

# OH<sup>−</sup>⋯Au Hydrogen Bond and Its Effect on the Oxygen Reduction Reaction on Au(100) in Alkaline Media

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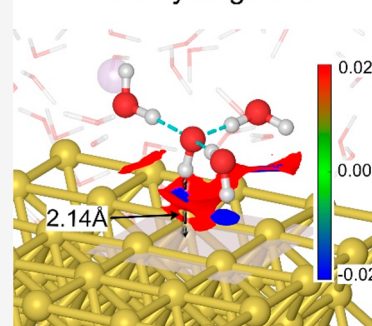
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**ABSTRACT:** Using ab initio molecular dynamics simulations with fully solvated ions, we demonstrate that solvated OH<sup>−</sup> forms a stable hydrogen bond with Au(100). Unlike the hydrogen bond between H<sub>2</sub>O and Au reported previously, which is more favorable for negatively charged Au, the OH<sup>−</sup>⋯Au interaction is stabilized when a small positive charge is added to the metal slab. For electro-catalysis, this means that while OH<sub>2</sub>⋯Au plays a significant role in the hydrogen evolution reaction, OH<sup>−</sup>⋯Au could be a significant factor in the oxygen reduction reaction in alkaline media. It also points to a fundamental difference in the mechanism of oxygen reduction between gold and platinum electrodes.

## OH<sup>−</sup>⋯Au hydrogen bond



Hydrogen bonding typically occurs between a hydrogen atom and an electronegative non-metal atom such as O, N, F, or Cl or their anions as the hydrogen bond acceptor. A transition metal atom serving as an acceptor represents an unconventional type of hydrogen bond,<sup>1,2</sup> as indicated by a short XH⋯M distance in organometallic complexes. Gold has been a prominent example of such a hydrogen bond acceptor because it is the most electronegative metal element, with a high electron affinity and small atomic and/or ionic radii due to relativistic contraction.<sup>3,4</sup> Its unconventional nature is indicated by the fact that clear evidence for such a hydrogen bond was first obtained in the cases of NH⋯Au<sup>5–7</sup> and CH⋯Au,<sup>8,9</sup> while the identification of the OH⋯Au hydrogen bond has been more challenging.<sup>3</sup> Theoretically, a negatively charged Au<sup>−</sup> in AuMe<sub>2</sub><sup>−</sup> solvated by H<sub>2</sub>O via HOH⋯Au<sup>−</sup> has been demonstrated,<sup>10–12</sup> similar to the Au<sup>−</sup>(H<sub>2</sub>O) anionic cluster examined in the gas phase by photoelectron spectroscopy.<sup>13,14</sup> Experimentally, the OH⋯Au hydrogen bond has been determined only very recently in gold(I) indazol-3-ylidene complexes by X-ray diffraction, nuclear magnetic resonance, and vibrational spectroscopy.<sup>15</sup>

Similar interactions between water molecules and the surface metal atoms of an electrode have also been studied in electrochemistry.<sup>16,17</sup> Via application of a potential negative of its potential of zero charge (PZC), surface atoms on a metal electrode such as Cu, Ag, and Au can become negatively charged. Significant changes in the vibrational modes of adsorbed water molecules were detected by surface-enhanced Raman spectroscopy<sup>16,18</sup> and infrared absorption spectroscopy<sup>19</sup> and attributed to the hydrogen bonding interaction, HOH⋯M. In the case of a Au electrode, there was evidence

that as the electrode potential became more negative, an interfacial water molecule could evolve from a structure with one H pointing to the surface to a structure with both hydrogen atoms pointing to the surface.<sup>20</sup> As they require a negative electrode potential, such interactions play a significant role in electro-catalysis for the hydrogen evolution reaction (HER).<sup>21</sup> It is in contrast to another common type of interaction between the oxygen end of water and a metal atom, H<sub>2</sub>O⋯M, which are dominant at positive electrode potentials in the active range for the oxygen reduction reaction (ORR).

In this paper, we report an ab initio molecular dynamics (AIMD) study of explicitly solvated OH<sup>−</sup> in an aqueous interfacial environment near an electrode of Au(100) and demonstrate another unusual type of hydrogen bonding, between OH<sup>−</sup> and a Au(100) surface, in the ORR potential region. The hydrogen bond donor OH<sup>−</sup> has very low acidity, while the OH<sup>−</sup>⋯Au hydrogen bond is stabilized by a small positive electrode potential. Such a hydrogen bond makes the mechanism of ORR on Au(100) fundamentally different from that on Pt(111) in an alkaline environment.

Included in our models are a Au(100) slab mimicking an electrode surface, 48 water molecules, and a NaOH molecule that automatically dissociates into a sodium ion (Na<sup>+</sup>) solvated in the solution layer and a hydroxide (OH<sup>−</sup>) ion, either in the

