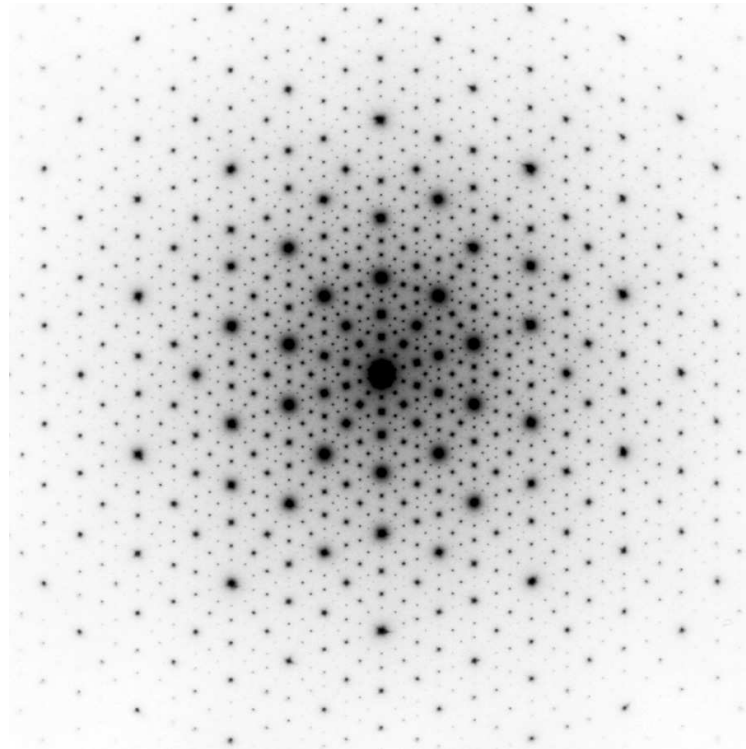


# Diffraction in Aperiodic Order

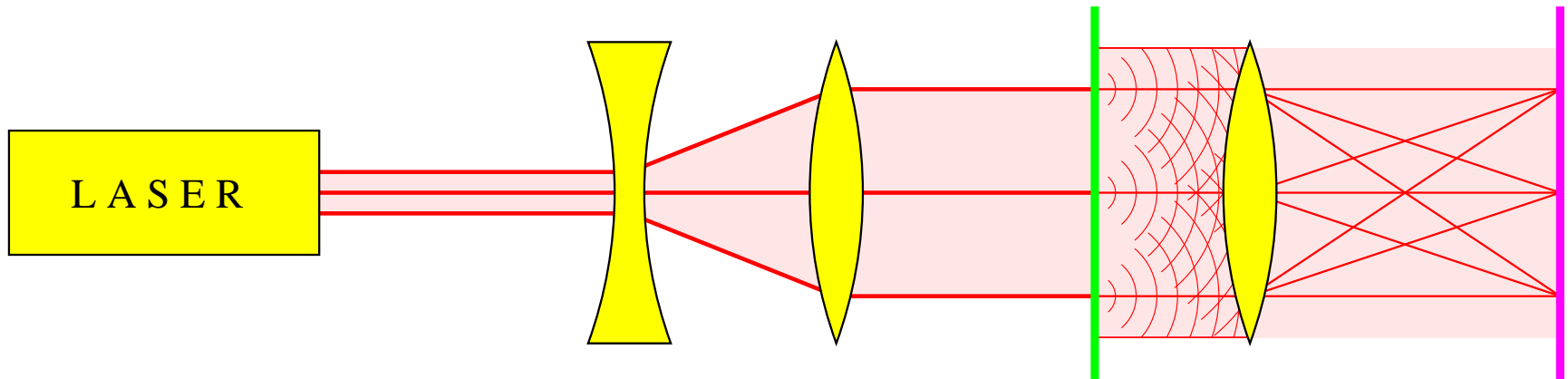
**Uwe Grimm**

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The Open University, Milton Keynes, UK



# Diffraction

## Optical diffraction:



## Diffraction pattern:

- interference of scattered waves
- structure analysis
- X-ray, electron or neutron diffraction
- information on order and symmetry

# Diffraction theory

**Wiener diagram:**

$$\begin{array}{ccc} g & \xrightarrow{*} & g * \tilde{g} \\ \mathcal{F} \downarrow & & \downarrow \mathcal{F} \\ \widehat{g} & \xrightarrow{|\cdot|^2} & |\widehat{g}|^2 \end{array}$$

commutative for integrable function  $g$  (with  $\tilde{g}(x) := \overline{g(-x)}$ )

**Kinematic diffraction:**

diagonal map  $g \mapsto |\widehat{g}|^2$

**Mathematical diffraction theory:**

use path via autocorrelation

for translation bounded measures

# Diffraction theory

**Structure:** translation bounded measure  $\omega$   
assumed 'amenable'

**Autocorrelation:**  $\gamma = \gamma_\omega = \omega \circledast \tilde{\omega} := \lim_{R \rightarrow \infty} \frac{\omega|_R * \widetilde{\omega|_R}}{\text{vol}(B_R)}$

**Diffraction:**  $\boxed{\hat{\gamma} = \hat{\gamma}_{\text{pp}} + \hat{\gamma}_{\text{sc}} + \hat{\gamma}_{\text{ac}}}$  (relative to  $\lambda$ )

- pp: Bragg peaks
- ac: diffuse scattering with density
- sc: whatever remains ...

**Setting:**  $\omega \rightsquigarrow \gamma = \omega \circledast \tilde{\omega} \rightsquigarrow \hat{\gamma} \not\rightsquigarrow \omega$

# Perfect (conventional) crystals

**Point measures:**  $\delta_x$  ,  $\delta_S := \sum_{x \in S} \delta_x$

**Poisson summation formula:**

$$\widehat{\delta_\Gamma} = \text{dens}(\Gamma) \delta_{\Gamma^*}$$

for lattice  $\Gamma$ , dual lattice  $\Gamma^*$

**Perfect crystals:**  $\omega = \mu * \delta_\Gamma$  ( $\mu$  finite)

→  $\gamma = \text{dens}(\Gamma) (\mu * \tilde{\mu}) * \delta_\Gamma$

→  $\widehat{\gamma} = (\text{dens}(\Gamma))^2 |\widehat{\mu}|^2 \delta_{\Gamma^*}$

# Perfect quasicrystals

**CPS:**

$$\begin{array}{ccccc}
 \mathbb{R}^d & \xleftarrow{\pi} & \mathbb{R}^d \times \mathbb{R}^m & \xrightarrow{\pi_{\text{int}}} & \mathbb{R}^m \\
 \cup & & \cup & & \cup \text{ dense} \\
 \pi(\mathcal{L}) & \xleftarrow{1-1} & \mathcal{L} & \longrightarrow & \pi_{\text{int}}(\mathcal{L}) \\
 \parallel & & & & \parallel \\
 L & \xrightarrow{\quad \star \quad} & & & L^\star
 \end{array}$$

**Model set:**

$$\Lambda = \{x \in L \mid x^\star \in W\} \quad (\text{assumed regular})$$

with  $W \subset \mathbb{R}^m$  compact,  $\lambda(\partial W) = 0$

**Diffraction:**

$$\widehat{\gamma} = \sum_{k \in L^\circledast} |A(k)|^2 \delta_k$$

with  $L^\circledast = \pi(\mathcal{L}^\star)$  (Fourier module of  $\Lambda$ )

