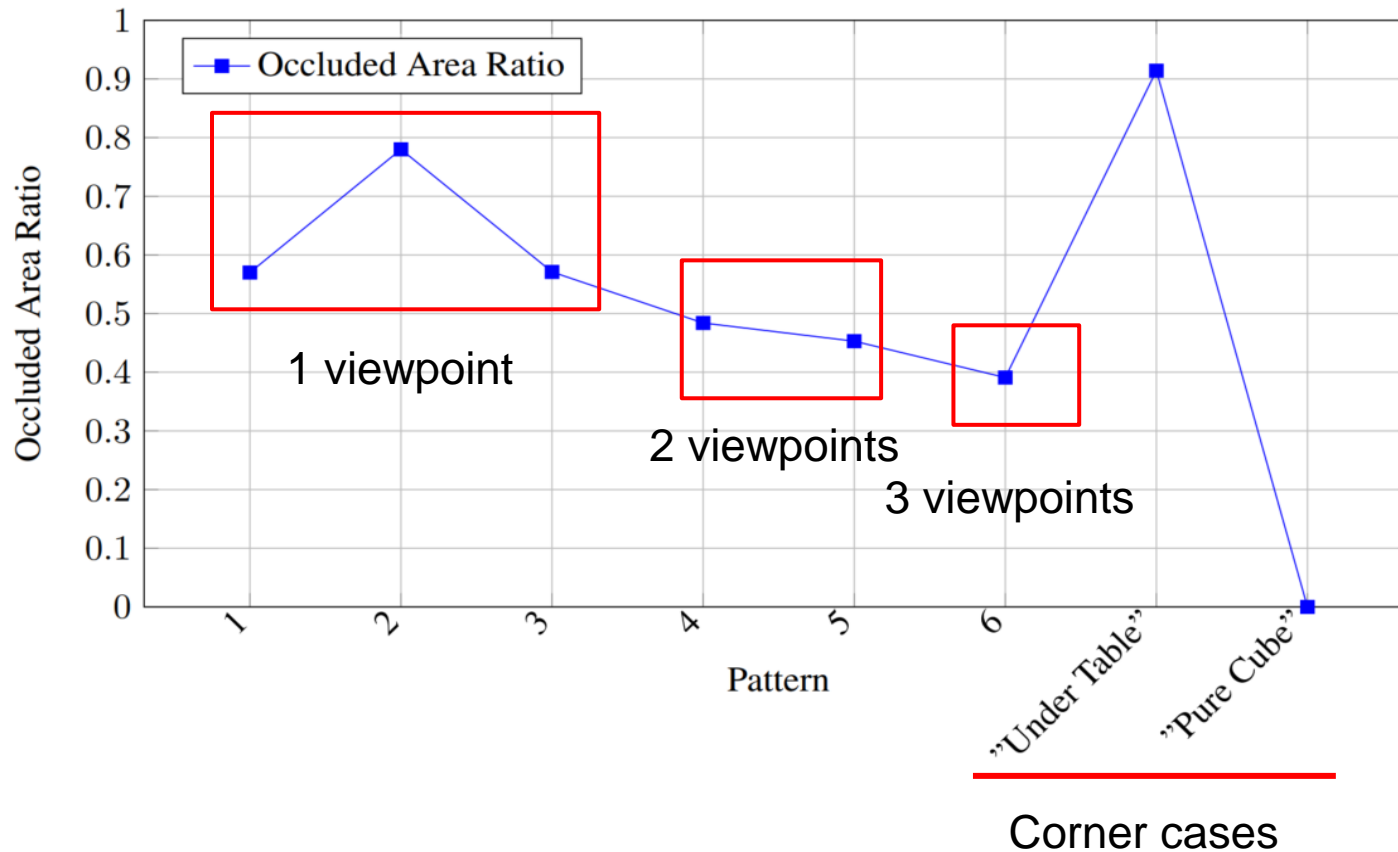
Under Table



Cube

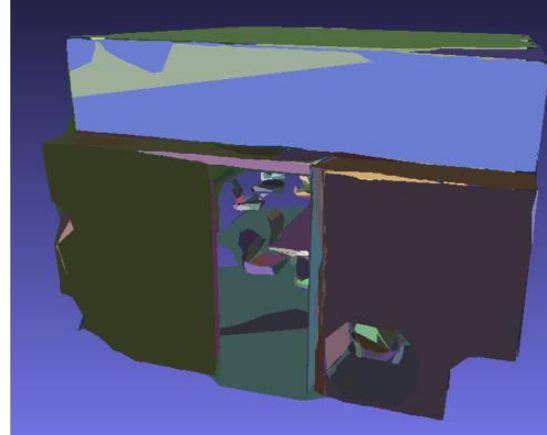
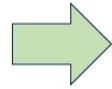
Validation – Occluded Area Ratio of Ground Truth Mesh

- 6 patterns + 2 corner cases used to compute **occluded area ratio**.
- **Lower** occlusion level when there are **more** viewpoints in the scene.

