# Diversity-Driven Extensible Hierarchical Reinforcement Learning Supplementary Material

Hyperparameters	Value
Horizon (T)	128
Adam stepsize	$2.5 \times 10^{-4} \times 2$
Learning rate	$7 \times 10^{4}$
Number epochs	4
Minibatch size	$32 \times 8$
Discount $(\gamma)$	0.99
GAE parameter $(\lambda)$	0.95
Number of actors	8
Clipping parameter $(\epsilon)$	$0.1 \times 2$
VF coefficient $(c^1)$	0.5
Entropy coefficient $(c^2)$	0.01

Table 1: PPO hyperparameters used for DEHRL at each level on OverCooked.

Hyperparameters	Value
Horizon (T)	128
Adam stepsize	$2.5 \times 10^{-4} \times 2$
Learning rate	$7 \times 10^{4}$
Number epochs	4
Minibatch size	$32 \times 8$
Discount $(\gamma)$	0.99
GAE parameter ( $\lambda$ )	0.95
Number of actors	1
Clipping parameter $(\epsilon)$	$0.1 \times 2$
VF coefficient $(c^1)$	0.5
Entropy coefficient $(c^2)$	0.01

Table 2: PPO hyperparameters used for DEHRL at each level on MineCraft.

### **Hyperparameters**

The *policy* at each level is trained with Proximal Policy Optimization (PPO) algorithm (Schulman et al. 2017). Detailed settings of the hyper-parameters are shown in Table 1 and

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l	0	1	2		
$\mathbb{A}^l$	16	5	5		
$T^l$	1	1*4	1 * 4 * 12		

Table 3: DEHRL Settings on OverCooked.

$\overline{l}$	0	1	2	3	4	5
$\mathbb{A}^l$	11	8	8	8	8	8
$T^l$	1	1*4	$1 * 4^2$	$1 * 4^3$	$1 * 4^4$	$1 * 4^5$

Table 4: DEHRL Settings on MineCraft.

2 for OverCooked and MineCraft respectively. Detailed settings of DEHRL framework for OverCooked and MineCraft are shown in Table 3 and 4 respectively.

#### **Neural Network Details**

The details of network architecture for policy and predictor at each level is shown in Table 5 and 6 respectively. Fully connected layer is denoted as FC and flatten layer is denoted as Flatten. We use leaky rectified linear units (denoted as LeakyRELU) (Maas, Hannun, and Ng 2013) with leaky rate 0.01 as the nonlinearity applied to all the hidden layers in our network. Batch normalization (Ioffe and Szegedy 2015) (denoted as BatchNorm) is applied after hidden convolutional layers (denoted as Conv) in *predictor*. For the *predictor* at each level, the integration of the two inputs, i.e., state and action, is accomplished by approximated multiplicative interaction (Oh et al. 2015) (the **dot-multiply** operation in Table 6), so that any predictions made by the predictor are conditioned on the action input. Deconvolutional layers (denoted as DeConv) (Zeiler, Taylor, and Fergus 2011) are applied for predicting the state after  $T^l$  steps.

#### Performance on OverCooked

Here we include a comparison of DEHRL against Option-critic(Bacon and Precup 2017), PPO(Schulman et al. 2017), State Novelty(Şimşek and Barto 2004) and Transition Novelty(Pathak et al. 2017) on OverCooked of 6 settings. Table 7 shows the final performance and Table 8 shows the learning speed.

**Input 1**: current state  $(s_t)$ , as  $84 \times 84$  gray scaled image | **Input 2**: action from level l+1  $(a_t^{l+1})$ , as one-hot vector

**Conv**: kernel size  $8 \times 8$ , number of features 16, stride 4

**IRELU** 

**Conv**: kernel size  $4 \times 4$ , number of features 32, stride 2

**IRELU** 

**Conv**: kernel size  $3 \times 3$ , number of features 16, stride 1

**IRELU** 

**Flatten**:  $16 \times 16 \times 7$  is flatten to 1792 **FC**: number of features 256

**IRELU** 

**Output 1**: multiple policy functions, each one for one  $a_t^{l+1} \mid$  **Output 2**: multiple value functions, each one for one  $a_t^{l+1}$ 

Table 5: Network architecture of the *policy* at each level  $(\pi^l)$ .