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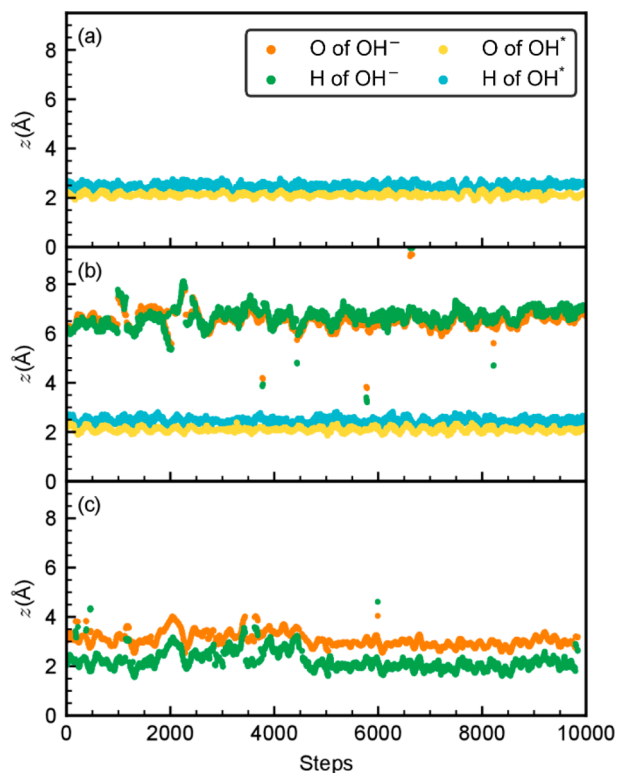
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solution layer or on the slab surface as adsorbed  $\text{OH}^*$ . As demonstrated in our previous study of  $\text{Pt}(111)$ , such a model with fully solvated electrolyte ions is essential for understanding the impact of solvation dynamics on the ORR mechanisms.<sup>22–24</sup>

When it comes to solvated  $\text{OH}^-$ , the results on  $\text{Au}(100)$  are in striking contrast with the results on  $\text{Pt}(111)$ . As shown in Figure 1a for a  $\text{NaOH}|\text{Pt}(111)|n\text{H}_2\text{O}$  model, the  $\text{OH}^-$

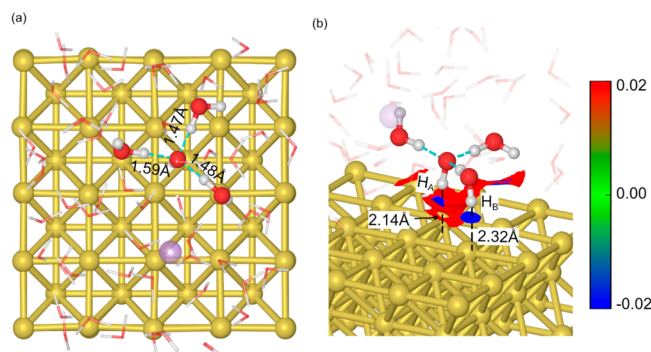


**Figure 1.** Time evolution of the  $z$  coordinates of O and H for  $\text{OH}^-/\text{OH}^*$  during AIMD simulations at 300 K. As the normal of the metal surface is along the  $z$  direction and the  $z$  coordinate of the surface is set to 0, the  $z$  coordinate for an atom is its distance to the metal surface. (a) In a  $\text{NaOH}|\text{Pt}(111)|n\text{H}_2\text{O}$  model,  $\text{OH}^*$  is adsorbed on  $\text{Pt}(111)$  with its oxygen end. (b) In a  $2\text{NaOH}|\text{Pt}(111)|n\text{H}_2\text{O}$  model, one  $\text{OH}^*$  is adsorbed and one  $\text{OH}^-$  is in the solution layer. (c) In a  $\text{NaOH}|\text{Au}(100)|n\text{H}_2\text{O}$  model,  $\text{OH}^-$  is in the solution layer, with the H end pointing to the  $\text{Au}(100)$  surface.

produced by the ionic dissociation of  $\text{NaOH}$  is adsorbed on  $\text{Pt}(111)$  as  $\text{OH}^*$  (surface species are indicated by asterisks hereafter). In our model, the surface normal lies along the  $z$  direction and the reported  $z$  coordinate is relative to the top layer surface Au atoms and therefore equal to the distance to the surface. As the bonding interaction is between O and Pt, the OH bond is slightly tilted up from the surface, indicated by the slightly larger  $z$  value for H than for O. Within this model, it is hard to desorb  $\text{OH}^*$ , which could be achieved only by adding  $-1.0e$  or more negative charge to the  $\text{Pt}(111)$  slab.<sup>24</sup> However, after the addition of one more  $\text{NaOH}$  to afford a  $2\text{NaOH}|\text{Pt}(111)|n\text{H}_2\text{O}$  model, one  $\text{OH}^-$  is typically observed in the solution layer while the other  $\text{OH}^-$  would stay on the surface as  $\text{OH}^*$  (Figure 1b). The position of the solvated  $\text{OH}^-$  is often  $>6$  Å above  $\text{Pt}(111)$ , clearly in the outer sphere region, and does not come near the surface during the AIMD simulation of 10 000 steps (12.0 ps).

In contrast, no extra negative charge or extra cation is needed to observe a solvated  $\text{OH}^-$  in the interfacial region on top of  $\text{Au}(100)$ , using a  $\text{NaOH}|\text{Au}(100)|n\text{H}_2\text{O}$  model (Figure 1c). Furthermore, as the  $z$  value of H is smaller than the  $z$  value of O by  $\sim 1$  Å, it is obvious that this  $\text{OH}^-$  is pointed to the surface with its H end, almost vertically, because the O–H bond distance is  $\sim 1$  Å. Finally, the H end of  $\text{OH}^-$  is very close to  $\text{Au}(100)$ , with a distance just above 2.0 Å.