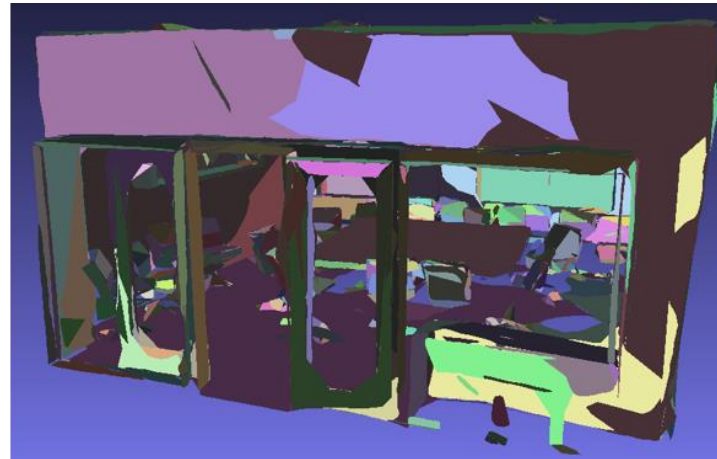
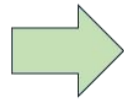
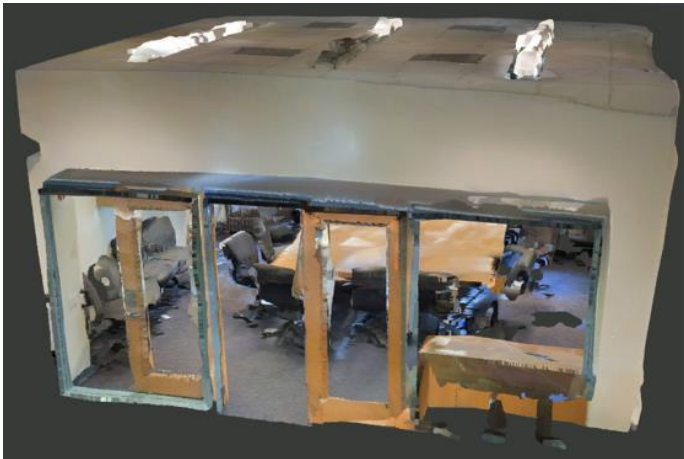


Validation – Occluded Area Ratio of Estimated Mesh

- 6 patterns used to compute *occluded area ratio* of *estimated mesh*.
- Estimate mesh for 2 scenes, room 1 and room 2.