<b>Input 1</b> : current <i>state</i> $(s_t)$ , as $84 \times 84$ gray scaled image	<b>Input 2</b> : <i>action</i> from level $l(a_t^l)$ , as one-hot vector		
Conv: kernel size $8 \times 8$ , number of features 16, stride 4  BatchNorm IRELU  Conv: kernel size $4 \times 4$ , number of features 32, stride 2  BatchNorm IRELU  Conv: kernel size $3 \times 3$ , number of features 16, stride 1  BatchNorm IRELU  Flatten: $16 \times 16 \times 7$ is flatten to 1792 FC: number of features 256	FC: number of features 256		
Dot-multi	ply		
FC: number of features 256 FC: number of features 1792 Reshape: 1792 is reshaped to $16 \times 16 \times 7$ DeConv: kernel size $3 \times 3$ , number of features 32, stride 1 BatchNorm IRELU DeConv: kernel size $4 \times 4$ , number of features 16, stride 2 BatchNorm IRELU DeConv: kernel size $8 \times 8$ , number of features 1, stride 4 Sigmoid	FC: number of features 1		
<b>Output 1</b> : predicted <i>state</i> after $T^l$ steps $(\hat{s}_{t+T^l})$	Output 2: predicted <i>bounty</i> at downer level $(\hat{b}_t^{l-1})$		

Table 6: Network architecture of the *predictor* at each level  $(\beta^l)$ .

reward-level / goal-type	1 / any	1 / fix	1 / random	2 / any	2 / fix	2 / random
DHERL	1.00	1.00	1.00	0.95	0.93	0.81
Option-critic(Bacon and Precup 2017)	1.00	1.00	0.00	0.00	0.00	0.00
PPO(Schulman et al. 2017)	0.98	0.97	0.56	0.00	0.00	0.00
State Novelty(Şimşek and Barto 2004)	1.00	0.96	0.95	0.00	0.00	0.00
Transition Novelty(Pathak et al. 2017)	1.00	1.00	1.00	0.00	0.00	0.00

Table 7: Final performance of DHERL, Option-critic(Bacon and Precup 2017), PPO(Schulman et al. 2017), State Novelty(Şimşek and Barto 2004) and Transition Novelty(Pathak et al. 2017) on OverCooked of 6 settings.

reward-level / goal-type	1 / any	1 / fix	1 / random	2 / any	2 / fix	2 / random
DHERL	0.92	0.72	0.71	0.51	0.43	0.13
Option-critic(Bacon and Precup 2017)	0.92	0.82	0.00	0.00	0.00	0.00
PPO(Schulman et al. 2017)	0.81	0.57	0.06	0.00	0.00	0.00
State Novelty(Şimşek and Barto 2004)	0.96	0.64	0.12	0.00	0.00	0.00
Transition Novelty(Pathak et al. 2017)	0.95	0.77	0.42	0.00	0.00	0.00

Table 8: Learning Speed of DHERL, Option-critic(Bacon and Precup 2017), PPO(Schulman et al. 2017), State Novelty(Şimşek and Barto 2004) and Transition Novelty(Pathak et al. 2017) on OverCooked of 6 settings.

