# **DSA Midterm Exam 2020**

### 答案仅供参考!

Q1

Which of the following is (are) correct?

- n<sup>2</sup> in Theta (n<sup>2</sup>)
- $\square$  n<sup>3</sup> in O(n<sup>2</sup>)
- $\blacksquare$   $n^2$  in  $O(n^3)$
- $\square$  n<sup>3</sup> in Theta (n<sup>2</sup>)
- $\square$  n<sup>2</sup> in Theta (n<sup>3</sup>)
- $\blacksquare$   $n^2$  in  $O(n^2)$

Q2

What is the minimal number of leaves that a decision tree for some sorting algorithm for an input of size n has?

- O 2<sup>(n-1)</sup>
- n!
- O nlog(n)
- $O_2^n$

Q3

What is the result of adding the key 10 to the max-heap [7,6,5,4,3,2,1,0,0,0] with initially heap size 7?

We apply the adding-operation on the fly.

(But see attached pseudo-code)

```
Algorithm heapIncreaseKey(H, i, k):

if k < H[i] then

return error

H[i] := k

while i > 1 and H[\mathsf{parent}(i)] < H[i] do

swap(H[\mathsf{parent}(i)], H[i])

i := \mathsf{parent}(i)

Algorithm heapInsert(H, k):

H.heap\text{-}size := H.heap\text{-}size + 1

H[H.heap\text{-}size] := -\infty

HeapIncreaseKey(H, H.heap\text{-}size, k)

O [10,7,6,5,2,3,4,1,0,0] with heapsize 10.

© [10,7,5,6,3,2,1,4,0,0] with heapsize 8.

O [7,5,6,1,2,3,4,10,0,0] with heapsize 8.
```

In heapsort we use the subroutine MaxHeapify(see the attached pseducode, which takes as input an array A and an index i in A. What is the worst-case time complexity of MaxHeapify (One or more options are correct)

O [10,7,6,5,4,3,2,1,0,0] with heapsize 8.

```
Algorithm MaxHeapify(A, i):
   l := left(i)
   r := \mathsf{right}(i)
   if l \leq A.heap-size and A[l] > A[i] then
       largest := l
   else
       largest := i
   if r \leq A.heap-size and A[r] > A[largest] then
       largest := r
   if largest \neq i then
       swap(A[i], A[largest])
       \mathsf{MaxHeapify}(A, largest)
        O (h) with h the height of the max-heap
        ☐ O (n) with n the number of keys of the max-heap
        □ O (log(h)) with h the height of the max-heap
        O (log(n)) with n the number of keys of the max-heap
        \Box O (n/2) with n the number of keys of the max-heap
```

We consider two statements. Statement A is:  $2^{(n+1)}$  is in  $O(2^n)$ . Statement B is:  $2^{2n}$  is in  $O(2^n)$ . Which of the following holds?

- O B is true but A is false.
- O A and B are both true.
- A is true but B is false.
- O A and B are both false.

Which of the following recurrence equations(one or more) describe(s) correctly a function T for the worst-case time complexity of merge sort (assuming that T(1)=1)?

- $\blacksquare$  2T(n/2) + 4n
- $\Box$  T(n/2) + n
- $\Box$  4T(n/2) + 2n
- 2T(n'2) + n
- 2T(n/2) + cn with c a constant

## Q7

Why is quicksort a good sorting algorithm?

- Because it is rather efficient, despite the fact that it does not have an optimal worst case time complexity.
- O Because it is the default sorting algorithm in the libraries of major programming languages.
- O Because it is a recursive algorithm.
- Because it has good worst-case time complexity.

### Q8

Which one of the following arrays is(are) a max-heap?

- **1** [7,6,3,5,4,2,1]
- **1** [7,4,6,3,1,5,2]
- $\Box$  [7,6,4,1,2,5,3]
- $\Box$  [7,6,2,5,4,3,1]
- □ [1,2,3,4,5,6,7]

We consider the algorithm partition used in quicksort (see the attached pseudo-code). What is the worst-case time complexity?