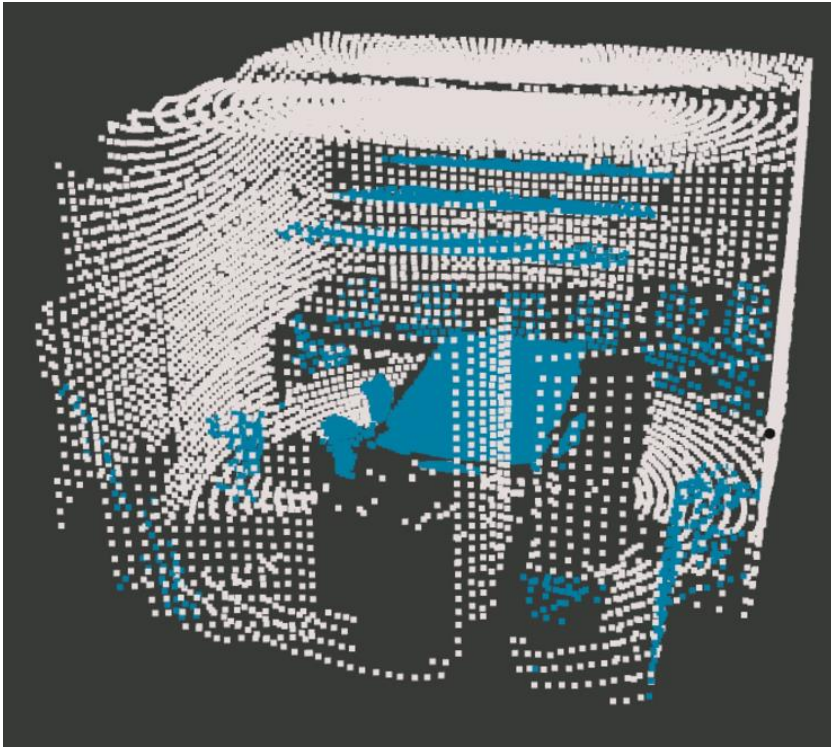
Room 1



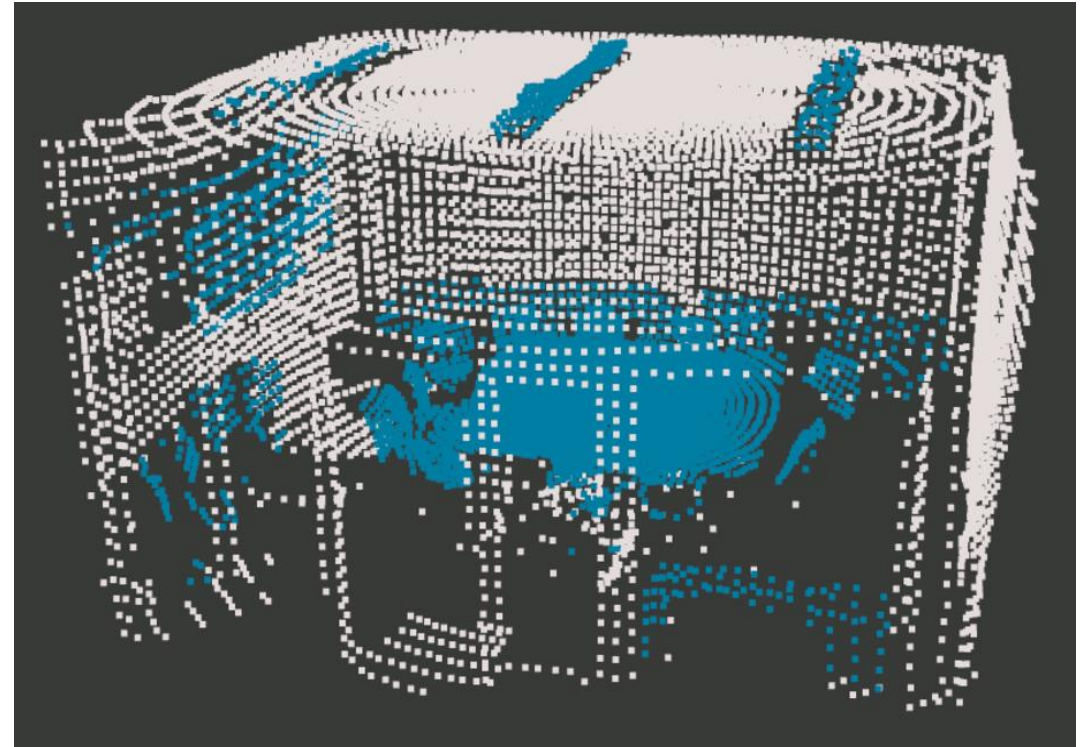
Room 2

Validation – Boundary Ray Ratio

- Scan original point cloud to get sub-sampled data set, ***same patterns*** applied to place light sources.
- Use **10k** randomly generated rays to hit the cloud and classify ***boundary rays***.
- In visualization, points in ***white*** are ***boundary*** points, in ***blue*** are ***clutter*** points.