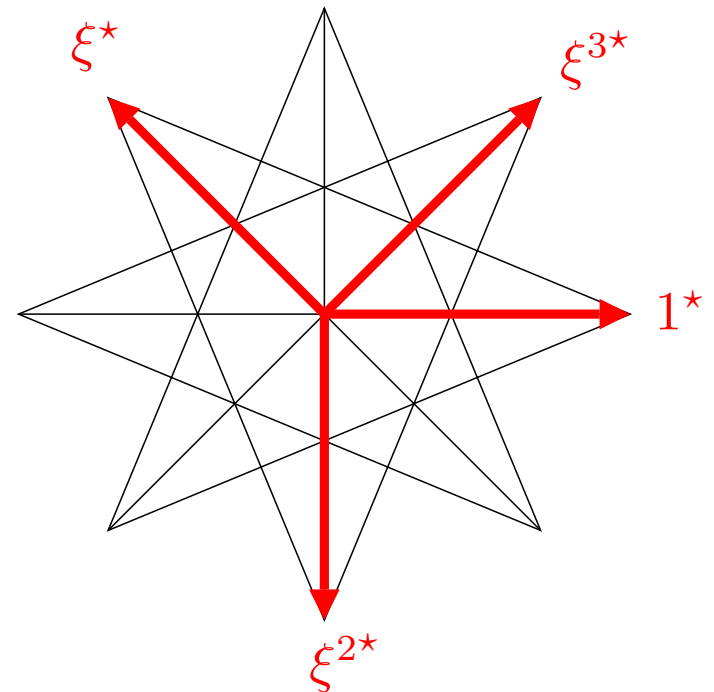
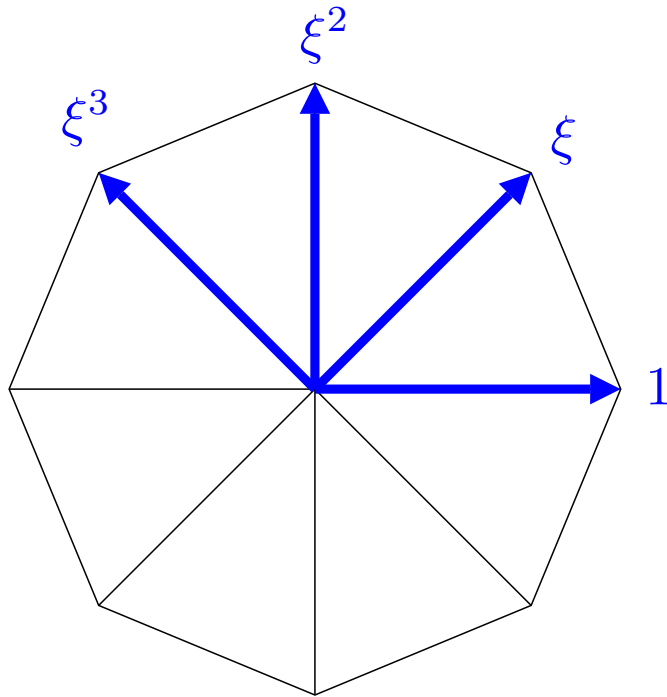
and amplitude  $A(k) = \frac{\text{dens}(\Lambda)}{\text{vol}(W)} \widehat{1_W}(-k^\star)$

# Example: Ammann–Beenker

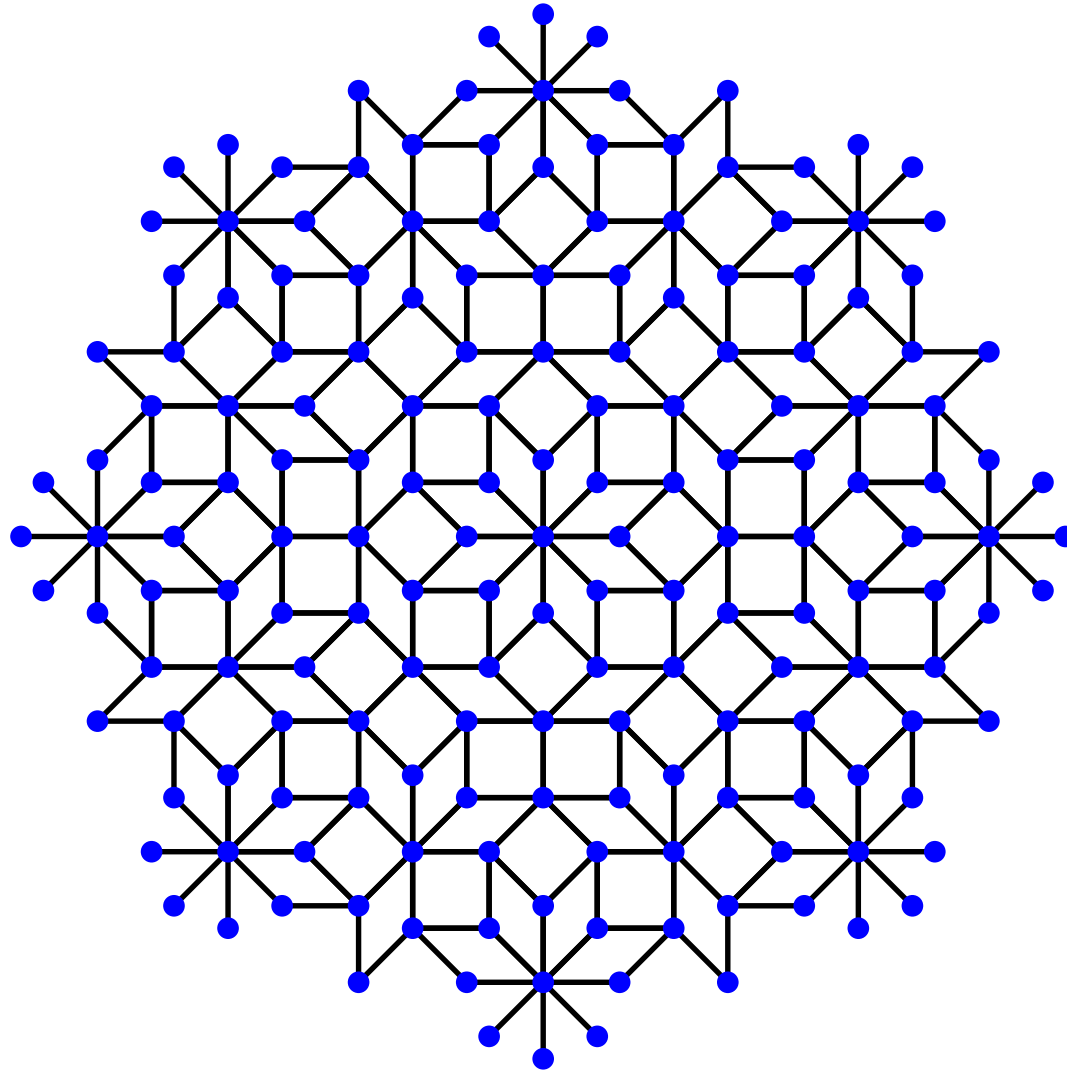
$$L = \mathbb{Z}[\xi] \quad \mathcal{L} \sim \mathbb{Z}^4 \subset \mathbb{R}^2 \times \mathbb{R}^2 \quad O: \text{octagon}$$

$$\xi = \exp(2\pi i/8) \quad \phi(8) = 4 \quad \star\text{-map: } \xi \mapsto \xi^3$$