Algorithm partition(A, p, r): x := A[r] i := p - 1 for j = p to r - 1 do  $\text{if } A[j] \le x \text{ then}$  i := i + 1 exchange A[i] with A[j] exchange A[i + 1] with A[r] return i + 1

- O The worst-case time complexity is in Theta (n<sup>2</sup>) with n the number of elements in the array A.
- O The worst-case time complexity is in Theta (n log(n)) with n the number of elements in the array A.
- The worst-case time complexity is in Theta (n) with n the number of elements in the array A.
- O The worst-case time complexity is in Theta (log (n)) with n the number of elements in the array A.

### Q10

We consider the algorithm MaxHeapify(see attached pseudo-code) and we consider an execution of MaxHeapify with input an array A of length n, and an index i which is at least n/2.

What is the time complexity of such an execution?

```
\begin{aligned} & \textbf{Algorithm} \  \, \mathsf{MaxHeapify}(A,i) \colon \\ & l := \mathsf{left}(i) \\ & r := \mathsf{right}(i) \\ & \text{if} \  \, l \leq A.heap\text{-}\mathit{size} \  \, \mathbf{and} \  \, A[l] > A[i] \  \, \mathbf{then} \\ & largest := l \\ & \mathbf{else} \\ & largest := i \\ & \text{if} \  \, r \leq A.heap\text{-}\mathit{size} \  \, \mathbf{and} \  \, A[r] > A[largest] \  \, \mathbf{then} \\ & largest := r \\ & \text{if} \  \, largest \neq i \  \, \mathbf{then} \\ & \mathsf{swap}(A[i], A[largest]) \\ & \mathsf{MaxHeapify}(A, largest) \end{aligned}
```

- O Approximately log(n) time, with n the length of the array A.
- Elementary time.
- O Approximately n time, with n the length of the array A.
- O Approximately n/2 time with n the length of the array A.

Merge-sort is a divide-and-conquer algorithm.

Suppose we adapt merge sort by splitting the sequence into 4 more or less equal-size parts. Do we get an essentially better worst-case time complexity?

- No, because the height of the recursion tree is then still proportional to log(n).
- O Yes, we get an algorithm which is twice as fast because the recursion tree has smaller height.
- O No, because then the merge-procedure takes more time,
- O Yes, because the merge-procedure takes smaller inputs.

We consider bucket sort (see the attached pseudo-code description).

What is the worst-case running time of bucket sort?

```
\begin{aligned} & \mathbf{Algorithm} \  \, \mathsf{bucketSort}(A) \colon \\ & n := A.length \\ & \mathbf{new} \  \, \mathsf{array} \, B[0 \ldots n-1] \\ & \mathbf{for} \  \, i := 0 \  \, \mathbf{to} \  \, n-1 \  \, \mathbf{do} \\ & \quad \mathsf{make} \, B[i] \, \mathsf{an} \, \mathsf{empty} \, \mathsf{list} \\ & \mathbf{for} \, \, i := 1 \  \, \mathbf{to} \, \, n \, \, \mathbf{do} \\ & \quad \mathsf{insert} \, A[i] \, \mathsf{into} \, \mathsf{list} \, B[\lfloor n \cdot A[i] \rfloor] \\ & \mathbf{for} \, \, i := 0 \  \, \mathbf{to} \, \, n-1 \, \, \mathbf{do} \\ & \quad \mathsf{insertionSort}(B[i]) \\ & \quad \mathsf{concatenate} \, B[0], B[1], \ldots, B[n-1] \end{aligned}
```

- O It is in Theta (n<sup>2</sup>), because worst-case sorting is always in n<sup>2</sup>
- It is in Theta (n²), because we may call insertion sort, which is quadratic, on an input of size n, namely if (floor of nA[i]) is the same for all indices
  i.
- O It is in Theta (n³), because we may call insertion sort which is quadratic n times
- O It is in Theta (n), because the for-loops are not nested

### Q13

An algorithm with worst-case time complexity Theta(nlog(n)) is always, for any input, faster than an algorithm with worst-case time complexity in Theta( $n^2$ ).