Shown in Figure 2 is a typical optimized structure for the  $\text{NaOH}|\text{Au}(100)|n\text{H}_2\text{O}$  model. The  $\text{OH}^-$ , as a hydrogen bond



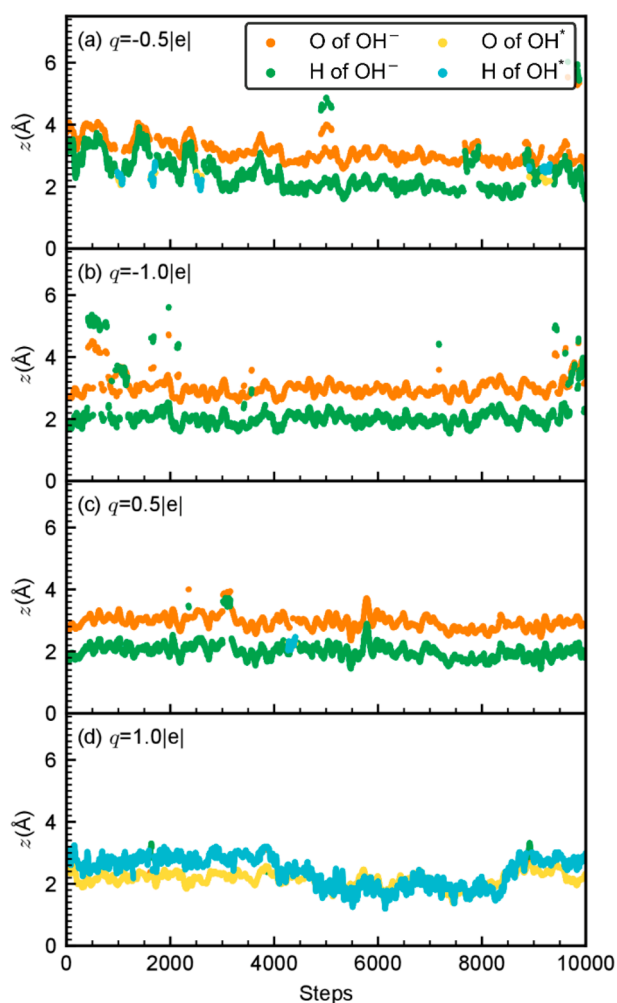
**Figure 2.** Optimized structure of the  $\text{NaOH}|\text{Au}(100)|n\text{H}_2\text{O}$  model. (a) Top view, showing the hydrogen bonds accepted by  $\text{OH}^-$  from three  $\text{H}_2\text{O}$  molecules in its first solvation shell. (b) Side view, showing the noncovalent interaction plot with blue and red indicating attractive and repulsive interaction, respectively.

acceptor, is solvated by three water molecules forming its first solvation shell. The lengths of these hydrogen bonds (1.47, 1.48, and 1.59 Å) indicate strong solvation interactions, similar to the first-shell hydrogen bonds reported previously for  $\text{OH}^-(\text{H}_2\text{O})_n$  clusters.<sup>25</sup> Bader population analysis<sup>26</sup> shows that the negative charge is indeed on  $\text{OH}^-$  ( $-0.71e$ ) and the positive charge is on  $\text{Na}^+$  ( $0.84e$ ), while the charge on the  $\text{Au}(100)$  slab is only 0.04e. The calculated electron localization function<sup>27</sup> also indicates no covalent bonding interaction between  $\text{OH}^-$  and the Au surface (see Figure S1). However, a noncovalent interaction (NCI)<sup>28,29</sup> plot does indicate the presence of two  $\text{OH}^- \cdots \text{Au}$  hydrogen bonds, one between  $\text{OH}^-$  and Au (through  $\text{H}_A$ ) and another between  $\text{H}_2\text{O}$  and Au (through  $\text{H}_B$ ).

Both  $\text{OH}^-$  and this  $\text{H}_2\text{O}$  molecule are close to the surface. For  $\text{OH}^-$ , the  $\text{H}_A$ –surface distance is 2.14 Å, and for the  $\text{H}_2\text{O}$ , the  $\text{H}_B$ –surface distance is 2.32 Å. However, the distance to the surface is not the same as the  $\text{H} \cdots \text{Au}$  distance that further depends on the adsorption site.  $\text{H}_B$  is on a top site, interacting with one Au atom. The  $\text{H}_B \cdots \text{Au}$  distance is 2.39 Å, only slightly larger than its distance to the surface, and the O– $\text{H}_B$ –Au angle is  $155^\circ$ , fitting well with the definition of a typical  $\text{HOH} \cdots \text{Au}$  hydrogen bond.<sup>12</sup> In contrast,  $\text{H}_A$  (of  $\text{OH}^-$ ) is on a bridge site, interacting with two Au atoms. The  $\text{H}_A \cdots \text{Au}$  distances are 2.70 and 2.79 Å,  $\sim 0.6$  Å larger than its distance to the surface, and the O– $\text{H}_A$ –Au angles are  $155^\circ$  and  $138^\circ$ , similar to the hydrogen bond to Au atoms reported for coordinated gold clusters.<sup>8</sup>

The application of an electrode potential, which is simulated in our model by adding an extra charge to the  $\text{Au}(100)$  slab, could disturb this  $\text{OH}^- \cdots \text{Au}$  interaction. Using the double-reference method developed by Neurock and co-workers,<sup>30,31</sup> we estimate the shift in the electrode potential caused by adding a charge of 1.0e is  $\sim 0.5$  V for our model (see Figure

S2). As shown in panels a and b of Figure 3, the  $\text{OH}^- \cdots \text{Au}$  hydrogen bond is largely preserved when a charge of  $-0.5e$  or



**Figure 3.** Time evolution of the  $z$  coordinates of O and H for  $\text{OH}^-/\text{OH}^*$  during AIMD simulations at 300 K for a  $\text{NaOH}|\text{Au}(100)|n\text{H}_2\text{O}$  model, with charge  $q$  added to the slab.