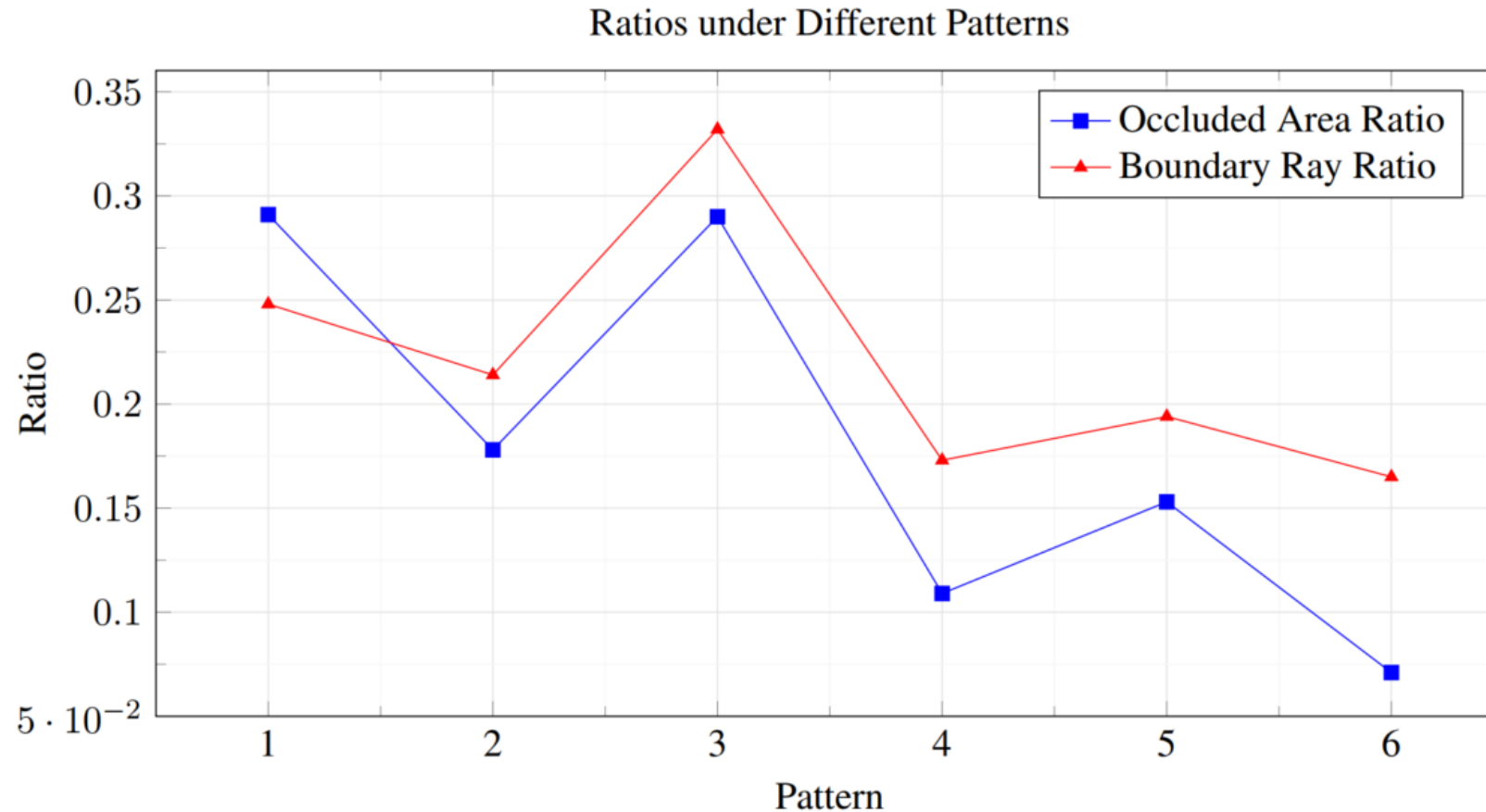
Scanned Room 1 with Light Source in Center