Is this statement true of false, and why?

- False, because for a small input or for a special input an algorithm with worst-care time complexity in Theta (n²) may perform better than an algorithm with worst-case time complexity in Theta (nlog(n)).
- O True, because Theta gives a strict bound
- O True, because nlog(n) has a lower rate of growth than  $n^2$
- O False, because n log(n) and n<sup>2</sup> have the same rate of growth anyway.

### **Q14**

We consider the algorithm for partition (see pseudo-code attached).

Apply partition to the input array A = [3,7,6,1,8,5,2,4] and indices p = 1 and r = 8.

What is A after having executed the iterations for j = 1,2,3,4?

# Algorithm partition(A, p, r): x := A[r] i := p - 1 for j = p to r - 1 do $\text{if } A[j] \le x \text{ then}$ i := i + 1 exchange A[i] with A[j] exchange A[i + 1] with A[r] return i + 1

$$\bullet$$
 A = [3,1,6,7,8,5,2,4]

$$O A = [3,7,6,4,8,5,2,1]$$

$$O A = [1,3,6,7,8,5,2,4]$$

### Q15

We consider counting sort(see the attached pseudo-code). What happens if we change the last for-loop by letting the index j go from 1 up to A.length?

# Algorithm countingSort(A, B, k): new array C[0 ... k]for i := 0 to k do C[i] := 0for j := 1 to A.length do C[A[j]] := C[A[j]] + 1for i := 1 to k do C[i] := C[i] + C[i - 1]for j := A.length downto 1 do B[C[A[j]]] := A[j] C[A[j]] := C[A[j]] - 1

| 0           | The change has no effect at all.   |
|-------------|--|
| 0           | The algorithm is no longer correct.  |
| 0           | The algorithm sorts in reverse order.  |
| •           | The algorithm still sorts correctly, but is no longer stable.  |
| Q16         |  |
| What is the | e best-case time complexity of insertion sort?   |
|             | O Theta (n²)   |
|             | O Theta (log(n))   |
|             | O Theta (n log(n))   |
|             | Theta (n)  |
| Q17         |  |
| removing e  | ort algorithm consists of two parts: first build a max-heap and then iterate elements from it. Which of the two parts is responsible for the worst case of heapsort? |
| •           | The second part, because the first part is linear.   |
| 0           | It depends on the input.   |
| 0           | Both parts have the worst time complexity of heapsort.   |
| 0           | The first part, because the second part is linear.   |
|             |  |

We consider an application of buildMaxHeap (see attached) to A=[1,2,3,4,5,6,7]. What is A after the first iteration of the for-loop?

# **Algorithm** buildMaxHeap(H):

$$H.heap\text{-}size := H.length$$
  
 $\mathbf{for}\ i = \lfloor H.length/2 \rfloor \ \mathbf{downto}\ 1 \ \mathbf{do}$   
 $\mathsf{MaxHeapify}(\mathsf{H},\mathsf{i})$ 

- [1,2,7,4,5,6,3]
- O [1,5,3,4,2,6,7]
- O [3,2,7,4,5,6,1]
- O [3,2,1,4,5,6,7]

### Q19

Consider the following recurrence equation:

$$T(0) = 1$$
 and  $T(n) = (n-1) + n$  for  $n > 1$ .

What is the big-O of T?