$-1.0e$  is added, corresponding to a shift of  $-0.25$  or  $-0.5$  V, respectively, from the potential of zero charge (PZC) at  $0.33$  V (SHE) for  $\text{Au}(100)$ .<sup>32,33</sup> When  $q = -0.5e$ , the  $\text{OH}^-$  sometimes moves into the solution layer, with the H atom  $>3$  Å from the Au surface, and sometimes adsorbs on the  $\text{Au}(100)$  surface (Figure 3a). When  $q = -1.0e$ ,  $\text{OH}^-$  could sometimes move to  $>4$  Å from the surface, showing up in Figure 3b as jumps in the  $z$  coordinates because such movement is always achieved by proton transfer. The solvated  $\text{OH}^-$  could also change its orientation during such jumps, with the  $z$  coordinate of H being larger than that of O.

On the contrary, when a charge of  $0.5e$  is added [an approximately  $+0.25$  V shift from the PZC (Figure 3c)], the  $\text{OH}^- \cdots \text{Au}$  hydrogen bond is strengthened, as indicated by an average  $z$  for the H atom of  $2.23 \pm 0.38$  Å for  $q = 0e$  being reduced to  $2.02 \pm 0.28$  Å for  $q = 0.5e$  during the respective AIMD runs. This observation is contrary to the expectation that positive charge on a Au atom would make it less a hydrogen bond acceptor. Finally, when a charge of  $1.0e$  is added [an approximately  $+0.5$  V shift from the PZC (Figure 3d)], the  $\text{OH}^- \cdots \text{Au}$  hydrogen bond does not survive the

equilibration run, as its orientation is flipped to become an adsorbed  $\text{OH}^*$ . With its oxygen end bonding to a Au atom, this is the typical oxidation interaction expected on a metal surface in the ORR region.

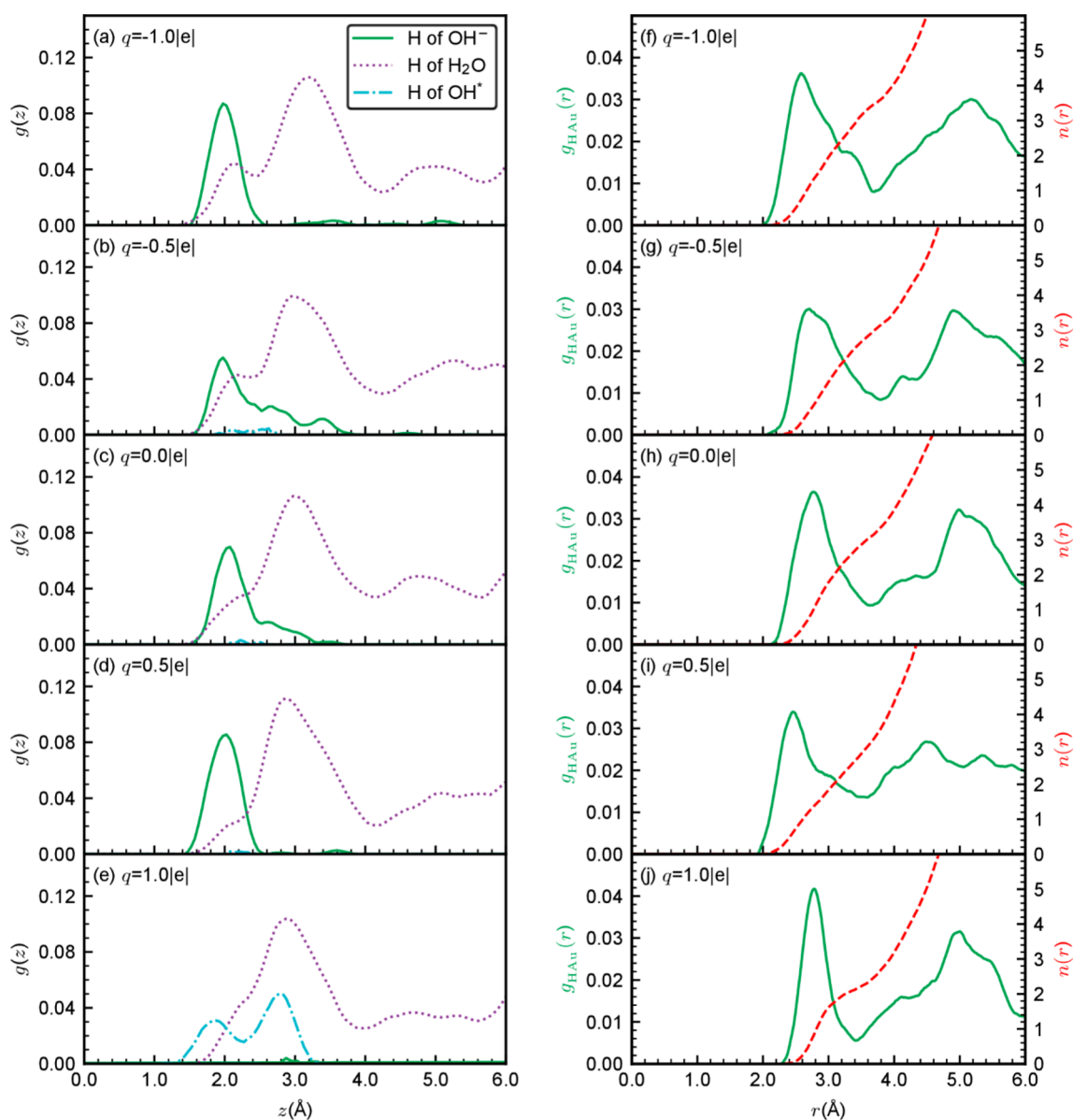
Shown in Figure 4a–e are the  $z$  distribution functions for the H atom of  $\text{OH}^-/\text{OH}^*$  and  $\text{H}_2\text{O}$  with varying  $q$  values. For the H atom of  $\text{H}_2\text{O}$ , the first peak just above  $2.0$  Å at  $q = -1.0e$  indicates there are hydrogen bond interactions between some of the  $\text{H}_2\text{O}$  molecules and Au atoms on the  $\text{Au}(100)$  surface (Figure 4a). This peak is gradually reduced as the value of  $q$  changes to  $-0.5e$ ,  $0.0e$ ,  $0.5e$ , and  $1.0e$ , in agreement with the expectation that such hydrogen bonds would be weakened at zero and positive electrode potentials.<sup>16,20,34</sup> For the H atom of  $\text{OH}^-$ , there is a well-resolved peak around  $2.0$  Å at  $q = 0.5e$ . This peak is broadened at  $q = 0.0e$  and  $-0.5e$  and is well resolved again at  $q = -1.0e$ , albeit with small tails at high  $z$  values. When  $q = 1.0e$ ,  $\text{OH}^*$  is adsorbed on a top site and the bending of the  $\text{Au}-\text{O}-\text{H}$  angle shows up as the fluctuation of the  $z$  value between  $1.5$  and  $3.0$  Å (Figure 4e).