Scanned Room 2 with Light Source in Center

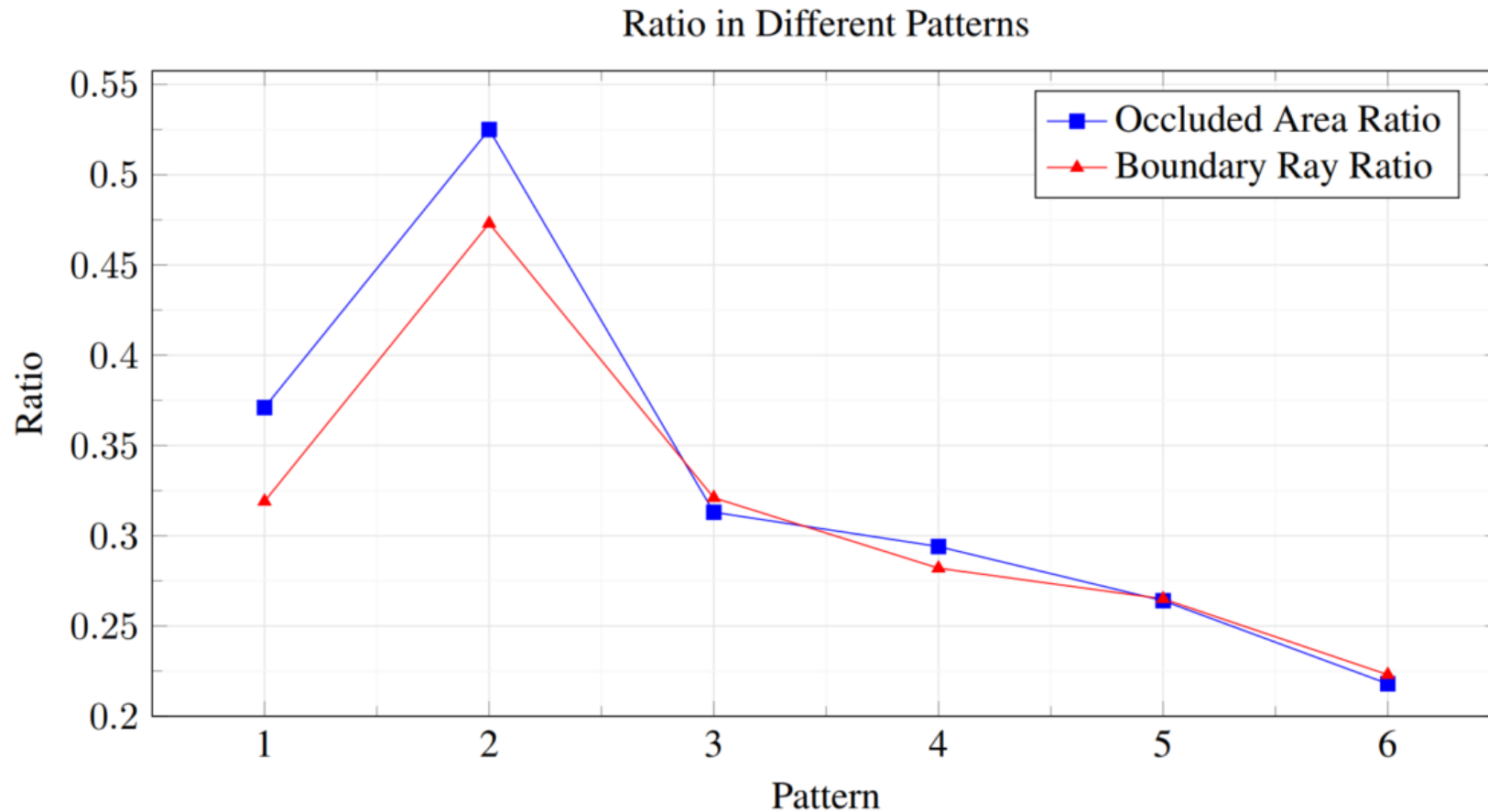
Validation – Result of Room 1

- Difference of value is small.
- Same trend when viewpoint pattern changed.



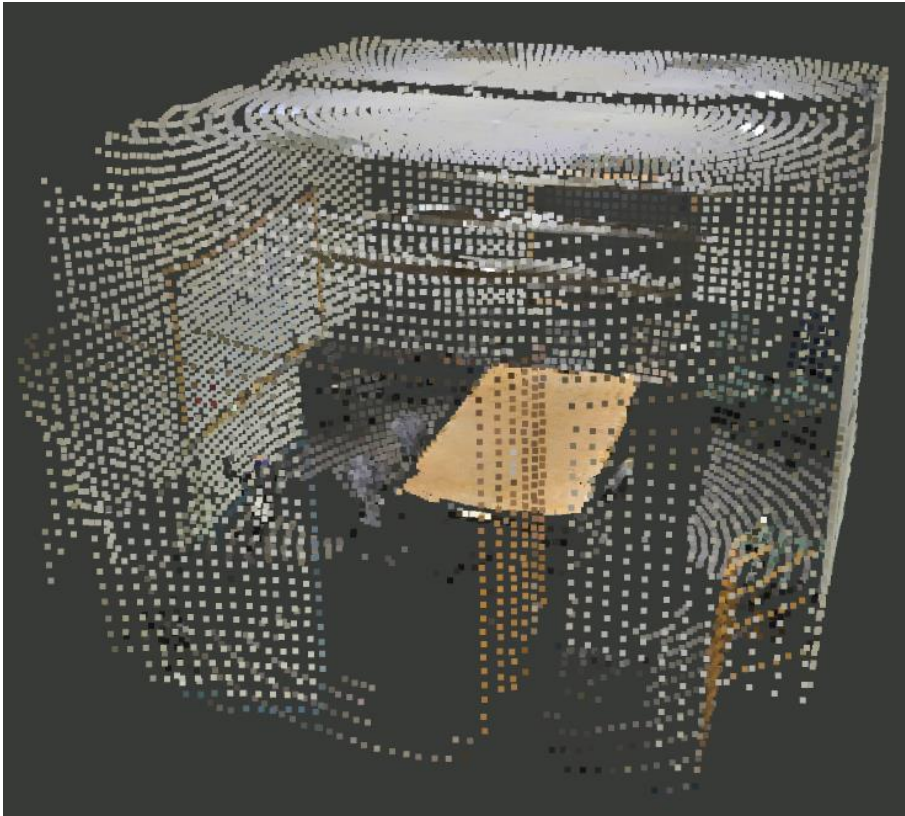
Validation – Result of Room 2

- Same as room 1.
- Through these comparisons, we conclude that boundary ray ratio is a reliable metric to estimate occlusion level of point cloud.