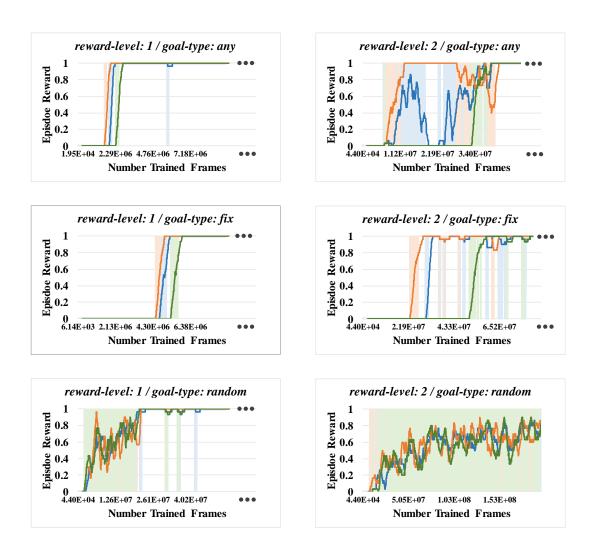
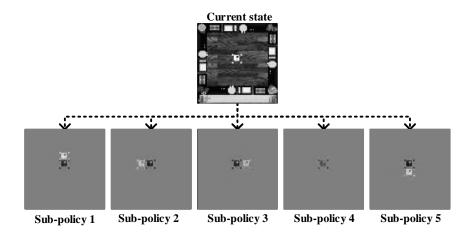
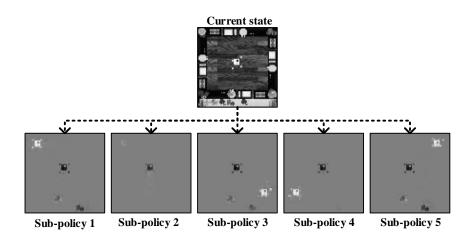


Figure 1: Episode reward curve of DEHRL on all 6 settings of OverCooked. Different colors indicate runs with different training seeds. Shallower color indicates the original curve and the darker color indicates the filtered curve.



(a) Predicted states by *predictor* at level 1



(b) Predicted states by *predictor* at level 2

Figure 2: Predicted states by predictor at each level on OverCooked.

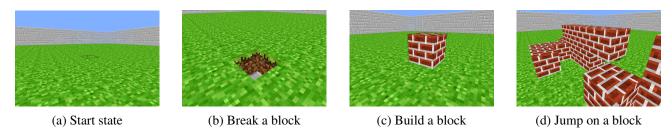


Figure 3: Example states in MineCraft.

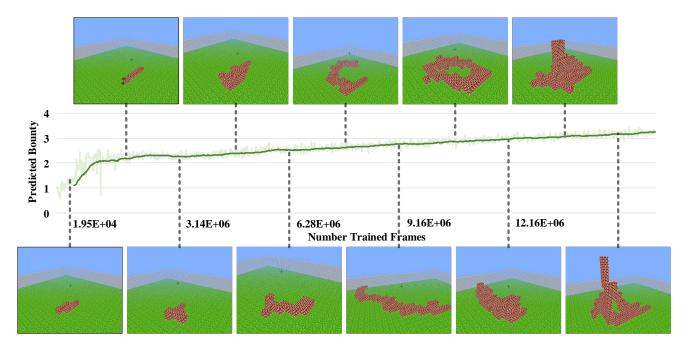


Figure 4: Predicted bounty and the world built by DEHRL.

Besides, there is an interesting question to answer for SNN (Florensa, Duan, and Abbeel 2017): If SNN is guaranteed to learn different subpolicies, will it learn the 5 useful ones provided with enough subpolicy models (set  $\mathbb{A}^1=625$ )? We train the above settings for 200M steps with 3 trials of different training seeds. Surprisingly, the best trial learns 2 useful subpolicies. The reason is that setting  $\mathbb{A}^1=625$  makes the estimation of the mutual information easily inaccurate, since the mutual information is estimated for every  $a_t^1$  in  $\mathbb{A}^1$ .

Figure 1 shows the learning curves of DEHRL with three different training seeds.

Figure 2 shows the predicted states of the *predictor* at each level in DEHRL. Since the size of observations and the predictions is 84 and they are gray scaled, it would be hard to have a clear visualization of the predictions. Thus, just for better visualization, current observation is subtracted from the predictions to remove the unchanged parts in Figure 2.

#### Performance on MineCraft

Figure 3 shows the example states observed by the *agent* in MineCraft. Since the predicted bounty  $(\hat{b}_t^l)$  is a good indication of the diversity of current sub-policies, we plot the averaged  $\hat{b}_t^l$  over all levels and visualize the world built by DEHRL at that time in Figure 4.

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