When the radial distribution functions of Au atoms around the H atom of  $\text{OH}^-/\text{OH}^*$  are plotted in Figure 4f–j, it is obvious that there is no hydrogen bonding interaction between  $\text{OH}^*$  and Au, as the first Au peak is at  $2.92$  Å (Figure 4j). In contrast, the first Au peak for  $q = 0.5e$  is at  $2.46$  Å (Figure 4i), clearly within the range of  $\text{OH}^- \cdots \text{Au}$  hydrogen bonds. When  $q = 0.0e$ ,  $-0.5e$ , and  $-1.0e$ , the position of the first Au peak is slightly increased, while there is always a second Au close to  $3$  Å, indicating a bridge position.

While the  $\text{OH}^- \cdots \text{Au}$  hydrogen bond holds up very well during the 300 K AIMD simulations when  $q \leq 0.5e$ , it is nontrivial to estimate its binding energy in a fully solvated model. There are many flexible hydrogen bonds in the system, and optimized structures could produce only local minima. During AIMD simulations, it is impossible to force the  $\text{OH}^-$  at a particular location as it can easily move around the solution layer upon proton transfer. By using a frozen  $\text{NaOH}(\text{H}_2\text{O})_{14}$  cluster with  $\text{OH}^-(\text{H}_2\text{O})_3$  at its tip, we estimate the hydrogen bond interaction energy between a hydrated  $\text{OH}^-$  and  $\text{Au}(100)$  is  $11$ – $14$  kcal/mol when the H of  $\text{OH}^-$  is  $2.0$ – $2.4$  Å above the surface (see Figure S3 and Table S1), in line with the previously reported binding energy of  $10$  kcal/mol for the  $\text{HOH} \cdots \text{Au}^-$  cluster.<sup>17</sup>

In hydrogen bonding interactions,  $\text{OH}^-$  is best known as a strong acceptor through its O end, rather than a donor through its H end.<sup>35,36</sup> In small hydrated clusters,  $\text{OH}^-(\text{H}_2\text{O})_n$ , the first solvation shell usually contains three hydrogen bonds, similar to the structure shown in Figure 2, with distances of  $\sim 1.6$  Å and incremental association enthalpies of  $-27.0$ ,  $-20.1$ , and  $-16.9$  kcal/mol for  $n = 1, 2$ , and  $3$ , respectively.<sup>25</sup> These are bonding energies much stronger than that for a typical hydrogen bond of  $\sim 5$  kcal/mol between two  $\text{H}_2\text{O}$  molecules. On the contrary, the hydrogen end of an  $\text{OH}^-$  is a weak hydrogen bond donor, and  $\text{OH}^-$  would stay on the cluster surface with its H unsolvated and dangling.<sup>37,38</sup> Only when  $n$  reaches  $\geq 20$  does it become possible for the hydrogen end of  $\text{OH}^-$  to be buried inside a cluster during part of the AIMD simulations.<sup>39,40</sup> Experimentally, it has been determined that  $\text{OH}^-$  can be a donor in a hydrogen bond, albeit such a hydrogen bond is relatively weak.<sup>41–43</sup> In our  $\text{NaOH}|\text{Au}(100)|n\text{H}_2\text{O}$  model,  $\text{OH}^-$  is also well solvated at its O end by water molecules and positioned at the edge of the solution phase, next to the Au surface. However, the hydrogen end of  $\text{OH}^-$  can now interact with surface Au atoms and form a relatively





**Figure 4.** (a–e)  $z$  distribution functions for H from  $\text{OH}^-$ ,  $\text{OH}^*$ , and  $\text{H}_2\text{O}$  obtained from AIMD simulations at 300 K with various charges. For the H from  $\text{H}_2\text{O}$ , the function is scaled by 1/10. (f–j) Radial distributions of Au atoms around the H atom of  $\text{OH}^-$  or  $\text{OH}^*$  at various charges (green) and the integrated number of atoms,  $n(r)$ , from 0 to  $r$  (red). The simulation model is a  $\text{NaOHAu}(100)/\text{H}_2\text{O}$  model.

strong  $\text{OH}^- \cdots \text{Au}$  hydrogen bond with a binding energy of  $\sim 10$  kcal/mol.