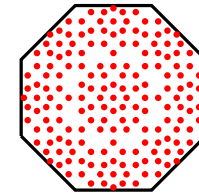
$$\Lambda_{\text{AB}} = \{x \in \mathbb{Z}1 + \mathbb{Z}\xi + \mathbb{Z}\xi^2 + \mathbb{Z}\xi^3 \mid x^\star \in O\}$$



# Example: Ammann–Beenker



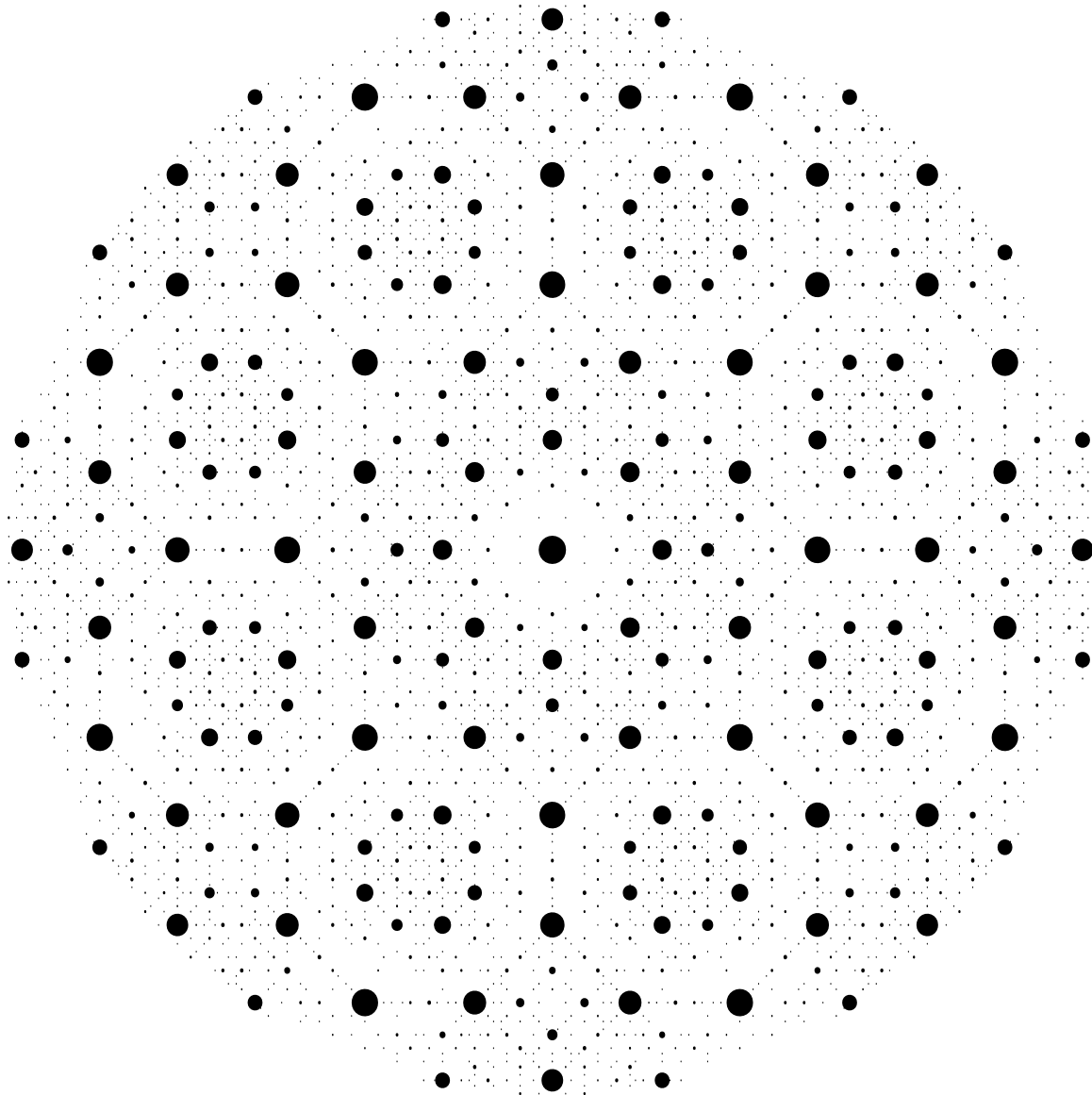
physical space



internal space



# Example: Ammann–Beenker



# Dirac combs on $\mathbb{Z}$

**Weighted Dirac comb (on  $\mathbb{Z}$ ):**  $\omega = \sum_{n \in \mathbb{Z}} w(n) \delta_n$

**Autocorrelation:**  $\gamma = \sum_{m \in \mathbb{Z}} \eta(m) \delta_m$

**Autocorrelation coefficients:**

$$\eta(m) = \lim_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{n \in [-N, N]} w(n) \overline{w(n-m)}$$

**Diffraction:**

$$\hat{\gamma} = \hat{\gamma}_{\text{pp}} + \hat{\gamma}_{\text{sc}} + \hat{\gamma}_{\text{ac}}$$

# SC spectra: Thue–Morse

**Substitution:**  $\varrho : \begin{array}{l} 1 \mapsto 1\bar{1} \\ \bar{1} \mapsto \bar{1}1 \end{array} \quad (\bar{1} \hat{=} -1)$

**Iteration and fixed point:**

$1 \mapsto 1\bar{1} \mapsto 1\bar{1}\bar{1}1 \mapsto 1\bar{1}\bar{1}1\bar{1}11\bar{1} \mapsto \dots \longrightarrow v = \varrho(v) = v_0v_1v_2v_3\dots$

$$\boxed{v_{2i} = v_i} \quad \text{and} \quad \boxed{v_{2i+1} = \bar{v}_i}$$

—▷ **recursion for autocorrelation coefficients:**

$$\boxed{\eta(2m) = \eta(m)} \quad \text{and} \quad \boxed{\eta(2m+1) = -\frac{1}{2}(\eta(m) + \eta(m+1))}$$

for all  $m \in \mathbb{Z}$ , with  $\eta(0) = 1$

—▷ **diffraction** is purely singular continuous

# SC spectra: Thue–Morse

**Exponential sum:**

$$g_n(k) = \sum_{\ell=0}^{2^n-1} v_\ell e^{-2\pi i k \ell}$$

**Recursion:**

$$g_{n+1}(k) = (1 - e^{-2\pi i k 2^n}) g_n(k)$$

with  $g_0(k) = 1$

(follows from  $v^{(n+1)} = v^{(n)} \bar{v}^{(n)}$ )