- O T(n) in O (n)
- $\odot$  T(n) in O (n<sup>2</sup>)
- O T(n) in O  $(2^n)$
- O T(n) in O (n log(n))

### **Q20**

We consider a max-heap containing n different keys. Why do we have that the height of such a max-heap is approximately log(n)?

|              | Because a max-heap is a binary tree.   |
|--------------|--|
| 0            | Because the keys in a max-heap are partially ordered.  |
| •            | Because a max-heap is an almost-complete binary tree.  |
| 0            | Because all elements are different.  |
| Q21          |  |
| _            | e linear time complexity of counting sort not contradict the lower bound on se complexity of comparison-based sorting? |
| O Because t  | he lower bound is on worst-case and the linear time complexity of counting sort is best-                               |
| O Because t  | he lower bound is linear time.   |
| Because of   | counting sort is not comparison-based.   |
| O Because o  | counting sort uses additional memory.  |
|              |  |
| Q22          |  |
| Which of the | following sorting algorithm has/have a worst-case time complexity in ))?   |
| Which of the |  |
| Which of the | ))?  |
| -            | counting sort  |
| Which of the | counting sort  heapsort  |
| Which of the | counting sort  heapsort insertion sort   |
| Which of the | counting sort  heapsort insertion sort bucket sort   |

We consider the algorithm for the bottom-up max-heap construction (see pseudo-code attached).

What happens if we let the loop-index increase from 1 upwards to floor of A.lenght/2?

### **Algorithm** buildMaxHeap(H):

$$H.heap\text{-}size := H.length$$
  
for  $i = \lfloor H.length/2 \rfloor$  downto 1 do  
MaxHeapify(H, i)

- O Then we need to take the ceiling of A.length / 2 instead of the floor in order for the algorithm to be correct.
- O It works equally well,
- Then the algorithm is no longer correct.
- O Then we should do the recursive call of MaxHeapify on A and i-1 instead of on A and i in order for the algorithm to be correct.

### **Q24**

Which recurrence equation correctly describes, next to T(0) = 1, the worst-case time complexity of quicksort?

$$O T(n) = 2T(n/2) + cn$$

$$O T(n) = T(n) + T(0) + cn$$

$$T(n) = T(n-1) + T(0) + cn$$

$$O T(n) = 2T(n/2)$$

### **Q25**

What is the worst-case time complexity of insertion sort?

|  | O 0 (log n)  |
|--|--|
|  | Theta (n^2)  |
|  | O Theta (n log(n))   |
|  | O 0 (n log (n))  |
| Q26<br>What is the time complexity o                             | f quicksort on an array in which all keys are identical?           |
| O Theta(n log(n)), because the                                   | e array is already sorted, but you still have the recursive calls. |
| <ul> <li>Theta(n<sup>2</sup>), because when pa</li> </ul>        | rtioning one side will always be empty.                            |
| O Theta(1), because the array                                    | is trivial.  |
| O Theta(n), because the array                                    | is already sorted.   |
| Q27  |  |
| We consider insertion sort(sector) for the while-loop in line 5? | e attached). What happens if we swap the order of the tests        |
| O The algorithm then   | sorts in reverse order.  |
| <ul><li>We may then possib</li></ul>                             | ly compare A[0] with key, but A[0] does not exist.                 |
| O The program then d   | oes not terminate.   |
| O It does not matter.  |  |
|  |  |

When is a sorting algorithm said to be stable?

| O If the algorithm is linear time on an array that is already sorted.   |  |
|---|--|
| O If sorting the array twice in a row does not affect the result.   |  |
| If it respects the order of equal keys in the array.  |  |
| O If it does not need extra memory next to the input array.   |  |
|   |  |
| Q29 What is the running time of heapsort if the input is an array that is already sorted (in increasing order)?   |  |
| What is the running time of heapsort if the input is an array that is already sorted (in  |  |
| What is the running time of heapsort if the input is an array that is already sorted (in increasing order)?   |  |
| What is the running time of heapsort if the input is an array that is already sorted (in increasing order)?  O The running time is then in O (log(n)).                                      |  |
| What is the running time of heapsort if the input is an array that is already sorted (in increasing order)?  O The running time is then in O (log(n)).  O The running time is then in O(n). |  |