That such a hydrogen bond is the shortest and fluctuates the least on a 0.5e charged slab is quite unexpected, as is the observed trend that its distance to the surface and its fluctuation increase as the slab charge becomes more negative. A hydrogen bond should be strengthened when the acceptor, in this case a surface Au atom, becomes more negatively charged. In electrochemistry, this can be achieved by applying a negative potential to an electrode, which would increase the negative charge on surface metal atoms and strengthen the interactions with the hydrogen ends of water molecules, as indeed observed in many studies.<sup>16–20</sup>

To examine the charge on the surface Au atom interacting with  $\text{OH}^-$ , we performed charge analysis by the Bader scheme on each of the optimized structures for the  $\text{NaOHAu}(100)/\text{H}_2\text{O}$  model with  $q = 0.5e$ ,  $0.0e$ ,  $-0.5e$ , and  $-1.0e$ . These structures have been used as the starting configurations for the

AIMD simulations shown in Figures 1 and 3. In all cases, the  $\text{OH}^- \cdots \text{Au}$  hydrogen bond is on a bridge site, involving a pair of surface Au atoms. For  $q = 0.5e$  and  $0.0e$ , both surface Au atoms are negatively charged, ranging from  $-0.04e$  to  $-0.09e$ . In contrast, for  $q = -0.5e$  and  $-1.0e$ , only one surface Au atom is negatively charged ( $-0.06e$ – $-0.03e$ ) while the other is slightly positively charged (both at  $0.02e$ ). This is in agreement with the results of AIMD simulations showing that the  $\text{OH}^- \cdots \text{Au}$  hydrogen bond is stronger for  $q = 0.5e$  and  $0.0e$  than for  $q = -0.5e$  and  $-1.0e$ , but it raises the question of why the two surface Au atoms directly involved in the  $\text{OH}^- \cdots \text{Au}$  hydrogen bonding are less negative, when the overall slab charge is actually more negative.

The answer lies in the uneven distribution of charge on the surface, which can be influenced by the interfacial solution layer. As the slab becomes more negatively charged, the hydrogen bonding interactions between  $\text{H}_2\text{O}$  molecules and surface Au atoms are enhanced. Correspondingly, there is an

increase in the number of HOH...Au hydrogen bonds, which is clearly seen in Figure 4a–d, as well as in previous studies.<sup>18,20</sup> With more surface Au atoms taking up negative charge for interactions with H<sub>2</sub>O, there is less negative charge on the surface bridge site for OH<sup>−</sup>...Au hydrogen bonding. Unlike the neutral H<sub>2</sub>O, OH<sup>−</sup> is an anion, and its Coulomb interaction with a negatively charged slab is repulsive, which also exerts a force on it, pointing away from the surface.

The situation is reversed when the slab is positively charged. The overall Coulomb interaction between OH<sup>−</sup> and a positively charged slab is now attractive, pulling OH<sup>−</sup> toward the surface, while the HOH...Au hydrogen bond is unfavorable. H<sub>2</sub>O molecules would interact with surface Au atoms with their O ends, pushing electrons away from these Au atoms. The redistribution of charge could then make the surface bridge site more negative for OH<sup>−</sup>...Au hydrogen bonding. It is the charge on individual surface Au atoms, rather than the overall slab charge, that is responsible for the shortest OH<sup>−</sup>...Au distance observed on a 0.5e charged slab. The electrode potential at  $q = 0.5e$ , estimated from the double-reference method, is close to 0.6 V (a PZC of 0.33 V<sup>32,33</sup> and a further approximately +0.25 V shift due to the slab charge) and falls in the ORR region. As our AIMD simulations are performed under the condition of constant charge, such a potential is only an approximate estimate,<sup>44</sup> but counter-intuitive as it may be, there are physical reasons for the strengthening of the OH<sup>−</sup>...Au hydrogen bond on a positively charged slab.

Despite changes in slab charges, the OH<sup>−</sup>...Au hydrogen bond is generally maintained during AIMD simulations, from  $q = -1.0e$  to  $0.5e$ , with the solvated OH<sup>−</sup> staying in the first layer above Au(100). Only during very short durations would OH<sup>−</sup> move to the outer sphere, for  $q = -1.0e$  and  $-0.5e$ , as shown in panels a and b of Figure 3. Without such a hydrogen bond with surface metal atoms, OH<sup>−</sup>, as a strong hydrogen bond acceptor in its interactions with water molecules, would be better solvated in the outer sphere, away from the surface, as demonstrated in the case of Pt(111)<sup>24</sup> (also see Figure 1b).