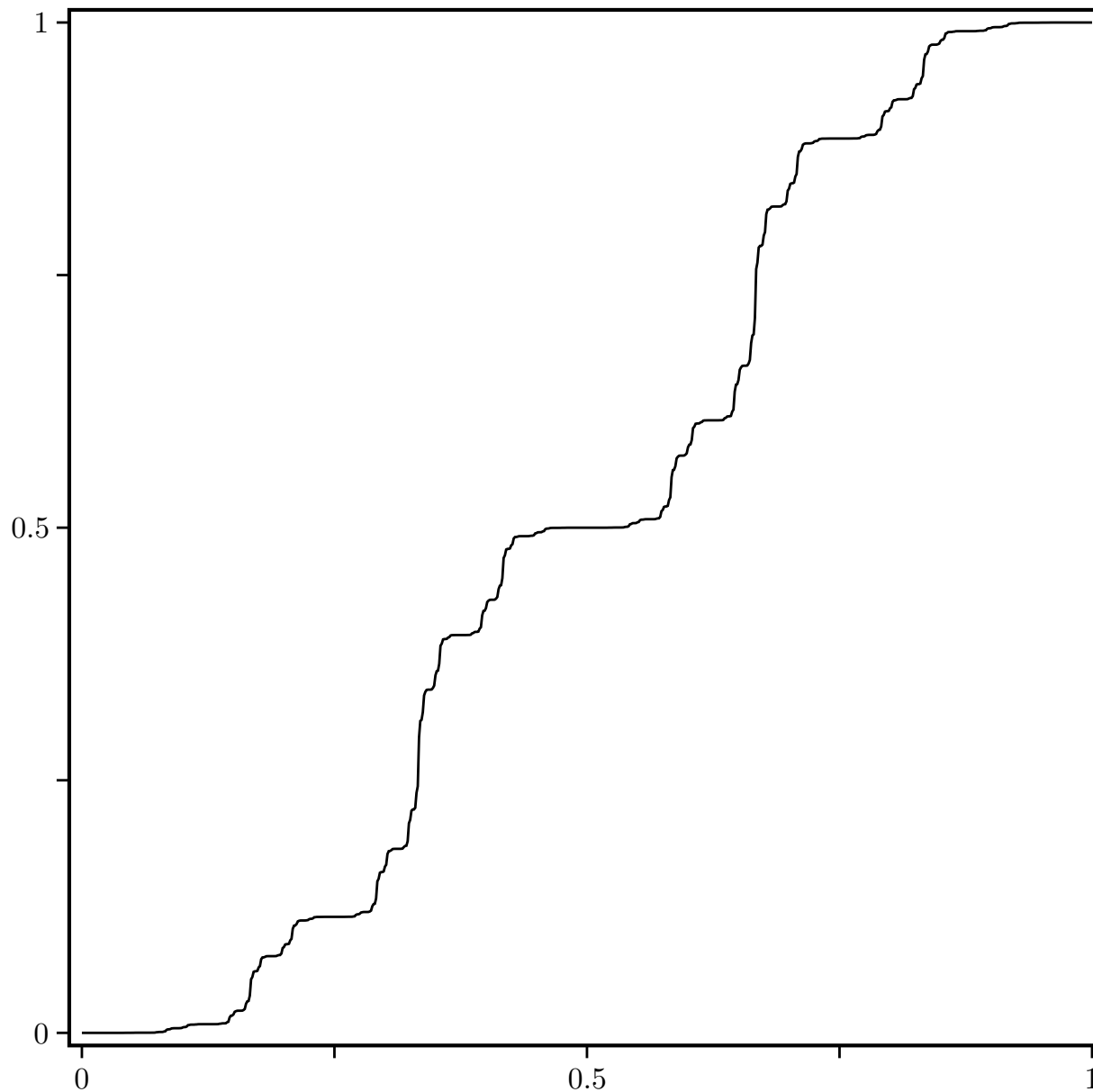
**Riesz product:**

$$\hat{\gamma} = \prod_{n \geq 0} (1 - \cos(2^{n+1} \pi x))$$

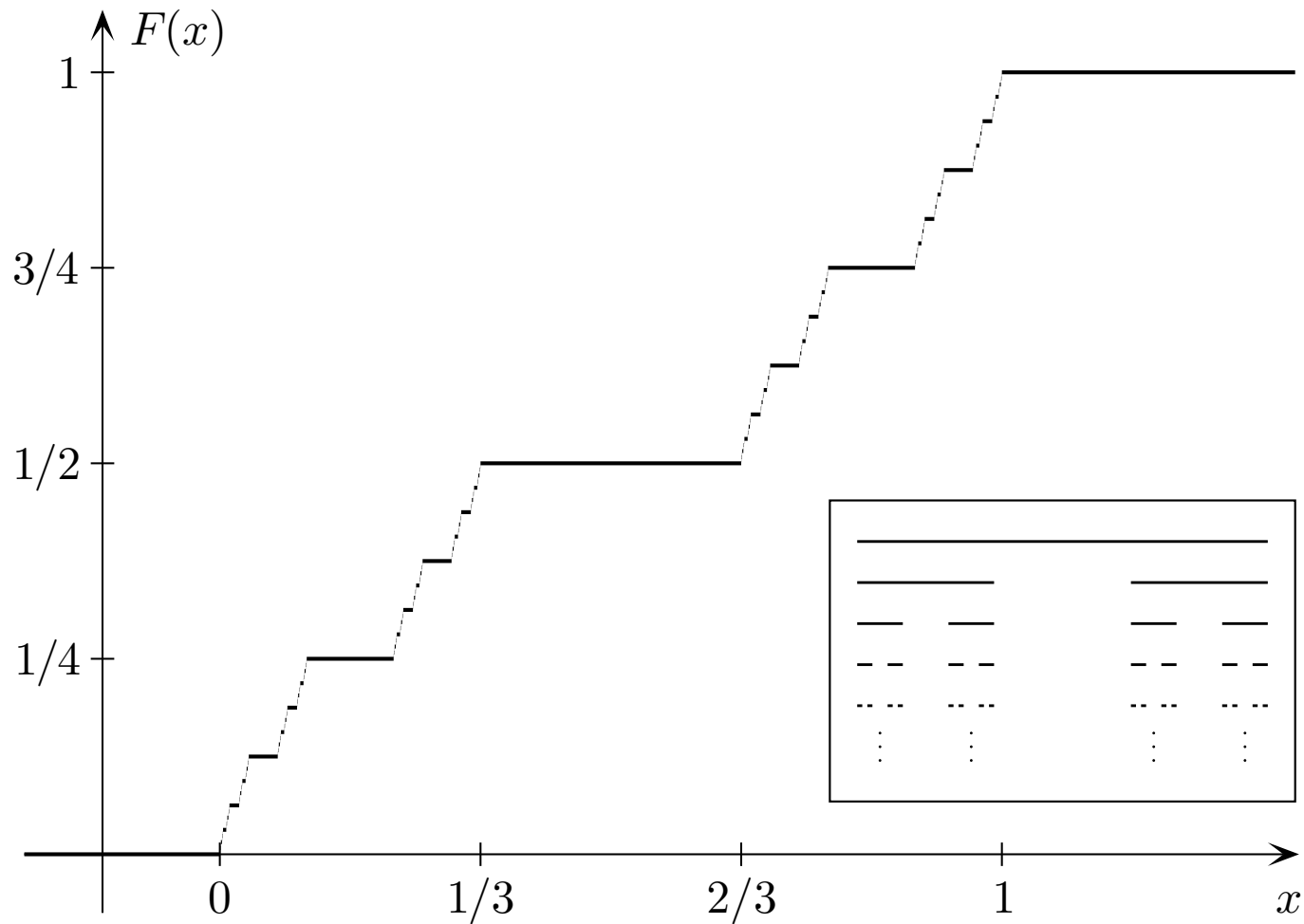
(vague convergence)