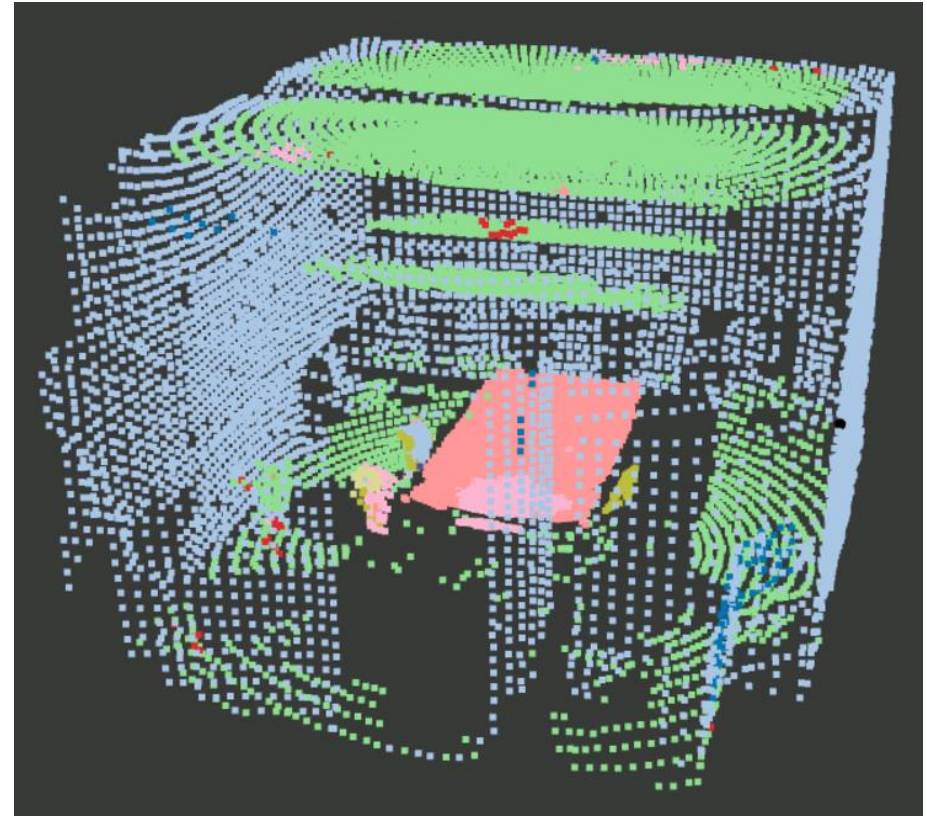


Correlation

- Input **scanned** point cloud into **Minkowski Engine** to get result of semantic segmentation.
- Compute **F1 score** for segmented cloud.