The stability of the OH<sup>−</sup>...Au hydrogen bond could be extended further into the higher-potential region. For our model with 48 Au atoms, the electrode potential is increased by 0.5 V when a charge of 1.0e is added. The average charge on each Au atom is fairly small, while the distribution is not uniform. The interactions with solvent and adsorbed molecules could produce both slightly positive and slightly negative surface Au atoms. However, further into the high-potential region, there is a new factor to consider: bonding between the oxygen end of OH<sup>−</sup> and a surface Au atom, Au–OH, would become more favorable than OH<sup>−</sup>...Au interaction. In an alkaline medium, it leads to the adsorption of OH<sup>−</sup> on an electrode surface as OH\*.

We observe such a transformation from OH<sup>−</sup>...Au to Au–OH at  $q = 1.0e$ . The electrode potential estimated by the double-reference method is ~0.8 V (PZC of 0.33 V plus a +0.5 V shift). However, the beginning of OH\* does not mean the end of OH<sup>−</sup>...Au. The chemisorption of an anion could bring negative charge to the electrode surface.<sup>45</sup> Our charge analysis shows that at  $q = 1.0e$  there are still negatively charged Au atoms around the OH\* adsorption site, which could interact with solvated OH<sup>−</sup> via hydrogen bonding. The initial adsorption of OH<sup>−</sup> is the beginning of a new phase with a mixture of OH\* and OH<sup>−</sup>...Au, although a detailed investigation would require a larger model with additional

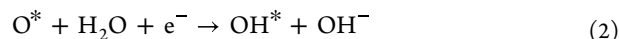
NaOH. Nonetheless, the experimentally measured equilibrium potential on Au(100) between OH<sup>−</sup> adsorption and OH\* desorption is actually ~1.1 V (RHE).<sup>46</sup> This indicates that OH<sup>−</sup> is energetically more favorable than OH\* up to 1.1 V, and therefore, an OH<sup>−</sup>...Au hydrogen bond could be present in that potential range, as well.

The ORR mechanism on Au(100) in an alkaline medium is not yet fully understood.<sup>47</sup> There are good arguments that the initial step of electron transfer to O<sub>2</sub> is an outer sphere process and limits the ORR rate.<sup>47,48</sup> Below 0.6 V (RHE), it is a two-electron reduction, with OOH<sup>−</sup> produced, while above 0.6 V, it becomes a four-electron reduction, with OH<sup>−</sup> being the final products. The rate in the four-electron region is actually better than the ORR rate on Pt(111) in an alkaline medium.<sup>46</sup> There is evidence that the presence of water would promote the production of OH\*.<sup>49,50</sup> This means the production of OH<sup>−</sup> should be due to OH\* desorption.

In the case of the alkaline ORR on Pt(111), we previously demonstrated by AIMD simulations that the equilibrium



determines its ORR onset potential.<sup>24</sup> Above this potential, OH<sup>−</sup> would adsorb on the surface, and even the energetically most favorable reduction step

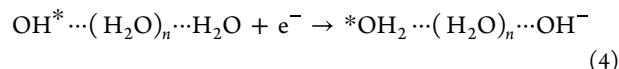


would be followed by OH<sup>−</sup> adsorption, making the overall process an electrochemically inactive one



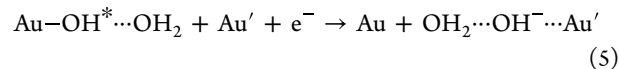
and turning off the reduction current.

Furthermore, as the solvation interaction between OH<sup>−</sup> and H<sub>2</sub>O is strong, reaction 1 is achieved by an indirect process<sup>24</sup>



For OH\* desorption, this means OH\* would pick up a proton from the solvation layer and become an adsorbed H<sub>2</sub>O\*, while an OH<sup>−</sup> is produced in the solvation layer. It is a cross sphere reaction, involving the inner and outer spheres, facilitated by the transfer of a proton through two or three layers of water molecules, so that the product OH<sup>−</sup> is well solvated.

This physical picture is fundamentally changed on Au(100), when the OH<sup>−</sup> can be stabilized by hydrogen bonding with surface Au atoms. The product of OH\* desorption is now in the inner sphere, stabilized by an OH<sup>−</sup>...Au hydrogen bond. The observed reaction during our meta-dynamics simulations, with Au–OH\* as the reaction coordinate, is



The activation barrier is only around 0.1–0.2 eV (see Table S2). More importantly, the process is now an inner sphere reaction, rather than a cross sphere reaction.

Markovic and co-workers have shown that the ORR rates on Pt(111) and Au(100) differ in their sensitivity to the cation identity. On Pt(111), the ORR rate varied in an alkaline MOH solution when M<sup>+</sup> was changed (Cs<sup>+</sup> > K<sup>+</sup> > Na<sup>+</sup> >> Li<sup>+</sup>), even though these cations, being solvated in the solution layer, could have only noncovalent interactions with the electrode surface.<sup>51</sup> On the contrary, they observed no such effects for

the alkaline ORR on Au(100).<sup>52</sup> We have demonstrated in our previous study of Pt(111) that the cationic effect is due to the cross sphere nature of reaction 4: it involves OH<sup>−</sup> in the outer sphere, which is sensitive to the cation solvated in the outer sphere. Our current AIMD simulations demonstrate the corollary on Au(100): with OH<sup>−</sup> stabilized by hydrogen bond interaction with Au(100) in the inner sphere, the rate for reaction 5 should be insensitive to the cation in the outer sphere, in agreement with the experiment.<sup>52</sup>