→ approach can be generalised to other sequences and higher-dimensional block substitutions

# Thue–Morse measure



# Comparison: Cantor measure



# Pure point factor

**Block map:**  $\psi : \quad 1\bar{1}, \bar{1}1 \mapsto a, \quad 11, \bar{1}\bar{1} \mapsto b$



➤ **period doubling:**  $\varrho' : \begin{array}{l} a \mapsto ab \\ b \mapsto aa \end{array}$   
↑  
coincidence ➤ **model set**

➤ global 2:1 factor of Thue–Morse

➤ recovers pure point part of dynamical spectrum

# AC spectra: Coin tossing sequence

**Sequence:** i.i.d. random variables  $W_n \in \{\pm 1\}$   
with probabilities  $p$  and  $1-p$

**Entropy:**  $H(p) = -p \log(p) - (1-p) \log(1-p)$

**Autocorrelation:**  $\gamma_B = \sum_{m \in \mathbb{Z}} \eta_B(m) \delta_m$  with

$$\eta_B(m) := \lim_{N \rightarrow \infty} \frac{1}{2N+1} \sum_{n=-N}^N W_n W_{n+m} \stackrel{(\text{a.s.})}{=} \begin{cases} 1, & m = 0 \\ (2p-1)^2, & m \neq 0 \end{cases}$$

(strong law of large numbers)

**Diffraction:**

$$\widehat{\gamma_B} \stackrel{(\text{a.s.})}{=} (2p-1)^2 \delta_{\mathbb{Z}} + 4p(1-p) \lambda$$



# ‘Hidden’ order: Rudin–Shapiro

Binary Rudin–Shapiro sequence:  $w(-1) = -1$ ,  $w(0) = 1$ ,  
and

$$w(4n + \ell) = \begin{cases} w(n), & \text{for } \ell \in \{0, 1\} \\ (-1)^{n+\ell} w(n), & \text{for } \ell \in \{2, 3\} \end{cases}$$

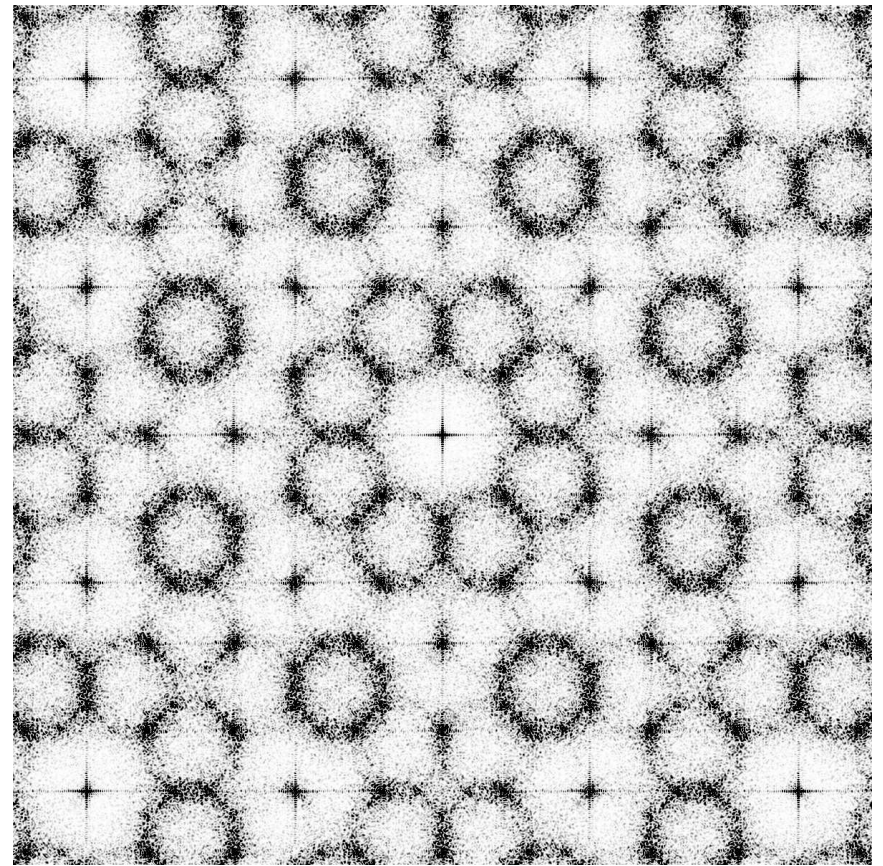
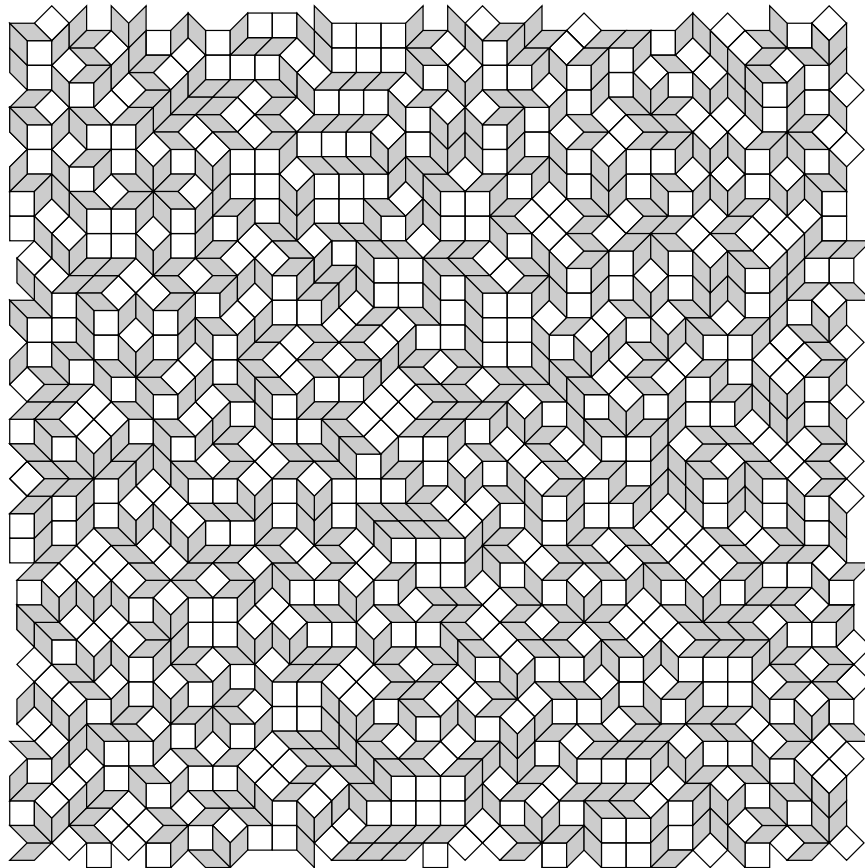


