## **YUNMEI LIU**

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### **EDUCATION**

## Ph.D. in Industrial & Systems Engineering

2019 – 12/2023 (expected)

University of Florida, Gainesville, U.S.

Ph.D. Minor: Statistics

Specialization: Human Factors and Systems Engineering

<u>Dissertation title:</u> Quantitative models for system rate of automation and operator situation awareness responses

Academic advisors: Dr. David B. Kaber

## M.S. in Management Science and Engineering

2016 - 2019

Nanjing University, Nanjing, China

Specialization: Transportation Systems Analysis and Modeling

Graduate thesis title: User equilibrium in stochastic networks considering decision inertia

Academic advisors: Dr. Hongli Xu

## **B.S.** in Industrial Engineering

2012 - 2016

Shandong University, *Jinan, China*Academic advisors: Dr. Honglin Zhang

## **RESEARCH INTERESTS**

Human factors, human-automation interaction, quantitative modeling, cognitive modeling, human behavior modeling, wearable technology, data analytics, statistics, machine learning, deep learning

#### **PUBLICATIONS**

#### **Refereed Journal Articles**

- 1. **Liu, Y.,** Berman, J., Dodson, A., Park, J., Zahabi, M., Huang, H., Ruiz, J., and Kaber, D. (2022). Human-centered evaluation of EMG-based upper-limb prosthetic control modes. *Institute of Electrical and Electronics Engineers (IEEE) Transactions on Human-Machine Systems*. Minor Revision Submitted (August 2023).
- 2. Park, J., Berman, J., Dodson, A., **Liu, Y.,** Armstrong, M., Huang, H., Kaber, D. B., Ruiz, J., and Zahabi, M. (2023). Assessing workload in using electromyography (EMG)-based prostheses, *Ergonomics*. <a href="https://doi.org/10.1080/00140139.2023.2221413">https://doi.org/10.1080/00140139.2023.2221413</a>.
- 3. Kaber, D., **Liu, Y.,** and Lau, M. Y. (2023). Considering severity of safety-critical system outcomes in risk analysis: an extension of fault-tree analysis. In: Le Coze, JC., Antonsen, S. (eds) Safety in the Digital Age. *SpringerBriefs in Applied Sciences and Technology*. Springer, Cham. <a href="https://doi.org/10.1007/978-3-031-32633-2\_6">https://doi.org/10.1007/978-3-031-32633-2\_6</a>

#### **Submitted Refereed Journal Articles**

- 4. **Liu, Y.** & Kaber, D. (in 2<sup>nd</sup> review). Models of automation rate in human-in-the-loop systems and operator situation awareness responses. Submitted to *IEEE Transactions on Human-Machine Systems*.
- 5. **Liu, Y.,** Kaber, D., Cunningham, C., Chase, T., and Pyo, K. (in 2<sup>nd</sup> review). Analysis of driver behavior at grade-separated intersections to support design. Submitted to *Applied Ergonomics*.

Updated: August 2023

- 6. Lau, M., **Liu, Y.** & Kaber, D. (in 3<sup>rd</sup> review). A consequence severity-probability importance measure for fault tree analysis. Submitted to *International Journal of System Assurance Engineering and Management*.
- 7. Zhang, W., **Liu, Y.** & Kaber, D. (in 2<sup>nd</sup> review). Effect of interface design on cognitive workload in unmanned aerial vehicle control. Submitted to *International Journal of Human Computer Studies*.

### **In-Preparation Refereed Journal Articles**

- 8. **Liu, Y.,** Delgado, D., Park, J., Music, A., Berman, J., Kaber, D., Ruiz, J., Huang, H., and Zahabi, M.. Virtual Reality (VR) in the early phase design of EMG-based upper-limb prosthetics. Target journal: *Ergonomics*.
- 9. Park, J., Delgado, D., **Liu, Y.,** Music, A., Berman, J., Kaber, D., Ruiz, J., Huang, H., and Zahabi, M.. A evaluation of EMG-based upper-limb prosthetic control modes: Virtual Reality vs. physical devices. Target journal: *Applied Ergonomics*.
- 10. **Liu, Y.,** Yang, G., Pyo, K., Cunningham, C., Chase, T., Kaber, D.. Design consistency. Target journal: *Applied Ergonomics*.
- 11. **Liu, Y.** and Kaber, D.. Validity assessment for a SA response function in level of automation. Target journal: *Human Factors*.
- 12. **Liu, Y.** and Kaber, D.. Effects of driver experience and level of vehicle automation on SA, workload and performance. Target journal: *Applied Ergonomics*.
- 13. **Liu, Y.,** Grimaldi, N., Wozniak, D., Zahabi, M., and Kaber, D.. Integration of cognitive workload modeling and physiological responses for real-time user state classification. Target journal: *IEEE Transactions on Human-Machine Systems*.