With a barrier of 0.1–0.2 eV, OH\* desorption should not be the rate-limiting step for ORR on Au(100). As its experimentally measured equilibrium potential is 1.1 V, OH\* desorption does not determine the onset of the ORR current, which is stopped at 1.0 V.<sup>46</sup> The role of OH\* desorption in the overall ORR mechanism on Au(100) is quite different from that on Pt(111) and should be an interesting subject for further study.<sup>53</sup> It is also well-established that the equilibrium potential for OH\* desorption on Pt(111), close to 1.0 V,<sup>54</sup> is lower than that on Au(100). The Au–OH interaction being weaker than the Pt–OH interaction is one obvious factor. The stabilization effect of the OH<sup>−</sup>⋯Au hydrogen bond could be another factor. On Pt(111), OH\* desorption is known to be the key step that determines the onset electrode potential of ORR in an alkaline medium.<sup>24</sup> On Au(100), OH\* desorption is more facile and can reach a higher equilibrium electrode potential. As the OH<sup>−</sup>⋯Au interaction is due to the intrinsic property of Au atoms, such hydrogen bonds should also be present on other gold surfaces or electrodes doped and/or alloyed with gold.

In conclusion, OH<sup>−</sup> can be a hydrogen bond donor to Au on Au(100), and the OH<sup>−</sup>⋯Au hydrogen bond is stable even when a small amount of positive charge is added to the slab. It places the functioning of such a hydrogen bond in the ORR region, in contrast to the Au⋯H<sub>2</sub>O hydrogen bond in the HER region, and points to a fundamental difference in the ORR mechanism between Au and Pt electrodes.

## ■ COMPUTATIONAL DETAILS

All molecular dynamics calculations are performed with the Vienna ab initio package (VASP).<sup>55,56</sup> The total energy and forces are calculated within the framework of density functional theory, which has been widely used in problems such as water autoionization<sup>57–59</sup> and OH<sup>−</sup> solvation.<sup>36,39</sup> The PBE exchange-correlation functional is employed,<sup>60</sup> with the dispersion interaction corrected by the D3 scheme.<sup>61</sup> The cutoff energy for the plane waves is 400 eV, and the atomic core region is described by PAW pseudopotentials.<sup>62</sup> The  $\Gamma$  point is used to integrate the Brillouin zone, and dipole correction along the  $z$  direction is also added.<sup>63</sup>

Au(100) is modeled by a 4 × 4 surface slab of three layers, with the bottom two layers in fixed positions. With spaces available above a 4 × 4 surface for the expected structural fluctuation in the solution layer, such a model has been employed in recent AIMD studies of electrochemical reactions.<sup>64–68</sup> Previous studies have also shown that good accuracy in the dissociation energy of H<sub>2</sub>O,<sup>69</sup> the physisorption energy of H<sub>2</sub>O,<sup>70</sup> and the chemisorption energy of O<sup>71</sup> can be obtained with three layers, which saves computational cost so that a solution layer could be added to the surface. The lengths of  $a$  and  $b$  are both 11.75 Å, optimized from the unit cell of Au metal, while the length of  $c$  is elongated to 39.15 Å, leaving enough space for an interfacial liquid layer of water molecules and a 20 Å vacuum region. There are originally 48 water

molecules, arranged in a standard ice structure on the slab and relaxed by thermal annealing for 10 000 time steps. It is further optimized to reduce the forces on atoms to 0.02 eV/Å. In molecular dynamics simulations, the hydrogen mass is set to 2 amu and the time step is 1.2 fs. The simulation temperature is set to 300 K and controlled by a Nose-Hoover thermostat.<sup>72–74</sup> A similar setup is employed for the study of the Pt(111) electrode, with the same parameters as in our previous study.<sup>24</sup>

Metadynamics is a method for accelerating the sampling of reactive paths with an activation barrier.<sup>75,76</sup> A collective variable (CV) that defines the coordinate of a reaction is first selected, and bias Gaussian hills are successively added during an AIMD simulation. The potential well for the reactants is filled as the simulation progresses, facilitating the pushing over of the system to the potential well of the products. For example, in this study, we use a Au–OH\* distance as the CV to facilitate the desorption of OH\* to OH<sup>−</sup>. The overall Hamiltonian evolves during a simulation run as

$$\tilde{H} = H + V_{\text{bias}}$$

where  $H$  is the initial Hamiltonian and  $V_{\text{bias}}$  is time-dependent and defined as the summation of all of the Gaussian hills added in previous steps

$$V_{\text{bias}} = h \sum_{i=1}^{[t/t_G]} \exp \left[ -\frac{|\xi^{(t)} - \xi^{(it_G)}|^2}{2\omega^2} \right]$$

where  $h$  is the Gaussian height,  $\omega$  the Gaussian width,  $t_G$  the frequency to add the Gaussian function, and  $\xi$  the collective variable (i.e., the reaction coordinate). The parameters are as follows:  $h = 0.03$ ,  $\omega = 0.1$ , and  $t_G = 25$  (obtained after trial runs).  $V_{\text{bias}}$  also provides the free energy curve, as a function of the CV, from which the free energy barrier for the reaction can be obtained. For more details of our metadynamic simulations, see part D of the Supporting Information.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpclett.2c02774>.

Additional results and a discussion of electron-localized function, the relationship between the electrode potential and added charge, interaction energy between the hydrated OH<sup>−</sup> cluster and Au(100), and metadynamics of OH\* desorption (PDF)

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## Notes

The authors declare no competing financial interest.

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