Autocorrelation and diffraction:  $\boxed{\gamma_{\text{RS}} = \delta_0}$  and  $\boxed{\widehat{\gamma_{\text{RS}}} = \lambda}$

- ▷ deterministic, but homometric with coin tossing
- ▷ all two-point correlations vanish
- ▷ featureless diffraction
- ▷ can be generalised (by ‘Bernoullisation’)
- ▷ family of homometric systems with varying entropy
- ▷ block map  $\psi$  induced pure point factor

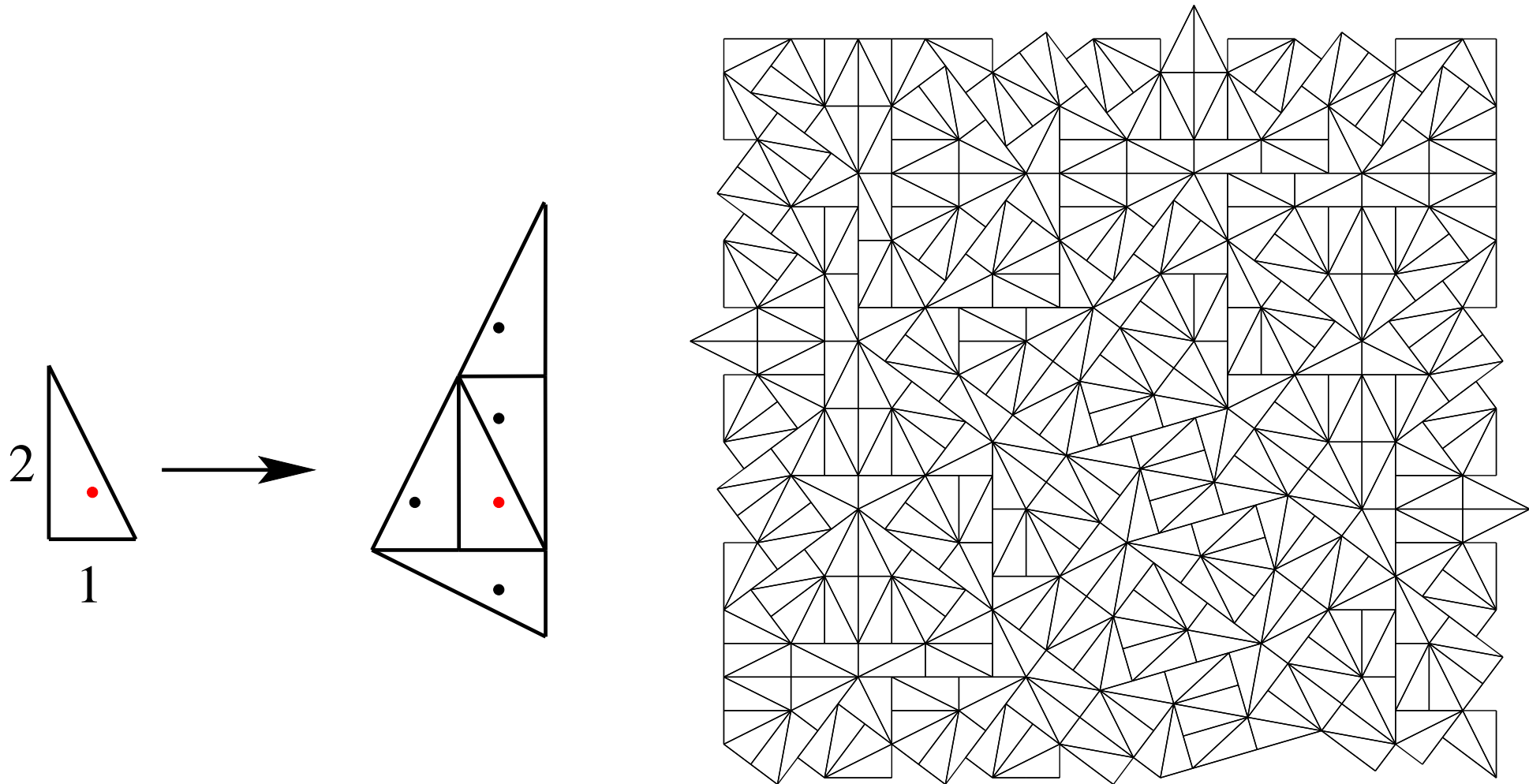
# Diffraction of random tilings

Patch of a square rhombus random tiling,  
and diffraction from this finite patch:



Expectation: mixed spectrum with trivial Bragg peak at 0,  
and a mixture of singular and absolutely continuous parts

# Pinwheel diffraction



# Pinwheel diffraction

Autocorrelation is circularly symmetric,

$$\gamma_{\Lambda} = \delta_0 + \sum_{r \in \mathcal{D} \setminus \{0\}} \eta(r) \mu_r,$$

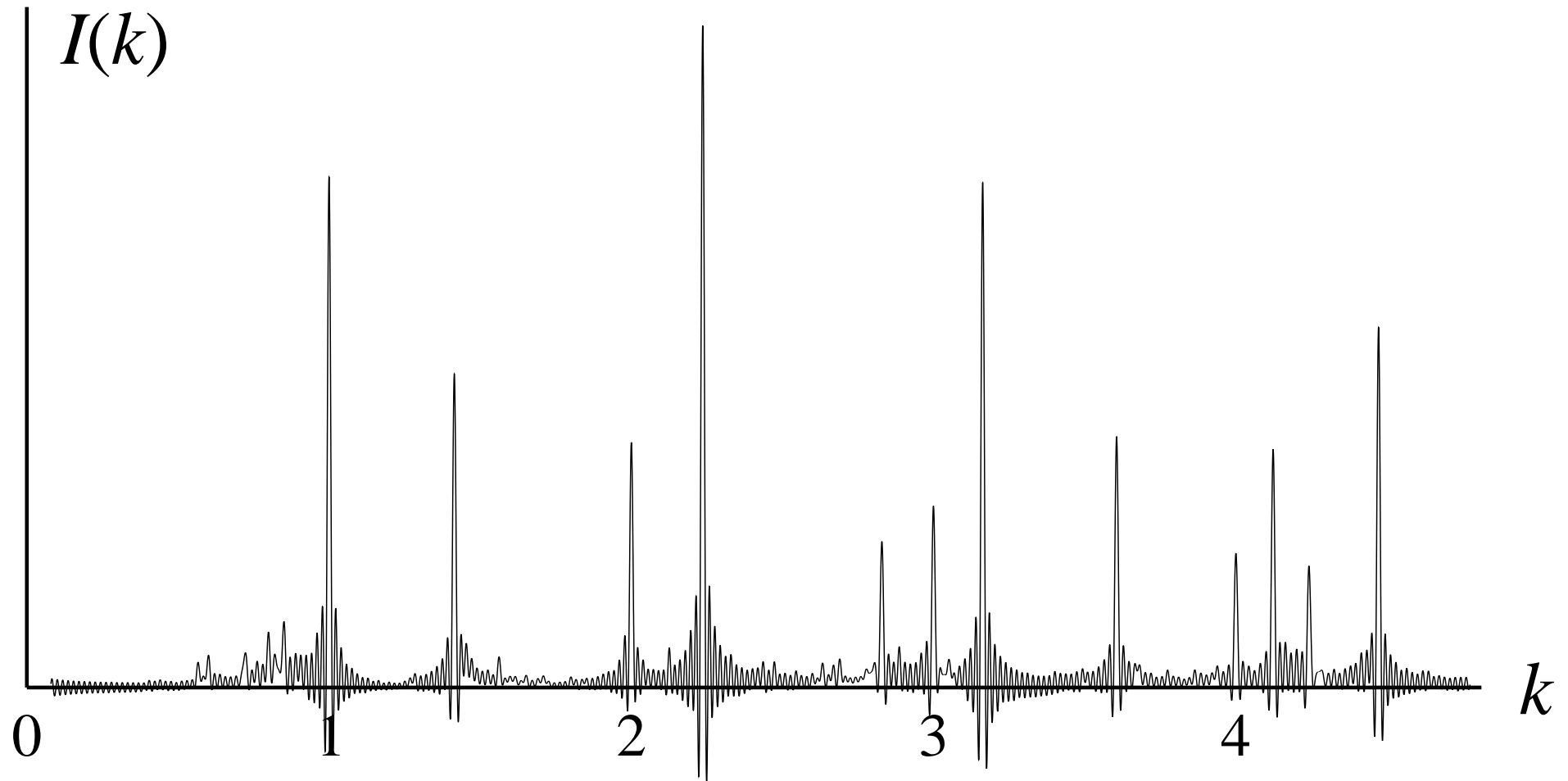
with  $\mu_r$  the normalised uniform distribution on  $r\mathbb{S}^1$