### **Refereed Conference Proceedings**

- C1. **Liu, Y.**, Kaber, D., Sabahi, S., Cunningham, C, and Pyo, K. (2022). Machine learning models of erroneous driver actions at novel interchange configurations. *IEEE International Conference on Human-Machine Systems*, pp. 1-6. https://ieeexplore.ieee.org/document/9980657
- C2. **Liu, Y.**, Pyo, K., Cunningham, C., Chase, T. and Kaber, D. (2022). Driver situation awareness and cognitive workload effects of novel interchange configurations and associated signage. *International Conference on Applied Human Factors and Ergonomics*, Vol. 60: 287-296. <a href="https://openaccess.cms-conferences.org/publications/book/978-1-958651-36-0/article/978-1-958651-36-0\_32">https://openaccess.cms-conferences.org/publications/book/978-1-958651-36-0/article/978-1-958651-36-0\_32</a>
- C3. **Liu, Y.** and Kaber, D. (2021). Quantitative models for automation rate and situation awareness response: A case study of levels of driving automation. *IEEE International Conference on Human-Machine Systems*, pp. 1-6. <a href="https://ieeexplore.ieee.org/document/9582630">https://ieeexplore.ieee.org/document/9582630</a>
- C4. Park, J., Berman, J., Dodson, A., **Liu, Y.**, Matthew, A., Huang, H., Kaber, D, Ruiz, J., and Zahabi, M. (2022). Cognitive workload classification of upper-limb prosthetic devices. *IEEE International Conference on Human-Machine Systems*, pp. 1-6. <a href="https://ieeexplore.ieee.org/document/9980676">https://ieeexplore.ieee.org/document/9980676</a>
- C5. Cauffman, S.J., Deng, Y., **Liu, Y.**, Cunningham, C., Kaber, D. and Feng, J. (2020). Driver logo sign detection and hazard responses during partially automated driving. *Proceedings of the Human Factors and Ergonomics Society Annual Meeting*. 64(1):1960-1964. https://journals.sagepub.com/doi/10.1177/1071181320641472
- C6. Park, J., Music, A., Daniel, D., Berman, J., Dodson, A., **Liu, Y.**, Ruiz, J., Huang, H., Kaber, D., and Zahabi, M. (2023). Cognitive workload and usability of virtual reality simulation for prosthesis training. *IEEE International Conference on Systems, Man, and Cybernetics*. Accepted.
- C7. Chen, Z., Song, Y., **Liu, Y.,** Wu, X.J., and Sebe, N. (2024). A unified framework of Riemannian classifiers for SPD neural networks. *International Conference on Learning Representations (ICLR)*. Submitted.
  - Top Conference in Machine Learning

C8. Grimaldi, N., **Liu, Y.,** McKendrick, R., Ruiz, J., and Kaber, D. (2024). Deep learning forecast of cognitive workload using fNIRS data. Target Conference: *IEEE International Conference on Human-Machine Systems*. About to submit.

### **Technical Reports**

- R1. Cunningham, C. M., Chase, R. T., Pyo, K., Kaber, D., and **Liu, Y.** (2022). Roadway signing and marking of unconventional grade separated intersection designs. Report No. FHWA/NC/2019-26, *North Carolina Department of Transportation*. Raleigh. <a href="https://connect.ncdot.gov/projects/research/RNAProjDocs/RP2019-26%20Final%20Report.pdf">https://connect.ncdot.gov/projects/research/RNAProjDocs/RP2019-26%20Final%20Report.pdf</a>
- R2. Cunningham, C. M., Chase, R. T., Yang, G., Wright, W., Pyo, K., Kaber, D., and **Liu, Y.** (2023). Design consistency on corridors. Report No. FHWA/NC/2020-31, *North Carolina Department of Transportation*, Raleigh.