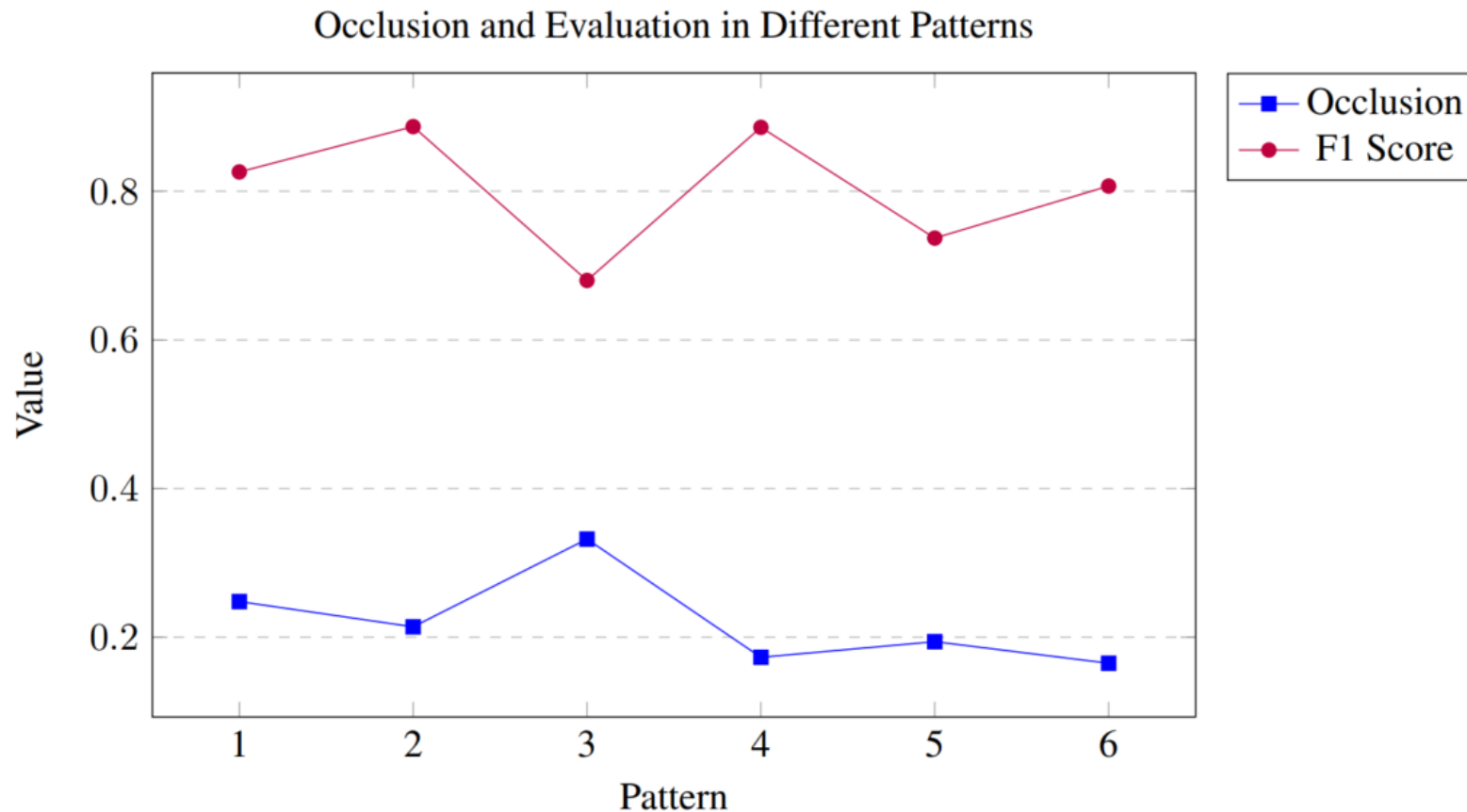
Scanned Cloud with Original Color



Segmented Cloud

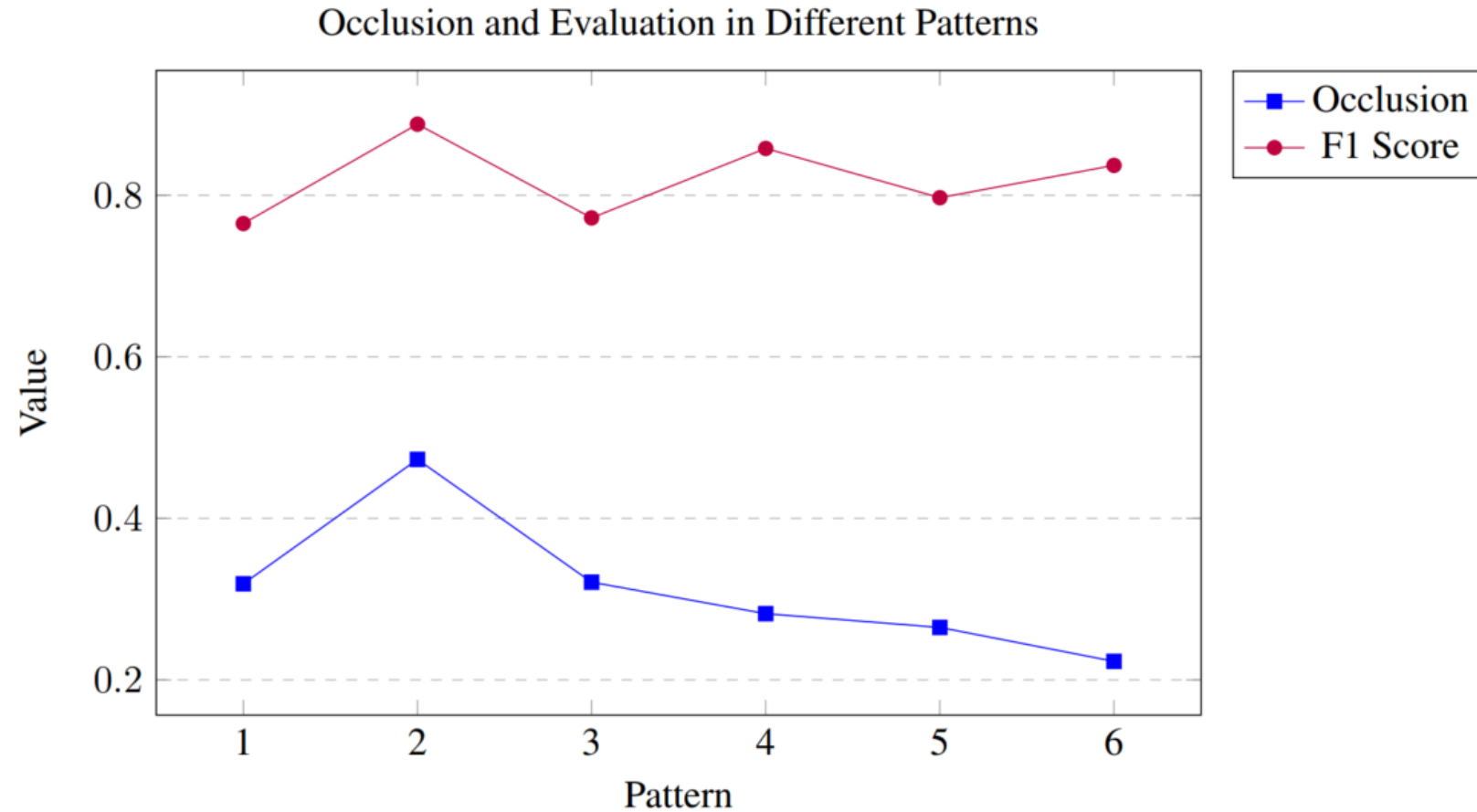
Result of Room 1

- Shows an ***opposite*** trend between ***occlusion level*** and ***F1 score***.
- In general, the two metrics are inversely proportional.



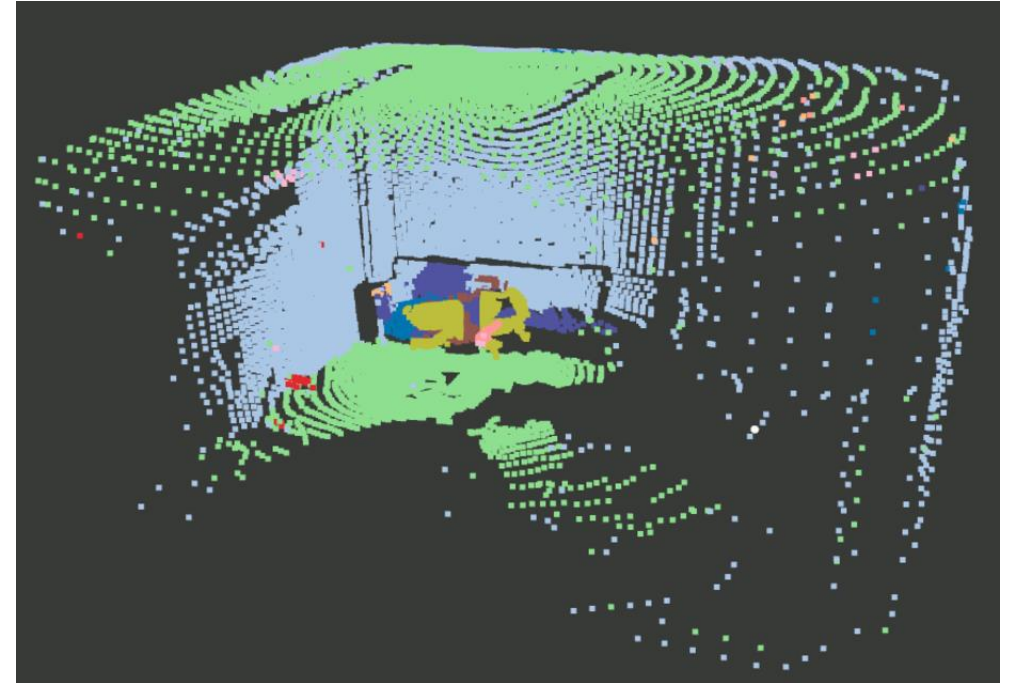
Result of Room 2

- In this case, ***no correlation*** can be found.



Discussion

- In the result of room 2, scanned point cloud under **pattern 1** where the light source placed in the **minimal** midpoint, has the **highest loss** of information but the **highest F1 score**.
- Region close to light source is **dense**, thus the segmentation might have better understanding there due to **high richness** of structural information.



Discussion

- Performance is also affected by **structure** of point cloud and **density** in certain regions. Occlusion alone is not enough to influence the result.
- More influential factors should be taken into consideration:
 - Variations in placement of light sources.
 - Diversity of interior items.
 - Spatial relationship between different objects and structures.
- Occlusion level has **limited** impact on performance of semantic segmentation.

Limitation

- We are not computing the ***real*** occlusion of point cloud.
- Our way of estimation is achieved through ray intersecting boundary points, which is highly ***dependent*** on the ***exterior structure*** of the scene.
- Due to ***limited diversity*** of data used in experiments, we cannot guarantee that the metric will always remain effective.

Future Work

- More data with different structures and complexities can be used in experiments.
- Propose more comprehensive metrics that emphasize the ***relationships*** among elements in the scene.
- Alternatively, we can develop an ***evaluation system*** to assess the completeness of point cloud, where influential factors could be assigned with different ***weight*** for computation.

Demo

- Video showcase.
- File explorer window is not displayed.
- <https://www.youtube.com/watch?v=p31nPK5FAT0>

Thanks for your attention!