R.V. Moody, D. Postnikoff and N. Strungaru, Circular symmetry of pinwheel diffraction,  
Ann. H. Poincaré 7 (2006) 711–730

- ▷  $(\widehat{\gamma}_{\Lambda})_{\text{pp}} = (\text{dens}(\Lambda))^2 \delta_0 = \delta_0$
- ▷ diffraction intensity on rings (singular component)
- ▷ also *absolutely continuous* component?

# Pinwheel diffraction

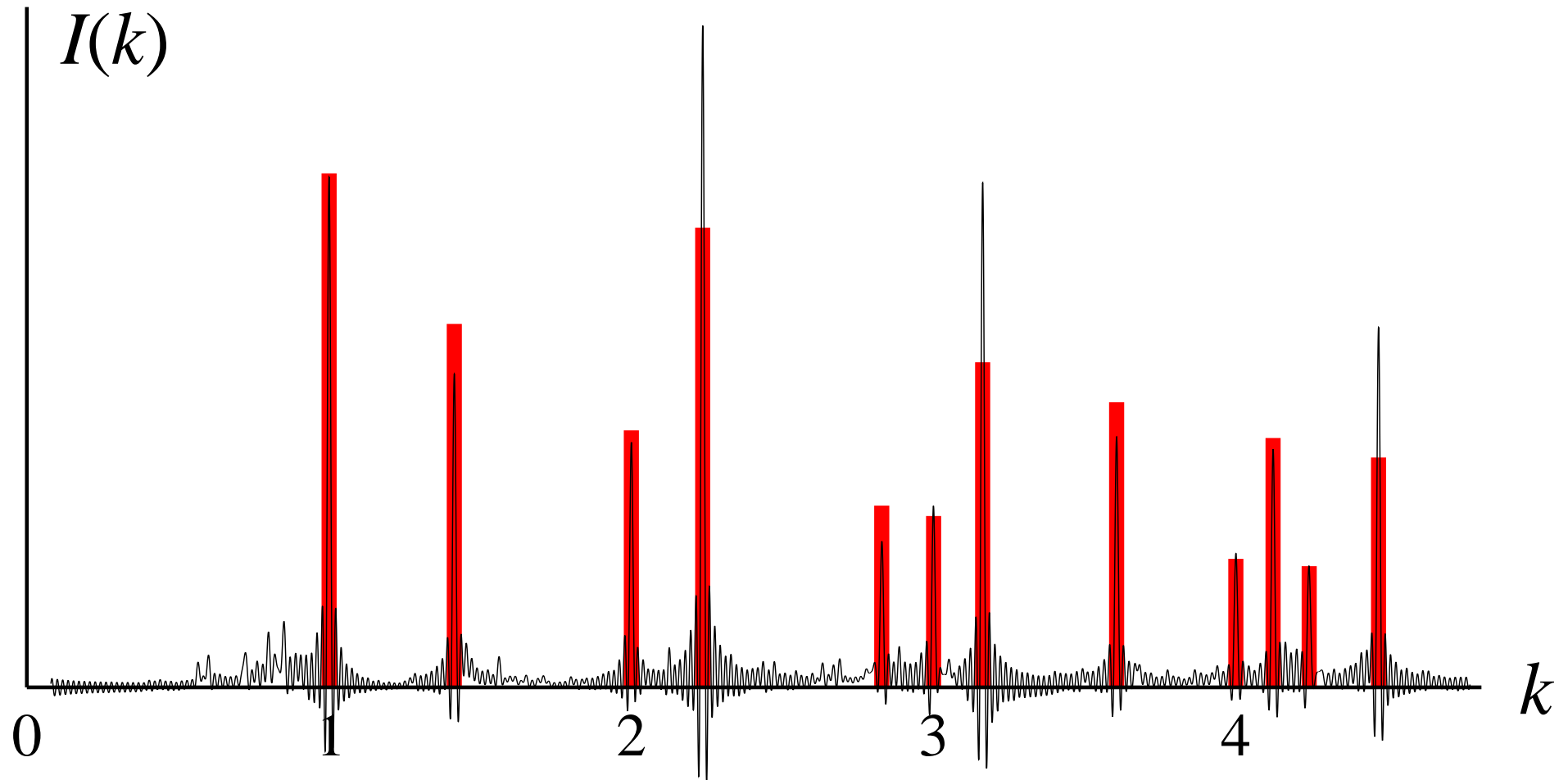
pinwheel radial intensity (numerical)