#### **PRESENTATIONS**

- 1. **Liu, Y.** (**Presenter**), Kaber, D., Sabahi, S., Cunningham, C, and Pyo, K. (2022). Machine learning models of erroneous driver actions at novel interchange configurations. *Oral presentation given at the IEEE 3rd International Conference on Human-Machine Systems*. Orlando, FL. November.
- 2. **Liu, Y.** (**Presenter**), Pyo, K., Cunningham, C., Chase, T. and Kaber, D. (2022). Driver situation awareness and cognitive workload effects of novel interchange configurations and associated signage. *Oral presentation given at the 13th International Conference on Applied Human Factors and Ergonomics.* New York, NY. July.
- 3. **Liu, Y.** and Kaber, D. (Presenter) (2021). Quantitative models for automation rate and situation awareness response: A case study of levels of driving automation. *Oral presentation given at the IEEE 2nd International Conference on Human-Machine Systems*. Magdeburg, Germany. September.
- 4. **Liu, Y. (Presenter)**, Pyo, K., Cunningham, C., Chase, T. and Kaber, D. (2023). Roadway signing and marking of unconventional grade separated intersection designs. *Poster presentation given at the North Carolina Department of Transportation 4th Annual Research & Innovation Summit.* Raleigh, NC. March.
- 5. **Liu, Y. (Presenter)**, Yang, G., Pyo, K., Wright, W. Chase, T. Cunningham, C., and Kaber, D. (2023). Design consistency on corridors. *Poster presentation given at the North Carolina Department of Transportation 4th Annual Research & Innovation Summit.* Raleigh, NC. March.
- 6. **Liu, Y.** (**Presenter**) (2023). Quantitative models for system automation rate and operator situation awareness. *Oral presentation given as a 3-minute pitch at the Institute of Industrial and Systems Engineers Doctoral Colloquium.* New Orleans, LA. May.
- 7. Park, J. (Presenter), Berman, J., Dodson, A., **Liu, Y.**, Matthew, A., Huang, H., Kaber, D, Ruiz, J., and Zahabi, M. (2022). Cognitive workload classification of upper-limb prosthetic devices. *Oral presentation given at the IEEE 3rd International Conference on Human-Machine Systems*. Orlando, FL. November.
- 8. Cauffman, S.J. (Presenter), Deng, Y., **Liu, Y.**, Cunningham, C., Kaber, D. and Feng, J. (2020). Driver logo sign detection and hazard responses during partially automated driving. *Oral presentation given at the 64th International Annual Meeting of the Human Factors and Ergonomics Society*. Virtual. October.
- 9. Park, J. (Presenter), Music, A., Daniel, D., Berman, J., Dodson, A., **Liu, Y.**, Ruiz, J., Huang, H., Kaber, D., and Zahabi, M. (2023). Cognitive workload and usability of virtual reality simulation for prosthesis training. *Oral presentation will be given at the IEEE International Conference on Systems, Man, and Cybernetics*. Hawaii, October.

## SELECTED HONORS AND AWARDS

• Linda Parker Hudson Graduate Fellowship Award (\$2,500), University of Florida

Harold D. Haldeman, Jr. Fellowship Award (\$2,000), University of Florida

2023 2022 Certificates of Outstanding Achievement, University of Florida
 Academic Scholarship (\$1,200), Nanjing University
 Excellent Student Fellowship Award, Shandong University
 Outstanding Undergraduate Graduation Thesis, Shandong University
 The Most Outstanding Student at Shandong University, Shandong University

### RESEARCH EXPERIENCE

## Graduate Research Assistant, University of Florida

## 1. Research on Automation Rate and Situation Awareness Responses (Dissertation) 2019 – Present

- Developed an innovative "automation rate" model to quantify the level of automation in human-in-theloop systems.
- Designed and implemented a reliable algorithm for automation rate calculation.
- Validated the automation rate model's feasibility and practicality through case study analysis.
- Established a novel relationship between automation rate and operator situation awareness through quantitative modeling.
- Developed a driving simulator platform for testing SAE level 0, 1, and 4 automations.
- Conducted a simulator experiment to validate the proposed models, involving advanced data analysis.
- Led the writing of one journal paper and one conference proceeding papers draft.

**Skills and Keywords: Quantitative modeling**, human performance responses, driving simulator, experiment design, case study analysis, data collection, **data analytics**, **statistics**, Python and R Studio programming.

## 2. Real-time Perceptually-enabled Task Guidance in the Extremes (DARPA Project) 2022-Present

- Led literature review to identify suitable signal types and acquisition devices, aligning with project goals.
- Directed the feature extraction process, extracting key features from eye-tracker and Empatica E4 devices.
- Constructed three machine learning models for predicting high cognitive load in extreme situations, utilizing real-time multimodal physiological signals.
- Overcame challenges related to missing data and feature selection for time-series data.
- Developed deep learning models to predict users' cognitive workload based on fNIRS data.
- Conducted hierarchical task analysis and developed a human performance model, alongside leading the protocol for the machine learning model training experiment.
- Led the writing of one journal paper and one conference proceeding papers draft.

Skills and Keywords: Feature extraction, machine learning, date analytics, deep learning, hierarchical task analysis, human performance modeling, protocol development.

# 3. EMG-based Assistive Human-Machine Interface Design (NSF Project) 2020-Present

- Designed and implemented human factors experiment to assess the impact of powered-prosthetic design and control modes on daily life tasks.
- Conducted hierarchical task analysis and developed a human performance model using Cogulator.
- Developed MATLAB code to acquire and smooth the real-time EMG raw signal.
- Developed the protocol for experiment, managing equipment preparation, orientation, and testing procedures.
- Applied data analysis skills to rectify experimental data collection errors.
- Demonstrated data analysis expertise by processing and analyzing experimental data with Python and R Studio.
- Led the writing of two journal papers and contributed to multiple journal and conference proceeding paper drafts.

**Skills and Keywords:** Human factors experiment design, hierarchical task analysis, human performance modeling, data analytics, statistics, EMG signal processing, protocol development, technical writing for journal and conference proceedings, MATLAB, Python and R Studio programming.

## 4. Roadway Signage for Novel Grade-separated Interchanges (NC DOT Project) 2019-2022

- Designed and implemented situation awareness queries to collect data on driver behavior and performance at different interchanges and signage configurations.
- Designed and executed human factors experiment to investigate the effects of innovative interchange geometry and traffic control device design on driver visual behavior and performance.
- Processed and analyzed experimental data using Python and R Studio, demonstrating data analysis skills and expertise in statistical methods, including descriptive and inferential statistics.
- Led the development of machine learning models to predict erroneous driver actions based on driver status.
- Drafted the final report for the project, presenting the findings and conclusions.
- Led and submitted one journal and two conference proceeding papers.

Skills and Keywords: Situation awareness, Qualtrics surveys, human factors experiment design, data analytics, statistics, machine learning, report writing, Python and R Studio programming.

## 5. Other Involved Projects

2019-Present

- Systems safety analysis research
- Unmanned aerial vehicle interface design
- Consistency in the design of interchanges in high-density traffic corridors
- Non-safety-related in-vehicle and on-road message displays

### **Graduate Student, Nanjing University**

## 6. User Equilibrium in Stochastic Networks Considering Route Choice Inertia

2016 - 2019

- Defined the generalized travel time from two dimensions (length and uncertainty), and reliability coefficient represented the travelers' risk preference.
- Proposed a route choice model with context-dependent preference and compared it with the existing model from theatrical and numerical aspects to validate and ensure the model's compatibility.
- Developed a user equilibrium model under stochastic traffic network, analyzed the equilibrium conditions, and formulated the equivalent nonlinear complement problem.
- Analyzed the nature of the solution through numerical examples.

**Skills and Keywords:** Route choice modeling, equilibrium analysis, nonlinear complement problem formulation, numerical analysis.

#### TEACHING EXPERIENCE

#### Teaching Assistant, University of Florida

• Systems Management (Graduate level)

2023, 2022, 2021

## Guest Lecture, University of Florida

•	Economic Evaluation (Graduate level)	2023, 2022

• Queuing Theory and Analysis (Graduate level)

2023

Reliability Engineering (Graduate level)
 Human Systems Integration (Graduate level)
 2023

#### **Teaching Assistant, Nanjing University**

Multivariate Statistics and Data Analysis (Graduate level)

Updated: August 2023

### LEARDERSHIP AND SERVICES

### **University-Related Service**

- Initiated and served as the inaugural student President, HFES Chapter, University of Florida.
- Led and coordinated student chairs and staff, 3rd International Conference on Human-Machine Systems.
- Participated in the Dean Search Committee, College of Engineering, University of Florida.

#### **Professional Service**

- Served as session chair and reviewer, 3rd International Conference on Human-Machine Systems.
- Acted as reviewer for journals: *IEEE Transactions on Human-Machine Systems, Human Factors, Cognition, Technology & Work.*

### MENTORING EXPERIENCE

- Vives, A., Visiting Scholar, French Air & Space Force Academy (1/2023-6/2023).
- Grimaldi, N., Ph.D. Research Assistant, Industrial and Systems Engineering (ISE) Department (3/2022 present).
- Pfaffenbichler, R., Graduate Research Assistant, ISE Department (8/2022 6/2023).
- Xia, S., Undergraduate Research Assistant, ISE Department (3/2022 12/2022).
- Chen, E., Undergraduate Research Assistant, Computer Science Department (8/2022 6/2023).

### **TEACHING INTERESTS**

### **Undergraduate/Graduate Level:**

- Introduction to Human Factors
- Occupational Safety
- System Design
- System Safety
- System Management
- User Experience Design
- Human Factors in System Design
- Applied Probability Methods in Engineering
- Applied Non-parametric Method in Engineering

# **PRODESSIONAL AFFILIATION**

- Student Member, Human Factors and Ergonomics Society
- Student Member, IEEE

#### SKILLS

- **Programming Languages:** Python, R, MATLAB
- Prototype & Design Tools: UC-winRoad, Qualtrics, LaTeX, Invision
- **Equipment:** Driving Simulator (FORUM8 Co. Ltd), Electromyography System (Delsys), Eyetracker (PupilLabs), E4 Wristband (Empatica)
- Language: Chinese (Native), English