# Pinwheel diffraction

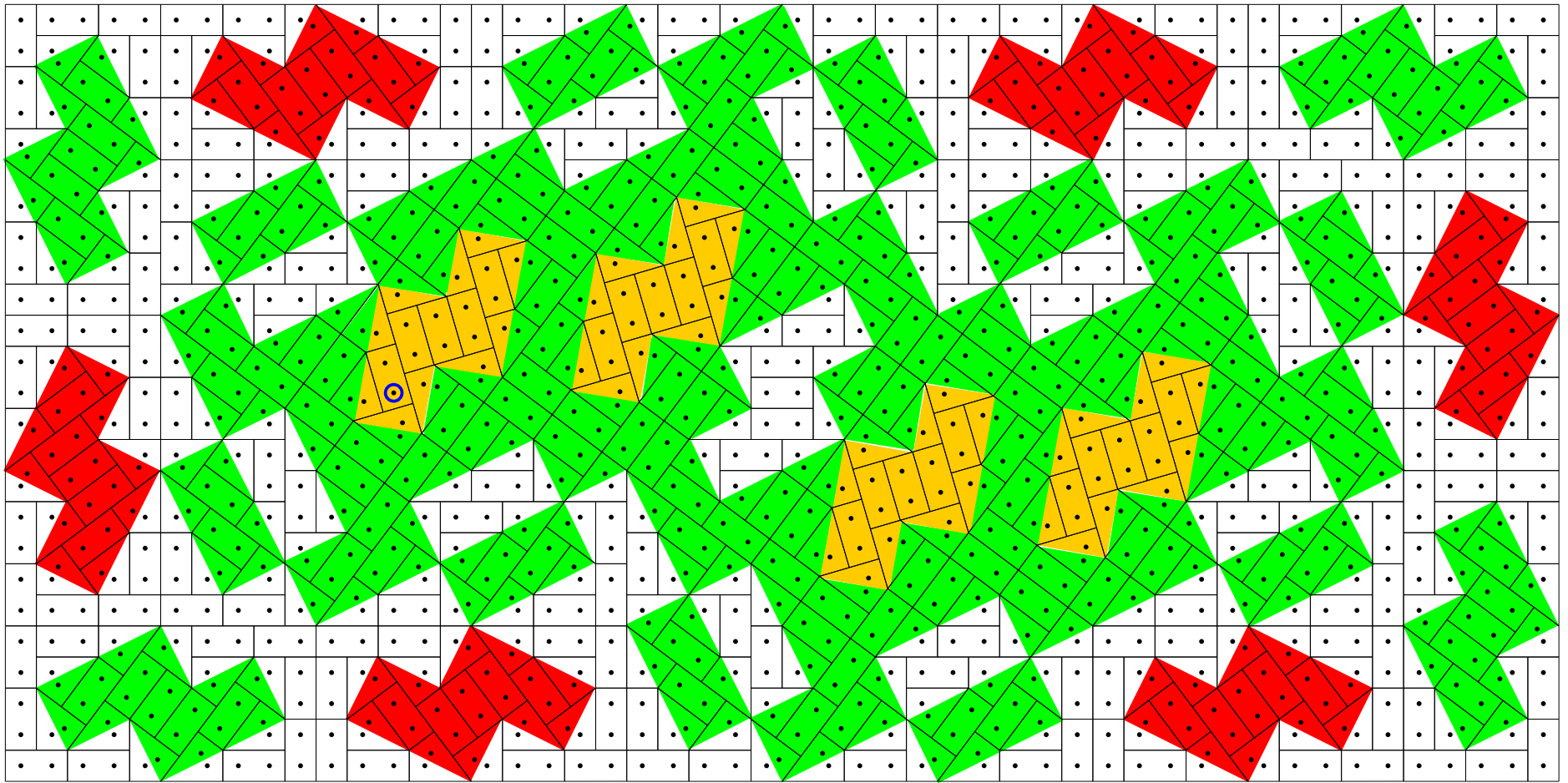
pinwheel radial intensity (numerical)

square lattice powder diffraction



(central intensity suppressed; relative scale chosen such peaks at  $k = 1$  match)

# Pinwheel diffraction

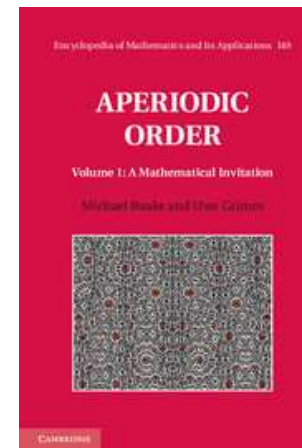




# Summary

- cut and project sets well understood
- systems with continuous diffraction explicitly accessible
- ordered structures with continuous diffraction
- ‘hidden’ order in systems with continuous diffraction
- close relation between diffraction and dynamical spectra
- interesting structures beyond aperiodic crystals
- still many open questions

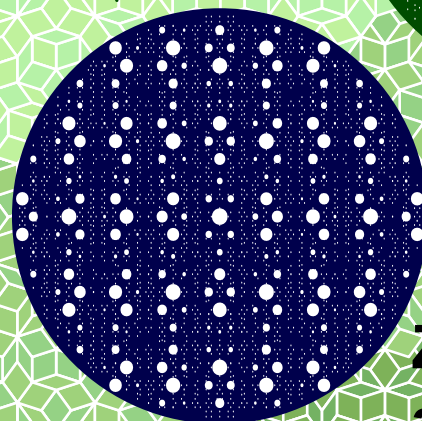
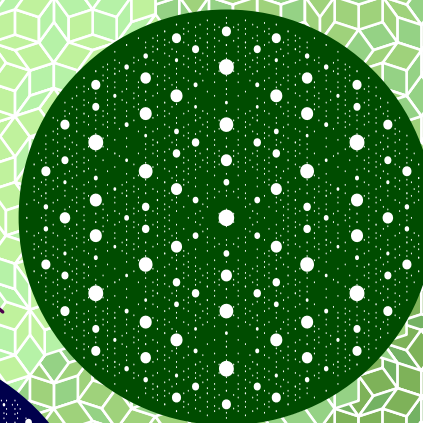
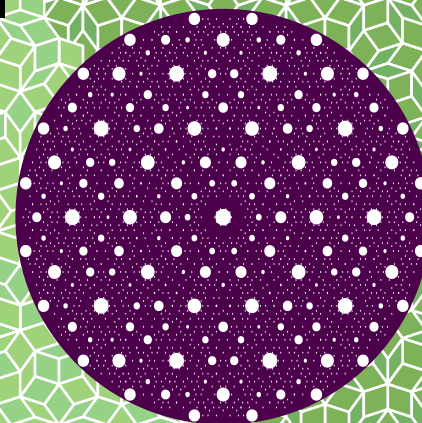
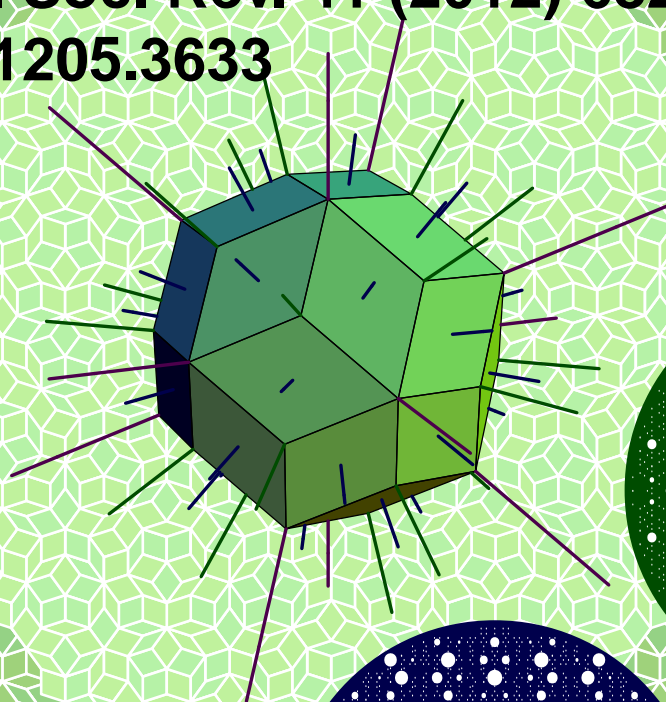
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**M Baake & U Grimm, Kinematic diffraction from a mathematical viewpoint, Z. Krist. 226 (2011) 711, arXiv:1105.0095**

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